# Simulation on Sliding between Nested Walls in a Multi-walled Carbon Nanotube

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## Abstract:

The c<sup>C</sup>larification of the sliding behavior between nested walls in a multi-walled carbon nanotube (MWCNT) is crucial for its applications in nano-electro-mechanical systems (NEMS). In this paper, molecular mechanics simulations on the pull-out processes of some outer walls against other inner walls in a-MWCNTs are earried outstudied by molecular mechanics simulations to investigate this sliding behavior between nested walls. A simple universal theory is firstly developed for the first time to predict a-the pull-out force needed for an arbitrary possible sliding in any-a given\_MWCNT, directly from the diameter of the critical wall (i.e., the immediate outer wall at the sliding surface). It This pull-out force is found that this pull-out force is to be proportional to the diameter of the critical wall, but and independent on of the nanotube length and chirality. This work also demonstrates that the conventional definition of the interfacial shear strength is inappropriate for the sliding behavior between nested walls in a-MWCNTs.

# 1. Introduction

To date, multi-walled carbon nanotubes (MWCNTs) have attracted widespread attention in various fields of nanoengineering [1–4]. Their remarkable mechanical and electrical properties offer significant potential in a variety of revolutionary applications, such as nano-electro-mechanical systems (NEMS). For instance, i<u>I</u>t is well-known that MWCNTs are of a unique atomic structure which consists of multiple coaxial cylindrical walls with an approximate wall spacing of 0.34 nm [5, 6]. In an ideal state, there is no chemical bond among walls except the weak van der Waals (vdW) interaction,-, therefore<u>Therefore</u>, some walls are expected<u>tend</u> to slide easily against the others under the <u>an</u> axial load, <u>which and this</u> makes MWCNTs become an ideal candidate <u>working asof</u> a key component in ultrahigh frequency longitudinal oscillators.

Because of the difficulty <u>in-of</u> nanomanipulation, there <u>are-have been</u> only a few direct experimental observations on this sliding behavior between nested walls in a MWCNT. For example, Cumings *et al.* [1] and Akita *et al.* [2] realized the sliding by pulling out the inner walls of some MWCNTs after removing one end of <u>the</u> outer walls. The pull-out force; due to <u>the</u> vdW interaction between nested walls, was observed to drop suddenly from an initial maximum to a stable platform, which was further

followed by a remarkable decrease [2]. On the other hand, <u>by Yu et al. [7]</u> applied<u>ying</u> the axial tensile load on the pristine MWCNTs, <u>Yu et al. [7]</u> and observed the sliding of the broken outermost wall against the other inner walls after—following the "sword-in-sheath" fracture. This sliding behavior after "sword in sheath" fracture has also been observed in the tensile tests of MWCNT-based composites [8–10]. The observed pull-out force [7] resembles, to some extent, those in Akita *et al.* [2], although it decreases sharper-more sharply at-in the platform stage. It should be noted Note that this sliding after "sword-in-sheath" fracture may differ from the comparatively ideal ones dominated by the vdW interaction in pre-processed MWCNTs [1, 2], because frictional sliding is caused either-by either mechanical interlocking or by-pre-formed statistical defects under initial critical tensile loads.

Currently, <u>the</u> theoretical studies are mainly <u>confined\_limited\_</u>to double-walled carbon nanotubes (DWCNTs). Zheng *et al.* [11] calculated the vdW restoring force in a DWCNT oscillator by integrating the vdW interaction energy between carbon atom pairs located on <u>the</u> two walls, respectively. <u>In t</u>This model, <u>which neglects</u> the capped effect is <u>neglected</u> based on the experiments of Cumings *et al.* [1], where the outer wall is fixed, and the inner one slides freely within the range of <u>the</u> vdW cut-off distance [11]. <u>In factHowever</u>, this model is different from the modeling of a complete pull-out process discussed here. Moreover, <u>(T</u>he molecular dynamics (MD) simulations on the pull-out process in a DWCNT was performed by Xia *et al.* [12]. In their study, the frictional sliding was modeled using an adjustable artificial pressure on the outer wall, which can result in much higher pull-out force. In spite of these intriguing results, the sliding behavior has not been investigated systematically for DWCNTs. Moreover, to the best of our knowledge, there is no work on the sliding behavior between nested walls in a MWCNT with <u>at least 3more than two</u> walls and many aspects about it are still unclear. In particular, there is no general theory <u>to</u>-address<u>ing</u> the pull-out force related to <u>an-arbitrary sliding in <u>any-a</u> MWCNT.</u>

In this work, molecular mechanics (MM) simulations on the pull-out process of outer walls for of various MWCNTs are carried out, aiming at understanding the inherit mechanism of the sliding behavior between nested walls in a MWCNT. Through analyzing the variation of systematic potential energy increment during the pull-out process of outer walls in various MWCNTs, the effects of the geometric parameters, i.e.including the nanotube length, diameter, chirality, and wall numbers, are explored extensively investigated. Surprisingly, the pull-out force is found to be solely dependent on the diameter of the critical wall (i.e., the immediate outer wall at the sliding interface), based on which a set of simple and universal formulae is are further proposed

derived to predict the pull-out force for an arbitrary sliding in any a MWCNT.

## 2. Simulation method

In order to investigate the sliding behavior between nested walls in a MWCNT, molecular mechanics simulations are carried out on the pull-out processes of both DWCNTs (i.e., MWCNT<u>s</u> with n=2) and MWCNTs (n>2) using the Materials Studio (Accelrys), where n is the wall number of MWCNT<u>s</u>. The condensed phase optimization molecular potentials for atomistic simulation studies (COMPASS) force-fields [13] are employed to calculate the total potential energy (E), where the Lennard-Jones function [14] with a cut-off distance of 0.95 nm is used to describe the vdW interaction. The electrostatic Coulombic interaction is neglected in this study for simplicity.

The pull-out process is mainly divided into the following two steps: (1) Firstly, the fixed boundary conditions are first imposed on the applied to one end of some each inner walls of a MWCNT, and then (2) the opposite ends of the other-outer walls is are pulled out gradually along the axial (x-axis) direction of the MWCNT by a constant displacement increment  $\Delta x$  of 0.2 nm. After each pull-out step, The-the structure should be relaxed to obtain the minimum potential energy *E*-after each pull out step. The-This schematic-pull-out process of a DWCNT is schematicly shown in Fig. 1a.

#### 3. Results and discussion

#### 3.1 Pull-out simulations of DWCNTs

Based on tThe pull-out simulations of three DWCNTs(5,5)/(15,5), which have the same of equal diameter of the outermost wall  $D_o=1.41$  nm but different lengths is <u>simulated.</u>, the <u>The</u> calculated energy increments ( $\Delta E$ ) between two <del>adjacent</del> consecutive pull-out steps are shown in Fig. 2, where one can clearly see from which three distinct stages can be clearly seen forin each case. At-In the initial ascent stage (labeled as I in Fig. 2), the  $\Delta E$  increases sharply until the pull-out displacement x increases up to about 1.0 nm. However, after that, continuing pull-out does not contribute <u>cause</u> noticeably to the<u>noticeable</u> increase of  $\Delta E$ , which undergoes a platform (labeled as *II* in Fig. 2) with a slight fluctuation of  $\pm 0.2$  kcal mol<sup>-1</sup>, regardless of the overlapping length. The displacement between the two adjacent peaks at in this stage H is estimated to be approximate 1.0 nm, attributing to the repetitive breaking and reforming of the vdW interactions during this stable pull-out stage. With further pull-out  $(l-x = 1 \sim 0 \text{ nm})$ , the  $\Delta E$  decreases rapidly until the complete pull-out, as seen in the last stage-*III*. If The-this three-stage pattern of  $\Delta E_{\tau}$  is related to the pull-out force, it is found that the result to agrees well with the variation of pull-out force observed in some previous experiments [2, 7]. Interestingly, both the stages I and III have the same range

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corresponding to the pull-out displacement of 1.0 nm, which is very close to the cut-off distance of the vdW interaction, i.e., 0.95 nm. In addition,  $\Delta E$  for all the curves areis almost identical at-in\_stage *I* and have-has the same average value at stage *II\_for all the curves. These-indicateThis\_suggests* that the pull-out force corresponding to energy increment between two adjacent pull-out steps is independent on-of the nanotube length length, which. This finding is crucial for quantitatively determining quantitatively-the pull-out forces of DWCNTs.

Stage\_At ascent stage I (the ascent stage): the total work  $(W_l)$  done by the pull-out force  $(F_l)$ , which is equal to the total systematic potential energy change  $(\Sigma \Delta E_l)$  of a DWCNT from the initial state to the state with when the pull-out displacement of is 1.0 nm, can be expressed by i.e.  $W_l = \Sigma \Delta E_l = \int_0^a F_l(x) dx$ , where *a* is 1.0 nm and the pull-out force varies from 0 to  $F_{lmax}$ . At the end of stage *I*, two new surfaces are generated at the two sides of the DWCNT due to the release of stored energy  $\Sigma \Delta E_l$ , and the corresponding surface energy  $(U_l)$  can be evaluated by  $U_l = 2\pi D_o a\gamma_l$ , where  $\gamma_l$  is the surface energy density. Since the obtained  $\Sigma \Delta E_l$  obtained from the MM simulations equals  $U_l$ ,  $\gamma_l$  can then be identified derived as

$$\gamma_I = \frac{\sum \Delta E_I}{2\pi D_o a} \tag{1}$$

If we further take the capped effect is further taken into account, the expression of total

surface energy  $(U_{Icap})$  for the new surfaces generated at the<u>during</u> stage *I* can be modified as:  $U_{Icap} = U_I + \frac{\pi}{2} D_o^2 \gamma_I = \sum \Delta E_I + \frac{\pi}{2} D_o^2 \gamma_I$ , where  $\sum \Delta E_I$  is the simulation result of from the uncapped model.

In order toFor the purpose of evaluate evaluating the maximum pull-out force  $(F_{Imax})$  at the end of stage I(x = 1.0 nm), we assume that the shear stress  $\tau_I$  is assumed to exists merely solely within 1.0 nm from each of the two regions at the two ends of the DWCNT (Fig. 1b), and each region has the range of 1.0 nm, which is closeing to the cut-off distance of the vdW force. This assumption is physically reasonable valid because (i) the axial component of vdW force ( $F_{vdW}$ ), which is in equilibrium with the pull-out force  $F_I$ , equals the total shear force induced by the shear stress  $\tau_I$  on the sliding surface; (ii) both  $F_{vdW}$  and its corresponding total shear force are also independent on of the length of the nanotube length-due to the length-independent nature of  $F_{Imax}$  (Fig. 2); and (iii) the shear stress  $\tau_I$  in the overlapping region nearly vanishes as a direct consequence of the counteraction of multiple vdW interactions (Fig. 1b). In view of these characteristics, we thereby apply two formulae are employed to describe the evolution of shear stress ( $\tau_I$ ) atduring the stage I (Fig. 1c):  $\tau_I = \tau_{max}$  for uniform function and  $\tau_I = \tau_{max} \sin \frac{\pi}{2a} x$  for sinusoidal function. In light of these formulae as well as the obtained  $\Sigma \Delta E_I$ , the maximum shear stress  $\tau_{max}$  can be estimated by

integrating  $\tau_I$  over x via\_ $\sum \Delta E_I = \int_0^a F_I(x) dx = \int_0^a 2\pi D_o a \tau_I dx$ . We therefore have  $\tau_{\max} = \frac{\sum \Delta E_I}{2\pi D_o a^2}$  and  $F_{I\max} = \frac{\sum \Delta E_I}{a}$  for the uniform function;  $\tau_{\max} = \frac{\sum \Delta E_I}{4D_o a^2}$  and  $F_{I\max} = \frac{\pi \sum \Delta E_I}{2a}$  for the sinusoidal function. Further consideration of the capped effect in the above formulae for  $F_{I\max}$ -requires to replacesubstituting  $\sum \Delta E_I$  with  $U_{Icap}$  described-derived earlier previously, from which and the maximum pull-out force ( $F_{Icap}$ ) can be approximately revised as is given by

$$\begin{cases} F_{I_{cap}} = \Sigma \Delta E_{I} \left( \frac{1}{a} + \frac{D_{o}}{4a} \right) & \text{Uniform Function} \\ F_{I_{cap}} = \Sigma \Delta E_{I} \left( \frac{\pi}{2a} + \frac{D_{o}}{4a} \right) & \text{Sinusoidal Function} \end{cases}$$
(2)

It should be noted that a<u>A</u> capped DWCNT(5,5)/(10,10) with the diameter of the outermost wall  $D_o$  of 1.36 nm is also modeled simulated to verify the current revision in Eq. (2) for the maximum pull-out force atin the stage *I*. Based on the energy change  $\Sigma \Delta E_I^{cap}$  of this capped DWCNT calculated from Fig. 3, the maximum pull-out forces are approximately estimated to be 1.29 nN from  $F_{I max} = \frac{\Sigma \Delta E_I^{cap}}{a}$  for the uniform function and 2.02 nN from  $F_{I max} = \frac{\pi \Sigma \Delta E_I^{cap}}{2a}$  for the sinusoidal function from  $F_{I max} = \frac{\Sigma \Delta E_I^{cap}}{a}$  for uniform function and  $-F_{I max} = \frac{\pi \Sigma \Delta E_I^{cap}}{2a}$  for sinusoidal function, respectively. They are only 6.6% and 17.4% higher than the revised values  $F_{Icap}$  calculated from Eq. (2), which implies proves that the current revision method yields ais

reliable <u>for prediction-predicting</u> of the maximum pull-out force  $F_{Imax}$ . With the increase of <u>the</u> diameter, this discrepancy is expected to decrease due to more atoms are uniformly located on the two caps.

At platform sStage II (the platform stage): unlike what is seen at ascentin stage I, the work done in stage II  $\Delta W_{II}$  done here, which is equal to the increment of potential energy between two adjacent steps ( $\Delta E_{II}$ ), can be evaluated by a simple equation  $\Delta E_{II} = \Delta W_{II} = F_{II} \Delta x$ . In this sense, tThis stage consists of repetitive breaking and reforming of the vdW interaction between nested walls, and thus the pull-out force ean be expressed as is given by

$$\Delta E_{II} = \Delta W_{II} = F_{II} \Delta x \tag{3}$$

where  $\Delta x$  is 0.2 nm in <u>the</u> present simulations. As the result of the constant pull-out force, the interfacial shear stress  $\tau_{II}$  <u>maintains-remains</u> stable. Since the capped effect disappears <u>at-in</u> this stage, the surface energy density ( $\gamma_{II}$ ) can be directly obtained through the equation of  $\Delta E_{II} = \Delta U_{II} = 2\pi D_o \Delta x \gamma_{II}$ .

As an example, for the capped DWCNT(5,5)/(15,5), <u>the surface energy density in</u> <u>stage  $I \gamma_l$  is predicted to be 0.1 Nm<sup>-1</sup> using Eq. (1), and the maximum pull-out force of</u>  $F_{lcap}$  is <u>obtained estimated</u> from Eq. (2) <u>as to be 1.18 nN and ~1.68 nN for the</u>from uniform function to and the sinusoidal function, respectively with the predicted surface Formatted: Font: Italic

energy density in the stage *I* of  $\gamma_{I}=0.1 \text{ Nm}^{-1}$  using Eq. (1). The average pullout force  $F_{II}$  in the platform stage *II* is 1.14 nN. The "so-called" shear strength  $\tau_{max}$  in the stage *I* within the two regions is 98.7 MPa for the uniform function and 154.8 MPa for the sinusoidal function, respectively. It should be noted that the current length-independent maximum pull-out force cannot be used to address the conventional interfacial shear strength since the shear strength becomes zero for an infinite long DWCNT with a large overlapping surface.

Based on the length-independent behavior stated previously, various DWCNTs with the same length of 4.92 nm are <u>employed-simulated</u> to investigate the influence of nanotube diameter, chirality on the pull-out force-in the following simulations.

The variations of energy increments  $\Delta E$  for DWCNTs with different diameters are presented <u>shown</u> in Fig. 4a. Similar to that <u>as shown</u> in Fig. 2, there are also three stages and a maximum of energy increment at the pull-out displacement of 1.0 nm for each DWCNT. The main difference is that the fluctuation <u>at-in</u> the platform <u>stage</u> (stage II) is significant for the DWCNTs with a large diameter, which can be ascribed to the large number of atoms. A key feature in this figure is that both the total energy change  $\Sigma \Delta E_I$ at-<u>in</u> the stage I and the average energy increment  $\Delta E_{II}$  at the<u>in</u> stage II increase linearly (Fig. 4b) with the <u>rise-of-the-</u>diameter  $D_o$ -of the outermost wall <u> $D_o$ </u>. The linear relationships can thus be fitted as:

$$\begin{cases} \Sigma \Delta E_{I} = 123.12 \times D_{o} - 36.48 \\ \Delta E_{II} = 33.16 \times D_{o} - 12.93 \end{cases}$$
(4)

where  $\Sigma \Delta E_I$  and  $\Delta E_{II}$  are of a unit of <u>in</u> kcal mol<sup>-1</sup>, and  $D_o$  is of a unit of <u>in</u> nm. Furthermore, we find<u>it is found</u> that the calculated  $\gamma_I$  also increases with the diameter of the outermost wall  $D_o$  and ultimately saturates at 0.14 N m<sup>-1</sup>, a value very close to the data for graphite (0.12 N m<sup>-1</sup>) [15]. It should be noted that the predicted  $\gamma_{II}$  is only slightly higher than  $\gamma_I$ .

Figure 5 shows <u>the</u> energy increments\_ $\Delta E$ \_for three DWCNTs with different chiralities but similar nanotube diameters. Note that there is only a very small difference in the diameters of three DWCNTs in Fig. 5. As seen in this figure, the difference of energy increment among the different DWCNTs is minor, suggestive of negligible effect of nanotube chirality on the energy increment and consequently on the pull-out force.

In summary, we have <u>it is shown from our comprehensively investigated</u> <u>investigations of</u> the pull-out processes of various DWCNTs and found that the pull-out force is only dependent on the diameter of the outermost wall, i.e., the critical wall at sliding interface. Moreover, this finding is confirmed to be applicable to MWCNTs (n>2).

### 3.2 Pull-out simulations of MWCNTs (n>2)

For the simplicity of description, the MWCNT walls are counted as<u>numbered</u> 1 through to *n* from the outermost wall to the innermost one. In addition, and the walls ranging from 1 to *i* are assumed to be pulled out along the axial direction of the nanotube. The diameters of the outermost wall (the 1<sup>st</sup> wall) and the critical wall (the *i*<sup>th</sup> wall, <u>as shown in green-one</u> in Figs 6 and 7) are <u>referred-denoted</u> as  $D_o$  and  $D_c$ , respectively. It should be noted that for DWCNTs, the outermost wall represents the critical wall.

In order to further investigate the sliding behavior between nested walls in a MWCNT with n>2, we have to clarify how many adjacent walls near the interface will influence affect the sliding behavior. The pull-out simulations are performed on of four MWCNTs (as shown in Figure- 6) with n = 2, 3, 5, and 7, and the same critical wall  $D_c$  of = 2.8 nm, is simulated. As shown in Figure- 6e, the obtained average energy increment  $\Delta E_{II}$  at thein stage *II* for the MWCNT withof n=3 is 1.2 times higher than that for the DWCNT withof  $n_{-2}$ . Further rise-increase of n from 3 to 5 increases the average energy increment  $\Delta E_{II}$  by only 9%. However, when the n is increased to 7 from 5, there is little change in the energy change  $\Sigma \Delta E_{I}$  in the stage I and the average energy increment  $\Delta E_{II}$  in the stage II almost maintains constant. We tTherefore it can be

conclude<u>d</u> that the sliding behavior in a MWCNT of a specified wall in a MWCNT is affected at most by its two adjacent walls. In this sense, the pull-out process of any MWCNT can be categorized into the following three cases:

Case 1: i = 1, i.e., only the outermost wall (i.e., the 1<sup>st</sup> wall) is pulled out. This case can be <u>simulated-modeled</u> as a <u>pull-out process of the outermost wall in a</u>-MWCNT <u>consisting of with n = 3, i.e., the 1<sup>st</sup>, the 2<sup>nd</sup> and the 3<sup>rd</sup> walls (n = 3) with the outermost wall being pulled out (Fig-ure 7a).</u>

Case 2: i = 2, i.e., the outer two walls are pulled out, <u>which This</u> can be modeled as the <u>a</u> MWCNTs (n = 4) composed consisting of the 1<sup>th</sup>, the 2<sup>nd</sup>, the 3<sup>rd</sup> and the 4<sup>th</sup> walls (n = 4) with the outer two walls <u>being</u> pulled out. We have not yet shown a schematic plot here because t<u>T</u> his case is quite uncommon and thus not schematically shown here.

Case 3:  $i \ge 3$ , i.e., the outer *i* walls are pulled out, <u>which-This</u> can be modeled by the <u>a</u> MWCNTs (n = 5) consisting of the *i*<sup>th</sup>, the  $(i \pm 1)$ <sup>th</sup> and the  $(i \pm 2)$ <sup>th</sup> walls (n = 5) with the outer three walls <u>being</u> pulled out (Fig<u>ure</u>. 7b).

The aforementioned Cases 1 and Case 3 for the MWCNTs with n = 3 and n = 5 are modeled to investigate the effect of the nanotube diameter on the sliding behavior in a MWCNT with n > 2. The obtained energy change  $\Sigma \Delta E_I$  in stage *I* and the average energy increment  $\Delta E_{II}$  in stage II are also found to be proportional to the diameter of the critical wall, which are fitted as:

$$\begin{cases} \Sigma \Delta E_{I} = 144.4 \times D_{o} - 81.516 \\ \Delta E_{II} = 36.152 \times D_{o} - 15.749 \end{cases}$$
 (Case 1, n = 3), (5)

$$\begin{cases} \Sigma \Delta E_I = 157.33 \times D_c - 55.434 \\ \Delta E_{II} = 37.559 \times D_c - 10.5 \end{cases}$$
 (Case 3, n = 5). (6)

Based on the above-Eqs. (1–6), the pull-out force can be estimated directly from the diameter of the critical wall. This set of formulae is proposed for the first time and can deal with an arbitrary ideal sliding in any MWCNT.

Table 1 <u>gives shows</u> a comparison between the <u>predictions predicted</u> and experimental results for several MWCNTs. Note that <u>the</u> experimental <u>values</u>  $F_{lexp}$  and  $F_{Ilexp}$  values in this table correspond to the peak value at the<u>in</u> stage I and the average value at the<u>in</u> stage II, respectively. From this table, It can be seen that the predicted values for the ideal sliding of MWCNTs are found to agree well<u>in</u> good agreement with the reported experimental results [1, 2]. In particular,  $F_{lexp}$  value for either of the two experimental reports falls just within the two values of  $F_{lcap}$  values predicted from the two assumed evolutions of shear stress, where the uniform function-and sinusoidal function<u>s</u> provide <u>yield</u> the lower value and upper <u>onebounds</u>, respectively. This therefore implies that the actual shear stress at the<u>in</u> stage I may vary with x in the region surrounded by the uniform and sinusoidal functions.

However, for the MWCNTs investigated by Yu et al. [7], our predicted  $F_{Icap}$  is obviously higher than  $F_{Iexp}$ , while the predicted  $F_{II}$  is much lower than  $F_{IIexp}$ . These discrepancies can be explained by considering that the experimental sliding behavior of the broken outermost wall after the "sword-in-sheath" fracture mode is remarkably different from the case of our ideal sliding. This sliding mode occurs after the breakage of outermost wall under critical tensile loads, which may deform or even deteriorate the walls, thereby resulting in the unexpected frictional force and stick-slip pull-out behavior. The simulation of this sliding in MWCNTs after the "sword-in-sheath" fracture will be undertaken addressed in the future studies. In addition, Table 1 also lists the calculated surface energy density  $\gamma$  for the MWCNTs [7], which is around 4~5 times higher than that of graphite (0.12 N m<sup>-1</sup>) [15]. Furthermore, it should be noted that our predicted surface energy density  $\gamma_I$  for the MWCNTs converge to 0.16 N m<sup>-1</sup> for Case 1 and 0.17\_N  $m^{-1}$  for Case 3, respectively, which are nearly 16% and 26% higher than the converged value of DWCNTs (0.14 N m<sup>-1</sup>). This suggests that it is inappropriate to apply the DWCNT model to predict pull-out force of a real MWCNT (n>2), thereby highlighting the importance to of reconsidering the multiple walls in the MWCNT modeling.

# 4. Conclusions

To conclude, we have systematically investigated the pull-out processes of various MWCNTs with different lengths, diameters, chiralities using MM simulations, aiming at clarifying the sliding mechanism between nested walls in a MWCNT. A set of universal formulae is firstly-proposed for the first time to predict the pull-out force from the diameter of the critical wall corresponding to the sliding at an arbitrary interface of any a given MWCNT. The philosophy behind this simple set of empirical formulae is that the pull-out force is only proportional to the diameter of the critical wall of the MWCNT, but and independent on of the nanotube length and chirality. These findings will be primarilyare important for the application of MWCNTs as NEMS components in such as ultrahigh frequency longitudinal oscillators, nano-scale bearings, springs, and, etc., and offer useful information for manipulation of the MWCNTs.

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## Captions of Figures and Tables

Fig. 1. Pull-out of a DWCNT: a) pull-out model, the yellow balls represent for atoms of the inner wall while the purple ones those of outer wall; b) force and stress components on sliding interface; c) shear stress evolution <u>at-in</u> stage *I*.

Fig. 2. Effect of nanotube length on energy increment during pull-out of DWCNT(5,5)/(15,5).

Fig. 3. Capped effect on energy increment variation for DWCNT(5,5)/(10,10).

Fig. 4. Effect of nanotube diameter: a) the variation of energy increment  $\Delta E$  during pull-out of DWCNT; b) the variation of both energy change  $\Sigma \Delta E_I$  in stage *I* and average energy increment  $\Delta E_{II}$  with the diameter of the outermost wall  $D_o$  for DWCNT.

Critical Diameter	Measured Force		Model of	Predicted Force		
	F <sub>lexp</sub>	F <sub>IIexp</sub>	MWCNT	<i>F<sub>Icap</sub></i>	$F_{II}$	
$^{[2]}D_c = 3 \text{ nm}$	5.2 nN	4.2 nN	Case 3	5~6.7 nN	3.5 nN	
$^{[1]}D_c = 4 \text{ nm}$	9 nN	*	Case 3	8~10 nN	5 nŅ	
$^{[7]}D_o = 30 \text{ nm}$	140 nN	100 nN ( <u>y y</u> = 0.45N m <sup>-1</sup> )	Case 1	$256 \sim 269 \text{ nN}$ $(\gamma = 0.16 \text{N m}^{-1})$	37 nN	Formatted: Font: Times New Roman
$^{[7]}D_o = 36 \text{ nm}$	220 nN	180 nN ( $\gamma_{\star} = 0.67$ N m <sup>-1</sup> )	Case 1	$356 \sim 377 \text{nN}$ ( $\gamma_1 = 0.16 \text{N m}^{-1}$ )	45 nN	Formatted: Font: Times New Roman

Fig. 5. Effect of nanotube chirality on energy increment during pull-out of DWCNT.

Fig. 6. Pull-out of a specified wall for various MWCNTs: a) model of MWCNT (n=3),

schematics of MWCNTs with b) n=3, c) n=5, and d) n=7; e) effect of wall number on

energy increment variation.

Fig. 7. Arbitrary pull-out of any MWCNT: models for a) Case 1 and b) Case 3.

Table 1. Prediction of the pull-out force for the reported MWCNTs.

**Table 1.** Prediction of the pull-out force for the reported MWCNTs.



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**Figure 6.** Pull-out of a specified wall for various MWCNTs: a) model of MWCNT (n=3), schematics of MWCNTs with b) n=3, c) n=5, and d) n=7; e) Effect of wall number on energy increment variation.



Figure 7. Arbitrary pull-out of any MWCNT: models for a) Case 1 and b) Case 3.