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## 4 **Study of the Earth's short-scale gravity field using the** 5 **ERTM2160 gravity model**

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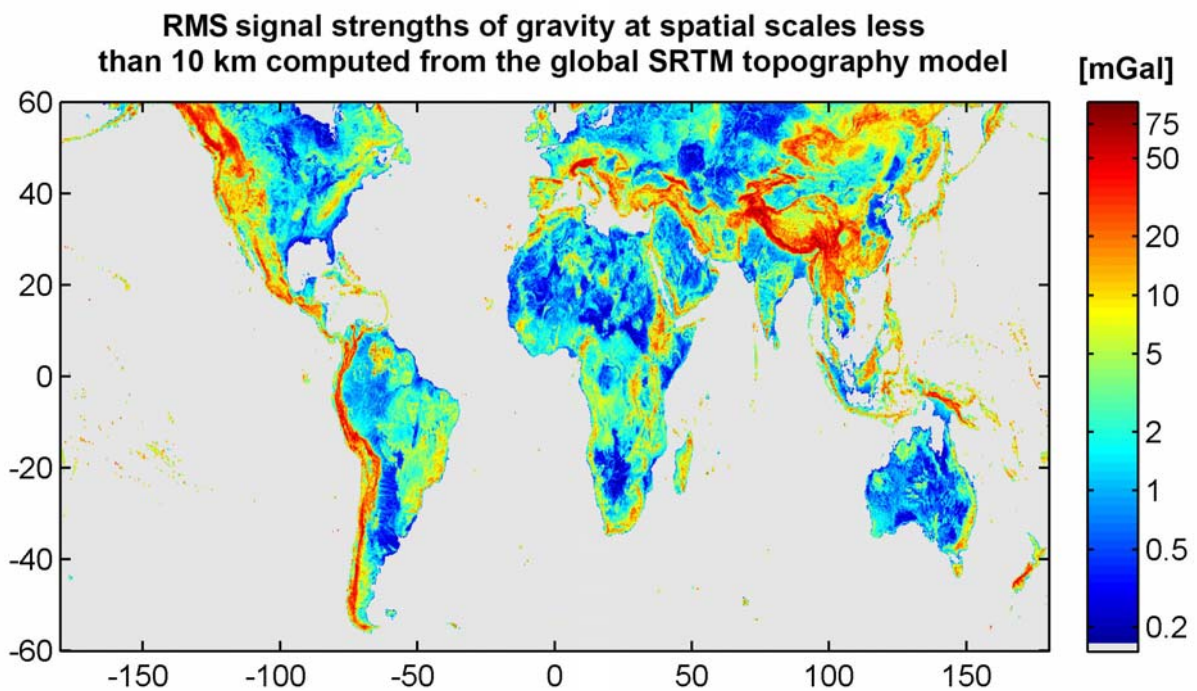
### 39 **Abstract**

40 This paper describes the computation and analysis of the Earth's short-scale gravity field  
41 through high-resolution gravity forward modelling using the Shuttle Radar Topography  
42 Mission (SRTM) global topography model. We use the established residual terrain modelling  
43 technique along with advanced computational resources and massive parallelisation to  
44 convert the high-pass filtered SRTM topography – complemented with bathymetric  
45 information in coastal zones – to implied short-scale gravity effects. The result is the  
46 ERTM2160 model (Earth Residual Terrain modelled-gravity field with the spatial scales  
47 equivalent to spherical-harmonic coefficients up to degree 2160 removed). ERTM2160, used  
48 successfully for the construction of the GGMplus gravity maps, approximates the short-scale

49 (i.e., ~10 km down to ~250 m) gravity field in terms of gravity disturbances, quasi/geoid  
50 heights and vertical deflections at ~3 billion gridded points within  $\pm 60$  latitude. ERTM2160  
51 reaches maximum values for the quasi/geoid height of ~30 cm, gravity disturbance in excess  
52 of 100 mGal, and vertical deflections of ~30 arc-seconds over the Himalaya mountains.  
53 Analysis of the ERTM2160 field as a function of terrain roughness shows in good  
54 approximation a linear relationship between terrain roughness and gravity effects, with values  
55 of ~1.7 cm (quasi/geoid heights), ~11 mGal (gravity disturbances) and 1.5 arc-seconds  
56 (vertical deflections) signal strength per 100 m standard deviation of the terrain. These  
57 statistics can be used to assess the magnitude of omitted gravity signals over various types of  
58 terrain when using degree-2160 gravity models such as EGM2008. Applications for  
59 ERTM2160 are outlined including its use in gravity smoothing procedures, augmentation of  
60 EGM2008, fill-in for future ultra-high resolution gravity models in spherical harmonics, or  
61 calculation of localized or global power spectra of Earth's short-scale gravity field.  
62 ERTM2160 is freely available via <http://ddfe.curtin.edu.au/gravitymodels/ERTM2160>.

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### Graphical Abstract



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### Highlights

70

- 71 • Residual gravity model ERTM2160 computed from the SRTM topography at 250 m  
72 resolution
- 73 • Supercomputing resources used for forward gravity modelling at ~3 billion points
- 74 • Global short-scale RMS signal magnitudes are 1.6 cm for geoid, 11 mGal for gravity
- 75 • Linear relation between terrain roughness and RMS gravity signal magnitudes found

76

### Key words

78

79 Gravity field, forward-modelling, gravity, quasi/geoid, vertical deflection, supercomputing

80

## 81 **1 Introduction**

82

83 Forward-modelling of the gravity field from topographic mass models is central to physical  
84 geodesy and potential field geophysics (e.g., Forsberg, 1984; Jacoby and Smilde, 2009). All  
85 gravity forward modelling techniques are based on the evaluation of Newton's integral (Kuhn  
86 and Seitz, 2005) which can be done either in the spectral domain (Rummel et al., 1988;  
87 Balmino et al., 2012), or in the space domain (Forsberg, 1984; Nagy et al., 2000). For gravity  
88 forward modelling in the space domain, the topographic masses are usually represented  
89 through gridded digital elevation models decomposing the terrain into discrete geometrical  
90 mass-bodies (i.e., point masses, prisms or tesseroids), cf. Heck and Seitz (2007). The  
91 practical evaluation of Newton's integral at a single computation point  $P$  involves numerical  
92 integration (summation) of gravity effects generated by each geometrical mass-body to some  
93 distance around  $P$  (Tziavos and Sideris, 2013) when evaluating short-scale gravity effects and  
94 global numerical integration when evaluating full-scale gravity effects (e.g. Kuhn et al.,  
95 2009).

96

97 Until recently, one of the limiting factors for the application of space domain techniques in  
98 ultra-high resolution forward modelling on regional to global scales was their enormous  
99 computational demand. This is due to the fact that Newton's integral has to be evaluated  
100 separately for each computation point without drawing information from other already  
101 evaluated gravity effects. Therefore, the required number of operations increases linearly  
102 with the number of computation points, which is why ultra-high resolution (i.e., spatial  
103 density of  $P$  commensurate to the elevation data resolution, say  $\sim 100$ - $200$  m) gravity forward  
104 modelling on a global scale is a computationally demanding task. However, this drawback  
105 can also be used as advantage when employing parallel computation techniques as the  
106 gravitational effect at each computation point can be obtained independently of all other  
107 points. This advantage has been exploited in this study through the use of advanced  
108 computational resources along with parallelization of the computations.

109

110 This study focuses on (i) gravity forward-modelling of the Earth's short-scale gravity field  
111 from the high-resolution SRTM topography (augmented with bathymetry in coastal zones) in  
112 the space domain, and (ii) analysis of gravity signal magnitudes with spatially varying  
113 statistics. The term "short-scale" is defined here as spatial scales of  $\sim 10$  km (or beyond  
114 spherical harmonic degree 2160) down to  $\sim 250$  m. The target area for our ultra-high  
115 resolution gravity forward-modelling are all continents between  $\pm 60^\circ$  geodetic latitude as  
116 represented through the Shuttle Radar Topography Mission (SRTM) global elevation model,  
117 including adjoining coastal zones, Earth's major lakes and numerous islands. Using a dense  
118 grid spacing of 7.2 arc-sec, there are more than 3 billion computation points in our near-  
119 global target area, which necessitates the use of advanced computational resources and  
120 parallelization of the forward-modelling task. The main result of the gravity forward  
121 modelling is a model that describes Earth's short-scale gravity field (over our target area) in  
122 terms of quasi/geoid heights, gravity disturbances and vertical deflections: ERTM2160 (Earth  
123 Residual Terrain Modelled - gravity field with the 2160 indicating that spatial scales up to  
124 spherical-harmonic degree and order 2160 were removed).

125

126 ERTM2160 was created in the context of the GGMplus (Global Gravity Maps plus) initiative  
127 (Hirt et al., 2013) to deliver the short-scale constituents for the GGMplus gravity maps  
128 (<http://geodesy.curtin.edu.au/research/GGMplus>). While Hirt et al. (2013) give a general  
129 description of the gravity forward modelling and the combination of forward-modelled  
130 gravity with observed gravity data used to construct GGMplus, we here provide a full

131 account of the conversion of the global SRTM topography to short-scale ERTM2160 gravity  
132 effects (Sect. 2), and present an entirely new analysis of their statistical characteristics (Sect  
133 3). In order to provide a complete description of the methods deployed, the methods and data  
134 summary (Sect. 2) has deliberately some overlap with previously reported research (Hirt et  
135 al., 2013; Hirt, 2013).

136

137 Regarding the gravity forward-modelling applied with ultra-high resolution on a near-global  
138 scale, new research presented in this study includes (i) the role of accurate high-pass filtering  
139 for short-scale gravity forward modelling, (ii) the treatment of major lakes in the forward  
140 modelling and (iii) identification and removal of low-quality and bad-data areas in the  
141 topography models (data cleaning) cf. Sect 2. The main focus is placed in this paper on  
142 studying the characteristics of the ERTM2160 short-scale gravity field. New results presented  
143 include (i) magnitude statistics of gravity anomalies, geoid heights and vertical deflections,  
144 (ii) a first comparison with estimates from degree-variances models, and (iii) the  
145 investigation of the functional relationship among gravity signal strengths and terrain  
146 roughness (Sect. 3). We further summarize application examples (Sect. 4) and outline  
147 limitations for ERTM2160 (Sect. 5), before making some concluding remarks (Sect. 6).

148

149 Apart from Hirt et al. (2013), results from ultra-high resolution (say few 100 m) gravity  
150 forward-modelling on a near-global scale were not yet reported in the literature. Thus far,  
151 gravity forward-modelling is either limited in spatial resolution (say 1-2 arc-min, or ~2-4 km)  
152 when done globally, e.g., Gruber et al. (2013); Balmino et al. (2012); Bonvalot et al. (2012),  
153 or limited to regional areas when done with ultra-high resolution (say around 250 m), e.g.,  
154 Kuhn et al. (2009). It is only through the computation of ERTM2160 that the study of the  
155 short scale gravity field characteristics has become possible at a near-global scale and with  
156 ultra-high resolution.

157

## 158 **2. Data and methods**

159

### 160 **2.1 Data sets and combination**

161 As high-resolution representations of the topographic masses over land, we selected the ~250  
162 m (7.5 arc-sec) resolution SRTM V4.1 topography model provided by Jarvis et al. (2008).  
163 This data set is based on the second (research-grade) release of the SRTM mapping mission  
164 (Farr et al. 2007), with improved interpolation methods often based on auxiliary data sets  
165 used for filling of no-data areas ('holes'), as described by Reuter et al. (2007). The resolution  
166 of the V4.1 250 m version, derived by Jarvis et al. (2008) from the 90 m SRTM basis  
167 resolution, is commensurate with the ERTM2160 target resolution of 7.2 arc-sec. The SRTM  
168 V4.1 topography model is available within the  $\pm 60^\circ$  latitude SRTM coverage, and  
169 incorporates coastline information through the SRTM water body data set. The SRTM  
170 elevation model is referred to the EGM96 geoid model (resolution of degree and order 360).

171 In order to avoid 'edge effects' of the SRTM-based forward-modelling along coast lines and  
172 at  $\pm 60^\circ$  latitude, we included – outside the V4.1 coverage – bathymetric depth information  
173 as available through the 30 arc-sec resolution V7 SRTM30\_PLUS topography/bathymetry  
174 model (Becker et al. 2009). The bathymetric component of the SRTM30\_PLUS data set is  
175 based on altimetry and – where available – depth soundings (Becker et al., 2009).  
176 SRTM30\_PLUS also contains bathymetric information for Earth's major lakes (Great Lakes,  
177 Caspian Sea, Baikal) which is taken into account in ERTM2160 (Sect. 2.2). According to  
178 Becker et al. (2009) SRTM30\_PLUS provides GTOPO30 data (USGS 1996) in high northern

179 latitudes, which is a relevant data source for forward-modelling at ERTM2160 computation  
 180 points near or at 60° latitude.

181  
 182 Following a case study by Hirt (2013) both data sets are combined at 7.5 arc-sec resolution  
 183 whereby SRTM30\_PLUS data is used everywhere outside the V4.1 data coverage. This  
 184 ensures a mostly smooth transition from land to oceans and land to interior lakes, as well as at  
 185 the northern and southern extent of the SRTM coverage. SRTM V4.1 and its combination  
 186 with SRTM30\_PLUS have proven suitable for short-scale gravity forward-modelling over  
 187 local and regionally limited land areas (e.g., Hirt, 2012) as well as along some coastal zones  
 188 (Hirt, 2013). Notwithstanding it is important to note that at a global scale both data sets are  
 189 not free of errors and artefacts, necessitating some data cleaning as described in Sect 2.5.

190

## 191 2.2 Treatment of water bodies

192

193 We make use of the concept of rock-equivalent topography (RET; Rummel et al. 1988),  
 194 allowing convenient treatment of topographic and water masses in forward-modelling with a  
 195 single constant mass-density. In the RET concept, the lake and ocean water masses are  
 196 condensed ('compressed') into layers of rock. With the standard rock mass-density  $\rho = 2670$   
 197  $\text{kg m}^{-3}$ , and ocean water mass-density  $\rho_o = 1030 \text{ kg m}^{-3}$ , RET-heights  $H_{RET}^{(sea)}$  are obtained  
 198 over the oceans

$$199 \quad H_{RET}^{(sea)} = H_{BED} \left( 1 - \frac{\rho_o}{\rho} \right), \quad (1)$$

200 whereby  $H_{BED}$  (<0) is the bathymetric depth with respect to mean sea level (MSL) from  
 201 SRTM30\_PLUS. For inland water bodies, RET-heights  $H_{RET}^{(lakes)}$  are calculated from

202

$$203 \quad H_{RET}^{(lakes)} = H_{BED} + \frac{\rho_L}{\rho} (H_{SUR} - H_{BED}), \quad (2)$$

204 where  $\rho_L = 1000 \text{ kg m}^{-3}$  is the lake water mass-density,  $H_{SUR}$  is the height of the water body  
 205 above MSL (as implied by the SRTM V4.1 model), and  $H_{BED}$  is the height of the lake  
 206 bottom, taken from SRTM30\_PLUS ( $H_{SUR} - H_{BED}$  is the water column height). Table 1 lists  
 207 the water bodies considered in the present work at 30 arc-sec resolution. We acknowledge  
 208 recent work by Balmino et al. (2012) who have forward-modelled gravity effects implied by  
 209 the water-masses of several great lakes at 1 arc-min resolution, and Grombein et al. (2014) at  
 210 5 arc-min resolution.

211

212

213 **Table 1** Water bodies modelled in ERTM2160, and surface heights (extracted from SRTM V4.1)

Water body	Surface height $H_{SUR}$ [m]
Oceans	0
Caspian Sea	-29
Lake Baikal	+449
Lake Superior	+179
Lake Michigan and Huron	+175
Lake Erie	+172
Lake Ontario	+73

214

215

216 **2.3 High-pass filtering**

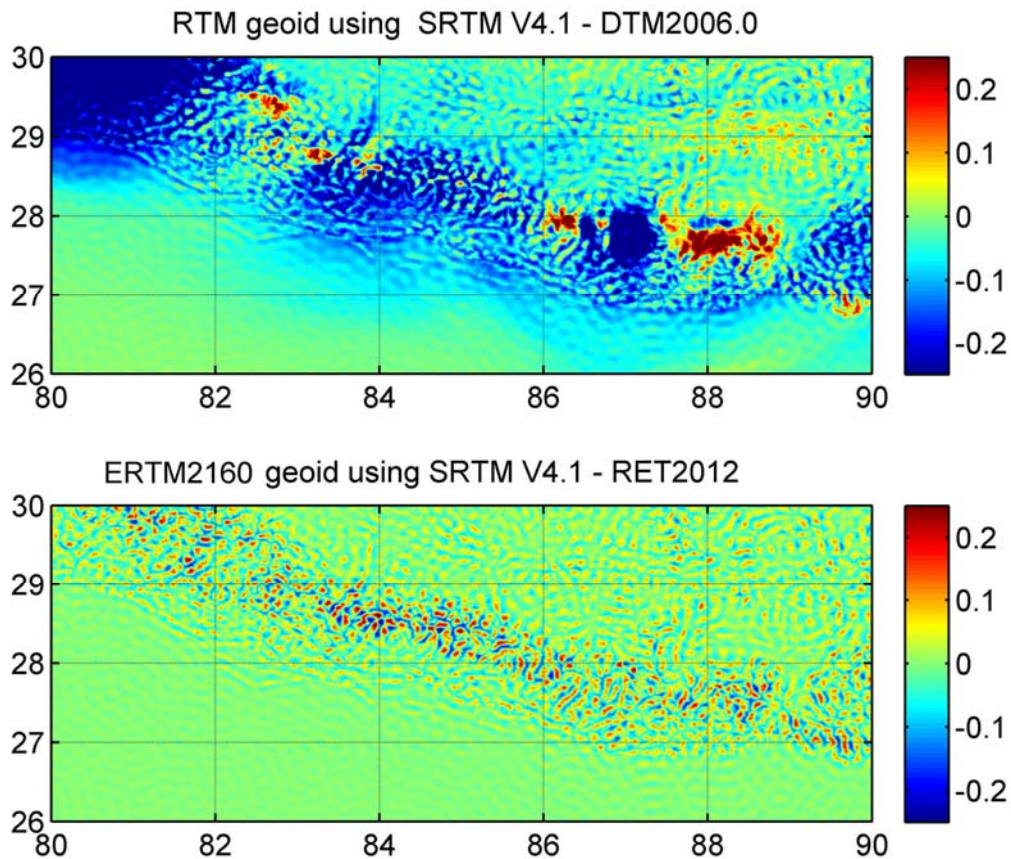
217

218 In short-scale gravity forward-modelling based on the well-established residual terrain  
 219 modelling (RTM) technique (Forsberg and Tscherning, 1981; Forsberg, 1984), accurate high-  
 220 pass filtering of the elevation data is crucial. Subtraction of a long-wavelength spherical  
 221 harmonic reference surface is suitable to extract the short-scale information from elevation  
 222 models, particularly when gravity forward-modelling is used for augmentation of GGMs  
 223 beyond their nominal resolution (e.g., Forsberg, 1984; Hirt, 2010). For the generation of the  
 224 ERTM2160 short-scale gravity model, a spherical harmonic reference surface (denoted with  
 225 RET2012 in the sequel) has been developed that is rigorously consistent to the input  
 226 topography (SRTM V4.1 over (dry) land and rock-equivalent heights from SRTM30\_PLUS  
 227 over the oceans and lakes) everywhere over our target area with the procedure described in  
 228 Hirt (2013). The fully-normalized RET2012 spherical harmonic coefficients (SHCs)  
 229  $\overline{HC}_{nm}, \overline{HS}_{nm}$  are evaluated here to degree and order 2160

230 
$$H = \sum_{n=0}^{2160} \sum_{m=0}^n (\overline{HC}_{nm} \cos m\lambda + \overline{HS}_{nm} \sin m\lambda) \overline{P}_{nm}(\sin \varphi) \quad (3)$$

231 with  $\varphi$  and  $\lambda$  are the geocentric latitude and longitude, and  $\overline{P}_{nm}(\sin \varphi)$  are the fully-  
 232 normalized associated Legendre functions. Subtracting the synthesized heights  $H$  from the  
 233 high-resolution RET-topography provides the high-pass filtered SRTM data for the  
 234 ERTM2160 forward-modelling.

235



236

237 **Fig. 1** Top: RTM geoid effects based on SRTMV4.1 and DTM2006.0 as long-wavelength reference  
 238 surface over a 4°x10° test area in the Himalayas, Bottom: ERTM2160 quasi/geoid calculated based on  
 239 SRTM V4.1 and RET2012 as rigorously consistent long-wavelength reference surface, units in m. All  
 240 maps are coordinated in terms of geodetic latitudes and longitudes, unit degree.

241

242

243 We have tested the spherical harmonic expansion of the DTM2006.0 data created by the  
244 EGM2008 development team (Pavlis et al., 2007; 2012) as alternative reference surface for  
245 high-pass filtering of the SRTM V4.1 topography and SRTM30\_PLUS V7 rock-equivalent  
246 bathymetry. Fig. 1 compares forward-modelled quasi/geoid effects over the Himalaya using  
247 synthesized heights from DTM2006 (top) and RET2012 (bottom) for high-pass filtering of  
248 the SRTM V4.1 topography. From Fig. 1, the combination SRTM V4.1 minus DTM2006.0  
249 produces regional-scale offsets with amplitudes at the dm-level over the Himalayas, while  
250 SRTMV4.1 minus RET2012 does not show such effects.

251

252 Fig. 1 shows indirectly that SRTM V4.1 and the SRTM release used for the DTM2006.0 data  
253 base (Pavlis et al., 2007) are not compatible, with likely differences in the hole-filling  
254 procedures used. A similar behaviour as displayed in Fig. 1 is visible over other parts of the  
255 Himalayas and parts of the Andes, suggesting inconsistencies between the elevation data  
256 bases. While DTM2006.0 was used successfully in earlier studies on forward-modelling over  
257 European test areas (e.g., Hirt et al., 2010; Hirt, 2012), DTM2006.0 cannot be used along  
258 with SRTM V4.1 over some rugged land areas for accurate high-pass filtering and short-scale  
259 forward-modelling. Further inconsistencies would occur over marine areas, even if  
260 DTM2006.0 depths were made rock-equivalent. This is because the bathymetry grids used for  
261 creating DTM2006.0 and RET2012 are different as well. In the remainder of this paper we  
262 therefore only use RET2012 as rigorously consistent long-wavelength reference for our  
263 topography/bathymetry-combined RET input grid.

264

## 265 **2.4 Forward-modelling and use of supercomputing facilities**

266

267 The short-scale gravity forward-modelling, i.e., the conversion of the high-pass filtered and  
268 rock-equivalent SRTM topography to gravitational effects, relies on the RTM technique. The  
269 gravity field functionals computed are (i) quasi/geoid heights, (ii) gravity disturbances, (iii)  
270 North-South vertical deflections, and (iv) East-West vertical deflections. Using regularly-  
271 spaced 7.2 arc-sec grids of computation points  $P$  over all continents, and adjoining marine  
272 areas within  $\pm 60^\circ$  latitude, the numerical integration needs to be carried out at more than 3  
273 billion locations.

274

275 We used software based on Forsberg's TC-program that deploys mass-prisms (e.g. Nagy et  
276 al., 2000) in the  $\sim 5$  km near-zone, point-masses and McMillan expansions in the far-zone  
277 (Forsberg, 1984). Different to the original TC-approach, we do not distinguish between  
278 different mass-densities over land and oceans in the forward-modelling. Instead we use the  
279 high-pass filtered SRTM land topography (over dry land) and SRTM30\_PLUS rock-  
280 equivalent topography (over water bodies) as input data (Sect. 2.3), along with a single  
281 uniform mass-density of  $2670 \text{ kg m}^{-3}$  (Hirt, 2013). In the RTM technique, the forward-  
282 modelling needs to be carried out only to some distance around  $P$  (Forsberg, 1984). When  
283 high-pass filtering the topography with a degree-2160 spherical harmonic reference surface  
284 (equivalent to  $\sim 10$  km), it is sufficient for all gravity functionals computed to take into  
285 account mass-effects only within  $\sim 200$  km radius (Hirt et al., 2010). Beyond this radius,  
286 mass-prism effects largely cancel out because of the oscillating nature of RTM elevations  
287 (see also Forsberg and Tscherning, 1981).

288

289 We divided the gravity forward-modelling task in  $1^\circ \times 1^\circ$  regions over land and sea, which  
290 can be processed in parallel, i.e., independent of each other. This straightforward and efficient  
291 approach of parallelization is taken here because computation points  $P$  can be computed



292 without dependencies from each other. The resolution of the input topography is down-  
293 sampled from 7.5 arc-sec to 30 arc-sec outside  $\sim 100$  km radius around  $P$  (using a 4 x 4 box  
294 means), reducing the number of mass-elements and thus the required computation time (two-  
295 grid approach, cf. Forsberg, 1984). Using a standard desktop PC (e.g. Intel Q9400 central  
296 processing unit CPU @ 2.66 GHz) and a single CPU we observed a forward-modelling speed  
297 of about 5-6 points per second. For a total of  $\sim 18300$   $1^\circ \times 1^\circ$  tiles within the SRTM coverage  
298 and adjoining marine zones, this translates into a total computation time of about 20 years,  
299 underlining the demanding nature of near-global ultra-high resolution forward-modelling and  
300 necessitating the use of advanced computational resources and massive parallelization.

301

302 We acknowledge some technique optimizations are possible, e.g., based on efficient tesseroid  
303 formulae in place of prisms (Grombein et al., 2013), which however, will not circumvent the  
304 need for supercomputing. Alternatively, Fast Fourier Transform (FFT) methods (e.g., Forsberg  
305 1985) could be used for a more efficient calculation of gravity effects from RTM data, while  
306 the application of FFT for the accurate calculation of RTM vertical deflections is “rather  
307 complicated” (Forsberg, 1985, p359). FFT techniques were not deployed in this study.

308

309 To accomplish the forward-modelling we used the Epic supercomputer that is part of Western  
310 Australia’s iVEC supercomputing initiative ([www.ivec.org](http://www.ivec.org)) and Pawsey centre, providing  
311 advanced resources to Western Australian researchers, particularly in Earth Sciences. Epic is  
312 a Linux cluster system that operates a total of 9600 Intel Xeon X5660 CPUs along with 18  
313 TB of RAM. With up to 1153 CPUs (or a  $\sim 12$  % share) simultaneously available to us, we  
314 completed the gravity forward-modelling task as described before within a period of less  
315 than three weeks time, or  $\sim 30,000$  CPU-hours. This demonstrates the pivotal role of  
316 parallelization and supercomputer deployment for ultra-high resolution forward-modelling at  
317 a global scale.

318

## 319 **2.5 Detection and removal of artefacts**

320

321 Global inspection of the forward-modelling results over our target area showed a number of  
322 locations with unrealistically large negative gravity disturbances as small as -1040 mGal. At  
323 the locations of these suspicious gravity minima, we identified spike-like depressions in the  
324 input topography, both over land areas (SRTM V4.1) and over coastal zones  
325 (SRTM30\_PLUS V7). We analysed all locations with forward-modelled gravity disturbances  
326 smaller than an arbitrary threshold of  $-400$  mGal, and found by visual inspection of the  
327 forward-modelled gravity further artefacts present in both elevation data sets. These artefacts  
328 are cautiously attributed to

329 

- Unfilled holes in the SRTM V4.1 data and interpolation errors along the seams of 1-  
330 degree tiles over parts of Asia.

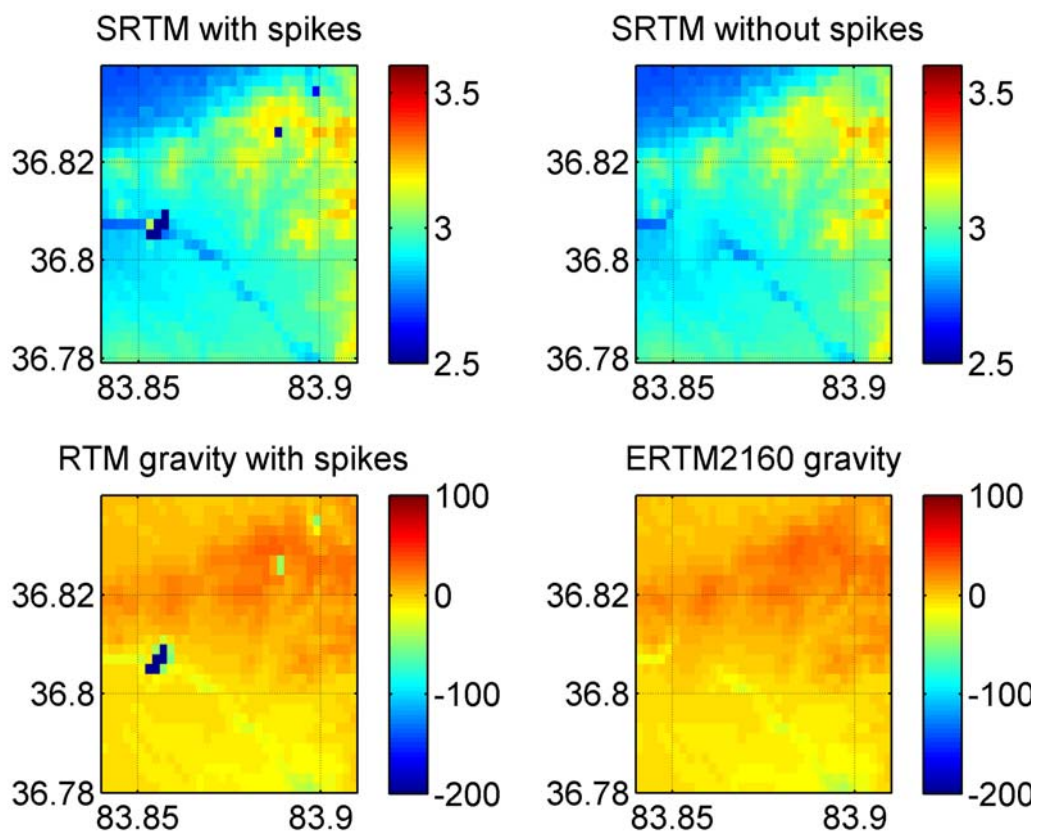
331 

- Discrepancies between ship depth-soundings and altimetric depths (SRTM30\_PLUS  
332 V7) resulting in ‘sea-floor holes’ of up to 5 km.

333 From inspection of all forward-modelled functionals, particularly local minima of gravity  
334 disturbances turned out to be very sensitive for unnaturally steep gradients in topography  
335 models (which occur at spike-like depressions). In a similar context, this sensitivity was  
336 indicated by Kirby and Featherstone (2001, 2002) who detected bad data areas in a national  
337 elevation model via gravimetric terrain corrections. We decided to clean the input  
338 topography by masking out the affected locations, before filling them with bicubic  
339 interpolation. Though this procedure does not recover any information of the terrain shape, it  
340 satisfactorily removes the identified artefacts from the input topography. We iteratively  
341 repeated all steps of the forward modelling (Sects. 2.1 to 2.4) for computation points within a

342 ~200 km radius around affected areas. From Table 2, the fraction of points with removed  
 343 artefacts is 0.001 % for land points and larger for ocean points (0.03 %), suggesting the  
 344 overall impact to be comparatively small.

345  
 346 We communicated the ‘bad-data’ locations to the producers of SRTM V4.1 and  
 347 SRTM30\_PLUS V7, confirming the presence of artefacts in their data set. Importantly, these  
 348 problems had not necessarily become evident had we restricted the modelling to a regionally  
 349 limited area, e.g., European Alps, as done in past research (e.g., Hirt, 2012). Fig. 2 illustrates  
 350 the effect of unfilled holes in the SRTM input topography on forward-modelled gravity  
 351 disturbances over a moderately affected region, and shows both data sets after hole-filling of  
 352 the SRTM data. While we made an attempt to remove notable or striking artefacts from the  
 353 input topography through testing against thresholds and visual inspection, less spurious  
 354 effects are likely to be present in the forward-modelled gravity (cf. Sect. 5). Complete  
 355 cleaning of the input elevation data at 3 billion points remains a challenge, seemingly also for  
 356 providers of elevation data sets.  
 357



358  
 359 **Fig. 2** RTM gravity disturbances before (left) and after spike removal (right). Top: SRTM V4.1  
 360 elevations in km, Bottom: short-scale RTM gravity disturbances in mGal.

361  
 362  
 363 **Table 2** Summary of elevation data sets used, and artefacts replaced

Elevation data set	Model resolution (arc-sec)	#ERTM2160 computation points (billion)	#Elevations replaced	Fraction of directly affected ERTM2160 points
SRTM250m V4.1	7.5	~2.9	2913	~0.001%
SRTM30_PLUS	30	~1.7	2977	~0.03 %

365

### 3 Results, comparisons and analyses

366

#### 3.1 ERTM2160 characteristics

367

368 The main outcome of the gravity forward-modelling procedures described in Sect. 2 is the  
 369 ERTM2160 short-scale gravity field model. It provides numerical values for the four  
 370 functionals quasi/geoid height, gravity disturbances, North-South and East-West vertical  
 371 deflections at 3,062,677,383 locations over the SRTM data area (extended with a ~10 km  
 372 buffer over sea) at a spatial resolution of 7.2 arc-sec. The descriptive statistics of ERTM2160  
 373 (Table 3) provide for the first time near-global topography-based estimates of Earth’s short-  
 374 scale gravity field signal strength (half wavelength of ~10 km down to ~250 m), which are  
 375 omitted by degree-2160 spherical harmonic potential models. ERTM2160 can be used to  
 376 augment –in approximation– any degree-2160 geopotential model (e.g., EGM2008; Pavlis et  
 377 al., 2012) or topographic potential model (e.g., dV\_ELL\_RET2012, Claessens and Hirt,  
 378 2013) beyond harmonic degree 2160, thus reducing the signal omission error (e.g., Gruber,  
 379 2009) to some extent. Note that the RTM-technique does not augment the spherical harmonic  
 380 model rigorously because the underlying filtering in the topography domain does not exactly  
 381 correspond to the filtering in the gravity domain (cf. Section 5).

382

383  
 384  
 385  
 386 **Table 3** Descriptive statistics of the ERTM2160 gravity field functionals at 3,062,677,383 land and  
 387 near-coastal points between  $\pm 60^\circ$  latitude

Functional	Unit	Min	Max	Mean	RMS
Quasi/geoid	m	- 0.280	0.304	0.000	0.016
Gravity disturbance	mGal	-362.4	139.9	-1.050	10.59
North-South vertical deflection	arc-sec	-29.1	31.3	0.000	1.43
East-West vertical deflection	arc-sec	-32.3	29.1	0.000	1.46

388

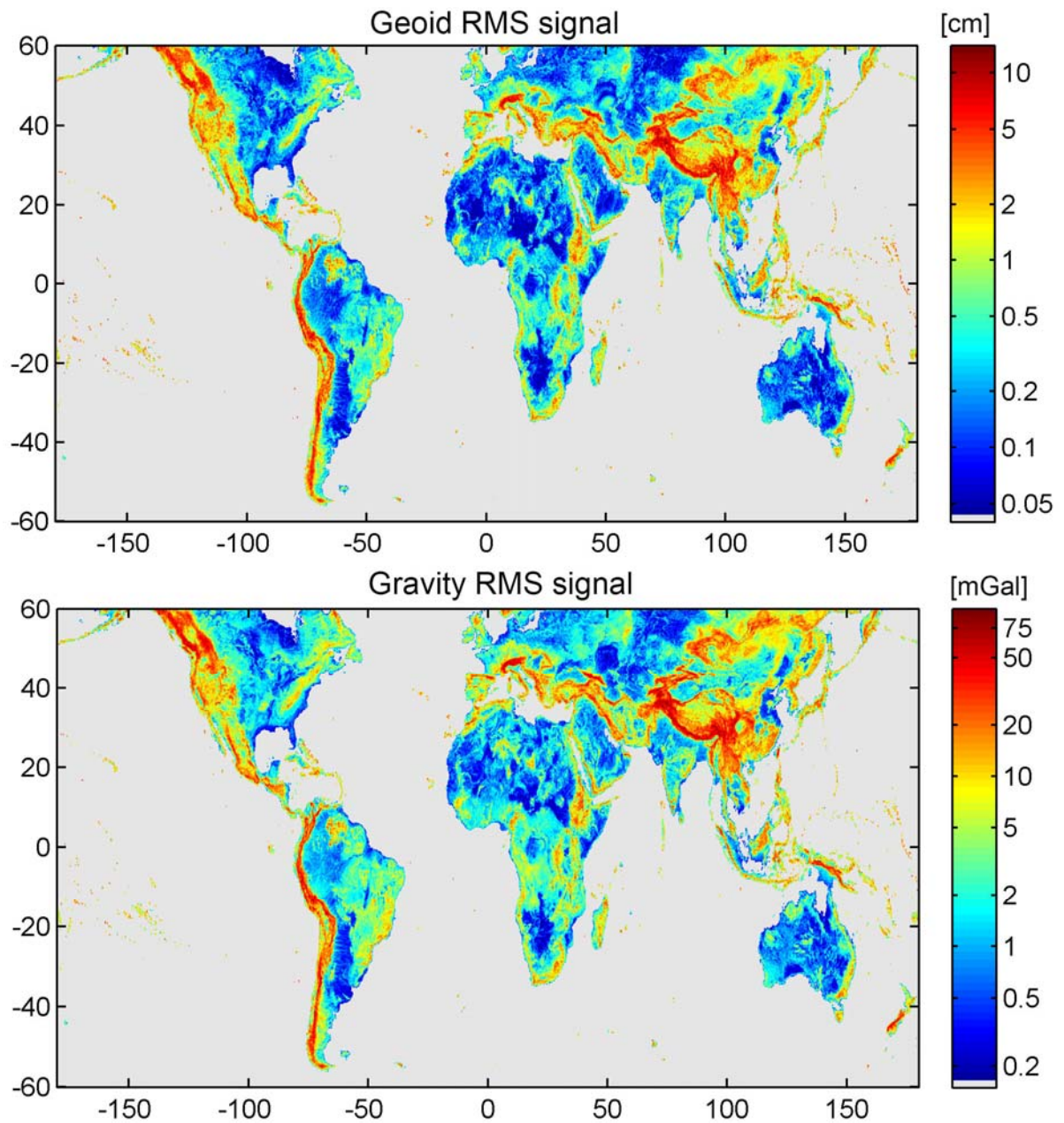
389

390 From Table 3, the ERTM2160 quasi/geoid has a RMS (root-mean-square) signal strength of  
 391 1.6 cm (maximum of ~30 cm over the Himalayas), the RMS of gravity disturbances is 10.6  
 392 mGal (variation between -360 to +140 mGal), and the RMS signal strength of vertical  
 393 deflections is 1.4 arc-sec (maximum ~30 arc-sec). Because of the coverage and point density  
 394 reached, we consider these estimates to be robust and globally representative ‘average values’  
 395 over land areas of all topography types (flat to high mountains) and adjoining coastal zones.

396

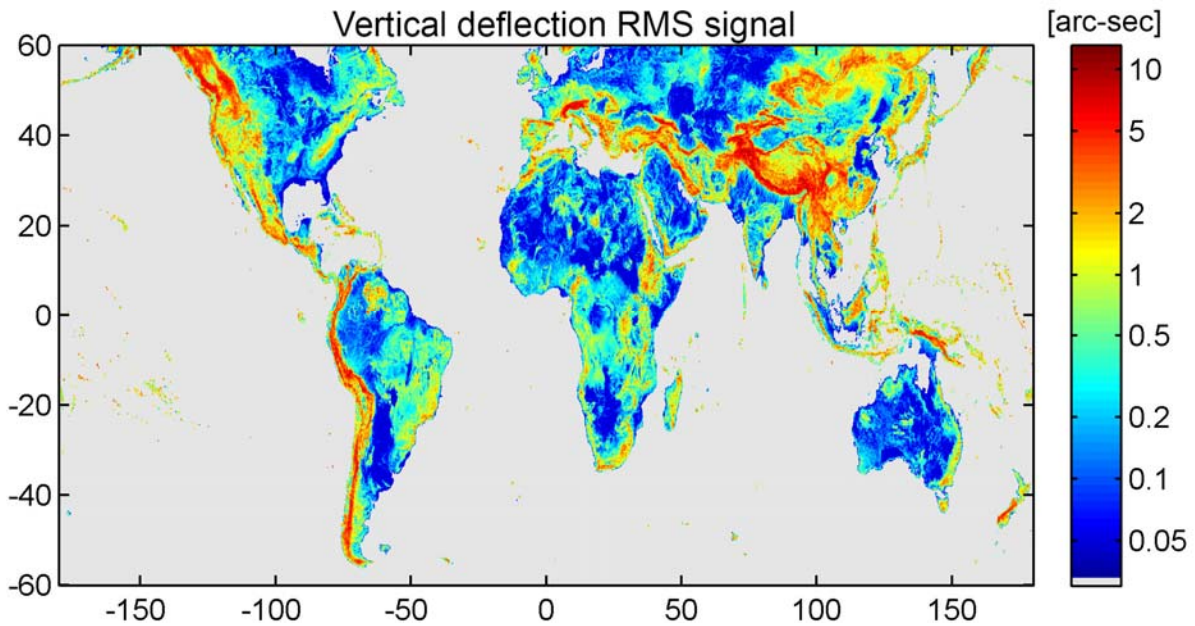
397 Fig. 3 shows the local variability of the RMS signal strengths as computed over  $0.1^\circ \times 0.1^\circ$   
 398 regions (each covering 10,000 ERTM2160 data points) for geoid effects and gravity  
 399 disturbances. The RMS quasi/geoid signals (Fig. 3 top) are mostly below or at the cm-level  
 400 over regions with flat topography (i.e., most of Australia), reach 3-4 cm over mountain ranges  
 401 such as parts of the Rocky Mountains and Andes, and a maximum RMS strength of ~10 cm  
 402 over the Himalaya region. The signal strengths of gravity disturbances (Fig. 3 bottom) and  
 403 vertical deflections (shown in Fig. 4) vary qualitatively in a similar way, with maximum  
 404 RMS signal strengths of ~70 mGal and ~10 arc-sec present over the Himalayas (Fig. 3  
 405 bottom and Fig. 4).

406



407  
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**Fig. 3** Spatially varying ERTM2160 RMS signal strengths. Top: RMS of ERTM2160 quasi/geoid effects in centimeters, Bottom: RMS of ERTM2160 gravity disturbances in mGal



413  
414 **Fig. 4** Spatially varying ERTM2160 RMS signal strengths. RMS of ERTM2160 North-South vertical  
415 deflection in arc-sec  
416

### 417 3.2 Signal strength as a function of terrain roughness

418  
419 As a refinement of the global ERTM2160 statistics, signal strengths for the four gravity  
420 functionals were computed as a function of the terrain roughness. A reasonable measure for  
421 the local terrain roughness (variability of heights) is the standard deviation (STD) of the RTM  
422 elevations calculated within sufficiently small regions. The entire ERTM2160 data area was  
423 subdivided into  $0.1^\circ \times 0.1^\circ$  tiles, and terrain roughness values were assigned to each tile. Fig.  
424 5 shows the spatially varying RMS signal strengths for geoid, gravity and the two vertical  
425 deflection components as a function of the terrain roughness (blue dots). There is marked  
426 correlation between terrain roughness and gravity signal strengths which varies between  
427 0.976 and 0.995 depending on the functional (Table 4).  
428

429 It is useful to form classes of different terrain roughness, e.g., variability of heights < 100 m,  
430 100 m to 200 m, and so on, and to calculate the gravity statistics within these classes. A  
431 generalisation of this idea leads to classes of small class widths (e.g., 20 m) and a subsequent  
432 least squares fit of the gravity signal strengths in order to establish the relationship between  
433 terrain roughness and gravity signal strengths. The RMS gravity signal strengths were thus  
434 calculated over all areas with the same terrain roughness in classes of 20 m width (from 0 to  
435 500 m terrain roughness, and larger class width of 100 m from 500 m to 800 m roughness  
436 because of the reduced number of data points). The classified RMS signal strengths (red  
437 curves in Fig. 5) reveal in fairly good approximation a linear relationship between RMS  
438 gravity signal strengths and terrain roughness values. We then fitted the RMS signal strengths  
439 (blue points) through least-squares regression lines (without intercept terms/bias fit).  
440

441 From a linear regression (green straight lines in Fig. 5), the RMS signal strength per 100 m  
442 terrain roughness are  $\sim 1.7$  cm (geoid heights),  $\sim 11$  mGal (gravity disturbances) and  $\sim 1.5$  arc-  
443 sec (vertical deflections in North-South and East-West direction), cf. Table 4. These numbers  
444 can be used as a “rule of thumb” to easily estimate the magnitude of signals omitted by  
445 degree-2160 (or 10 km resolution) potential models for various types of hilly or mountainous  
446 terrain anywhere on Earth. For instance, over a rugged terrain with  $\pm 200$  m STD, an

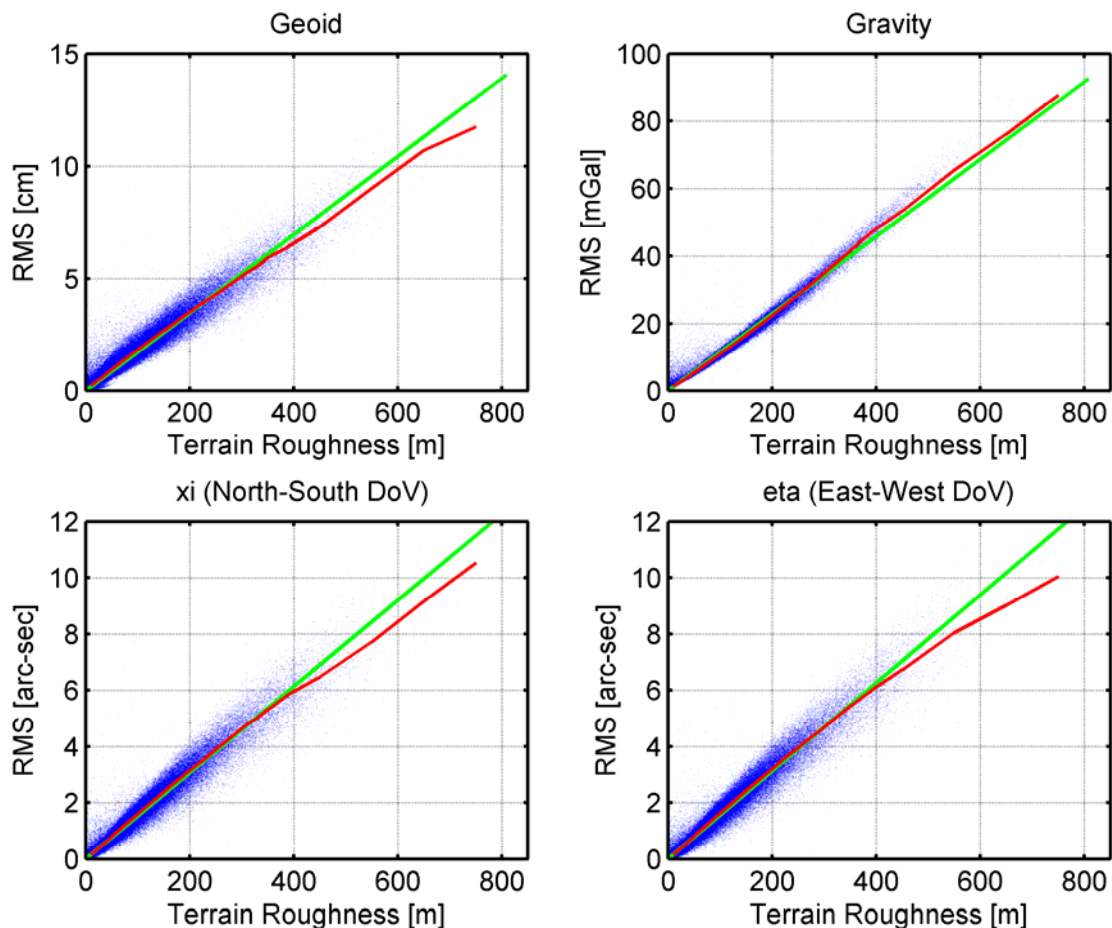
447 omission error of ~3.4 cm (geoid height), ~22 mGal (gravity disturbances) and ~ 3 arc-sec  
 448 (vertical deflections) is to be expected when using the full expansion of the EGM2008  
 449 geopotential model. As an aside, the RMS signal strengths per 100 m terrain roughness  
 450 (Table 4) are very similar to the (global) RMS signal strengths (Table 3). This is because  
 451 globally the mean terrain roughness is 92.6 m which is close to 100 m too.

452

453 **Table 4** Correlation coefficients (between terrain roughness and gravity signal strengths) and gravity  
 454 signal strengths per 100 m terrain roughness) for the ERTM2160 gravity field functionals

Functional	Correlation coefficient	RMS signal strength per 100 m terrain roughness
Quasi/geoid	0.976	1.74 cm
Gravity disturbance	0.995	11.5 mGal
North-South vertical deflection	0.981	1.53 arc-sec
East-West vertical deflection	0.982	1.57 arc-sec

455



456

457

458 **Fig. 5** Spatially varying ERTM2160 signal strengths (RMS) as a function of the terrain roughness  
 459 (standard deviation of heights) computed over 0.1 degree cells (blue). RMS signal strengths for terrain  
 460 roughness classes (20 m class width from 0 to 500 m, 100 m class width beyond) shown in red, and  
 461 regression curve (linear model) shown in green. Signal strengths shown for geoid height and gravity  
 462 disturbances (top row), and North-South and East-West deflection of the vertical (bottom row).

463

464 **3.3 Comparisons with degree-variance models**

465

466 For comparison purposes, we have compiled estimates for short-scale signal strength from  
 467 the literature, which are based on frequently used degree-variance models or modifications  
 468 thereof (Table 5). We include estimated RMS signal components from

- 469 (i) the Tscherning-Rapp (1974) model at spatial scales of ~10 to ~1 km, or harmonic  
 470 degrees of ~2,000 to 20,000 (numerical values from Torge, 1981; Roland, 2005),
- 471 (ii) the rule of thumb by Kaula (1966), with numerical values from equations provided by  
 472 Jekeli et al. (2009), Sanso and Sideris (2013)
- 473 (iii) Jekeli et al. (2009) who fitted a power law model through the EGM2008 power spectral  
 474 density between degrees 120 and 1,200, and
- 475 (iv) Sanso and Sideris (2013) who fitted a modified version of the Tscherning-Rapp model  
 476 through the EGM2008 signal between degrees 180 and 1,800,  
 477 which we compare against those from ERTM2160 (Table 3).

478 RMS signal strengths estimated from the classical Tscherning-Rapp model (that relies on  
 479 free-air gravity anomalies) are in good agreement with ERTM2160. For all functionals, the  
 480 ERTM2160 signal strengths are somewhat smaller than implied by Tscherning-Rapp (1.6 vs.  
 481 2.6 cm, 10.6 vs. 11.8 mGal, and 1.45 vs. 1.75 arc-sec). This could potentially be attributed to  
 482 the influence of un-modelled mass-density variations in ERTM2160, but it may also suggest  
 483 that the Tscherning-Rapp model slightly overestimates the short-scale signal strength. The  
 484 quasi/geoid signal strength estimate from ERTM2160 (1.6 cm) is in between recent estimates  
 485 by Jekeli et al. (2009) (4.1 cm) and Sanso and Sideris (2013) (0.5 cm), cautiously suggesting  
 486 that the former overestimates and the latter underestimates the quasi/geoid omission error of  
 487 degree-2160 geopotential models. Note that ERTM2160 essentially reflects the topography-  
 488 implied gravity field characteristics over land, while the power law models are (partially)  
 489 based on gravity data over the oceans too, where the gravity field is often smoother.  
 490 ERTM2160 signal strengths are found to be mostly smaller than those implied by the power  
 491 laws (Table 5). This either indicates underestimation of signal strengths by ERTM2160, or  
 492 overestimation through the power laws. Ongoing research attempts to clarify this observation.

493

494 **Table 5** Estimates for short-scale gravity field signals from a cursory literature survey

<b>Model</b>	<b>Functional</b>	<b>Spatial scales</b>	<b>Signal strength</b>	<b>Reference</b>
Tscherning-Rapp	quasi/geoid	~10 to 1 km	2.6 cm	Roland (2005, p7) based on Torge (1981)
	gravity vertical deflection		11.8 mGal 1.75 arc-sec	
Kaula	geoid	< ~10 km	2.9 cm	Jekeli et al. (2009, Eq. 19), Sanso and Sideris (2013, Eq. 3.179)
Power law model based on EGM2008	geoid	<~10 km	4.1 cm	Jekeli et al. (2009, Eq. 23)
Modified Tscherning- Rapp based on EGM2008	geoid	<~10 km	~0.5 cm	Sanso and Sideris (2013, Fig 3.9)

495

496

#### 4 Application examples

The following geodetic applications could benefit from the availability of ERTM2160.

- As a central application, ERTM2160 spectrally enhances degree-2160 geopotential models (e.g., EGM2008) by simple addition of synthesized gravity effects and ERTM2160 gravity. This combination provides spectrally more complete gravity knowledge than provided by degree-2160 models alone, as could be demonstrated by comparisons against ground-truth gravity field observations in several studies (e.g., Hirt, 2010; Hirt et al., 2010; Guimarães et al., 2012; Šprlák et al., 2012; Zhang and Xuebao, 2012; Filmer et al., 2013). This technique can serve a number of applications such as improved GNSS height transfer, in-situ-computation of height system corrections and screening of gravity data bases (Hirt, 2012). ERTM2160 could also be of some utility in the construction of future geopotential models of degree higher than 2160, in analogy to Pavlis et al. (2007; 2012) who used topography to forward model gravity effects at spatial scales of ~10 to ~24 km, and utilized these “fill-in gravity” as additional input for EGM2008.
- For Remove-Compute-Restore (RCR)-based regional gravimetric quasi/geoid computations (e.g., Forsberg and Tscherning, 1981; Tscherning, 2013; Denker, 2013), ERTM2160 gravity disturbances could prove useful as in-situ data source to smooth observed gravity anomalies. Analogously, in astrogeodetic geoid determination based on astronomical-topographic levelling (Hirt and Flury, 2008), ERTM2160 vertical deflections could be used to smooth observed vertical deflection before interpolation.
- Flury (2006) described and applied a range of methods for transforming regional gravity data sets from the space into the frequency domain, and studied the resulting power spectra. While Flury (2006) worked with topographically-reduced gravity anomalies, he pointed out the need to analyse the spectral constituents of topographic gravity signals as well. By applying Flury’s methods on ERTM2160 gravity effects, ‘localised’ or – through averaging – global short-scale power spectra could be obtained, which are useful for further verification or refinement of existing degree-variance models at very short spatial scales.

#### 5 Limitations

For the application of the ERTM2160 topography-implied gravity field model, e.g., as a proxy over regions with scarce gravity data coverage, or as an aid to smooth gravity field observations before interpolation, it is important to be aware of limitations originating from the modelling techniques and topography/bathymetry data used.

First and foremost, the ERTM2160 gravity forward-modelling is based on the assumption of constant mass-density for the residual topography. While the mass-density of major water-bodies (Table 1) has been taken into account as rock-equivalent topography (Sect 2.2), no attempt was made to model local mass-density anomalies as associated with, e.g., salt-domes, valley fillings in the mountains, oceanic sediments. This is mainly because a global digital density data base that would provide 3D information on local mass-density anomalies with sufficient spatial resolution (e.g. Tsoulis, 2013) was not available for this work. ERTM2160 implicitly relies on the assumption of isostatically uncompensated residual topography. Given Earth’s lithosphere thickness often reaches several tens of km (e.g., Watts, 2011), it is reasonable to assume the topographic masses supported at spatial scales less than 10 km.



547 A weakness of the RTM gravity forward-modelling technique, though widely used in  
548 practice, is the fact that the spectral characteristics of high-pass filtered elevation data and  
549 implied RTM gravity effects are different. In other words, the residual gravity field is not  
550 consistent with the residual topography because the relationship between gravity and  
551 topography is non-linear (e.g., Rummel et al., 1988). The spectral inconsistency caused by  
552 the non-linear relationship can produce additional errors as large as ~6% of the RTM gravity  
553 signal (cf. Hirt and Kuhn, 2014, Sect. 4 *ibid*) in case a degree-2160 spherical harmonic  
554 topography is used as filter. The investigation of pathways for a correction or reduction (e.g.,  
555 filtering in the gravity domain instead of the topography domain, e.g. Baran et al. (2006);  
556 Pavlis et al. (2007)) of this issue is a future task.

557  
558 While the 7.2 arc-sec spatial resolution of the ERTM2160 short-scale gravity field  
559 investigated in our study is much higher than that of any previous global forward-modelling  
560 efforts (mostly 1 arc-min in the past), there is still a representation error involved. This is  
561 because the very fine structure of the terrain at spatial scales of few metres to ~220 m is not  
562 represented by the 7.2 arc-sec topography data used. In rapidly undulating and steep  
563 mountainous terrain (e.g., 45° inclination) as an extreme case, the topography representation  
564 error associated with 7.2 arc-sec resolution is estimated to reach values as large as ~100 m,  
565 which translates into a gravity representation error of ~10 mGal. Use of higher-resolution  
566 topography data in future forward modelling efforts will reduce this effect.

567  
568 Finally, it is important to note that topography and bathymetry models only ever approximate  
569 the geometry of the actual terrain and sea bed only to some extent. While any large-scale (i.e,  
570 half-wavelengths of 10 km or more) errors in the elevation data are filtered out in the RTM-  
571 approach, short-scale errors will have entered unfiltered in the ERTM2160 gravity field.  
572 Although an attempt was made to remove obvious small-scale bad-data areas from the input  
573 topography and bathymetry (Sect 2.5), there may be smaller artefacts present in ERTM2160.  
574 Particularly along the coastlines of the several hundreds of Pacific islands, the high-pass  
575 filtered bathymetry often exhibits peak-like or circular depressions, with an associated ~10-  
576 20 mGal gravity effect, in some cases possibly exceeding ~100 mGal. In the absence of  
577 independent control (reliable bathymetry or gravimetric observations) over these regions, it is  
578 difficult to decide whether these depressions are real or artificial. ERTM2160 may therefore  
579 have limitations in coastal zones surrounding islands.

580

## 581 **6 Concluding remarks**

582

583 The successful development of the ERTM2160 short-scale gravity model demonstrates that  
584 ultra-high resolution gravity forward-modelling has become possible at a global scale based  
585 on massive parallel computation. As such, ERTM2160 is the first of a new kind of  
586 topography-based gravity field representations, which combine localized ultra-high resolution  
587 information and near-global coverage. ERTM2160 gravity functionals can be used to  
588 augment any degree-2160 harmonic model at spatial scales of ~10 km to ~250 m. This  
589 enhances the spatial resolution of EGM2008 or other degree-2160 models by a factor of 40.

590

591 The ERTM2160 model was used to study the characteristics of Earth's short-scale gravity  
592 field based on near-global coverage over land areas and ultra-high resolution. Spatially  
593 varying statistics were applied to calculate global maps of RTM gravity signal strengths and  
594 their dependency on the terrain roughness. The relationship between the RTM gravity signal  
595 strengths and terrain roughness values was found to be linear with a correlation of 0.995 for  
596 gravity, and slightly lesser correlation for geoid heights and vertical deflections. This was

597 used to establish a new rule of thumb that per 100 m variation in terrain height (standard  
598 deviation) gravity field signals of 1.7 cm (geoid), 11 mGal (gravity) and 1.5 arc-sec may be  
599 expected at spatial scales of ~10 km to ~250 m. This new rule of thumb may be of value to  
600 easily estimate the magnitude of the omission error in gravity signals by degree-2160  
601 geopotential models, notably EGM2008 over various types of terrain.

602

603 While a forward-modelling grid-resolution of 7.2 arc-secs – commensurate with the 250 m  
604 elevation data – was chosen for this work, a further increase in forward-modelling resolution  
605 is likely based on the ever-increasing performance of supercomputing resources. The global  
606 calculation of gravity effects at the ~3 arc-sec SRTM basis resolution is foreseeable, as is a  
607 further increase to 1 arc-sec (ASTER basis resolution, Tachikawa et al., 2011). The  
608 availability of largely clean elevation data – free of artefacts – is crucial in this context.

609

### 610 **Postscript**

611 Bad data areas, which were detected in the SRTM30\_PLUS bathymetry via analysis of  
612 ERTM2160 gravity effects and reported to the data producers (Scripps Institution of  
613 Oceanography, Prof. Sandwell), have now been rectified in the latest SRTM30\_PLUS  
614 releases (v9 and v10).

615

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617

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620 for their support and computational resources provided to us. The ERTM2160 model (70 GB)  
621 including extraction software will be made publicly available via  
622 <http://ddfe.curtin.edu.au/gravitymodels/ERTM2160> and further information will become  
623 available via the project website <http://geodesy.curtin.edu.au/research/models/ERTM2160>.  
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625

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