1	An X-ray photoelectron spectroscopic perspective for the evolution of O-		
2	containing structures in char during gasification		
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21 Abstract

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The purpose of this study is to investigate the evolution of O-containing structures of 23 char during gasification. Mallee wood (4.75-5.60 mm) from Western Australia was 24 gasified in a fluidised-bed reactor at 600-900 °C in O-containing (pure CO₂, 15% 25 H₂O-Ar) and non-O-containing atmospheres (15% H₂-Ar). X-ray photoelectron 26 spectroscopy (XPS) was applied to obtain detailed information about the nature of 27 oxygen bonding with carbon as well as the content of oxygen species in char. The 28 similar O/C ratio of char from XPS and elemental analysis indicated the relative 29 chemical uniformity between char surface and char matrix. The deconvolution results 30 of the O 1s spectra showed that the reactivity of the inherent aromatic C-O structure 31 was much higher than that of the aromatic C=O structure during gasification. The 32 33 amount of aromatic C-O structure left in char during gasification in non-O-containing atmosphere was lower than that in O-containing atmosphere while the consumption 34 35 of aromatic C=O structure was proportional to the progress of gasification, regardless of the atmosphere. The newly formed C-O structure in char during the 36 gasification in the O-containing atmosphere was likely to be responsible for the high 37 gasification reactivity. The well-dispersed alkali earth metallic species could be 38 carbonated to form CaCO₃ and MqCO₃ on char surface once the char was exposed 39 to CO₂ at 900 °C. 40

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Keywords: X-ray photoelectron spectroscopy; Oxygen-containing structure; Char
oxygenation; Gasification; Biomass

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46 **1. Introduction**

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Char gasification is the rate-limiting step for the overall solid fuel gasification 48 process [1,2]. There are a few important inter-related factors influencing the char 49 gasification rate [3]. Firstly, the inherent alkali and alkaline earth metallic (AAEM) 50 species in char can act as excellent catalysts for char gasification [3]. The presence 51 of highly dispersed AAEM species can significantly speed up the gasification 52 reaction, affect the properties of pyrolysis products, and have a great impact on the 53 54 evolution of the char structure [4-8]. Secondly, the transformation of aromatic ring systems in char will greatly influence char reactivity during gasification. It has 55 become clear that the small ring systems (equivalent to 3-5 fused benzene rings) are 56 preferentially consumed while the large ones (more than 6 fused rings) are 57 preferentially left and/or formed during gasification, making the residual char more 58 condensed and hard to gasify [9-13]. Thirdly, the O-containing functional groups in 59 char will also greatly influence the gasification rate to some extent, especially for the 60 gasification of the low-rank fuels at low temperature. It is believed that some O-61 containing structures in char are responsible for enhancing the char gasification rate 62 [4,9]. For a better understanding of the gasification mechanisms, the changes in char 63 structure, especially the evolution of O-containing structures, must be quantified 64 65 during gasification.

FT-Raman spectroscopy has been demonstrated to be a powerful analytical method to characterise the evolution of aromatic ring systems in char during gasification due to its outstanding ability to respond to the non-polar bond vibration [14-20]. However, only limited information can be obtained from the Raman spectra about the changes of O-containing structures in char, especially the changes in the

71 chemical bonding between oxygen and carbon during gasification. The total Raman 72 intensity can be used as an indication of the relative amount of the O-containing functional groups in char that can induce a resonance effect between oxygen and 73 the aromatic ring to which it is connected [14]. Not all oxygen species in char could 74 have the resonance effect with the aromatic rings. Fourier transform infrared (FT-IR) 75 spectroscopy may be responsive to a wide range of O-containing structures in char. 76 However, FT-IR spectroscopy would have some potential difficulties within the 77 context of tracing the changes in the O-containing structures during gasification. For 78 79 example, the absorption coefficients may vary by a magnitude or more from one type of O-containing functional group to another. 80

Therefore, other techniques must be applied to study O-containing functional 81 82 groups in char and provide useful information on the evolution of O-containing structures in char during gasification. X-ray photoelectron spectroscopy (XPS) has 83 proved to be one of the most powerful tools in detecting the surface structure of 84 85 carbonaceous materials [21-24]. Although the validity of XPS analysis is limited to determining the surface structure of material, its high sensitivity to the chemical 86 nature of atomic species has made it extensively developed as a useful technique for 87 identifying the structural features of different types of carbon materials [25,26]. In 88 addition, the ability to identify elemental bonding states has make it widely used in 89 90 determining the organic functional group composition of char through a detailed analysis of the high-resolution band of each elements [27,28]. Another important 91 feature of XPS spectroscopy is the unchanged sensitivity of oxygen regardless of its 92 93 chemical structures/functionality. This greatly facilitates the relative guantification of various classes of O-containing structures simply based on the measured peak 94 areas. 95

96 The purpose of this study is to investigate the effects of gasification temperature and gasification atmosphere on the evolution of O-containing structures in char. XPS 97 has been applied to characterise oxygen species in chars produced from the 98 gasification of mallee wood at 600-900 °C in three different atmospheres (pure CO₂, 99 15% H₂O-Ar, 15% H₂-Ar). The high-resolution O 1s peak of the XPS spectra were 100 further deconvoluted in order to gain insights into the nature of the bonding between 101 oxygen and carbon in addition to the determination of the contents of oxygen in char. 102 Our data provided further insight into the char gasification mechanisms. 103

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105 **2. Experimental**

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107 2.1 Biomass gasification

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Mallee wood in the size range of 4.75-5.60 mm from Western Australia was used as the feedstock in this research. The proximate analysis of the sample results in a 0.9% ash yield and 81.6% volatiles yield, and the elemental analysis of the sample determined 48.2% C, 6.1% H, 0.2% N and 45.5% O (wt%, dry and ash-free basis).

A fluidised-bed quartz reactor [29] was used to carry out the biomass gasification 113 experiments. Approximately 2 g of biomass (weighed accurately) was pre-loaded 114 into the feeder. Before feeding, the reactor was heated to the target temperature with 115 the flow of Ar through the reactor. The feeding of biomass into the reactor 116 commenced with the help of an electrical vibrator. When the feeding was finished, 117 the reactor was held for 20 minutes to ensure that all volatiles had been released. 118 The reaction gas was switched from Ar to the gasifying agent. For gasification in the 119 steam atmosphere, it was 15% steam balanced with Ar. For gasification in the H₂ 120 atmosphere, it was 15% H₂ balanced with Ar. For gasification in the CO₂ atmosphere, 121

pure CO₂ was used. After 4 minutes of holding in the gasification atmosphere, the reactor was lifted out of the furnace and cooled down naturally with Ar flowing into the reactor instead of the gasifying agents. After each experiment, the collected char sample was placed in sealed vials and stored in a freezer to avoid further oxidation by the ambient oxygen.

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128 2.2 Char characterisation

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130 XPS spectra were acquired with a Kratos AXIS Ultra DLD XPS spectrometer 131 equipped with a Al-K α X-ray monochromator (photon energy 1486.7 eV). XPS 132 measurements were carried out under ultra-high vacuum conditions (< 2.0×10⁻¹⁰ 133 mbar) at room temperature. The survey scans were taken across the sample with 134 binding energy from 1400 to 0 eV to determine all elements present in char. A pass 135 energy of 40 eV was used for the collection of high-resolution spectrum of each of 136 the selected elements.

Elemental analysis was carried out using a FLASH 200 elemental analyser. Char sample was firstly ground to powder and then about 2.5 mg (weighed accurately) sample was loaded into a tin capsule. The tin capsule was folded and placed in the autosampler for analysis.

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3. Deconvolution and band assignment of the XPS spectra

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Data processing of the acquired XPS spectra of chars was performed using the CasaXPS peak fitting software. The binding energy of the original XPS spectra was calibrated with respect to the carbon component of the C 1s peak at 284.5 eV. The spectra were curve-fitted after linear pre-edge and Shirley background subtraction,

using mixed Gaussian-Lorentzian bands. The position and assignment of the bandsin the O 1s spectra are briefly summarised in Table 1.

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151 **Table 1** Summary of peak/band assignment.

Spectra	Band position, eV	Description	References
	531.4	Aromatic C=O structure	11,27,30-32
O 1s	533.4	Aromatic C-O structure	23,27,31-33
	536.0	Absorbed O ₂	11,23

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The curve-fitting of the high-resolution O 1s spectra was taken in the range 153 between 528.0 and 539.0 eV. The broadening of the O 1s spectra means large 154 varieties of O-containing structures presented in char. Based on the XPS spectra of 155 some model compounds [11,30,31], one band at 531.3 eV was assigned to the C=O 156 157 (aromatic) functional groups such as benzoquinone-type structure in char. Another band at 531.6 eV was attributed to the R-(C=O)-C (aromatic) functional groups such 158 as aromatic ketone or carbonyl structure in char [27,31,32]. It is clear that these two 159 160 kinds of O-containing functional groups have very close binding energies and they cannot be reliably distinguished through the curve-fitting procedure. Therefore, in this 161 study, the band at 531.4 eV was assigned to all aromatic C=O structures in char. 162 Since it represents more than one type of structure the band is broader than that for 163 a pure model compound. 164

On the high binding energy side, one band at the position 533.2 eV was attributed to oxygen inside the carbon ring such as epoxide or furan type structure in char [27,31,33]. Another band at 533.3 eV was assigned to the O-C (aromatic) structure such as phenol or diphenyl ether [23,31,32]. Moreover, the band located at 533.6 eV was assigned to the O-(C=O)-C (aromatic) functional group such as carboxyl structure in char [31]. Similarly, because of the close binding energies among these

three oxygen-carbon structures and the complexity of O-contaning functional groups
in char, the band at 533.4 eV was assigned to all aromatic C-O structures in char.

173 In addition to the two main bands assigned above, another weak peak appearing

at 536.0 eV was identified as the absorbed O₂ existing on char surface [11,23].

A typical example of the spectral deconvolution/curve-fitting of the high-resolution O 1s peak of char using three bands is shown in Fig. 1. Similar success of curvefitting can also be achieved for all other char samples investigated in this study.



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Fig. 1. Spectral deconvolution of a XPS O 1s peak of char from the gasification of mallee wood at 700 $^{\circ}$ C in 15% H₂O balanced with Ar.

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182 **4. Results and discussion**

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184 4.1 Char yield
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The gasification of mallee woody biomass was carried out at different temperatures ranging from 600 to 900 °C in three gasifying agents (pure CO₂, 15% H_2O -Ar, 15% H_2 -Ar). Fig. 2 shows the char yields as a function of gasification temperature in three gasifying atmospheres. As expected, the char yield decreased

190 with increasing temperature because of the enhanced thermal cracking and gasification reaction. In addition, for different gasification atmospheres, when the 191 temperature was below 700 °C, there was not much difference in the char yield, 192 indicating that the main reaction was pyrolysis at this stage. However, when the 193 temperature was higher than 700 °C, the gasification reaction became fierce and the 194 gasification in CO₂ proceeded the fastest among the three atmospheres. As 195 expected, the conversion of char proceeded the slowest during the gasification in H_2 196 atmosphere, confirming that the char-H₂ reaction was much slower than the char-197 H₂O and char-CO₂ reactions [4,16]. 198



Fig. 2. Char yield as a function of gasification temperature for mallee wood in pure CO₂, 15% H₂O
 balanced with Ar and 15% H₂ balanced with Ar.

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4.2 Formation of carbonates during the gasification in CO_2 at 900 °C

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According to our previous studies [15,34], the extensive volatilisation of Ca and Mg from char matrix during the gasification of Victorian brown coal in CO_2 at 900 °C in a fluidised-bed reactor took place because of the formation and aggregation of carbonates on char surface. Ca and Mg species were present as the carboxyl-bound cations, and would retain their high dispersion during fast pyrolysis even at high temperature (up to 950 °C) [6,34]. Once the char was exposed to CO_2 at 900 °C, the well-dispersed alkali earth metallic species could be carbonated to form CaCO₃ and MgCO₃ on the char surface [34,35,36].



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This result was confirmed by the XPS analysis in this work. Fig. 3 shows the XPS spectra of the char from the gasification of mallee wood at 900 °C in pure CO₂. The high-resolution O 1s spectrum showed a clear peak located at 535.0 eV, which is the

position for carbonate structures [37]. In order to clarify the nature of this band, the 221 char was washed with 0.2 M hydrochloric acid to remove the carbonates as well as 222 the AAEM species on char surface. It can be seen from the survey scan that almost 223 all Ca and Mg species were removed from the char, and the band in the range of 224 534.0-536.0 eV in the O 1s high-resolution spectrum disappeared after the acid-225 washing, indicating the formation of CaCO₃ and MgCO₃ during gasification in CO₂ at 226 227 900 °C. No clear carbonate peak can be seen in the O 1s high-resolution spectra of char from the gasification below 900 °C in pure CO₂. 228

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4.3 Similarity in O/C ratio between surface and bulk analyses

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In order to identify whether there were some differences in the contents of carbon and oxygen between the char surface and the char matrix, the results from elemental analysis were compared with those from XPS analysis. The contents of carbon and oxygen in char from XPS analysis were obtained by the calculation of total peak intensity and the relative sensitivity factors of each element. Due to the inability to detect the H element through the XPS analysis, the O/C ratio of char during gasification was used to compare the difference between surface and bulk analyses.

As is shown in Fig. 4, the O/C ratio of char obtained both from XPS and elemental 239 analysis exhibited a decrease with the increasing gasification temperature, indicating 240 the decline in the oxygen content of char during gasification at high temperature. 241 More importantly, for a given temperature, the O/C ratios of char from XPS and 242 elemental analysis were almost the same and the relative difference between these 243 two analysis results was less than 6%, which means the whole char particle was 244 chemically uniform and there was not much difference between the surface and char 245 matrix. Although the XPS analysis cannot detect the H element in char, based on the 246

elemental analysis results, the content of H species in char was very little (less than
2%). Therefore, the XPS analysis can still be used as a characterisation method to
indicate the concentration of carbon and oxygen species of the whole char particles
during gasification.





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Fig. 4. The O/C ratios of chars as a function of gasification temperatures for mallee wood in (a) pure 255 256 CO₂, (b) 15% H₂O balanced with Ar and (c) 15% H₂ balanced with Ar.

4.4 Relative distribution of chemical components in O 1s spectra 257

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A clear trend for the changes in O-containing structure can be found through the 259 deconvolution of O 1s spectra of chars. Fig. 5 illustrates the relative distribution of O-260 containing structures in O 1s high-resolution spectra. It can be seen that the 261 distribution of aromatic C-O structures in the O 1s spectra continuously decreased 262 with increasing gasification temperature, while an increasing trend was shown on the 263 distribution of aromatic C=O structures in the O 1s spectra with increasing 264 gasification temperature. The deconvolution result of O 1s spectra can only show the 265 relative content of O-containing structures, in order to identify the exact amount of O-266 containing structures left in char during gasification, the absolute quantity of each 267 chemical component should be calculated, which will be discussed in the following 268 section. 269

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Fig. 5. Distribution of O-containing structure in O 1s spectra obtained by XPS analysis as a function of gasification temperatures for mallee wood in (a) pure CO₂, (b) 15% H₂O balanced with Ar and (c) 15% H₂ balanced with Ar.

4.5 Absolute amount of oxygen species in char during gasification

The absolute amount refers to the amount of a particular type of XPS-derived Ocontaining structure in char based on an initial gram of biomass (before gasification at each temperature). The absolute amounts of O-containing structure in char obtained by the XPS calculation results and the char yield of mallee wood during gasification are illustrated in Fig. 6.



Fig. 6. Amount of (a) C-O structure and (b) C=O structure left in char after gasification based on per gram of mallee wood obtained by XPS analysis as a function of gasification temperatures.

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291 It can be seen that there was a drastic decrease in the aromatic C-O structures in char with increasing temperature for all three gasification atmospheres, indicating the 292 high reactivity of aromatic C-O structures during gasification. In addition, a clear 293 difference appeared for the amount of aromatic C=O structures left in char between 294 gasification in H₂ atmosphere and the O-containing atmosphere (CO₂, H₂O). The 295 amounts of C=O structures in char from the gasification in steam and in CO₂ 296 continuously decreased with increasing temperature. However, such structure in 297 chars from the gasification in H₂ was almost constant when the gasification 298 299 temperature was below 900 °C. The char gasification in H₂ was quite slow and the loss of O-containing structure was mainly because of the enhanced thermal cracking, 300 not the gasification reaction. Therefore, the chemical stability of the aromatic C=O 301 302 structures made it more likely to survive during the thermal cracking and some 303 aromatic C-O structures may transform to the more stable aromatic C=O structures, resulting in a steady amounts of aromatic C=O structures in char until 900 °C where 304 the gasification become intensified. In contrast, for the char gasification in steam 305 atmosphere and CO₂ atmosphere, the aromatic C=O structures would be 306 continuously consumed by the gasifying agent, especially with increasing 307 temperature and thus intensified gasification. 308

In order to clarify the changes in the O-containing structure with the progress of gasification, the absolute amounts of aromatic C-O structures and aromatic C=O structures in char as a function of char yield is shown in Fig. 7. It can be seen that, with the progress of gasification, the amounts of aromatic C-O structures of char from gasification in H₂ was significantly lower than that from gasification in the oxidising atmospheres, indicating that the C-O structures was easier to be consumed in the reducing atmospheres. In addition, as is shown in Fig. 7 (b), the amounts of

aromatic C=O structures decreased with decreasing char yield and not much difference can be seen among the three atmospheres, which means that the consumption of aromatic C=O structures was more likely to be proportional to the progress of gasification both in the O-containing atmosphere and non-O-containing atmosphere. Therefore, the steady amounts of aromatic C=O structures in char with increasing temperature during the gasification in H₂ atmosphere was mainly due to weak gasification reaction at that stage.





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Fig. 7. Amounts of (a) C-O structure and (b) C=O structure left in char after gasification based on per gram of mallee wood obtained by XPS analysis as a function of char yield.

4.6 Oxygenation and de-oxygenation during char gasification

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The gasification of low-rank fuels in O-containing atmospheres (e.g. H₂O, CO₂) is an oxygenation process based on our study of the total Raman intensity [4,9]. As mentioned above, the XPS analysis can also give a direct indication of the amount of O species in char during gasification, and it will involve all O species not just the O which have the resonance effect with the aromatic ring to which it is connected.

Fig. 8 illustrates the relative contents of O-containing structure in char with the 335 progress of gasification. The relative contents refer to the contents of each chemical 336 component in char based on per actual gram of biomass char (remaining after 337 gasification). It can be seen that the relative contents of O species of char from 338 gasification in the O-containing atmospheres were higher than that in the non-O-339 340 containing atmosphere with the process of gasification. Therefore, it is hypothesised that some O derived from the O-containing gasifying agent leads to the oxygenation 341 of the aromatic ring system in terms of forming some intermediates such as C(CO), 342 C(OH) and C(O) structures in the char matrix during gasification [9,38-41], 343 contributing to the high contents of O species of char. 344

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Fig. 8. Relative contents of (a) O species, (b) O with C-O structure and (c) O with C=O structure in char obtained by XPS analysis as a function of char yield.

Further information can be obtained from the deconvolution result of the O 1s 352 spectra. As is shown in Fig. 8 (b) and (c), The contents of aromatic C-O structure of 353 char gasified in the O-containing atmosphere was much higher than that in the non-354 O-containing atmosphere with the process of gasification, while not much difference 355 can be observed for the contents of aromatic C=O structure among the three 356 atmospheres. All of these indicated that the captured O species from the O-357 containing gasifying agent were much likely bonded to the char matrix with C-O 358 structure. Furthermore, considering the high gasification rate of char in steam 359 360 atmosphere and in CO₂ atmosphere as well as our previous studies [4,9] which indicated that some kinds of O-containing structures in char were responsible for 361 enhancing the char gasification rate, the continuously generated C-O structures in 362 the O-containing atmosphere were most likely to be responsible for promoting the 363 char gasification reactivity. 364

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366 **5. Conclusions**

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Australia mallee wood was gasified in a fluidised-bed reactor at 600-900 °C in O-368 containing atmosphere (pure CO₂, 15% H₂O-Ar) and non-O-containing atmosphere 369 (15% H₂-Ar). Our results revealed that the gasification rate of char in steam 370 371 atmosphere and CO₂ atmosphere was much higher than that in H₂ atmosphere. For the gasification in CO₂ at 900 °C, CaCO₃ and MgCO₃ would form on char surface. 372 The similar O/C ratio of char from XPS and elemental analysis indicated the 373 chemical similarity between char surface and char matrix. In addition, the aromatic 374 C-O structure in char was highly reactive so that it can be easily removed or broken 375 down while the low reactivity of the aromatic C=O structure made it more likely to 376

377 survive during gasification compared with the aromatic C-O structure. The amount of aromatic C-O structure left in the char during gasification in non-O-containing 378 atmosphere was lower than that in O-containing atmosphere, especially at low char 379 vield. In contrast the consumption of aromatic C=O structure was proportional to the 380 progress of gasification, regardless of the atmosphere. Moreover, the high contents 381 of O species in chars with the progress of gasification in steam and in CO₂ confirmed 382 the oxygenation of char gasified in the O-containing atmosphere. The newly formed 383 C-O structure in char during the oxygenation was most likely to be responsible for 384 385 the high gasification reactivity of char in the O-containing atmosphere.

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