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Novel Three-Dimensional Interphase Characterisation of Polymer Nanocomposites Using Nanoscaled Topography

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1 2 3 4 1 **Novel Three-Dimensional Interphase Characterisation of Polymer** 5 6 2 **Nanocomposites Using Nanoscaled Topography** 7

8
9 3 Mohanad Mousa and Yu Dong^{*},

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11
12 4 *School of Civil and Mechanical Engineering, Curtin University, GPO Box U1987, Perth, WA*
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14 5 *6845, Australia*

17 6 **Abstract**

18
19 7 Mechanical properties of polymer nanocomposites depend primarily on nanointerphases as
20
21 8 transitional zones between nanoparticles and surrounding matrices. Due to the difficulty in
22
23 9 the quantitative characterisation of nanointerphases, previous literatures generally deemed
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25 10 such interphases as one-dimensional uniform zones around nanoparticles by assumption for
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27 11 analytical or theoretical modelling. We hereby have demonstrated for the first time direct
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29 12 three-dimensional topography and physical measurement of nanophase mechanical properties
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31 13 between nanodimeter bamboo charcoals (NBCs) and poly (vinyl alcohol) (PVA) in polymer
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33 14 nanocomposites. Topographical features, nanomechanical properties and dimensions of
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35 15 nanointerphases were systematically determined via peak force quantitative nanomechanical
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37 16 tapping mode (PFQNM). Significantly different mechanical properties of nanointerphases
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39 17 were revealed as opposed to those of individual NBCs and PVA matrices. Non-uniform
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41 18 irregular three-dimensional structures and shapes of nanointerphases are manifested around
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43 19 individual NBCs, which can be greatly influenced by nanoparticle size and roughness, and
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45 20 nanoparticle dispersion and distribution. Elastic moduli of nanointerphases were
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47 21 experimentally determined in range from 25.32 ± 3.4 to 66.3 ± 3.2 GPa. Additionally, it is
48
49 22 clearly shown that the interphase modulus strongly depends on interphase surface area
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51 23 $SA_{Interphase}$ and interphase volume $V_{Interphase}$. Different NBC distribution patterns from fully to

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* Corresponding author. Tel.: +61 8 9266 9055; fax: +61 8 9266 2681.
60 *E-mail address:* Y.Dong@curtin.edu.au (Y. Dong).

1 partially embedded nanoparticles are proven to yield a remarkable reduction in elastic moduli
2 of nanointerphases.

3 **Keywords:** *polymer nanocomposites; polyvinyl alcohol (PVA); nanodiameter bamboo*
4 *charcoals (NBCs); interphases; nanomechanical properties*

5 1. Introduction

6 In a nanocomposite system, mechanical reinforcement is based on a fundamental concept that
7 the chain mobility of soft matrices is constrained by much stiffer nanoparticles [1]. As a
8 consequence, an effective load transfer occurs from matrices to fillers such as nanoparticles
9 to carry a disproportionately high fraction of applied loads, thus leading to an increase in load
10 resistance [1]. This phenomenon is well known to be associated with the level of interfacial
11 bonding between nanoparticles and polymer matrices in terms of interphase existence,
12 dimensions, structures and compositions [2]. Hence, crack initiation or propagation may take
13 place in a nanocomposite system, resulting from the lack of effective load transfer owing to
14 the weak interfacial bonding.

15 Interfaces are described as a material boundary between two or more phases with distinct
16 chemical/physical properties and morphological structures. Furthermore, a material volume
17 influenced by the interfacial interaction can be named 'interphase' [3, 4]. Interphase regions
18 start from the interfacial boundary of nanofillers with different properties from those of bulk
19 nanofillers and end where they are in connection with polymer matrices whose properties
20 also vary from bulk matrices [4-6]. The material performance of nanocomposites is primarily
21 impacted by the interphase regions where structural and chemical changes such as
22 crosslinking density and crystalline phases result in a major alteration to composite bulk
23 properties [3]. It is also worth mentioning that the alteration in the mobility of polymeric
24 chains plays an important role in mechanical and dielectric properties of nanocomposites [7].

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3 1 Due to large interfacial area to volume ratios in nanocomposites, interfacial regions consist of
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5 2 a significant portion of bulk nanocomposites. For instance, with the addition of 5 vol%
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7 3 monodispersed spherical nanoparticles (particle diameter of 10 nm and interphase thickness
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9 4 of 0.5 nm), the volume fraction of interphases can be as high as 25 vol% [7]. More
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11 5 impressively, when particle diameter is reduced to less than 5 nm, the volume fraction of
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13 6 interphases is increased by over 50 vol% as compared to that of particles [7]. The
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15 7 characterisation of existing interphases and their associated properties is often difficult to
16
17 8 undertake as interphases for nanocomposites are generally on a nanoscaled level, and thus
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19 9 researchers have had to make most experimental efforts in an uncrosslinked state as the
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21 10 indirect evidence [8-10]. For example, chain mobility near interphase regions can be less than
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23 11 those of polymer matrices in a nanocomposite system [9, 10]. As a result, Litvinov and
24
25 12 Steeman [11] employed proton, low resolution T_2 nuclear magnetic resonance (NMR)
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27 13 relaxation technique to detect existing interphases between ethylene propylene diene
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29 14 monomers (EPDMs) and carbon blacks. It is indicative of a significant difference in the chain
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31 15 mobility of EPDMs near carbon black surfaces at which the generated layer sizes of
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33 16 immobilised EPDMs were estimated in range of 1-2 for the unit diameter of monomers [11].
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35 17 Pompe and Mäder [12] identified interphases according to differential scanning calorimetry
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37 18 (DSC) in polypropylene/glass fibre composites, which, however, was limited to
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39 19 semicrystalline polymers as matrices in composite materials with only high glass fibre
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41 20 contents. Brown *et al.* [13] studied a relationship between nanoparticle diameter and
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43 21 interphase thickness based on molecular dynamic (MD) simulations, which implied that the
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45 22 interphase thickness appeared to be relatively insensitive to nanoparticle diameters and
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47 23 contents. Li *et al.* [14] reported that the volume fraction of interphases could be size-
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49 24 independent by using a modified hierarchical multi-interphase model (MHMM). However,
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51 25 the interphase thickness might be influenced by the reinforcing efficiency of nanoparticles
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1 when their lengths were over 40 nm. On the contrary, Gu *et al.* [15] and other co-workers
2 [16, 17] inferred that the interphase thickness could be non-constant in nanocomposites
3 systems. So far, nanointerphase properties and features have not been explicitly quantified in
4 a systematic manner by means of direct topography of nanomechanical characterisation. As a
5 matter of fact, nanomechanical techniques using tip-sample interactions such as atomic force
6 microscopy (AFM) [18, 19], nanoindentation and nanoscratch tests [20-22] are vital as
7 effective and relatively straightforward approaches to determine nanomechanical properties
8 of interphases. In addition, interphase dimensions and sizes can be clearly specified due to
9 their distinct mechanical properties from those of bulk materials. The interphase width of
10 glass fibres coated with coupling agents was previously revealed to be around several
11 microns [8, 20, 23]. Nonetheless, a quantitative analysis of interphase dimensions associated
12 with nanomechanical properties still undergoes limited lateral resolutions and positioning
13 capability of indenter probe used in nanoindentation, and nanoscratch techniques. In
14 particular, interphase regions of thermosetting polymer/glass fibre composites are much
15 thinner than those of individual fibre and matrix components [22, 24, 25]. Plastic deformation
16 usually takes place under a high fraction of applied load, thus resulting in an increase in the
17 minimum allowable distance between two indentation spots, as well as the reduction of
18 lateral resolutions of such tests [8, 24, 25]. The peak force quantitative nanomechanical
19 mapping (PFQNM) becomes a relatively new and powerful technique to quantitatively
20 measure nanomechanical properties of materials such as stiffness and adhesion of
21 nanocomposites along with corresponding acquired dimensions [26-30]. The use of PFQNM
22 greatly supports the measurement of material elastic properties based on tip-sample force
23 curves and acquisition of topographic images simultaneously. Moreover, other critical
24 properties, consisting of tip-surface adhesion and surface deformation, can also be obtained
25 by avoiding the difficulty associated with lateral forces. Such a technique is believed not only

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3 1 to sophisticatedly distinguish between nanofillers, nanointerphases and polymer matrices, but
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5 2 also to accurately quantify dimensions and nanomechanical properties of interphases.
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8 3 The increasing interest in bionanocomposites becomes more pronounced after great
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10 4 concerns of environmental issues are raised associated with the applications and manufacture
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12 5 of conventionally synthetic nanocomposites [1, 31]. In particular, PVA is a typical water-
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14 6 soluble biopolymer with excellent flexibility [32], biocompatibility and biodegradability [32,
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16 7 33] as well as recyclability and biotribological properties [34]. On the other hand, NBCs are
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18 8 effective carbon-based nanofillers due to their extraordinary material features such as high
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20 9 surface areas and high absorption ability (i.e. about 8-fold that of normal charcoals),
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22 10 ecofriendliness when compared with carbon nanotubes (CNTs) [1], and better particle
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24 11 dispersibility as opposed to that of graphene nanosheets [35].
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29 12 Nanomechanical properties of PVA and PVA nanocomposites are completely different
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31 13 from those of their bulk material counterparts. It is worth noting that average elastic modulus
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33 14 of bulk PVA films is approximately 2.08 GPa at a macroscopic level [36], which is far
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35 15 smaller in magnitude when compared with that of local nanophases for PVA blended with 10
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37 16 wt% poly(acrylic acid) (PAA) at 9.9 GPa [37]. Moreover, in case of PVA-PAA based
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39 17 nanocomposites reinforced with cellulose 10 wt% nanocrystals (CNCs) [37], their average
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41 18 elastic modulus in the interphase was found to vary from 12.8 GPa at the CNC interface to
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43 19 9.9 GPa in PVA-PPA matrices. On the contrary, PVA nanocomposites reinforced with 10
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45 20 wt% CNCs demonstrated the highest elastic modulus of only 1.9 GPa in their bulk properties
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47 21 [38]. This modulus variation phenomenon between nanomechanical properties and bulk
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49 22 properties is not limited to PVA alone, but can be applied to different polymers or
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51 23 composites. For instance, the storage modulus of epoxy was measured to be 17 GPa via
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53 24 nanomechanical measurement as opposed to 3-4 GPa for their bulk composites obtained by
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55 25 tensile tests [15]. Moreover, elastic modulus of bulk poly (ether sulfone) (PESU) membrane
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1 substrates was detected to be only 151.7 ± 7.9 MPa [39] when compared to 3.2 ± 0.3 GPa for
2 PESU films at a nanoscaled level [40].

3 As mentioned earlier, the major drawback in previous literatures depended on the
4 assumption of the interphase as homogeneous layers formed around nanoparticles with
5 uniform thickness. The utilisation of such a simplified concept in theoretical modeling
6 according to Mori-Tanaka model [41] and Maxwell model [42] has led to little success in
7 order to predict mechanical properties of nanocomposites. In this study, 3D
8 nanointerphase topography and characterisation have been demonstrated for the first
9 time when embedded with anisotropic NBCs in PVA/NBC nanocomposites. The actual
10 measurement of nanointerphase dimensions and properties is pivotal to evaluate the
11 interaction level between NBCs and PVA matrices in such nanocomposites. Relevant results
12 are particularly important for better estimation of nanocomposite properties based on real
13 nanointerphase behavior in terms of volume fraction and surface area [43].

14 **2. Materials and methods**

15 **2.1. Materials and film fabrication**

16 PVA biopolymer (material type: MFCD00081922) with average molecular weight
17 $M_w=89000-98000$ g/mol and a degree of hydrolysis over 99% was purchased from Sigma
18 Aldrich Pty. Ltd, Australia. Highly bioactive NBC particles (particle diameter: <100 nm) in
19 disk-like shape was supplied by US Research Nanomaterials, Inc. Co., USA with the surface
20 area of $624.81 \text{ m}^2/\text{g}^{-1}$ and pore area of $415 \text{ m}^2/\text{g}^{-1}$ [36].

21 PVA/NBC nanocomposite films were fabricated by simple solution casting. Initially, 5
22 wt%/v PVA aqueous solution was prepared by mixing 10 g PVA with 190 ml deionised
23 water using a vigorous magnetic stirrer at 400 rpm and 90°C for 3 h until PVA was
24 completely dissolved to prepare the stock solution. Aqueous suspensions of NBCs were
25 obtained using mechanical mixing in deionised water with a rotor speed of 405 rpm at 40°C

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3 1 for 2 h. Afterwards such a mixture underwent the ultrasonication (Model ELMA Ti-H-5) at
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5 2 25 kHz and 40°C with a power intensity of 70% for 1 h in order to break up aggregated
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7 3 particles and evenly disperse NBCs in deionised water. NBC loadings of 0, 3, 5 and 10 wt%
8
9 4 were then achieved by adding appropriate amounts of PVA. NBC aqueous suspensions were
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11 5 gradually added in a dropwise manner into PVA solutions, simultaneously subjected to
12
13 6 mechanical mixing at 405 rpm and 40°C for 2 h. Then their mixtures were stirred at 400 rpm
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15 7 and 90°C for 1 h prior to subsequent sonication for 30 min to achieve uniform NBC
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17 8 dispersion. Finally prepared 20 ml solution was cast on a glass petri dish, and was solidified
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19 9 and dried in an air-circulating oven at 40°C for 48 h. Finally, prepared films were peeled off
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21 10 and transferred to a silica gel-contained desiccator for material storage before any further
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23 11 testing and analysis.

24 12 **2.2. Characterisation method**

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31 13 Nanomechanical properties of PVA/NBC nanocomposites were quantitatively assessed via
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33 14 atomic force microscopy (AFM) in a peak force quantitative nanomechanical tapping mode
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35 15 (PFQNM) for this study [44,45]. This new technique enables to measure nanomechanical
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37 16 properties such as elastic modulus and adhesion force of nanocomposite films (see supporting
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39 17 information for more details) [45-48].

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43 18 In this study, a Bruker Dimension Fastscan AFM system was employed to measure peak
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45 19 force quantitative nanomechanical properties and acquire single force-distance curve under
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47 20 the ambient condition. Moreover, RTESPA 525A probes, with a nominal spring constant of
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49 21 200 N/m, a nominal tip radius of 8 nm and a nominal resonant frequency of 525 kHz, were
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51 22 utilised for the direct measurement of corresponding nanomechanical properties. Before each
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53 23 measurement, it was confirmed that the deflection sensitivity was calibrated by obtaining a
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55 24 force curve based on the stiff sapphire-12 surface. Later on, a thermal tuning method [44]
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57 25 was employed to determine the spring constant (See supporting information for more details)
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[49-51]. AFM imaging analysis was undertaken with the TESPA probe at the nominal spring constant of 40 N/m and tip radius of 8 nm. The image scan rate was kept at 2 Hz with 256 × 256 digital pixel resolution. AFM topographic images were first-order flattened via Flatten command in Burker Nanoscope 1.5 software, which was used to remove unwanted features resulting from the vertical (Z) scanner such as noise, bow and tilt.

2.3. Modeling approach

2.3.1 Interphase modulus

The average elastic properties of interphases between PVA matrices and dispersed anisotropic NBCs in various shapes and sizes were determined according to a data set of elastic moduli collected based on PVA-NBC interphases surrounding 75 different NBCs at 25 line scan regions (LSRs).

1.2.2 Interphase dimension

Interphase dimensions in terms of interphase width $W_{\text{Interphase}}$, interphase length $L_{\text{Interphase}}$ and interphase height $H_{\text{Interphase}}$ by scanning individual PVA/NBC phases using PFQNM with typical features to distinguish interphases between nanoparticles and polymer matrices based on the great variation of their nanomechanical properties. For instance, $W_{i\text{Interphase}}$ was detected by scanning along the i th transverse plane for PVA/NBC phases. The same procedure was reapplied to determine $L_{j\text{interphase}}$ and $H_{k\text{interphase}}$ for the phase scanning among the j th longitudinal plane and k th height plane, respectively (Refer to supporting information for more details).

In this model, it has been assumed that uniform NBC dispersion takes place with two typical categories of complete embedded and partially embedded NBCs within PVA matrices, as illustrated in Figures 1(a) and (b), respectively. It is clearly demonstrated that the interphase is surrounding between inner interface area and outer surface area bound by NBCs and PVA matrices, respectively. By rearranging analytical equations according to Behmer and Hawkins

[52] used for calculating the surface area of anisotropic shape, we have derived the following equations (1)-(4) for determining both outer surface area ($SA_{outer\ Interface}$) and inner surface area ($SA_{inner\ Interface}$) of a wide range of fully and partially embedded particles. It is noted that subscripts of 'f' and 'p' mean fully and partially embedded NBCs.

$$\begin{aligned} & (SA_{outer\ Interface})_f \\ & = a_1 + b_1 L_{Interphase}^2 + c_1 W_{Interphase}^2 + d_1 H_{Interphase}^2 \end{aligned} \quad (1)$$

$$\begin{aligned} & (SA_{outer\ Interface})_p \\ & = a_1 + b_1 L'_{Interphase-effective}{}^2 + c_1 W'_{Interphase-effective}{}^2 + d_1 H'_{Interphase-effective}{}^2 \end{aligned} \quad (2)$$

$$(SA_{inner\ Interface})_f = a_1 + b_1 L_{NBC}^2 + c_1 W_{NBC}^2 + d_1 NBC \quad (3)$$

$$\begin{aligned} & (SA_{inner\ Interface})_p \\ & = a_1 + b_1 L'_{NBC-effective} + c_1 W'_{NBC-effective} + d_1 H'_{NBC-effective} \end{aligned} \quad (4)$$

where $L'_{Interphase-effective}$, $W'_{Interphase-effective}$ and $H'_{Interphase-effective}$ represent the maximum length, width and thickness for effective interphases. $L'_{NBC-effective}$, $W'_{NBC-effective}$ and $H'_{NBC-effective}$ represent the maximum length, width and thickness for effective NBCs. the constants of a_1 , b_1 , c_1 and d_1 were determined to be 0.7439, 0.3627, 0.7006, and 0.9979, respectively, by fitting formula in equation (1) with the measurement of surface area ($SA'_{outer\ Interface}$)_f [53, 54] obtained from the AFM (See more details in supporting information).

After acquiring surface area data, the result were employed in detecting interphase/NBC volume $V_{NBC/Interphase}$ and NBC volume V_{NBC} with respect to fully and partially embedded NBCs with modified equations being derived from Behmer and Hawkins [52] as below:

$$1 \quad (SA_{Outer Interface})_f = e_1 V_{NBC/Interphase}^{f_1} \quad (5)$$

$$2 \quad (SA_{Outer Interface})_p = e_1 V_{NBC/Interphase}^{f_1} \text{ effective} \quad (6)$$

$$3 \quad (SA_{inner Interface})_f = e_1 V_{NBC}^{f_1} \quad (7)$$

$$4 \quad (SA_{inner Interface})_p = e_1 V_{NBC}^{f_1} \text{ effective} \quad (8)$$

5 The constants of e_1 , f_1 were estimated to be 0.3824 and 0.3825, respectively by fitting
6 equation (6) with the value of $V_{NBC/Interphase}$ obtained from the AFM measurement (See
7 supporting information for more details).

8 Final step is to determine interphase volume $V_{Interphase}$ for fully or partially embedded NBCs
9 in PVA/NBC nanocomposites illustrated in Figures 1(a) and (b) as follows:

$$10 \quad (V_{Interphase})_f = V_{NBC/Interphase} - V_{NBC} \quad (9)$$

$$12 \quad (V_{Interphase})_p = V_{NBC/Interphase} \text{ effective} - V_{NBC} \text{ effective} \quad (10)$$

14 3. Results

15 3.1. Modulus-gradient effect

16 As illustrated in Figure 2(a), strong interactions between NBCs and PVA matrices appear to
17 be not only on NBC surfaces like other nanoparticles such as CNTs, nanosilicas, etc, but also
18 into entire NBC particles through the penetration of PVA molecules. Figures 2(b) and (c)
19 demonstrate a typical topographical image of PVA/NBC nanocomposite sample and the
20 variation of elastic moduli from PVA matrices, interphases to NBCs, respectively. According
21 to a cut line A_1B_1 shown in Figure 2(b), elastic modulus has significantly increased from the
22 lowest modulus level of 14.8 GPa with respect to PVA matrices to the highest of 72.86 GPa
23 for NBCs. In particular, the interphase exhibits a nearly linearly increasing relationship of its

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3 1 elastic modulus with scan distance except interphase boundary regions around PVA matrices
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5 2 and NBCs alone, which is in range from 17.1 GPa near PVA region to 64.9 GPa around
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7 3 NBCs with the measured interlayer thickness of 31.8 nm. Average interphase modulus was
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9 4 obtained from the solid black curve by discrete data averaging in Figure 2(d), which also
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11 5 applied to average elastic moduli of NBCs and PVA matrices identified to be 78.4 ± 4.9 and
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13 6 24.25 ± 4.2 GPa, respectively.
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16 17 7 **3.2. 3D interphase dimensions and modulus**

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19 8 Elastic properties of interphases generally vary when surrounded by individual particles in
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21 9 different sizes and shapes, thus leading to the change of interphase dimensions. Interphase
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23 10 dimensions can be determined according to AFM height and adhesion images of PVA/NBC
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25 11 composite samples, depicted in Figures 3(a) and (b), as well as 4(c) and (d), respectively. As
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27 12 evidently seen in Figures 3(a) and (b), the height of NBCs is much greater than that of PVA
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29 13 with a linearly increasing tendency from interphase regions near PVA matrices to those close
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31 14 to NBCs. NBCs can be easily distinguished from PVA matrices owing to their different
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33 15 adhesion properties. It is clearly shown that the tip adhesion to PVA matrices of
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35 16 nanocomposite sample is 10.76 ± 3.42 nN, which is much greater than 2.1 ± 0.87 nN for
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37 17 NBCs. Accordingly, interphase thickness is represented by the scan distances of 16 and 13
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39 18 nm with a sharp decreasing adhesion gradient from PVA matrices to NBCs on both sides of
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41 19 selected material regions, as illustrated in Figure 3(d).
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47 20 Interphase width $W_{\text{Interphase}}$, interphase length $L_{\text{Interphase}}$ and interphase height $H_{\text{Interphase}}$ was
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49 21 measured among individual NBCs with associated results being presented in Figure 4(a). It
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51 22 is evidently shown that the $L_{\text{Interphase}}$, $W_{\text{Interphase}}$ and $H_{\text{Interphase}}$ were measure to be 97, 40 and 8
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53 23 nm, respectively. As seen from Figures 4(b)-(e), despite the chaotic trend for interphase
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55 24 thickness ($t_{\text{Interphase}}$), $H_{\text{Interphase}}$ tends to decrease in a linear manner with an increase in H_{NBC}
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1 while $L_{\text{Interphase}}$ and $W_{\text{Interphase}}$ linearly increase with an increase in L_{NBC} and W_{NBC} ,
2 respectively.

3 A proposed mechanism model in terms of the effects of NBC dispersion and particle-
4 matrix interaction is demonstrated in Figures 5(a)-(e). It is identified in this study that there
5 are two major NBC dispersion statuses within PVA matrices, namely uniform NBC
6 dispersion and highly aggregated NBC dispersion in PVA/NBC nanocomposites. In the case
7 of uniform NBC dispersion, two typical categories for the particle-matrix interaction can be
8 addressed including completely embedded and partially embedded NBCs within PVA
9 matrices, as shown in Figures 1(a) and (b), respectively. The former yields more effective
10 interphases surrounding each side of NBCs (i.e. full coverage of NBCs by the interphases),
11 which is considered as a good representation for effective load transfer from matrices to
12 nanoparticles. The latter offers less pronounced interphase effectiveness than the former since
13 some remarkable portions of NBCs are free of contact with PVA matrices, leading to the
14 reduction of more effective interfacial regions. Interphase volume $V_{\text{Interphase}}$ is regarded as an
15 essential characteristic parameter to evaluate the effects of fully or partially embedded NBCs
16 in PVA/NBC nanocomposites, which can be specified as $(V_{\text{Interphase}})_f$ and $(V_{\text{Interphase}})_p$,
17 respectively.

18 Figures 5 (c) displays the interphase modulus ($E_{\text{Interphase}}$) as a function of $SA_{\text{outer interface}}$
19 and $SA_{\text{inner interface}}$ in the case of fully and partially embedded NBCs within PVA matrices in
20 PVA/NBC nanocomposites, respectively. It is clearly revealed that with increasing $SA_{\text{outer interface}}$
21 and $SA_{\text{inner interface}}$, $E_{\text{Interphase}}$ is improved remarkably in a non-linear manner accordingly.
22 In particular, fully embedded NBCs yield consistently higher interphase modulus as opposed
23 to partially embedded NBCs when either inner or outer interface is considered.

1 3.3 Particle debonding

2 When NBC loading increased up to 5 wt%, the interparticle spacing became smaller and
3 NBCs tended to be jointly connected with neighbouring particles side by side, as presented in
4 Figure 6(a), in which some sides of each particle became contact-free with PVA matrices.
5 Furthermore, a joint particle-to-particle formation may induce the phase separation leading
6 to the scattering of load transfer from PVA matrices to NBCs, as evidenced by the particle
7 overlap of NBCs in Figure 6(b).

8 At a high nanoparticle loading of 10 wt%, NBC aggregation appeared to be manifested
9 with a sign of large stacked-up NBC aggregates, depicted in Figure 6(c). As such, the
10 particle-to-particle separation occurred more evidently with resulting weak interfacial
11 bonding. Figure 6(d) reveals the modulus variation mapping on the surface cross-section of a
12 line scan (i.e. the line between two ovals from A₄ to B₄) shown in Figure 6(c), which
13 highlights two narrow zones with a sharp decline in elastic modulus.

14 4. Discussion

15 The interphase could be considered as transitional zones with a typical modulus gradient
16 from polymer matrices to reinforcements in order to achieve effective load transfer for the
17 improvement of composite mechanical properties. Our result reveals the existence of
18 interphase with excellent elastic properties in range from 25.32 ± 3.4 to 66.3 ± 3.2 GPa . Owing
19 to highly porous structures of NBCs, it makes them quite different from other carbon based
20 nanofillers, thus facilitating the generation of highly positive capillary pressure to drive PVA
21 molecular chains into NBC pores, and further forming typical chemical bonding in
22 nanocomposites [55, 56]. These NBC pores also possess ‘mechanical anchoring’ mechanism
23 [6] when interacting with PVA molecular chains, which means that a mechanical interlocking
24 phenomenon takes place due to the existence of PVA molecular chains inside BC surfaces

[6]. Moreover, gradient mechanical properties at the interphase zone from NBCs to PVA matrices could be associated with the number of hydrogen bonding from NBC surfaces to polymer matrices, which plays an important role in controlling mechanical properties of polymer nanocomposites [57, 58]. Nanoelastic behaviour of PVA demonstrates an elastic modulus of 24.25 ± 4.2 GPa in this study, which is close to the value of 23.69 GPa previously reported via the nanoindentation for PVA/chitosan (CS) copolymer coating [59]. However, average elastic modulus of bulk PVA films was approximately 2.08 GPa [36] at a macroscopic level, which appeared to be much smaller in contrast with those of individual amorphous and crystalline regions, obtained via PFQNM for PVA/NBC nanocomposites in this study. Such a great difference in measurements can be ascribed to the following reasons:

(i) Though instrumental parameters have been calibrated prior to AFM measurements, the shape function of the topmost probe tip may not be accurate enough for the low penetration depth [24,25]. In this study, the low penetration force has been used, resulting in a low penetration depth in order to minimise the effects of residual stresses as well as plastic deformation from the neighbouring indent, with the provision of the high lateral resolution capability particularly for nanointerphases. (ii) Nanosurface properties often vary from those bulk properties because of the discrepancy in morphological structures between outer skins on material surfaces and bulk films as well as the differences in nanomechanical behaviour and bulk properties [24]. (iii) Despite the data reliability and repeatability of DMT modulus, its determination using the PFQNM is apparently distinct from tensile modulus for bulk properties via conventional tensile testing owing to the use of different measurement mechanisms [60].

The interphase identification between PVA and NBCs are not limited to the variation of nanoelastic behaviour, but could be distinguished based on the variation of height profiles and adhesion properties, as shown in Figures 3(a)-(d). The adhesion of PVA matrices was

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3 1 found to be 10.76 ± 3.42 nN, which appeared to be much greater than that of NBCs at
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5 2 2.1 ± 0.87 nN. Such a finding was attributed to the hydrophilic nature of PVA with higher
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7 3 adhesion force when compared with hydrophobic NBCs. Furthermore, interphase thickness
8
9 4 was measured to be 13 nm, as depicted in Figure 3(d), which was in good accordance with
10
11 5 the values of 13 and 12.5 nm, previously determined for epoxy/graphene nanoplatelet (GNP)
12
13 6 composites and epoxy/graphene oxide (GO) nanocomposites, respectively [61].
14
15 7 Consequently, it is proven from our results that interphase thickness ($t_{Interphase}$) is a non-
16
17 8 uniform and non-constant quantity as far as interphase dimensions are concerned. The
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19 9 uniformity of interphase thickness ($t_{Interphase}$) is most likely to be associated with the number
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21 10 of chemical hydrogen bonds and physical roughness of NBC surfaces [4, 39, 62].
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26 11 The major drawback in previous work lies in a simple assumption of one-dimensional
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28 12 interphase layers with constant interphase thickness. However, our study demonstrated that
29
30 13 actual nanointerphases should be formed from a three-dimensional point of view to identify
31
32 14 the non-uniformity and dimensional variations of interphases in terms of $t_{Interphase}$. Here we
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34 15 successfully measured interphase dimensions, as shown in Figure 4(a). Moreover, It is clearly
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36 16 seen that there is no direct relation between $t_{interphase}$ and t_{NBC} , exhibited in Figure 4(b),
37
38 17 suggesting that interphase thickness may be independent of particle thickness.
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42 18 Moreover, Figures 4 (c)-(e) indicate that interphase height tends to decrease in a linear
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44 19 manner with an increase in NBC height while interphase length and interphase width linearly
45
46 20 increase with increasing NBC length and width, respectively. This phenomenon is ascribed to
47
48 21 more contact surface areas taking place between polymer matrices and nanoparticles in a
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50 22 nanocomposite system [1]. Elastic properties of interphases are strongly affected by
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52 23 interphase dimensions since interphase modulus tends to become higher with increasing outer
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54 24 and inner interface surface area, as illustrated in Figures 5 (c). In particular, as for fully
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56 25 embedded NBCs, a higher modulus increasing trend was evident as opposed to that based on
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1 partially embedded NBCs. This finding suggests that interphases can play a more important
2 role in enhancing mechanical properties of PVA/NBC nanocomposites with better bonded
3 matrix-filler interactions. Moreover, it has also been detected that the inner interface area
4 gives rise to relatively high overall interphase modulus in contrast with outer interface area.
5 As mentioned earlier by Liu *et al.* [61], the interphase zone with modulus gradient effect can
6 be divided into two different regions, namely Region 1 and Region 2 for a typical case in
7 Figure 5(d), in which Region 1 is in contact with NBC zones with relatively high interphase
8 density when compared with Region 2. Additionally, Fan *et al.*[63] reported that increasing
9 the interphase density inevitably led to the modulus increase for the same type of materials in
10 good accordance with high interphase modulus results obtained in case of inner interface
11 area. Apparently, the right boundary of Region 1 where inner interface is located is adjacent
12 to NBC zones with higher density and elastic modulus, as evidenced in Figure 5(d). The
13 relationship between interphase modulus and interphase volume is demonstrated in Figure
14 5(e) on the basis of fully and partially embedded NBCs in PVA/NBC nanocomposites. It is
15 clearly seen that the significant enhancement of interphase modulus with increasing
16 interphase volume, which is similar to the case by increasing $SA_{Interphase}$. The same applies to
17 fully embedded NBCs, resulting in much greater interphase modulus than partially embedded
18 counterparts in terms of interphase volume in PVA/NBC nanocomposites.

19 In addition to particle dimensions, particle dispersion and distribution patterns in polymer
20 matrices are the other key factors in controlling interfacial bonding between NBCs and PVA
21 matrices in PVA/NBC nanocomposites. When excessive amounts of NBCs are dispersed
22 within PVA matrices, NBCs tend to agglomerate owing to their weak Van der Waals
23 interactions. Additionally, increasing the particle loading inevitably decreases the
24 interparticle spacing, and thus hinders good particle dispersion [3]. Blighe *et al.*[64] reported
25 that the interparticle spacing was 6 nm in PVA nanocomposites reinforced with 10 vol%

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3 1 single-walled carbon nanotubes (SWCNTs) with a clear sign of particle aggregation. As
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5 2 such, the slippage phenomenon of stacked NBCs under mechanical loading gives rise to less
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7 3 effective enhancement level for mechanical properties of nanocomposites. Moreover, Particle
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9 4 agglomeration becomes manifested at high NBC contents depicted in Figures 6 (a) - (d). Such
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11 5 phenomena can be explained by Li *et al.* [4] that in a nanocomposite system nanoparticles
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13 6 are brushed with modified layers of polymer matrices especially at a high particle volume
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15 7 fraction. Additionally, 'modified polymer shells' surrounding different particles are
16
17 8 overlapped to form continuous phases, which means that such modified polymer shells
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19 9 become the interphases with separated regions from 'parents' matrices', resulting in less
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21 10 desirable properties. Furthermore, some NBCs are unable to actively interact with PVA
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23 11 molecular chains leading to weaker interfacial bonding and higher possibility of phase
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25 12 separation between NBCs and PVA matrices. This finding is indicative of the existence of
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27 13 particle debonding effect between nanofillers and polymer matrices [15]. It is also worth
28
29 14 mentioning that lower interphase modulus can be associated with higher densities of
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31 15 nanoparticles and polymer matrices as compared to that of interphase zones [52]. The
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33 16 aforementioned NBC dispersion pattern in PVA/NBC nanocomposites suggests that there is a
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35 17 percolation threshold for the NBC loading of 5 wt%, beyond which nanomechanical
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37 18 properties of interphases may diminish in good accordance with PVA/graphene oxide (GO)
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39 19 nanocomposites [63, 64].

46 20 **5. Conclusions**

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49 21 In this study, PFQNM was implemented to quantitatively characterise interphases of eco-
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51 22 friendly PVA/NBC nanocomposites. This work demonstrates in detail a pioneering approach
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53 23 for measuring 3D interphase dimensions as well as determining nanomechanical properties in
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55 24 terms of interphase volume and surface area. Experimental characterisation results indicate
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57 25 that interphase thickness appears to be non-uniform among individual particles and becomes
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1 independent of particle thickness. Moreover, it has been detected that interphase modulus in
2 PVA/NBC system is enhanced with increasing interphase volume. Nanomechanical
3 properties and sizes of interphases depend strongly on NBC dispersion status. Nevertheless,
4 increasing NBC loading was found to result in the decrease in inter-particle spacing leading
5 to particle agglomeration, causing the interfacial debonding effect between PVA matrices and
6 NBCs. This study demonstrates that two key geometric parameters of interphases surface
7 area and interphase volume are non-constant variables that can be influenced by NBC
8 dimensions and sizes, as well as NBC dispersion status. Our approach enlightens the
9 inclusion of actual interphase properties in theoretical and numerical modelling framework
10 rather than using a simple assumption of single interphase layer dimension and uniform
11 interphase properties in order to achieve more accurate prediction.

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1 List of Figures

2 **Figure 1.** Schematic diagrams of (a) single fully embedded NBC and (b) single partially
3 embedded NBC with particle-matrix interactions.

4 **Figure 2.** (a) proposed scheme for PVA/NBC intercalation, (b) 3D AFM modulus mapping
5 image of PVA/ 3 wt% NBC nanocomposites, (c) modulus profile for sample was taken
6 along the red line A₁B₁, and (d) typical modulus data for 25 modulus profile samples along
7 25 different line scan region (LSR).

8 **Figure 3.** (a) 3D AFM height maps image of PVA/ 3 wt% NBC nanocomposites, (b) height
9 maps profile of the sample was taken along the red line A₂B₂, (c) 3D adhesion maps, and
10 (d) adhesion maps profile of sample was taken along the red line line A₃B₃.

11 **Figure 4.** (a) maximum interphase dimensions along typical PVA/NBC interphases and
12 relationships between NBC and interphase dimensions: (b) interphase thickness ($t_{\text{Interphase}}$)
13 and NBC thickness (t_{NBC}), (c) interphase height ($H_{\text{Interphase-max}}$) and NBC height (H_{NBC}), (d)
14 interphase length ($L_{\text{Interphase-max}}$) and NBC length (L_{NBC}) as well as (e) interphase width
15 ($W_{\text{Interphase-max}}$) and NBC width (W_{NBC}).

16 **Figure 5.** AFM height mapping image of PVA/ NBC nanocomposites: (a) fully embedded
17 NBCs and (b) partially embedded NBCs, (c) relationship between interphase area ($SA_{\text{Interphase}}$)
18 and interphase modulus ($E_{\text{Interphase}}$), (d) schematic diagram for two proposed interphase zones
19 and (e) relationship between interphase volume ($V_{\text{Interphase}}$) and interphase modulus
20 ($E_{\text{Interphase}}$).

21 **Figure 6.** (a) Typical 3D AFM height mapping image of PVA/5 wt% NBC nanocomposite
22 surfaces with different effects: (a) joint edges of particles and (b) NBC overlapping, (c)
23 topographical image of PVA/10 wt% NBC nanocomposite surfaces for phase separation and
24 (d) height mapping profile of nanocomposite sample cut along the red line A₄ B₄.

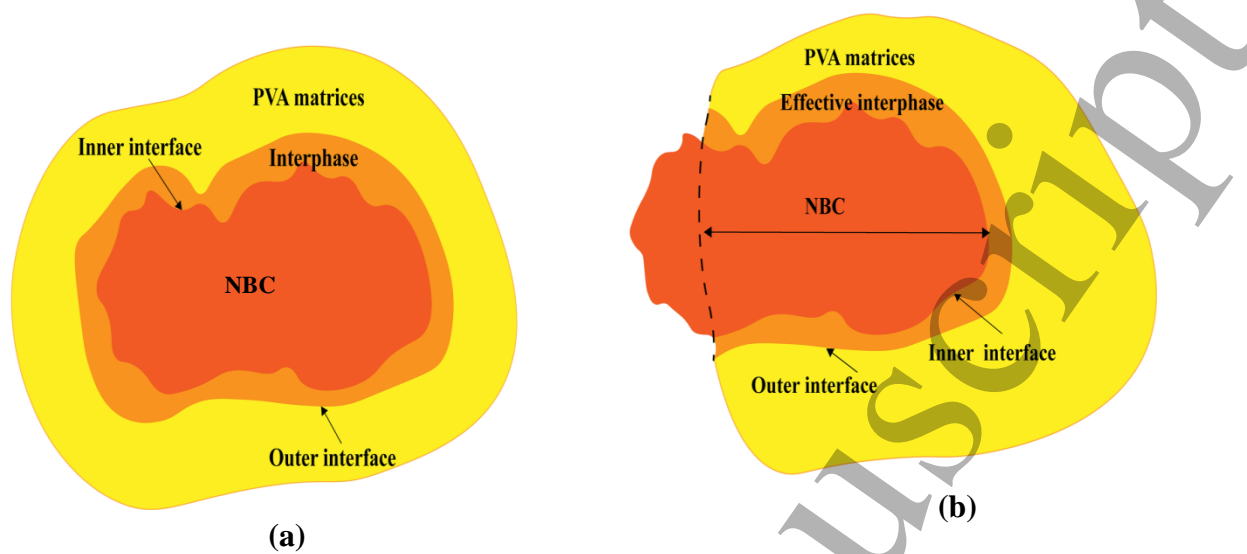


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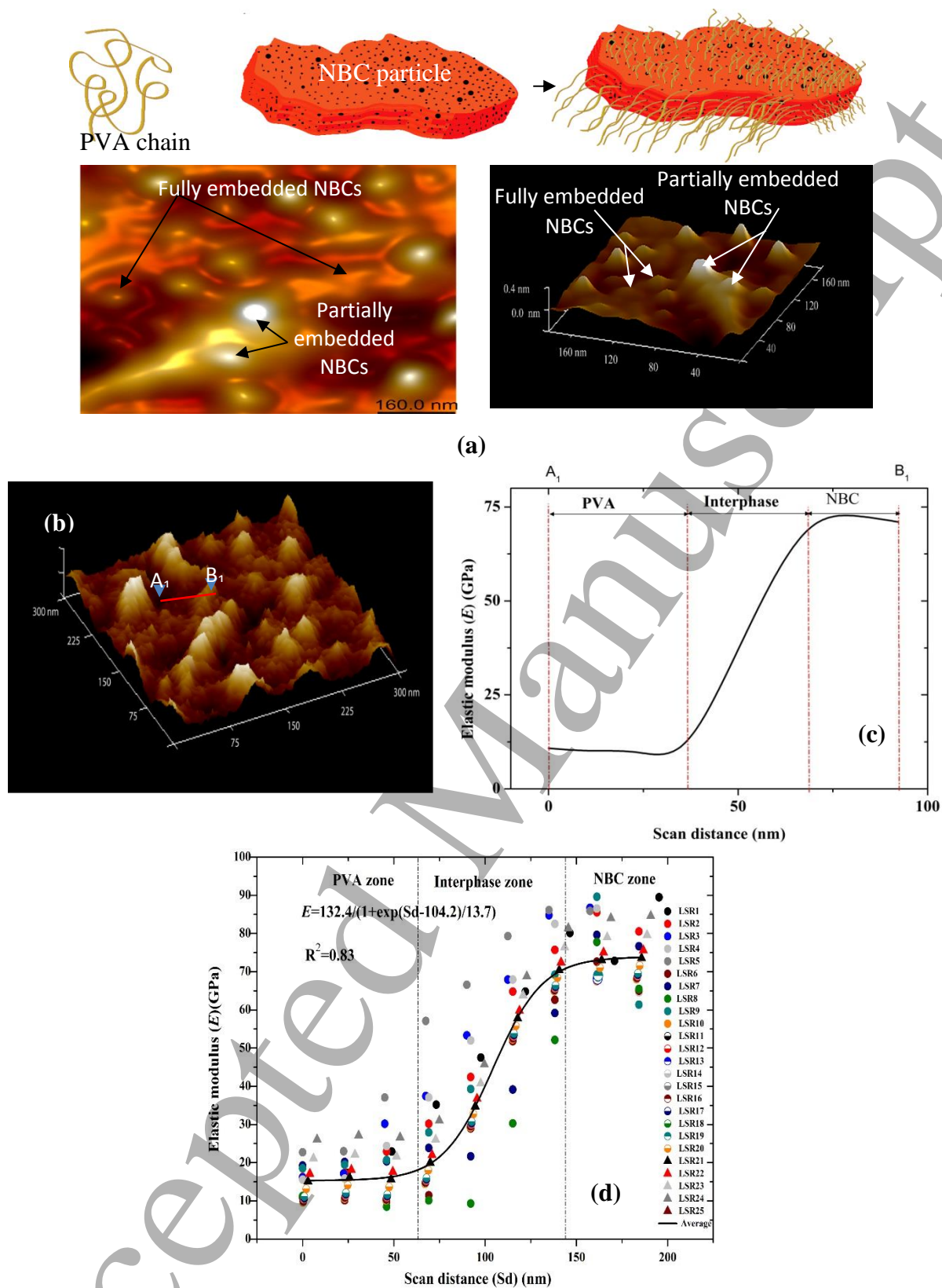


Figure 2

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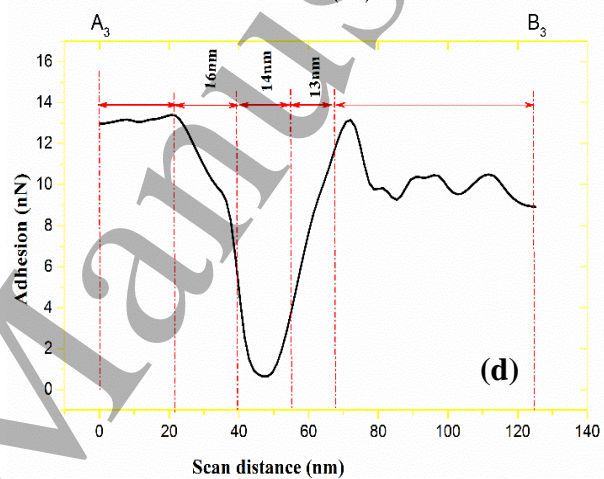
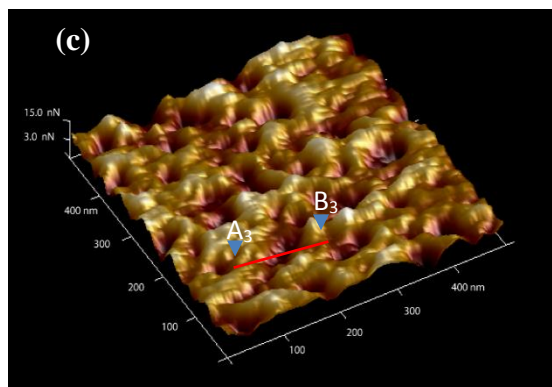
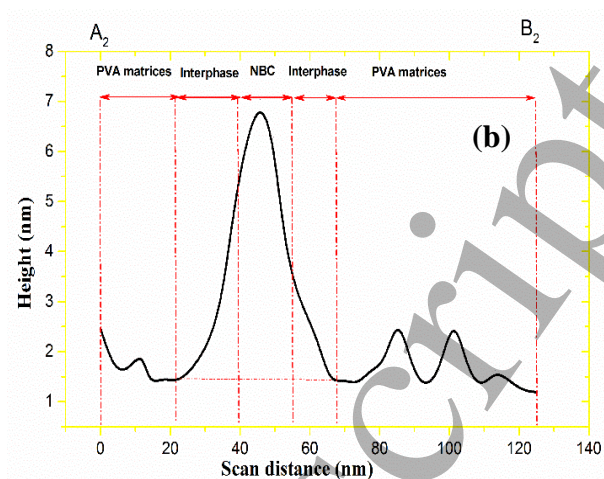
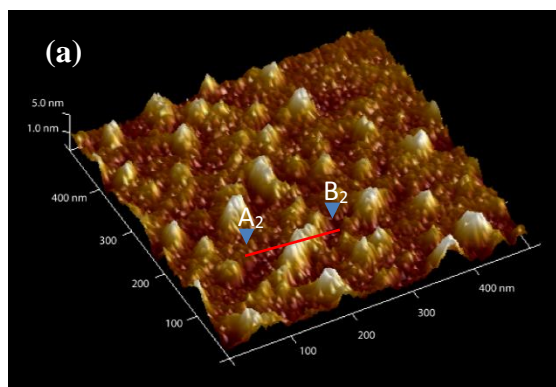


Figure 3

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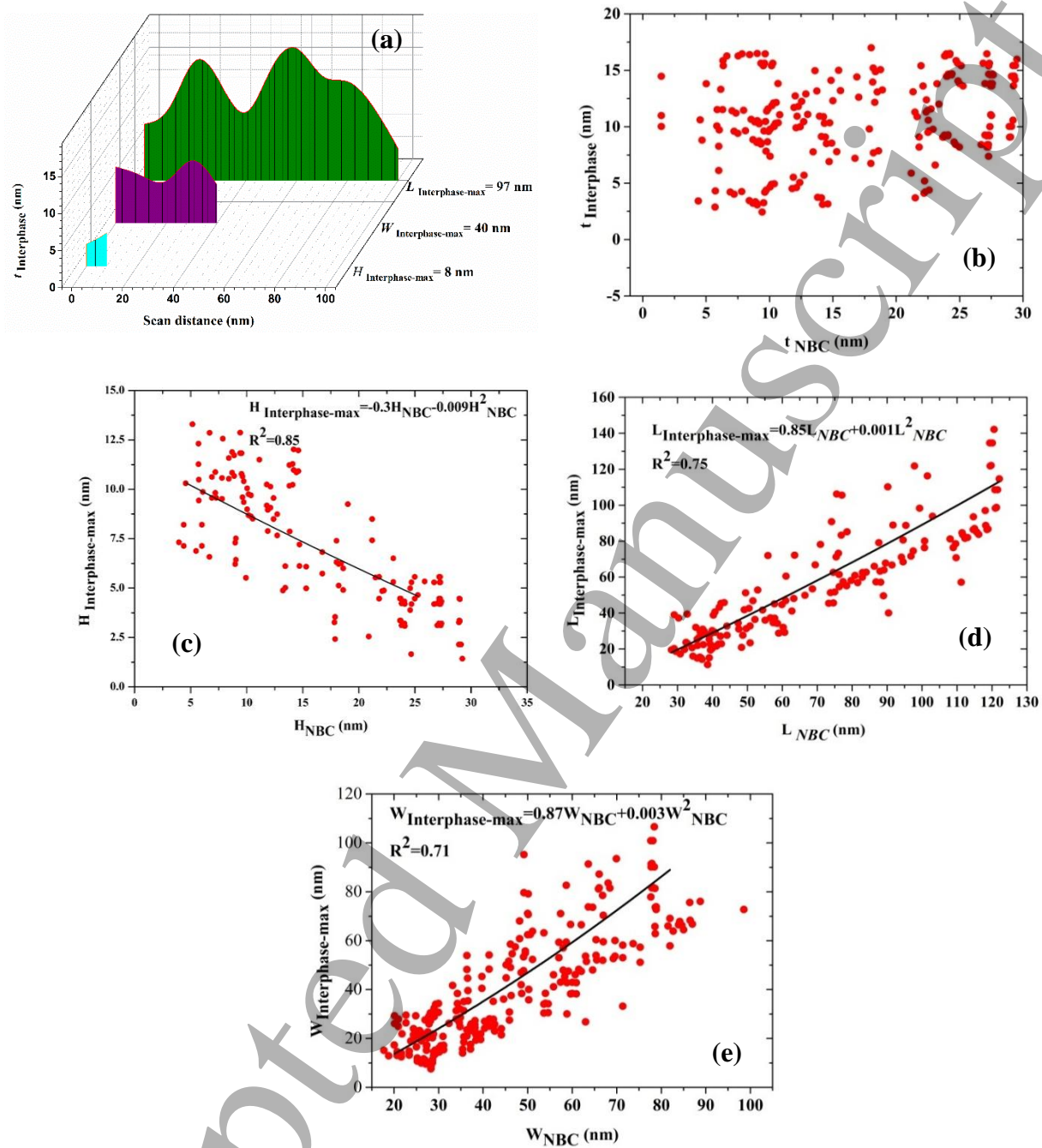


Figure 4

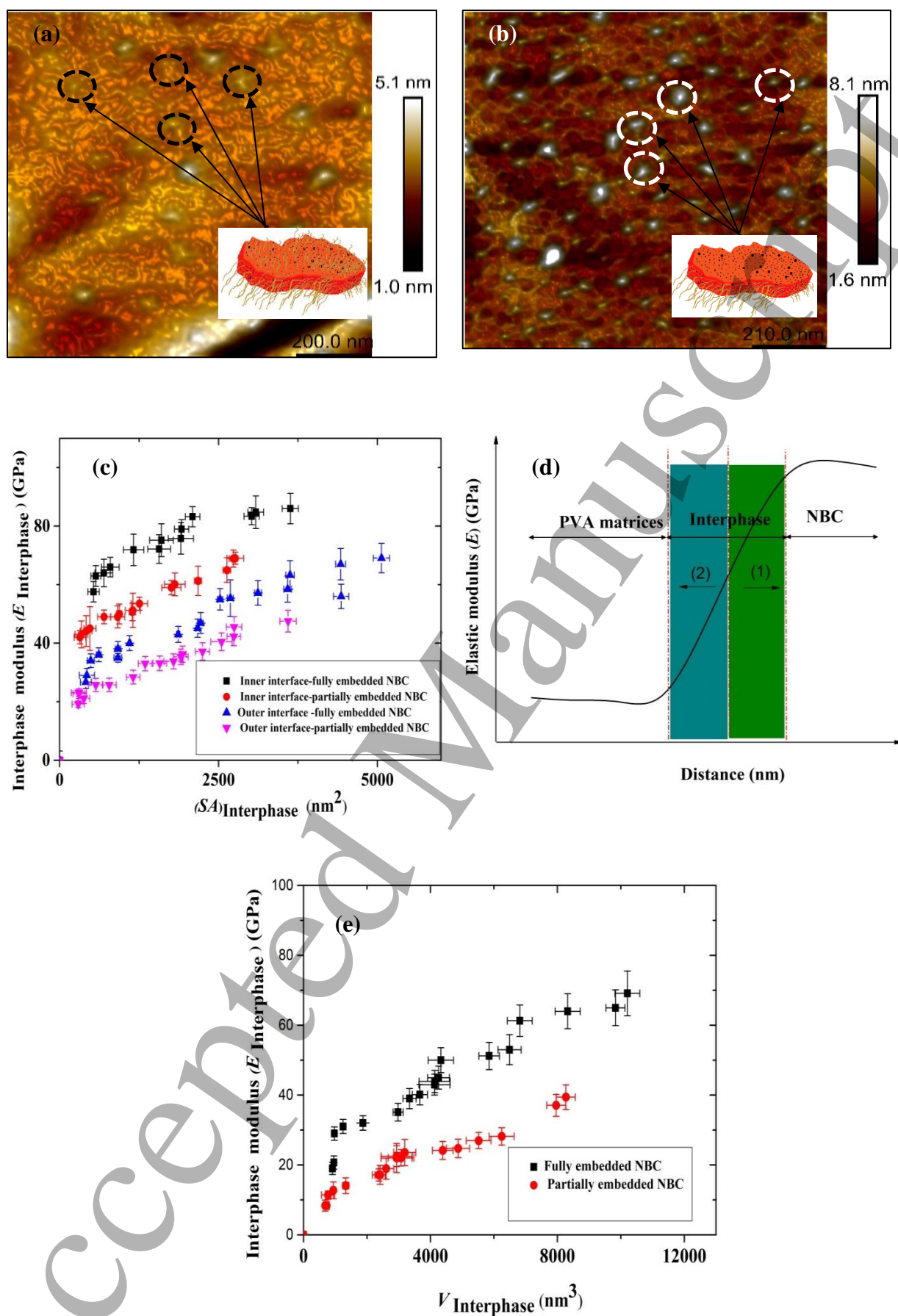


Figure 5

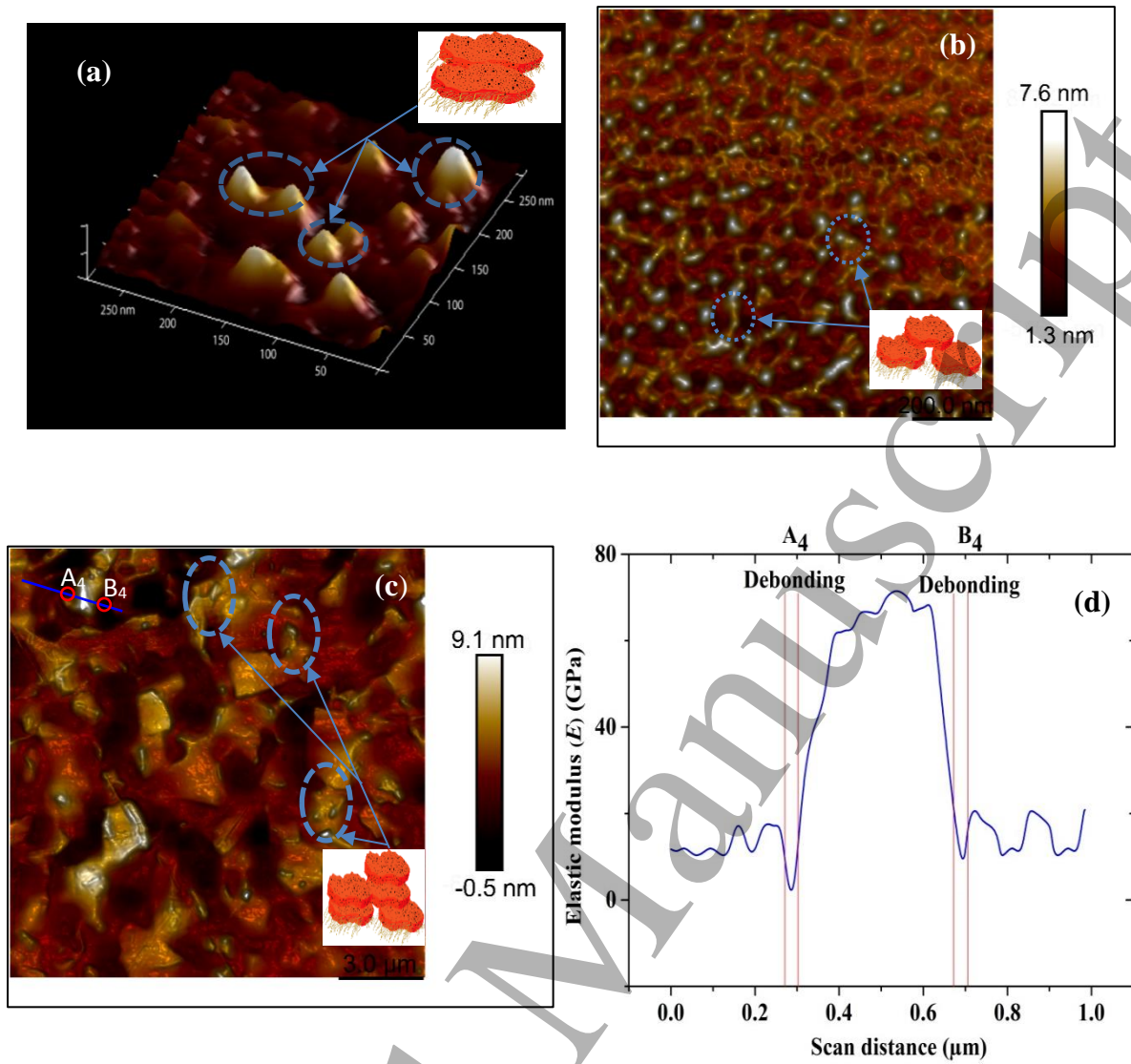


Figure 6