

1 **Formation and control of nitrogenous DBPs from Western Australian source waters:**
2 **Investigating the impacts of high nitrogen and bromide concentrations**

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10 **Abstract:** We studied the formation of four nitrogenous DBPs (N-DBPs) classes
11 (haloacetonitriles, halonitromethanes, haloacetamides, and *N*-nitrosamines), as well as
12 trihalomethanes and total organic halogen (TOX), after chlorination or chloramination of
13 source waters. We also evaluated the relative and additive toxicity of N-DBPs and water
14 treatment options for minimisation of N-DBPs. The formation of halonitromethanes,
15 haloacetamides, and *N*-nitrosamines were higher after chloramination and positively
16 correlated with dissolved organic nitrogen or total nitrogen. N-DBPs were major contributors
17 to the toxicity of both chlorinated and chloraminated waters. The strong correlation between
18 bromide concentration and the overall calculated DBP additive toxicity for both chlorinated
19 and chloraminated source waters demonstrated that formation of brominated haloacetonitriles
20 were the main contributors to toxicity. Ozone-biological activated carbon treatment was not
21 effective in removing N-DBP precursors. The occurrence and formation of N-DBPs should
22 be investigated on a case-by-case basis, especially where advanced water treatment processes
23 are being considered to minimise their formation in drinking waters, and where
24 chloramination is used for final disinfection.

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26 **Keywords:** Haloacetonitriles, haloacetamides, halonitromethanes, *N*-nitrosamines,
27 chlorination, chloramination

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32 **1 Introduction**

33 Over the past 15 years, the focus of investigations on DBPs in drinking water has gradually
34 shifted from regulated DBPs, such as the trihalomethanes (THMs) and haloacetic acids
35 (HAAs), to other emerging DBPs that are suspected to be more relevant from a human health
36 perspective. Nitrogen-containing DBPs (N-DBPs) are among these emerging DBPs, since
37 their cytotoxicity and genotoxicity in mammalian cells have been found to be much higher
38 than those of THMs and HAAs (Richardson, 2006; Plewa et al., 2004; Moudgal et al., 2000).
39 To-date, most epidemiological studies have not included N-DBPs in their assessment of
40 human health effects (e.g. Botton et al., 2015; Salas et al., 2014; Kogevinas et al., 2010;
41 Nieuwenhuijsen et al., 2009; Villanueva et al., 2004). One limited study found no association
42 between exposure to haloacetonitriles (HANs) during pregnancy, and small birthweight
43 (Ileka-Priouzeau et al., 2015). While it is not certain that the *in vitro* effects measured for N-
44 DBPs will translate to human health outcomes, further investigation of N-DBPs has been
45 identified as a research priority by numerous researchers and the US EPA (Krasner et al.,
46 2006; Woo et al., 2002; Richardson et al., 2007; Bull et al. 2006).

47 N-DBPs are generally found in drinking waters at significantly lower concentrations than
48 THMs and HAAs. Concentration of haloacetonitriles (HANs), halonitromethanes (HNMs),
49 and haloacetamides (HAMs) are typically reported up to 10-15 µg/L (Krasner et al., 2006;
50 Goslan et al. 2009; Bond et al., 2015; Liew et al., 2016), with HANs often the most
51 frequently detected class (Krasner et al., 2006; Liew et al., 2016). *N*-nitrosodimethylamine
52 (NDMA), the most frequently detected *N*-nitrosamine, is typically detected at concentrations
53 less than 10 ng/L in drinking waters. The concentrations measured are generally lower than
54 published guideline and regulation values (Boyd et al. 2012; Liew et al., 2012a).

55 The use of chloramine as a disinfectant has been associated with elevated concentrations of
56 N-DBPs relative to chlorination (e.g. Kristiana et al., 2014; Bond et al., 2011; Lee et al.,
57 2007), with chloramine itself reported to be an inorganic precursor to N-DBPs (Yang et al.,
58 2010). Nitrogen-enriched fractions of organic matter have also been found to have a higher
59 propensity to form N-DBPs (Bond et al., 2012; Dotson et al., 2009). Algal organic matter is a
60 known major source of dissolved organic nitrogen (DON) in the natural environment, and
61 waters containing higher concentrations of algal organic matter have been reported to form
62 higher concentrations of N-DBPs (Bond et al., 2012; Shah and Mitch, 2012). Roccaro et al.
63 (2011) has further specified that the formation of N-DBPs is associated with the chlorination

64 of nitrogen-containing activated aromatic groups in NOM, such as amino acids and N-
65 containing heterocyclic aromatic rings.

66 Thus far, there is no indication that a single treatment method exists for the management of
67 all N-DBPs, with different treatments reported to be effective for removal of precursors of the
68 different N-DBP classes (Liew et al., 2012a). In contrast to THM precursors, N-DBP
69 precursors tend to be of low molecular weight and low electrostatic charge (Bond et al.,
70 2012), and include free amino acids, as well as the colloidal and hydrophilic fractions of
71 NOM (Mitch et al., 2009). While conventional water treatment has been reported to be
72 moderately effective in removing N-DBP precursors (Bond et al., 2011), treatments that
73 remove lower molecular weight NOM more efficiently, such as activated carbon and
74 riverbank filtration, can sometimes remove higher percentages of HAN and HNM precursors
75 (Liew et al., 2012a).

76 In this study we investigated the formation four N-DBP classes (HANs, HNMs, HAMs, and
77 *N*-nitrosamines) after chlorination or chloramination of source waters that are rich in N-DBP
78 precursors. DBP formation potential was studied with respect to water quality and organic
79 matter characteristics, providing some insights into the reactivity of the complex mixture of
80 organic matter contained in natural waters and the resulting N-DBP formation. In order to
81 quantify the contribution of N-DBPs to the overall formation of DBPs, the formation of
82 THMs and total organic halogen (TOX) were also measured. Since most source waters in
83 Western Australia contain high concentrations of bromide, we also evaluated the relative and
84 additive toxicity of N-DBPs, in particular brominated N-DBPs. Finally, the effect of
85 conventional water treatment (coagulation-flocculation-clarification-filtration) and ozone-
86 biological activated carbon (O₃ + BAC) treatment on N-DBP formation was investigated
87 using a groundwater source known to contain high concentrations of dissolved organic
88 carbon (DOC), bromide, and ammonia, and which had previously shown high concentrations
89 of HANs in the treated (disinfected) water (Liew et al., 2016).

90 **2 Materials and methods**

91 **2.1 Chemicals**

92 All chemicals and standards used in this study were of analytical grade purity, while organic
93 solvents were of HPLC grade purity. Specific details on these chemicals are provided in the
94 Supporting Information S11 (Table S1).

95 **2.2 Study design and sample collection**

96 Four surface waters (HD – reservoir, RV – reservoir, GR – lake, and HE – reservoir) and one
97 groundwater (JD) from Western Australia (WA) were selected for this study. The surface
98 waters were from different climatic regions (HD: North West of WA, RV: South East of WA,
99 GR: South East of WA, HE: East of Perth Metropolitan Area), and all have anecdotally
100 experienced periodic blue-green algal blooms, in particular HD surface water (Antenucci et
101 al., 2016), and thus represent source waters that are likely to be rich in N-DBP precursors.
102 HD, RV, and GR surface waters were each sampled once during the winter season, while HE
103 surface water was sampled in the spring. Sample collection times were determined by
104 availability of operators and accessibility to each site at the commencement of the study.
105 Grab samples were collected from the inlet to the respective treatment plants at these
106 locations. All samples were collected in 4L amber glass bottles, kept cool (in an ice box) and
107 transported back to the laboratory, where they were refrigerated at 4°C until analysis of water
108 quality parameters, which was typically within 24 hours. Samples were used for formation
109 potential experiments within 1 week of collection.

110 The groundwater JD (south of Perth metropolitan area) was an ideal source water for meeting
111 two objectives of our study. As well as containing high concentrations of DOC and total N
112 (mostly due to high ammonia concentrations), it has a very high concentration of bromide,
113 allowing for evaluation of the potential toxicity of brominated N-DBPs. This groundwater
114 was treated at a treatment plant where a pilot plant was in operation, which provided an
115 opportunity to evaluate treatment options for minimising the formation of N-DBPs, thus
116 meeting another study objective. At the treatment plant, groundwater JD undergoes pre-
117 chlorination, coagulation, flocculation, clarification and dual media gravity filtration before
118 final disinfection and distribution to customers. Initially, the pilot plant was assembled to
119 evaluate whether the addition of ozone-biological activated carbon (O₃ + BAC) treatment
120 improved treated water quality, particularly through improved removal of organic matter,
121 reduced chlorine demand, increased chlorine residual stability, and reduced formation of
122 THMs. For this study, the pilot plant provided an opportunity to evaluate the impact of O₃ +
123 BAC treatment on the removal of N-DBP precursors. At the pilot plant, three treatment trains
124 were operational with three different types of biologically activated carbon (JD-O1: granular
125 activated carbon (GAC) from an established filter at the treatment plant; JD-O2: coal-based
126 GAC Acticarb GA1000N 8×16 mesh; JD-O3: coconut-based GAC Acticarb GC1200N 6×12
127 mesh), but the same ozone dose (average dose 12.7 g O₃/hr; automatic dosing to achieve 0.25

128 mg O₃/L residual at the end of the ozone contact columns). Further details of the pilot plant
129 are given in Supporting Information SI2. At the treatment plant, samples were collected from
130 the inlet to the treatment plant (raw source water, JD-raw), from the inlet to the pilot plant
131 (JD-PF – after pre-chlorination, coagulation-flocculation, and filtration), and after each of the
132 three treatment trains (JD-O1, JD-O2, JD-O3). Protocols for sample collection, transport, and
133 storage were the same as those for the surface water samples, except for the addition of
134 sodium sulphite to quench any chlorine residuals present in these samples.

135 **2.3 Disinfection by-product formation potential (DBP FP) experiments**

136 The raw surface waters and the raw and treated waters from the groundwater treatment plant
137 were tested for formation of N-DBPs, THMs and TOX after chlorination or chloramination.
138 A working chlorine solution was prepared by dilution of commercially available sodium
139 hypochlorite solution. A concentrated, preformed monochloramine solution was prepared by
140 adding together equal volumes of buffered (pH 8, 30 mmol/L borate buffer) hypochlorite
141 solution and ammonium sulphate solution in a 4:1 Cl₂:N mass ratio, in an ice-bath, with
142 stirring. A working monochloramine solution was prepared by dilution of the concentrated
143 solution.

144 Batch chlorination and chloramination experiments were carried out at pH 7 and 8,
145 respectively, at room temperature, using phosphate buffer (10 mmol/L) and sodium
146 hydroxide solution for pH adjustment. Disinfection was undertaken on a reactivity basis,
147 following the method developed by Krasner et al. (2004), where chlorine and chloramine
148 doses were calculated using the following equations:

149 Chlorine dose (mg/L Cl₂) = 3 x [TOC] + 7.6 x [NH₃-N] + 10 mg/L, pH 7

150 Monochloramine dose (mg/L Cl₂) = 3 x [TOC] mg/L, pH 8

151 The chlorine and chloramine doses used for each raw water sample are given in Supporting
152 Information SI3. After a reaction time of 72 hr, sub-samples were collected and the
153 disinfectant residual in these samples was quenched with appropriate quenching agents for
154 each class of DBPs (Supporting Information SI4) prior to DBP analysis.

155 **2.4 Analysis of DBPs**

156 The chlorinated and chloraminated samples were analysed in duplicate for 4 THMs, 6 HANs,
157 7 HNMs, 5 HAMS, 8 *N*-nitrosamines, and halogen-specific TOX (Supporting Information
158 SI4). The 5 DBP classes were analysed by 4 separate analytical methods using gas

159 chromatography-mass spectrometry (GC-MS) following different organic extraction methods
160 for different DBP classes. THMs were extracted with solid-phase microextraction (SPME)
161 based on a simplified version of the method described in Allard et al. (2012). HANs were
162 analysed using a method described by Kristiana et al. (2012), also employing SPME. HNMs
163 and HAMs were analysed together in a method described by Liew et al. (2012b), where
164 liquid-liquid extraction was employed. *N*-Nitrosamines were analysed according to the
165 method of Charrois et al. (2004) with minor modifications, employing solid-phase extraction
166 (SPE) followed by GC-MS operating with ammonia positive chemical ionization. Halogen-
167 specific TOX (TOCl, TOBr, and TOI) was analysed following the method described in Neale
168 et al. (2012), where samples were acidified to pH 2 and adsorbed onto activated carbon which
169 was then combusted, and the hydrogen halide gases produced were trapped in ultrapure water
170 and analysed by on-line ion chromatography. Details of the limits of detection (LODs) of the
171 analytical methods used to measure DBPs in this study are given in Supporting Information
172 SI4.

173 **2.5 Analysis of water quality parameters**

174 The water samples were analysed for UV₂₅₄ absorbance, and DOC, bromide, iodide,
175 ammonia, nitrate, nitrite, and total nitrogen concentrations using standard methods (Clesceri
176 et al., 1998). UV₂₅₄ absorbance was determined using an Agilent Cary 60 UV/Vis
177 Spectrophotometer with a 1-cm quartz cell (Standard Method 5910B). DOC was determined
178 by the UV/persulfate oxidation method, using a Shimadzu TOC Analyser TOC-VWS
179 (Standard Method 5310C). Bromide was determined by ion chromatography (Standard
180 Method 4110B). Total nitrogen content, ammonia, nitrate and nitrite were determined by
181 flow injection analysis (FIA) using Standard Methods 4500N-C, 4500NH₃-H, and 4500NO₃-
182 I, respectively, by a commercial laboratory. Dissolved organic nitrogen (DON) was
183 determined as the difference between total dissolved nitrogen and inorganic nitrogen (sum of
184 nitrate, nitrite and ammonia). SUVA₂₅₄ was calculated by dividing UV₂₅₄ absorbance by the
185 DOC concentration, according to the equation: $SUVA_{254} = 100 \times UV_{254}/DOC$ (L/mg/m).
186 Amino acids were analysed by liquid chromatography with mass spectrometric detection
187 (LC-MS) after pre-concentration with solid-phase extraction (How et al. 2014).

188 The organic matter in the samples was also characterised using a liquid chromatograph (LC)
189 equipped with organic carbon, UV₂₅₄ absorbance, and organic nitrogen detectors (Model 8
190 LC-OCD-OND, DOC Labor, Germany), following Huber et al. (2011). Using this method,

191 organic matter is passed through a column, where some organic carbon is retained in the
192 column (hydrophobic organic carbon – HOC) and the rest elutes through the column
193 (hydrophilic – HIC; no hydrophobic interaction with the column) (Huber et al., 2011). Within
194 the hydrophilic fraction, the organic matter was fractionated into five major size fractions
195 (biopolymers [BIO], humic-like substances [HS], building blocks [BB], low molecular
196 weight neutrals [LMWN], and low molecular weight organic acids [LMWA]) using a
197 Toyopearl TSK HW-50S column. The LC-OCD-OND system provided information on the
198 fractions of DOC and their DON content, as well as the UV absorbance of the size fractions,
199 enabling detailed physico-chemical characterisation of the fractions. For example, the
200 aromaticity of the humic substances (HS) fraction and an estimate of the protein content in
201 the biopolymer (BIO) fraction were obtained.

202

203 **3 Results and discussion**

204 **3.1 Characterisation of source water samples**

205 The source (raw) waters selected for this study came from different climatic regions, hence
206 significant differences in water quality and organic matter characteristics were expected
207 (Table 1). When comparing general water quality, the groundwater sample, JD, had
208 comparable DOC and total N concentrations to the surface water sources, but contained
209 higher concentrations of bromide ($935 \mu\text{g L}^{-1}$) than the surface water samples ($37 - 370$
210 $\mu\text{g/L}$). For surface waters, there was a trend of decreasing SUVA_{254} with increasing DOC
211 concentration. Coincidentally, there was a correlation between SUVA_{254} values of the source
212 waters and their bromide concentrations ($R^2 = 0.76$; Pearson's correlation), which meant that
213 any parameters that correlated with SUVA_{254} also had some correlation with bromide
214 concentration. No other water quality parameter was found to correlate with bromide
215 concentration.

216 Total N concentrations in the source waters ranged from 0.32 to 1 mg/L, with significant
217 variation in the composition of total N. Only groundwater JD had a high concentration of
218 ammonia, while all surface waters had ammonia at or below the detection limit. The two
219 surface waters with the highest total N concentration (GR and HE) were the only samples to
220 have measurable nitrate, which contributed to 80 and 38% of total N, respectively. All
221 surface waters had higher concentrations of DON than the groundwater JD. There was no

222 correlation between the concentrations of total N and DON, but there was moderate
223 correlation between DON and DOC ($R^2 = 0.68$; Pearson's correlation).

224 LC-OCD-OND analysis provided information on the composition of organic carbon and
225 nitrogen in fractions of the samples. The results showed that the percentage of hydrophilic
226 carbon (HIC; organic carbon that is not retained in the column and elutes through the
227 column), which consisted of biopolymers (BIO), humic substances-like (HS), building blocks
228 (BB) and low MW neutral (LMWN) fractions, was greater than 50% for all samples, with the
229 surface water HE and groundwater JD both having greater than 80% of HIC (Table 1). The
230 RV sample had the highest proportion of the BIO fraction (25%); this, as well as the low
231 $SUVA_{254}$ associated with the sample, suggests that the DOC was more likely to have been
232 impacted by microbiological activity than the DOC in the other samples. In contrast, the JD
233 groundwater had the lowest BIO concentration (0.4%) but the highest HS fraction at 62%. All
234 source waters contained similar proportions of BB carbon (8-15%) (Table 1). While the HD
235 water had only a low BIO concentration and a moderate HS fraction, the N content of the
236 latter fraction was significantly higher than for other samples. Thus, the combined N content
237 from the BIO and HS fractions (i.e. total DON concentration) was highest for the HD water,
238 which could indicate that the HD water would have a higher potential to form N-DBPs than
239 the other source waters. Conversely, GR water, which had the lowest total DON
240 concentration, would be expected to have relatively low potential to form N-DBPs.

241 In order to further characterise the DON fraction of the water samples, free amino acids were
242 also analysed. Amino acids were expected to be important components of DON, however
243 several amino acids had limits of detection $> 50 \mu\text{g N/L}$ (e.g. lysine, alanine, asparagine,
244 threonine, see Supporting Information SI5), which was significant given that total values of
245 detected amino acids were $15\text{-}73 \mu\text{g N/L}$ (Table 1). Therefore, total free amino acid
246 concentrations reported here are likely to underestimate true values, and this may explain
247 why the total free amino acids measured in the samples accounted for only 4-18% of DON
248 (as measured by LC-OCD-OND, sum of DON in BIO and HS fractions) in the surface
249 waters, and 59% of DON in JD groundwater. The concentrations of total free amino acids in
250 GR, HE, and JD waters were higher than the DON concentrations measured in their
251 respective BIO fractions (Table 1), suggesting that the majority of free amino acids belonged
252 to the HS fraction. Overall, there was no significant correlation between amino acid content
253 and DON, which is consistent with the data reported by Mitch et al. (2009).

254 3.2 Formation of DBPs after chlorination and chloramination of source waters

255 N-DBP, THM and TOX formation potential experiments were carried out for all source
256 waters over 3 days after both chlorination and chloramination (**Figs. 1 and 2**; Supporting
257 Information SI6). Following the method developed by Krasner et al. (2004), the disinfection
258 doses used were typically higher than those used in real drinking water systems (Table S2,
259 Supporting Information).

260 Overall, the total amount of measured halogenated DBPs contributed to only a small
261 proportion of TOX after both chlorination and chloramination, demonstrating that there was a
262 large proportion of 'unknown' TOX (90-93% of 'unknown' TOX after chlorination, 93-98%
263 after chloramination). Kristiana et al. (2015) also found high proportions of unknown TOX
264 (up to 80% after chlorination and 90% after chloramination) in similar Western Australian
265 systems. Hua et al. (2015) reported lower proportions of unknown TOX (20-50% after
266 chlorination and 65-80% after chloramination). In their study, they also measured HAAs, a
267 known major class of DBPs (30-40% of TOX in chlorination; 15-30% of TOX in
268 chloramination), which may explain why the percentage of unknown TOX they reported was
269 lower than in the current study. In our current study, total THMs contributed a higher
270 percentage of TOX in chlorinated waters (7-10%) than in chloraminated waters (0.8-1.4%,
271 Supporting Information SI7), while total halogenated N-DBPs contributed a higher
272 percentage of TOX in chloraminated waters (1-7%) than in chlorinated waters (0.1-0.2%).
273 The lower contribution of THMs to TOX in chloramination was expected, since chloramine
274 forms significantly lower concentrations of THMs than chlorine. For individual classes of N-
275 DBPs, HAN concentrations were higher after chlorination, while HNM, HAM, and N-
276 nitrosamines concentrations were higher after chloramination (**Fig. 2**; Supporting Information
277 SI6). These trends are consistent with previously reported general trends of DBP formation
278 from chlorination and chloramination (Bond et al., 2011), and occurrence data from Western
279 Australian distribution systems (Liew et al., 2016).

280 While there was a strong correlation (evaluated by Pearson's correlation) between the
281 formation of total THMs and TOX in both chlorination ($R^2 = 0.99$) and chloramination ($R^2 =$
282 1.0) experiments (Supporting Information SI8), these correlations were largely controlled by
283 the concentrations of brominated THMs and TOBr. A similar relationship was not observed
284 for the formation of total halogenated N-DBPs (sum of molar concentrations of HANs,
285 HNMs, and HAMs), although there were moderate to strong correlations between the

286 concentrations of total HANs and TOX in both chlorination ($R^2 = 0.75$) and chloramination
287 ($R^2 = 1.00$) experiments. The concentrations of the other classes of N-DBPs did not correlate
288 with TOX. This may suggest that TOX, THMs, and HANs have similar types of organic
289 precursors, whereas other parameters, e.g. concentration of monochloramine, may have
290 greater influence on the formation of other N-DBPs.

291 The parameter $SUVA_{254}$ has been used as a surrogate for the aromatic content of aquatic
292 organic matter, which has been associated with its reactivity towards oxidants or disinfectants
293 (Croué et al., 2000); while higher concentrations of bromide (or higher ratios of bromide to
294 DOC) have been associated with higher concentrations of brominated DBPs (Watson et al.,
295 2015a; Kristiana et al., 2009). JD water consistently formed the highest concentrations of
296 THMs in both chlorination and chloramination experiments, and it also had the highest
297 $SUVA_{254}$ value and bromide concentration. This suggests that $SUVA_{254}$ and bromide
298 concentration may be important indicators for THM formation. Strong correlations between
299 the concentrations of TOX and $SUVA_{254}$ ($R^2 = 0.80$ in chlorination, $R^2 = 0.89$ in
300 chloramination; Supporting Information SI8), and between total THMs and $SUVA_{254}$ ($R^2 =$
301 0.82 in chlorination, $R^2 = 0.85$ in chloramination) were observed (Supporting Information
302 SI8), confirming this potential relationship. Hua et al. (2015) also reported moderate to strong
303 correlations between the concentration of TOX and $SUVA_{254}$ after chlorination ($R^2 = 0.79$)
304 and chloramination ($R^2 = 0.67$) of NOM fractions isolated from surface waters.

305 Strong correlations were also observed between the concentrations of total HAN and
306 $SUVA_{254}$ were observed in both chlorinated ($R^2 = 0.82$) and chloraminated ($R^2 = 0.91$)
307 waters. The formation of HANs from aromatic moieties in NOM in chloramination
308 experiments has been demonstrated by Le Roux et al. (2016), supporting the possibility of
309 correlation between HAN concentrations and $SUVA_{254}$. However, the increased formation of
310 brominated HANs in chlorinated waters also probably reflects the stronger influence of
311 bromide concentration in DBP formation during chlorination compared to chloramination

312 The formation of the other classes of N-DBPs (*N*-nitrosamines, HNMs and HAMs) did not
313 correlate strongly with $SUVA_{254}$. In fact, there was an inverse correlation between total *N*-
314 nitrosamines concentration and $SUVA_{254}$ in both chlorinated ($R^2 = -0.79$) and chloraminated
315 ($R^2 = -0.96$) waters. This result is consistent with previous studies showing that NDMA
316 formation does not correlate with $SUVA_{254}$ nor the aromatic content of NOM (Dotson et al.,
317 2009; Lee et al., 2007). Correlations between total HNM or HAM and $SUVA_{254}$ were also

318 low or negative, which suggests that the precursors of these N-DBPs also did not come from
319 aromatic organic compounds within NOM.

320 For N-DBPs, higher organic nitrogen content of source waters has been found to lead to
321 increased N-DBP formation (Dotson et al., 2009). HD water, which had the highest
322 concentration of DON among the source waters, consistently produced the highest
323 concentrations of *N*-nitrosamines in both chlorination and chloramination experiments, but
324 not for other N-DBP classes. Overall, RV water had the lowest total N-DBP concentration,
325 although RV water had relatively high DON and higher concentrations of NOM fractions that
326 have been associated with N-DBP precursors (i.e. BIO fraction) than the other source waters.
327 Overall, there were no consistent correlations between the concentrations of halogenated N-
328 DBPs measured and the nitrogen content in the water samples (Supporting Information SI8).
329 Correlations of N-DBP formation with DON were typically higher in chlorination
330 experiments compared to chloramination experiments, possibly reflecting that
331 monochloramine provides an additional source of nitrogen during chloramination.

332 The species distribution of DBPs measured in the source waters varied with the disinfectants
333 used (**Figs. 1 and 2**, Supporting Information SI6), with bromide concentration playing an
334 important role for all halogenated DBPs and N-DBPs, as well as TOX. In chlorinated
335 samples, the molar ratio of Br to Cl incorporated into the measured DBPs was 10-40%, while
336 the corresponding range for chloraminated samples was 1-15%. These trends are consistent
337 with the relatively low concentrations of bromide (37-370 $\mu\text{g/L}$) in the source waters and the
338 high concentrations of chlorine (18-28 mg/L) and chloramine (8-18 mg/L) added. The
339 groundwater sample, JD, has a much higher concentration of bromide (935 $\mu\text{g/L}$), and the
340 molar ratio of Br to Cl incorporated into the measured DBPs was 70%, while the
341 corresponding range for chloraminated samples was 35%. A similar pattern was also seen for
342 the increased contribution of TOBr in TOX in JD water compared to surface waters (Tables
343 S6 and S7; Supporting Information SI6). In general, strong correlations were observed
344 between the concentrations of bromide and brominated DBPs (Tables S10 and S11;
345 Supporting Information SI8) in both chlorinated and chloraminated waters. JD water was the
346 only water to form dibrominated N-DBPs (DBAN after chlorination, and DBAM and DHNM
347 after chloramination). The formation of elevated concentrations of brominated DBPs is a
348 potential public health concern, since many brominated DBPs have been shown to be more

349 cytotoxic and genotoxic than their chlorinated analogues (Sawade et al., 2016; Watson et al.,
350 2015b; Plewa et al., 2008; Richardson et al., 2007).

351 **3.3 Toxicity assessment of chlorinated and chloraminated source waters**

352 The toxicity of some DBPs has been studied and reported, and comparative toxicity values of
353 some DBPs have been reported (Zeng et al., 2016 and references therein). These data allow
354 for toxicity assessment of disinfected waters. The presence of bromide in source waters
355 promotes the formation of brominated DBPs, which have been reported to be more cytotoxic
356 and genotoxic (Sawade et al., 2016; Plewa et al., 2004; 2008) than their chlorinated
357 analogues. Following the approach reported by Zeng et al. (2016), a toxicity assessment was
358 conducted on the DBPs produced from chlorination and chloramination of the source waters
359 (Table 2). The potential contributions of the DBPs to the toxicity of the water were estimated
360 by dividing their measured concentrations by concentrations determined in toxicological
361 assays to be associated with adverse health outcomes (CHO cell LC₅₀ values for THMs,
362 HANs, HNMs, and HAMS; LECR₅₀ values for *N*-nitrosamines) (Zeng et al., 2016).
363 Therefore, this measure of toxicity only considered *in vitro* cell toxicity, which may be
364 different to *in vivo* toxicity determined by animal studies. The calculated DBP additive
365 toxicities are presented in Table 2. There was a strong correlation between bromide
366 concentration and the overall DBP additive toxicity in both chlorinated ($R^2 = 0.92$) and
367 chloraminated ($R^2 = 0.94$) waters, demonstrating the impact of bromide on the toxicological
368 properties of disinfected waters.

369 The overall DBP additive toxicity was found to be higher in chlorinated waters than
370 chloraminated waters (3-12 times higher), however, the toxicity of *N*-nitrosamines was higher
371 in chloraminated waters (up to 22 times higher). The major contributor to overall calculated
372 additive toxicity of chlorinated waters was the HANs (70-96%), with THMs contributing
373 between 3 and 22%, despite the fact that the molar concentrations of THMs were between 38
374 and 67 times higher than the molar concentrations of the HANs. Zeng et al. (2016) also found
375 that HANs exhibited the highest additive toxicity in recycled waters. In chloraminated waters,
376 the contribution of THMs to overall additive toxicity was always less than 2%, with HANs
377 contributing between 36 and 70%, and *N*-nitrosamines contributing between 2 and 45%. The
378 contribution of HAMS to the calculated toxicity was also significant, ranging between 5 and
379 34%. The relatively minor contribution of *N*-nitrosamines to toxicity in this study is
380 illustrated by considering the source waters JD and HE, which had the highest overall

381 additive toxicity of all chloraminated samples, but the lowest measured total *N*-nitrosamine
382 concentrations. The increased toxicity from these disinfected source waters resulted from
383 detection of BCAN in addition to DCAN, again highlighting the influence of brominated
384 DBPs on overall toxicity.

385 **3.4 Effect of drinking water treatment on organic matter characteristics and N-DBP** 386 **formation**

387 Analysis of DOC through the JD groundwater treatment plant (GWTP) showed that the
388 conventional treatment process (coagulation-flocculation-clarification-filtration) removed the
389 majority of DOC in the source water (70% removal), while additional removal from ozone
390 and biological activated carbon (O₃ + BAC) was small (2-15%) (Table 3 and Supporting
391 Information SI9). While UV₂₅₄ decreased with treatment, SUVA₂₅₄ notably increased
392 following conventional treatment, suggesting that aromatic compounds were not removed as
393 well as aliphatic NOM. SUVA₂₅₄ further increase after O₃ + BAC at JD-O1, which employed
394 granular activated carbon (GAC) from an established filter at the treatment plant, but
395 decreased at JD-O2 and JD-O3, where new coal-based and coconut-based activated carbon
396 were employed, respectively. Results from LC-OCD-OND analysis showed that JD waters
397 had similar compositions (i.e. size fractions) of organic carbon (Table 3) before and after
398 treatment, which suggests that the treatment processes employed at the plant did not
399 preferentially remove different size fractions of organic carbon. There was also a strong
400 correlation between SUVA₂₅₄ and DOC concentration ($R^2 = 0.95$) in these samples,
401 suggesting that the portion of DOC removed by the treatment processes was mostly the
402 UV₂₅₄-active fraction of NOM. This suggests that, while the size composition of organic
403 carbon remained relatively unchanged, the activated carbon filters at JD-O2 and JD-O3 were
404 able to reduce SUVA₂₅₄ by removing more DOC than at JD-O1. These newer filters may
405 have higher capacity and efficiency in removing NOM. There was no significant change in
406 the concentration of overall DON following treatment (Table 3). Since significant amounts of
407 DOC were removed, the overall DON/DOC ratios in the waters increased following
408 treatment. However, the amount of DON measured by LC-OCD-OND did decrease with
409 treatment, particularly for the HS fraction, which was better removed than the other fractions.
410 The reduction in DON was consistent with the trend of decreased DOC in the HS fraction.
411 There was no clear trend on the effect of treatment on amino acid content (Table 3). The
412 concentrations of total free amino acids decreased following conventional treatment (78%
413 removal), but increased following O₃ + BAC treatment. The increase may be caused by the

414 introduction of proteinaceous materials originating from bacterial growth in BAC column,
415 which could be released from the BAC column itself. The release of free amino acids from
416 lysis of bacterial or algal cells during the sand filtration process has been reported previously
417 (LeCloirec et al., 1986).

418 The concentrations of bromide increased during conventional treatment, but remained
419 relatively constant through O₃ + BAC treatment. Bromide can exist as an impurity in sodium
420 hypochlorite. However, in this case, the bromide impurity would have been present in percent
421 concentrations to cause the increase observed, which is unlikely. While the cause of the
422 increase observed during conventional treatment is not known, however, historical data from
423 this treatment plant indicates that bromide concentrations can increase by 20-50% between
424 the raw water sample point and the post-clarification sample point (Nottle, 2013). Bromide
425 concentrations then remain unchanged through the dual media filters. Therefore, while we
426 cannot explain the increase in bromide concentration, the observed increase does not appear
427 to be caused by instrumental error or analytical interferences. Overall, it is clear that there is
428 no net removal of bromide during either conventional or O₃ + BAC treatment. Consequently,
429 the bromide to DOC ratio continued to increase during treatment.

430 The resulting increase in the bromide to DOC ratio led to higher formation of brominated
431 DBPs and TOBr, relative to TOCl, in the laboratory disinfection experiments that were
432 subsequently carried out (Table 4). This effect was more dramatic for chloramination
433 experiments, where, for example, the ratio of Br to Cl incorporated into DBPs increased from
434 0.3 (JD-raw) to 20 (JD-PF), and the proportion of TOBr in TOX increased from 14% to 90%.
435 In the corresponding chlorination experiments, the ratio of Br to Cl incorporated into the
436 measured DBPs increased from 0.7 (JD-raw) to 1.5 (JD-PF), while the proportion of TOBr in
437 TOX increased from 32% (JD-raw) to 48% (JD-PF). While the additional treatment by O₃ +
438 BAC did not significantly change bromide concentrations, the bromide to DOC ratio did
439 increase further, causing additional increases in the ratio of Br to Cl incorporated into DBPs
440 and the proportion of TOBr in TOX (Table 4). Many studies have shown that higher
441 percentages of brominated DBPs were produced with increasing bromide to DOC ratio (e.g.
442 Roccaro et al., 2104; Hua et al., 2006; Krasner et al., 1996), consistent with the kinetics of the
443 oxidation of bromide and the reactivity of oxidised bromide towards NOM (Criquet et al.,
444 2015; Heeb et al., 2014).

445 Laboratory chlorination and chloramination of waters from JD GWTP showed a variety of
446 trends in DBP formation, which were functions of the treatment process and disinfectant used
447 (**Fig. 3**, Table 4, Supporting Information SI6 and SI9). The conventional treatment reduced
448 the formation of TOX by 77% and 86% in chlorination and chloramination, respectively
449 (Table S12, Supporting Information SI9). Total THM formation was reduced by 66% in
450 chlorination, but was slightly increased by 23% in chloramination (Table S12, Supporting
451 Information SI9). The latter increase in THM formation could be attributed to large increases
452 in the concentrations of chlorodibromomethane (1.6 times increase) and bromoform (24 times
453 increase) (Supporting Information SI6), resulting from the increased bromide concentrations
454 and thus the bromide to DOC ratio. However, there was no correlation between bromide and
455 DBP concentrations for JD groundwaters (data not shown). The formation of brominated
456 THMs could also result from the formation of highly reactive bromamines, which may be
457 possible during chloramination at such high concentrations of bromide (Heeb et al., 2014).
458 Following conventional treatment, the formation of total N-DBPs was reduced by 92%
459 during chlorination, but increased by four fold during chloramination (Table S12, Supporting
460 Information SI9), further highlighting the contribution of chloramine towards the formation
461 of N-DBPs. The increase in N-DBP formation after conventional treatment was largely due to
462 the large increase in DBAM (from 1.5 nmol/L in JD-Raw to 128 nmol/L in JD-PF), attributed
463 to the increase in Br to DOC ratio (from 241 $\mu\text{g Br/mg DOC}$ in JD-Raw to 1028 $\mu\text{g Br/mg}$
464 DOC in JD-PF), analogous to the increase in brominated THMs. Additional $\text{O}_3 + \text{BAC}$
465 treatment had different effects on different classes of DBPs. $\text{O}_3 + \text{BAC}$ reduced total THMs
466 (TTHM) formation further by 7-30% and 38-73% in chlorination and chloramination,
467 respectively. There was no clear trend in the formation of TOX after $\text{O}_3 + \text{BAC}$ treatments,
468 but $\text{O}_3 + \text{BAC}$ did increase the formation of total N-DBPs in both chlorination and
469 chloramination experiments by 7-145% and 93-95%, respectively. Since DBP formation after
470 chlorination and chloramination can be considered as representative of the presence of DBP
471 precursors in the water, the increase in total N-DBPs suggests that $\text{O}_3 + \text{BAC}$ was not
472 effective in removing N-DBP precursors, and it may have introduced more N-DBP
473 precursors. The absence of correlation between DOC and DON concentrations in these
474 samples further highlights the different behaviour of these parameters under the same water
475 treatment processes. There was no correlation between water quality parameters and the
476 formation of DBPs in chlorinated and chloraminated JD waters (Table 4). However, the
477 bromine incorporation factor (BIF) in THMs (Table 4) consistently increased with increasing
478 bromide concentration in these samples.

479 Further insights into the effects of treatment on the formation of DBPs can be gained by
480 comparison of the removal of DOC and DBP precursors (i.e. the portion of DOC that leads to
481 the formation of DBPs, quantified by DBP concentrations produced during FP experiments)
482 (Table S12, Supporting Information SI9) and the change in the formation of DBPs per unit
483 DOC (Table 4). For the conventional treatment train, a higher proportion of TOX precursors
484 (i.e. TOX FP) and HAN precursors were removed than bulk DOC for both chlorination and
485 chloramination. Similar removals of DOC and THM FP were observed in chlorination, but
486 there was no clear trend in the chloramination experiments. However, the increase in the
487 concentration of bromide, an inorganic precursor to THMs, increased the concentration of
488 brominated THMs. While HNMs and HAMs were not detected in the chlorinated samples,
489 conventional treatment removed a lower proportion of HNM precursors compared to DOC,
490 and was not effective in removing HAM FP for chloramination experiments.

491 For the O₃ + BAC treatments, the proportions of TOX and THM precursor removal were
492 both higher than the DOC removal for both disinfection strategies, however, HAN FP
493 removal was only better than DOC removal for chloramination experiments. In contrast,
494 HAN FP from chlorination increased following O₃ + BAC treatment. Similar to conventional
495 treatment, a lower proportion of HNM precursors were removed compared to DOC removal
496 for chloramination experiments, while a greater removal of HAM FP than DOC was
497 observed. There was no clear trend in the formation and specific yields of *N*-nitrosamines
498 from laboratory chlorination and chloramination following different treatments (Table 4). In
499 chlorination experiments, *N*-nitrosoethylmethylamine (NEMA) was the main species detected
500 and the concentrations of *N*-nitrosamines increased following conventional treatment, but
501 were consistently reduced with all O₃ + BAC treatments (Table 4, Supporting Information
502 SI6). In chloramination experiments, NDMA was the main species detected, and
503 conventional treatment removed more *N*-nitrosamines precursors than O₃ + BAC, where an
504 increase in the formation of *N*-nitrosamines was observed. It is possible that O₃ + BAC
505 treatment may have produced *N*-nitrosamine precursors that react favourably with chloramine
506 to form *N*-nitrosamines. Bond and Templeton (2011) have reported that ozonation prior to
507 chloramination increased *N*-nitrosamine yield from secondary amines, although Mitch et al.
508 (2009) showed that ozonation prior to chloramination minimised the formation of NDMA.

509 In general, both treatment methods evaluated in this study achieved greater removal of DBP
510 precursors than DOC, however the removal of DOC does not imply the removal of DBP
511 precursors. Where DOC was removed but DBP formation was not, the treatment process may

512 have removed mainly non-DBP precursors, leaving a higher proportion of DBP precursors.
513 Differences in DOC removal and DBP precursor removal resulting from different treatment
514 methods led to significant changes in DBP proportions in the disinfected waters (**Fig. 3**;
515 Tables S8 and S9 in Supporting Information SI7). After water treatment (conventional with
516 additional O₃ + BAC), the proportion of THMs contributing to TOX (10% for chlorination
517 and 1.3% for chloramination) increased (12-15% for chlorination and 7.2-12% for
518 chloramination). This suggests that some THM precursors were not well removed by
519 treatment. For chloramination experiments, the proportion of halogenated N-DBPs
520 contributing to TOX decreased from 0.20% to 0.06-0.13% with treatment, indicating the
521 removal of N-DBP precursors, especially HAN precursors. However, for chloramination
522 experiments, the proportions of halogenated N-DBPs contributing to TOX increased from
523 1.1% to 1.5-29%, supporting the hypothesis that monochloramine itself contributes a nitrogen
524 source for N-DBP formation. Although the trends in *N*-nitrosamine formation were unclear,
525 the treatment generally increased the contribution of *N*-nitrosamines to total N-DBPs in both
526 chlorination (from 0.02% to 0.24-1.1%) and chloramination (from 0.13% to 0.50-0.90%)
527 experiments, indicating that the treatment was not effective in removing *N*-nitrosamine
528 precursors relative to other DBP precursors. The effectiveness of the O₃ + BAC treatment
529 processes were also assessed using a scoring system that considered the removal of DOC and
530 DBP precursors, as well as DBP formation (Supporting Information SI10). This assessment
531 suggested that the conventional treatment followed by O₃ + BAC treatment at JD-O3, using
532 coconut-based GAC (Acticarb GC1200N 6×12 mesh), was most effective in reducing overall
533 DBP formation (TOX, THMs, and N-DBPs), compared to O₃ + BAC treatment using the
534 other activated carbon media. For the removal of N-DBPs specifically, conventional
535 treatment followed by O₃ + BAC treatment at JD-O2, using coal-based GAC (Acticarb
536 GA1000N 8×16 mesh), was most effective.

537 DBP additive toxicity was also calculated to evaluate the impact of water treatment on the
538 toxicity of chlorinated and chloraminated waters (Table 5). Unlike the source water samples
539 (Table 2), there was no correlation between DBP additive toxicity and the bromide
540 concentration in these JD treated waters. The calculated overall toxicity of chlorinated and
541 chloraminated JD waters generally decreased following treatment. There was one exception,
542 however, where the toxicity increased by two orders of magnitude, caused by the unusually
543 high concentration of DBAM measured in the chloraminated J-PF sample. As in the case of
544 the source waters, the calculated toxicity of chlorinated JD waters was dominated by HANs

545 (77-96%), with THMs providing the second highest contribution (3-23%). In chloraminated
546 waters, the major contributor to toxicity shifted from HANs (70%) to the HAMs after
547 treatment (77-100%), reflecting the higher concentration of HAMs, and DBAM in particular,
548 after both conventional treatment and O₃ + BAC. *N*-Nitrosamines did not contribute more
549 than 4% of overall additive toxicity in any sample, further highlighting the significance of
550 HANs and HAMs in their contribution to the overall toxicity of chlorinated and
551 chloraminated waters. Given the high concentration of bromide in this system, toxicity
552 contributions from bromate are also possible. Previous studies of bromate in this water
553 treatment plant showed that bromate was always less than 0.2 µg/L in the conventional water
554 treatment system (Nottle, 2013), and thus at least 2 orders of magnitude lower than the
555 Australian Drinking water Guideline of 20 µg/L (NHMRC-NRMMC, 2011). However,
556 laboratory-based ozonation studies did indicate bromate could be formed above the guideline
557 from JD waters (Nottle, 2013), and therefore could contribute to toxicity in the O₃ + BAC
558 treated waters.

559 **4 Conclusions**

560 This is the first comprehensive study of the potential formation of 4 classes (30 species) of N-
561 DBPs from the chlorination and chloramination of raw source waters incorporating organic
562 matter characterisation and DBP toxicity assessment. The formation of N-DBPs could not be
563 predicted by the routinely measured water quality parameters (e.g. UV₂₅₄, SUVA₂₅₄, DOC)
564 and commonly measured DBPs (e.g. THMs). The formation of all N-DBPs except for HANs
565 was more significant in chloraminated waters, consistent with studies previously reported for
566 DBP formation and also those observed in previous studies of WA distribution systems.
567 However, the DBPs measured in this study accounted for only a small portion of TOX in
568 both chlorinated and chloraminated waters.

569 Both SUVA₂₅₄ and bromide concentration were important factors controlling the formation of
570 TOX, THMs and HANs, although the influence of SUVA₂₅₄ and bromide could not be
571 explicitly distinguished. While the role of aromatic organic compounds in THM and TOX
572 formation has been previously identified, it is likely that, in this study, the bromide
573 concentration had a more important role in DBP formation. This was reflected in the
574 increased formation of brominated DBPs with increasing bromide concentration for all
575 halogenated DBPs measured, in all waters studied. The low correlation between HNM,
576 HAM, and *N*-nitrosamine formation and SUVA₂₅₄ suggests that the precursors of these N-

577 DBPs are not from aromatic organic compounds within NOM. Instead, the moderately
578 positive correlations between HNM, HAM, and *N*-nitrosamine formation and DON,
579 suggested that DON is an important precursor for these N-DBP classes, especially in
580 chlorination experiments.

581 N-DBPs were major contributors to the calculated additive toxicity (> 80%) of both
582 chlorinated and chloraminated waters. In particular, brominated HANs were the major
583 contributor for all source waters. The strong correlation between bromide concentration and
584 the overall DBP additive toxicity for both chlorinated and chloraminated source waters
585 demonstrated the impact of bromide on the toxicological properties of disinfected waters.
586 Despite their high toxicity, *N*-nitrosamines only contributed significantly to toxicity when
587 concentrations of brominated HANs, and the overall additive toxicity, were low. It must be
588 noted, however, that the additive toxicities calculated only indicate the relative health
589 importance of the DBPs measured; and that the calculated additive toxicities only refer to
590 potential health risks, rather than absolute risks, since the data used to calculate these
591 toxicities were obtained from cell-based assays rather than animal studies or epidemiological
592 studies.

593 Evaluation of the influence of conventional and O₃ + BAC treatment methods on DBP
594 formation and precursors showed that, while conventional treatment process (coagulation-
595 flocculation-clarification-filtration) removed the majority of DOC in the source water, O₃ +
596 BAC altered the reactivity of the organic carbon, leading to increased DBP formation for
597 some classes. Additionally, there was no net removal of bromide during either conventional
598 or O₃ + BAC treatment, and the increased bromide to DOC ratio in treated waters led to
599 dramatic increases in bromine incorporation in halogenated DBPs. Thus, the removal of DOC
600 does not imply the same removal of DBP precursors, particularly if bromide concentrations
601 remain high. Overall, the total N-DBP formation increased after O₃ + BAC treatment for both
602 chloramination and chlorination experiments, suggesting that O₃ + BAC was not effective in
603 removing N-DBP precursors. While total N-DBP formation was higher for chloraminated
604 samples compared to chlorinated samples, the overall additive toxicity of chloraminated
605 samples remained lower because HAN formation was reduced, while the concentrations of
606 less toxic HAMs increased.

607 The results of this study highlight the fact that the occurrence and formation of N-DBPs
608 should be investigated on a case-by-case basis, especially where advanced water treatment

609 methods are being considered to minimise their formation in drinking waters, and where
610 chloramination is used for final disinfection.

611

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804 **List of tables**805 **Table 1** Water quality and organic matter characteristics of source waters

	Surface Water				Groundwater
	HD	RV	GR	HE	JD
	North West WA	South East WA	South East WA	Perth Metro East	Perth Metro South
<i>Organic Carbon</i>					
DOC (mg/L)	4.10	5.80	2.16	2.64	3.88
UV ₂₅₄ (1/cm)	0.084	0.088	0.066	0.106	0.212
SUVA ₂₅₄ (L/mg/m)	2.0	1.5	3.1	4.0	5.5
Hydrophobic fraction ^a					
<i>DOC (mg/L)</i>	2.58	2.76	1.55	0.59	0.83
<i>% DOC</i>	43	33	48	17	14
Hydrophilic fraction ^b					
<i>DOC (mg/L)</i>	3.47	5.64	1.66	2.84	5.0
<i>% DOC</i>	57	67	52	83	86
Biopolymers					
<i>DOC (mg/L)</i>	0.27	2.14	0.07	0.21	0.03
<i>% DOC</i>	4.5	25	2	6	0.4
Humic-like					
<i>DOC (mg/L)</i>	2.27	2.36	1.0	1.65	3.59
<i>% DOC</i>	37	28	31	48	62
Building blocks					
<i>DOC (mg/L)</i>	0.54	0.69	0.32	0.51	0.69
<i>% DOC</i>	9	8	10	15	12
Low MW neutrals					
<i>DOC (mg/L)</i>	0.39	0.46	0.28	0.47	0.69
<i>% DOC</i>	6.5	5.5	9	14	12

<i>Nitrogen</i>					
Total N (mg/L)	0.40	0.32	1.0	0.52	0.40
Ammonia (mg/L)	0.01	< 0.01	< 0.01	< 0.01	0.35
Nitrate (mg/L)	< 0.01	< 0.01	0.8	0.2	0.01
Nitrite (mg/L)	< 0.01	< 0.01	0.01	< 0.01	< 0.01
DON (mg/L) ^c	0.39	0.32	0.19	0.32	0.04
DON in Biopolymers					
fraction ^d (µg/L N)	28	76	3	39	24
DON in Humic-like					
fraction ^d (µg/L N)	161	101	23	56	122
Total DON ^e					
(µg/L N)	189	177	26	95	146
Total free amino acids					
(µg/L N)	15	16	26	57	73
<i>Halide Ions</i>					
Bromide (µg/L)	225	98	37	370	935

806 ^a DOC = hydrophobic + hydrophilic fractions

807 ^b Hydrophilic fraction = biopolymers + humic-like + building blocks + low MW neutral
808 fractions

809 ^c Obtained by calculation DON = Total N – sum of inorganic N

810 ^d Measured by LC-OCD-OND

811 ^e Sum of DON in biopolymers and humic-like fractions, measured by LC-OCD-OND

812

Table 2 DBP additive toxicities^a in chlorinated and chloraminated source waters

DBP Class	Additive Toxicity				
	HD	RV	GR	HE	JD
CHLORINATION					
THMs	1.09 x 10 ⁻⁴	9.24 x 10 ⁻⁵	7.32 x 10 ⁻⁵	1.51 x 10 ⁻⁴	2.23 x 10 ⁻⁴
HANs	4.67 x 10 ⁻⁴	2.94 x 10 ⁻⁴	3.84 x 10 ⁻⁴	8.64 x 10 ⁻⁴	6.24 x 10 ⁻³
HNMs	n.d.	1.57 x 10 ⁻⁶	6.55 x 10 ⁻⁶	6.59 x 10 ⁻⁷	n.d.
HAMs	1.25 x 10 ⁻⁶	1.50 x 10 ⁻⁶	1.21 x 10 ⁻⁶	3.63 x 10 ⁻⁷	1.13 x 10 ⁻⁷
Nitrosamines	3.69 x 10 ⁻⁵	2.95 x 10 ⁻⁵	1.06 x 10 ⁻⁶	2.87 x 10 ⁻⁷	5.19 x 10 ⁻⁷
All DBPs	6.15 x 10⁻⁴	4.20 x 10⁻⁴	4.66 x 10⁻⁴	1.02 x 10⁻³	6.47 x 10⁻³
CHLORAMINATION					
THMs	1.25 x 10 ⁻⁶	1.43 x 10 ⁻⁶	1.17 x 10 ⁻⁶	4.41 x 10 ⁻⁶	4.93 x 10 ⁻⁶
HANs	3.97 x 10 ⁻⁵	4.76 x 10 ⁻⁵	4.76 x 10 ⁻⁵	2.37 x 10 ⁻⁴	3.72 x 10 ⁻⁴
HNMs	3.51 x 10 ⁻⁶	3.89 x 10 ⁻⁶	5.01 x 10 ⁻⁷	2.61 x 10 ⁻⁶	1.60 x 10 ⁻⁶
HAMs	1.55 x 10 ⁻⁵	5.54 x 10 ⁻⁶	4.51 x 10 ⁻⁵	2.51 x 10 ⁻⁵	1.38 x 10 ⁻⁴
Nitrosamines	4.96 x 10 ⁻⁵	4.81 x 10 ⁻⁵	3.91 x 10 ⁻⁵	1.80 x 10 ⁻⁵	1.17 x 10 ⁻⁵
All DBPs	1.10 x 10⁻⁴	1.07 x 10⁻⁴	1.33 x 10⁻⁴	2.88 x 10⁻⁴	5.28 x 10⁻⁴

^a DBP additive toxicity was calculated according to the method published by Zeng et al. (2016)

n.d.: not detected

Table 3 Water quality and organic matter characteristics of JD groundwater samples

	JD-raw	JD-PF	JD-O1	JD-O2	JD-O3
<i>Organic Carbon</i>					
DOC (mg/L)	3.88	1.17	1.14	1.07	0.99
UV ₂₅₄ (1/cm)	0.212	0.073	0.077	0.055	0.031
SUVA ₂₅₄ (L/mg/m)	5.5	6.2	6.8	5.1	3.1
Hydrophobic fraction ^a					
<i>DOC (mg/L)</i>	0.83	0.48	0.43	0.48	0.38
<i>% DOC</i>	14	18	17	18	15
Hydrophilic fraction ^b					
<i>DOC (mg/L)</i>	5.0	2.22	2.13	2.16	2.13
<i>% DOC</i>	86	82	83	82	85
Biopolymers					
<i>DOC (mg/L)</i>	0.03	0.002	0.01	0.01	0.02
<i>% DOC</i>	0.4	0.1	0.6	0.3	0.8
Humic-like					
<i>DOC (mg/L)</i>	3.60	1.41	1.32	1.35	1.36
<i>% DOC</i>	62	52	52	51	54
Building blocks					
<i>DOC (mg/L)</i>	0.69	0.32	0.38	0.41	0.34
<i>% DOC</i>	9	8	10	15	12
Low MW neutrals					
<i>DOC (mg/L)</i>	n.q.	n.q.	n.q.	n.q.	n.q.
<i>% DOC</i>	-	-	-	-	-
<i>Nitrogen</i>					
Total N (mg/L)	0.40	0.32	0.26	0.24	0.25
Ammonia (mg/L)	0.35	0.26	0.16	0.16	0.16
Nitrate (mg/L)	0.01	0.01	0.04	0.03	0.04

Nitrite (mg/L)	< 0.01	< 0.01	< 0.01	< 0.01	< 0.01
DON (mg/L) ^c	0.04	0.05	0.06	0.05	0.05
DON in biopolymers					
fraction ^d (µg/L N)	24	19	17	n.q.	n.q.
DON in humic-like fraction ^d					
(µg/L N)	122	52	27	32	13
Total DON ^d (µg/L N)	146	71	44	32	13
Total free amino acids					
(µg/L N)	73	16	34	30	18
<i>Halide Ions</i>					
Bromide (µg/L)	935	1200	1288	1290	1284

^a DOC = hydrophobic + hydrophilic fractions

^b Hydrophilic fraction = biopolymers + humic-like + building blocks + low MW neutral fractions

^c Obtained by calculation DON = Total N – sum of inorganic N

^d Measured by LC-OCD-OND

^e Sum of DON in biopolymers and humic-like fractions, measured by LC-OCD-OND

n.q.: not quantifiable, signal too close to the noise level

Table 4 Water quality characteristics relative to DOC and the specific yields of DBPs formed in chlorinated and chloraminated JD groundwater samples

Parameter	JD-Raw	JD-PF	JD-O1 (established GAC)	JD-O2 (coal-based GAC)	JD-O3 (coconut GAC)
<i>Water Quality Parameters</i>					
Br/DOC	241	1028	1131	1204	1292
TN/DOC	0.10	0.27	0.23	0.22	0.25
Org N/DOC	0.01	0.04	0.05	0.05	0.05
<i>DBPs from Chlorination</i>					
TTHM/DOC	426	478	455	365	485

THM BIF	1.22	1.80	1.84	1.78	1.87
TOCI/DOC	3201	1848	2474	1307	1616
TOBr/DOC	1534	1735	1910	1721	2173
TOX/DOC	4747	3571	4380	3037	3803
THAN/DOC	9.1	2.3	5.8	2.7	3.8
DHAN BIF	1.1	1.0	1.6	1.5	1.5
TNitroso/DOC	2.3	25.6	4.6	6.7	9.1
<i>DBPs from Chloramination</i>					
TTHM/DOC	11.1	45.5	12.4	30.5	18.8
THM BIF	1.07	2.77	2.64	2.70	2.69
TOCI/DOC	699	18	13	14	12
TOBr/DOC	115	347	95	418	249
TOX/DOC	849	385	108	425	257
THAN/DOC	2.25	0.00	0.67	0.13	0.52
DHAN BIF	0.25		1.00	1.00	1.00
THNM/DOC	0.36	1.09	1.08	1.27	1.31
THAM/DOC	6.90	113.05	7.88	6.25	6.19
TNitroso/DOC	12.13	3.10	42.83	7.40	60.52

Table 5 DBP additive toxicities^a in chlorinated and chloraminated JD groundwater samples

DBP Class	Additive Toxicity				
	JD-raw	JD-PF	JD-O1	JD-O2	JD-O3
CHLORINATION					
THMs	2.23×10^{-4}	9.35×10^{-5}	8.83×10^{-5}	6.48×10^{-5}	8.25×10^{-5}
HANs	6.24×10^{-3}	3.14×10^{-4}	1.67×10^{-3}	7.06×10^{-4}	9.40×10^{-4}
HNMs	n.d.	n.d.	n.d.	n.d.	n.d.
HAMs	1.13×10^{-7}	n.d.	n.d.	n.d.	n.d.
Nitrosamines	5.19×10^{-7}	6.52×10^{-7}	4.22×10^{-7}	5.3×10^{-7}	5.30×10^{-7}
All DBPs	6.47×10^{-3}	4.08×10^{-4}	1.75×10^{-3}	7.71×10^{-4}	1.02×10^{-3}
CHLORAMINATION					
THMs	4.93×10^{-6}	1.26×10^{-5}	3.23×10^{-6}	7.6×10^{-6}	4.33×10^{-6}
HANs	3.72×10^{-4}	n.d.	9.07×10^{-5}	1.64×10^{-5}	6.15×10^{-5}
HNMs	1.60×10^{-6}	n.d.	n.d.	n.d.	n.d.
HAMs	1.38×10^{-4}	1.05×10^{-2}	3.91×10^{-4}	3.90×10^{-4}	3.20×10^{-4}
Nitrosamines	1.17×10^{-5}	2.12×10^{-7}	1.17×10^{-5}	2.26×10^{-7}	1.44×10^{-5}
All DBPs	5.28×10^{-4}	1.05×10^{-2}	4.97×10^{-4}	4.14×10^{-4}	4.00×10^{-4}

^a DBP additive toxicity was calculated according to the method published in Zeng et al. (2016)

n.d.: not detected

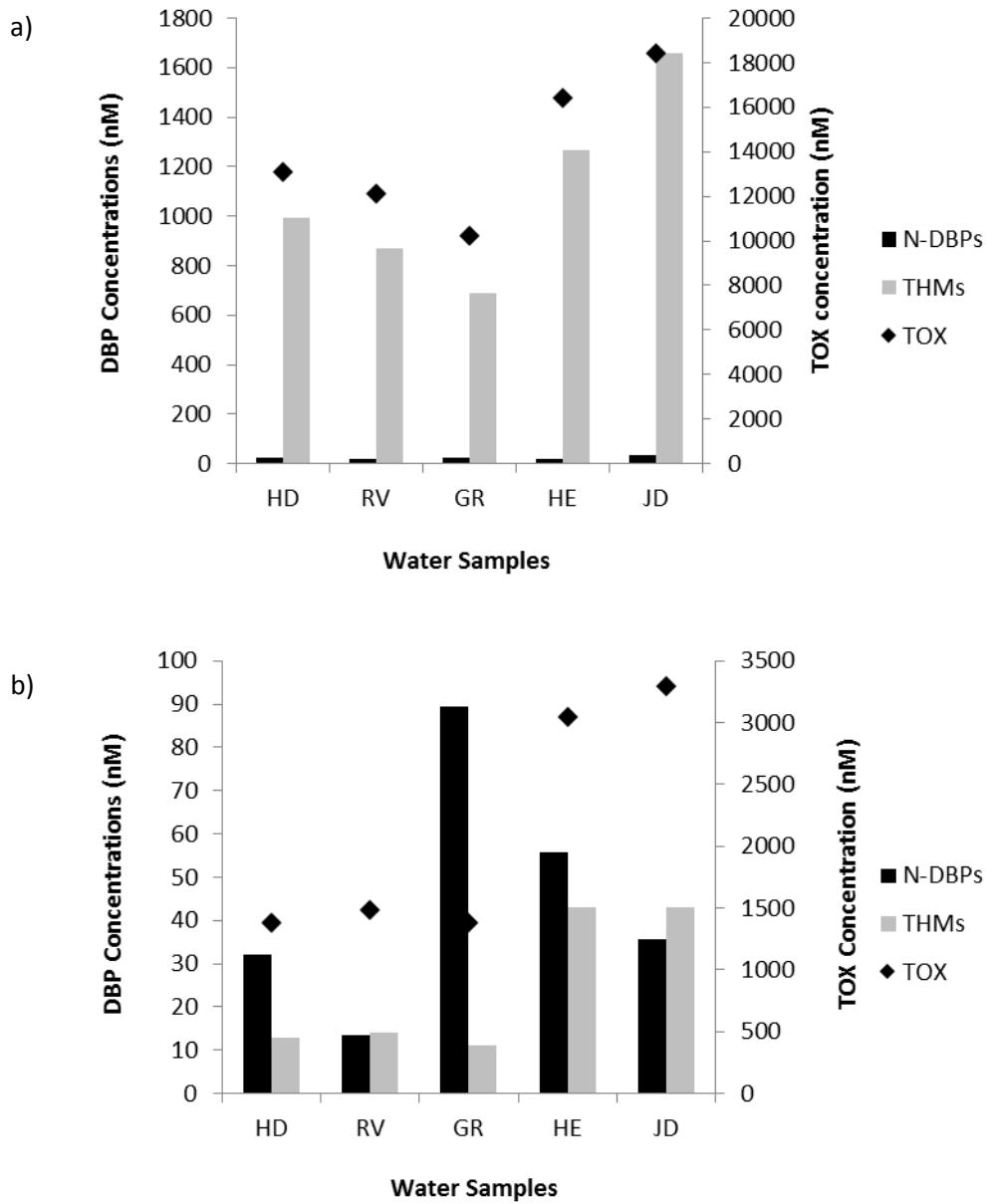


Fig. 1 The concentrations of total N-DBPs, total THMs, and TOX after a) chlorination and b) chloramination of source waters over 3 days

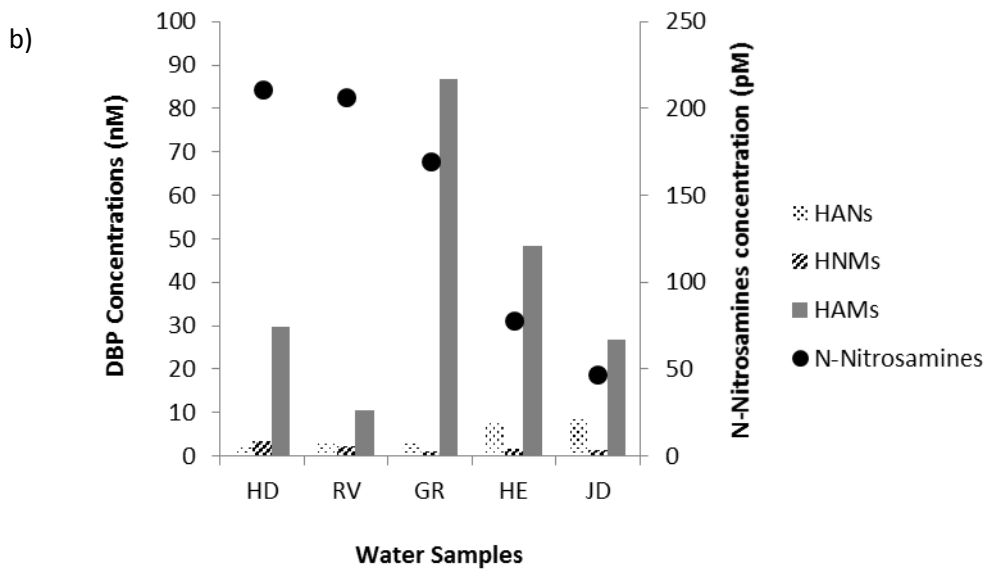
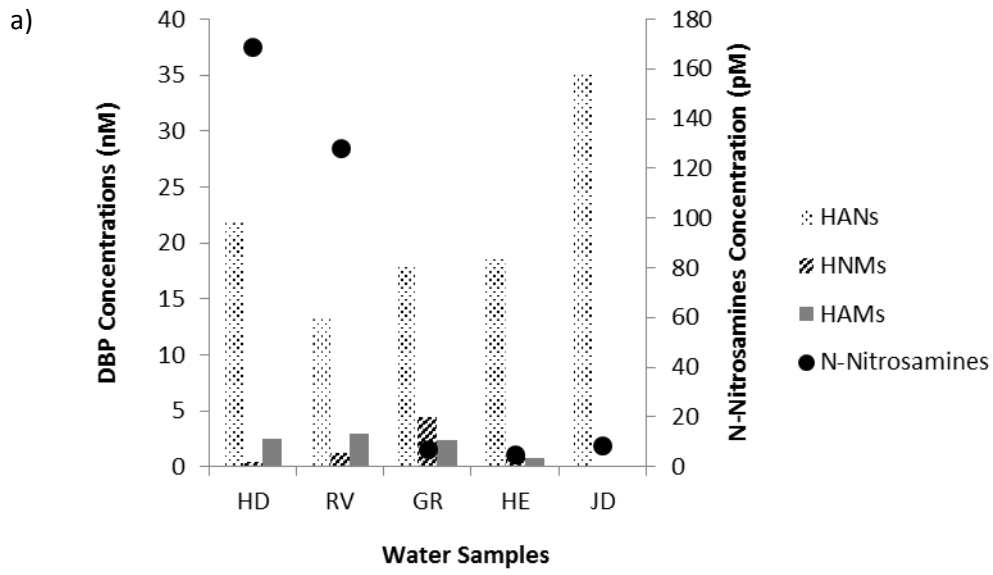


Fig. 2 The concentrations of N-DBPs after a) chlorination and b) chloramination of source waters over 3 days

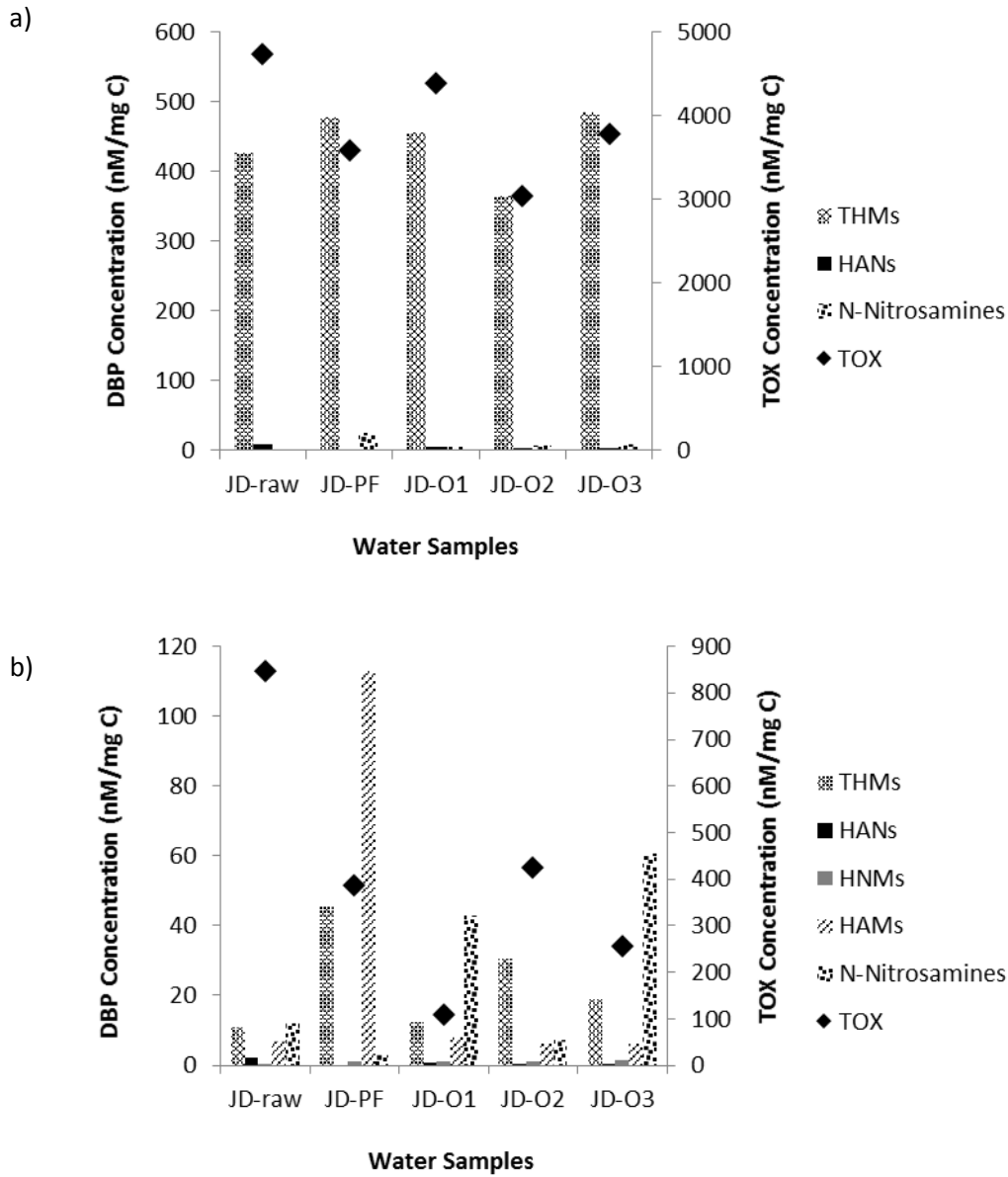


Fig. 3 The formation of DBPs per mg carbon after 3 day a) chlorination and b) chloramination of JD source water (JD-raw), and conventional (JD-PF) and ozone-BAC treatments (JD-O1 to JD-O3)