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**Mechanical, thermal and tribological properties of  
polyimide/nano-SiO<sub>2</sub> composites synthesized using an *in-situ*  
polymerization**

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**Abstract:** Polyimide (PI)/nano-SiO<sub>2</sub> composites were successfully fabricated via a novel *in-situ* polymerization. Microstructure, thermal properties, mechanical performance and tribological behaviors of these composites were investigated. The results indicate that nano-SiO<sub>2</sub> dispersed homogeneously. Compared with pure PI, thermal stability and heat resistance are higher about 10°C with the addition of 5 wt% nano-SiO<sub>2</sub>. Compressive strength and modulus of composite with 5 wt% nano-SiO<sub>2</sub> increase by 42.6 and 45.2%, respectively. The coefficient of friction (COF) of composite with 5 wt% nano-SiO<sub>2</sub> decrease by 6.8% owing to the thick and uniform transfer films. Excess nano-SiO<sub>2</sub> could adversely affect the COF of PI/nano-SiO<sub>2</sub> composite. Additionally, wear resistance deteriorates obviously since transfer film exfoliates easily and nano-SiO<sub>2</sub> aggregates on the surface of transfer films.

**Keywords:** *polyimide (PI)/nano-SiO<sub>2</sub> composites, homogeneous dispersion, mechanical and thermal properties, friction and wear*

## 1. Introduction

Polyimide (PI) is an excellent polymeric material due to its outstanding material performance such as high mechanical strength, acceptable wear resistance under certain conditions and good thermal stability. It is often used to fabricate PI based composites as self-lubricating bearings materials [1,2]. The addition of inorganic nano-particles has been proved to be an effective way to improve thermal, mechanical and tribological properties of PI matrices. As a matter of fact, nanoparticles tend to be agglomerated into larger clusters, especially when particle contents are much higher due to the van der Waals interaction [3]. Hence, it is critical to obtain homogeneously dispersed nanoparticles in manufactured nanocomposite systems.

Typical methods employed to improve the dispersion state of nanoparticles consist of *in-situ* polymerization, melt compounding, mechanical mixing methods, etc. Minko et al. [4] synthesized PI/SiO<sub>2</sub> films via a sol-gel process and found that properties of PI composites were significantly improved with the addition of nano-SiO<sub>2</sub> particles. Liu et al. [5] investigated tribological properties of PI/nano-TiO<sub>2</sub> composite films via an *in-situ* polymerization. The friction coefficient and wear rate of PI/TiO<sub>2</sub> composites became smaller than those of pure PI at the optimum contents of 3 and 9%,

respectively in a dry sliding condition. However, the friction coefficient of PI/TiO<sub>2</sub> composites was shown to increase with the water lubrication. Diez-Pascual et al. [6] fabricated polyetheretherketone (PEEK)/PI composites reinforced with TiO<sub>2</sub> nanoparticles with the aid of ultrasonication and subsequent melt compounding. The results indicated that thermal stability, glass transition temperature and tribological properties were improved evidently with 4 wt% TiO<sub>2</sub>. Jia et al. [7] incorporated nano-expanded graphite (EG) into PI using mechanical mixing and investigated tribological properties of PI/nano-EG composites. The addition of 15 wt% nano-EG significantly increased the wear resistance of PI matrices by 200 times. Cai et al. [8] synthesized PI/Al<sub>2</sub>O<sub>3</sub> composites by incorporating nano-Al<sub>2</sub>O<sub>3</sub> into PAA (precursor of PI) solution. The associated results indicated that 3 to 4 wt% nano-Al<sub>2</sub>O<sub>3</sub> could improve the tribological properties remarkably. These mixing methods, especially *in-situ* polymerization, have been proven to be effective approach to improve the properties of polymer matrices. However, the dispersion state of nanoparticles is not satisfactory at higher contents and *in-situ* polymerization method is generally used in the film fabrication. But, films are not easily processed into self-lubricating bearings or self-lubricating parts, which limits the use of polymer composites. Compared with films, powders might be more suitable for self-lubricating substrate or additives.

On the other hand, among above-mentioned inorganic particles, SiO<sub>2</sub> nanoparticles exhibit widespread applications for the enhancements of thermal, mechanical and tribological properties. Zhang et al. [9] used a ball milling technique to disperse nano-SiO<sub>2</sub> particles into PEEK powders in order to evaluate tribological properties of PEEK/SiO<sub>2</sub> composites. Although nano-SiO<sub>2</sub> particles were agglomerated at high contents of 4 wt%, their incorporation led to the significant improvement for tribological properties of composites. Lai et al. [10] studied the effect of silica size on tribological performances of PI/nano-SiO<sub>2</sub> composites by means of the sol-gel process to disperse nano-SiO<sub>2</sub> particles into PI membranes. The enhancement of tribological properties is ascribed to the homogeneous dispersion of nano-SiO<sub>2</sub> particles at the nanoscaled level. Liu et al. [11] dispersed nano-SiO<sub>2</sub> particles into the PI precursor via *in-situ* polymerization and thermal imidization to fabricate PI/nano-SiO<sub>2</sub> composite

films. Nano-SiO<sub>2</sub> particles were detected to be uniformly dispersed at a low filler content of 9 wt%, but easily agglomerated at the high content level.

The above-mentioned literatures demonstrate excellent material performance for PI/nano-SiO<sub>2</sub> composites. Additionally, the research of PI/nano-SiO<sub>2</sub> molding powders is still not as much as that of PI/nano-SiO<sub>2</sub> films. Given these, it is very necessary to find a simple but efficient dispersion method for the application of PI/nano-SiO<sub>2</sub> composite powders. The objective of this study is to explore the feasibility of a novel *in-situ* polymerization on the dispersion of nano-SiO<sub>2</sub> particles in PI matrices and holistically investigate thermal, mechanical and tribological properties of PI/nano-SiO<sub>2</sub> composites.

## **2. Experimental details**

### *2.1 Materials*

3,3',4,4'-oxydiphthalic anhydride (ODPA) and 4,4'-oxydianiline (ODA) were supplied by Changzhou Sunchem Pharmaceutical Chemical Material Co., Ltd., China. Acetone was purchased from Tianjin Jindongtianzheng Fine Chemical Reagent Factory, China. Acetic anhydride and methylbenzene were obtained from Tianjin Kermel Chemical Reagent Co., Ltd. Triethylamine was supplied by Tianjin Guangfu Fine Chemical Research Institute, China. Nano-SiO<sub>2</sub> particles and 3-glycidyloxypropyltrimethoxysilane (GOTMS) were obtained from Aladdin industrial corporation, China. All other chemicals were commercially available from various sources without modification.

### *2.2 Preparation of PI/nano-SiO<sub>2</sub> composites*

Acetone, nano-SiO<sub>2</sub> particles and GOTMS were placed into a beaker, subjected to magnetic stirring and ultrasonication for 30 min at room temperature. Subsequently, ODA was added with continuous stirring for 1 h at 50 °C to ensure the sufficient reaction between ODA and GOTMS. ODPA was added into the mixture in batches by stirring for 4 h to obtain PAA/nano-SiO<sub>2</sub> composites. Finally methylbenzene, triethylamine and acetic anhydride were stirred with the aforementioned mixture for 1 h in the imidization process. The mixture was filtrated to collect PI/nano-SiO<sub>2</sub> composites. Then PI/nano-SiO<sub>2</sub> composites were washed thoroughly with acetone and

dried at 80°C. After heat treatment at 280°C for 2 h, PI/nano-SiO<sub>2</sub> composite powders were finally prepared. The material formulations of PI/nano-SiO<sub>2</sub> composites are listed in Table 1.

Pure PI and PI/nano-SiO<sub>2</sub> powders were compressed under 20 MPa at 380°C for 1 h in a graphite mold with the cylindrical cavity (diameter: 50 mm) [1]. After released from the mold, parts with the thickness of 3 mm were cut into specimens for mechanical test and friction test, respectively.

### 2.3 Material characterization

The molecular structure of PI composites was characterized by Fourier transform infrared spectroscopy (FT-IR) spectra with the aid of a Nicolet IS5 FT-IR spectrometer (Thermo Fisher Co., America). In order to investigate dispersion state of nano-SiO<sub>2</sub> particles, the morphologies of PI/nano-SiO<sub>2</sub> composites (powders and molding specimen) were observed using S-4800 scanning electron microscope. The samples were sputter coated with a thin layer of gold (about 10- 20 nm) prior to the scanning electron microscopy (SEM) examination.

Thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) were performed together on a differential scanning calorimeter (STA449C, NETZSCH). Experiments were carried out on an approximately 8 mg of samples at a heating rate of 10 °C min<sup>-1</sup> from 35 to 1000 °C in air and argon atmosphere.

Mechanical properties were obtained using both compression and hardness tests. Compression tests were conducted by using a universal testing machine (WDW3100 KeXin, China) according to GB/T 1041-2008 (ISO 604-2002). Specimen was cut into size: 5 mm×6 mm×3 mm and compressive strength was recorded at strain 10%. Furthermore, the Shore hardness of PI/nano-SiO<sub>2</sub> composites was measured using a digital durometer *D* (Shore) according to GB/T 2411-2008 (ISO 868:2003). The reported average data were based on at least three samples for each test for good reproducibility.

### 2.4 Friction and wear tests

Friction and wear behavior of PI/nano-SiO<sub>2</sub> composites were evaluated on a reciprocating ball-on-disc friction and wear tester (i.e. CSM Tribometer). To match

the tribometer, the size of friction test specimen is 18 mm×10 mm×3 mm. For friction and wear tests, sliding was performed under ambient environment for 1.5 h at sliding velocities of 0.08 and 0.04 m/s under the normal loads of 5 and 10 N. The ambient environment was at room temperature and humidity. Prior to each test, sample surfaces were polished using water-abrasive papers. Further, the specimen and GCr15 ball with 3 mm in diameter were cleaned with acetone using ultrasonication for 20 min prior to drying. The dimensions of wear scar on the surface of specimens were measured with a three dimensional surface measuring instrument at the end of each test. The wear volume loss,  $V$ , of the block specimen was calculated based on the following equation [12]:

$$V = B \left[ \frac{\pi}{180} R^2 \arcsin \frac{b}{2R} - \frac{b}{2} \sqrt{R^2 - \frac{b^2}{4}} \right] \quad (1)$$

where  $V$  is the wear volume loss ( $\text{mm}^3$ ),  $B$  is the wear track length of the sample (mm),  $b$  is the wear track width (mm), and  $R$  is the radius of GCr15 ball (mm). The wear resistance of PI/nano-SiO<sub>2</sub> composites was determined by the formula [12]:

$$K = \frac{V}{FL} \quad (2)$$

where  $K$  is specific wear rate ( $\text{mm}^3(\text{N}\cdot\text{m})^{-1}$ ) of PI/nano-SiO<sub>2</sub> composites,  $F$  is the load (N) and  $L$  is the sliding distance (m). To minimize experimental errors, the final data were obtained by averaging the results from three repeated tests. Finally, wear surfaces and transfer films were inspected by SEM micrographs.

### 3. Results and discussion

#### 3.1 FT-IR analysis

FT-IR spectra of nano-SiO<sub>2</sub> and PI/nano-SiO<sub>2</sub> composites with different nano-SiO<sub>2</sub> contents were revealed in Fig. 1(a). The spectrum of nano-SiO<sub>2</sub> exhibits a wide peak at 3434  $\text{cm}^{-1}$ , which is attributed to the O-H stretching [13]. The intense absorption peak at 1089 and 469  $\text{cm}^{-1}$  arises from the Si-O-Si stretching and bending vibration [14], respectively. The peaks of imide rings are at 1711  $\text{cm}^{-1}$  for C=O symmetric stretching and 1777  $\text{cm}^{-1}$  for C=O asymmetric stretching in the spectrum of pure PI [15]. The characteristic peaks of imide rings can also be found in PI/nano-SiO<sub>2</sub>

composites. Nonetheless, the characteristic band of the amide carbonyl at  $1650\text{ cm}^{-1}$  is invisible, signifying the complete imidization reaction taking place. In addition, it can be observed that the peak intensities of Si-O-Si stretching with a sign of being overlapped with the peaks of PI in the  $1000 - 1100\text{ cm}^{-1}$  region and bending vibration are enhanced gradually with increasing the nano-SiO<sub>2</sub> contents.

FT-IR spectra of pure PI and PI/nano-SiO<sub>2</sub> composites with the nano-SiO<sub>2</sub> content of 20 wt% before and after 280°C treatment are shown in Fig. 1(b). The absorption peak at  $1545\text{ cm}^{-1}$  (i.e. N-H deviational vibration and C-N stretching) implies that the chemical imidization is insufficient, and this peak disappeared after 280°C heat treatment. Compared with pure PI, PI/nano-SiO<sub>2</sub> composites before 280°C treatment displayed a typical peak for -CH<sub>2</sub>- absorption at  $2938\text{ cm}^{-1}$ , which indicates that the coupling agent GOTMS plays an effective role in achieving better interactions between PI and nano-SiO<sub>2</sub> particles. As evidenced by the higher wave number of composites for imide peaks assigned to C=O vibration than that of pure PI, a spectral blue shift [16] is demonstrated owing to the strong interactions between the coupling agent and other components. After 280°C treatment, the major portion of -CH<sub>2</sub>- absorption peak has disappeared and the wave number of composite imide peaks for C=O vibration is still higher than that of pure PI. These phenomena illustrate there is still weak interactions between the coupling agent and other components.

### *3.2 Morphology analysis*

In general, mechanical and tribological properties of polymer based nanocomposites are influenced by the dispersion state of nanofillers [11]. Given this, to better investigate the distribution of nano-SiO<sub>2</sub> particles in PI matrices, morphological structures of PI/nano-SiO<sub>2</sub> powders and fracture surface of their molding specimens were observed at the nano-SiO<sub>2</sub> content of 20 wt%. SEM and backscattered (BSE) morphologies of PI/nano-SiO<sub>2</sub> powders are shown in Fig. 2(a, b). The surface of PI/nano-SiO<sub>2</sub> particles exhibits little sign of SiO<sub>2</sub> re-aggregation, which reveals the uniform dispersion of nano-SiO<sub>2</sub> particles on the surface of PI powders. To further study the dispersion state of nano-SiO<sub>2</sub>, the surface of hot-pressed specimen was used to conduct the silicon mapping in Fig. 2(c, d). As can be seen, Si

atoms (light dots) are dispersed homogeneously in PI matrices and the distribution of Si atoms is similar to that shown in the previous literature [15], which further confirms that nano-SiO<sub>2</sub> particles are homogeneously dispersed within PI matrices. Moreover, the fracture surface of hot-pressed specimen was observed using field emission scanning electron microscope (FESEM), and the high-magnification micrograph of the surface is depicted in Fig. 2(e). It can be observed that the fracture surface is relatively smooth without a clear evidence of agglomerated nano-SiO<sub>2</sub> particles [11], implying the uniform dispersion of nano-SiO<sub>2</sub> particles. Hence, it can be concluded that nano-SiO<sub>2</sub> particles are dispersed homogeneously into PI matrices with this polymerization method, even at higher nano-SiO<sub>2</sub> content of 20 wt%. The homogenous dispersion of nano-SiO<sub>2</sub> particles may be associated with the better interaction between monomers and nanoparticles with the existence of coupling agents [17], as well as PAA to facilitate the segregation of nanoparticles for the fabrication of such composites [18].

### 3.3 Thermal properties

TG curves and DSC traces are shown in Fig. 3 to investigate the thermal stability and heat resistance of PI/nano-SiO<sub>2</sub> composites, respectively. And the results are summarized in Table 2.

As shown in Fig. 3(a), weight loss (2.5 - 4%) of PI/nano-SiO<sub>2</sub> composites is higher than that of pure PI at 2.5% between 300 and 500°C. This phenomenon might be attributed to the use of silane coupling agent (GOTMS), since the decomposition temperature of aliphatic group is lower than that of PI matrices [19]. From Table 2,  $T_5$  and  $T_{10}$  of PI/nano-SiO<sub>2</sub> composites are in the range of 516.3 ~ 544.0 °C and 554.6 ~ 565.8 °C respectively, which illustrates PI/nano-SiO<sub>2</sub> composites have excellent thermal stability.  $T_{10}$  values of PI/nano-SiO<sub>2</sub> composites are higher than or equal to that of pure PI (554.6 °C).  $T_5$  values of PI/nano-SiO<sub>2</sub> with 5 and 25 wt% nano-SiO<sub>2</sub> are higher up to 11.5 and 10°C than that of pure PI (532.5 °C) obviously, but *those* of PI/nano-SiO<sub>2</sub> are lower than that of pure PI when nano-SiO<sub>2</sub> content is in the range of 10 to 20 wt%. This phenomenon means that nano-SiO<sub>2</sub> contribute to the degradation



of PI matrices when its content is above 10 wt%, since excess high SiO<sub>2</sub> content causes negative effects on composites' thermal properties [20]. Similar phenomenon was observed in PI/nano-SiO<sub>2</sub> films when SiO<sub>2</sub> content is higher (13 wt%) [11] and (67.42 wt%) [20]. They attributed this phenomenon to the following reasons: first, the motion and reaction of PI molecules were hindered with the increase of nano-SiO<sub>2</sub> particles, which enhance the thermal stability of PI matrix; second, crosslink structure of PI matrices was broken and the phase separation size increased at high nano-SiO<sub>2</sub> content, which deteriorated the thermal stability of PI matrix. The second effect plays an important role in the degradation of PI matrices when nano-SiO<sub>2</sub> content is higher. Compared with literature [11, 20], the second effect was enhanced at lower nano-SiO<sub>2</sub> content (10 wt%) using our dispersion method, which might be because nano-SiO<sub>2</sub> is more homogeneously in our study. Meanwhile, the first effect was enhanced with the increase of nano-SiO<sub>2</sub> content [19], which caused upward trend in decomposition temperature with the increase of nano-SiO<sub>2</sub> content (10 – 25 wt%). As illustrated in Fig. 3(a), above 600 °C, the maximum decomposition rate of composites with various nano-SiO<sub>2</sub> contents ranged in the following order: 0 wt% > 25 wt% > 20 wt% > 15 wt% > 10 wt% > 5 wt%. The decomposition rate of PI/nano-SiO<sub>2</sub> composites, which is lower than that of pure PI, increases with the increase of nano-SiO<sub>2</sub> content. This means that thermal stability of PI/nano-SiO<sub>2</sub> composites is higher than that of pure PI and decreases with the increase of nano-SiO<sub>2</sub> content when temperature is above 600°C. Appropriate amounts of nanoparticles restrict the thermal decomposition of PI matrices, which is ascribed to the use of nano-SiO<sub>2</sub> particles to delay the escape of decomposition products from PI/nano-SiO<sub>2</sub> composites at high temperature [21]. However, nano-SiO<sub>2</sub> dispersed homogeneously in PI matrix and thermal conductivity of nanocomposites is relative higher than that of polymer matrix [22, 23], which means that the thermal conductivity of PI/nano-SiO<sub>2</sub> increases with the increase of nano-SiO<sub>2</sub> content. Therefore, PI matrix might be heated evenly and oxidized easily, which will increase the oxide decomposition rate of PI matrix at high temperature (above 600 °C).

TG curves can also be used to demonstrate the real composition of nano-SiO<sub>2</sub>

particles in PI matrices [24,25]. Meanwhile, the mainly composition of PI/nano-SiO<sub>2</sub> composites includes PI, nano-SiO<sub>2</sub> and GOTMS, so the residuals of oxidation decomposition were SiO<sub>2</sub>. The residuals of PI/nano-SiO<sub>2</sub> composites were corresponding to the expected content of nano-SiO<sub>2</sub> as shown in Table 2, which indicates that the addition of expected nano-SiO<sub>2</sub> proportion into PI matrix was successful.

On the other hand, the heat resistance of PI/nano-SiO<sub>2</sub> composites can be evaluated from Fig. 3(b) and Table 2. The glass transition temperatures ( $T_g$ ) of composites are in range of 256.6 ~ 265.2 °C, which is higher up to 6.6 ~ 15.2 °C than that of pure PI ( $T_g = 250$  °C). This finding can result from the hindrance of PI chain movements owing to dispersed nano-SiO<sub>2</sub> particles [26]. Although  $T_g$  of PI/nano-SiO<sub>2</sub> composites slightly fluctuates with increasing the nano-SiO<sub>2</sub> content, the heat resistance of PI/nano-SiO<sub>2</sub> composites is much better than that of pure PI.

### *3.4 Mechanical properties*

Shore hardness, compressive strength and compressive modulus are essential mechanical properties for the application of PI/nano-SiO<sub>2</sub> composites, whose results are shown in Fig. 4. Shore hardness of PI/nano-SiO<sub>2</sub> composites is higher than that of pure PI, which also increases significantly with the increase of nano-SiO<sub>2</sub> content, as shown in Figure 4(a). This phenomenon could be explained by the high hardness of nano-SiO<sub>2</sub> particles and strong interfacial interaction between PI and nano-SiO<sub>2</sub> particles [27].

Compared with the strength of pure PI (88.02 MPa), PI/nano-SiO<sub>2</sub> composites possess higher values (125.51 ~ 128.73 MPa) with the increasing level over 42.6% when nano-SiO<sub>2</sub> content is 5 ~20 wt%, as shown in Figure 4(b). After an initial enhancement when increasing the nano-SiO<sub>2</sub> contents from 0 to 5 wt%, the compressive strength tended to reach the retention with the increase of nano-SiO<sub>2</sub> content from 5 to 20 wt%, the compressive strength finally decreased when increasing nano-SiO<sub>2</sub> content from 20 to 25 wt%. This phenomenon was attributed to the counterbalance of following reasons: first, addition of nano-fillers make composites

more compact and nano-fillers could absorb a portion of crack energy [28], thus resulting in the improvement of mechanical properties of composites. Second, the content of polymer substrate reduces with the increase of fillers and induces stress concentration sites in polymer matrices [9], which caused the decrease in mechanical performance. In addition, the aggregation of nano-fillers is inevitably with the increase of fillers content, which leads to the deterioration of mechanical performance. Therefore, mechanical performance of nanocomposites increases at first and then decreases with the increase of nano-fillers content. This phenomenon is similar to the mechanical performance of PI modified by clay [29],  $\text{Al}_2\text{O}_3$  [8] and  $\text{SiO}_2$  [19]. However, compressive strength reached retention with the increase of nano- $\text{SiO}_2$  content from 5 to 20 wt% in our study. The difference might be mainly attributed to the more evenly dispersed state of nano- $\text{SiO}_2$  and stronger adhesion strength of GOTMS than literature.

More interestingly, compressive modulus exhibits a similar trend with compressive strength, as shown in Fig. 4(b). The optimum compressive modulus of PI/nano- $\text{SiO}_2$  composites (1.75~1.77 GPa) are 45.2% higher than that of pure PI (1.21 GPa). These improvements can be due to the strong reinforcement of homogeneously dispersed nano- $\text{SiO}_2$  particles and good interfacial adhesion between PI and nano- $\text{SiO}_2$  particles accordingly [30]. All of above illustrate that PI/nano- $\text{SiO}_2$  composites possess much better mechanical properties than that of pure PI, which also coincide with the fact that nano- $\text{SiO}_2$  can act as effective fillers for the application of additive reinforcements [10, 11].

### *3.5 Friction and wear properties*

Coefficient of friction (COF) curves of PI/nano- $\text{SiO}_2$  composites with different nano- $\text{SiO}_2$  contents as a function of time at the load of 10 N and sliding velocity of 0.08 m/s and their average values are shown in Fig. 5. From Fig. 5(b), it can be concluded that the addition of nano- $\text{SiO}_2$  is beneficial to the reduction of COF when nano- $\text{SiO}_2$  content is below 10 wt%, especially with the lowest level of 0.274 at 5 wt% as opposed to 0.294 for that of pure PI. Such a finding may lie in homogeneous dispersion of nano- $\text{SiO}_2$  particles and strong interfacial interaction between PI

matrices and nano-SiO<sub>2</sub> [30]. Meanwhile, wear debris act as rollers in contact zone, which change sliding friction to rolling friction [31]. Therefore, COF of PI/nano-SiO<sub>2</sub> composites decreases when the content of nano-SiO<sub>2</sub> particles is lower. However, with the increase of amounts of nanoparticles, nanoparticles promote the spalling of polymer matrices and produce more debris, which cause severe abrasive wear [28]. Therefore, the COF of PI/nano-SiO<sub>2</sub> composites increases when the content of nano-SiO<sub>2</sub> particles is in the range of 5 ~25 wt%. As shown in Fig. 5(b), wear rate of PI composites is higher than that of pure PI and is about 2 orders magnitude higher than literatures [32, 33, 34]. It should be noted that the PI/nano-SiO<sub>2</sub> composites in current study is structurally different from those in literatures, which is directly resulted from the *in-situ* synthesis strategy that applied here. In this paper, nano-SiO<sub>2</sub> particles were dispersed into 3,3',4,4'-oxydiphthalic anhydride (ODA) to directly participate in the synthesis of PI/nano-SiO<sub>2</sub> composites (as described in *Experimental details*), which is essentially different from the mechanical blending of PI products and additives used in references [32-34]. One of the direct outcomes of the *in-situ* synthesis strategy is the varied molecular weight of PI/SiO<sub>2</sub> composites [35], which is highly associated with the tribological properties of the PI-based composites [1, 36] and the crosslinking reaction in molding process—another aspect that affects the tribological properties of PI-based composites [11, 37]. In addition, the deteriorated bonding strength between the transfer film and the counterpart surface might be another aspect that responsible for the decreased wear resistance of the PI/nano-SiO<sub>2</sub> composites [38]. This is apparently demonstrated by the local exfoliation of the transfer films (as shown in Fig. 8 at section 3.6), resulting in the dramatic increase in the wear rate of the PI/nano-SiO<sub>2</sub> composites [38].

In addition, the COF and wear rate of PI/nano-SiO<sub>2</sub> composites at different loads were studied at a sliding velocity of 0.08 m/s with the relevant results shown in Fig. 6. It can be seen that the COF have a similar trend as a function of nano-SiO<sub>2</sub> content at light and heavy loads, as shown in Fig. 6(a). In addition, the COF for 5 wt % nano-SiO<sub>2</sub> particles is the lowest at both light and heavy loads of 5 and 10 N. Besides, the main trend is that a relatively small COF was displayed at heavy load, which

indicates that composites are slightly suitable for the overloading condition. This can be theoretically explained by the equation  $\mu = kN^{n-1}$  (where  $\mu$  is the COF,  $N$  is the load,  $k$  and  $n$  are constants where  $n$  is in the range from 2/3 to 1) [39]. As shown in Fig. 6(b), the wear rate of PI/nano-SiO<sub>2</sub> composites is much higher than that of pure PI. The wear rate initially increases significantly when increasing the nano-SiO<sub>2</sub> contents from 0 to 5 wt%, and then becomes nearly level-off in the range of 5-20 wt%. Further moderate enhancement of wear rate is evident when the nano-SiO<sub>2</sub> content reaches 25 wt%. As abrasive wear generally acts as the major wear characteristic for composites with high filler content levels, the intensification of abrasive wear occurs with the load increase [40]. Meanwhile, the wear rate under light load is relatively small as opposed to that under heavy load, revealing that composites with higher nano-SiO<sub>2</sub> contents tend to be more wear resistant under light load.

Fig. 7 shows the effects of nano-SiO<sub>2</sub> content on the COF and wear rate of PI/nano-SiO<sub>2</sub> composites under two different sliding velocities of 0.04 and 0.08 m/s with the load at 10 N. From Fig. 7(a), the COF of PI/nano-SiO<sub>2</sub> composites at 0.04 m/s is higher than that at 0.08 m/s when nano-SiO<sub>2</sub> content is below 5 wt %. However, above the nano-SiO<sub>2</sub> content of 10 wt% (except 20 wt%), COF of PI/nano-SiO<sub>2</sub> composites at 0.04 m/s appears to be lower than that at 0.08 m/s. Notwithstanding that viscous resistance is improved with the increase of sliding velocity, elastic behavior of materials is the main component to diminish the effect of viscous resistance, and contact time is short in the contact zone at the high sliding velocity [41]. The high nano-SiO<sub>2</sub> content induces more debris in the contact zone at the high velocity, in turn resulting in the increase of COF, which coincides with the main trend of wear rate at different sliding velocities.

### *3.6 SEM analysis of worn surfaces and transfer films*

The morphologies of worn surfaces and transfer films of PI/nano-SiO<sub>2</sub> composites sliding against GCr15 steel ball at 0.08 m/s and 10 N are shown in Fig. 8. The friction force can be decomposed into interfacial adhesion and plowing [42], leading to both adhesive and abrasive wears on the sliding surfaces of PI/nano-SiO<sub>2</sub> composites. On

the other hand, the frictional force is generally influenced by mechanical properties and friction heat of specimens [43]. The addition of nano-SiO<sub>2</sub> particles impacts mechanical and thermal properties of PI/nano-SiO<sub>2</sub> composites, as evidenced in Figs. 3 and 4, respectively. Consequently, the wear mechanism is altered with the increase of nano-SiO<sub>2</sub> contents.

As shown in Fig. 8(a<sub>1</sub>), the worn surface of PI/nano-SiO<sub>2</sub> composites with the nano-SiO<sub>2</sub> content of 5 wt% is smooth and characterized by slight scuffing resulting from abrasive wear. Additionally, there exists tiny debris on the worn surfaces. Transfer films of PI/nano-SiO<sub>2</sub> composites with the nano-SiO<sub>2</sub> content of 5 wt% is thick and uniform relatively (Fig. 8a<sub>2</sub>), which suggests that adhesive and abrasive wear play an important role in wear mechanism [44]. Owing to the dominant adhesion wear, the worn surfaces of pure PI is rough and severe scuffing; the transfer film is thick, lumpy and unevenness [8, 3939]. Addition of nano-SiO<sub>2</sub> causes tremendous changes on worn surfaces and transfer films, which are consistent with the lowest COF of PI/nano-SiO<sub>2</sub> composites with the nano-SiO<sub>2</sub> content of 5 wt%. However, the toughness of PI composites decreases with the addition of nano-SiO<sub>2</sub> particles [9], which promoted the spalling of the PI matrices and generated more debris between friction pairs [28]. Meanwhile, exfoliation of the transfer films (Fig. 8a<sub>2</sub>) indicates that bonding strength between transfer films and counterpart is lower under the experiment conditions of this paper [38]. Therefore, direct contact between specimens and counterpart increase the wear rate of PI composites. In other words, wear resistance of PI/nano-SiO<sub>2</sub> composites with 5 wt% nano-SiO<sub>2</sub> is lower (Fig. 5b). When nano-SiO<sub>2</sub> content reaches 10 wt%, obvious bulges can be detected on worn surfaces without scratches (Fig. 8b<sub>1</sub>). This phenomenon means that the dominant wear mechanism was transformative from adhesive wear to abrasive wear as nano-SiO<sub>2</sub> content increasing. The thicker and wider transfer film contributes to the decrease of wear rate when nano-SiO<sub>2</sub> content increases from 5 to 10 wt%, although local transfer films peels off obviously (Fig. 5). When nano-SiO<sub>2</sub> content increases up to 15 wt%, the deterioration of worn surface morphology is observed, along with some deep furrows and micro-pits on worn surfaces (Fig. 8c<sub>1</sub>). The occurrence of scratches

implies serious abrasive characteristic existing in the wear system to deteriorate the friction. This finding is also confirmed with many wear debris and nano-SiO<sub>2</sub> aggregates on the surface of transfer film, as illustrated in Fig. 8c<sub>2</sub>. As a result, the aggregation of nano-SiO<sub>2</sub> particles on the surface of transfer film can scratch the surface of specimens, which increases the wear rate of PI/nano-SiO<sub>2</sub> composites. Typical scratches, micro-pits and micro-cracks are observed on the worn surface morphology when nano-SiO<sub>2</sub> content increases up to 20 wt% (Fig. 8d<sub>1</sub>). This phenomenon presents that abrasive wear and fatigue wear act as major wear forms. Compared with nano-SiO<sub>2</sub> content of 15 wt% (Fig. 8c<sub>2</sub>), slight agglomeration of nano-SiO<sub>2</sub> particles is visible on the surface of wide and thin transfer films (Fig. 8d<sub>2</sub>), which induces the slight increase of wear resistance of PI/nano-SiO<sub>2</sub> composites (Fig. 5b). In conclusion, the dominant wear mechanism of PI/nano-SiO<sub>2</sub> composites is abrasive wear, which induces the decrease of COF because wear debris act as rollers at lower SiO<sub>2</sub> content [31]. Meanwhile, wide, smooth and uniform transfer film is beneficial to the improvement of tribological properties of PI/nano-SiO<sub>2</sub> composites. However, bonding strength between transfer films and counterpart is lower under the experiment conditions of this paper, which lead to the local exfoliation of the transfer films at lower SiO<sub>2</sub> content (Fig. 8a<sub>2</sub>, b<sub>2</sub>) and rough edges of transfer films at higher content (Fig. 8c<sub>2</sub>, d<sub>2</sub>). Therefore, direct contact between composites and counterpart is one of the aspects for the poor wear resistance of PI/nano-SiO<sub>2</sub> composites [38]. In addition, aggregation of nano-SiO<sub>2</sub> on the surface of transfer films at higher SiO<sub>2</sub> content increases the hardness of transfer films and scratches the surface of PI composites as shown in Fig. 8c<sub>2</sub>, d<sub>2</sub>, which is also responsible for the high wear rate of PI/nano-SiO<sub>2</sub> composites.

Furthermore, EDS results of transfer films were further analyzed on the abrasion mechanism with corresponding results shown in Fig. 9. Meanwhile, smart quant results of transfer films were summarized in Table 3. The main components of transfer films contain C, O, Si, Fe and Cr. The existence of C, O and Si implies that the debris of PI/nano-SiO<sub>2</sub> composites adheres to the surface of steel ball. Considering the small thickness of transfer films, their components suggest that Fe and Cr peaks stems

mainly from GCr15 counterpart ball. With the increase of nano-SiO<sub>2</sub> content, the mass of elements in transfer films changes significantly, which suggests that the thickness of transfer films varies with the increase of nano-SiO<sub>2</sub> contents. The thickness of transfer films for different nano-SiO<sub>2</sub> contents may be in the following sequence: 10 wt% > 5 wt% > 15 wt% > 20 wt%, which is in good accordance with the results obtained in Figs. 8(a<sub>2</sub>, b<sub>2</sub>, c<sub>2</sub>, d<sub>2</sub>).

## Conclusions

In this study, PI/nano-SiO<sub>2</sub> composite powders were synthesized successfully using this novel polymerization. And the effects of nano-SiO<sub>2</sub> particles on thermal, mechanical and tribological properties of PI/nano-SiO<sub>2</sub> composites have been successfully evaluated. High thermal resistance, excellent mechanical and antifriction properties and poor wear resistance may make it a potential material for the application of self-lubricating bearings with the requirement of low friction coefficient in discontinuous operation. The associated results are summarized as follows:

- Homogeneously dispersed nano-SiO<sub>2</sub> particles at high content levels were achieved within PI/nano-SiO<sub>2</sub> composite powders when this *in-situ* polymerization process was successfully employed.
- In comparison with pure PI, much better thermal stability and thermal resistance were obtained for PI/nano-SiO<sub>2</sub> composites with nano-SiO<sub>2</sub> content at 5 wt%. Meanwhile, thermal stability tended to become worse with the nano-SiO<sub>2</sub> content at 10 wt% and then improved with the increase of nano-SiO<sub>2</sub> content from 10 to 25 wt%.
- With nano-SiO<sub>2</sub> contents of 5 - 20 wt%, the compressive strength of PI/nano-SiO<sub>2</sub> composites was increased about 42.6% and compressive modulus is 45.2% higher than that of pure PI. Meanwhile, the increment of hardness was shown to be associated with the nano-SiO<sub>2</sub> contents between 0 - 25 wt% in a linear relationship. The COF of PI/nano-SiO<sub>2</sub> composites was initially decreased by 6.8% with increasing the nano-SiO<sub>2</sub> contents from 0 wt% to 5 wt%, and then was increased by 11% with increasing the nano-SiO<sub>2</sub> contents from 5 to 25 wt%. The lowest COF



was observed at nano-SiO<sub>2</sub> contents in the range of 5-10 wt% while the wear rate of PI/nano-SiO<sub>2</sub> composites at present nano-SiO<sub>2</sub> content became higher than that of pure PI. Nano-SiO<sub>2</sub> in the range of 5-10 wt% could be used to effectively restrict the adhesive wear and promote the decreasing of COF. Since transfer film exfoliates easily and nano-SiO<sub>2</sub> tends to amass on the surface of transfer films, wear resistance of PI/nano-SiO<sub>2</sub> composites is deteriorated.

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## Tables

**Table 1 The composition of PI/nano-SiO<sub>2</sub> composites**

| Sample                      | ODA (g) | ODPA (g) | Nano-SiO <sub>2</sub> (g) | GOTMS (g) | SiO <sub>2</sub> (wt%) |
|-----------------------------|---------|----------|---------------------------|-----------|------------------------|
| PI                          | 6.0     | 9.23     | 0                         | 0         | 0                      |
| PI/SiO <sub>2</sub> (5wt%)  | 6.0     | 9.23     | 0.72                      | 0.07      | 4.5                    |
| PI/SiO <sub>2</sub> (10wt%) | 6.0     | 9.23     | 1.52                      | 0.15      | 9.1                    |
| PI/SiO <sub>2</sub> (15wt%) | 6.0     | 9.23     | 2.41                      | 0.24      | 13.6                   |
| PI/SiO <sub>2</sub> (20wt%) | 6.0     | 9.23     | 3.41                      | 0.34      | 18.1                   |
| PI/SiO <sub>2</sub> (25wt%) | 6.0     | 9.23     | 4.55                      | 0.45      | 22.7                   |

*Note that weight fractions of nano-SiO<sub>2</sub> particles in PI/nano-SiO<sub>2</sub> composites were calculated from the initial amounts of nano-SiO<sub>2</sub> and GOTMS within PAA, assuming that ODA and ODPA react completely. Meanwhile, content of nano-SiO<sub>2</sub> was determined from literature [11].*

**Table 2 Thermal decomposition temperatures of PI and PI/nano-SiO<sub>2</sub> composites**

| Sample                      | $T_g$ (°C) | $T_5$ (°C) | $T_{10}$ (°C) | $T_c$ (°C) | Residual (wt %) |
|-----------------------------|------------|------------|---------------|------------|-----------------|
| PI (0wt%)                   | 250        | 532.5      | 554.6         | 698.0      | 0.04            |
| PI/SiO <sub>2</sub> (5wt%)  | 261.4      | 544.0      | 565.8         | 933.4      | 5.17            |
| PI/SiO <sub>2</sub> (10wt%) | 258.4      | 516.3      | 554.6         | 881.7      | 9.06            |
| PI/SiO <sub>2</sub> (15wt%) | 260.3      | 525.5      | 554.6         | 844.8      | 14.01           |
| PI/SiO <sub>2</sub> (20wt%) | 256.6      | 528.5      | 557.2         | 780.2      | 19.28           |
| PI/SiO <sub>2</sub> (25wt%) | 265.2      | 542.2      | 563.5         | 734.3      | 26.42           |

$T_g$ : temperature for glass transition;  $T_5$ : temperature for 5% weight loss;  $T_{10}$ : temperature for 10% weight loss;  $T_c$ : temperature for complete decomposition; Residual: weight at 950 °C.

\* There is no apparent  $T_g$  transformation for pure PI in DSC, its literature value from reference [1] was used in Table 2 instead.

**Table 3 EDS smart quant result summary for transfer films**

| Sample                    | Transfer film element |           |           |           |
|---------------------------|-----------------------|-----------|-----------|-----------|
|                           | C (wt %)              | Cr (wt %) | Fe (wt %) | Si (wt %) |
| PI/SiO <sub>2</sub> (5%)  | 68.7                  | 3.79      | 13.75     | 2.51      |
| PI/SiO <sub>2</sub> (10%) | 72.3                  | 1.82      | 6.10      | 2.14      |
| PI/SiO <sub>2</sub> (15%) | 58.9                  | 4.10      | 15.52     | 7.02      |
| PI/SiO <sub>2</sub> (20%) | 51.2                  | 5.26      | 20.81     | 5.73      |

## Figure Captions

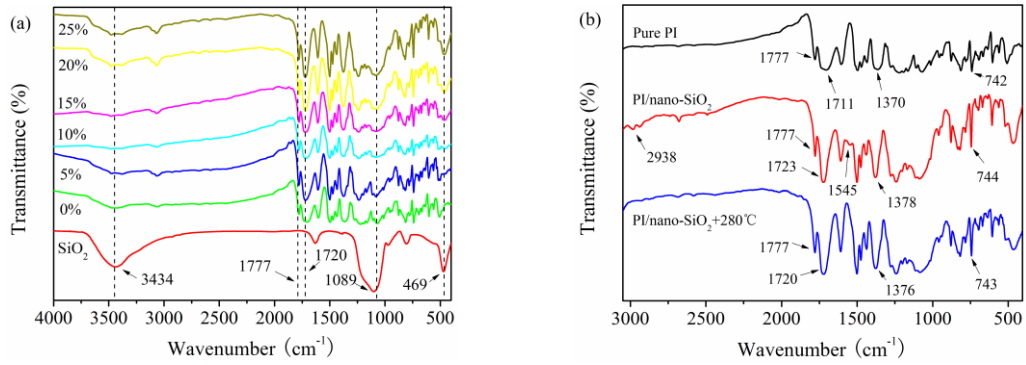


Fig. 1 FT-IR spectra of (a) nano-SiO<sub>2</sub> particles and PI/nano-SiO<sub>2</sub> composites with different nano-SiO<sub>2</sub> contents after 280 °C treatment; (b) pure PI, PI/nano-SiO<sub>2</sub> composites with the nano-SiO<sub>2</sub> content of 20 wt% before and after 280 °C treatment.

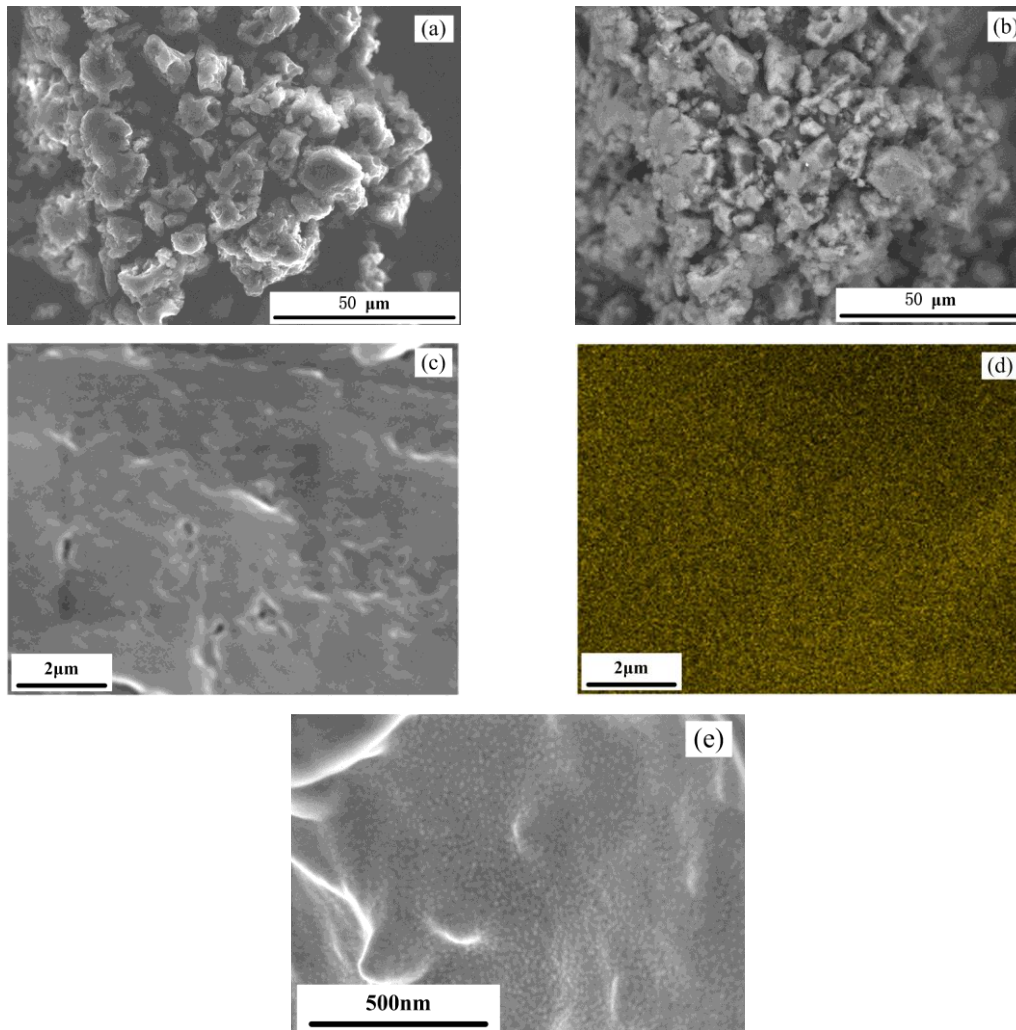


Fig. 2 SEM (a) and BSE (b) of PI/nano-SiO<sub>2</sub> powders (20 wt% nano-SiO<sub>2</sub>); silicon mapping area (c), silicon mapping (d) and fracture surface (e) of PI/nano-SiO<sub>2</sub> molding specimens (20 wt% nano-SiO<sub>2</sub>).

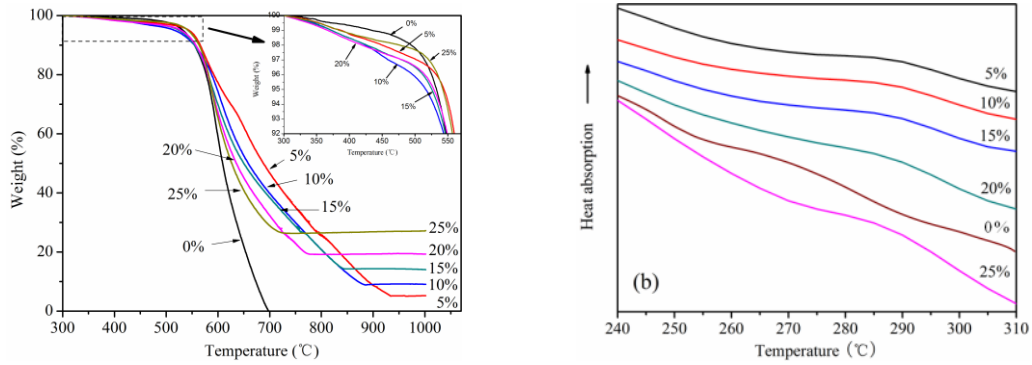


Fig. 3 (a) TG curves under air atmosphere, (b) DSC curves under argon atmosphere of pure PI and PI/nano-SiO<sub>2</sub> composites.

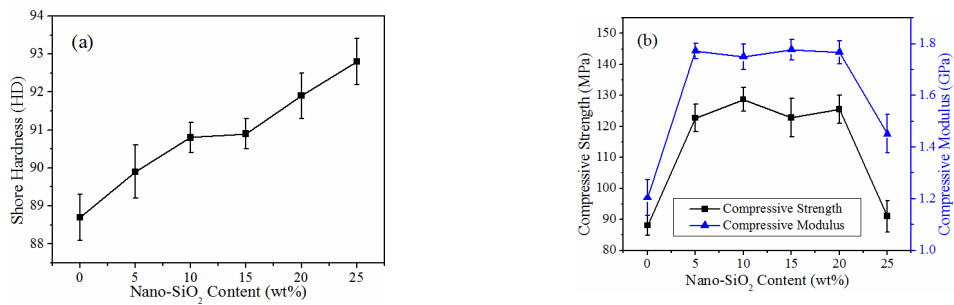


Fig. 4 Mechanical properties of PI/nano-SiO<sub>2</sub> composites with different nano-SiO<sub>2</sub> contents: (a) Shore hardness and (b) compressive strength and compressive modulus.

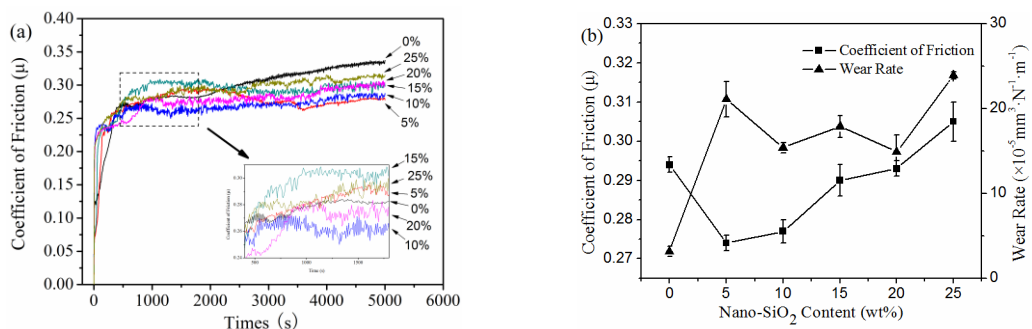


Fig. 5 (a) COF curves, (b) COF and wear rate of PI/nano-SiO<sub>2</sub> at the load of 10 N and sliding velocity of 0.08 m/s.

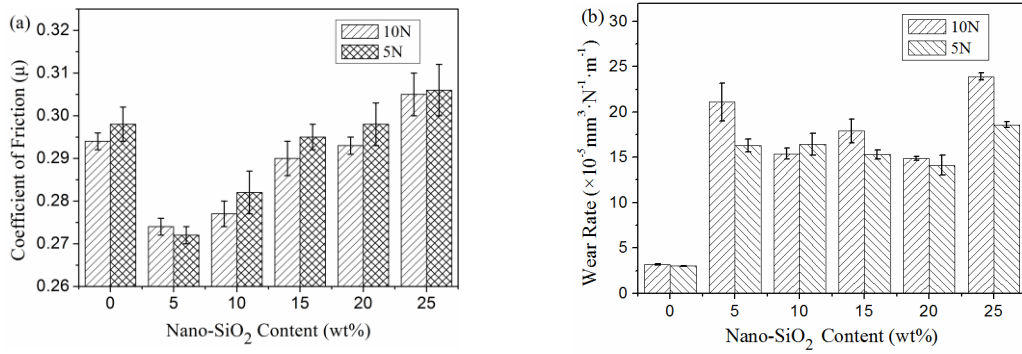


Fig. 6 Effects of loads on the COF and wear rate of PI/nano-SiO<sub>2</sub> composites as a function of Nano-SiO<sub>2</sub> content at a sliding velocity of 0.08 m/s.

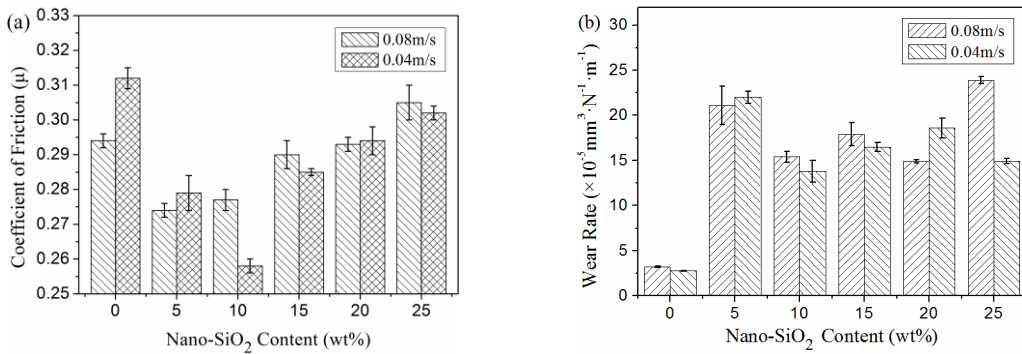


Fig. 7 Effects of sliding velocity on the COF and wear rate of PI/nano-SiO<sub>2</sub> composites under the load of 10 N.



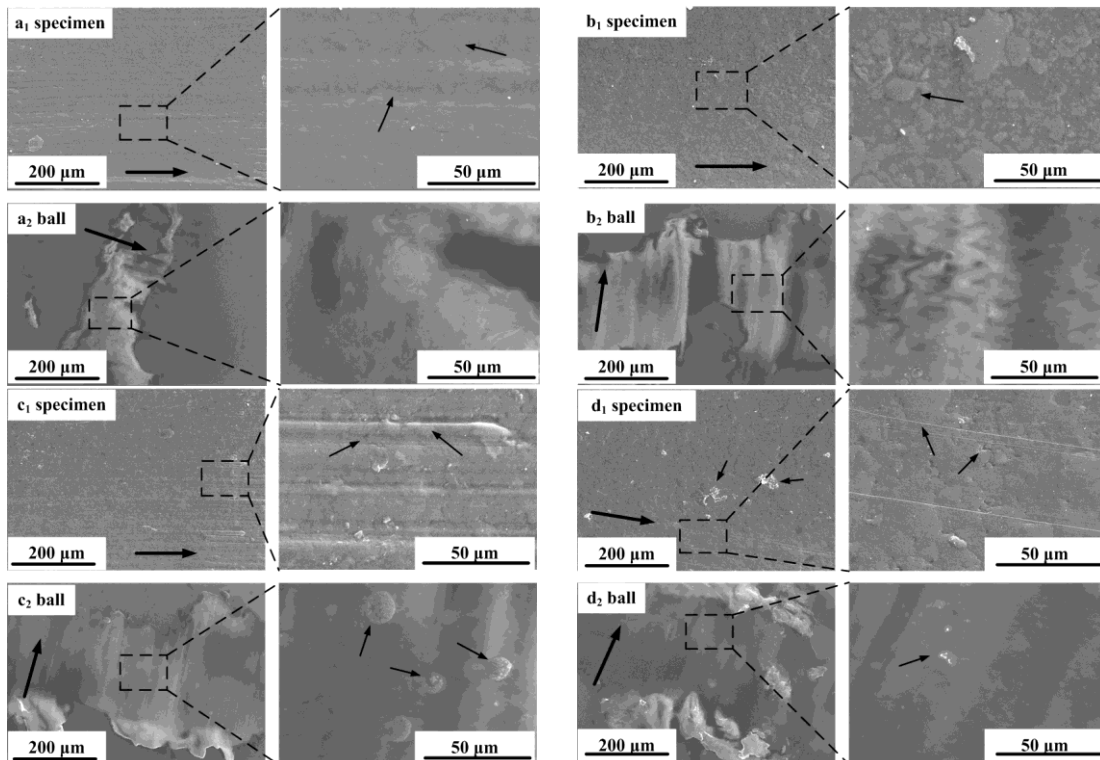


Fig. 8 SEM morphologies of worn surfaces of PI/nano-SiO<sub>2</sub> composite specimens and transfer films on a counterpart ball under the load of 10 N and sliding velocity of 0.08 m/s.  
 (a) 5% nano-SiO<sub>2</sub>, (b) 10% nano-SiO<sub>2</sub>, (c) 15% nano-SiO<sub>2</sub>, (d) 20% nano-SiO<sub>2</sub>.  
 The thick arrows indicate the sliding direction.

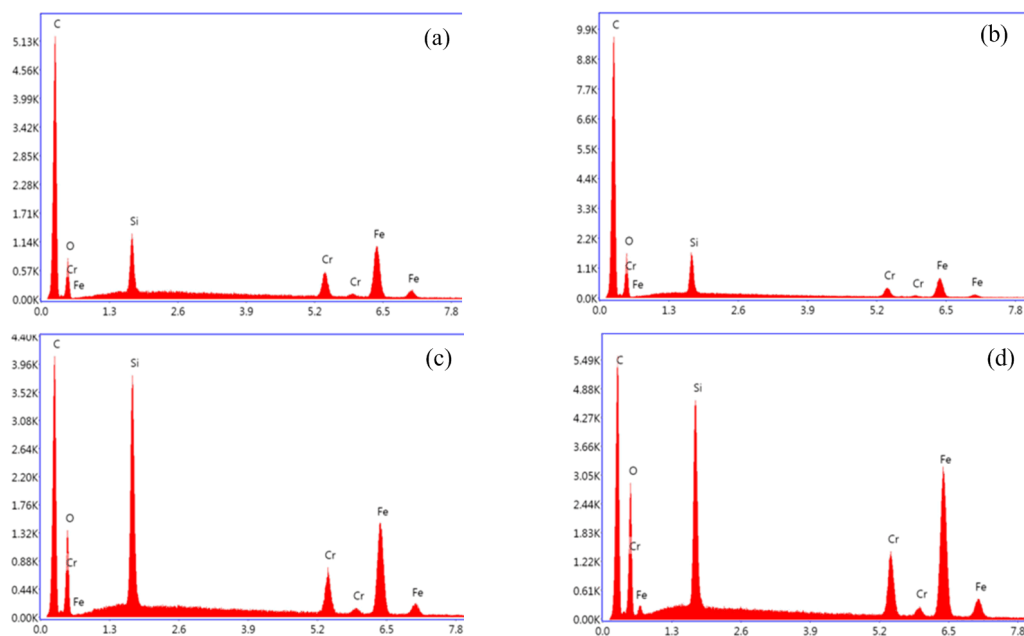


Fig. 9 EDS spectra of PI/nano-SiO<sub>2</sub> composites and counterpart transfer films with the load of 10 N and sliding velocity of 0.08 m/s at different nano-SiO<sub>2</sub> contents: (a) 5 wt%, (b) 10 wt%, (c) 15 wt% and (d) 20 wt%.