

1 **Evaluating the effectiveness of teeth and dorsal fin spines for non-lethal age**
2 **estimation of a tropical reef fish, coral trout *Plectropomus leopardus* (Lacepède,**
3 **1802)**

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21 **Abstract**

22 This study investigated whether teeth and dorsal fin spines could be used as non-lethal
23 methods of age estimation for a vulnerable and highly-valued tropical fisheries species,
24 coral trout *Plectropomus leopardus*. Age estimation of individuals from two to nine years
25 old revealed that dorsal spines represent an accurate ageing method (90% agreement with
26 otoliths) that was more precise (APE = 4.1%, CV = 5.8%) than otoliths (APE = 6.2%, CV
27 = 8.7%). Of the three methods for age estimation (otoliths, dorsal spines, teeth), spines
28 were the most time and cost efficient approach. An aquarium-based study also found that
29 removing a dorsal spine or tooth did not affect survivorship or growth of *P. leopardus*.
30 No annuli were visible in teeth despite taking transverse and longitudinal sections
31 throughout the tooth and trialling several different laboratory methods. Although teeth
32 may not be suitable for estimating age of *P. leopardus*, dorsal spines appear to be an
33 acceptably accurate, precise and efficient method for non-lethal ageing of individuals
34 from two to nine years old in this tropical species.

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36 **Keywords**

37 ageing; age determination; fisheries management; non-destructive; Serranidae; vulnerable
38 species.

39

40 **Introduction**

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43 Age estimation is important for calculating population parameters that are required for
44 managing fisheries and conserving vulnerable species. Estimating the age of a fish
45 typically involves counting increments (rings) on otoliths (earbones) that have usually
46 been produced by fluctuations (e.g. daily, seasonal) in environmental conditions (Green
47 *et al.*, 2009). Obtaining otoliths requires collection and sacrifice of many individuals,
48 which is undesirable for species of conservation, economic or recreational importance.
49 Therefore, use of non-lethal techniques would be highly beneficial for estimating the age
50 of vulnerable species or valuable fisheries targets.

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53 Scales, fin rays and fin spines have been proposed as useful structures for non-lethal age
54 estimation of fish. Although scales have been used to age several fishes, scale loss can
55 significantly limit the success of this approach (Moltschaniwskyj and Cappel, 2009). Fin
56 rays and spines have been successfully used to age many freshwater and marine species
57 (reviewed by DeBicella, 2005; Moltschaniwskyj and Cappel, 2009); however, in some
58 species it can be difficult to determine the first annulus (due to occlusion and resorption)
59 and to distinguish annuli on the outer edge of spines of older fish (Beamish and Chilton,
60 1977; Graynoth, 1996; DeBicella, 2005). In the marine environment, fin spines have been
61 used to successfully age pelagic species (Franks *et al.*, 2000; Kopf *et al.*, 2010), as well
62 as temperate (Metcalf and Swearer, 2005) and subtropical reef fishes (DeBicella, 2005;

63 Brusher and Schull, 2009). The suitability of fin spines to age tropical reef fishes has
64 seldom been evaluated (Manooch and Drennon, 1987) and may be difficult because
65 increments are often less well defined in scales and otoliths of fishes from low latitudes
66 (Longhurst and Pauly, 1987; Fowler, 2009). Development of non-lethal age estimation
67 techniques for tropical species would be beneficial given the high diversity of fishes on
68 coral reefs and the increasing impacts from habitat loss and overfishing.

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71 The presence of annuli in teeth has been used successfully for non-lethal age estimation
72 of several terrestrial and marine mammals (Perrin and Myrick, 1980; Hamlin *et al.*, 2000;
73 Childerhouse *et al.*, 2004), but this approach has not yet been tested on fish. For any
74 structure (teeth, fin rays, fin spines or scales) to be deemed an effective non-lethal
75 method of age estimation, several criteria must be met: 1. the structure must be present at
76 all life stages and provide a continuous record of age, 2. increments must be visible, 3.
77 age estimation is accurate (i.e. number of increments correspond to the age of the fish), 4.
78 age estimation is precise 5. processing and handling of samples is time and cost efficient,
79 and 6. the removal of a structure should have minimal impact on the health and survival
80 of a fish.

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83 The overall aim of this study was to determine if fin spines and teeth are effective for
84 non-lethal age estimation of a tropical marine fish. This study focuses on coral trout
85 *Plectropomus leopardus* (Lacepède, 1802) (family Serranidae), because this coral reef

86 fish is an important commercial and recreational fisheries target, and increasing
87 proportions of coral trout populations occur in marine reserves where destructive
88 sampling is prohibited or undesired. Furthermore, the majority of species in this genus
89 (including *P. leopardus*) are listed as Near Threatened or Vulnerable due to reductions in
90 population sizes (IUCN, 2012), which heightens the need for non-lethal sampling
91 methods.

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94 The specific aims of this study were to: first determine whether teeth and dorsal spines
95 are present throughout the life of the fish by examining larvae and adults; secondly,
96 determine whether teeth and dorsal spines have visible increments and whether these
97 increments are formed at the same frequency as otolith increments; and thirdly, to
98 determine whether teeth and dorsal spines represent an acceptably accurate, precise and
99 efficient method for non-lethal age estimation. Finally, to determine whether the removal
100 of a tooth or dorsal spine affects survivorship, growth or feeding of the study species.

101 Although this study focuses on *P. leopardus*, individuals of barcheek coral trout *P.*
102 *maculatus* (Bloch, 1790) were also used to address the first aim and determine whether
103 teeth and dorsal spines are present throughout the lives of these two species.

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106 **Methods and Materials**

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109 To determine whether dorsal spines and teeth are present throughout the life of the coral
110 trout, this study first examined what age these structures develop. Larvae of *P. leopardus*
111 and *P. maculatus* were raised in the larval-rearing aquarium facility at James Cook
112 University, Townsville (for detailed methodology see Frisch & Hobbs, 2007). Each day
113 after hatching, three individuals were collected from each species and examined
114 microscopically for spines and teeth. Second, this study examined whether dorsal spines
115 and teeth were missing or damaged across a wide size range of wild-caught individuals.
116 *P. leopardus* and *P. maculatus* were caught by linefishing or spearfishing at various
117 locations (Pelorus Island, Trunk Reef, Bramble Reef, Britomart Reef) in the central
118 section of the Great Barrier Reef, Australia (October – November 2005). This part of the
119 study also included *P. maculatus* because this species and *P. leopardus* cohabit at the
120 study locations and were both caught while linefishing (Frisch and Van Herwerden,
121 2006). Examination focussed on the second dorsal spine and the four prominent canine
122 teeth (two on the upper jaw and two on the lower jaw) because these structures are
123 relatively large and easy to locate in adult fish.

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126 A subset of 20 individuals of the above collected *P. leopardus* was kept for age
127 estimation. Whole canine teeth (the two on the upper jaw), second dorsal spine and
128 sagittal otoliths were removed from each individual by dissection. The dorsal spine was
129 removed by cutting the spine where it emerged from the flesh. A 2 mm section at the base
130 of the spine was cut, placed on a microscope slide and set in Crystal Bond thermoplastic
131 cement (Aremco). The transverse section was ground to approximately 0.5 mm thickness

132 and the cement was melted so that the section could be inverted. The section was then
133 reset and ground further until it was sufficiently thin that increments could be observed
134 microscopically.
135
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137 The same method was initially used for canine teeth. However, due to the lack of visible
138 increments at the tooth base, additional transverse sections were taken throughout the
139 tooth. Transverse sections (1-2 mm thick) were cut and ground and continually checked
140 for increments until there was no tooth left. Following this, the second canine tooth of
141 each fish was sectioned longitudinally throughout and grounded whilst continually
142 checking for increments. Because no increments were visible in transverse and
143 longitudinal sections, additional canine teeth from the lower jaw were kept for an
144 alternative histological approach (decalcification). The histological approach developed
145 by Fox (2006) for age estimation of bats (Chiroptera) was used because the size and
146 shape of the teeth were similar to those of coral trout. This method was used first and
147 then systematically modified to search for visible increments. The process involved
148 decalcifying teeth in 10% formic acid initially for 2 hrs (then subsequent trials at 6, 12,
149 24, 48 and 96 hrs during which acid was replaced every 24 hrs). Teeth were then washed
150 and placed in a Shandon Hypercentre where samples go through an automated series of
151 alcohol and xylene washes and are eventually embedded with paraffin wax. Samples
152 were embedded in a wax block and sectioned at 5 -10 μm using a manual rotary
153 microtome. Many transverse and longitudinal sections were taken throughout each tooth.
154 Sections were placed on microscope slides, dried for 48 hrs in a 37°C oven, and then

155 stained using Mayer's Haematoxylin and Young's Eosin-Erythosin (Woods, 1994). The
156 sections were then covered with dibutyl phthalate in xylene and a cover slip, and placed
157 in an oven (37°C for 48 hrs). Sections were then microscopically examined for growth
158 increments.

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161 Transverse sections of sagittal otoliths were processed using standard methods (as per
162 Wilson and McCormick, 1997). Annual increments have been validated previously for *P.*
163 *leopardus* (Ferreira and Russ, 1995). Three counts were made, each on different days, by
164 the same experienced reader (S. Mutz), and if these counts differed, the median was
165 recorded. The same procedure and reader were used to estimate age from spines. Otoliths
166 and spines were coded independently to prevent any observer bias (i.e. spines and otoliths
167 were read blind).

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170 The accuracy of non-lethal techniques was judged by comparing the number of
171 increments on spines and teeth to the number of increments on the corresponding otolith.
172 The increments observed in otoliths were deemed to represent the true age of the fish
173 because they have been validated as annuli for this species (Ferreira and Russ, 1994).
174 Within-reader precision for each technique was estimated using average percent error
175 (APE) and coefficient of variation (CV) (Beamish and Fournier, 1981; Chang, 1982). The
176 cost and time efficiency of each age estimate technique was determined by calculating
177 the total cost and time of handling and processing all samples from the beginning of the

178 otolith/tooth/spine removal to the completion of the readings. Cost includes consumables
179 and does not include equipment and laboratory use.
180
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182 To determine the impact of removing a tooth or dorsal spine on fish health and
183 survivorship, 19 *P. leopardus* individuals were captured (from Trunk Reef, Bramble
184 Reef, Britomart Reef) by linefishing and transported to aquaria at James Cook University,
185 Townsville. Each individual was then anaesthetised using a 10% clove oil solution and
186 weighed, measured (fork length – L_F) and tagged with a uniquely-numbered T-bar anchor
187 tag. Each tagged fish was randomly allocated to one of three groups: control ($n = 7$),
188 tooth removed ($n = 6$), or dorsal spine removed ($n = 6$). Whilst anaesthetised, treatment
189 fish either had a canine tooth (upper left) removed with pliers or the second dorsal spine
190 was cut (using scissors) where it emerges from the flesh of the fish. The removal of a
191 tooth or spine took less than 1 min and all fish were placed in a recovery tank. After a
192 few minutes recovering in a small tank, all fish were transferred to a 250,000 L outdoor
193 tank with natural photoperiod and a water exchange rate of $\sim 15\% \text{ hr}^{-1}$. No natural habitat
194 was present in the tank, but numerous (> 25) PVC pipe sections (7.5 – 15 cm diameter,
195 40 – 75 cm long) were placed at the bottom of the tank and were used by fish as shelter.
196 Fish were kept undisturbed except for feeding with whole pilchards (*Sardinops* spp) *ad*
197 *libitum* approximately every 5 d. The mean amount eaten by experimental fish in each
198 group was determined by observing which fish ate each pilchard and identifying if it was
199 a tooth-removed, spine-removed or control fish by the position of the tag. Fish entered
200 the tank on 12 November 2005 and all fish were removed and euthanised at the end of

201 the experiment on 17 December 2005.

202

203

204 **Results**

205

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207 Microscopic examination of coral trout larvae revealed that dorsal spines and canine teeth
208 emerged 6-7 days after hatching. In the same larvae, otoliths were visible 2-3 days after
209 hatching. Eighty-seven wild-caught coral trout (*P. leopardus* and *P. maculatus*), ranging
210 in size from 28 to 59 cm (L_F), were examined and all had undamaged second dorsal
211 spines. All four of the prominent canine teeth were present in all individuals; however, 14
212 individuals had different sized canines, which may indicate tooth loss in the past.

213

214

215 Increments were visible in the second dorsal spine of all *P. leopardus* individuals
216 examined (n = 20, Figure 1). The age estimates obtained from dorsal spines were closely
217 aligned to estimates obtained from otoliths, with the slope of this relationship not
218 differing significantly from 1 ($F_{1,19}$, $P < 0.001$, adjusted $r^2 = 0.76$, 95% CI = 0.73 – 1.27)
219 (Figure 2). The age obtained from dorsal spines was identical to estimates obtained from
220 otoliths in 90% (18/20) of cases. In two cases, the spine-based age was higher than the
221 otolith-based age. Age estimates from dorsal spines were more precise than those
222 obtained from otoliths (spine APE = 4.1%, CV = 5.8%; otolith APE = 6.2%, CV = 8.7%).

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225 No increments were visible in transverse or longitudinal sections of canine teeth of *P.*
226 *leopardus*. Despite taking many sections (both transverse and longitudinal) through
227 every part of the tooth, and also trying decalcification and staining, no visible increments
228 were observed.

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230

231 Of the three different approaches (otoliths, spines and teeth), spines were the most
232 efficient way to age *P. leopardus* (Table I). Otoliths and spines had similar low costs for
233 consumables, and spines took the least time, largely due to the ease and speed of
234 dissections. Additional costs associated with equipment and laboratory use were not
235 examined but would be similar for otolith and spines because the same approach is used
236 to process samples. However, processing teeth in a histology laboratory would have
237 higher additional costs because of the extra chemicals and equipment required.

238

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240 There was no evidence that removing a tooth or spine had any negative effects on
241 feeding, growth or survival of the study species. All individuals survived to the end of the
242 36 d trial. There was no significant change in weight between the start and end of the trial
243 for fish in the control ($t = 1.26$, $d.f. = 6$, $P = 0.25$) and tooth-removed groups ($t = 2.37$,
244 $d.f. = 5$, $P = 0.06$), but there was a significant increase in weight in the dorsal spine
245 removed group ($t = 3.26$, $d.f. = 5$, $P = 0.02$, Figure 3a). There was no significant
246 difference in fork length between the start and end of the trial for all treatment and

247 control groups ($P > 0.1$ for all groups, Figure 3b). Throughout the trial, treatment and
248 control groups fed on a similar amount of pilchards ($F_{2,18} = 0.11$, $d.f. = 2$, $P = 0.90$). At
249 the end of the trial, all individuals from the treatment groups appeared healthy and their
250 minor wound (from tooth/spine removal) had healed completely with no signs of swelling
251 or infection (Figure 4).

252

253

254 **Discussion**

255

256

257 Although annuli tend to be less well defined in structures from tropical fishes (e.g. otoliths,
258 Fowler, 2009), this study has shown that dorsal spines of coral trout meet the criteria for
259 an acceptable method of non-lethal age estimation. Estimating age using dorsal spines
260 was accurate, precise, efficient, and the removal of a spine did not have any detectable
261 impact on the fish. Dorsal spines were present at all life stages and had visible increments
262 that corresponded to the age of the fish (i.e. the number of otolith annuli). Annuli have
263 previously been validated in otoliths of *P. leopardus* (Ferreira and Russ, 1994, and in *P.*
264 *maculatus*, Ferreira and Russ, 1992), and the close agreement between ages determined
265 from dorsal spines and otoliths indicates that increments observed in dorsal spines are
266 annuli. Furthermore, annuli have been validated in dorsal spines of another serranid
267 species (Brusher and Schull, 2009).

268

269

270 The relationship between spine and otolith age did not differ significantly from complete
271 agreement, indicating that the accuracy of age estimates obtained from spines is similar to
272 that of otoliths. There was 90% agreement between dorsal spine and otolith age
273 estimates, which is equal to or higher than previous studies between dorsal rays or spines
274 and otoliths (agreement of 49 – 90%: Sikstrom 1983; Murie and Parkyn 1999; Sipe and
275 Chittenden, 2002; Debicella, 2005). The precision of age estimates for *P. leopardus*
276 dorsal spines (APE = 4.1%) was better than for otoliths (6.2%) and better than the 6.7%
277 and 12.1% recorded for whole and sectioned otoliths of this species in a previous study
278 (Ferreira and Russ, 1994). The CV value for dorsal spines in this study (5.8%) was better
279 than the median CV value (7.6%) from 117 ageing studies, and better than the mean CV
280 values calculated for otoliths, spines, scales and vertebrae from those studies (Campana,
281 2001). Therefore, dorsal spines appear to be a suitably accurate and precise approach for
282 age estimation of *P. leopardus*, within the ages that we examined.

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284

285 In the study region (central section of the Great Barrier Reef), *P. leopardus* can live to 14
286 years with the majority of adult fish being less than 10 years (Ferreira and Russ, 1995;
287 Russ *et al.*, 1996). Therefore, the age range (two to nine years old) of fish in this study
288 spans a considerable proportion of the adult population. However, additional comparisons
289 between spines and otoliths outside this age range are required to determine if spines are
290 suitable for estimating all ages. This is particularly important for fish over nine years old
291 because it is difficult to distinguish annuli on the outer edge of spines in older fish
292 (Debicella, 2005; Murie *et al.*, 2009; Moltschaniwskyj and Cappel, 2009). The potential

293 for spines to underestimate the age of older fish (due to the above limitation) is critically
294 important for managing vulnerable and fisheries species because biased estimates of
295 growth and mortality rates can result in overexploitation and population collapses
296 (Campana, 2001).

297

298

299 Although previous studies have found dorsal fin rays to be more time consuming to
300 handle and process than otoliths (Beamish, 1981; Chilton and Beamish, 1982), this study
301 found dorsal spines to be the most efficient age estimate method. Although dorsal spines
302 and otoliths had the same low costs, dorsal spines took considerably less time to dissect.
303 This would be advantageous in the field because it will take only seconds to measure the
304 length of a fish and clip its dorsal spine before releasing it live. Furthermore, unlike the
305 otolith approach, there are no time and space requirements associated with storage,
306 transportation and dissection of fish. Because dorsal spine age estimation is non-lethal,
307 easy, and cost efficient, larger sample sizes could be obtained more efficiently, which
308 increases the ability to accurately estimate critical demographic parameters (Metcalf and
309 Swearer, 2005).

310

311

312 The aquarium-based study found no detectable impact on fish that had a tooth or dorsal
313 spine removed. Removal of fin spines has also been found to be non-lethal in other
314 marine (Metcalf and Swearer, 2005) and freshwater fishes (Beamish and Harvey, 1969;
315 Faragher, 1992; Collins and Smith, 1996). Numerous recaptures of another serranid that

316 had a dorsal spine removed (Brusher and Schull, 2009) are a positive sign that that spine
317 removal may have minimal impact on survivorship in wild populations, but further
318 studies are required to confirm this.

319

320

321 The family Serranidae includes numerous valuable fisheries species and recent
322 population declines from overfishing have resulted in the IUCN (2012) listing many
323 serranids as vulnerable and endangered. For example, species in the genus *Plectropomus*
324 are among the most highly-valued commercial and recreational target species on coral
325 reefs (Heemstra and Randall, 1993; Sadovy *et al.*, 2003; Frisch *et al.*, 2008) and the
326 majority are listed as near threatened or vulnerable (IUCN, 2012). The success of dorsal
327 spines and fin rays for estimating age in another serranid, the critically endangered
328 goliath grouper *Epinephelus itajara* (Brusher and Schull, 2009; Murie *et al.*, 2009),
329 indicates that this non-lethal approach may be effective for a wide range of serranids.

330 Given that serranids (particularly *Plectropomus*) are major targets for the live fish trade
331 (Sadovy *et al.*, 2003) it would be relatively easy and beneficial to monitor age structure
332 of exploited stocks by removing the dorsal spine of live fish during transit. Given the
333 results of our aquarium study, spine removal is unlikely to affect the health or
334 survivorship of transiting fishes. However, the impact of spine removal (missing spine,
335 potential discolouration) on the high market prices of these target fishes requires
336 investigation.

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338

339 Teeth do not appear to be suitable for non-lethal age estimation of coral trout. We could
340 not see any increments in the teeth despite taking numerous transverse and longitudinal
341 sections and trialling decalcification. The composition of fish teeth (dentine and
342 enameloid: Lund et al., 1992) differs to otoliths and spines and it is possible that teeth
343 may be too dense to see increments. In mammal teeth, annuli are present in the cementum
344 (Fox, 2006). However, cementum is lacking in fish (Lund *et al.*, 1992) and therefore it is
345 possible that increments are not present in the tooth structure, or processes such as
346 resorption or secondary infilling may make annuli too difficult to distinguish. Further
347 exploratory studies involving other fish species and a variety of histological methods are
348 required before concluding that teeth are unsuitable for estimating fish age.

349

350

351 This study concludes that dorsal spines can be used as a viable non-lethal alternative to
352 age a tropical reef fish. Although this study focused on one species (*P. leopardus*), it adds
353 to a growing body of evidence that dorsal spines can be used to age a wide range of
354 marine and freshwater fishes across different habitats and ecosystems. While otoliths
355 have been the traditional and most common approach to estimate fish age, an increase in
356 research evaluating and using dorsal spines is warranted given the rising number of
357 overfished and threatened species. The development of non-lethal age estimation
358 techniques will enable fisheries and conservation management to obtain critical
359 demographic data with minimal impact on wild populations.

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1 **Tables**

2

3

4 Table I. Total time (minutes) and cost (Australian dollars) to dissect, process, and read

5 otoliths, dorsal spines, and teeth from 20 *P. leopardus* individuals. For teeth,

6 processing cost includes 122 minutes for standard processing of all individuals using

7 the same methods as for otoliths and spines, and 580 minutes for the histological

8 approach. Reading of teeth was not possible due to lack of rings. Cost is for

9 consumables only and does not include costs associated with obtaining the necessary

10 equipment (for dissecting, processing and reading) or laboratory access.

Structure	Time (mins)				Cost (AUD)
	Dissection	Processing	Reading	Sum total	
Otoliths	73	88	91	252	21
Dorsal spines	4	73	107	184	21
Teeth	10	702	Not possible	712	72

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12

1 **Figure captions**

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4 Figure 1: Transverse section of dorsal fin spine from a 5 year-old *P. leopardus*.

5 Annuli are identified with white dots.

6

7

8 Figure 2: The relationship ($y = 1.0024x + 0.2895$) between age determined by otolith

9 and dorsal spines for 20 *P. leopardus* individuals. The number of individuals with

10 overlapping ages is indicated with numbers. For comparisons, the solid line represents

11 100% agreement between age determined by otoliths and dorsal spines.

12

13

14 Figure 3: Mean (\pm SE) (a) weight and (b) length of *P. leopardus* before (dark bars)

15 and 36 d after (light bars) the removal of a dorsal spine or tooth. The effect of tooth or

16 spine removal on *P. leopardus* growth was tested in a 36 d aquarium-based study

17 where samples sizes for each group were: control ($n = 7$), dorsal spine removed ($n =$

18 6), and tooth removed ($n = 6$). All fish survived for the duration of the aquarium

19 study.

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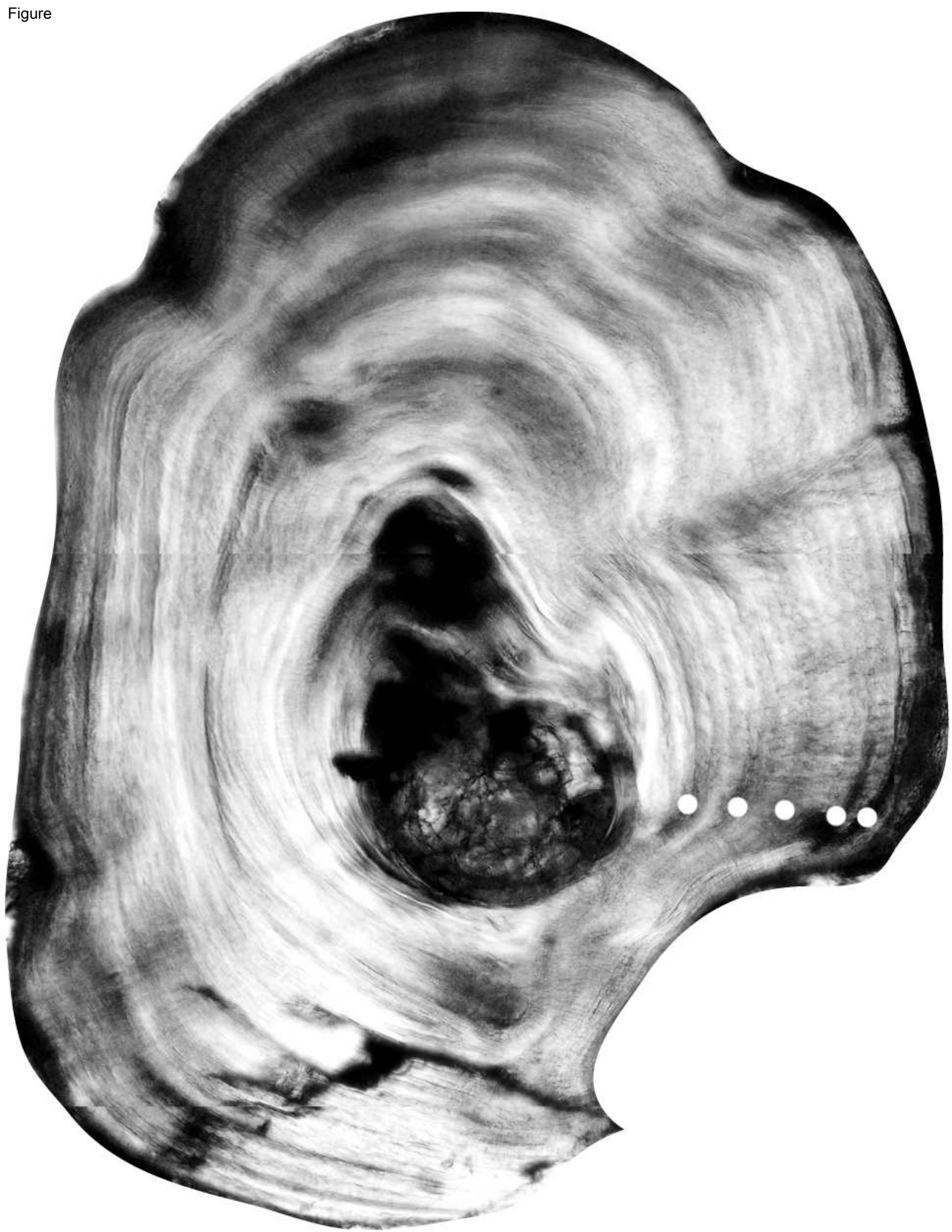
22 Figure 4: A photograph illustrating the healed and healthy dorsal spine membrane of

23 *P. leopardus* after the 36 d aquarium-based study. An arrow indicates the location of

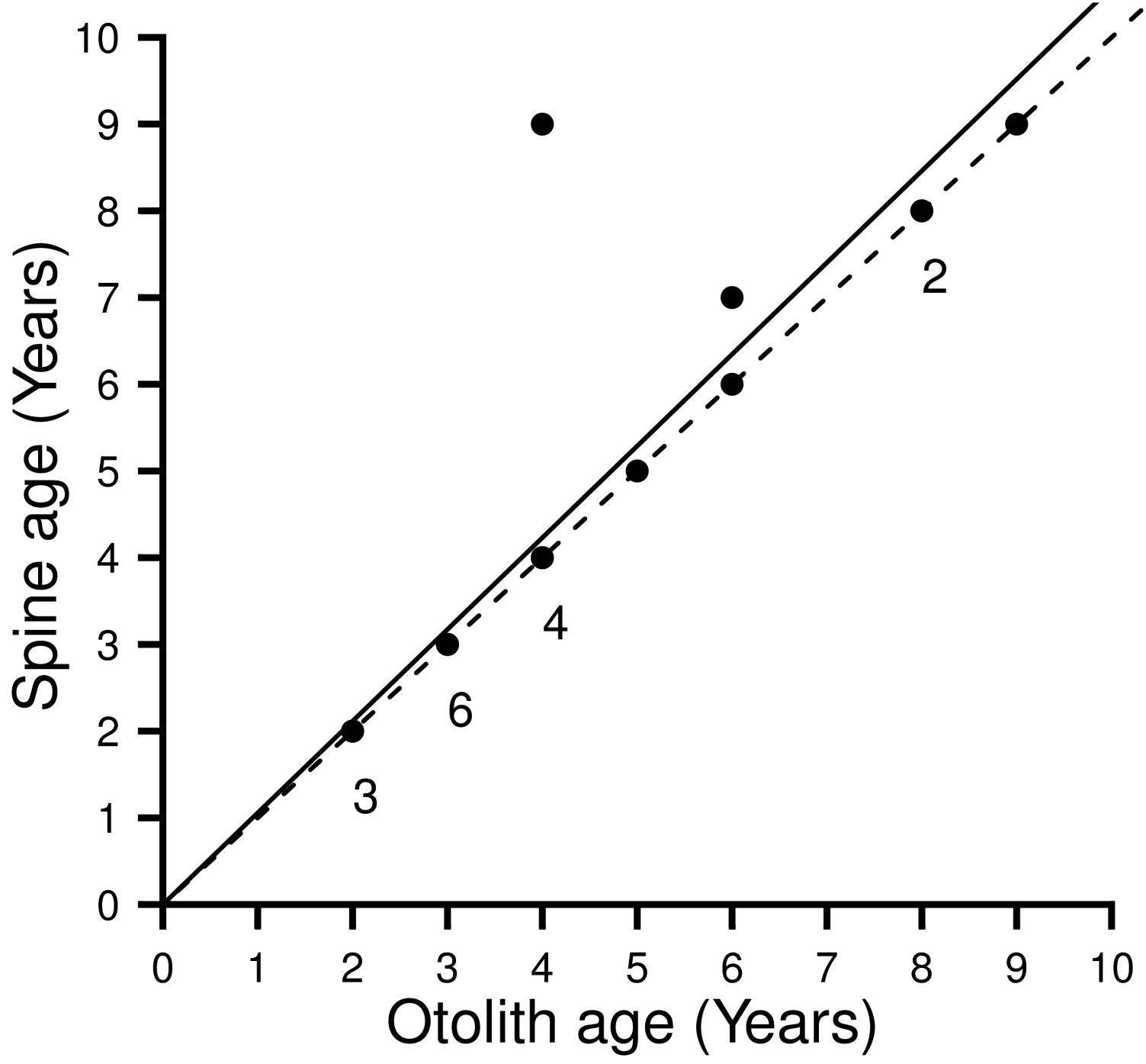
24 the second dorsal spine that was removed at the beginning of the study. Tweezers are

- 1 holding the first dorsal spine, which has not been modified and is naturally shorter
- 2 than the other dorsal spines.
- 3

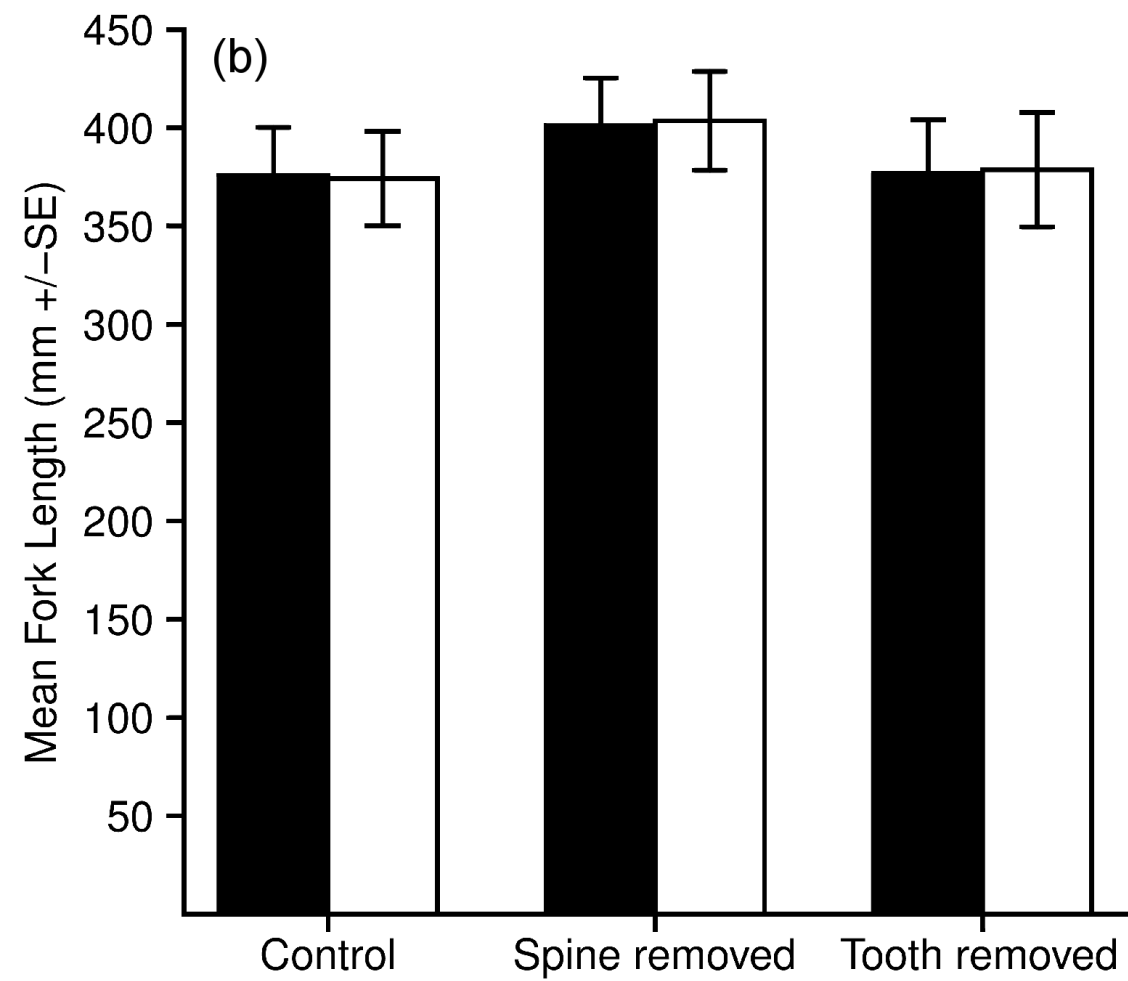
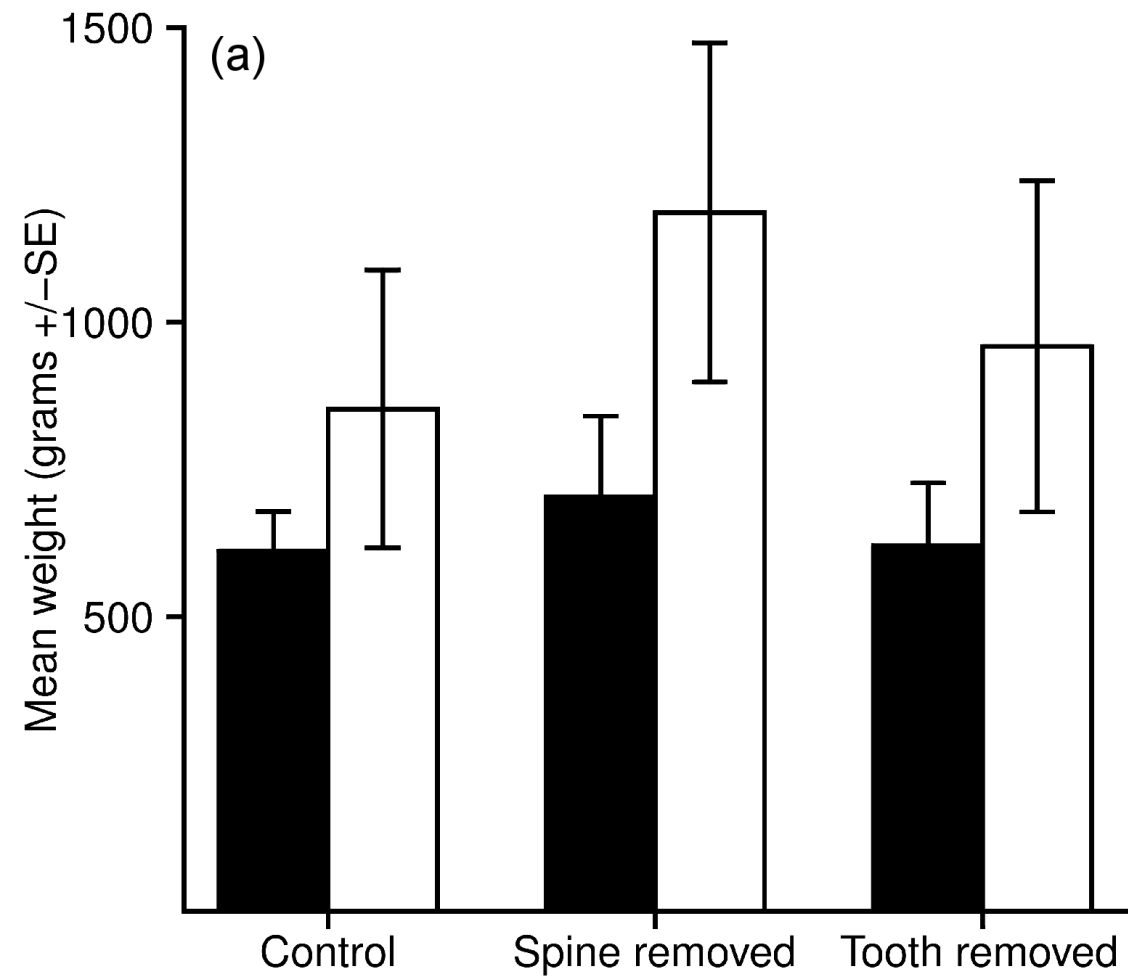
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