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4

5 **Abstract:**

6 Pediatric headache is an increasingly reported phenomenon. Cervicogenic headache (CGH) is sub-
7 group of headache, but there is limited information about cervical spine physical examination signs in
8 children with CGH. Therefore, a cross-sectional study was designed to investigate cervical spine
9 physical examination signs including active range of motion (ROM), posture determined by the
10 craniovertebral angle (CVA), and upper cervical ROM determined by the flexion-rotation test (FRT)
11 in children aged between 6-12 years. An additional purpose was to determine the degree of pain
12 provoked by the FRT. 30 children (mean age 120.70 months [SD 15.14]) with features of CGH and
13 34 (mean age 125.38 months [13.14]) age-matched asymptomatic controls participated in the study.
14 When compared to asymptomatic controls, symptomatic children had a significantly smaller CVA ($p <$
15 0.001), significantly less active ROM in all cardinal planes ($p < 0.001$) and significantly less ROM
16 during the FRT ($p < 0.001$) especially towards the dominant headache side ($p < 0.001$). In addition,
17 symptomatic subjects reported more pain during the FRT ($p < 0.001$) and there was a significant
18 negative correlation ($r = -0.758$, $p < 0.001$) between the range recorded during the FRT towards the
19 dominant headache side and FRT pain intensity score.

20 *Conclusion* This study found evidence of impaired function of the upper cervical spine in children
21 with CGH and provides evidence of the clinical utility of the FRT when examining children with
22 purported CGH.

23 **Keywords:** Pediatric headache, flexion-rotation test, craniovertebral angle, cervical spine impairment

24

25

INTRODUCTION

26 Headache is the most frequently reported pain in children [28], with an even sex distribution up to the
27 age of 12 [2, 25, 28] after which more females than males suffer [25, 49]. Pediatric headache
28 prevalence rates are 50% during school years, increasing during adolescence to 80% [41]. Studies
29 have shown that children with more severe headache report lower quality in life in general [5], while
30 early onset headache can be predictive of ongoing problems during adolescence and adult life [8, 18]
31 indicating the importance of diagnosis and management.

32 Cognitive, behavioral and emotional factors have been shown to play important roles in generating
33 headache in children [4, 33]. In addition, physical factors, such as schoolwork, increased forward head
34 posture and prolonged static postures of the head [11, 34, 49] have also been shown to play a role in
35 triggering headache. Hence headache diagnosis is important, particularly for physiotherapists who
36 have to consider whether physical treatment may be helpful to alleviate symptoms.

37 There are numerous structures and disorders capable of causing headache [22]. The International
38 Headache Society [20] has formulated the International Classification of Headache Disorders (ICHD)
39 to enable differentiation of primary and secondary headache disorders. One form of secondary
40 headache is cervicogenic headache (CGH), where pain is believed to originate from a disorder in the
41 neck [20]. The anatomical basis for pain perceived in the head is due to the convergence of afferent
42 impulses from the upper three cervical nerve roots with the trigeminal nerve in the trigeminocervical
43 nucleus [7, 22]. The ICHD [20] is commonly used to diagnose headache in adults and relies mainly on
44 subjective descriptors from the patient [40]. In pediatric headache, such subjective differentiation is
45 more difficult [27, 49] and physical signs become increasingly important to identify CGH.

46 Physical examination has been shown to be successful in distinguishing CGH from other headache
47 forms in adults [23]. Physical signs characteristic of CGH in adults include impaired range of rotation
48 in the upper cervical spine identified by the flexion-rotation test (FRT) [12, 15, 35, 45]; decreased
49 active range of motion (ROM) [23, 51, 52]; increased forward head posture [48]; upper cervical joint
50 dysfunction [16]; and impaired cervical muscle function [21, 22]. To date few studies have
51 investigated these or other factors in children who suffer from headache [47, 49]. Published normal
52 values for active cardinal plane ROM in asymptomatic children indicate larger ranges than adults [3,
53 29] thus warning of the difficulty of using adult values when examining children.

54 The therapist examining children with purported CGH requires a good knowledge of the
55 musculoskeletal characteristics of the cervical spine of asymptomatic children in order to identify
56 differences and potential impairments. Recent literature advocates the use of the FRT as a useful
57 means of identification of impairment of the upper cervical spine and CGH diagnosis in adults
58 [14,16,17]. For this test, the subjects neck is positioned in end range flexion, which blocks as much
59 rotational movement as possible in the cervical spine below and above C1/C2 and helps to identify
60 dysfunctions in the upper cervical spine [12, 35]. In asymptomatic adults, normal values for ROM
61 during the FRT are reported as 38° (35) and 45° (12) to each side while range less than 32° is the
62 positive cut-off value (15). However, this test has not been evaluated in children. Furthermore, no
63 studies have examined the relationship between ROM of the upper cervical spine and other measures
64 of musculoskeletal function of the cervical spine in children with headache. Specifically there are no
65 studies that have determined the relationship between cervical posture and ROM of the upper cervical
66 spine. Indeed there is very little information regarding the presence of impairments of the cervical
67 spine in pediatric headache in general or CGH in particular. Therefore the aim of this study was to
68 investigate active ROM of the cervical spine, forward head posture identified by the craniovertebral
69 angle (CVA), and the FRT in asymptomatic children and children with purported CGH in order to
70 detect possible differences between groups.

71

METHODS

72 A cross-sectional study was designed to assess active ROM of the cervical spine, the CVA, and the
73 FRT in 30 children with purported CGH and 34 age-matched asymptomatic children.

74 Subjects

75 Due to logistical reasons asymptomatic subjects were recruited from a high school and handball club
76 in Bremen/Germany, whereas the subjects with purported CGH were recruited from three
77 physiotherapy departments in the Netherlands. One examiner lived in the Netherlands and had contact
78 with three physiotherapy departments that treat children, whereas the second examiner lived in
79 Germany. This approach allowed a more practical recruitment of a higher number of feasible subjects.
80 All children were recruited after consultation and after written informed consent was provided by
81 their parents. All potential subjects had been informed of their right to refuse to participate in the
82 study or to withdraw consent to participate at any time without reprisal. In addition, the rights of the
83 children were protected at all times. Thus, the protocol for this study followed the ethical principles of
84 the Declaration of Helsinki of the World Medical Association.

85 To be included in the asymptomatic group, volunteers were required to be asymptomatic and between
86 the ages 6 and 12. Subjects were excluded if they had headache more than once per month, any
87 history of cervical spine surgery, a diagnosis of Down's syndrome or Rheumatoid arthritis, and
88 inability to tolerate the FRT.

89 Symptomatic children were interviewed and included in the purported CGH group if they met the
90 inclusion criteria based on the description outlined by Antonaci et al. [1]. All children were required
91 to fulfill all 5 criteria derived from the original diagnostic criteria for CGH proposed by Sjaastad et al.
92 [43], thus indicating "probable" CGH (Table 1). To be included in the symptomatic group, the
93 children had to have unilateral or side dominant headache without side shift [43], associated neck pain
94 or stiffness [6, 43], headache precipitated by neck movement or postures [42], headache frequency of
95 at least an average of one per week, and history of episodic semicontinuous or continuous headache
96 for at least the previous three months. Previous studies [12, 15] have used these criteria and showed
97 differences in FRT ROM values between symptomatic and asymptomatic groups of adults.

98 Potential subjects with CGH were put forward by the physiotherapy clinics for potential recruitment
99 and the subjects were then interviewed by one of the examiners. In total, 46 children were interviewed
100 and of these, 30 children were found to be suitable for inclusion in the study. Consequently, 16
101 children did not meet the inclusion criteria and were not assessed.

102

103 Instrumentation

104 The Keno®-cervical measurement instrument (Kuntoväline Oy & David Fitness & Medical Ltd,
105 Helsinki, Finland) was used to measure cardinal plane active cervical ROM during flexion, extension,
106 lateral bending and rotation. The Keno®-cervical measurement helmet (Figure 1) consists of a plastic

107 frame with two adjustable gravity goniometers, a compass and two spirit levels attached to the frame.
108 A previous study has found a standard error of measurement (SEM) of at most 4° (Fletcher & Bandy,
109 JOSPT 2008) for a similar measurement device for measuring cervical ROM. Intrarater reliability has
110 been reported as good, with intraclass-correlation coefficient's [ICC] of 0.64 - 0.90 [36], while
111 interrater reliability ICC's range from 0.61 - 0.95 [36].

112 The photometry program designed by the Cranio Facial Therapy Academy (CRAFTA) was used to
113 determine the CVA from a digital photograph (Figure 2). The CVA is the angle formed by a
114 horizontal line drawn through the spinous process of the seventh cervical vertebra (C7) and a line
115 joining the spinous process of C7 vertebra with the tragus of the ear [38, 46]. This measurement has
116 shown to be a reliable indicator for identifying head and neck posture (ICC 0.84) and has a minimal
117 detectable change of 3.6° [26, 50].

118 A compass goniometer fixed to the subject's head with elasticated Velcro straps was used to measure
119 ROM during the FRT (Plastimo Airguide Inc (Compasses), 1110 Lake Cook Road, Buffalo Grove,
120 Illinois 60089) (Figure 3) according to previously reported method [12]. This measurement method
121 has been shown to be reliable, even when used by inexperienced examiners [14]. Intrarater reliability
122 is reported as 0.95 (95% CI: 0.90-0.98) [16], and 0.93 (93% CI: 0.87-0.96) [14] while the SEM is at
123 most 1.0° [14]. Range was recorded to the left and right and separately towards the dominant and non-
124 dominant headache side.

125 Pain responses associated with the FRT were assessed with the coloured analog scale (CAS). This
126 scale has a colored triangle on the front with gradations in length and color, which helps children to
127 estimate their pain intensity, whereas the reverse side shows numerical ratings between 0 to 10. The
128 CAS has found to be an accurate and valid measuring instrument for measuring pain in children 5
129 years and older [32].

130 Procedures

131 Prior to the main study, an interrater reliability study was conducted. Two examiners, physiotherapists
132 with more than 4 years experience, carried out all tests; one for the asymptomatic group and one for
133 the group with purported CGH. To determine interrater reliability, eight volunteers were tested
134 according to the examination procedure by each examiner. Subjects were examined independently,
135 with each examiner blind to the others measurement values. Subjects were tested 5 minutes apart.

136 In the main study, all measurements were assessed in a standardized manner to ensure reproducibility.
137 The CVA was determined first. Before the subject's photograph was taken, the camera was fixed to a
138 tripod set 2m from the subject. The tripod was equipped with two spirit levels to ensure horizontal
139 alignment of the camera. The photographed image section included the lateral view of the head and

140 shoulder girdle down to the insertion of the deltoid muscle. Each child was barefoot and asked to
141 stand comfortably in a relaxed stance on a 70cm long and 30 cm wide piece of carpet.
142 Following this, each child was given a practical demonstration of the assessment procedure for all six
143 active ROM tests. They were also given a trial practice run to warrant familiarity with the testing
144 protocol. Each child was instructed to sit with their trunk stationary in an erect posture on a plinth,
145 with the arms relaxed at their sides. If necessary the movement was corrected by the examiner to
146 ensure movement of the head in only one plane. The child was asked to move their head to the
147 maximum comfortable range. Following each movement, subjects were asked to return to the starting
148 position. Each cardinal plane movement was performed only once.
149 Subsequently, the FRT was performed while the child was positioned in supine. This procedure was
150 based on the description of Hall and Robinson [12] and Hall et al. [16]. Each child lay supine on an
151 examination table with their hands relaxing on their abdomen with the neck passively placed in end-
152 range flexion. In this position the head was rotated to each side to the maximum comfortable range
153 until the examiner noticed firm resistance, or the child requested the movement to be stopped because
154 of pain. In all cases, resistance rather than pain limited the movement. Immediately following the FRT
155 each child was asked to rate the discomfort felt during the FRT on the CAS.

156

157 **Data analysis**

158 All data were analysed using Statistical Package for Social Sciences version IBM SPSS Statistics 19.
159 In all cases, alpha was set at the 0.05 level. Interrater reliability was determined by an average
160 measure intra-class correlation coefficient (ICC). The Shapiro-Wilk's test was used to determine
161 normality of data distribution. Data was analysed using an unpaired t-test or Mann Whitney U test to
162 compare mean values. An unpaired t-test was used for normally distributed data and the Mann-
163 Whitney U test used when this was not the case. Spearman's rank correlation was used to determine
164 the relationship between ROM on the FRT and pain recorded by the CAS as well as ROM on the FRT
165 and the CVA. The purpose of this analysis was to identify any possible relationship between
166 impairment measures in children with purported CGH.

167

RESULTS

168 Interrater reliability for ROM recorded during the FRT was high with an ICC of 0.93 (95% CI: 0.69-
169 0.99) and moderate to high for the CVA with an ICC of 0.88 (95% CI: 0.51-0.97), indicating at least
170 good reliability for these measures.

171 The asymptomatic group consisted of 34 children (26 females; mean age 125.38 months [SD 13.14]),
172 whereas the group with purported CGH consisted of 30 children with a mean duration of symptoms of
173 20.7 months (19 females; mean age 120.70 months [SD 15.14]). An unpaired t-test revealed no
174 significant difference for age between groups ($p=0.58$). In the symptomatic group, headache was

175 more frequently reported as dominant on the right side (19/30, 63.3 %) compared to the left (11/30,
176 36.7 %). Means, standard deviations (SD), ranges in degrees and level of significance of the variables
177 age, CVA, pain intensity, and cervical movements are outlined in Table 2.

178 The CVA of the asymptomatic children and symptomatic children was 51.26° (SD 4.78) and 47.27°
179 (SD 2.36) respectively. An unpaired t-test revealed a significant difference of 3.99° in CVA between
180 groups ($p < 0.001$). Similarly, a Mann Whitney U test revealed a significant difference between groups
181 for each active cervical ROM ($p < 0.001$).

182 As well as cardinal plane ROM differences, the asymptomatic subjects had significantly greater ROM
183 recorded during the FRT to the right and left when compared to the symptomatic children ($p < 0.001$).
184 Mean range of rotation to the right (52.97/SD 4.65) and left (52.38/SD 5.47) were not significantly
185 different within the asymptomatic group ($p = 0.370$). However, ranges recorded during the FRT to the
186 right (34.53/SD 8.11) and left (42.63/SD 7.91) differed significantly within the symptomatic group
187 ($p < 0.01$). Furthermore, ROM recorded during the FRT towards the dominant headache side
188 (33.36/SD 6.57) was significantly less than the non-dominant headache side (43.80/SD 7.93) ($p <$
189 0.01).

190 The asymptomatic children had no significant increase in pain ($p = 0.378$) as a result of performing
191 the FRT. However, this was not the case in the symptomatic group, where subjects showed a
192 significant increase in pain ($p < 0.001$) after applying the FRT. Pain intensity scores are shown in
193 Table 2. The higher pain intensities recorded during the FRT to the right in the symptomatic group
194 may be due to the higher prevalence of right-sided headache in this group (19/30, 63.3% had right
195 sided headache).

196 A Spearman's rank correlation was used to determine the relationship between ROM on the FRT and
197 pain recorded by the CAS. This analysis revealed a highly significant negative correlation between the
198 range recorded during the FRT towards the dominant headache side and the post-FRT pain intensity
199 score ($r = -0.758$, $p < 0.001$) with r^2 value of 0.574, indicating that 57.4% of the variance of FRT ROM
200 towards the dominant headache side is explained by variability in the CAS pain score. Generally
201 speaking, the lower the ROM towards the dominant headache side, the higher the post-FRT pain
202 intensity score.

203 In addition, the relationship was sought between combined left and right ROM recorded during the
204 FRT and the CVA. This analysis revealed a significant positive correlation ($r = 0.421$, $p < 0.05$) with
205 r^2 value of 0.177, indicating that only 17.7% of the variance of combined FRT ROM is predicted by
206 variability in the CVA.

207

208

DISCUSSION

209 The results of this study show significant differences in all variables, despite no difference in age and
210 similarity in distribution of gender. Previous reports indicated a higher prevalence of headache in girls
211 [24, 25, 49], which is reflected in our sample of children with headache who were predominantly
212 female.

213 Cervical range of motion (ROM) in each cardinal plane was significantly less in the children with
214 purported cervicogenic headache (CGH) compared to those without headache (Table 1). ROM values
215 recorded in the asymptomatic group are comparable with a previous report for children [3]. While no
216 previous studies have reported ROM values for children with CGH, these results are consistent with
217 reports in adult populations [23, 51, 52]. Interestingly, ROM does not appear to be restricted in all
218 directions in adults with headache [23, 51, 52], but the explanation for this is not clear. This studies
219 finding of reduced ROM in children with purported CGH supports the current criteria for CGH
220 diagnosis [20, 44].

221 In addition to differences in ROM, our study found children with purported CGH had significantly
222 different posture to asymptomatic children as identified by the craniovertebral angle (CVA). Children
223 with purported CGH had a significantly smaller CVA, and therefore increased forward head posture
224 when compared with asymptomatic children (Table 1). The mean CVA of the asymptomatic group is
225 comparable to a previous report of 55° (SD 9.02) in children whose mean age was 12 years [39]. The
226 difference between groups was 4° , more than the minimal detectable change of 3.6° for this
227 measurement method [26]. This finding is consistent with one previous report in adults with headache
228 [48] and neck pain [26], but in contrast to other reports, which found no difference in posture between
229 people with and without headache [10, 51]. Previously, only one study has investigated the CVA in
230 symptomatic children and those with neck pain and/or headache [49]. In that study, no difference was
231 found in CVA between 52 adolescents with pain and 75 adolescents without pain. Taken as a whole, it
232 would appear that postural change in subjects with purported CGH remains equivocal and further
233 research is required in this area.

234 To our knowledge this is the first study investigating the flexion-rotation test (FRT) in children with
235 purported CGH. The results revealed three interesting aspects for discussion. Firstly, the mean range
236 recorded during the FRT in the asymptomatic group was approximately 8° more than that reported for
237 asymptomatic adults [12]. Secondly, the symptomatic group had significantly less range when
238 compared to the asymptomatic group. The difference in mean range recorded towards the dominant
239 headache side and the range in asymptomatic children was 19° . And lastly, range recorded to the right
240 and left were dissimilar in range in children with headache, with approximately 8° difference between
241 sides. One explanation for this could be that of the 30 children with headache, 19 children reported
242 right side dominant symptoms while only 11 reported the left side as dominant. Data for ROM
243 towards the dominant and non-dominant headache side was very similar to range to the left and the

244 right. The mean difference of 19°, between children with and without headache further highlights the
245 usefulness of the FRT in CGH diagnosis. However it is important to recognize that previous reports of
246 a positive cut-off point of 32-33° reported for the FRT in adults [17, 35] should not be used in
247 children because of their greater mobility. Further studies are required to identify the positive cut-off
248 value in children.

249 It is unclear as to why cardinal plane movement as well as movement during the FRT is altered in
250 children with purported CGH. It is clear that degeneration of the cervical spine is not a factor in this
251 age group. An alternative explanation may be the presence of altered muscle activation in the cervical
252 spine associated with CGH [23, 51]. A recent study [19] found massage of the cervical muscles
253 immediately improved range of motion recorded during the FRT in adults. Interestingly, we found a
254 strong negative correlation between range recorded towards the dominant headache side and the pain
255 intensity scores recorded after the FRT ($r = -0.758$, $p < 0.001$). In adults the presence of headache pain
256 at the time of testing and the presence of sub-clinical pain significantly influences the range recorded
257 during the FRT [16, 45]. Hence, pain and associated muscle activity may be important limiting factors
258 influencing upper cervical mobility and the FRT.

259 In addition to the correlation between the ROM recorded during the FRT and pain intensity scores, we
260 found a moderate positive correlation between the ROM recorded during the FRT and the CVA
261 ($r = 0.421$, $p < 0.05$). This indicates that a relatively small proportion of the FRT ROM could be
262 explained by the CVA. One explanation could be the starting position of the FRT. In contrast to
263 increased forward head posture where the upper cervical segments are positioned in extension, the
264 FRT puts the upper cervical spine into full flexion (12). Consequently, altered head posture and
265 reduced ROM of the upper cervical spine do not appear to be related in children with purported CGH.
266 This finding is consistent with of adults [37], which found ROM recorded during the FRT was only
267 weakly associated with forward head posture.

268 To our knowledge this is the first study to investigate pain provocation during the FRT. Pain levels
269 after the FRT in the asymptomatic group were very low with a maximum of 2/10 on the CAS. In
270 contrast, following the FRT, pain levels were much higher in the children with headache. This
271 difference may be explained by chronically altered tissue sensitivity in the children with headache
272 who had a mean history of headache for 20.7 months.

273 We acknowledge a number of limitations of this study. Firstly, a different examiner was used to
274 examine each group. This was done for logistical reasons with children with headache all recruited
275 from physiotherapy practices in the Netherlands, while asymptomatic children were recruited from
276 Germany. This meant that examiners were not blind to the subject's group allocation but they were
277 trained in the measurement methods. Previously it has been reported that when using the FRT even
278 inexperienced examiners have good reliability when compared with experienced examiners [14].

279 Secondly, the majority of the asymptomatic children were recruited from a sports club. Each child has
280 a different pain perception depending on the personality, learning, expectations and previous pain
281 experiences [30, 31]. Consequently, active children who play sport may have different range of
282 motion, posture, and responses to testing than less active children

283

284

CONCLUSION

285 This study found evidence of impaired function of the cervical spine in children with purported CGH.
286 When compared with an asymptomatic group of children, those with headache had significantly
287 reduced active ROM in all directions; significantly less range recorded during the FRT; significantly
288 higher pain scores following the FRT; and significantly greater forward head posture. This
289 information may be useful to clinicians in the identification of children with suspected CGH.
290 Decreased ROM and pain provocation during the FRT appears to have potential diagnostic value.
291 This study sets the groundwork for future studies investigating headache in children. Future studies
292 should investigate the diagnostic value of these tests in the identification of CGH from other headache
293 forms such as migraine or tension-type headache. In addition, impairments of the cervical spine as a
294 contributing factor to different pediatric headache forms needs to be clarified in more detail.

295

296

ABBREVIATIONS

297 CGH Cervicogenic headache

298 CAS Color analog scale

299 CVA Craniovertebral angle

300 FRT Flexion rotation test

301 ICC Intra-class correlation coefficient

302 CI Confidence interval

303 PCC Pearson correlation coefficient

304 ROM Range of motion

305 SD Standard deviation

306

307

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308

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310

311

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