



# HST Grism Confirmation of 16 Structures at $1.4 < z < 2.8$ from the Clusters Around Radio-Loud AGN (CARLA) Survey

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## Abstract

We report spectroscopic results from our 40-orbit *Hubble Space Telescope* slitless grism spectroscopy program observing the 20 densest Clusters Around Radio-Loud AGN (CARLA) candidate galaxy clusters at  $1.4 < z < 2.8$ . These candidate rich structures, among the richest and most distant known, were identified on the basis of [3.6]–[4.5] color from a 408 hr multi-cycle *Spitzer* program targeting 420 distant radio-loud AGN. We report the spectroscopic confirmation of 16 distant structures at  $1.4 < z < 2.8$  associated with the targeted powerful high-redshift radio-loud AGN. We also report the serendipitous discovery and spectroscopic confirmation of seven additional structures at  $0.87 < z < 2.12$  not associated with the targeted radio-loud AGN. We find that  $10^{10}$ – $10^{11} M_{\odot}$  member galaxies of our confirmed CARLA structures form significantly fewer stars than their field counterparts at all redshifts within  $1.4 \leq z \leq 2$ . We also observe higher star-forming activity in the structure cores up to  $z = 2$ , finding similar trends as cluster surveys at slightly lower redshifts ( $1.0 < z < 1.5$ ). By design, our efficient strategy of obtaining just two grism orbits per field only obtains spectroscopic confirmation of emission line galaxies. Deeper spectroscopy will be required to study the population of evolved, massive galaxies in these (forming) clusters. Lacking multi-band coverage of the fields, we adopt a very conservative approach of calling all confirmations “structures,” although we note that a number of features are consistent with some of them being bona fide galaxy clusters. Together this survey represents a unique and large homogenous sample of spectroscopically confirmed structures at high redshifts, potentially more than doubling the census of confirmed, massive clusters at  $z > 1.4$ .

**Key words:** galaxies: clusters: general – galaxies: high-redshift

**Supporting material:** machine-readable table

## 1. Introduction

The last decade has seen an explosion in our understanding of the early stages of galaxy cluster formation, largely built upon samples of distant galaxy clusters identified from the Infrared Array Camera (IRAC; Fazio et al. 2004) on the *Spitzer Space Telescope*. Mid-infrared observations provide an incredibly sensitive tool for identifying massive galaxies at high redshift. For stellar populations formed at high redshift, negative  $k$ -corrections provide a nearly constant  $4.5 \mu\text{m}$  flux density over a wide redshift range, while red [3.6]–[4.5] colors provide an effective means of isolating galaxies at  $z > 1.3$  (e.g., Papovich 2008). Indeed the sensitivity required to detect  $L^*$  galaxies at  $z \sim 0.7$  with mid-infrared observations, which *Spitzer* reaches in  $< 2$  minute integrations, is sufficient to detect such galaxies to  $z \gtrsim 2$ . Several large projects are

exploiting mid-infrared data to search for high-redshift galaxy clusters using *Spitzer* and *WISE* (e.g., Eisenhardt et al. 2008; Galametz et al. 2010, 2013; Papovich et al. 2010; Stanford et al. 2012, 2014; Muzzin et al. 2013; Rettura et al. 2014; Wylezalek et al. 2014; Brodwin et al. 2015, 2016; Paterno-Mahler et al. 2017). Such work has, for example, proved useful for (i) measuring the galaxy cluster autocorrelation function out to  $z \sim 1.5$ , which provides a measure of the typical galaxy cluster mass (Brodwin et al. 2007); (ii) targeted cosmological surveys for  $z > 1$  SNe Ia in dust-free environments (Suzuki et al. 2012); (iii) probing evolution in the  $\sigma$ – $T_x$  correlation (Brodwin et al. 2011); (iv) using the rest-frame near-infrared luminosity function and rest-frame optical colors to probe the formation epoch of cluster galaxies (Mancone et al. 2010, 2012; Snyder et al. 2012; Wylezalek et al. 2014; Cooke et al. 2015; Nantais et al. 2016); (v) probing the role of AGN

feedback in forming clusters (Galametz et al. 2009; Martini et al. 2013); (vi) leading cosmological investigations based on the incidence of massive, high-redshift clusters (Brodwin et al. 2012; Gonzalez et al. 2012); and (vii) probing the dependency of galaxy quenching on environment (Muzzin et al. 2014, Nantais et al. 2017).

A significant challenge, however, is to confirm these massive, distant cluster candidates, particularly at the highest redshifts, which probe the earliest stages of their evolution. At  $z \sim 1.4$ , the strong [O II] and D4000 features shift to infrared wavelengths where ground-based spectroscopic follow-up is more challenging, particularly for absorption-line redshifts. Near-infrared grism spectroscopy using the Wide Field Camera 3 (WFC3) onboard the *Hubble Space Telescope* (*HST*) provides impressive sensitivity for studying galaxy clusters at high redshift. Courtesy of the multiplexing advantages of slitless spectroscopy combined with the low, absorption-free background provided from space, grism data is sufficient to confirm clusters out to  $z \sim 2$ . Indeed the majority of the most distant clusters currently known were selected on the basis of *Spitzer* mid-infrared data and confirmed with *Hubble* grism spectroscopy. This includes clusters at  $z = 1.75$  (Stanford et al. 2012),  $z = 1.80$  (Newman et al. 2014),  $z = 1.89$  (Zeimann et al. 2012), and our own recent confirmation of one evolved cluster and one younger forming structure at  $z = 2.00$  and  $z = 1.99$ , respectively (Noirot et al. 2016; hereafter N16).

Much work on distant clusters is based on blind field searches, which provide both a strength and a weakness. Field surveys have a simpler selection function for measuring the growth of structure through cluster counts. However, field surveys—both in the mid-infrared and at other wavelengths (e.g., Sunyaev–Zel’dovich (SZ) or X-ray surveys; Bleem et al. 2015; Tozzi et al. 2015)—find few massive clusters at the highest redshifts ( $z \gtrsim 1.5$ ). Many key galaxy cluster studies do not require knowledge of the cluster space density (e.g., Krick et al. 2008; Stern et al. 2010; Rettura et al. 2011; Suzuki et al. 2012), and therefore targeted searches for high-redshift galaxy clusters have many advantages.

We report on a comprehensive, 40-orbit *Hubble* program using the unique near-infrared grism capabilities of the WFC3 to attempt confirmation of the 20 richest  $z > 1.4$  galaxy cluster candidates identified from our 408 hr *Warm Spitzer* survey of 420 radio-loud AGN across the full sky, Clusters Around Radio-Loud AGN (CARLA; Wylezalek et al. 2013, 2014). With IRAC exposures of  $\sim 1$  hr per field, CARLA reaches  $10\sigma$  depths of  $m^* + 2$  out to  $z \gtrsim 2$ . Extensive literature reaching back 50 years shows that powerful radio-loud AGN preferentially reside in overdense environments (e.g., Matthews et al. 1964). We identified sources with red mid-infrared colors ( $[3.6]-[4.5] > -0.1$ ; AB), which are primarily expected to be galaxies at  $z \gtrsim 1.3$  (e.g., Wylezalek et al. 2013). Considering the surface density of sources with such colors as selected from the 1 deg<sup>2</sup> *Spitzer* UKIDSS Ultra-Deep Survey (SpUDS, PI: J. Dunlop), we find that the average blind field contains  $\langle \Sigma_{\text{SpUDS}} \rangle = 8.3 \pm 1.6$  red *Spitzer* galaxies arcmin<sup>-2</sup>. In contrast, 92.4% of the CARLA fields are denser than this SpUDS average density. Approximately 10% of the CARLA fields are as rich or richer than twice the average SpUDS density of red galaxies (i.e.,  $\Sigma \geq 16.3$  arcmin<sup>-2</sup>), compared to 0.7% of SpUDS fields. Indeed, many of the CARLA fields—and all the fields reported here—are richer than the highest

density region in SpUDS, the  $z = 1.62$  cluster reported by Papovich et al. (2010) and Tanaka et al. (2010). This *Hubble* program enhances the census of confirmed *rich* structures at  $z > 1.4$  by a factor of several, identifying systems at a time when clusters are believed to be actively forming.

The paper is structured as follows. Section 2 reports on the observations, while Section 3 briefly summarizes the data analysis; we refer the reader to N16 for a detailed description of the data processing. Section 4 reports on some initial results from the rest-frame optical spectroscopy, including cluster membership (Section 4.2), cluster star formation rates (SFRs; Section 4.4), and a detailed description of several newly confirmed structures of particular interest (Section 4.6). Section 5 investigates statistical correlations probed by the data, including comparison to other cluster surveys (Section 5.1), typical  $\text{H}\alpha/[\text{O III}]$  line ratios at high redshift (Section 5.2), and how SFR depends on galaxy mass (Section 5.3) and distance from the cluster center (Section 5.4). We summarize our results in Section 6. Throughout we use AB magnitudes, and we adopt the concordance cosmology,  $\Omega_M = 0.3$ ,  $\Omega_\Lambda = 0.7$ , and  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ .

## 2. Observations

Our *HST* program consists of WFC3/F140W imaging and WFC3/G141 grism spectroscopy of the 20 densest CARLA cluster candidates, selected from 420 radio-loud AGN at  $z > 1.3$  observed in our *Spitzer* program (Wylezalek et al. 2013). The *HST* fields are  $4\sigma$  to  $8\sigma$  overdense in *Spitzer* color-selected sources (i.e., selected to have mid-infrared colors consistent with  $z > 1.3$ ), compared to the mean SpUDS density of similarly selected sources (Wylezalek et al. 2014). The targeted radio-loud AGN (RLAGN) at the center of the fields observed with *HST* cover the redshift range  $1.37 < z < 2.80$ , with a median redshift  $\bar{z} = 1.655$ . A total of 10 fields are associated with high-redshift radio galaxies (HzRGs, type-2 RLAGN), and the other 10 with radio-loud quasars (RLQs, type-1 RLAGN). The 20 fields were observed between 2014 October and 2016 April (Program ID: 13740) with a 2-visit per field strategy, using different orientations to mitigate contamination from overlapping spectra. For each visit, we obtained 0.5 ks F140W imaging and 2 ks G141 grism spectroscopy, divided into four dithered blocks of exposures, with the direct images taken just after the grism exposures to enable wavelength calibration of the spectra based on source position. Table 1 lists the observation dates, orientation angles, and exposure times. We refer to confirmed structures by their CARLA names, whereas we refer to unconfirmed structures by their radio-loud AGN target names.

Each image covers a field of view of  $2 \times 2.3$  arcmin<sup>2</sup> at a sampling of  $0.13 \text{ arcsec pix}^{-1}$ . The G141 grism covers the wavelength range  $1.08\text{--}1.70 \mu\text{m}$  with a throughput  $>10\%$  at low spectral resolution,  $R \equiv \lambda/\Delta\lambda = 130$ . This grism was chosen to enable identification of strong spectroscopic features at the redshifts of our cluster candidates ( $1.4 < z < 2.8$ )—namely  $\text{H}\alpha$  at  $0.65 < z < 1.59$ , [O III] at  $1.16 < z < 2.40$ ,  $\text{H}\beta$  at  $1.22 < z < 2.50$ , and [O II] at  $1.90 < z < 3.56$ . Our efficient strategy of two orbits per field represents shallow observations, only allowing us to spectroscopically confirm star-forming galaxies with their strong, narrow emission lines. Specifically this strategy of shallow observations does not provide spectroscopic confirmation of the important, but more

**Table 1**  
HST WFC3 Observations

Field	UT Date	Position Angle <sup>a</sup> (degrees)	F140W/G141 Exp. Time (s)
CARLA J0116–2052	2014 Dec 06	–70	512/2012
	2015 Oct 25	–100	512/2012
CARLA J0800+4029	2014 Nov 03	+125	537/2062
	2014 Nov 07	+162	537/2062
CARLA J0958–2904	2015 Oct 13	+124	512/2012
	2015 Dec 02	+161	512/2012
CARLA J1017+6116	2014 Dec 22 <sup>b</sup>	+104	512/2262
	2015 Feb 05	+76	512/2262
	2015 Nov 22	+173	512/2262
CARLA J1018+0530	2015 Mar 14	–30	487/2012
	2015 Mar 14	–10	487/2012
CARLA J1052+0806	2015 May 14	–8	487/2012
	2015 May 17	–28	487/2012
6CSS1054+4459	2014 Dec 26	+122	537/2062
	2015 May 15	–19	537/2062
CARLA J1103+3449	2014 Dec 25	+167	537/2012
	2016 Mar 03	+45	537/2012
CARLA J1129+0951	2015 Apr 19	–20	487/2012
	2015 Apr 19	0	487/2012
CARLA J1131–2705	2014 Nov 12	+131	512/2012
	2016 Jan 31	–159	512/2012
CARLA J1300+4009	2015 Jul 04	–31	537/2062
	2015 Oct 30	–160	537/2062
J1317+3925	2015 Jun 30	–25	512/2062
	2016 Feb 29	+111	512/2062
CARLA J1358+5752	2015 Feb 03	+142	537/2212
	2016 Feb 17	+111	537/2212
CARLA J1510+5958	2015 May 18	+21	537/2212
	2015 Jul 04	–9	537/2212
J1515+2133	2015 Apr 08	+99	512/2012
	2015 Apr 13	+79	512/2012
CARLA J1753+6310	2015 Jul 02	+45	512/2262
	2015 Aug 21	–25	512/2262
CARLA J2039–2514	2014 Oct 14	–62	512/2012
	2014 Oct 14	–39	512/2012
CARLA J2227–2705	2014 Oct 30	–50	512/2012
	2015 Jul 26	+160	512/2012
TNR 2254+1857	2014 Nov 05	–32	512/2012
	2014 Nov 09	–72	512/2012
CARLA J2355–0002	2014 Oct 22	–46	487/2012
	2015 Jul 13	+97	462/2012

**Notes.**

<sup>a</sup> East of north.

<sup>b</sup> Failed. Re-observed on UT 2015 November 22.

challenging, population of passive galaxies in the distant clusters.

### 3. Data Processing

Data reduction mainly follows the steps described in N16. In short, we first combine the individual F140W exposures with aXe (v2.2.4; Kümmel et al. 2009, 2011) to create deep drizzled, cosmic ray cleaned, direct images of each field, on which we perform source extraction using SExtractor (Bertin & Arnouts 1996). Using spectrally empty sky regions determined from the SExtractor catalogs, we then subtract the sky from the grism images using aXe and appropriate configuration files. Individual two-dimensional spectra in each field and visit are then extracted from the grism data based on source position and

sizes. For each spectrum we also determine contamination estimates from neighboring objects using the aXe Gaussian contamination model.

We then use an internally developed Graphical User Interface (GUI) to facilitate spectral and source characterization. We determine source redshifts and emission line fluxes with the python version of mpfit using the same method as described in N16, now implemented within our GUI. See N16 for a detailed, in-depth description of the data processing methodology and implementation.

### 4. Results

All spectra were initially analyzed by GN, with secondary assessments provided by co-authors. In our final catalogs, we

**Table 2**  
CARLA *HST* Results

Field	$\sigma_{\text{IRAC}}^{\text{a}}$	$z_{\text{RLAGN}}$	$\langle z \rangle_{\text{cl}}^{\text{b}}$	$z_{\text{cl}}^{\text{c}}$	$\#z_{\text{cl}}/\#z_{\text{tot}}^{\text{d}}$		
					<i>HST</i>	IRAC	[3.6]–[4.5] > –0.1
Confirmed CARLA Structures							
CARLA J0116–2052	5.14	1.417	1.425	1.430	12/31	9/22	7/14
CARLA J0800+4029	6.38	2.004	1.986	1.986	10/26	5/18	5/14
CARLA J0958–2904	5.00	1.411	1.392	1.396	8/23	5/12	5/9
CARLA J1017+6116	6.67	2.80	2.801	2.801	7/41	3/20	3/10
CARLA J1018+0530	5.00	1.949	1.952	1.953	8/26	5/18	4/8
CARLA J1052+0806	4.71	1.641	1.646	1.648	6/40	1/17	1/9
CARLA J1103+3449	6.38	1.444	1.442	1.443	8/26	4/15	4/10
CARLA J1129+0951	6.33	1.520	1.528	1.531	12/39	4/16	4/7
CARLA J1131–2705	4.38	1.444	1.446	1.445	9/36	6/23	6/18
CARLA J1300+4009	4.86	1.669	1.675	1.676	8/28	2/10	2/7
CARLA J1358+5752	6.24	1.370	1.368	1.373	14/48	8/23	8/17
CARLA J1510+5958	5.62	1.719	1.725	1.719	6/46	5/24	5/14
CARLA J1753+6310	4.52	1.576	1.582	1.581	5/35	1/8	1/7
CARLA J2039–2514	8.00	1.997	1.999	2.000	9/30	3/14	3/10
CARLA J2227–2705	5.29	1.684	1.692	1.686	7/51	2/28	2/10
CARLA J2355–0002	5.62	1.487	1.490	1.489	12/44	7/28	7/14
Unconfirmed CARLA Structures <sup>e</sup>							
6CSS1054+4459	4.67	2.573	(2.566)	(2.566)	(2)/38	(0)/17	(0)/7
J1317+3925	4.86	1.569	(1.574)	(1.569)	(3)/39	(3)/22	(3)/10
J1515+2133	4.24	2.249	(2.262)	(2.262)	(2)/35	(1)/22	(1)/10
TNR 2254+1857	5.62	2.164	(2.159)	(2.157)	(3)/25	(1)/14	(1)/10
Serendipitous Discoveries							
CARLA-Ser J1017+6116	...	...	1.235	1.234	5/41	3/20	1/10
CARLA-Ser J1317+3925	...	...	1.467	1.465	8/39	3/22	3/10
CARLA-Ser J1510+5958	...	...	0.875	0.876	6/46	1/24	0/14
CARLA-Ser2 J1510+5958	...	...	0.977	0.976	7/46	5/24	0/14
CARLA-Ser J1753+6310	...	...	2.117	2.117	6/35	0/8	0/7
CARLA-Ser J2227–2705	...	...	1.355	1.358	10/51	3/28	1/10
CARLA-Ser2 J2227–2705	...	...	1.477	1.478	6/51	2/28	2/10

#### Notes.

<sup>a</sup> Overdensity significance of color-selected sources above the field value (Wylezalek et al. 2014).

<sup>b</sup> Mean redshift of structure members.

<sup>c</sup> Median redshift of structure members.

<sup>d</sup> Number of confirmed structure members ( $\#z_{\text{cl}}$ ) compared to the total number of sources for which we measure a redshift ( $\#z_{\text{tot}}$ ). The first column corresponds to sources detected in our *HST*/F140W imaging, the second column to secure sources detected in our *Spitzer*/IRAC imaging, and the third column to sources passing our *Spitzer*/IRAC color-selection criterion.

<sup>e</sup> For unconfirmed structures, we show in parenthesis mean and median redshifts and source numbers based on the few confirmed sources at the RLAGN redshifts.

provide the fitted redshifts and consensus quality flags described in Section 4.1. Table 2 shows the spectroscopic results, and spectra of all confirmed members are shown in Appendix D. Among the 20 fields, 16 are confirmed structures associated with the RLAGN, while we fail to confirm 4 cluster candidates (at  $z = 1.57, 2.16, 2.25,$  and  $2.57$ ). In 5 of the 20 fields, we also identify 7 background or foreground structures. A total of 4 (7) of the 16 confirmed structures have 12 (9) or more confirmed star-forming members. All 4 non-confirmed clusters have at least one source confirmed at the RLAGN redshift in addition to the RLAGN itself.<sup>17</sup> We provide a catalog of all spectroscopically identified sources in the online material. We describe the content of this catalog in Appendix A.

<sup>17</sup> Note that these non-confirmed clusters also have the potential to host low star-forming or quiescent populations that we cannot identify with our shallow grism observations.

#### 4.1. Redshift Quality Flags

We use the same redshift qualities as in N16: A, B<sup>+</sup>, and B<sup>–</sup>. In short, we have three proxies for redshift determination: emission lines, *Spitzer*/IRAC colors, and the RLAGN prior redshifts. We only consider strong emission lines characteristic of star formation: H $\alpha$ , [O III], H $\beta$ , and [O II]. When two or more emission lines are securely detected, we assign quality A redshifts. These redshifts are considered to be very secure. When only one strong line is detected, we also use line non-detections to disentangle between possible identifications and reject uncertain cases. Additionally, if a source also possesses a secure *Spitzer*/IRAC counterpart with a mid-infrared color that disentangles between possible identifications (see Section 1), the redshift quality becomes B<sup>+</sup>. Such sources are considered to have relatively secure redshifts. In the absence of a secure *Spitzer*/IRAC identification, the redshift quality flag is B<sup>–</sup>. Such a situation could happen either because the source is

undetected by IRAC or because an IRAC-detected source is a blend of several sources detected in the *HST* imaging. The redshift quality flag is also B<sup>-</sup> when a source is securely detected by IRAC but the mid-infrared color alone leaves an ambiguous redshift identification—for example, a red IRAC source with an isolated emission line at 1.6  $\mu\text{m}$  could potentially be, based on the IRAC color, H $\alpha$  at  $z = 1.44$ , or [O III] at  $z = 2.20$  (or [O II] at yet higher redshift). Quality B<sup>-</sup> redshifts are considered likely correct, albeit with the potential for some mis-identifications. Note that recurrent identification of strong line(s) at the same wavelengths as the strong emission lines of the targeted RLAGN provides additional strong support that B identifications are robust. Without deep multi-band coverage of the majority of the fields, robust photometric redshifts are not possible. Overall, we identify 308 quality A, 181 quality B<sup>+</sup>, and 218 quality B<sup>-</sup> redshifts, in the range  $0.38 < z < 2.85$ . Among them, two-thirds (473/707) are at  $z > 1.3$ .

#### 4.2. Membership Definition

As in N16, we adapt the Eisenhardt et al. (2008) criteria to define a spectroscopically confirmed galaxy cluster. Developed to confirm  $z > 1$  clusters, the Eisenhardt et al. (2008) criteria require at least five galaxies within a physical radius of 2 Mpc whose spectroscopic redshifts are confined to lie within  $\pm 2000(1 + \langle z_{\text{spec}} \rangle) \text{ km s}^{-1}$ . The physical radius of our confirmations is here constrained by our *HST* field of views, which probe  $\sim 4\times$  smaller radii than the 2 Mpc criterion. Our adopted criteria therefore require at least five galaxies within our *HST* field of views ( $\sim 0.5$  Mpc physical radius) whose spectroscopic redshifts are confined to lie within  $\pm 2000 \text{ km s}^{-1}$ . As emphasized in N16, the Eisenhardt et al. (2008) definition was designed for ground-based spectroscopic surveys and, alone, may also identify groups, protoclusters, sheets, and filaments. As such, these criteria are imperfect, but have the advantage of providing a clearly stated and easily measurable threshold. Ideal criteria for defining robust clusters would likely require additional multi-wavelength data such as extended X-ray detections, SZ decrements, and/or weak-lensing total mass measurements. We are pursuing such observations, but they are beyond the scope of the results presented herein. We note, however, that (i) our *HST* observations probe  $16\times$  smaller areas than the area considered by the Eisenhardt et al. (2008) criteria; (ii) the color-selected (i.e.,  $z > 1.3$ ) cluster member candidates are, on average, highly concentrated around the targeted RLAGN (Wylezalek et al. 2013); and (iii) the overdensities of these candidates reach  $4\sigma$  to  $8\sigma$  above the field value for all 20 fields (Wylezalek et al. 2014). Therefore the fields confirmed herein have additional supporting properties that argue for them being associated with rich clusters and protoclusters.

In an attempt to better assess the status of our confirmations, we investigate their spectroscopic overdensity significance using the similarly deep 3D-*HST* field survey (e.g., Momcheva et al. 2016) and compare them to what is expected from numerical simulations (Cautun et al. 2014). In Appendix B, we describe three classes of confirmation: (i) highly probable confirmed clusters (HPCs), (ii) probable confirmed clusters (PCs), and (iii) confirmed galaxy concentrations (CGCs). Three of our confirmed CARLA cluster candidates fall in the first category (HPCs), while the rest (13/16) fall in the second category (PCs). This analysis suggests that, albeit imperfect,

our confirmation criteria are robust and likely suffer minimal contamination from groups, sheets, and filaments. For simplicity, and lacking additional multi-wavelength coverage of the fields, we adopt a very conservative approach of calling all spectroscopic confirmations “structures” and refer the reader to Appendix B for a more detailed analysis. We did obtain optical wavelength observations for two fields confirmed herein (CARLA J2039-2514 and CARLA J1753+6310), revealing the presence of two red sequences populated by passive galaxies (see N16 and Cooke et al. 2016, respectively). Based on these additional observations, CARLA J2039-2514 and CARLA J1753+6310 are therefore consistent with being evolved galaxy clusters at  $z = 2.0$  and  $z = 1.6$ , respectively. We also emphasize that our two orbit per field strategy only confirms star-forming galaxies, and not the typically dominant passive galaxy population present in evolved galaxy clusters. Among the 16 confirmed CARLA structures associated with the targeted RLAGN, we identify 63 quality A, 14 quality B<sup>+</sup>, and 64 quality B<sup>-</sup> members in the range  $1.34 < z < 2.82$ , with an average (median) of 9 (8) emission line members per confirmed structure. In Appendix C, we show the redshift/velocity and spatial distributions of all *HST* confirmed members of the 16 confirmed CARLA cluster candidates and briefly discuss characteristics of these structures.

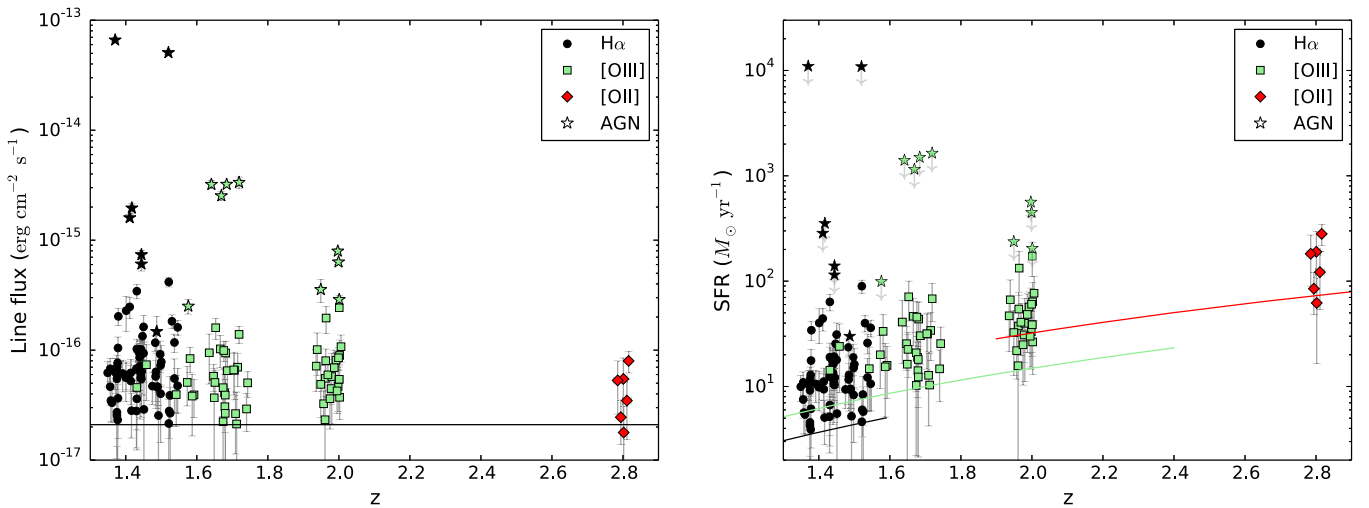
#### 4.3. Line Fluxes

The left panel of Figure 1 shows the emission line fluxes of confirmed members as a function of redshift. For members with multiple-line identifications, we show only one line with the following priority order: H $\alpha$ , [O III], and then [O II]. In our low-resolution grism data, H $\alpha$  and the [N II]  $\lambda 6548, 6584 \text{ \AA}$  doublet are blended. Similar to other teams using WFC3 grism data (e.g., 3D-*HST*, Fumagalli et al. 2012, Momcheva et al. 2016; the WISP survey, Colbert et al. 2013), our reported H $\alpha$  fluxes include the [N II] contributions. However, we consider [N II] contributions when estimating H $\alpha$  SFRs, assuming a typical [N II]/H $\alpha$  ratio of 0.3 (see Section 4.4). The [O III]  $\lambda 4959, 5007 \text{ \AA}$  doublet is also unresolved in our grism data, but we fit and measure line fluxes of both emission lines in our fitting procedure (see N16 for details) and only refer to [O III]  $\lambda 5007 \text{ \AA}$  when using the generic [O III] denomination. Finally, the [O II]  $\lambda 3727, 3729 \text{ \AA}$  doublet is also blended in our grism spectroscopy. However, we do not distinguish between the two lines when referring to [O II], and our [O II] fluxes include the contribution of both lines, fitted as a single Gaussian.

In N16 we determined a  $2.5 \times 10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$  line detection limit, with some scatter in the range  $(1.2\text{--}4.0) \times 10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$ . This limit varies somewhat depending on the specific observation and grism exposure time. The 3D-*HST* survey had a similar two orbit depth per WFC3 G141 observation, and determined a  $3\sigma$  emission line flux limit of  $2.1 \times 10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$  (Momcheva et al. 2016). This limit, shown as the solid black line in the left panel of Figure 1, is consistent with our measured fluxes. Overall, fluxes are in the range  $(0.2\text{--}4.2) \times 10^{-16} \text{ erg cm}^{-2} \text{ s}^{-1}$  for star-forming members and in the range  $(1.5\text{--}661) \times 10^{-16} \text{ erg cm}^{-2} \text{ s}^{-1}$  for the RLAGN.

#### 4.4. Star Formation Rates

The right panel of Figure 1 shows the SFRs of confirmed members as a function of redshift. We measure SFRs based on the following lines in priority order: H $\alpha$ , [O III], and



**Figure 1.** Observed fluxes (left) and inferred SFRs (right) of confirmed members against source redshift. We show one emission line per confirmed source with the following priority:  $H\alpha$  (black circles), [O III] (light-green squares), [O II] (red diamonds). Stars represent the targeted RLAGN and identified member AGN. SFRs for AGN are likely overestimated and therefore considered upper limits. The solid line in the left panel is the 3D-*HST*  $3\sigma$  line detection limit, which used a similar observing strategy to the program reported here, and solid lines in the right panel are corresponding SFRs color-coded according to the emission line, and spanning the redshift range for which they are visible in the G141 grism.

[O II]. We use the Kennicutt (1983) relation,  $SFR = (8.9 \times 10^{-42} \text{ erg}^{-1} \text{ s}) \times L(H\alpha) M_{\odot} \text{ yr}^{-1}$ , to convert  $H\alpha$  luminosities to SFRs. As noted earlier,  $H\alpha$  and the [N II]  $\lambda 6548$ ,  $6584 \text{ \AA}$  doublet are blended in our low-resolution grism data. The typical [N II]  $\lambda 6584 \text{ \AA}/H\alpha$  ratio for local star-forming galaxies is in the range  $10^{-1.5}$ – $10^{-0.3}$  (e.g., Brinchmann et al. 2004). Shapley et al. (2005) found similar values at higher redshifts from a sample of star-forming galaxies at  $1.0 < z < 1.5$ , and determined an average ratio of 0.25. [N II]  $\lambda 6548 \text{ \AA}$  is fainter than [N II]  $\lambda 6584 \text{ \AA}$ , with a ratio of  $[N II] \lambda 6548 / [N II] \lambda 6584 = 1/3$  predicted theoretically (e.g., Osterbrock & Ferland 2006) and confirmed empirically (e.g., Boselli et al. 2013). We therefore correct the  $H\alpha$  SFRs from [N II] flux contributions using  $[N II]/H\alpha = 0.3$ . When estimating SFRs, the WISP survey and 3D-*HST* teams corrected their  $H\alpha$  fluxes for [N II] contributions using a [N II]  $\lambda 6584/H\alpha$  ratio of 0.25 (Atek et al. 2010, Fumagalli et al. 2012, respectively), similar to the correction used here. This ratio typically shows a  $\leq 0.1$  dex scatter (e.g., Shapley et al. 2005), which we also add in quadrature to the  $H\alpha$  flux errors to estimate  $H\alpha$  SFR uncertainties.

When  $H\alpha$  is not available, we estimate SFRs based on the [O III] fluxes. As in N16, we assume a crude  $H\alpha/[O III]$  ratio of unity and estimate SFRs using the same Kennicutt (1983) relation as before. Typically  $H\alpha/[O III]$  ratios show a 0.2–0.5 dex scatter (e.g., Mehta et al. 2015, Suzuki et al. 2016). We therefore add a typical 0.35 dex scatter in quadrature to the [O III] flux errors to estimate [O III] SFR uncertainties. We investigate  $H\alpha/[O III]$  line ratios in Section 5.2 from member galaxies where both lines are measured. When only [O II] is available, we measure SFRs using the Kennicutt (1998) relation,  $SFR = (1.4 \times 10^{-41} \text{ erg}^{-1} \text{ s}) \times L([O II]) M_{\odot} \text{ yr}^{-1}$ .

As in N16, and as done by other teams (e.g., Zeimann et al. 2012, Newman et al. 2014), we crudely correct our observed fluxes for dust extinction assuming a constant dust attenuation in the V-band of 1 mag (typical of star-forming galaxies; e.g., Kewley et al. 2004, Sobral et al. 2012), and using the Calzetti et al. (2000) extinction curve with  $R_V = 4.05$  (see N16 for details). Unfortunately we cannot accurately evaluate the amount of dust in our galaxies without additional, longer

wavelength data. We further discuss dust contributions in Section 5.3.

The right panel of Figure 1 shows the line detection limit shown in the left panel converted to SFRs. Overall, SFRs are in the range  $4$ – $280 M_{\odot} \text{ yr}^{-1}$  (excluding the RLAGN). Due to AGN contamination, SFR values for (RL)AGN are likely overestimated, and are therefore considered upper limits. The median (mean) star-forming structure member SFR is  $11(16) M_{\odot} \text{ yr}^{-1}$  based on  $H\alpha$  (i.e.,  $1.36 < z < 1.59$ ),  $31(37) M_{\odot} \text{ yr}^{-1}$  based on [O III] (i.e.,  $1.59 < z < 2.02$ ), and  $178(157) M_{\odot} \text{ yr}^{-1}$  based on [O II] (CARLA J1017+6116 at  $z = 2.8$ ). For similar limiting fluxes, we are limited to confirming sources with higher SFRs at higher redshifts, as expected. These numbers also clearly demonstrate the added difficulty in confirming clusters at higher redshifts, as only the galaxies with the highest SFRs are confirmed in our shallow grism data.

#### 4.5. Stellar Masses

We determine the stellar masses of sources with *Spitzer* detections from their *Spitzer*/IRAC 3.6 and  $4.5 \mu\text{m}$  fluxes. We scale the fluxes with the python version of EZGAL (Mancone & Gonzalez 2012) to Bruzual & Charlot (2003) stellar population synthesis models using a Chabrier (2003) initial mass function, single stellar population (SSP), and a  $z_f = 4.5$  formation redshift. We normalize the models to match the best-fit CARLA  $z = 1.45$  luminosity function for a Schechter parameterization with  $\alpha = 1$  (Wylezalek et al. 2014). Using the CARLA luminosity function at  $z = 2.05$  instead does not significantly change the results. Using this methodology, structure members with *Spitzer*/IRAC detections have stellar masses in the range  $0.6 \times 10^{10}$ – $3.4 \times 10^{11} M_{\odot}$ , with a median (mean) stellar mass of  $4.1(7.2) \times 10^{10} M_{\odot}$ . We derive masses in the range  $10^{12}$ – $10^{13} M_{\odot}$  for a few RLAGN, though these masses are likely overestimated due to AGN contributions to the spectral energy distributions (e.g., Drouart et al. 2012) and are therefore upper limits. For structure members without *Spitzer*/IRAC detections, we determine upper limits based on the *Spitzer*/IRAC [4.5] depths (Wylezalek et al. 2014); typically these sources have masses  $< 10^{10} M_{\odot}$ .

To investigate the robustness of our masses, we also estimate stellar masses using models composed of varying contributions between an SSP and a population of exponentially decaying SFRs. Wylezalek et al. (2014) showed that models with up to an 80% contribution of the star-forming population (with an  $e$ -folding time  $\tau = 1$  Gyr) were still in good agreement with the empirical evolution of  $m_{\text{CARLA}}^*$ , while higher contributions of the star-forming population shows disagreement, especially in the highest redshift bins. In the extreme case of an 80% contribution of the star-forming population, we find  $\sim 20\%$  lower stellar masses in the range  $1.4 < z < 2.0$  compared to an SSP model for our *Spitzer*-detected member galaxies. We discuss how this can bias the SFR-mass relation in Section 5.3.

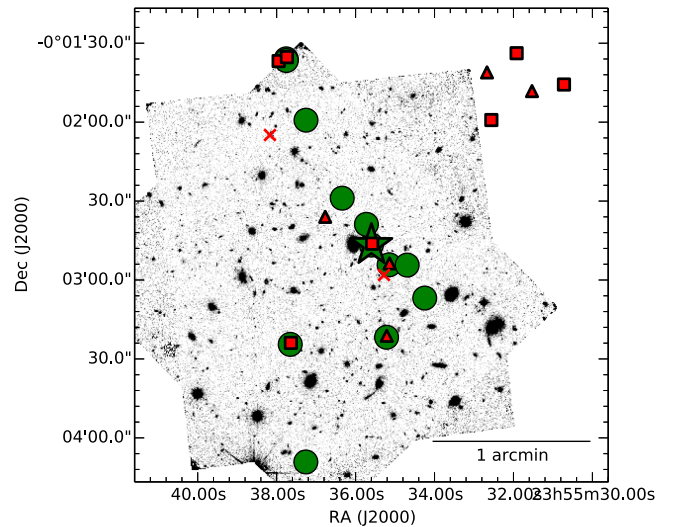
#### 4.6. Clusters of Interest

We next discuss several structures and bona fide clusters of interest, including ones with supporting data in the literature (CARLA J2355–0002, CARLA J1753+6310, CARLA J0800+4029, and CARLA J2039–2514), and the most distant confirmed structure in our sample (CARLA J1017+6116, at  $z = 2.8$ ).

##### 4.6.1. CARLA J2355–0002

De Breuck et al. (2001) reported the redshift of the targeted HzRG of this field, TXS 2353–003, as  $z = 2.587 \pm 0.003$  from long-slit spectroscopic observations with the ESO 3.6 m EFOSC1 instrument. They reported detection of Ly $\alpha$ , N V  $\lambda 1240 \text{ \AA}$ , and C IV  $\lambda 1549 \text{ \AA}$  emission. We do not find emission lines consistent with this redshift in our grism spectroscopy. In both orientations, we identify two strong emission lines consistent with H $\alpha$  and [O III] at  $z = 1.500 \pm 0.006$ . A similar redshift, consistent with our measurement, was independently reported in Collet et al. (2015), who studied the radio-jet and gas properties of this HzRG as part of a larger study on the warm ionized gas properties of 50 HzRGs at  $z \gtrsim 2$ . With moderate-resolution VLT/SINFONI near-infrared spectroscopy ( $R = 1500$ ), they measured a redshift of  $z = 1.487$  for TXS 2353–003 based on H $\alpha$  and [N II]  $\lambda\lambda 6548, 6583$  emission. Given the higher spectral resolution of their data compared to our low-resolution grism data, we adopt this value as the redshift of the HzRG. Note that the redshift discrepancy with our grism measurement is due to the SExtractor source centroid being offset from the emission line region (see last paragraph of this section and Figure 3). Collet et al. (2015) do not identify any lines consistent with  $z \simeq 2.59$ .

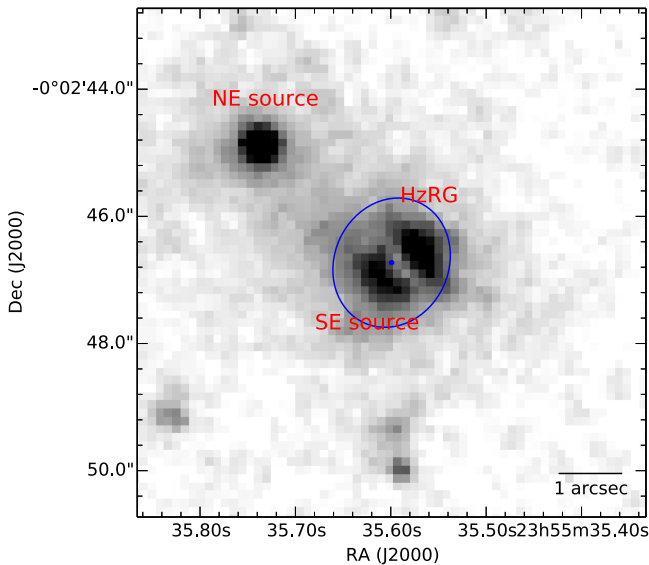
We only identify one source showing a tentative emission line at  $\lambda \sim 13,380 \text{ \AA}$  that could be consistent with [O II] at  $z \simeq 2.59$ . However, this identification is very uncertain and therefore not included in our catalog. *HST* grism data of this field does, however, identify 12 emission line galaxies at a median redshift  $\bar{z}_{\text{cl}} = 1.489$ , out of a total of 46 redshift identifications. We identify both H $\alpha$  and [O III] emission for all 12 members (i.e., all redshifts are therefore of quality A). Collet et al. (2015) also obtained H $\alpha$  narrow-band imaging of the field around TXS J2355–002 with the VLT/ISAAC  $1.64 \mu\text{m}$  narrow-band filter. They identified six high-confidence H $\alpha$  emitters at  $z = 1.5$  (down to a  $3\sigma$  flux of  $7 \times 10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$ ), in addition to the HzRG, and five lower-confidence emitters. In Figure 2 we show the spatial distribution of the grism confirmed members (green markers)



**Figure 2.** Spatial distribution of CARLA J2355–0002 members identified in our grism data (green circles), and H $\alpha$   $z \sim 1.5$  emitters from Collet et al. (2015; red markers). North is up and east is to the left. The green star represents the target RLAGN of this field, TXS 2353–003. We highlight the high-confidence H $\alpha$  emitters with red squares, the low-confidence emitters with red triangles, and additional low-confidence emitters with red crosses (private communication).

and H $\alpha$  emitters (red markers) in the field. Four high-confidence and two lower-confidence emitters fall outside of our *HST* field of view (red squares and red triangles in Figure 2, respectively). Considering the narrow-band emitters within the *HST* field, all three remaining high-confidence  $z \sim 1.5$  H $\alpha$  emitters (which includes the HzRG) correspond to independently confirmed members from our *HST* analysis. One of these H $\alpha$  emitters actually corresponds to two confirmed *HST* members separated by less than one arcsecond. Of the three remaining low-confidence  $z \sim 1.5$  H $\alpha$  emitters, all but one is independently confirmed from our *HST* analysis; the unconfirmed member shows bright continuum in our grism data, but with no indication of emission lines consistent with  $z \sim 1.5$ . Collet et al. (2015) also identified two additional low-confidence  $z \sim 1.5$  H $\alpha$  emitters, unpublished in their paper (private communication; red crosses in Figure 2). One of these sources corresponds to four sources within a  $1''$  radius aperture in our *HST* imaging. One of these *HST* sources is confirmed at  $z = 1.463 \pm 0.006$  (quality A), but is not considered a member of CARLA J2355–0002 due to its velocity shift of  $\sim 2500 \text{ km s}^{-1}$  from the mean structure redshift, higher than our threshold of  $2000 \text{ km s}^{-1}$ . The other three sources of this group do not show notable spectral features. The other unpublished low-confidence emitter was not confirmed in our initial *HST* analysis. However, a posteriori, we identify tentative H $\alpha$  and H $\beta$  emission lines in both grism orientations consistent with  $z = 1.5$ , without the detection of [O III]. This suggests that this source is likely an additional structure member. However, the identification is uncertain at the current depth of our *HST* grism data, and therefore is not included in our catalog.

Collet et al. (2015) also reported peculiar radio-jet and gas properties for TXS 2353–003, finding many similarities with brightest cluster galaxies in low-redshift cool core clusters. Specifically they found a large ( $\sim 90^\circ$ ) offset between the position angle of the radio jets and the warm ionized gas, and a large radio size of 328 kpc. This suggests no direct interaction



**Figure 3.** Close-up view of TXS 2353–003, the targeted HzRG of CARLA J2355–0002, with north up and east to the left. The WFC3 F140W imaging data reveals two sources at the SExtractor position of the HzRG (blue ellipse). Based on the G141 grism spectroscopy, we identify the HzRG as the NW source, and the SE source as a potential companion. The two sources are separated by less than  $0''.7$ . A third source, in the top left corner, is located  $\sim 3''$  to the NE.

between the radio jets and the galaxy gas. They also identified a possible companion to the HzRG,  $3''$  to the northeast, with no emission lines in the SINFONI spectroscopic data. We also detect this source in the *HST* data (see Figure 3), similarly detecting only continuum without emission lines in the grism data. However, the approximately two times better *HST*/WFC3 spatial resolution compared to SINFONI allows us to identify two sources at the position of the HzRG, with visually complex morphologies. As seen in Figure 3, the two sources are separated by less than  $0''.7$ , and show distinct spectral traces in the grism data (see Panel (d) in Figure 24 in Appendix D). We identify faint continuum with strong  $H\alpha$  and [O III] emission lines for the northwestern source, which we identify as the HzRG. We identify bright continuum with no clear emission lines for the south-eastern source. Collet et al. (2015) argued that the misalignment of the gas and jet could be explained by gas supply from a satellite galaxy. However, they also argued that the potential companion  $3''$  to the NE is unlikely a perturbing satellite. The other source we identify as less than  $0''.7$  to the SE of the HzRG, unresolved in their imaging, seems a better candidate to be associated with the HzRG and responsible for the jet-gas misalignment.

#### 4.6.2. CARLA J1753+6310

Based on William Herschel Telescope ISIS spectroscopic data, Lacy et al. (1999) measured a tentative redshift of  $z = 1.96$  for this source based on uncertain identification of a He II emission line and Ly $\alpha$  absorption. We do not find any emission lines consistent with this redshift in our grism data. Cooke et al. (2016) studied the broadband spectral energy distributions of candidate members of this distant galaxy cluster, CARLA J1753+6310 (CARLA J1753+6311 in their paper), by combining the CARLA *Spitzer*/IRAC observations with deep optical imaging data. They also obtained Keck/LRIS optical spectroscopic data for the RLAGN and measured a

redshift of  $z = 1.576$  based on identification of a single [O II] emission line. In our grism data, we detect bright continuum in both orientations and strong emission at 13,063 and 12,993 Å in the first and second orientations, respectively (see Panel (b) in Figure 22 in Appendix D). The emission line is spatially offset from the continuum, by approximately  $+0''.5$  in the first orientation and approximately  $-0''.5$  in the second orientation, while the continuum is aligned with the source centroid from SExtractor in both orientations. This suggests the presence of a line-emitting region located less than an arcsecond to the west of the SExtractor source centroid. With this close separation we consider the system as a single galaxy, the HzRG, at the redshift measured with Keck. The emission lines in our grism data are consistent with [O III] at similar redshift.<sup>18</sup>  $H\alpha$  unfortunately falls outside of the grism range at this redshift, but we assign a quality A to the HzRG redshift based on the complementary Keck detections of [O II] and  $H\alpha$  lines reported by Cooke et al. (2016) and A. Rettura et al. (2018, in preparation), respectively.

We identify five emission line members at a median redshift of  $\bar{z}_{cl} = 1.581$ . These identifications are based on [O III] emission only, as  $H\alpha$  falls outside of the grism range at this redshift. With additional Keck/MOSFIRE observations of the field, we confirm a combined total of eight emission line members at  $\langle z \rangle = 1.582$  (A. Rettura et al., 2018, in preparation). Among these eight sources, three are new members confirmed outside the *HST* field of view with the MOSFIRE spectroscopy based on  $H\alpha$  emission. Excluding the HzRG that was re-observed as well, A. Rettura et al. (2018, in preparation) also present complementary  $H\alpha$  emission for *HST* source #619, which was already confirmed in our grism data based on [O III] emission. We therefore assign a quality A redshift to this source.

Cooke et al. (2016) demonstrate that CARLA J1753+6310 is a mature cluster at high-redshift. They identify a red-sequence population dominated by passive galaxies (i.e., 80% of the red-sequence galaxies have broadband colors indicative of a passive population). They show that half the cluster galaxies in the core are quiescent, as compared to only 16% of field galaxies of similar mass and redshift. The relatively small number of confirmed star-forming members in our grism data is therefore consistent with this picture of an evolved cluster largely composed of passive members with minimal star formation.

The *HST* grism data of this field also identify a group of six emission line sources at a median redshift of  $\bar{z}_{cl} = 2.117$ , within  $\pm 1000 \text{ km s}^{-1}$ . This structure, serendipitously discovered, is composed of one quality A and five quality B<sup>-</sup> sources. None of the sources have secure *Spitzer*/IRAC detections. The quality A source was identified on the basis of [O III] and [O II] emissions, while the quality B<sup>-</sup> sources were identified based solely on [O III] emission. This system is the second highest redshift confirmed structure in our sample. A. Rettura et al. (2018, in preparation) present this structure in more detail. This discovery, as well as the serendipitous discovery of three additional  $z > 1.3$  structures not associated with the targeted RLAGN (in the fields of CARLA J1317+3925 and

<sup>18</sup> We initially measured  $z = 1.595 \pm 0.004$  in the first orientation and  $z = 1.609 \pm 0.004$  in the second orientation, under the assumption that the emission line regions were associated with the SExtractor source centroid. Adjusting the wavelength calibration based on the apparent small spatial offset should slightly lower the measured redshift, bringing it into agreement with the redshift measured by Cooke et al. (2016).



CARLA J2227–2705; see Table 2), suggests that a fraction of the *Spitzer*/IRAC overdensities are enhanced by line of sight projections—though we note that all the confirmed members of the background structure identified in this field are actually below the CARLA IRAC detection limit. Potentially, passive, massive members of this background structure are in the CARLA sample, but were not confirmed in the grism spectroscopy. Section 4.7 discusses serendipitous structures identified in our grism data.

#### 4.6.3. CARLA J0800+4029 and CARLA J2039–2514

CARLA J0800+4029 and CARLA J2039–2514 are two of the highest redshift confirmed structures associated with the targeted RLAGN, at  $\bar{z}_{\text{cl}} = 1.986$  and  $\bar{z}_{\text{cl}} = 2.000$ , respectively. These were two of the first fields observed by our *HST* program, and the grism results are presented in N16. Based on additional optical data, we show in N16 that CARLA J2039–2514 possesses a red-sequence population of passive galaxies. This suggests that CARLA J2039–2514 is a bona fide  $z = 2$  galaxy cluster, while our analysis suggests that CARLA J0800+4029 is a younger forming cluster at similar epoch. We also show in N16 that the targeted HzRG of CARLA J2039–2514 is kinematically complex with two components, likely a dual AGN, separated by 3 kpc and confirmed at the same redshift. This complex morphology, together with similar findings for the HzRGs of CARLA J2355–0002, CARLA J1753+6310, and several other fields (e.g., the targeted RLAGN MRC0955–288, 6CE1100+3505, MRC1128–268, J1510+5958), is consistent with type-2 RLAGN often being found in close merging systems (e.g., Chiaberge et al. 2015). We redirect the reader to N16 for further details concerning CARLA J0800+4029 and CARLA J2039–2514, such as redshift distributions, mass estimates, color–magnitude relation analysis, and comparison to other individual high-redshift clusters.

#### 4.6.4. CARLA J1017+6116

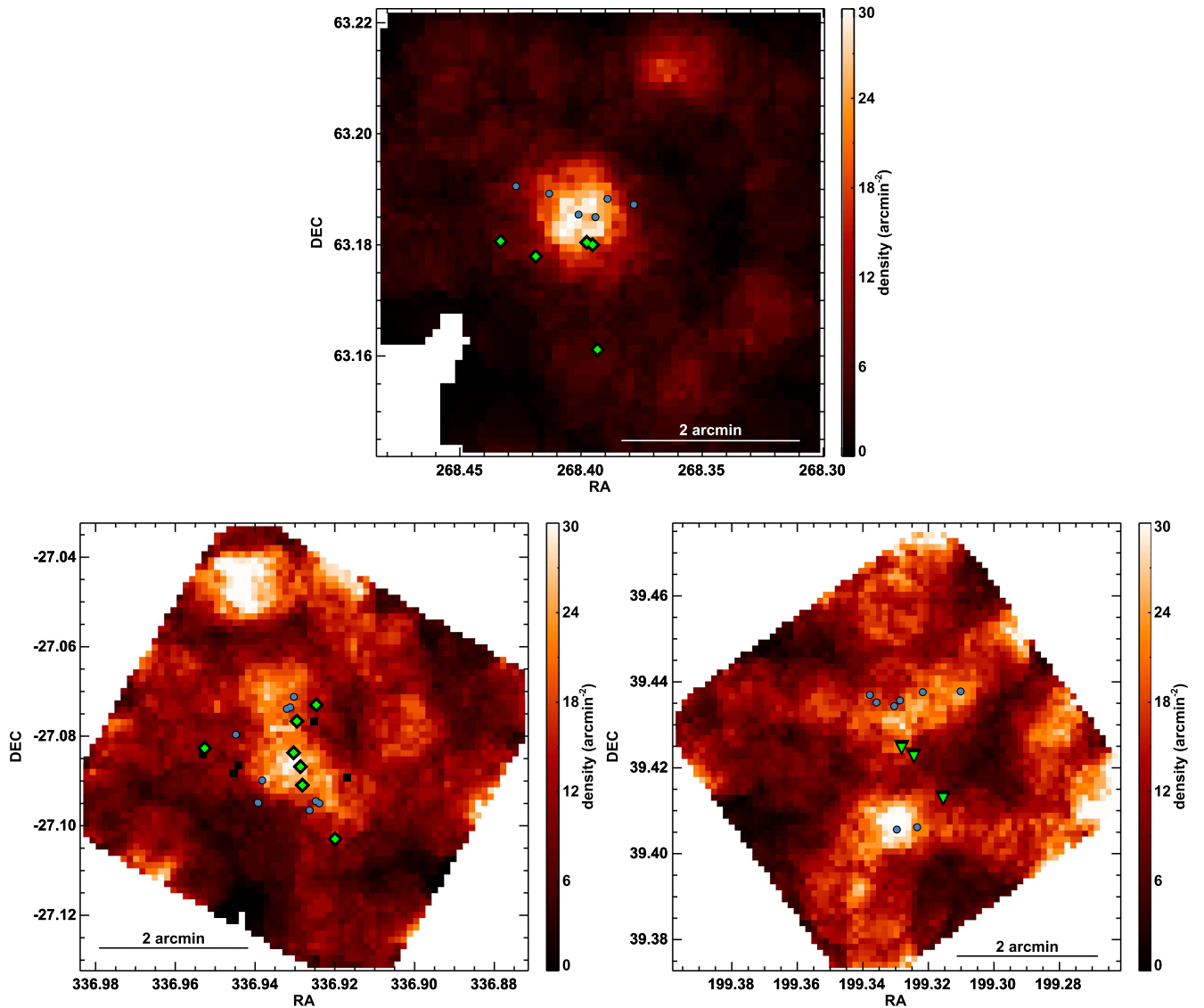
CARLA J1017+6116 is the highest redshift confirmed structure in our CARLA *HST* sample. We identify seven emission line members at  $\bar{z}_{\text{cl}} = 2.801$ . At this redshift, H $\alpha$ , [O III], and H $\beta$  fall outside the G141 grism wavelength range, and identifications are therefore based solely on [O II] emission. However, we do not identify [O II] emission in the grism data of the targeted QSO of this field, but additional strong [O III]  $\lambda 4363$  Å, H $\delta$ , and [Ne III]  $\lambda 3869$  Å, at  $z = 2.80$  (quality A). Excluding the targeted QSO, two confirmed members (*HST* sources #124 and #382) have *Spitzer*/IRAC detections with mid-infrared colors consistent with  $z > 1.3$ . This simple color criterion alone, however, does not disentangle between identifying the detected lines as [O III] or [O II]. These two sources have some of the reddest *Spitzer*/IRAC colors measured in our sample, with  $([3.6]-[4.5])_{\text{AB}}$  colors of 0.4 mag for source #124 and 0.7 mag for source #382, consistent with very distant galaxies. We conservatively assign quality B<sup>−</sup> redshifts to these two sources, as the color information alone also allows for an [O III] identification. The redshifts of the other five sources are based on the detection of single emission lines, and are therefore of quality B<sup>−</sup> as well.

We also serendipitously identify another structure in the grism data of this field. We confirm five emission line sources at a median redshift of  $\bar{z}_{\text{cl}} = 1.234$ . Three of these sources have

both multiple-line identifications of H $\alpha$  and [O III], as well as *Spitzer*/IRAC detections. The other two are identified based solely on H $\alpha$ .

#### 4.7. Serendipitous Discoveries

In addition to the 16 confirmed CARLA structures associated with the targeted RLAGN of our program, we identify a total of 7 serendipitous structures among 5 of the 20 *HST* fields. We refer to these serendipitous structures in the CARLA fields by the nomenclature “CARLA-SerX Jhhmm ± dmmm,” where X is an integer, starting at 2 and in redshift order, if two or more serendipitous structures are identified in the same CARLA field, and where Jhhmm±dmmm are the CARLA coordinates of the respective field. As noted earlier, a fraction of the *Spitzer*/IRAC overdensities might be enhanced by line of sight projections. In the last column of Table 2, we show the number of structure members that satisfy our *Spitzer*/IRAC color criterion among the total number of sources with a measured redshift satisfying the same criterion. When compared to the second-to-last column, which shows the same numbers for *Spitzer*/IRAC-detected sources, we see that only one of the nine *Spitzer*-detected confirmed members of the  $z < 1.3$  serendipitous structures has a color matching our  $z > 1.3$  selection criterion. This corroborates the goodness of our selection criterion and further suggests that the overdensity significance ( $\sigma_{\text{IRAC}}$ , second column in Table 2) of the fields containing the three  $z < 1.3$  serendipitous structures is minimally contaminated by these foreground structures. The serendipitous structures at  $z > 1.3$ , however, are more likely to enhance the CARLA overdensities, since a large fraction of  $z > 1.3$  galaxies detected by *Spitzer* should satisfy our color-selection criterion. We discover four serendipitous structures at  $z > 1.3$ , including two within the same field (CARLA J2227–2705). CARLA-Ser J1753+6310, discovered at  $\bar{z}_{\text{cl}} = 2.117$  in the field of CARLA J1753+6310, is composed of six faint, star-forming members, none of which are detected in our *Spitzer*/IRAC data. The members of this serendipitous structure, however, lie close to the core of CARLA J1753+6310, as seen in the density map of that field in the top panel of Figure 4. We therefore cannot rule out a contribution of potential CARLA-Ser J1753+6310 members to the CARLA overdensity of this field. The two serendipitous structures identified in the field of CARLA J2227–2705 appear not to be contributing significantly to the CARLA overdensity in this field, as shown by their member spatial distribution overlaid on the CARLA density map in the bottom left panel of Figure 4. We see that CARLA members align with the density of red sources, whereas the serendipitous members are less clearly associated. We do not confirm a CARLA structure associated with the targeted RLAGN J1317+3925 at  $z = 1.569$ . In the grism data of this field, we only identify two additional sources at the redshift of the RLAGN, as shown in Table 2. However, we serendipitously identify CARLA-Ser J1317+3925, a foreground structure at  $\bar{z}_{\text{cl}} = 1.465$  composed of eight galaxies. Unlike the two serendipitous structures in the field of CARLA J2227–2705, CARLA-Ser J1317+3925 members spatially align with the overdensity of red sources, as shown in the density map of that field in the bottom right panel of Figure 4. On the other hand, the two sources at the RLAGN redshift do not appear associated with the overdensity. This suggests that the CARLA overdensity in this field is potentially associated with CARLA-Ser J1317+3925. This structure is



**Figure 4.** Density maps, in terms of *Spitzer*/IRAC color-selected sources, of the three fields containing  $z > 1.3$  serendipitous structures. Top panel: field of CARLA J1753+6310. Bottom left panel: field of CARLA J2227-2705. Bottom right panel: field around RLAGN J1317+3925. In each field, confirmed CARLA members are shown with green diamonds, and foreground or background members of serendipitous structures with blue circles, and also light-blue squares if a second serendipitous structure is present in the field (e.g., bottom left panel). Galaxies at similar redshift as the targeted RLAGN J1317+3925 in this unconfirmed CARLA field (bottom right panel) are shown with green triangles (RLAGN included). We masked regions of poor coverage, edges, and bright stars in our *Spitzer*/IRAC imaging and measured the number density of color-selected sources within 30 arcsec radius apertures around each 5 arcsec pixel. The *HST* field of view ( $2 \times 2.3$  arcmin<sup>2</sup>) covers only the central region of each *Spitzer*/IRAC image.

therefore likely a “spurious” detection of an overdense structure, and not associated with the targeted RLAGN.

To investigate whether we find a larger number of serendipitous structures than expected, we calculate the number of sources in the 3D-*HST* survey (Momcheva et al. 2016) that belong to a structure as defined in Section 4.2. To compare to our observations and redshift determinations, we select 3D-*HST* sources that have usable grism redshifts ( $use\_zgrism = 1$ ), and have any combinations of identified  $H\alpha$ , [O III], or [O II] emission lines above our limiting fluxes ( $2.5 \times 10^{-17}$  erg cm<sup>-2</sup> s<sup>-1</sup>) and falling within the wavelength range covered by the G141 grism ( $\lambda = 1.08$ – $1.7$   $\mu$ m). Using 1 arcmin and  $\pm 2000$  km s<sup>-1</sup> thresholds, we find that 7% of such selected galaxies in 3D-*HST* are members of structures containing at least five confirmed members. In contrast, the comparable number from our similarly deep CARLA program is 27%, with three quarters (20%) associated with the RLAGN structures and one quarter (7%) associated with serendipitous

structures. This is consistent with our observations probing biased, rich, environments. Assuming no strong confirmation bias, the number of serendipitous structures identified in the CARLA program is consistent with expectations based on 3D-*HST*.

## 5. Discussion

### 5.1. High-redshift Clusters

Our shallow two orbit per field strategy proves to be efficient at confirming *Spitzer* color-selected high-redshift structures, with an 80% confirmation rate (16/20 CARLA confirmations). Our grism confirmation method additionally allowed us to serendipitously discover and confirm other high-redshift structures not associated with the targeted RLAGN.

In the following section, we compare the spectroscopically confirmed high-redshift CARLA clusters with other high-redshift massive clusters. As laid out in the introduction,

finding and confirming high-redshift galaxy clusters is nontrivial. Although much progress has been made in the past decade in finding and characterizing galaxy clusters at  $z > 1$ , confirmed galaxy clusters at even higher redshift ( $z \gtrsim 1.3$ ) remain rare, making a direct comparison to the confirmed CARLA clusters challenging.

The South Pole Telescope (SPT) SZ survey (Reichardt et al. 2013; Bleem et al. 2015) discovered a few 10s of high-redshift galaxy clusters at  $z > 1$ , with about a third of those at  $z > 1.3$  (Bleem et al. 2015). In contrast to CARLA clusters, the SZ-selected SPT clusters naturally represent a mass-selected sample, and the structures belong to the most massive structures known at this epoch. Another very successful program, the IRAC Shallow Cluster Survey (ISCS, Eisenhardt et al. 2008), identified 335 galaxy cluster and group candidates, including 106 at  $z > 1$ , using a  $4.5 \mu\text{m}$  selected sample of objects in the  $8.5 \text{ deg}^2$  Boötes field. These systems are typically less massive clusters, as they were selected from a relatively small survey area. The ISCS clusters were identified as three-dimensional overdensities using a wavelet algorithm, based on photometric redshift probability distributions. Similar to CARLA clusters, ISCS clusters therefore form an IRAC-based galaxy richness-selected sample, although the exact details of the selection differ from the CARLA selection. Brodwin et al. (2013) report on the high central SFRs in 16 spectroscopically confirmed ISCS clusters at  $1 < z < 1.5$ .

For all spectroscopically confirmed  $z > 1.3$  SPT and ISCS clusters with existing IRAC data, we generate IRAC1 and IRAC2 source catalogs using the same procedures and parameters as for the CARLA catalogs (Wylezalek et al. 2013, 2014). We use the same color cut of  $[3.6] - [4.5] > -0.1$  as for the CARLA fields (Wylezalek et al. 2013). Similar to Wylezalek et al. (2013, 2014), we then measure the density of the IRAC-selected sources,  $\Sigma_x$ , within an  $1'$  radius aperture around each cluster center. SPT cluster centers have been re-calculated using the IRAC photometry to calculate the centroid of the galaxy distribution from the IRAC-selected sources (A. H. Gonzalez et al. 2018, in preparation; D. Wylezalek et al. 2018, in preparation). ISCS cluster centers were determined based on the wavelet analysis from weighted galaxy density maps (Eisenhardt et al. 2008).

To account for the different depths among the SPT, ISCS, and CARLA *Spitzer*/IRAC observations, we apply a common flux density cut of  $F_{\text{lim}} = 10 \mu\text{Jy}$  (see Wylezalek et al. 2013 for details on estimating limiting flux densities) to the SPT, ISCS and CARLA fields, shallower than the original depth of the CARLA survey ( $F_{\text{lim}} = 2.55 \mu\text{Jy}$ ). For completeness, we also show the CARLA overdensity significance at the original depth of the CARLA survey,  $F_{\text{lim}} = 2.55 \mu\text{Jy}$  (pink open circles in Figure 5). To derive density estimates for a blind field,  $\Sigma_{\text{background}}$ , and the corresponding standard deviation to the blind field density distribution,  $\sigma_{\text{background}}$ , we apply the same criteria to a distribution of random locations from SpUDS. We then estimate the significance  $S$  of the overdensity of IRAC-selected sources in the fields using

$$S = \frac{\Sigma_x - \Sigma_{\text{background},x}}{\sigma_{\text{background},x}}, \quad (1)$$

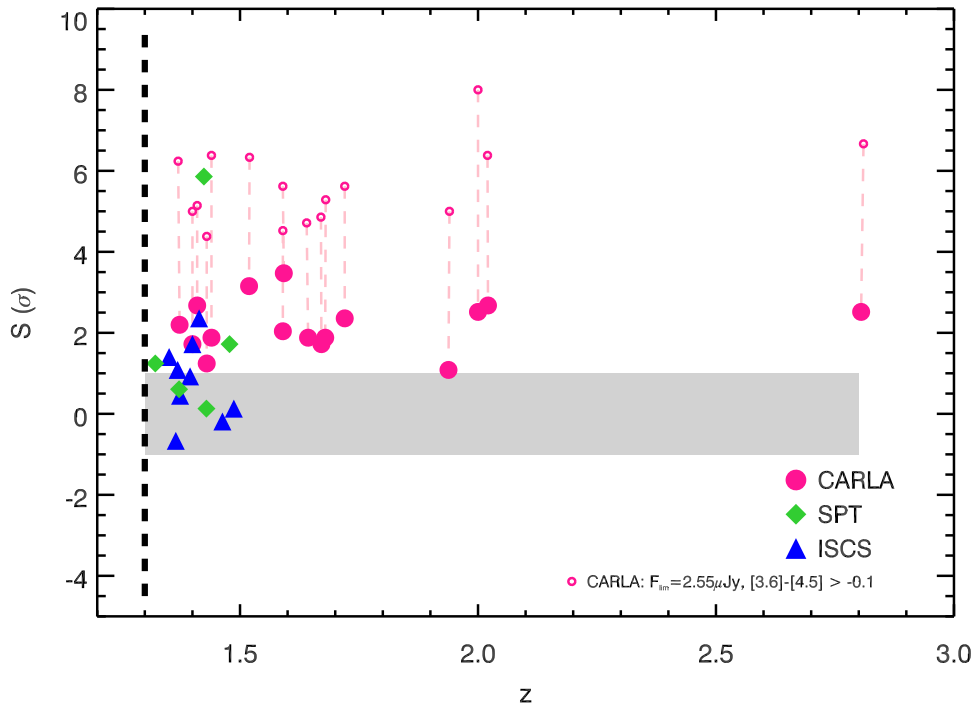
where  $x$  denotes that we repeat this calculation for the SPT, ISCS, and CARLA fields with the corresponding background estimates, respectively.

In Figure 5, we compare the IRAC overdensity significances,  $S$ , of SPT and ISCS clusters to our confirmed CARLA clusters. While CARLA, SPT, and ISCS clusters have been selected in different ways, CARLA clusters tend to show a similar or even higher galaxy richness than SPT and ISCS clusters, although the SPT clusters are expected to represent the most massive and virialized systems among the three samples. It is beyond the scope of this paper to evaluate how and if galaxy richness can be used to estimate the total mass of a galaxy cluster (see Rettura et al. 2017 in this respect). Instead, this simple analysis shows that our CARLA fields (the most overdense among the full CARLA sample, by selection) are comparable to confirmed massive, evolved systems in terms of overdensity of *Spitzer*/IRAC color-selected galaxies. The strength of the CARLA survey is to find similarly significant overdensities at  $z > 1.5$ . However, we caution the reader that the non-evolving flux cuts used here preclude direct comparison of the different redshift bins with each other. Investigation of evolutionary trends—and whether the current sample of 16 spectroscopically confirmed structures represent an evolutionary sequence—is therefore beyond the scope of the current analysis.

## 5.2. Line Ratios

In Figure 6, we show the  $\text{H}\alpha$ /[O III] line ratios of confirmed members against  $\text{H}\alpha$  flux, compared to field values from the 3D-*HST* survey (Momcheva et al. 2016). We select sources from 3D-*HST* with usable grism redshifts ( $use\_zgrism = 1$ ) in the range where we observe both  $\text{H}\alpha$  and [O III] (i.e.,  $1.37 < z < 1.59$ ) and use their  $3\sigma$  emission line flux limit of  $2.1 \times 10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$ . We assume a 1:3.2 [O III] doublet ratio (see N16) to convert the 3D-*HST* [O III] doublet fluxes into [O III]  $\lambda 5007 \text{ \AA}$  fluxes. As noted earlier, the  $\text{H}\alpha$  fluxes include the [N II] contribution. We find a median of 0.76 for star-forming CARLA members (i.e., excluding AGN), slightly lower than unity, and lower than the 3D-*HST* median of 1.43 for sources within  $0.15 < \text{H}\alpha$ /[O III]  $< 7$ . Our results suggest that, on average, [O III] is brighter than  $\text{H}\alpha$  at these redshifts for cluster galaxies. This is consistent with a decreasing ratio with redshift, where  $\text{H}\alpha$  is typically brighter than [O III] at lower redshifts (e.g., Ly et al. 2007, Domínguez et al. 2013). This would additionally be consistent with elevated AGN activity in high-redshift (proto)clusters compared to the field, as seen in other works (e.g., Galametz et al. 2009), enhancing [O III] line fluxes via AGN photoionization.

Five sources from our grism survey have high ratios, in the range  $4 < \text{H}\alpha$ /[O III]  $< 7$ . Two of these sources are the central QSOs of CARLA J1358+5752 and CARLA J1129+0951. We identify and measure broad and strong  $\text{H}\alpha$ , and weaker [O III] and  $\text{H}\beta$  fluxes for the two QSOs. Unless due to intrinsic QSO line properties, the high  $\text{H}\alpha$ / $\text{H}\beta$  ratios (4.0 and 5.6, respectively) suggest the presence of intrinsic dust extinction that affects the  $\text{H}\alpha$ /[O III] ratios, where the typical Balmer-decrement (i.e.,  $\text{H}\alpha$ / $\text{H}\beta$  ratio) is 3.37 for low-redshift radio-loud QSOs with negligible dust extinction (Dong et al. 2008). The three other sources with high  $\text{H}\alpha$ /[O III] ratios are star-forming members of CARLA J1129+0951. We detect  $\text{H}\beta$  emission for one of the three sources, for an  $\text{H}\alpha$ / $\text{H}\beta$  ratio of 5.2, where the intrinsic (i.e., dust-free) ratio is 2.98 for Case B recombination (Osterbrock 1989). We only detect [O III] to the level of our detection limit and a  $\sim 6\times$  stronger  $\text{H}\alpha$  flux for the other two sources. In both cases, this suggests that the high  $\text{H}\alpha$ /[O III] ratios are due to dust-attenuated [O III] emission.



**Figure 5.** Overdensity significance  $S$  versus redshift for spectroscopically confirmed SPT and ISCS clusters at  $z > 1.3$  and our spectroscopically confirmed CARLA clusters. The horizontal gray box shows the  $\pm 1\sigma$  confidence region around  $S = 0$ . We apply the same color cut of  $[3.6]-[4.5] > -0.1$  to SPT and ISCS clusters as for the CARLA fields and show the overdensity significance for all three samples at the same limiting flux density of  $F_{\text{lim}} = 10 \mu\text{Jy}$  (filled green diamonds, filled blue triangles, and filled pink circles, respectively). Although selected in different ways, the CARLA fields are similar or richer than SPT and ISCS clusters at comparable redshifts. For completeness, we also show the CARLA overdensity significance  $S$  at the original limiting flux density of the CARLA IRAC observations,  $F_{\text{lim}} = 2.55 \mu\text{Jy}$  (pink open circles).

Another possibility for the latter case would be a very strong [N II] contribution to the  $H\alpha$  flux. Two sources have low  $H\alpha/[O III]$  ratios, with values  $< 0.3$ . One source is the central HzRG of CARLA J2355–0002, and the other is a star-forming member of this structure. Using sources with *Spitzer* detections, we do not find a dependence of the line ratio with galaxy stellar mass.

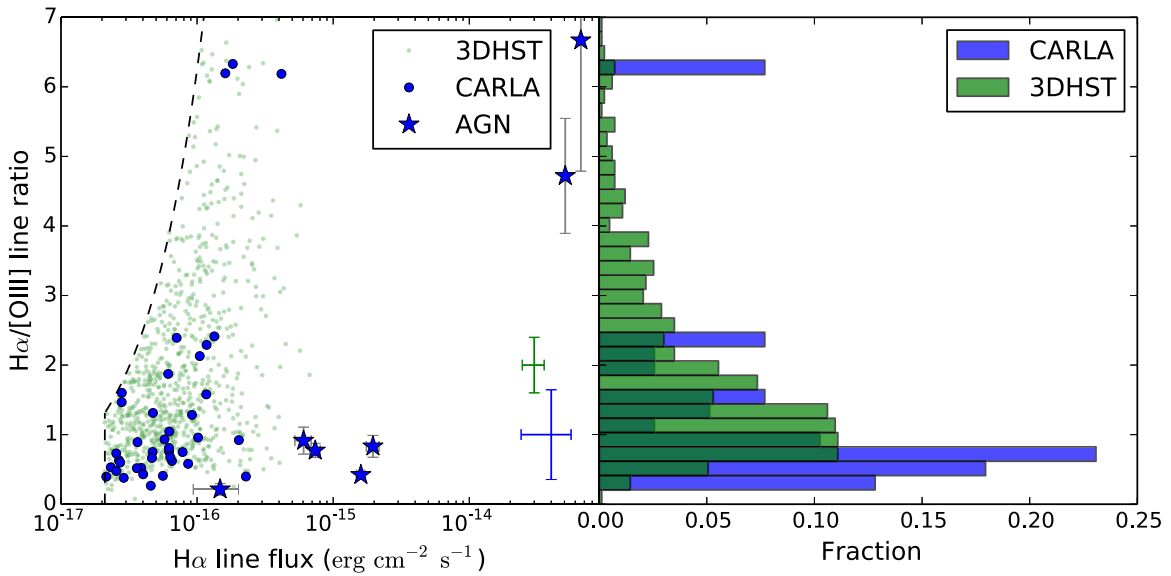
### 5.3. SFR versus Mass

In Figure 7, we show the SFRs of members as a function of galaxy stellar mass, where masses are determined as described in Section 4.5. The leftward green arrow represents the typical shift in stellar mass (20%) when using a sum of SSP and star-forming decaying models contributing 20% and 80% of the mass, respectively, instead of using an SSP-only model, as described in Section 4.5. Note that this shift does not significantly change our results. We also show uncertainties in the SSP-derived stellar masses, propagated from uncertainties in *Spitzer*/IRAC flux measurements. Most symbols have sizes similar to these uncertainties.

As noted in Section 4.4, depending on the available emission lines, we estimate SFRs with the following priority order:  $H\alpha$ , [O III], and [O II] (highlighted by circles, squares, and diamonds in Figure 7, respectively). To compare the SFR- $M_*$  relation of our confirmed members with field values, we show the star-forming main sequence of  $1.4 < z < 2.5$  field galaxies established in Daddi et al. (2007; solid black line in Figure 7). The dashed and dotted lines represent  $4\times$  and  $10\times$  the main sequence, respectively. We also show the Whitaker et al. (2014) main sequences for  $(10^{10}-10^{11}) M_{\odot}$  galaxies, comparable to our mass estimates of *Spitzer*-detected members. We

show the main sequences derived for the redshift ranges  $z = 0.5-1.0$ ,  $1.0-1.5$ ,  $1.5-2.0$ , and  $2.0-2.5$  (gray, blue, cyan, and yellow curves in Figure 7, respectively). The gray confidence region around the Daddi et al. (2007)  $z \sim 2$  main sequence corresponds to the semi-interquartile range (0.16 dex) of their SFR- $M_*$  distribution. The confidence regions around the Whitaker et al. (2014) main sequences represent the typical error ( $\sim 0.07$  dex) in their SFR median stacks used to derive the polynomial fits of the main sequences in the range  $(10^{10}-10^{11}) M_{\odot}$ , as in Table 2 of their paper. Using the limiting *Spitzer*/IRAC fluxes determined in Wylezalek et al. (2014), we determine upper limits on the galaxy masses of non-*Spitzer*-detected confirmed members. We highlight these sources with leftward arrows in Figure 7.

We find that *Spitzer*-detected confirmed members, with stellar masses typically above  $10^{10} M_{\odot}$ , are located under the main sequence of their corresponding redshift bins up to  $z = 2$ . Indeed, *Spitzer*-detected  $z \sim 1.5$  members (highlighted by dark blue markers in Figure 7) are located below the field  $z \sim 1.5$  star-forming main sequence, and tend to better agree with the lower redshift (i.e.,  $z = 0.5-1.0$ ) star-forming main sequence of Whitaker et al. (2014). This would be consistent with an accelerated galactic evolution in overdense environments. Similarly, *Spitzer*-detected  $z \sim 2$  members are located below the  $z \sim 2$  (Daddi et al. 2007; Whitaker et al. 2014) main sequences. For many of the lower mass galaxies, we only have upper limits on their masses and are therefore unable to confidently address where they reside relative to the main sequence. On the other hand, at all redshifts, the more massive (i.e., *Spitzer*-detected) member galaxies, with stellar masses typically above  $10^{10} M_{\odot}$ , form very few stars for their mass, as shown by their location under their respective star-forming



**Figure 6.** The left panel shows  $H\alpha/[O III]$  line ratios of confirmed members (solid blue circles) against  $H\alpha$  fluxes, compared to 3D-*HST* (small green dots). Stars represent the targeted RLAGN. In the bottom right corner of the left panel, we also show the typical error bars of star-forming CARLA members and 3D-*HST* sources in blue and green, respectively. We show individual error bars for our RLAGN. The dashed line represents the  $H\alpha/[O III]$  line-ratio lower limit derived from the 3D-*HST* line detection limit. The right panel shows the ratio distributions (normalized), in blue for our confirmed structures (excluding AGN), and in green for 3D-*HST* (overlaid on the blue histogram).

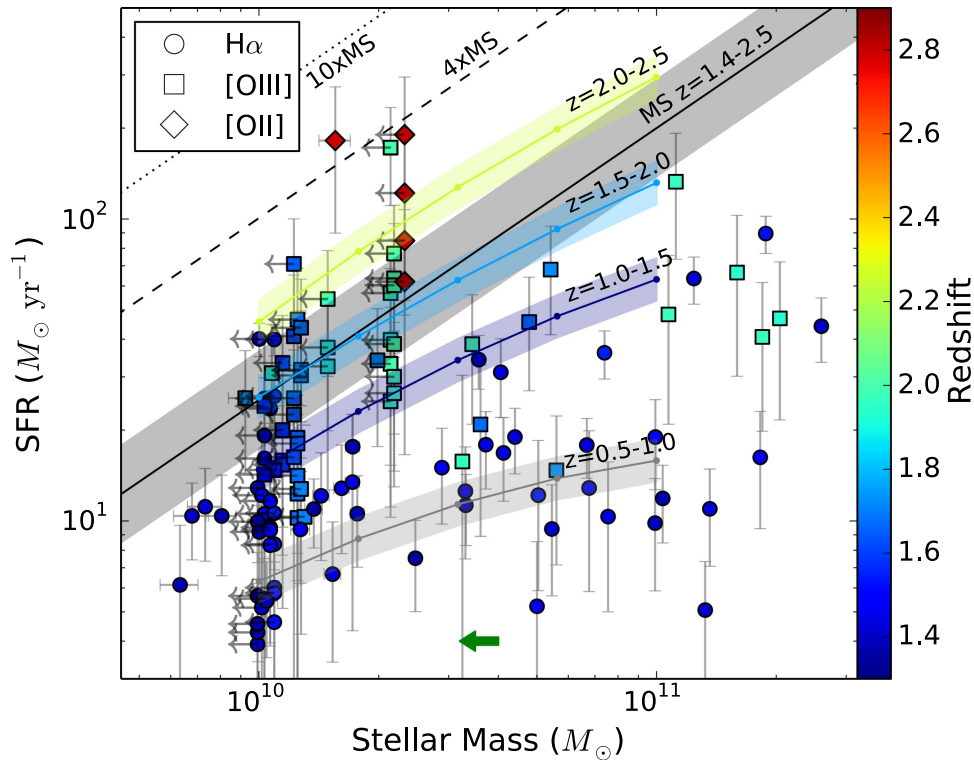
main sequences of field galaxies. This suggests that these are evolved galaxies that have already undergone a major episode of star formation.

These results, however, are dependent on the robustness of the SFR estimates. We might be underestimating SFRs of dustier member galaxies, since our calculations assume a constant dust attenuation of 1 mag in the  $V$ -band for all galaxies. Additional longer wavelength data will be required to fully investigate how dust affects our results. However, we emphasize that our treatment is identical to the approach adopted in previous analyses (e.g., Zeimann et al. 2012, Newman et al. 2014), and that this value is typical of star-forming galaxies (e.g., Kewley et al. 2004, Sobral et al. 2012). Even adopting 2 mag of dust attenuation in the  $V$ -band, we still find that  $>75\%$  of *Spitzer*-detected star-forming members are located below the Daddi et al. (2007) main sequence. Alternatively, we fit a second order polynomial to the subsample of  $10^{10}$ – $10^{11} M_{\odot}$   $H\alpha$  members and compute the amount of dust attenuation  $A_V$ , as a function of  $M_*$ , required to reproduce the Whitaker et al. (2014) main sequence at  $z = 1.5$ . We find that the required dust attenuation  $A_V$  is monotonically increasing with stellar mass and ranges from 2 mag at  $10^{10} M_{\odot}$  to 4 mag at  $10^{11} M_{\odot}$ . These values are significantly higher than typical dust attenuation for massive galaxies, both at low redshifts and in color-selected massive galaxies and comparable redshift to the CARLA sample. Specifically, using a sample of 90,000 star-forming galaxies from Data Release 7 of the Sloan Digital Sky Survey (Abazajian et al. 2009), Garn and Best (2010) find  $H\alpha$  dust attenuations ranging from 0.91 mag for  $10^{10} M_{\odot}$  galaxies to 1.70 mag at  $10^{11} M_{\odot}$ , with an average  $1\sigma$  width of the distribution of  $\sim 0.3$  mag. This corresponds to  $A_V$  attenuations of 1–2 mag, respectively. Kashino et al. (2013, 2014) find similar dust attenuations from a sample of 271 *sBzK*-selected star-forming galaxies at  $1.4 < z < 1.7$  from the COSMOS field (McCracken et al. 2010). Our analysis therefore

supports the result that massive ( $10^{10}$ – $10^{11} M_{\odot}$ ) confirmed members of these distant structures did indeed form earlier than field galaxies at similar redshift, and reside below the main sequence of star-forming galaxies at their redshift, unless significantly dust-obscured.

#### 5.4. SFR versus Radius

In the top panel of Figure 8, we show individual SFRs of members and corresponding running medians as a function of physical distance from the targeted RLAGN. We divided members into three redshift groups. The first group, represented by solid black circles, corresponds to members of the seven confirmed CARLA structures at  $z \sim 1.5$ , for which the SFRs are based on  $H\alpha$ , and limited to  $>4 M_{\odot} \text{ yr}^{-1}$ . The second group, represented by solid light-blue squares, includes members of the five [O III]-based SFR structures at  $z \sim 1.7$ , which have limiting SFRs  $> 10 M_{\odot} \text{ yr}^{-1}$ . The third group, represented by solid light-green squares, is composed of the three [O III]-based SFR structures at  $z \sim 2.0$  (with SFRs  $> 20 M_{\odot} \text{ yr}^{-1}$ ; see Figure 1). We caution the reader that the use of different SFR tracers, each also having different limiting SFRs, precludes direct comparison of the three different redshift bins. Despite large scatter, we observe a general trend of slightly decreasing source SFR with distance from the targeted RLAGN for members of the  $H\alpha$  SFR-based group and for members of the [O III] SFR-based group at  $z \sim 1.7$  (top panel Figure 8). Confirmed members of the [O III] SFR-based group at  $z \sim 2.0$ , limited to higher ( $>20 M_{\odot} \text{ yr}^{-1}$ ) SFRs, do not exhibit such behavior. Higher number statistics and deeper observations to identify lower star-forming members would therefore be required to infer the radial SFR trend of individual sources at  $z \sim 2$ . At close proximity to the RLAGN ( $< 50$  kpc), we see a steep increase of member galaxy SFRs (for the  $H\alpha$  SFR-based group and the [O III] SFR-based group at  $z \sim 2.0$ ). This could



**Figure 7.** SFR of members as a function of galaxy stellar mass compared to the Daddi et al. (2007)  $z = 1.4\text{--}2.5$  star-forming main sequence (black line), and the main sequences derived in Whitaker et al. (2014) in the ranges  $z = 0.5\text{--}1.0$ ,  $1.0\text{--}1.5$ ,  $1.5\text{--}2.0$ , and  $2.0\text{--}2.5$  (colored curves). The dashed and dotted lines represent  $4\times$  and  $10\times$  the Daddi et al. (2007) main sequence, respectively. SFRs derived from  $H\alpha$ ,  $[O\ III]$ , and  $[O\ II]$  are shown with circles, squares, and diamonds, respectively. All sources are color-coded according to redshift. We show upper limits on the stellar masses (leftward arrows) of sources without *Spitzer*/IRAC detection. The leftward green arrow represents the shift in stellar mass when using a combination of SSP (20%) and decaying star-forming population (80%) instead of an SSP-only model (see Section 4.5). See Section 5.3 for details on the confidence regions around the field main sequences.

be consistent with RLAGN nuclear activity triggering the star formation of satellite galaxies.

In the bottom left panel of Figure 8, we show the average SFR density per structure (in  $M_{\odot}\text{ yr}^{-1}\text{ Mpc}^{-2}$ ) as a function of distance from the targeted RLAGN. Values are all derived within bins of 125 kpc from the RLAGN, and error bars represent the error in the mean. We observe a clear decreasing trend with distance from the targeted RLAGN for all SFR tracers and redshift bins, except the last radial bin of the  $[O\ III]$  SFR-based group at  $z \sim 2.0$  (light-green diamonds), which exhibits an increase of SFR density. This is directly correlated to the average number density of sources with distance from the RLAGN. In the bottom right panel of Figure 8, we indeed observe a general higher concentration of star-forming members at close proximity to the RLAGN, and also a slightly increased concentration of sources within  $r = 375\text{--}500$  kpc compared to  $r = 125\text{--}375$  kpc for the  $[O\ III]$  SFR-based group at  $z \sim 2.0$ . Even though the RLAGN may not always reside at the center of the structures, this result supports that it is the case on average, as also shown in Wylezalek et al. (2013). These results also show that, on average, most of the star-forming budget in our confirmed CARLA structures is enclosed within their densest, central regions.

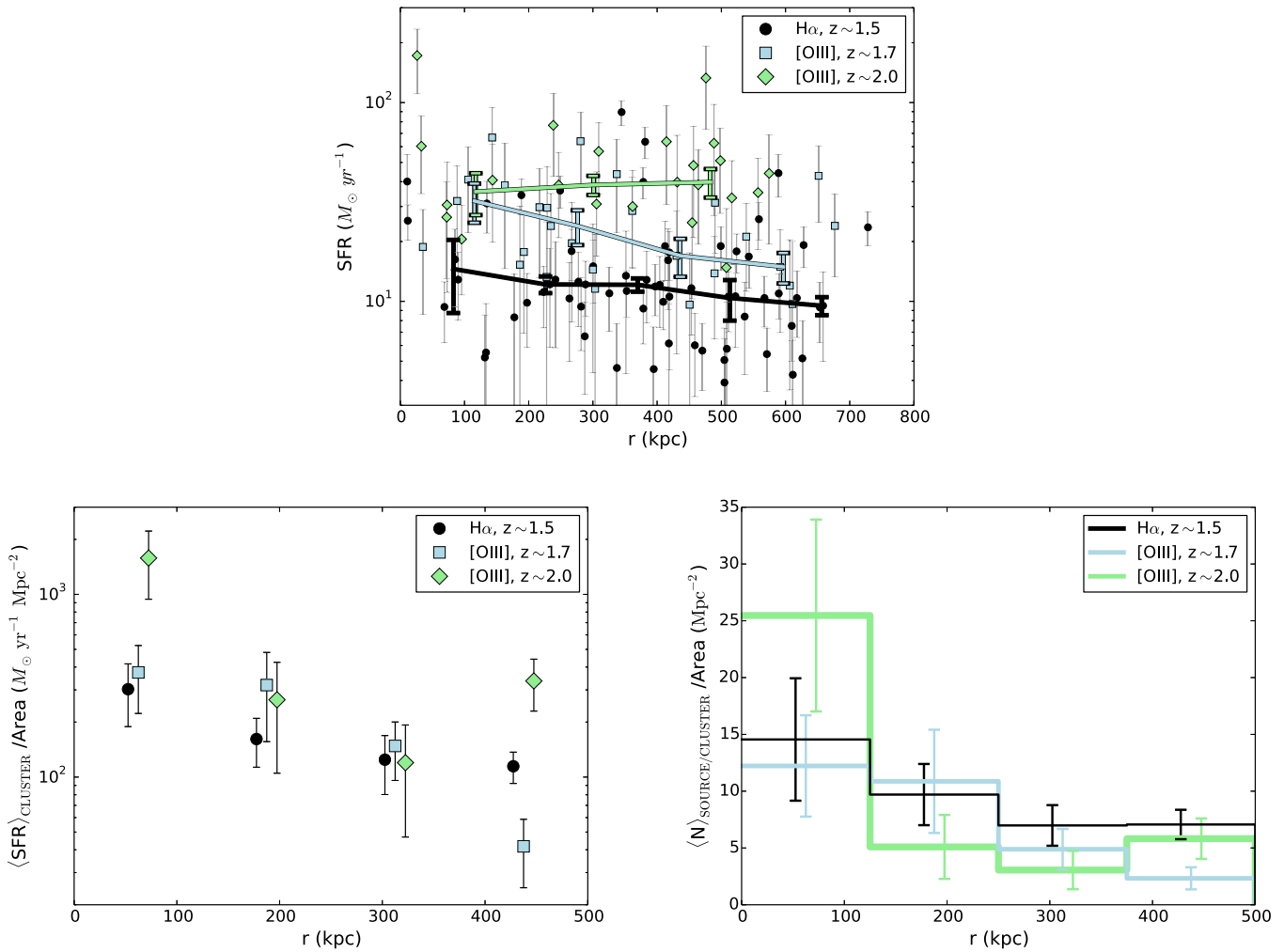
Overall these trends are consistent with Brodwin et al. (2013), who studied 16 confirmed clusters from the *Spitzer*/ISCS (Eisenhardt et al. 2008) in the range  $1.0 < z < 1.5$  and found similar trends for a subsample of clusters in the range  $1.37 < z < 1.50$ , while lower redshift clusters showed lower SFR in clusters cores, ubiquitous at  $z = 0$  (see also, e.g.,

Tran et al. 2010). Together, this suggests that cluster cores are the preferred sites of star formation at these epochs.

## 6. Summary

We conclude the following from our 40-orbit *HST*/WFC3 F140W and G141 follow-up observations on the 20 densest CARLA candidate clusters at  $1.4 < z < 2.8$ .

1. We spectroscopically confirm 16 *Spitzer* color-selected distant structures associated with the targeted RLAGN, including three at  $z \sim 2$  (CARLA J1018+0530, CARLA J0800+4029, and CARLA J2039-2514) and one at  $z = 2.8$  (CARLA J1017+6116). These structures are among the most distant confirmed cluster candidates currently known. We identify 143 members in these 16 structures, with an average of 9 members per confirmed structure. We also serendipitously discover and confirm 7 other structures at  $0.87 < z < 2.12$  not associated with the targeted RLAGN of our program.
2. With just two orbits of *HST* imaging and grism spectroscopy per field, we confirm emission line sources down to  $\sim 2.5 \times 10^{-17}\text{ erg cm}^{-2}\text{ s}^{-1}$ , corresponding to limiting SFRs in the range  $4\text{--}70 M_{\odot}\text{ yr}^{-1}$ , depending on the identified emission line and galaxy redshift.
3. We show that these newly confirmed CARLA structures at  $1.4 < z < 2.8$  are comparable or slightly richer in mid-infrared overdensity to spectroscopically confirmed massive SPT and ISCS clusters at  $1.3 < z < 1.5$ , implying similar galaxy richness despite being at much higher redshift.



**Figure 8.** Top panel: source SFR (in  $M_{\odot} \text{ yr}^{-1}$ ) as a function of distance from the targeted RLAGN. We show running medians as solid lines. Error bars on the medians represent the 68% confidence intervals determined via 10,000 bootstrap resampling. Bottom left panel: average SFR density per structure (in  $M_{\odot} \text{ yr}^{-1} \text{ Mpc}^{-2}$ ) as a function of distance from the targeted RLAGN. For each radial bin, data points are slightly offset from each other for clarity. Bottom right panel: histogram of the average source number density per structure (in  $\text{Mpc}^{-2}$ ). Members are divided in three groups consisting of H $\alpha$ -based SFR members at  $z \sim 1.5$ , [O III]-based SFR members at  $z \sim 1.7$ , and [O III]-based SFR members at  $z \sim 2.0$  (black circles, light-blue squares, and light-green diamonds, respectively). For the two bottom panels, values are derived within bins of 125 kpc from the RLAGN and error bars represent the error in the mean.

4. We find that massive ( $10^{10}$ – $10^{11} M_{\odot}$ ) confirmed structure members, all showing evidence of star formation, reside below the star-forming main sequence of galaxies at their redshift. Unless significantly dust-obscured, this implies that these galaxies in rich environments underwent a significant episode of star formation prior to the epoch that we are now observing them.
5. We find that the density of star-forming galaxies rises sharply at smaller radii from the central RLAGN, implying that most of the star-forming budget is enclosed within the densest regions of these structures. This trend is consistent with the results of Brodwin et al. (2013), who studied a sample of mid-infrared selected clusters at slightly lower redshift, and is consistent with an overall reversal of the SFR-density relation at higher redshifts.
6. Comparing spectroscopically confirmed member densities to expectations from field observations and numerical simulations, we classify, in Appendix B, our confirmed structures into three classes: (i) HPCs, (ii) PCs, and (iii) CGCs. Our analysis classifies three confirmed CARLA structures in the HPC category, while all other confirmed

CARLA structures (13/16) are classified as probable confirmed clusters.

7. Finally, these results highlight both the strengths and weaknesses of shallow *HST* grism spectroscopy for confirming high-redshift galaxy clusters. With an extremely efficient strategy of just two orbits per field, we are able to confirm most of these candidate high-redshift clusters and demonstrate the robust selection efficiency of the CARLA *Spitzer* imaging program. However, by design, this strategy fails to confirm member galaxies lacking star formation and therefore fails to identify the interesting sample of massive, evolved, early-type galaxies in these distant structures. A deeper grism program would be able to achieve such science.

We thank the referee for useful comments and suggestions that improved the paper. We thank Nicole Nesvadba for kindly sharing data concerning the field around TXS 2353–003. This work is based on observations made with the NASA/ESA *Hubble Space Telescope*, obtained at the Space Telescope Science Institute, which is operated by the

**Table 3**  
Spectroscopic Catalog Content

#	Column Label	Description
col1	Field	CARLA names for all fields where a CARLA confirmed structure is present. Target RLAGN name otherwise, except for CARLA-Ser J1317+3925 (only serendipitous structure within a field with no confirmed CARLA structure).
col2	ID	Unique source ID among each field.
col3	R.A.	R.A. (J2000), in degrees.
col4	Decl.	Decl. (J2000), in degrees.
col5	F140W	Magnitude (AB).
col6	F140W_ERR	Magnitude uncertainty (AB).
col7	z	Redshift.
col8	z_ERR	Redshift uncertainty.
col9	Q	Redshift quality.
col10	f_Ha	H $\alpha$ flux (erg cm <sup>-2</sup> s <sup>-1</sup> ). H $\alpha$ fluxes include [N II] contributions.
col11	f_Ha_ERR	H $\alpha$ flux uncertainty (erg cm <sup>-2</sup> s <sup>-1</sup> ).
col12	f_Hb	H $\beta$ flux (erg cm <sup>-2</sup> s <sup>-1</sup> ).
col13	f_Hb_ERR	H $\beta$ flux uncertainty (erg cm <sup>-2</sup> s <sup>-1</sup> ).
col14	f_OIII	[O III] $\lambda$ 5007 flux (erg cm <sup>-2</sup> s <sup>-1</sup> ).
col15	f_OIII_ERR	[O III] $\lambda$ 5007 flux uncertainty (erg cm <sup>-2</sup> s <sup>-1</sup> ).
col16	f_OII	[O II] flux (erg cm <sup>-2</sup> s <sup>-1</sup> ).
col17	f_OII_ERR	[O II] flux uncertainty (erg cm <sup>-2</sup> s <sup>-1</sup> ).

(This table is available in its entirety in machine-readable form.)

Association of Universities for Research in Astronomy Inc., under NASA contract NAS 5-26555. These observations are associated with program #GO-13740. Support for program #GO-13740 was provided by NASA through a grant from the Space Telescope Science Institute, which is operated by the Association of Universities for Research in Astronomy Inc., under NASA contract NAS 5-26555. This work is also based in part on observations made with the *Spitzer Space Telescope*, which is operated by the Jet Propulsion Laboratory, California Institute of Technology, under a contract with NASA. This work is also based in part on observations made with the 200-inch Hale Telescope, Palomar Observatory, operated by the California Institute of Technology. The work of P.E. and D.S. was carried out at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with NASA. S.M. acknowledges financial support from the Institut Universitaire de France (IUF), of which she is senior member. D.W. acknowledges support by Akbari-Mack Postdoctoral Fellowship. E.A.C. acknowledges support from the ERC Advanced Investigator program DUSTYGAL 321334. N.A.H. acknowledges support from STFC through an Ernest Rutherford Fellowship.

*Facilities:* *HST* (WFC3; STScI), *Spitzer* (IRAC; JPL/Caltech), Palomar (DBSP; Caltech).

## Appendix A Spectroscopic Catalog

We describe in Table 3 the content of the spectroscopic catalog available in the online material. This catalog gathers spectroscopic information on all sources with a measured redshift in our 20 *HST* fields.

## Appendix B Significance of the Spectroscopic Confirmations

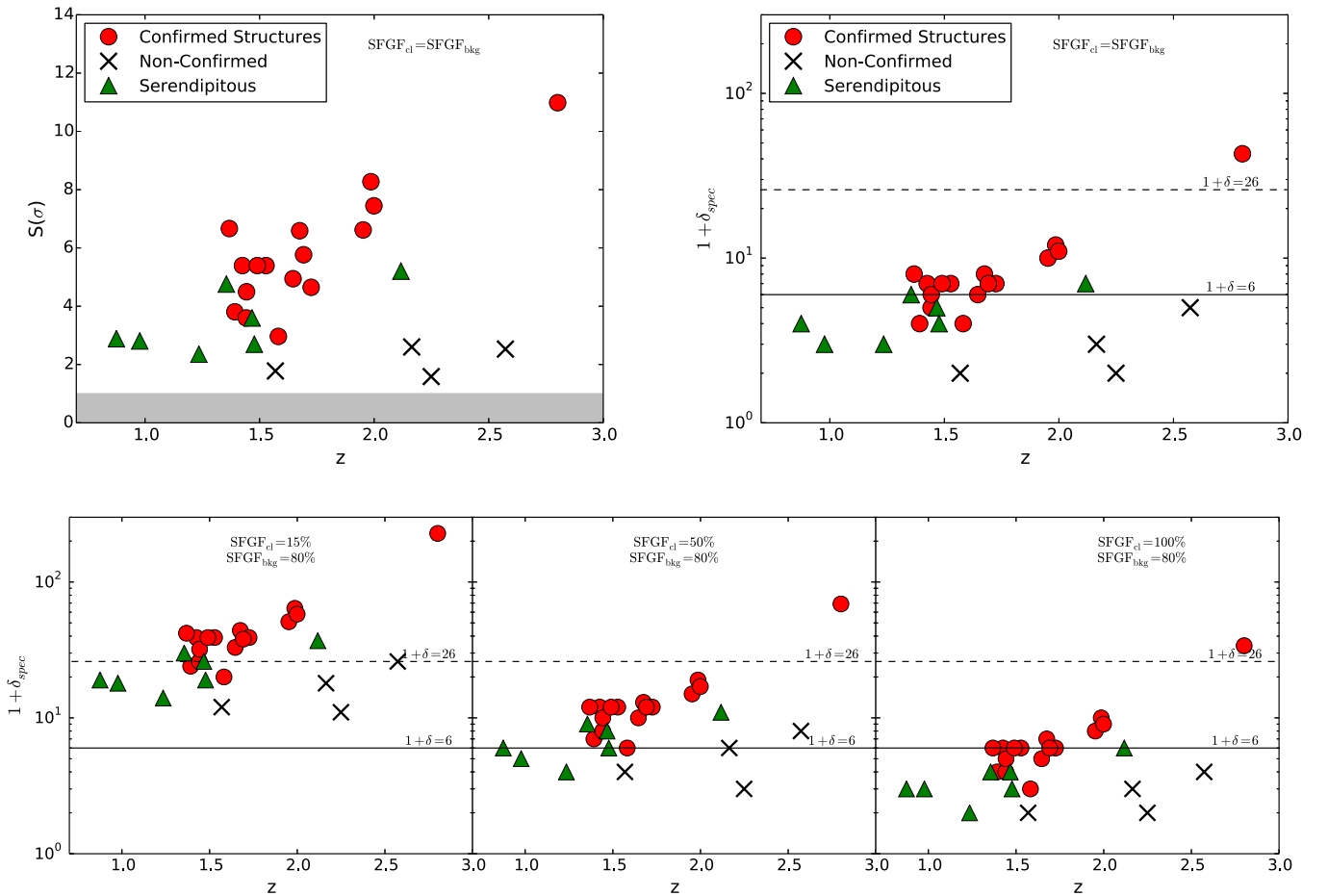
In this appendix we evaluate the significance of our CARLA spectroscopic overdensities (both targeted and serendipitous). This analysis provides an additional flag to better assess the status of our confirmations and non-confirmations.

As already mentioned, in Wylezalek et al. (2013, 2014) we derived an estimate of the *Spitzer*-selected galaxy overdensities for all CARLA fields and compared them to the average distribution of similarly selected galaxies from SpuDS. We measured a field galaxy overdensity of  $9.6 \pm 2.1$  galaxies per arcmin<sup>2</sup> (Wylezalek et al. 2014). The fields of our 16 confirmed structures (CARLA clusters) have galaxy overdensity significances  $>4.4\sigma$ . This means that, based on the *Spitzer*-selected galaxy overdensities alone, there is an almost zero probability that our confirmed structures are simply field galaxy overdensities. As mentioned before, our detections can still be clusters, groups, filaments, or sky superpositions that cannot be distinguished in *Spitzer* colors alone. We therefore measure the overdensity of spectroscopically confirmed members in our CARLA fields and compare them to expected values in the field (using 3D-*HST*) and numerical simulations (using Cautun et al. 2014) in an attempt to understand whether our confirmations are in better agreement with being nodes of the cosmic web (i.e., virialized clusters) or filaments.

As previously, we select 3D-*HST* sources that have usable grism redshifts ( $use\_zgrism = 1$ ), and have any combinations of identified H $\alpha$ , [O III], or [O II] emission lines above our limiting fluxes ( $2.5 \times 10^{-17}$  erg cm<sup>-2</sup> s<sup>-1</sup>) and falling within the wavelength range covered by the G141 grism ( $\lambda = 1.08\text{--}1.7 \mu\text{m}$ ). We scan these sources in the redshift range  $z = 0.7\text{--}3.0$  with a step of  $\pm 2000$  km s<sup>-1</sup>, corresponding to our adopted definition. For each redshift bin, we measure the number density of galaxies using randomly distributed non-overlapping 1' radius apertures. We then stack the density distributions within steps of 0.1 in redshift and fit Gaussians to the distributions to obtain the  $1\sigma$  standard deviation of the spectroscopic field galaxy density (in arcmin<sup>-2</sup>) at these redshifts. We then compare confirmed member densities in our CARLA fields to the field values at the appropriate redshifts. The top left panel of Figure 9 shows the overdensity significance (in  $\sigma$ ) of spectroscopic members of our confirmed CARLA and serendipitous structures and non-confirmed CARLA cluster candidates, shown as red circles, green triangles, and black crosses, respectively.

We also measure galaxy contrasts  $\delta_{\text{spec}} = \frac{N_{\text{members}} - N_{3DHST}}{N_{3DHST}}$  (where  $N_{\text{members}}$  and  $N_{3DHST}$  are measured in galaxies per arcmin<sup>2</sup>), which we can compare to numerical simulations (e.g., Cautun et al. 2014). Following a similar approach as in Mei et al. (2015), we compare the  $(1 + \delta) = \frac{\rho}{\rho_{\text{bkg}}}$  galaxy contrast measurements of Cautun et al. (2014) to our  $(1 + \delta_{\text{spec}})$ . Cautun et al. (2014) measured the probability density function (PDF) of contrasts  $(1 + \delta)$  belonging to a void, a wall, a filament, or a node (virialized region) of the cosmic web. Following Cautun et al. (2014), we define, for this analysis, clusters and cluster progenitors as virialized regions at all redshifts. Numerical simulations predict that the haloes that are most probably accreted in clusters with masses  $M > 10^{14} M_{\odot}$  at  $z < 0.5$  have masses  $M \geq 5 \times 10^{13} M_{\odot}$  at  $z > 1.5$  (e.g., Chiang et al. 2013, Cautun et al. 2014).





**Figure 9.** Top left: overdensity significance (in  $\sigma$ ) of spectroscopic members of our confirmed CARLA and serendipitous structures and non-confirmed CARLA cluster candidates, shown as red circles, green triangles, and black crosses, respectively. The horizontal gray area represents the  $1\sigma$  standard deviation of the field value, derived from 3D-*HST* as described in the text. Top right: galaxy contrast ( $1 + \delta_{\text{spec}}$ ) of the same fields. Both top panels assume identical star-forming galaxy fractions in the field and cluster environments. Bottom: galaxy contrasts for three cases of star-forming galaxy fractions in cluster environments:  $\text{SFGF}_{\text{cl}} = 15\%$ ,  $50\%$ , and  $100\%$ , from left to right, respectively, and with a field value of  $\text{SFGF}_{\text{bkg}} = 80\%$ . In all galaxy contrast panels, the solid and dashed lines represent the  $(1 + \delta) = 6$  and  $(1 + \delta) = 26$  thresholds from Cautun et al. (2014), respectively.

Following Cautun et al. (2014), overdensities within  $1\sigma$  from the mean of the node PDF have contrasts of  $26 \leq (1 + \delta) \leq 507$  and a probability of  $\lesssim 15\%$  to be filaments, while those with contrasts of  $6 \leq (1 + \delta) < 26$  are within  $1\sigma$ – $2\sigma$  from the mean of the node PDF and have a probability of  $\lesssim 40\%$  to be filaments. Overdensities with contrasts of  $(1 + \delta) < 6$  are more than  $2\sigma$  from the mean of the node PDF; their probability to be nodes of the cosmic web is  $\lesssim 10\%$ , and they also have a probability of  $\sim 40\%$ – $50\%$  to be filaments. We find galaxy contrasts  $(1 + \delta_{\text{spec}}) \geq 6$  for 13 of our 16 confirmed CARLA cluster candidates, including one (CARLA J1017+6116) with a contrast higher than 26 (42; see top right panel of Figure 9). CARLA J1753+6310, showing a strong red sequence, dominated by a passive population (Cooke et al. 2016) and for which we confirm five star-forming members in our *HST* grism data, is among the three confirmed CARLA structures with  $(1 + \delta_{\text{spec}}) < 6$ . This illustrates that the different star formation histories in the field and cluster environments can significantly affect our spectroscopic overdensity significances and galaxy contrasts. We therefore estimate different contributions of the star-forming population from the literature to scale our galaxy contrasts. Also note that the higher grism confusion in crowded (cluster) environments compared to the field tends to

underestimate our measurements of spectroscopic significance and galaxy contrast.

The fraction of star-forming galaxies in the field is typically  $\sim 80\%$  at  $z > 1$  (e.g., Darvish et al. 2016). The fraction of star-forming galaxies in clusters within a region of radius 0.5 Mpc ( $\sim 1'$ ) is typically  $\sim 10\%$ – $20\%$  and  $\sim 40\%$ – $50\%$  at  $1 \leq z \leq 1.4$  and  $z > 1.4$ , respectively (e.g., Brodwin et al. 2013). The exact percentage depends on the evolutionary status of the cluster. In fact, clusters dominated by passive populations are found up to  $z \sim 2$  (e.g., Newman et al. 2014, Cooke et al. 2016). To quantify how different star-forming galaxy fractions in clusters and the field ( $\text{SFGF}_{\text{cl}}$  and  $\text{SFGF}_{\text{bkg}}$ , respectively) change our classification, we scale  $(1 + \delta_{\text{spec}})$  by  $\frac{\text{SFGF}_{\text{bkg}}}{\text{SFGF}_{\text{cl}}}$  for three different cases: (i)  $\text{SFGF}_{\text{cl}} = 15\%$ , which is typical of evolved clusters; (ii)  $\text{SFGF}_{\text{cl}} = 50\%$ , which corresponds to typical clusters at  $z \sim 1.5$  (e.g., Brodwin et al. 2013); and (iii)  $\text{SFGF}_{\text{cl}} = 100\%$ , which corresponds to the most extreme case in which all galaxies are star-forming galaxies. We show our galaxy contrasts for these three cases in the bottom panels of Figure 9.

In the case  $\text{SFGF}_{\text{cl}} = 15\%$  (Figure 9, bottom left panel), 14 of our 16 confirmed CARLA structures are within  $1\sigma$  from the mean of the Cautun et al. (2014) node PDF (i.e., they have

**Table 4**  
CARLA *HST* Spectroscopic Significance and Galaxy Contrasts

Field	$z_{cl}$	$\sigma_{IRAC}^a$	$\sigma_{spec}^b$	$(1 + \delta_{spec})^c$			Flag <sup>d</sup>	RS <sup>e</sup>	Class <sup>f</sup>
				SFGF <sub>cl</sub> = 15%	SFGF <sub>cl</sub> = 50%	SFGF <sub>cl</sub> = 100%			
Confirmed CARLA Structures									
CARLA J0116–2052	1.430	5.14	5.54	39	12	6	122	...	PC
CARLA J0800+4029	1.986	6.38	8.91	64	19	10	122	0	PC
CARLA J0958–2904	1.396	5.00	3.71	24	7	4	223	...	PC
CARLA J1017+6116	2.801	6.67	12.26	228	69	34	111	...	HPC
CARLA J1018+0530	1.953	5.00	7.13	51	15	8	122	...	PC
CARLA J1052+0806	1.648	4.71	6.19	33	10	5	123	...	PC
CARLA J1103+3449	1.443	6.38	3.69	26	8	4	123	...	PC
CARLA J1129+0951	1.531	6.33	5.54	39	12	6	122	...	PC
CARLA J1131–2705	1.445	4.38	4.62	32	10	5	123	...	PC
CARLA J1300+4009	1.676	4.86	7.07	44	13	7	122	...	PC
CARLA J1358+5752	1.373	6.24	6.49	42	12	6	122	...	PC
CARLA J1510+5958	1.719	5.62	4.52	39	12	6	122	...	PC
CARLA J1753+6310	1.581	4.52	2.90	20	6	3	223	1	HPC
CARLA J2039–2514	2.000	8.00	8.02	58	17	9	122	1	HPC
CARLA J2227–2705	1.686	5.29	6.19	38	12	6	122	...	PC
CARLA J2355–0002	1.489	5.62	5.54	39	12	6	122	...	PC
Unconfirmed CARLA Structures <sup>g</sup>									
6CSS1054+4459	(2.566)	4.67	2.27	26	8	4	(123)	...	...
J1317+3925	(1.569)	4.86	1.74	12	4	2	(233)	...	...
J1515+2133	(2.262)	4.24	1.63	11	3	2	(233)	...	...
TNR 2254+1857	(2.157)	5.62	3.15	18	6	3	(223)	...	...
Serendipitous Discoveries									
CARLA-Ser J1017+6116	1.234	...	2.17	14	4	2	233	...	CGC
CARLA-Ser J1317+3925	1.465	...	3.69	26	8	4	123	...	PC
CARLA-Ser J1510+5958	0.875	...	2.69	19	6	3	223	...	PC
CARLA-Ser2 J1510+5958	0.976	...	2.36	18	5	3	233	...	CGC
CARLA-Ser J1753+6310	2.117	...	5.51	37	11	6	122	...	PC
CARLA-Ser J2227–2705	1.357	...	4.64	30	9	4	123	...	PC
CARLA-Ser2 J2227–2705	1.478	...	2.77	19	6	3	223	...	PC

#### Notes.

<sup>a</sup> Overdensity significance (in  $\sigma$ ) of color-selected sources above the field value (Wylezalek et al. 2014).

<sup>b</sup> Overdensity significance (in  $\sigma$ ) of spectroscopically confirmed sources above the field value. The values derived assume identical star-forming galaxy fractions in the field and cluster environments.

<sup>c</sup> Galaxy contrast of spectroscopically confirmed sources for three cases of star-forming galaxy fractions in clusters, respectively 15%, 50%, and 100%, with a constant fraction of 80% in the field.

<sup>d</sup> Robustness flag, as described in the text.

<sup>e</sup> Flag indicating if the confirmed structure exhibits a tight red sequence (0: False, 1: True)

<sup>f</sup> Confirmed structure classification following our analysis. HPC: highly probable confirmed cluster, PC: probable confirmed cluster, CGC: confirmed galaxy concentration.

<sup>g</sup> For unconfirmed structures, overdensity significances and galaxy contrasts are derived based on the few (<5) confirmed sources at the RLAGN redshifts.

$(1 + \delta_{spec}) \geq 26$ ). Three of the serendipitous confirmations are also within  $1\sigma$  of the node PDF, while four are within  $2\sigma$  (i.e., they have  $6 \leq (1 + \delta_{spec}) < 26$ ). In the case SFGF<sub>cl</sub> = 50% (Figure 9, bottom middle panel), the only confirmed CARLA structure within  $1\sigma$  from the mean of the node PDF is CARLA J1017+6116, confirmed at  $z = 2.8$  with eight star-forming members. With a contrast of  $(1 + \delta_{spec}) = 78$ , Cautun et al. (2014) predicts a probability larger than  $\sim 60\%$  that this is a node of the cosmic web and  $<10\%$  to be a filament. In this scenario, all other confirmed CARLA structures and five serendipitous structures have  $6 \leq (1 + \delta_{spec}) < 26$ . In the extreme case of SFGF<sub>cl</sub> = 100% (Figure 9, bottom right

panel), again only the  $z = 2.8$  confirmed structure is within  $1\sigma$  from the mean of the node PDF. In this last scenario, 11 confirmed CARLA structures and 1 serendipitous have  $6 \leq (1 + \delta_{spec}) < 26$ ; all other sources have  $(1 + \delta_{spec}) < 6$ .

In Table 4, we show for all 20 fields the spectroscopic overdensity significance ( $\sigma_{spec}$ ), the galaxy contrast  $(1 + \delta_{spec})$  for the three star-forming galaxy fractions discussed here, and a robustness flag. This flag is composed of three integers, each corresponding to one of the three star-forming galaxy fraction cases, and each ranging from one to three, with 1, 2, and 3 corresponding to fields that have galaxy contrasts  $(1 + \delta_{spec}) < 1\sigma$ ,  $= 1\sigma-2\sigma$ , and  $>2\sigma$  from the mean of the

node PDF, respectively. For example, a field with flag 111 is within  $1\sigma$  from the mean of the node PDF (i.e.,  $26 \leq (1 + \delta_{\text{spec}}) \leq 507$ ) for SFGF<sub>cl</sub> of 15%, 50%, and 100%, in this sequence. We also add a flag, not available to all fields, indicating the presence of a clearly defined red sequence as from Cooke et al. (2016) and N16.

Using this analysis, we consider confirmed structures (confirmed CARLA structures and serendipitous discoveries) with at least two flag components equal to 1 as highly probable confirmed clusters or protoclusters (HPCs). We consider confirmed structures with at least two flag components equal or less than 2 as probable confirmed clusters or protoclusters (PC). For any flag combination, we consider confirmed structures with clear red sequences as highly probable confirmed clusters or protoclusters (HPCs). We consider all other confirmed structures as confirmed galaxy concentrations (CGCs). We show the classifications for our confirmed structures in Table 4. We have three confirmed CARLA structures classified as HPC, while the rest (13/16) are classified as PC. Among serendipitous discoveries, 5/7 are classified as PC, while the other two are classified as CGC. We do not classify unconfirmed CARLA structures but show their flags in Table 4 for completeness. We will refine these considerations when additional multi-wavelength imaging and spectroscopy will be available to us on these fields. For simplicity, in the main body of this paper, we adopt the very conservative approach to call all spectroscopic confirmations “structures.”

### Appendix C Velocity Scatter and Spatial Distribution of Confirmed Members

In this appendix we show and briefly discuss the redshift/velocity scatter and spatial distribution of confirmed members in our 16 confirmed CARLA cluster candidates. (Additional members of CARLA J1753+6311 confirmed with Keck spectroscopy are not shown here; see A. Rettura et al., 2018, in preparation.) CARLA confirmations are sorted by increasing scatter in redshift space (standard deviation). CARLA J2039–2514 and CARLA J0800+4029, already published in N16, are reproduced here for consistency.

*CARLA J1103+3449*, Figure 10, Panel (a)—This confirmed CARLA cluster candidate is composed of eight members at  $z = 1.44$ , six being of quality A with both H $\alpha$  and [O III] detected. All members are confirmed within  $\pm 1000 \text{ km s}^{-1}$  and strongly peak at the same redshift as the RLAGN, which could provide evidence against a very massive virialized cluster of larger velocity scatter/dispersion. However, we determined a 123 flag for this confirmed structure (see Appendix B), which, following our analysis in Appendix B, would tend to support that CARLA J1103+3449, classified as PC, is probably a node of the cosmic web, as opposed to a filament. The RLAGN appears to possess at least one confirmed satellite member (#490).

*CARLA J1300+4009* (b)—Given its redshift, [O III] was the only strong line available in our grism data for confirming members of this CARLA cluster candidate. The eight confirmed members have again very low velocity scatter, for a combined flag 122. Classified as PC, this would tend to

support that CARLA J1300+4009 is most probably a node of the cosmic web at  $z = 1.68$ .

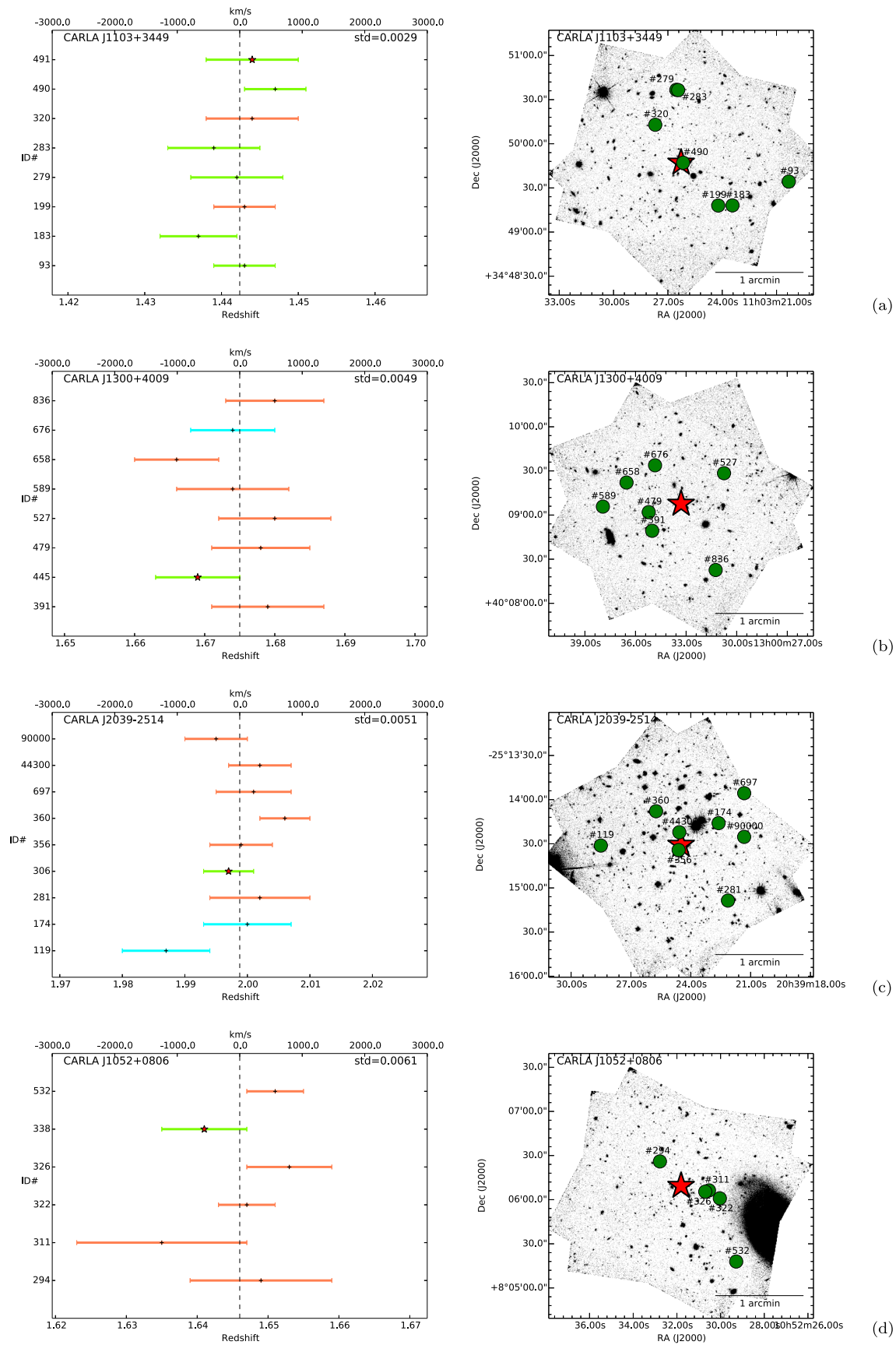
*CARLA J2039–2514* (c)—We previously presented CARLA J2039–2514 in detail in N16 and showed that this  $z = 2.00$  confirmed structure is already composed of a red-sequence of passive candidate members, consistent with being a bona fide galaxy cluster. With a flag of 122, CARLA J2039–2514, composed of nine confirmed members, including a dual AGN and classified as HPC, appears as a very strong candidate for being a massive node of the cosmic web at  $z = 2$ . The (dual AGN) RLAGN seem to possess at least two confirmed satellite members (#356 and #44300).

*CARLA J1052+0806* (d)—This confirmed structure shows one of the densest cores, among our 20 CARLA *HST* fields, of red color-selected galaxies that only exhibit continuum in our grism data, without detection of emission lines. Due to proximity, their spectra are additionally contaminating each other. These sources are very promising targets for deeper spectroscopic observations and additional multi-wavelength follow-ups to reveal and study passive populations already present in a cluster core at  $z = 1.65$ . A flag of 123 classifies CARLA J1052+0806 as PC. A bright nearby galaxy, falling on the West side of our *HST* field of view, additionally contaminated a significant fraction of our grism data in this field, likely hindering confirmation of additional star-forming members of CARLA J1052+0806.

*CARLA J1753+6310* (e)—We previously presented CARLA J1753+6310 in Section 4.6.2; see that section for details. We only confirm five members in our grism data, while additional Keck observations confirm an additional three members (A. Rettura et al., 2018, in preparation). CARLA J1753+6310 has a 223 flag, and Cooke et al. (2016) demonstrated that this structure possesses a strong red-sequence of passive candidate members and that its core is dominated by passive galaxies. CARLA J1753+6310, classified as HPC, therefore appears as a very strong candidate for being a massive node of the cosmic web at  $z = 1.58$ . The RLAGN likely possesses one confirmed satellite member (#470) at least.

*CARLA J2355–0002* (f)—We previously presented CARLA J2355–0002 in Section 4.6.1; see that section for details. With a total of 12 quality-A-only members at  $z = 1.49$ , CARLA J2355–0002 appears as one of the most convincing spectroscopic confirmation from our *HST* data alone. Supporting data (Collet et al. 2015) additionally identifies  $z \sim 1.5$  H $\alpha$  emitters outside our *HST* field of view. CARLA J2355–0002 has a 122 flag and its velocity distribution shows some scatter. This tends to support that CARLA J2355–0002, classified as PC, is probably a massive virialized cluster caught at an epoch of star formation. Confirmed (star-forming) members interestingly appear to be aligned in the NNE-SSW direction. Detailed study of CARLA J2355–0002 therefore appears very promising with respect to investigating the interplay between AGN nuclear activity, large-scale star formation, and the infall of gas from the cosmic web. The RLAGN seems to possess multiple confirmed satellite members.

*CARLA J1131–2705* (g)—CARLA J1131–2705 is composed of nine members at  $z = 1.45$ , including four quality A and four quality B<sup>+</sup> sources. Confirmed members are well peaked around the redshift of the RLAGN, with a scatter similar to CARLA J2355–0002. This confirmed structure has a 123 flag. Together this tends to support that CARLA J1131



**Figure 10.** Left: redshift/velocity distributions of confirmed CARLA cluster members. Green, cyan, and orange lines represent quality A, B<sup>+</sup>, and B<sup>-</sup> redshifts, respectively. Vertical dashed lines represent structure mean redshifts. All left panels are scaled to the same velocity range. Right: spatial distributions of structure members; north is up and east is to the left. In all panels, red stars represent the RLAGN.

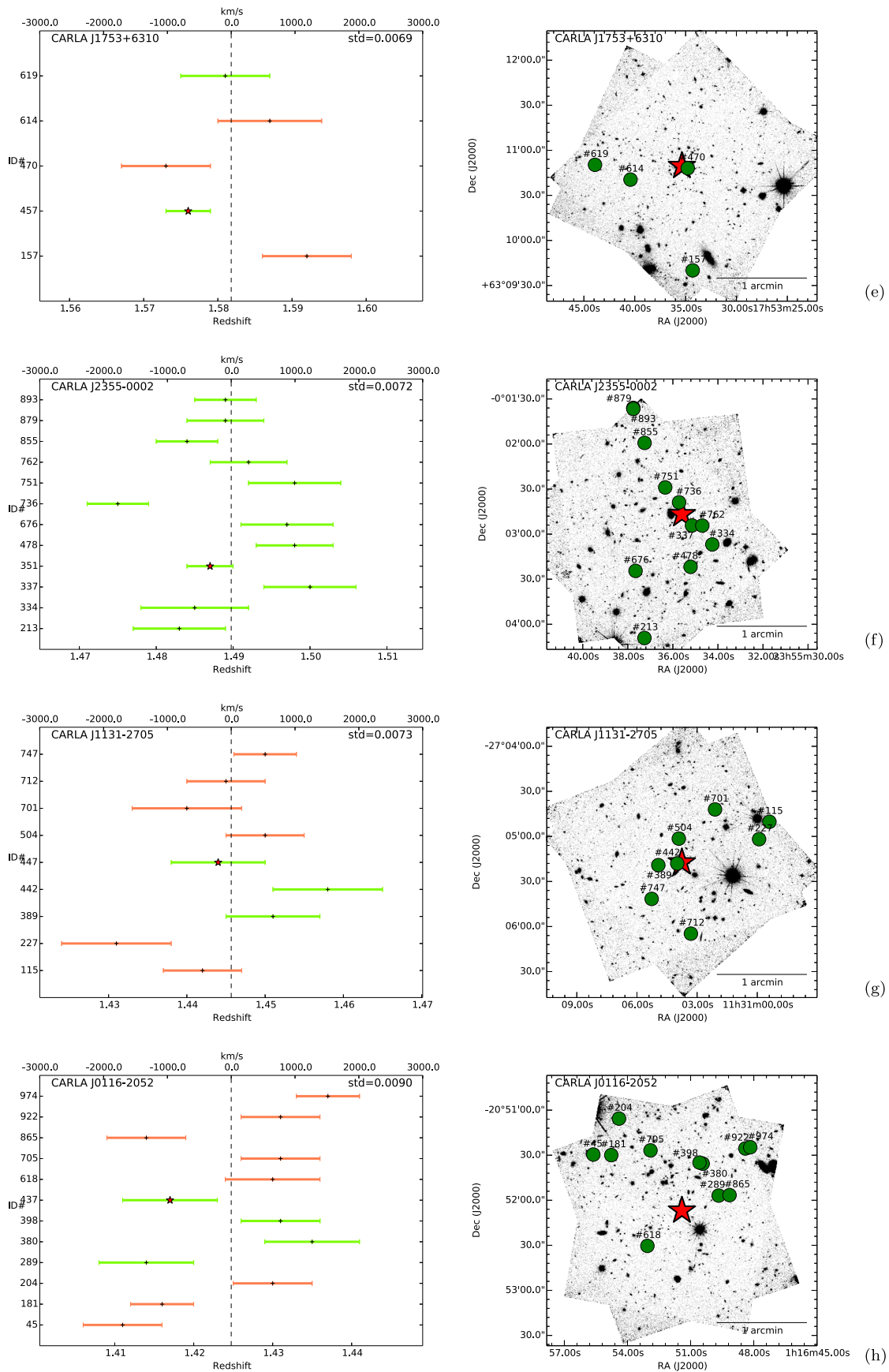


Figure 10. (Continued.)

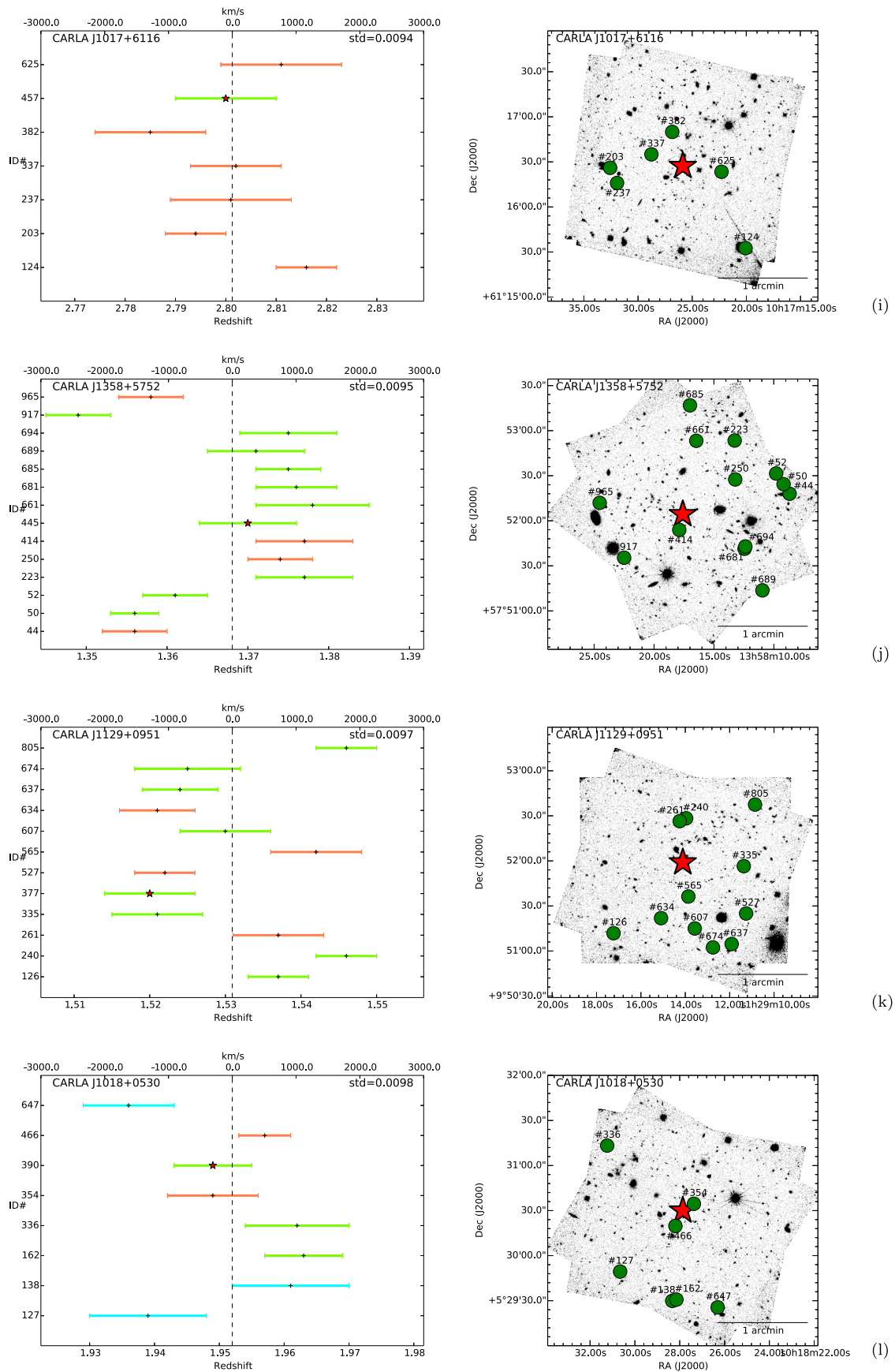


Figure 10. (Continued.)

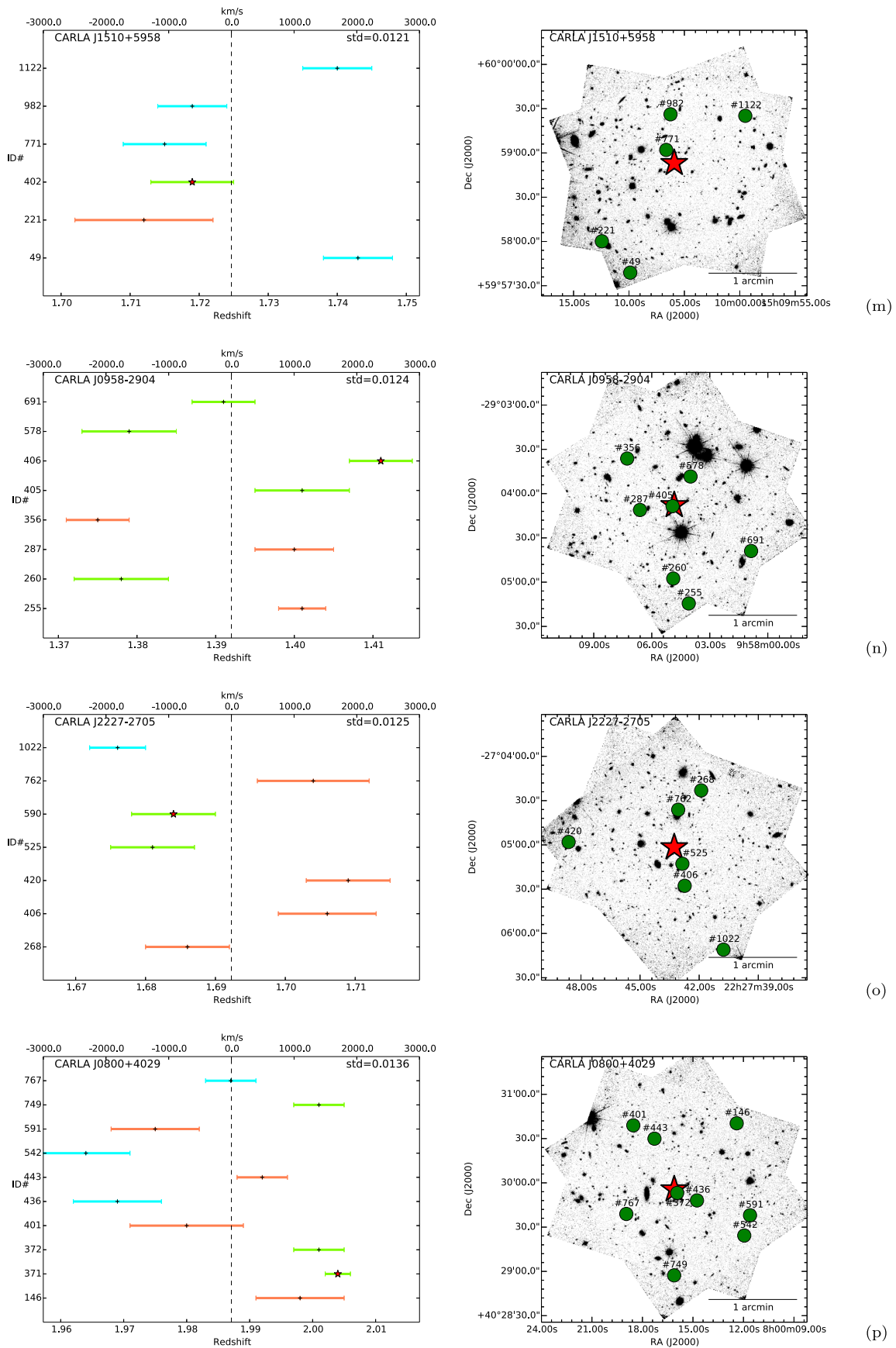


Figure 10. (Continued.)

–2705, classified as PC, is a probable node of the cosmic web. One member (#442) appears as a probable satellite of the RLAGN.

*CARLA J0116–2052* (h)—We confirm 12 members at  $z = 1.43$ , including 6 quality A sources, in the grism data of CARLA J0116–2052. As opposed to previously discussed confirmed structures, confirmed members of CARLA J0116–2052 appear to form two peaks around its mean redshift and are predominantly located to the north of the RLAGN. This might suggest that the RLAGN is not located at the center of the structure. However, with a flag of 122, our analysis supports that this confirmed structure is a probable node of the cosmic web. (It is classified as PC.)

*CARLA J1017+6116* (i)—At a redshift of  $z = 2.80$ , CARLA J1017+6116 is the highest redshift confirmed structure among our 20 fields. With seven confirmed members at this redshift, CARLA J1017+6116 also possesses the highest spectroscopic overdensity significance and galaxy contrast of our sample. Confirmed members appear well distributed around the RLAGN redshift. However, at this high redshift, we relied on the sole identification of the relatively weak [O II] emission line to confirm members of this structure. Additional spectroscopy would be required to obtain more robust redshift qualities on these sources. With a 111 flag, our analysis suggests that CARLA J1017+6116 is a highly probable node of the cosmic web (i.e., it is classified as HPC). Note that *Spitzer*/IRAC and *HST*/F140W flux measurements of source #124 are contaminated by a bright nearby source and a diffraction spike, and should be considered as upper limits only.

*CARLA J1358+5752* (j)—CARLA J1358+5752 is the structure with the highest number of confirmed members in our data, with 14 confirmations at  $z = 1.37$  including 10 quality A sources. Five confirmed sources appear slightly blueshifted compared to the rest of the members. This could suggest infalling galaxies in the structure potential. Together with a 122 flag, CARLA J1358+5752, classified as PC, appears as a probable node of the cosmic web. One source (#414) appears as a probable satellite of the RLAGN.

*CARLA J1129+0951* (k)—We confirm 12 members at  $z = 1.53$ , including 8 quality A sources, in the grism data of CARLA J1129+0951. The velocity distribution is again double peaked, with 5 members slightly redshifted compared to the bulk of confirmed members including the RLAGN. Deeper, higher-resolution spectroscopy will be required to understand whether they are infalling galaxies. This structure has a 122 flag, classifying CARLA J1129+0951 as PC.

*CARLA J1018+0530* (l)—Together with CARLA J2039–2514 and CARLA J0800+4029, CARLA J1018+0530 is one of the three confirmed structures at  $z = 2$  among our 20 fields. CARLA J1018+0530 interestingly shows a concentration of bright continuum-only sources in the NE with respect to the RLAGN. This group shows hint of potential intra-cluster light, while narrow-band imaging available for this field suggests that sources of this group have photometric redshifts

consistent with that of the RLAGN. A posteriori tentative detection of an emission line consistent with [O III] at the redshift of the RLAGN for one source in this group tends to support this claim. We will present these data in a forthcoming paper. With a 122 flag, CARLA J1018+0530 is classified as PC. Two sources (#354 and #466) appear as probable satellites of the RLAGN.

*CARLA J1510+5958* (m)—CARLA J1510+5958 is composed of six confirmed members, mainly of B qualities due to the redshift ( $z = 1.72$ ) of the target. This allows identification of a sole strong emission line ([O III]) in our grism data. Two sources appear significantly redshifted ( $>1000 \text{ km s}^{-1}$ ) compared to the other confirmed members. With a 122 flag, our analysis classifies CARLA J1510+5958 as PC. One source (#771) appears as a probable satellite of the RLAGN.

*CARLA J0958–2904* (n)—CARLA J0958–2904 is confirmed with eight members at  $z = 1.40$ , including five quality A sources. Among our confirmed CARLA clusters, CARLA J0958–2904 shows the most redshifted RLAGN relative to the mean redshift of the structure. Unless due to, for example, wavelength mis-calibration, this could suggest that the targeted RLAGN of CARLA J0958–2904 might not reside at the center of the structure. Source #405 appears as a likely satellite of the RLAGN. With a 223 flag, CARLA J0958–2904 is classified as PC. Source #405 appears as a probable satellite of the RLAGN.

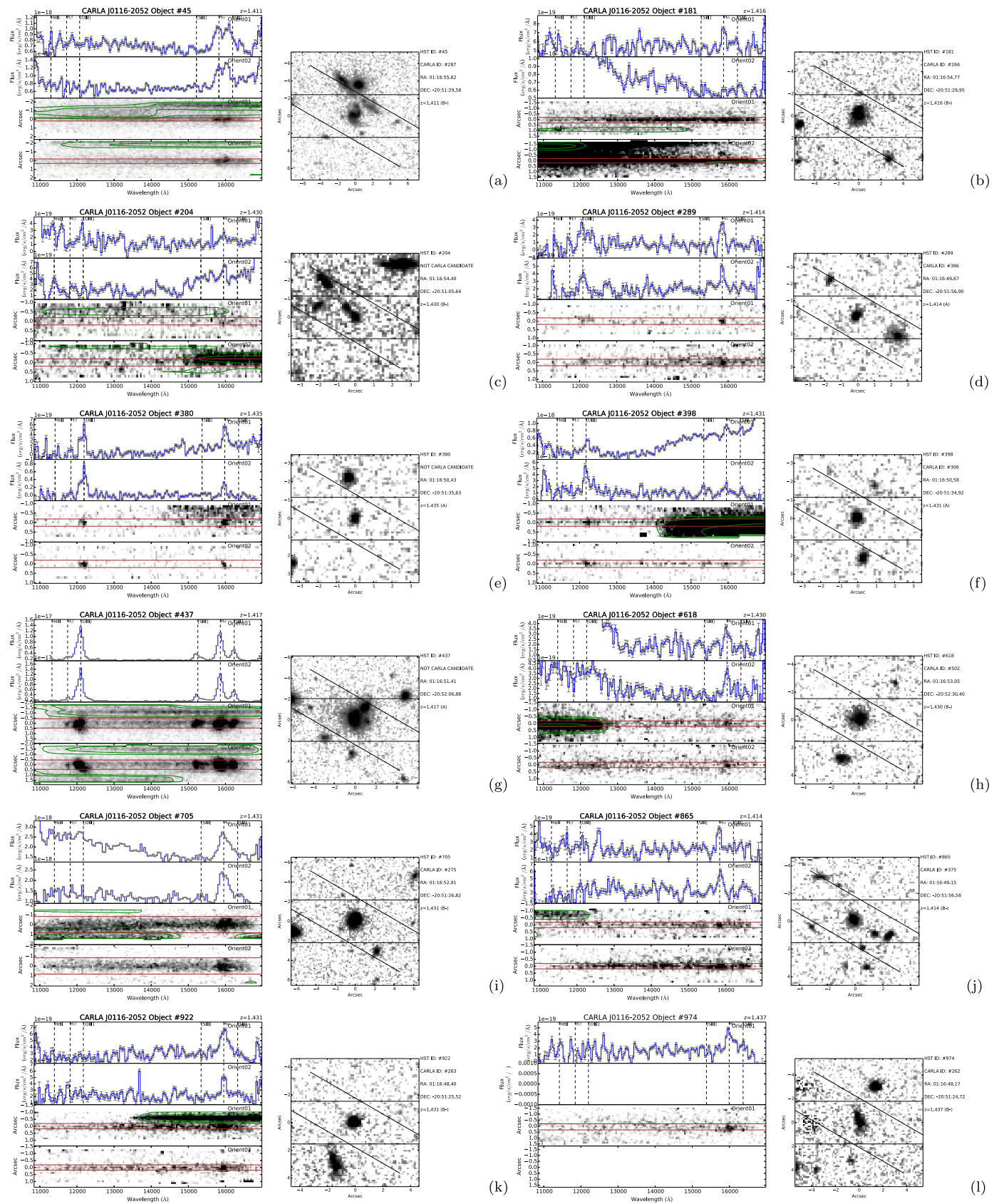
*CARLA J2227–2705* (o)—CARLA J0958–2904, confirmed with seven members at  $z = 1.69$ , shows a double-peaked velocity distribution, which could potentially suggest that confirmed members are not yet well stabilized in the structure potential. With a 122 flag, our analysis classifies CARLA J2227–2705 as PC.

*CARLA J0800+4029* (p)—We previously presented CARLA J0800+4029 in detail in N16. In N16, we showed that CARLA J0800+4029 does not exhibit a clear red-sequence of bright (F140W < 23 mag) candidate members, as opposed to CARLA J2039–2514, and is likely a younger forming structure than CARLA J2039–2514, which was confirmed at a similar redshift ( $z = 2$ ). This would tend to better argue in favor of the large velocity scatter of CARLA J0800+4029 being consistent with infalling members in the structure potential rather than showing evidence for a massive stabilized cluster. Additional data, however, are required to better characterize the evolutionary status of this confirmed structure. With a flag of 122, our analysis classifies CARLA J0800+4029 as PC. Source #372 appears as a probable satellite of the RLAGN.

## Appendix D Member Spectra

In the following appendix (Figures 11–24, in R.A. order), we show member spectra of all confirmed structure members, except CARLA J2039–2514 and CARLA J0800+4029, which were published in N16.





**Figure 11.** CARLA J0116–2052 member spectra. Each panel shows the G141 1D and 2D member spectra for both grism orientations, as well as the member F140W direct image stamp. Black slits on top of the F140W stamps represent the spectral dispersion directions of the two different grism orientations. Green contours overlaid on the 2D-spectra represent potential contamination from neighboring objects. Red slits on top of the 2D-spectra represent the regions from which 1D-spectra are extracted.

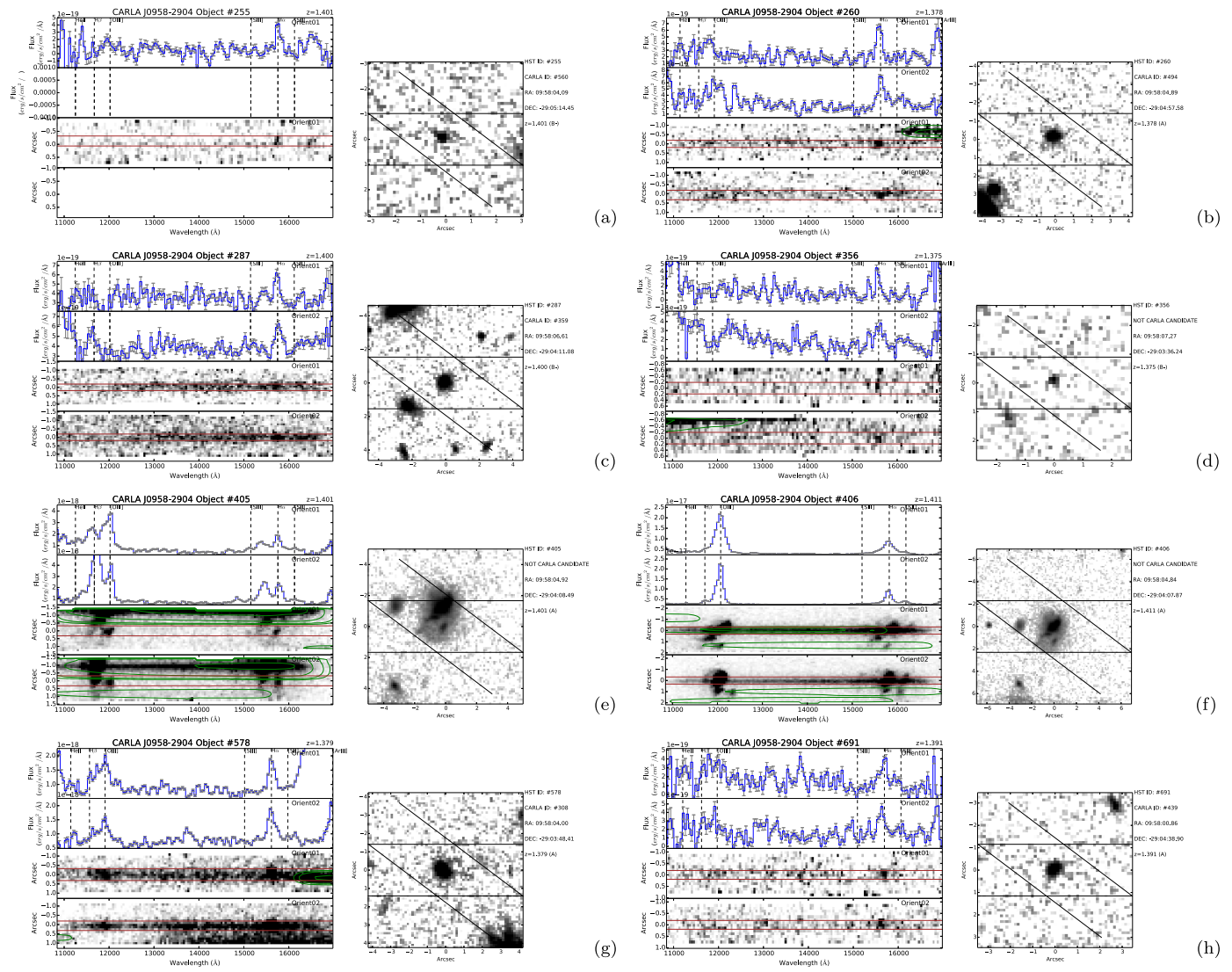


Figure 12. CARLA J0958–2904 member spectra.

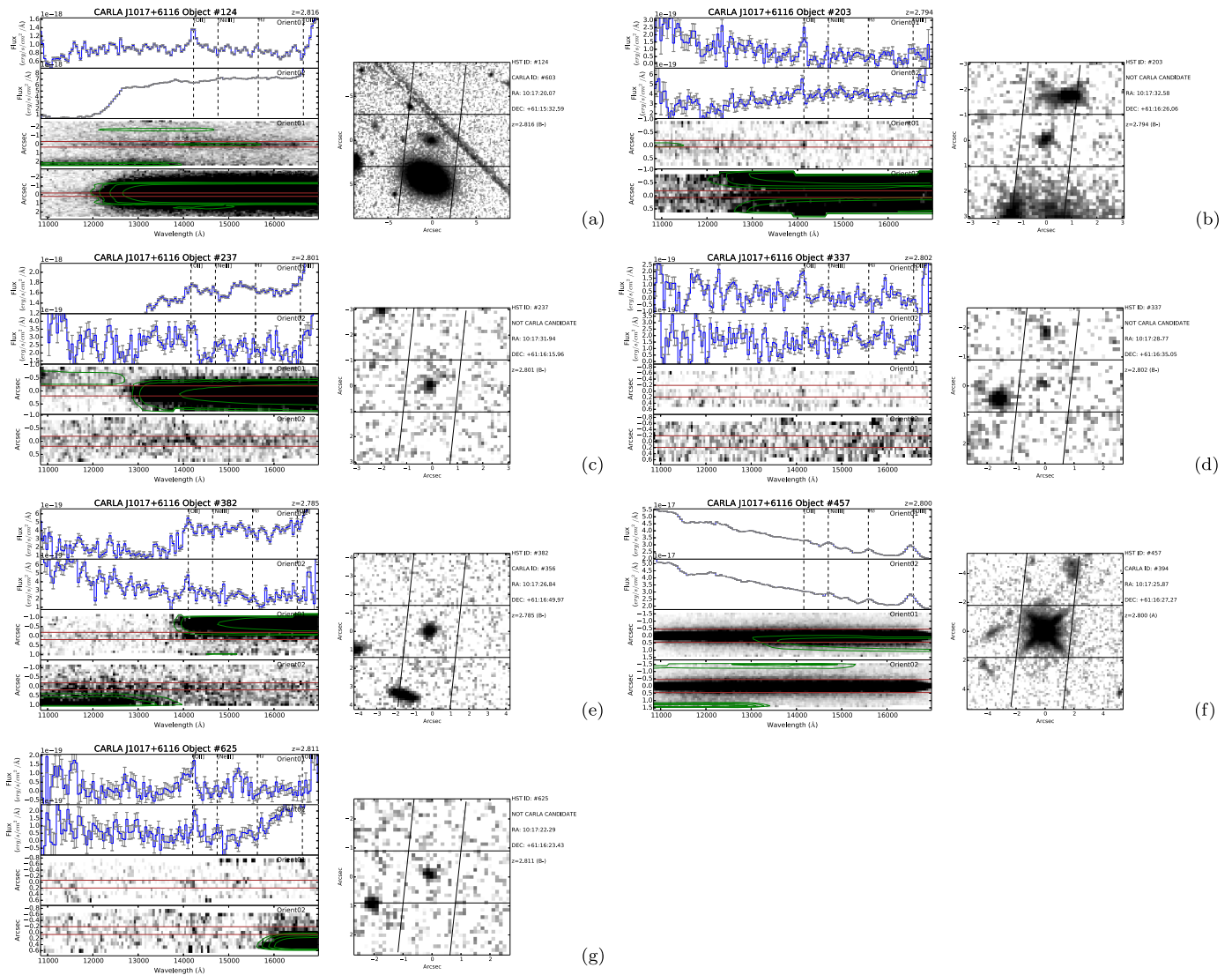


Figure 13. CARLA J1017+6116 member spectra.

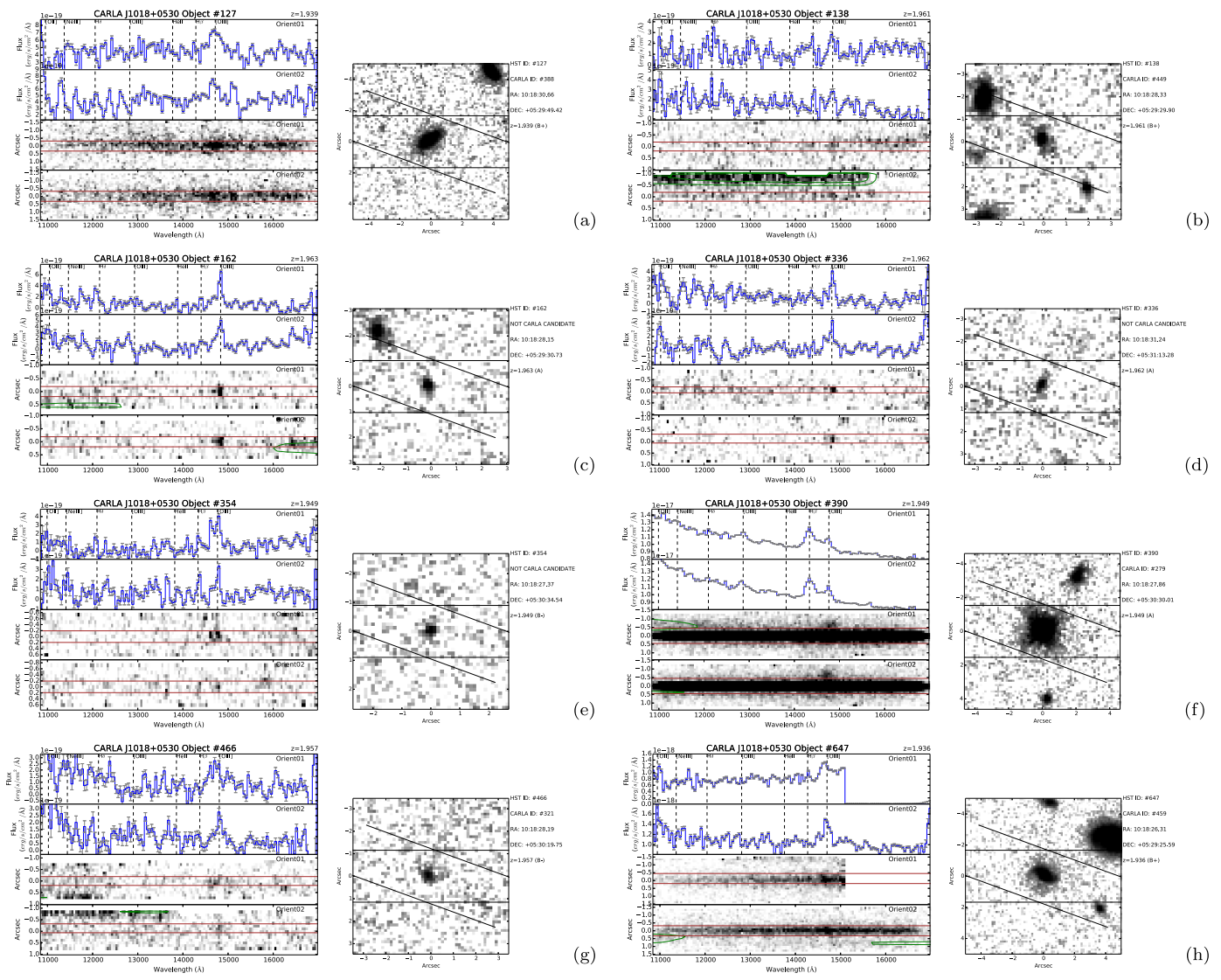


Figure 14. CARLA J1018+0530 member spectra.

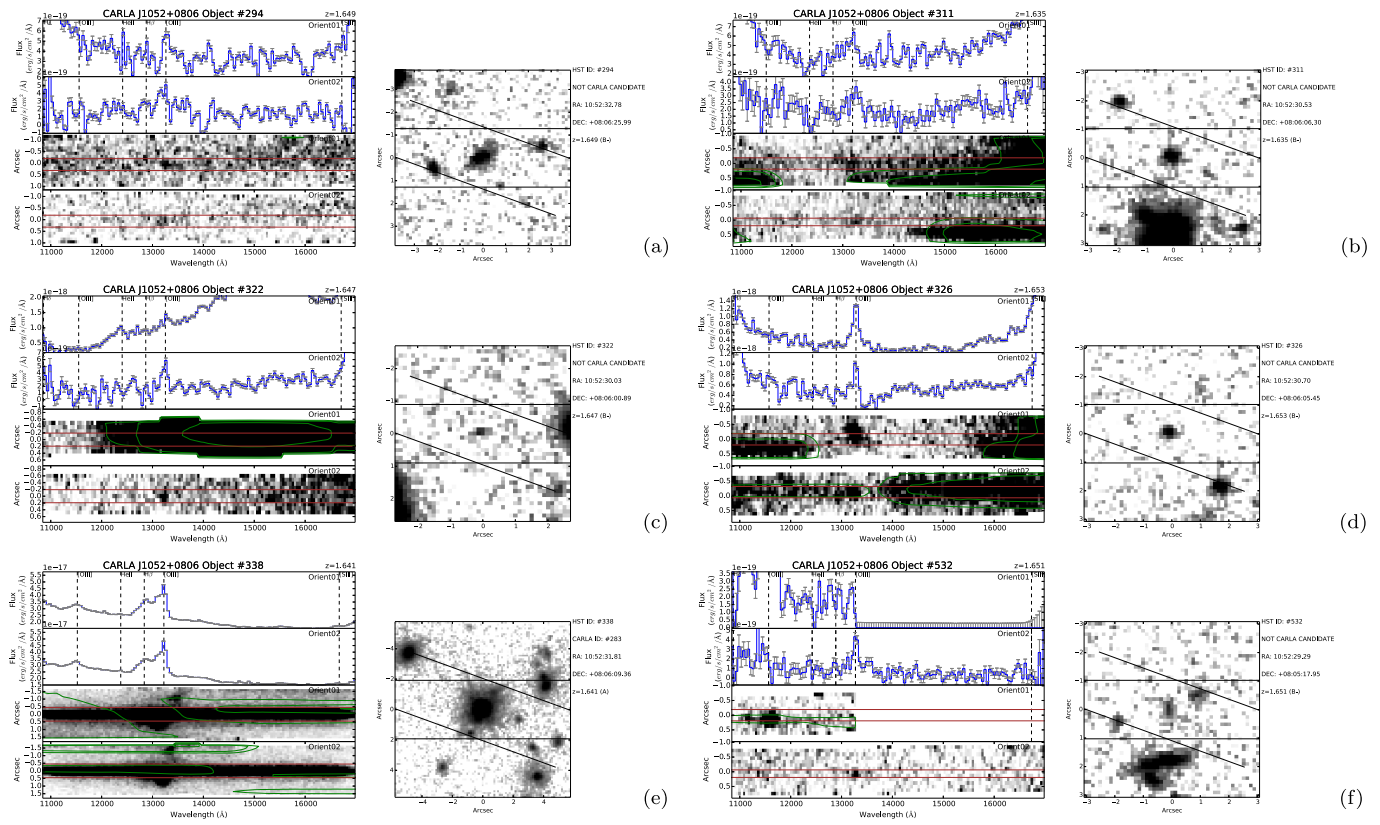


Figure 15. CARLA J1052+0806 member spectra.

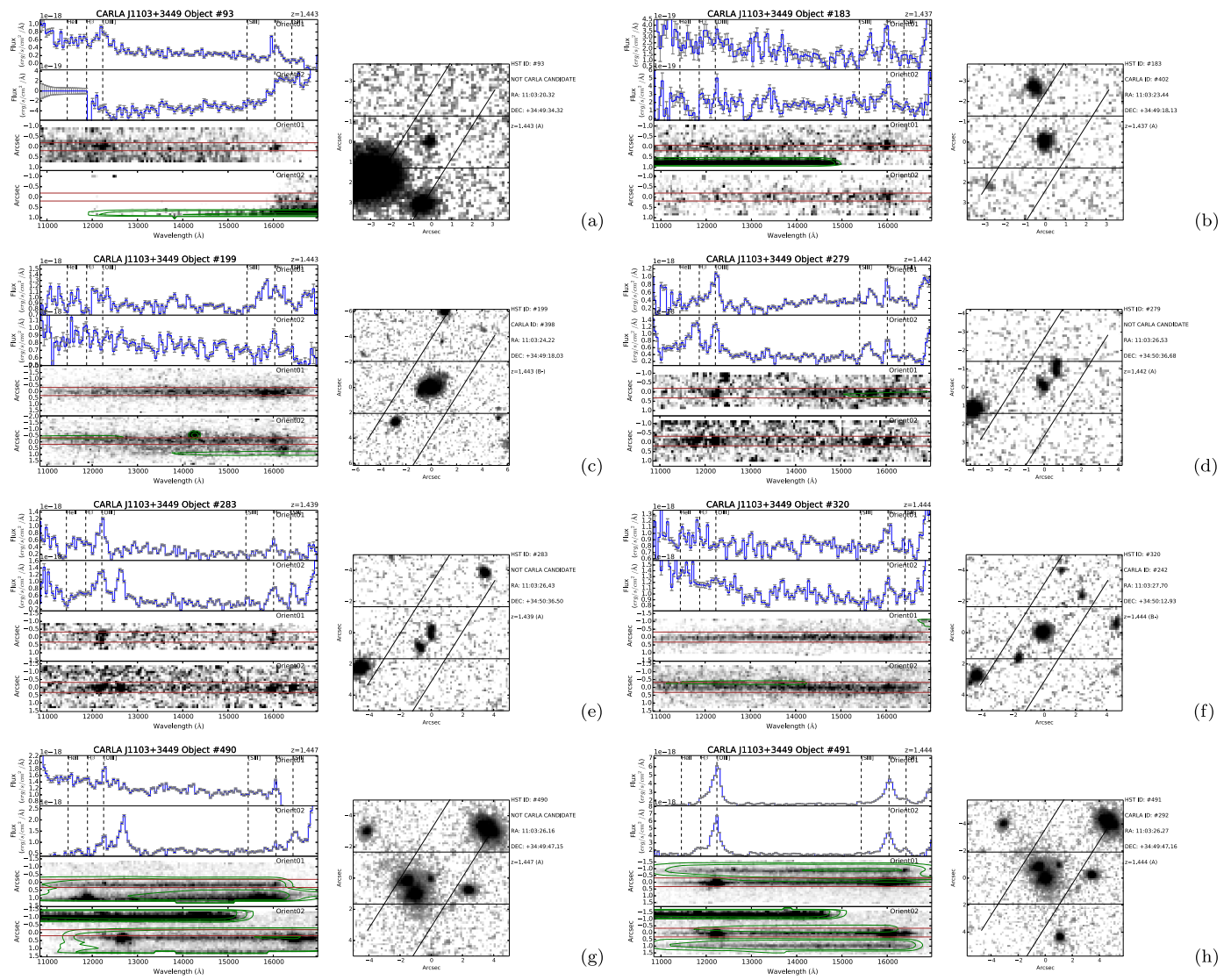


Figure 16. CARLA J1103+3449 member spectra.

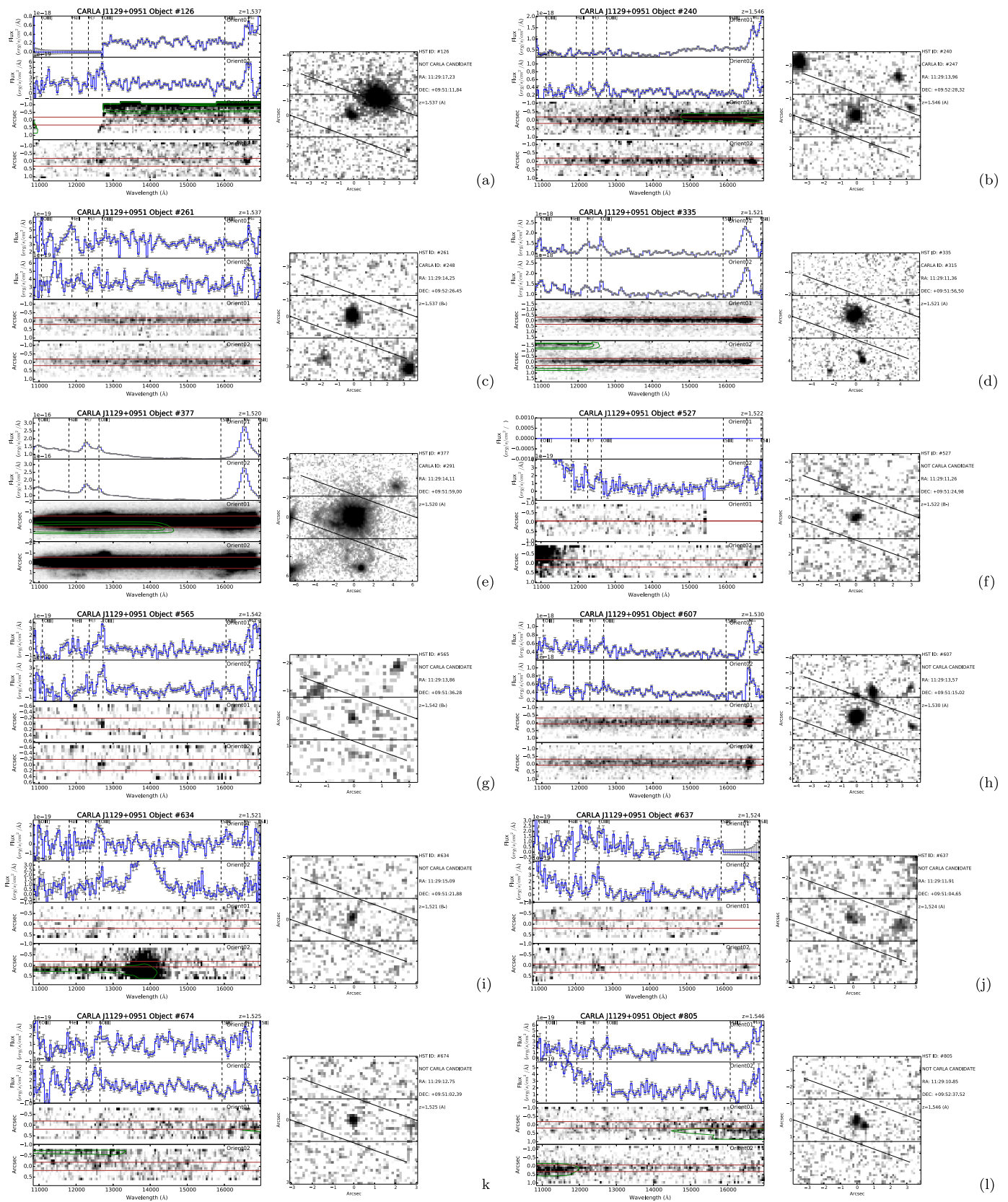


Figure 17. CARLA J1129+0951 member spectra.

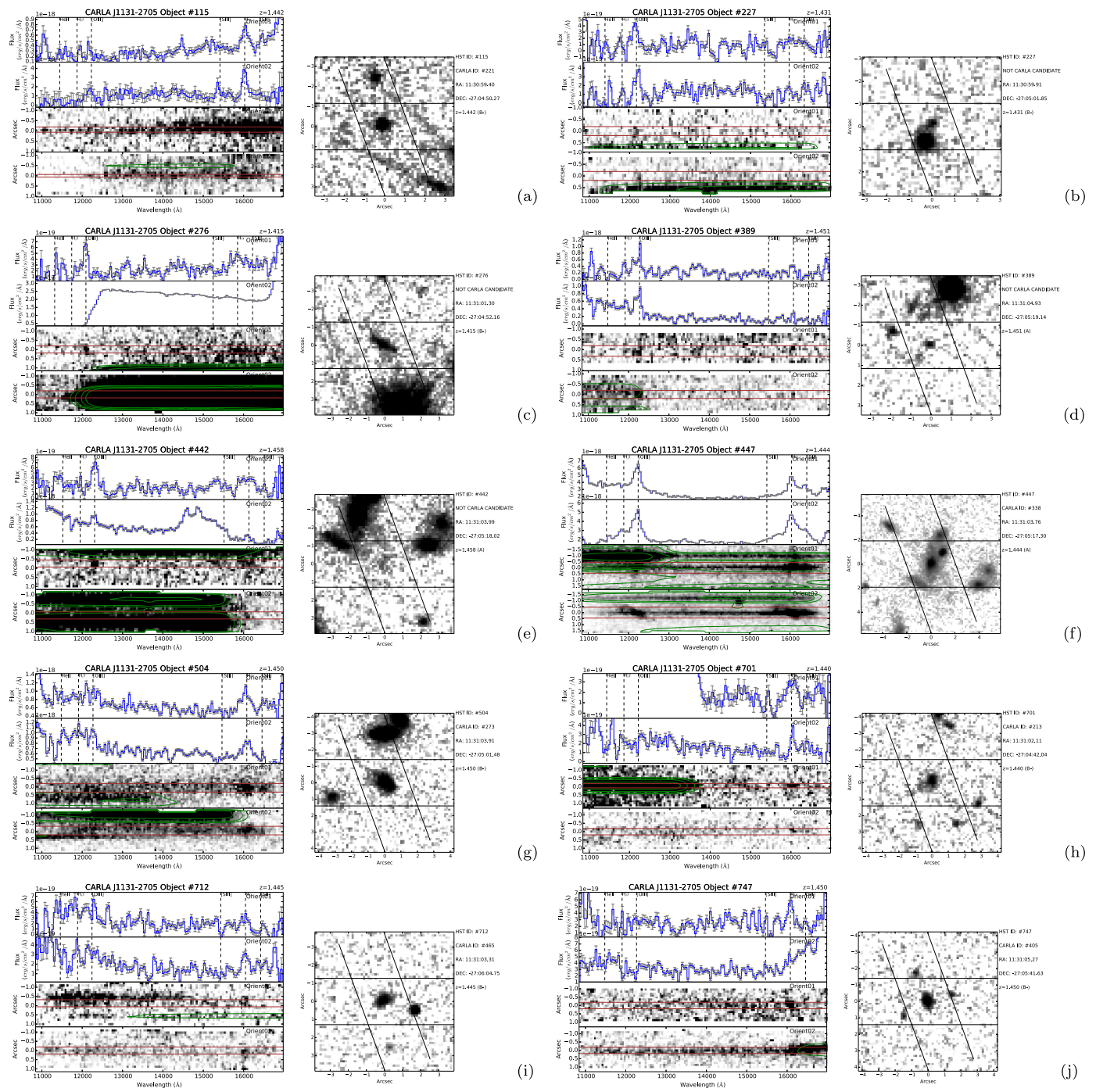


Figure 18. CARLA J1131–2705 member spectra.



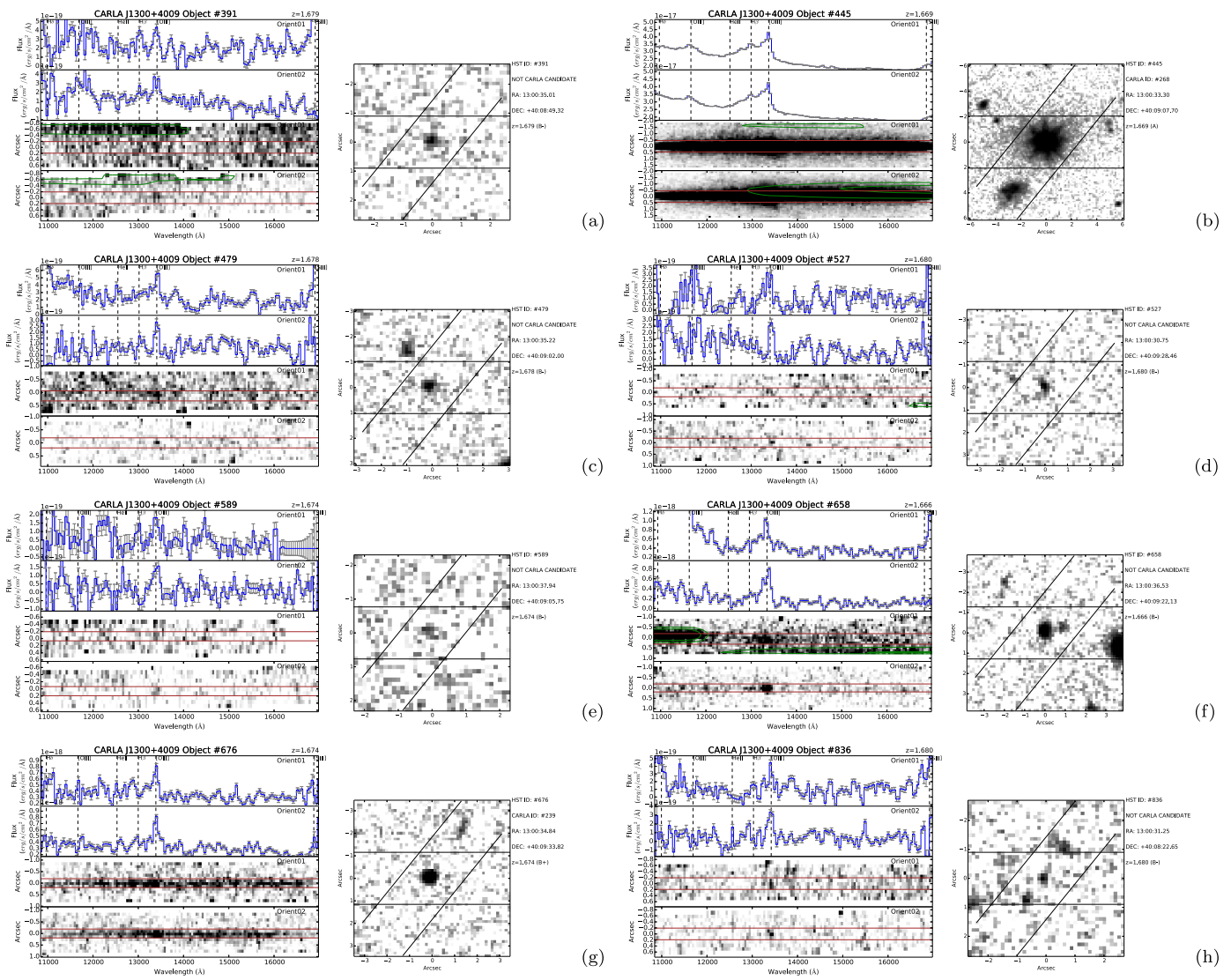


Figure 19. CARLA J1300+4009 member spectra.

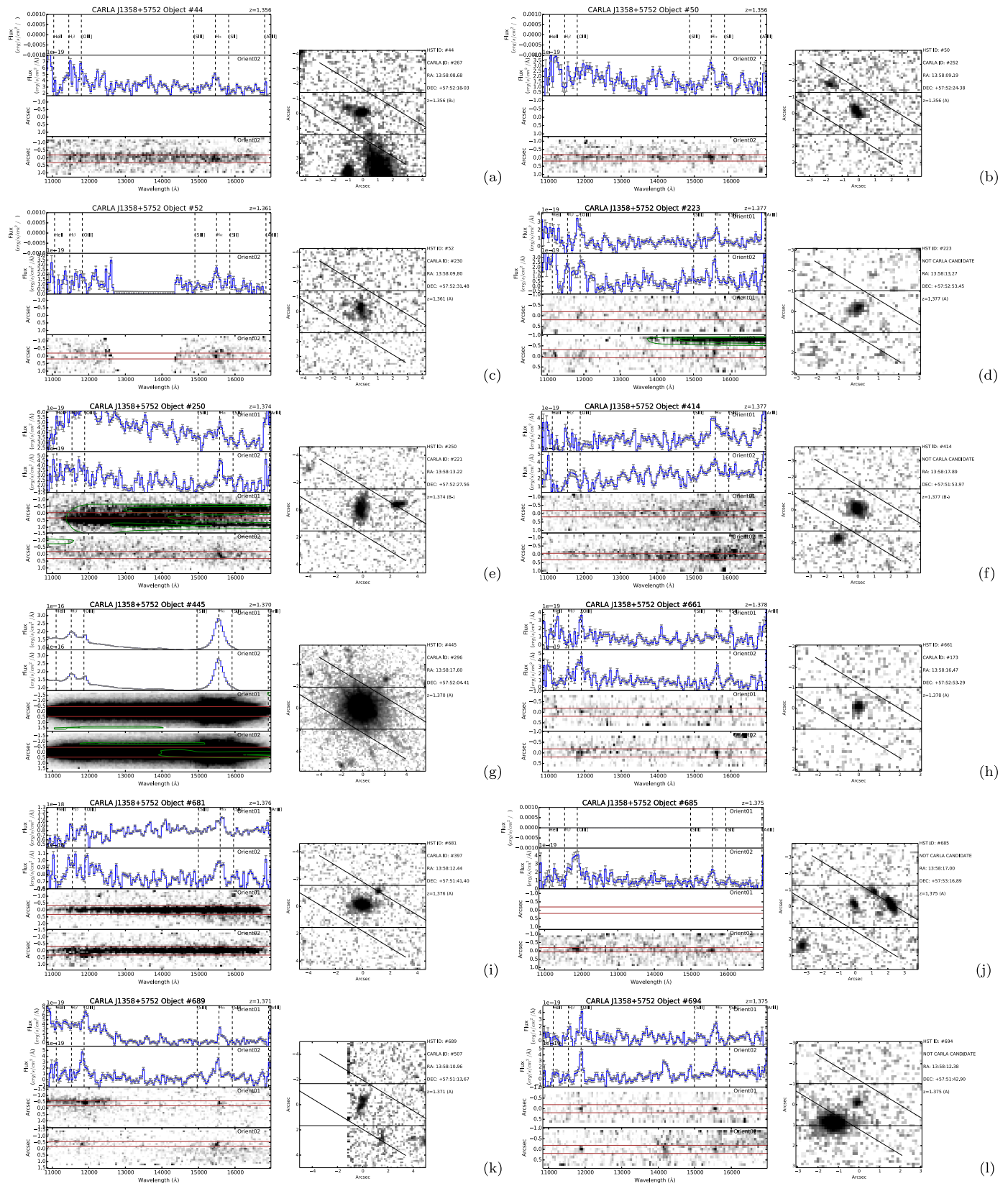


Figure 20. CARLA J1358+5752 member spectra.

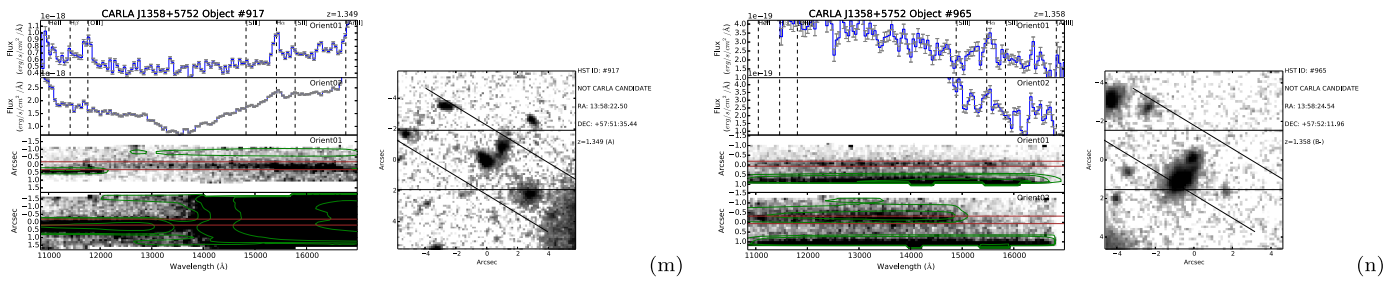


Figure 20. (Continued.)

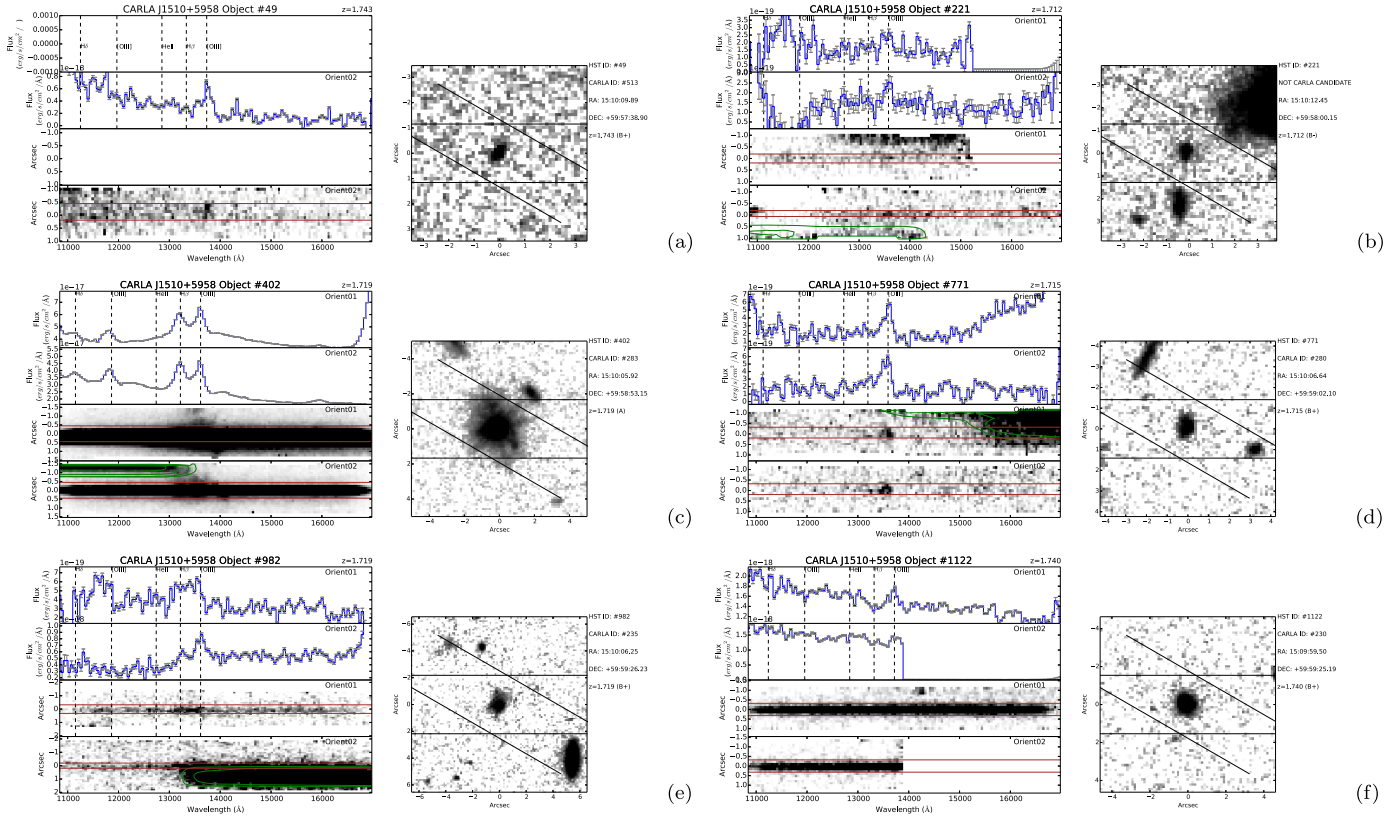


Figure 21. CARLA J1510+5958 member spectra.

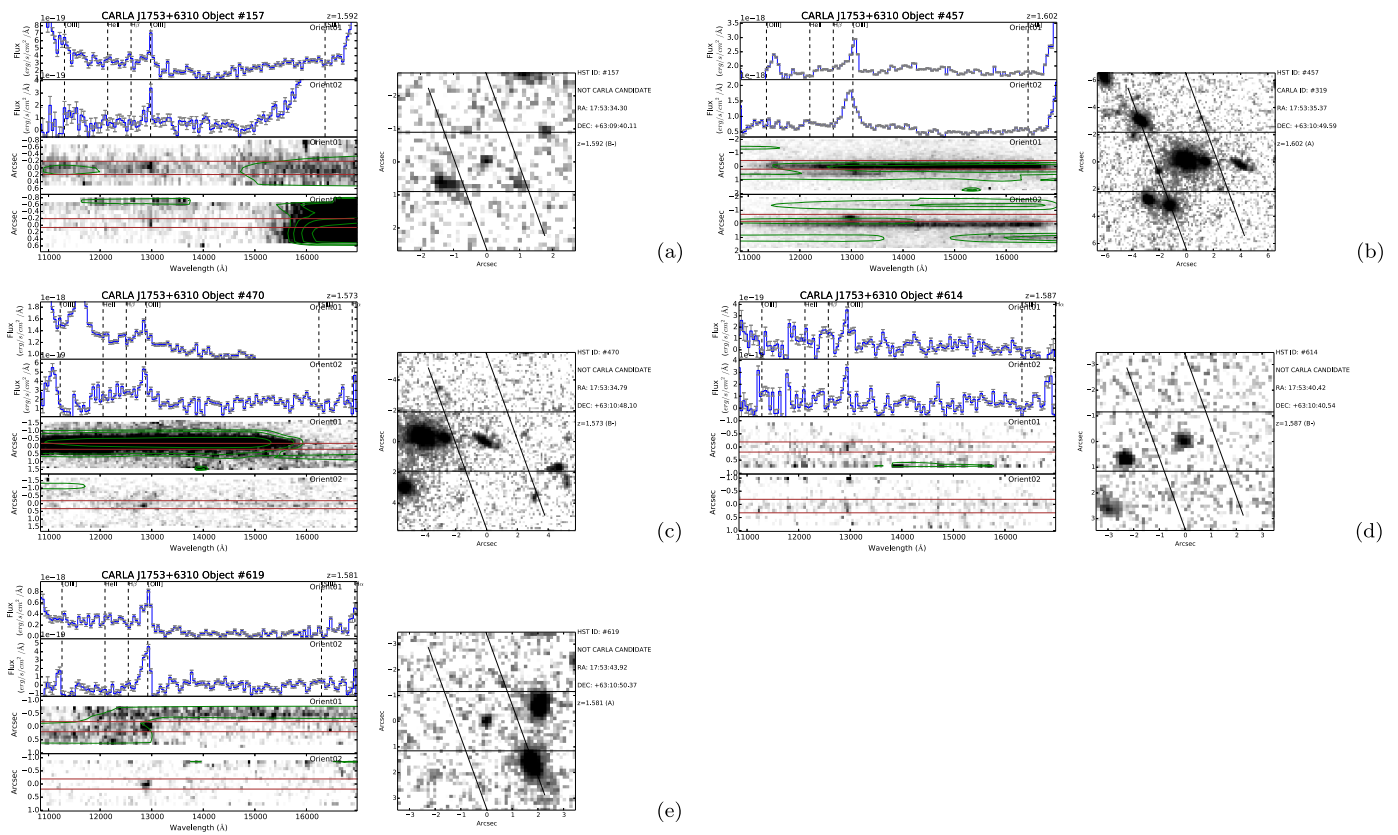


Figure 22. CARLA J1753+6310 member spectra.

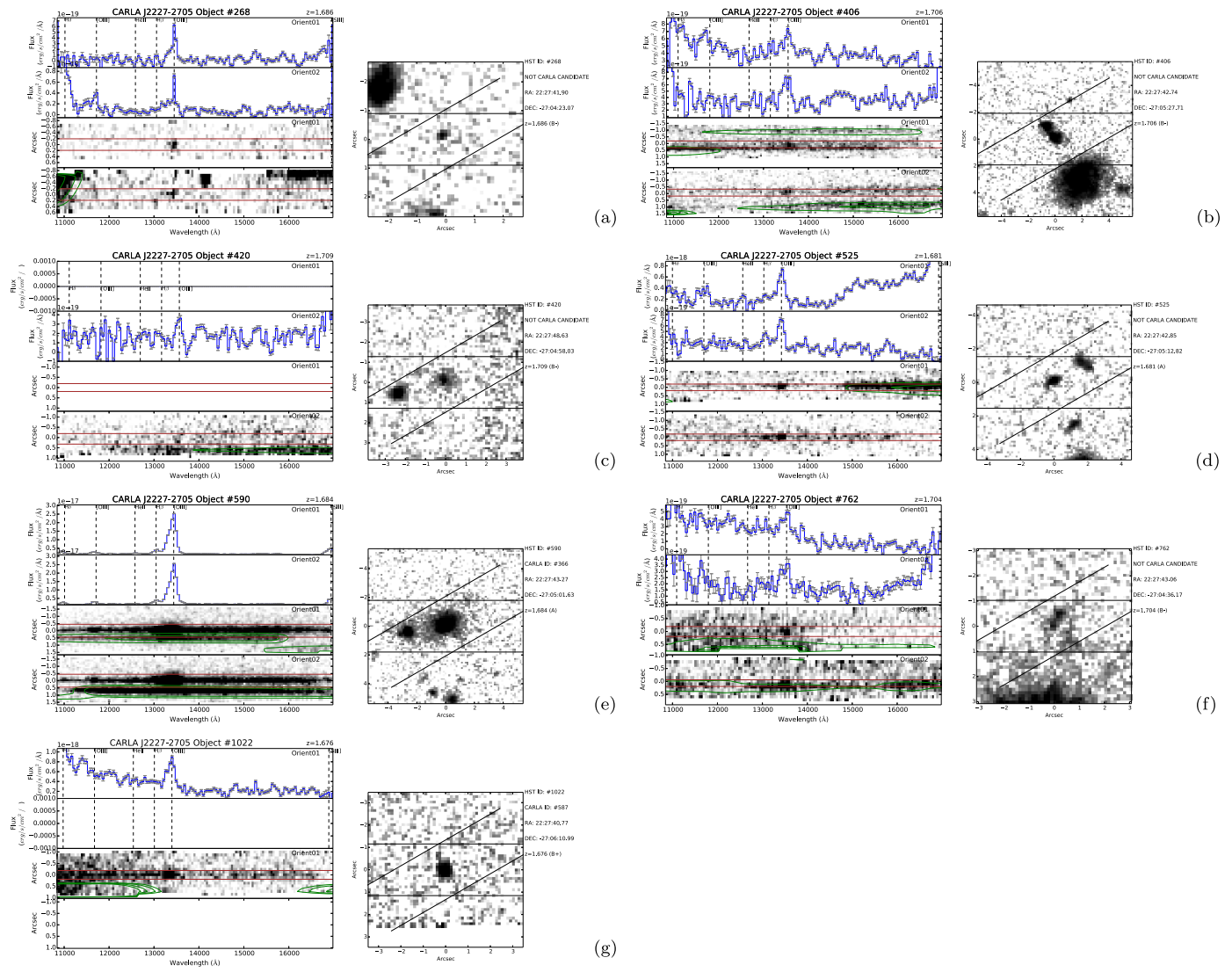


Figure 23. CARLA J2227–2705 member spectra.

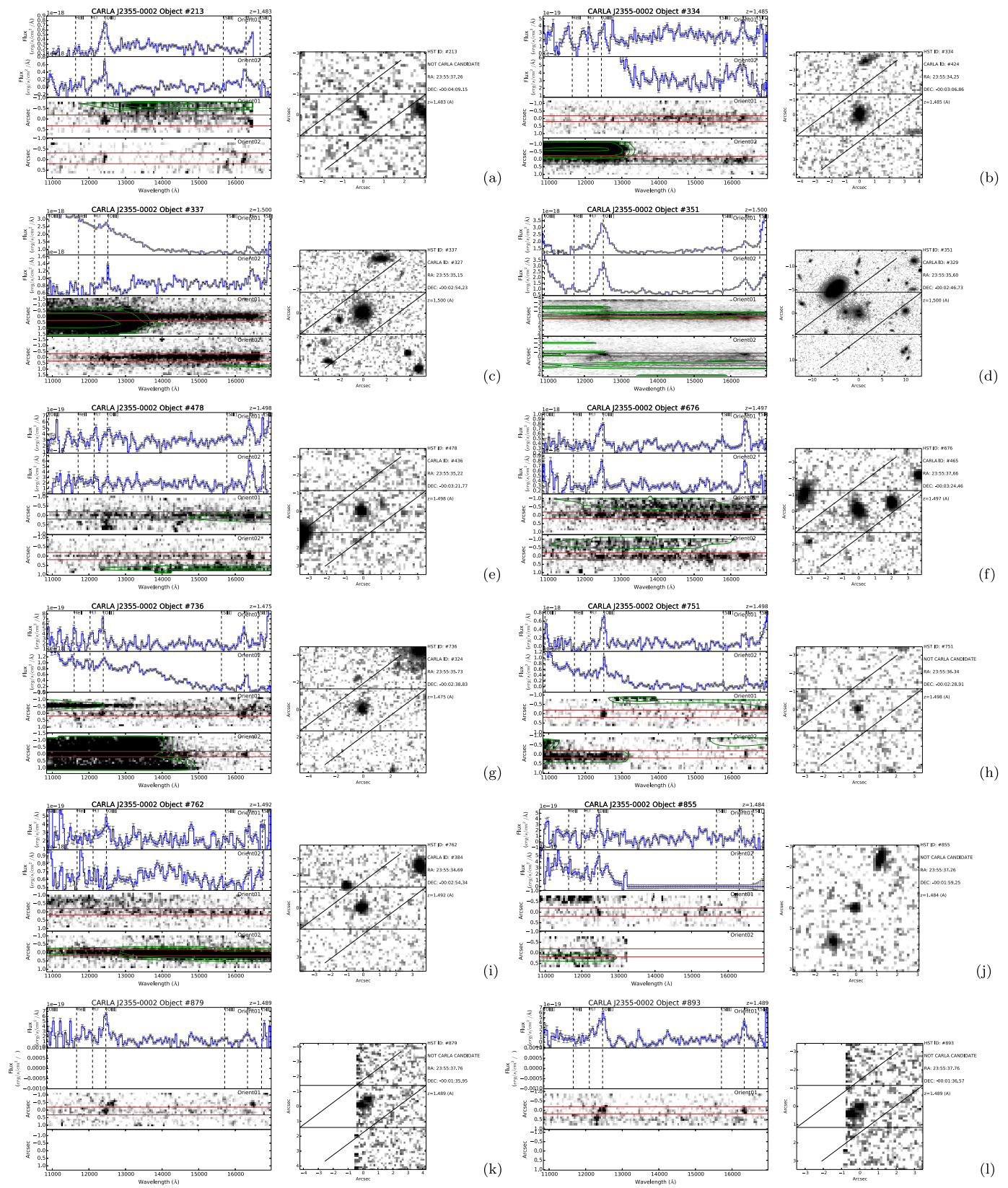











Figure 24. CARLA J2355-0002 member spectra.

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