

1 **The Australian Work Exposures Study: Prevalence of occupational**  
2 **exposure to respirable crystalline silica**

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20 SS drafted the manuscript and conducted all statistical analysis. RC extracted the data. LF directed the  
21 study and is responsible for its overall design. AR, TD, DG, GB and SP were involved in the design  
22 of this study. All authors contributed to the development and revision of the manuscript.

23

1 **Abstract**

2 **Background:** Respirable crystalline silica (RCS) is a biologically active dust that can accumulate in  
3 the lung and induce silicosis and lung cancer. Despite occupational exposure being the predominant  
4 source, no study has described current occupational RCS exposure on a national scale in Australia.  
5 The aim of this study is to estimate the characteristics of those exposed and the circumstances of RCS  
6 exposure in Australian workplaces.

7 **Methods:** A cross-sectional survey of the Australian working population (18–65 years old) was  
8 conducted. Information about the respondents' current job and their demographic characteristics was  
9 collected in a telephone interview. Occupational exposure to RCS was determined based on pre-  
10 programmed decision rules regarding potential levels of exposure associated with self-reported tasks.

11 **Results:** Overall, 6.4% of respondents were deemed exposed to RCS at work in 2012 (3.3% were  
12 exposed at a high level). The exposure varied with sex, state of residence and socio-economic status.  
13 Miners and construction workers were most likely to be highly exposed to RCS when performing  
14 tasks with concrete or cement or working near crushers that create RCS-containing dusts. When  
15 extrapolated to the entire Australian working population, 6.6% of Australian workers were exposed to  
16 RCS and 3.7% were highly exposed when carrying out tasks at work.

17 **Conclusion:** This is the first study investigating occupational RCS exposure in an entire national  
18 working population. The information about occupational tasks that lead to high level RCS exposure  
19 provided by this study will inform the direction of occupational interventions and policies.

20

## 1 **Introduction**

2 Silica is a major constituent of construction materials and is found in almost all types of rock, sand,  
3 clay, shale and gravel. Respirable crystalline silica (RCS; <10µm in diameter) is a biologically active  
4 dust that can reach the extremities of the lung where it accumulates and can induce silicosis after  
5 relatively little exposure, especially if it arises from freshly fractured silica-containing materials.  
6 (AIOH, 2009, Meldrum and Howden, 2002) RCS has been identified by the International Agency for  
7 Research on Cancer (IARC) as a Group 1 lung carcinogen. (IARC, 2012) Besides silicosis and lung  
8 cancer, cumulative low level exposure to RCS increases the risk of other non-malignant respiratory  
9 diseases including chronic obstructive pulmonary disease, bronchitis and emphysema and possibly  
10 non-malignant renal disease. (Steenland, 2005, Gallagher et al., 2015, Rushton, 2007, McDonald et al.,  
11 2005)

12 The main source of RCS exposure is occupational. Internationally, the established occupations with  
13 high exposure are sandblasters, miners, millers, ceramics workers, glassmakers, quarry workers,  
14 sand/stone grinding workers, and casting, shakeout or blasting workers. (Steenland and Ward, 2014)  
15 Occupational exposure standards for RCS have been introduced in most developed countries since the  
16 mid-1900s. The current Australian standard occupational exposure limit is 0.1 mg/ m<sup>3</sup>. (Safe Work  
17 Australia, 2005)

18 Information regarding national prevalence and circumstances of exposure to RCS would inform  
19 policy making regarding occupational interventions. Therefore, the objective of this study is to  
20 estimate the current prevalence of Australian workers performing occupational tasks that potentially  
21 lead to RCS exposure.

## 22 **Methods**

23 This study was a part of a larger study, the Australian Work Exposures Study (AWES), which has  
24 been described previously. (Driscoll et al., 2016, Carey et al., 2014) Briefly, AWES was a national  
25 telephone survey of a sample of the Australian working population regarding occupational exposure  
26 to 38 carcinogens including RCS. The interviews were performed using a web-based platform

1 OccIDEAS which includes 58 job-specific modules (JSMs). Questions about occupational tasks that  
2 are likely to incur exposure to carcinogens were included in each JSM.(Fritschi et al., 2009) The  
3 probability and level of RCS exposure were assigned to individual respondents based on their self-  
4 reported tasks and related control measures at work. High exposure to RCS was assigned if the  
5 respondent undertook one or more tasks likely to result in exposure exceeding the Australian  
6 occupational exposure limit even if the job as a whole would be below the 8-hour time weighted  
7 average. (Work Safe Queensland, 2013, Parikh et al., 2009, Burstyn et al., 2000, Linch, 2002, Darby  
8 et al., 1986) Our estimated prevalence of RCS exposure was stratified by sex and occupational group  
9 and extrapolated to the entire Australian working population using data from the 2011 Australian  
10 Census. (Australian Bureau of Statistics, 2011) All analyses were performed using Stata version 14.

## 11 **Results**

12 Overall, 72% cooperation rate was achieved in this survey with 4993 respondents (55.4% males and  
13 44.6% females) completed the occupational survey. Among them, 317 (6.4%) were exposed to RCS  
14 at work, and 165 (3.3%) were assigned high RCS exposure (Table 1). Exposure occurred  
15 predominantly in male workers (10.5% any exposure to RCS versus 1.2% in female workers) and was  
16 higher in workers residing in remote and low socio-economic areas ([see supplementary Table 1 in](#)  
17 [online edition](#)).

18 Compared to other occupations, miners and construction workers were most likely to carry out tasks  
19 that lead to high level RCS exposure, with more than 60% of the workers in those groups deemed  
20 highly exposed (Table 1). Additionally, around one-third of plumbers and handy persons were  
21 deemed highly exposed to RCS.

### 22 **Table 1: Occupations with the highest proportion of exposure to RCS**

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24 The most frequently reported occupational tasks that led to high level RCS exposure in Australia  
25 included cutting, grinding, or sanding concrete (49%) and mixing concrete or cement (44%) among  
26 labourers working on construction sites (Table 2). On mining sites, working in dusty areas near  
27 crushers was the most common circumstance leading to high RCS exposure, followed by working at

1 the mine face, in passageways used to transport ore, and in the mine shaft. Other tasks that led to high  
2 RCS exposures included ploughing or harrowing soils, road paving or sealing, road sweeping, floor  
3 screeding, asphalt milling and applying grout to floors. It is worth noting that 85% (33/39) of workers  
4 who were assigned to the farmer JSM in our survey self-reported ploughing and harrowing within an  
5 enclosed cab, which to a large extent reduced the probability of high RCS exposure among workers  
6 while doing these tasks (low RCS exposure were assigned) .

7 **Table 2: The major tasks resulting in high level exposure to RCS; the number of workers who undertook each task**  
8 **and the proportion of the 165 highly exposed workers who performed each task**  
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10 When extrapolated to the 2011 Australian working population, 6.6% (95% CI: 4.1%, 9.5%) were  
11 exposed to RCS at any level with exposure for males more common than for females (Table 3). This  
12 is equivalent to around 329,000 Australian workers. Approximately 3.7% (95% CI: 2.0%, 5.4%) of  
13 the Australian working population reported undertaking at least one high exposure task.

14 **Table 3: Proportion of the Australian working population estimated to be exposed to RCS in the workplace**  
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## 16 **Discussion**

17 We estimated that 6.6% of the Australian working population were exposed to RCS in 2012 and 3.7%  
18 were potentially highly exposed by performing at least one high exposure task. Miners and  
19 construction workers were most often subject to high RCS exposure when working with concrete or  
20 cement or working near crushers that create RCS-containing dusts.

21 Because no compensation claim for silicosis has been successfully made in Western Australia since  
22 the introduction of the industrial RCS exposure standard in 1974, there have been suggestions that  
23 occupational exposure to RCS no longer poses a health hazard for Australian workers. (Wan and Lee,  
24 1999, de Klerk et al., 2002) However, the pattern of occupational compensation claims may not truly  
25 reflect the incidence of all silicosis, but rather only of severe cases. Evidence indicates that silicosis  
26 compensation payments were more likely to be made to workers with co-existing respiratory disease  
27 or who are symptomatic. (de Klerk and Musk, 1998) It is highly likely that silicosis remains  
28 undiagnosed and uncompensated among workers exposed to RCS due to a lack of sufficient disability

1 to get compensation. (Safe Work Australia, 2005, de Klerk et al., 2002) Pooled data from ten  
2 international cohort studies demonstrated that continuous exposure to RCS at a level of  $0.1 \text{ mg/m}^3$   
3 over 45 years was associated with 1.1-1.7% increased lifetime risk of silicosis. (Steenland et al., 2001)  
4 Furthermore, cumulative low level RCS exposure is a risk factor for other respiratory diseases. (Park  
5 et al., 2002)

6 The majority of studies in the literature have estimated the prevalence of occupational RCS exposure  
7 by collecting samples from high risk industries such as construction, mining iron and steel foundries  
8 and metal work. (Yassin et al., 2005, Parikh et al., 2009, Hedges et al., 2009, Burstyn et al., 2000,  
9 Linch, 2002) American studies reported a downward trend in both the concentration and prevalence of  
10 RCS exposure between 1988 and 2003, and overall 3.6% of sampled workers were exposed to RCS  
11 above  $0.1 \text{ mg/m}^3$  in 2003. (Yassin et al., 2005) The Carcinogen Exposure (CAREX) study for the  
12 European Union in the 1990s assessed prevalence of occupational exposure to RCS by industry and  
13 occupation using a job exposure matrix approach. (Finnish Institute of Occupational Health, 1998,  
14 Kauppinen et al., 2000) The more recent Canadian CAREX updated the results of the European  
15 CAREX database, and reported an overall 2.3% occupational exposure to RCS in the Canadian  
16 working population, which is lower than our estimate. (Peters et al., 2015) It is possible that Australian  
17 workers were generally subject to higher occupational exposure to RCS; on the other hand, it could be  
18 due to different methods adopted by the two studies. While the CAREX study estimate was based on  
19 quantitative measurements of RCS of known high risk occupations, AWES provides cross-sectional  
20 qualitative estimates of occupational exposure to RCS. Occupation-wise, the Canadian CAREX study  
21 found the highest prevalence of occupational RCS exposure in construction, mining, manufacturing  
22 and agriculture; and the relevant occupational tasks to be grinding, sandblasting, crushing, chipping  
23 and mixing concrete and ploughing, (Peters et al., 2015) which is consistent with our findings. The  
24 major limitation of our study is that we did not ask about the duration of the task, so we cannot relate  
25 our findings to the occupational standard which is an average of RCS exposure over an eight hour  
26 shift. Like all cross-sectional studies, the accuracy of our estimate is subject to the study sample size  
27 and cooperation rates, as well as the demographic and occupational representativeness of the sample.

1 The representativeness of the study sample was discussed in previous AWES papers.(Carey et al.,  
2 2014) Also, the study relies on respondents' self-report information on occupational task.

3 Occupational interventions to reduce RCS exposure should be prioritized on high exposure tasks with  
4 high prevalence in Australia. According to our study, the major occupational tasks that lead to RCS  
5 exposure in Australia were cutting, grinding, sanding or mixing concrete or working in dusty areas  
6 near crushers. Levels above the occupational exposure limits have been recorded in these industries in  
7 Australia. (Work Safe Queensland, 2013, Parikh et al., 2009, Burstyn et al., 2000, Linch, 2002, Darby  
8 et al., 1986, Easterbrook and Brough, 2009) Relevant interventions including source control (e.g.  
9 process or equipment modification, wet methods); containment of dust transmission (e.g. enclosed  
10 cabs, local exhaust ventilation or water spray) or use of personal protective equipment should be  
11 implemented to further reduce occupational exposure to RCS in Australia. (Steenland and Ward, 2014)

12 This is the first study investigating occupational RCS exposure in an entire national working  
13 population. Overall, we estimated 6.6% of the Australian working population were exposed to RCS at  
14 work in 2012, and 3.7% were likely to be highly exposed by performing at least one high exposure  
15 task at work. The information about the occupational groups and tasks of exposed workers provided  
16 by this study will inform the direction of occupational interventions and policies.

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**Ethics Approval**

The study was approved by the University of Western Australia human research ethics committee

1 **Table 4: Occupations with the highest proportion of exposure to RCS**

Occupational groups	N	Any Exposure to RCS		High Exposure to RCS	
		N	Mean (95%CI) %	N	Mean (95%CI) %
Total in AWES survey	4993	317	6.4 (5.7, 7.0)	165	3.3 (2.8, 3.8)
Miner	24	22	91.7 (79.7, 100)	15	62.5 (41.6, 83.4)
Construction worker	55	44	80.0 (69.1, 90.9)	34	61.8 (48.6, 75.1)
Engineer	91	40	44.0 (33.6, 54.3)	25	27.5 (18.1, 36.8)
Plumber	69	28	40.6 (28.7, 52.5)	26	37.7 (26.0, 49.4)
Handyperson	38	15	39.5 (23.2, 55.8)	13	34.2 (18.4, 50.0)
Heavy Vehicle driver	149	49	32.9 (25.3, 40.5)	8	5.4 (1.7, 9.0)
Farmer	120	31	25.8 (17.9, 33.8)	3	2.5 (0, 5.3)
Machine operator	69	9	13.0 (4.9, 21.2)	4	5.8 (0.1, 11.5)
Animal & Horticultural worker	92	10	10.9 (4.4, 17.4)	3	3.3 (0, 7.0)
Scientist	79	7	8.9 (2.5, 15.3)	3	3.8 (0, 8.1)
Metal Worker	103	8	7.8 (2.5, 13.0)	6	5.8 (1.2, 10.4)
Electrical Worker	117	6	5.1 (1.1, 9.2)	5	4.3 (0.6, 8.0)

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1 **Table 5: The major tasks resulting in high level exposure to RCS; the number of workers who undertook each task**  
 2 **and the proportion of the 165 highly exposed workers who performed each task**

Tasks	No. of workers	Proportion of high level RCS exposed subjects doing this task		
		% ^	Lower limit of 95% CI	Upper limit of 95% CI
<b>No. of workers exposed to high level RCS</b>	165			
<b>Construction JSM/Labourer JSM</b>				
Cutting, grinding or sanding concrete	80	48.5	40.8	56.2
Mixing concrete or cement	73	44.2	36.6	51.9
Stonemasonry/stone cutting	5	3.0	0.4	5.7
<b>Mining JSM</b>				
Working in dusty area from crusher	27	16.3	10.7	22.1
Working at mine face	11	6.7	2.8	10.5
Working in passageways used to transport ore	6	3.6	0.8	6.5
Working in mine shaft	4	2.4	0.1	4.8
<b>Road construction JSM</b>				
Road paving/sealing	5	3.0	0.4	5.7
Road sweeping	5	3.0	0.4	5.7
Asphalt milling	2	1.2	0.0	2.9
<b>Farmer JSM</b>				
Ploughing, harrowing or disturbing soil		-	-	-
• Using enclosed cab*	33	-	-	-
• Without using enclosed cab	6	3.6	0.8	6.5
<b>Floor laying JSM</b>				
Screeding floors	3	1.8	0.0	3.9
Applying grout to floors	1	0.6	0.0	1.8

3 ^The % do not add up to 100% because workers may have partaken in multiple tasks that lead to high level exposure to RCS.

4 \*If using enclosed cab, medium RCS exposure was assigned; otherwise, high RCS exposure was assigned.

5 Note: Although JSMs are good indicators of occupation groups, they are not equivalent. For example, not all respondents who got the farmer JSM were farmers; JSM: job-specific  
 6 module

1 **Table 6: Proportion of the Australian working population estimated to be exposed to RCS in the workplace**

	Population projection			Population Prevalence		
	N (*1000)	LL of 95% CI	UL of 95% CI	Mean (%)	LL of 95% CI (%)	UL of 95% CI (%)
<b>Total working population</b>						
Any Exposure	592	370	847	6.6	4.1	9.5
High Exposure	329	183	484	3.7	2.0	5.4
<b>Males</b>						
Any Exposure	546	360	745	11.6	7.7	15.8
High Exposure	321	180	464	6.8	3.8	9.9
<b>Females</b>						
Any Exposure	46	11	102	1.1	0.2	2.4
High Exposure	8	3	20	0.2	0.1	0.5

2 LL-lower limit; UL-upper limit

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Supplementary Table 1: Demographic characteristics and occupational exposure to RCS in the Australian Workplace Exposures Study (AWES), a national survey of Australian workers (2012)

Demographic characteristics	Exposure to RCS				
	Study samples N	Any exposure		High exposure*	
		N	%	N	%
<b>Total</b>	4993	317	6.4	165	3.3
<b>Sex</b>					
Male	2766	<b>290</b>	<b>10.5</b>	162	5.9
Female	2227	<b>27</b>	<b>1.2</b>	3	0.1
<b>Age</b>					
18-34 years	747	51	6.8	24	3.2
35-54 years	2988	186	6.2	95	3.2
55-65 years	1216	79	6.5	46	3.8
<b>Education level</b>					
High school or less Trade	1843	<b>145</b>	<b>7.9</b>	67	3.6
certificate/diploma	1392	<b>119</b>	<b>8.6</b>	77	5.5
Bachelor degree or higher	1743	<b>53</b>	<b>3.0</b>	21	1.2
<b>State of Residence</b>					
New South Wales	1723	<b>102</b>	<b>5.9</b>	58	3.4
Victoria	1228	<b>69</b>	<b>5.6</b>	26	2.1
Queensland	907	<b>74</b>	<b>8.2</b>	40	4.4
Western Australia	566	<b>49</b>	<b>8.7</b>	29	5.1
South Australia	306	<b>13</b>	<b>4.3</b>	6	2.0
Australian Capital Territory	109	<b>3</b>	<b>2.8</b>	3	2.8
Tasmania	99	<b>6</b>	<b>6.1</b>	3	3.0
Northern Territory	55	<b>1</b>	<b>1.8</b>	0	0
<b>Remoteness</b>					
Major city	3028	<b>130</b>	<b>4.3</b>	87	2.9
Inner regional	1359	<b>101</b>	<b>7.4</b>	43	3.2
Outer regional	517	<b>67</b>	<b>13.0</b>	27	5.2
Remote	89	<b>19</b>	<b>21.4</b>	8	9.0
<b>Socio-economic status</b>					
1	497	<b>46</b>	<b>9.3</b>	18	3.6
2	863	<b>71</b>	<b>8.2</b>	35	4.1
3	1019	<b>65</b>	<b>6.4</b>	30	2.9
4	1248	<b>79</b>	<b>6.3</b>	47	3.8
5	1366	<b>56</b>	<b>4.1</b>	35	2.6

\*Potential RCS exposure at levels above national industry standard of 0.1mg/m<sup>3</sup>;

Chi square tests were conducted, results with significant differences ( $p < 0.05$ ) were highlighted in **bold and italic font**