Painting monkey or painting elephant?

Some comments on measuring research in the creative arts

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I recently went through the Excellence in Research for Australia evidence gathering process. The measurement of creative work as research output as part of this process has raised a number of issues for me, as a creative scholar, which I feel will be useful to share.

**Introduction**

‘The Excellence in Research for Australia (ERA) initiative assesses research quality within Australia’s higher education institutions’ (Australian Research Council, 2010 p.1). In 2009 a trial of the ERA evaluated physical, chemical and earth sciences, and humanities and creative arts clusters. The identification of the creative arts first recognised during the Research Quality Framework process (set up by the previous Federal Government to assess research quality), signals acknowledgement of the growing importance of the creative arts as a discrete area of research. The spotlight on creative arts presents many opportunities but has also highlighted the complexity of measuring the arts as research.

Various creative arts have had a place within university life where they have been celebrated and studied, however the systematic training of future creative artists has been a relatively recent development. In Australian universities, the introduction of creative arts might have been due partly to structural changes with the government directed college and university amalgamations in the 1980s (Wright, Bennet & Blom, 2010). However student interest in training for theatre, fine arts, creative writing and screen production saw many universities around the world developing and maintaining areas of creative arts courses, usually within the humanities.

Industry practitioners and ‘creatives’ were often brought into the academy to teach specific technical skills and oversee projects while existing humanities academics taught related liberal arts subjects and research skills. Media studies departments developed alongside journalism degrees, sometimes within literature departments. Traditional academics continued their own research output while drawing on increased student numbers from the ‘popular practical’ courses. Practitioners used their research time to continue creative endeavours and/or industry/arts affiliations.

The separation of practice and theory is artificial and continues to be a fractious border between departments and ideologies. On the one hand, academics from a traditional research background have increasingly explored facets of the creative arts including textual analysis, culture and industry. Works of art and craft have always been objects and texts for study. On the other hand, the creative and industry trained academics have been increasingly encouraged to complete higher degrees. There has been a correspond-
ing rise in undergraduates undertaking honours and higher degrees in the creative arts, often with a creative component. Some university trained artists, and artists as university academics, are now using theoretic frameworks in order to produce research of their own in the creative arts. Others continue just to teach and produce their art.

However, understandings concerning practice - that is the processes and techniques used by creative artists and craft persons in order to create - have been largely ignored by both the creative academic (often assuming them) and the traditional researcher (often denying their existence.)

The need to articulate and validate creative practice has been made more urgent by the inclusion of the creative arts in the ERA process. The proof of research quality is tied to government funding and universities have strategically sought to increase their share of funding by targeting their own research output. A variety of research fields have been working through their own unique methods and discussing the decisions of criteria, especially regarding the ranking of journals as a measure of quality. Traditional researchers are confronting problems within these new definitions and alignments.

The creative arts are also working through a variety of complexities of measurement including those of collaborative works such as in music, dance, theatre and screen production. For instance, consideration is being given to the weight of contributing artists to the finished collaborative art works and there are also difficulties to face in proving and validating live performances. Some things are common amongst the creative arts and some vastly different. We may readily agree that a dance is not a painting, but the varieties of measuring criteria that derive from this obvious observation are manifold. And some creative fields are more advanced than others in the language that is used to articulate output.

However, it is in the practice of creative art where some of the greater difficulties of definition are emerging. Some of this difficulty derives from the historic place of the creative arts within the humanities and the existing expertise in the critical study of creative artefacts and consequent adherence to a qualitative methodology. ‘Established qualitative and quantitative research methodologies frame what is legitimate and acceptable,’ aligning different approaches to measurement (Haseman, 2006). This is of course an oversimplified dichotomy. A variety of combinations of qualitative and quantitative approaches to research have also proven useful, as well as other recognised research methodologies such as action research and participatory research.

However, in the creative arts (and health sciences) practice-led research (also known as practice-as, practice-based, mixed-mode) is an emerging tool for exploring certain kinds of creative work. It involves a combination of theoretic research and propositional thinking in combination with a creative work, perhaps answering the same proposal/question, often with some critical reflection concerning the process and efficacy of the result. In many respects it is experimental in approach. In terms of student work within the academy there is an exegetic component and a creative work. (Leahy, 2009; Kroll, 2008) Relative weighting concerning the quality and interplay of the components can vary between institutions and of course between departments within the same university.

The validity of practice-led research continues to be a subject of debate, not so much within creative areas as with the committees of traditional research fields who oversee research output. Pressure continues to be placed on creative academics to conform to a traditional humanities qualitative methodology even when the existence of a practice-led model is acknowledged, forcing research to be ‘about the arts rather than of them’ (Kroll, 2008). And this raises a further crucial question regarding the practicing artist within the university. To what degree is creative output the actual research output and not just an addendum, parallel or tangential to the real research? What is the status and value of discoveries made ‘in the studio’ and what are valid ways of articulating those discoveries?

The ERA process has focused the notion of measurement on the creative arts and it is up to practicing creative academics to articulate the standards and defend the validity of the way ‘creatives’ also create new knowledge. It is an opportunity to argue that the process of creative text creation is a form of research output in itself, not merely a text that is analysed conceptually, somehow before it exists.

This paper is intended as a case study focusing on my own experiences in being measured for the ERA. It is therefore, necessarily personal. Yet, I seek to share the fruits of this encounter and subsequent analysis with creative and traditional researchers in the belief in common ground rather than difference. Please bring your own paint brushes.
Painting Monkey

I was a practicing film and television director and screenwriter for some twenty years, during which I directed for ABC television, directed a feature film, wrote an AFI-nominated telemovie and wrote amongst other things, many hours of children’s television. Approximately seven years ago, I took a position at Curtin University where I teach production and writing. I continue to write for television with the blessing of my school and have recently written a novel entitled Spinner.

There is a video clip on YouTube: Painting monkey, accessed on 11 February 2011 at <http://www.youtube.com/watch?v=i_qlt_qbfYw>. A zoo keeper gives a monkey some paints and the monkey uses a brush to smear paint on a page and eventually on the back of the keeper. I have come to identify with this monkey. It is, I believe, how I am seen by those people within my university who collected data on my research output for the ERA process.

There’s another video clip from YouTube, Painting elephant, accessed on 27 July 2011 at <http://www.youtube.com/watch?v=He7Ge7Sogrk>. A keeper leads an elephant to an easel and gives it paint. The elephant takes the brush and slowly, but clearly paints the outline of an elephant. You can hear tourists gasp as the likeness emerges. I have come to realise that it would be easier to measure my creative output for the ERA if I were more like the painting elephant rather than the painting monkey. Clearly, the elephant is painting another elephant. This is a talented elephant. Concerning the monkey’s skill, I’m not so sure. There’s paint and there’s a brush, but the monkey’s daubs and smears, while colourful, do not look like another monkey… or an elephant.

I’d like to use a number of my creative works, including my novel, Spinner and an episode written for the television soap opera ‘Home and Away’ to explore creative research and measuring output especially in regards to the multiple disadvantaging of the academic artist. In doing so, I hope to also defend the place of the painting monkey in the Academy.

The ERA asked that creative works be accompanied by a research statement. This statement needed to include Research Background, Research Contribution and Research Significance. While it is encouraging that creative work is being counted as research, the models used to measure it are inappropriate. In order to answer these statements, it was necessary to heavily massage descriptions of creative work so they complied.

The University of Sydney (2010) offered suggestions in its Research Statements for Creative Works Submitted for Peer Review which my university used. Under the heading Research Background it was suggested I use one sentence to explain the research field and tradition, then a sentence explaining the works aim and intent, especially in terms of a gap in the knowledge. Finally I was asked to write ‘the research question’.

Research questions can be useful tools in research of a certain kind - certainly at say Honours level where relatively simple questions can lead to simple answers within a known field. They are sometimes useful in formulating creative works but they are not always the most useful approach for creative projects, even for students. (Combrink & Marley 2009; Boyd 2009) I certainly had no research question when I approached my novel, Spinner. Now, you can attempt to simplify the process, in retrospect if you wish, but I promise you I had no clear question in mind. I ’jumped in’. I had an idea about a twelve year old who might be the greatest cricket spin bowler in the world. For a variety of reasons which I don’t have time to go into here, I placed my story in the later 1920s. I decided on a quest structure based on previous work and readings such as Vogler’s The Writer’s Journey (2007). I embarked on research into the historic period in Australia and Western Australia. I also researched spin bowling and cricket techniques. In terms of narrative and story structure, I drew on a great many films, literary works and my experience as a screen writer. I soon discovered I also needed to research elements of the First World War.

As Jeri Kroll in Creative Practice and/as/is/or Research: An Overview (2008) cites Tripp (2003), ‘we see a cycle of asking questions, generating methodology, collecting data, creating, revising, reflecting, and modifying practice, which then moves to another level to clarify significance through systematic (or theoretical) evaluation’ (Kroll 2008, p. 6).
In creating works it is not so much a single research
question but ‘a conversation’. This model explaining
the creative process as a kind of research comes from
Donald Schon’s Double Loop Thinking which was first
applied to business practice. Nicola Boyd in A Creative
Writing Research Methodology (2009) actually draws
this non-linear process as a spiral. She also uses the
Escher drawing, Drawing Hands, to highlight this ongo-
ing interactive process of realisation. (Boyd pp. 7-8)

Many questions arose during the act of writing
Spinner. I had never written a novel before. I wrote it
outside direct Academic mentorship. In fact the novel
was written without a specific sage or tutor. That is not
to say in a critical vacuum. I studied literature at uni-
versity. I know what point of view is. I know what
the term unreliable narrator means. I understand
the term willing suspension of disbelief. I do know what
things are called. More importantly, I have read a lot of
novels. But I entered the writing of this novel as a trial
and error process. For instance, I spent three whole
chapters describing my character’s first test match -
and I think it works. It’s there in the finished novel.
But when it came to the next match, I didn’t want to
go there again in the same way. Call it instinct, or the
sum total of experience and learning, but I got bored
with telling that part of the story in that same way.
Maybe the reader might get bored too. (Again, this is
a Schon concept. These issues are widely explored, I
believe, but I found Steven Scrivener’s Reflection in
and on Action and practice in creative production
doctoral projects in art and design (2000) and Hart
Cohen in Knowledge and a Scholarship of Creativity
(2009) also useful.)

The solution to that problem also solved another
problem. I wanted to break out of the limited point of
view from which I’d been telling the story; that is from
a naïve twelve year old perspective, for some three hun-
dred pages. This solution led to another discovery and
to a key issue of Spinner. The finished novel is about
story telling as well as the story, diegetic and ultimately
self-reflexive. The spinner is a cricket bowler, but his
uncle is a spinner of tall tales, as is the novelist. Yet I
had no conception that this story would become about
story telling itself until the decisions around page three
hundred. And this meant returning to the beginning
and teasing out some latent threads there too.

These series of questions arose and were shaped
and experimented with and amalgamated. And they
came not from a set survey of the field (although by
implication that occurs) nor by any consideration of
the gap in the field (although I didn’t think there was a
book around like it), but as Brad Haseman puts it in A
Manifesto for Performative Research (2006), by jump-
ing in.

Incidentally, a most useful article for those interested
in the academic artist is ‘The Interface between arts
practice and research: attitudes and perceptions ofAus-
tralian artist-academics’ by Wright, Bennett and Blom
(2010). They survey the field in Australia and demon-
strate art as research before interviewing a number
of academics working in the creative arts. The notion
that dance choreography operates on this same model
is illustrative in terms of the process being part of the
output, but is also the research – which also involves
collaboration and experimentation. The other really
useful article I found amongst the many grappling with
art as research is by Combrink and Marley called ‘Prac-
tice-based research: tracking creative creatures in
the research context’ (2009). It has a great survey of works
on practice based research and develops a model of
what practice-based research might look like.

Of course, the above aside does make explicit some
of the research contribution and background which
has contributed to this paper. Even in attempting to
refute the research question model, I have become
a painting elephant. But here’s the thing. I shouldn’t
have to.

I grant that reflective practice is a useful model for
our creative students… even our PhD students. Part
of the learning is an awareness of a variety of method-
ologies and processes and kinds of research and prac-
tice being used in creating the work and commenting
on both the work and the act of creating. This is most
useful when training creatives as well as scholars. It is
not the only way, but perfectly valid.

In fact, it would be quite strange to ask a student to
create a work and then write an exegesis but forbid
them to comment on their work. This would deny the
learning process in creating the work and the research
 gained from all stages of the process.

However, I claim to be a grown up. I’ve directed
nationally and written internationally for decades. I’ve
taught for nearly another decade. I have knowledge. I
have methodologies and am aware of others. I reflect.
Why is the ERA now asking me to demonstrate this?
Wright, Bennett and Blom (again) cite Gye citing
Professor Ross Gibson, who says ‘It [ERA] will benefit
artists who are able to engage in some extra, fairly tra-
ditional routines of academic scholarship, adding some
linguistic discourse onto their productions. The ERA
probably won’t benefit artists who happen to teach in academies but who are not that interested in being the new-style creative arts academic. The rules are pretty clear – knowledge has to be explicit and communicated’ (Wright, Bennett & Blom, p 472).

My criticism is that it has to be explicit and communicated in a way that someone who is not in my field will accept. This is akin to demanding liquid volume can only be expressed in linear metric units of measurement. In other words the knowledge must be translated into the language of the traditional research scholar… not left in the language of the creative medium in which it was created.

I’ll come back to this because I believe other issues in the ERA measurement may help in articulating this notion more clearly. The ERA’s next category is Research Contribution in which the creative must explain why their work is innovative and original.

How original? In what way original? I would not know where to begin in explaining Spinner’s originality. It seeks to be familiar in many ways. What if it were less different? How would you quantify the innovation of Baz Luhrman in Romeo and Juliet to someone who didn’t understand film making or Shakespeare? It’s an old play, been done to death really – and Luhrman uses pastiche rather than new forms. Remember, you’re only allowed a couple of sentences. There was this artist once who put a urinal up on an art gallery wall. I don’t believe Duchamp would be able to explain himself adequately to the ERA. How many words would you need to spend to explain the originality (and I believe it was) of a reproduction of a Campbell’s soup can? And the reproductions of the reproductions? I don’t know whether Spinner is original, but I am sure I will find a way to massage it into that category for my next academic demonstration of research output. I have no choice.

There were a number of other double standards I encountered within my university’s collection of data for the ERA measurement which I would also like to highlight. (I should make clear at this point that I dealt with a team within my university charged with assisting in the collection and measuring. These people answered to others within the humanities, who I am sure, worked upwards again. I acknowledge that I have no way of knowing what the ERA may or may not have judged, but only the face of that encounter within my own university).

First, I was only able to enter a number of episodes I wrote on one particular television series as one item. Although I was part of the children’s drama television series creation of Parallax and wrote five of the first six episodes and a number of other episodes including the last, episode 26 (which is an honour in the television industry), this was only counted as one research output. Effectively, the first episode I write is full of research and is an output of that, but subsequent episodes are like those mass produced soup cans or photocopies of the first.

I began to see a pattern. Or should I say a repeated absence – a void. The process of the artistic output was being counted neither as research nor as output. And if you don’t count narrative, character, drama, themes and episodic convention mastering, then you are actually only measuring the traditional research topic component of the work. So instead of Parallax being an extended twenty five minutes multiplied by the eight episodes, which would be a four hour explication of the research – it is only counted as the first twenty five minutes … and nothing. The other episodes don’t even have echo status.

Let me move to the measurement of two of my episodes of Home and Away (episodes 3,518 and 3,519). You’re smiling. Me too. That’s a lot of episodes. And I’m going to have to demonstrate originality? Significance? It’s already low rather than high culture – (unlike the novel, of course).

If I had a research question for my episodes for Home and Away, it would have been something as general as ‘Can I write an episode of soap?’ I was honoured to be asked actually, by someone I knew, to write for this internationally televised war horse of the industrial model of television story telling – extreme long form drama. It’s hard to get a gig on television shows, but I also understand a little derision. It ended up not my cup of tea either.

But I studied the show. I examined other television soap operas. I met with the story designers and other writers, and I wrote three episodes following the story lines provided. And I used my years of practice in writing for many other television shows. Is it innovative? Perhaps not. But is episode 3,518 of Home and Away less original than article 2,500 on Thomas Hardy? Why should an esteemed colleague have to go through enormous gymnastics to demonstrate that her fifth novel is not just another one about old ladies in love, when another colleague is lauded for his 50th article on Shakespeare without the same demand to explain?

The reaction of the ERA proof collection team to Home and Away was very illustrative in terms of
coming up with a research question. My research could not be into soap opera writing because my scripts were not deemed to be an output, but rather a delivery system, a little like a telephone line, perhaps. There was a tiny element of alcohol abuse by one character and a little tough love given by whatever doctor was in the show at the time, so it was helpfully suggested that my research question be, ‘How can this entertainment oriented television genre be used to convey crucial social messages regarding teenage alcohol abuse and make an impact on a mass television audience?’

Worthy, yes. True? No. I declined the assistance on this output. However, the pattern was being repeated. Research was only being perceived in terms of topic, not in terms of the process of creative work. Alcoholism is a research topic. Writing soap isn’t apparently. The process is denied in the explication. Only the traditional research components are seen and easily made explicit and communicated. It needs to look like an elephant.

Here is something you won’t encounter in a scene from Home and Away. Foucault’s archaeology of the emergence of modern, Western man as a problem of finitude, inextricable from its afterbirth, its Other, enables the linear, progressivist claims of the social sciences – the major imperialising discourses – to be confronted by their own historicist limitations (Bhabha, 1994 pp 46,47).

The passage clearly needs some serious unpacking. Why doesn’t Bhabha have to explain? I’m not asking that he do, by the way. In other contexts, he has. And we accept that some degree of expertise needs to be brought to most academic writings. However, we might also apply that understanding to the creative scholars. The academy might stop treating me for instance as a dim witted honours student, and consult an expert in Home and Away. Let’s call that person a peer: a peer in television writing by the way and not one in watching. This peer is most likely not going to be a cultural theorist.

In effect, not only are creatives being called on to go through extra stages of explication and communication, but we must also deny the value of the creative process itself and only highlight the traditional research components, expending double the work and half the kudos.

I think there are things that can and need to be done towards establishing a fairer measurement of creative output as research output. This paper is an attempt to contribute to that discussion.

In terms of screen practice, a number of Australian universities have sought to develop their own peer assessment process in order to provide expertise in the measurement of creative output. The Australian Screen Production, Education and Research Association (ASPERA) have developed national peer assessment panels for screen projects (Diegetic Life Forms II, 2010). All scholars working in creative areas need to build or strengthen peer reviews, which stand up to outside scrutiny. In the short term this may require a lot of extra work from practitioners.

But artist academics must also continue to argue with the traditional research academics concerning creative output as research output. We need to gather together some of the work being done around the world on practice as research and creative work as evidence of research output, which I felt I needed to do as a consequence of my inability to engage with the ERA proof collectors at my university. I hope some of the readings mentioned are useful to other creative scholars in framing legitimacy and parity.

I also care for selfish reasons. I teach and am happy to do my share. But I want to keep my research day. The ERA is converted to my university’s research points system called the Research Performance Index. By these guidelines, a non-fiction work of scholarship is worth 500 points, whereas Spinner as a creative work is worth 150 points. (This value was changed in 2011 to 225 points for a novel, but only if the publication is deemed equivalent to certain journal rankings). If I have to produce the work, then write about the work and then only count some of the work and then only get credits for one fifth of the work, my likelihood of proving I am a productive researcher is significantly reduced. I will lose my research day, and therefore lose my space to be creative while teaching in the academy.

This is also a loss to my university. Outside of undergraduates wanting lecturers who have and do make films in a screen arts course, there are a variety of values in enhancing the stock of human knowledge in human experience, not just facts and not just in short term economic terms. Indeed Wright, Bennett and Blom point to Krieger’s Social Science and the Self (1991) concerning the need of social science that is ‘soft, subjective, idiosyncratic, ambivalent, conflicted, about the inner life, and about experiences that cannot be measured, tested or fully shared.’

As Edward de Bono says in How To Have Creative Ideas (2007, p.8), ‘Our culture and habits of thinking
insist that we always move towards certainty. We need to pay equal attention to possibility.

I'd like to go out on another image: it's from the documentary Who the #$&% is Jackson Pollock? (Moses, 2006). It's a clip of him painting. For those of you who don't know his work, it is quickly plain that he is not painting anything that looks like an elephant. He smears and trickles and splashes and flings. The images, of course, evoke the impenetrability of the painting monkey. I don't know much about art, but people who do say his work is significant. This is difficult to measure, of course.

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