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1 **Physicochemical and antimicrobial properties of citral and quercetin incorporated**
2 **kafirin-based bioactive films**

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14 **Abstract:** The aim of this study was to determine the physicochemical and antimicrobial
15 properties of kafirin-based bioactive films incorporating the plant essential oil citral and the
16 polyphenol quercetin. The addition of quercetin and citral both imparted a yellowish color to
17 the films. The tensile strength of films significantly decreased and elongation at break
18 increased when citral was incorporated, whereas addition of quercetin did not alter these two
19 film parameters. The rate of water vapor transmission of the films decreased with citral
20 incorporation but the water vapor permeability was not affected by either citral or quercetin
21 incorporation. Furthermore, incorporation of citral and quercetin significantly lowered the
22 oxygen permeability of the films. Film made of kafirin alone had antimicrobial activity
23 against *Listeria monocytogenes*, however films incorporating citral exhibited the highest
24 antimicrobial activity against *Campylobacter jejuni*, *Listeria monocytogenes*, and
25 *Pseudomonas fluorescens*. These results suggest that kafirin-based films incorporating citral
26 and quercetin have potential as bioactive packaging to improve food safety and quality.

27 **Keywords:** Kafirin, citral, quercetin, bioactive film, antimicrobial activity

28 **1 Introduction**

29 During the past decade, there has been an increasing interest in the development and use of
30 bio-based active films with demonstrated antimicrobial activities to improve food safety and
31 reduce the use of chemical preservatives (Aider, 2010). These bio-based active films may
32 also possess the abilities to avoid moisture loss or water absorption by the food matrix,
33 oxygen penetration to the food material, aromas loss and solute transport (Dutta, Tripathi,
34 Mehrotra, & Dutta, 2009), therefore providing further potential to maintain food quality
35 during product transportation and storage. These films can be made from food grade
36 biopolymers including proteins, lipids, and carbohydrates, which are edible and
37 biodegradable (Coma, 2008). However, as these biopolymers can be more expensive than
38 current plastic films and the total cost of a food product is highly related to the packaging
39 material cost. Therefore the search for more economical packaging materials is a very
40 important subject in the food industry (Aider, 2010). For instance, it is recommended that the
41 use of biopolymers from underutilised materials or from agro-food by-products and waste
42 would significantly reduce the cost of bioactive films (Campos, Gerschenson, & Flores,
43 2011).

44 Kafirin is a prolamin protein found in the grain of the cereal crop sorghum and accounts for
45 about 60-80% of the total grain protein (Da Silva & Taylor, 2005). Because kafirin is highly
46 hydrophobic, it has low solubility in water, and not easily enzymatically digestible after wet
47 heat processing, it is an ideal biopolymer for coating and film manufacture, due to its
48 potential to provide water and gas barrier properties (Taylor, Taylor, Dutton, & de Kock,
49 2005a). In addition, unlike some other predominant cereal crops such as rice and wheat,
50 sorghum is tolerant to poor soil conditions, drought, and a variety of crop diseases, and is
51 well-adapted to high temperatures; highlighting its potential as a sustainable crop for future
52 agro-food security in the predicted challenge of climate change (Stefaniak & Rooney, 2013).

53 To enhance the bioactivity (e.g. antimicrobial and antioxidant activity) of bio-based films,
54 plant essential oils and natural antioxidants (polyphenols) can be incorporated into the film.
55 For example, the essential oils from oregano, rosemary and garlic have been incorporated in
56 whey protein films (Seydim & Sarikus, 2006) and cinnamon essential oils in chitosan based
57 films to improve the antimicrobial and physicochemical properties (Ojagh, Rezaei, Razavi, &
58 Hosseini, 2010). The potential of cellulose-based packaging films containing cinnamaldehyde
59 and eugenol for lowering the growth rate of *Pseudomonas aeruginosa* has also been
60 documented (Sanla-Ead, Jangchud, Chonhenchob, & Suppakul, 2012). Current natural
61 polymer-based packaging materials often have poor mechanical properties and low water
62 resistance (Rhim & Ng, 2007), therefore the improved mechanical property, oxygen/water
63 barrier properties, and antimicrobial activities reported for kafirin films incorporating
64 polyphenols (tannins) (Emmambux, Stading, & Taylor, 2004), indicate the promise of
65 kafirin-based films as active packaging for foods.

66 The incorporation of plant essential oil and polyphenols into bioactive films might be a
67 feasible approach for improving their industrial applications. It has been reported that the
68 essential oil citral is effective in inhibiting the growth of a wide range of microorganisms
69 (Seow, Yeo, L., & Yuk, 2014) and the polyphenol quercetin is widely used as a potent
70 antioxidant in breakfast cereals, dairy products, processed fruits, fats and oils (Harwood,
71 Danielewska-Nikiel, Borzelleca, Flamm, Williams, & Lines, 2007). The purpose of this study
72 was to develop a bio-based active film using kafirin with the incorporation of citral and
73 quercetin as natural antimicrobial and antioxidant agents. The potential of the films for food
74 quality preservation was investigated by evaluating their antimicrobial properties against
75 selected spoilage and pathogenic bacteria and their physicochemical strength and barrier
76 properties.

77 2 Materials and methods

78 2.1 Materials

79 **Sorghum flour and chemicals:** Sorghum (*Sorghum bicolor*) grain variety Liberty (tannin
80 free, grain with un-pigmented pericarp/testa) was obtained from Queensland Department of
81 Agriculture, Fisheries and Forestry, Brisbane, Australia. The sorghum wholegrain was milled
82 to flour with a ZM 200 Retch Mill (Retsch GmbH & Co, Haan, Germany) using a 500 micron
83 screen. All chemicals including citral and quercetin were purchased from Sigma-Aldrich
84 (Sydney, Australia).

85 **Microbiological test cultures and media:** A common food spoilage organism *Pseudomonas*
86 *fluorescens* A150 and 2 pathogenic bacteria strains, *Campylobacter jejuni* A625, *Listeria*
87 *monocytogenes* A360 were provided by the School of Biomedical Sciences, Curtin
88 University. Viability and purity of the cultures were maintained by sub-culturing single
89 colonies fortnightly on appropriate media until the end of the experiments. *C. jejuni* was
90 maintained at 42 °C under microaerophilic conditions on campylobacter blood agar base
91 No.2 (Skirrow selective supplement, SA Analytical Lab Services, Adelaide, Australia). *P.*
92 *fluorescens* was maintained aerobically at 22 °C on brain heart infusion agar (SA Analytical
93 Lab Services, Adelaide, Australia) and *L. monocytogenes* aerobically at 37 °C on tryptic soy
94 agar (Becton, Dickinson and Company, Sydney, Australia).

95 2.2 Kafirin extraction

96 Kafirin was extracted using the method described by Taylor, Taylor, Dutton, and de Kock
97 (2005b). Briefly, the sorghum flour (250g) was extracted for 1 h with 900 mL aqueous
98 ethanol (70% v/v) containing 4.38g (\approx 0.5% w/w) sodium hydroxide and 6.25g (\approx 0.4% w/w)
99 sodium metabisulphite at 70 °C with continuous stirring. The kafirin containing supernatant
100 was separated by centrifugation at 3,000 rpm at 23° C for 5 min. Kafirin precipitate was

101 obtained through neutralization by adjusting the supernatant to pH 5.0 and was collected by
102 vacuum filtration. The kafirin filter-cake was then freeze-dried (Christ Alpha 1-2 / LD plus,
103 John Morris Scientific, Sydney, Australia). The freeze-dried kafirin was then ground using a
104 domestic coffee grinder and defatted three times with hexane at a protein to solvent ratio of
105 1:10 (w/w) and air-dried at ambient temperature. The isolated kafirin had $82.12 \pm 1.68\%$
106 protein (dry basis) as determined by the Kjeldahl method of AOAC 925.10 (AOAC, 2005)
107 using a nitrogen to protein conversion factor of $N \times 6.25$.

108 **2.3 *Film preparation***

109 Kafirin film forming solution was prepared by dissolving the kafirin in ethanol as described
110 by Buffo, Weller, & Gennadios (1997) with some modifications. Briefly, 30.88g of kafirin
111 was dissolved in 180.67g of 96% ethanol containing 12.64 g of plasticizer (1:1:1 w/w
112 polyethylene glycol 400: lactic acid: glycerol). The mixture was heated for 10 min at 80 ± 2
113 °C with rapid and continuous stirring. Four formulations were made from the film forming
114 solution with or without the addition of citral and/or quercetin (Table 1).

115 Consideration for the 2.5% and 1.25% citral was based on a preliminary antimicrobial
116 efficiency study carried out in this work. Two concentrations of quercetin (2% and 1%) were
117 considered based on the study by Fujisawa and Kadoma (2006). All formulations were
118 homogenized for 2 minutes at 6,000 rpm at 23 °C using a homogenizer disperser mixer
119 AD500S-P (IKA Works Inc. Wilmington, NC, USA).

120 Casting was carried out on glass sheets fabricated to form $320 \times 290 \times 3$ mm (L× W× D) glass
121 trays. The film forming solutions were placed on glass trays and evenly distributed using a
122 spreading rod. The films were dried for 24 h using forced draft in an oven (Panasonic
123 Biomedical, Leicestershire, UK) at $28 \pm 2^\circ$ C and $33 \pm 2\%$ RH. After peeling from the casting
124 wells the resulting free-standing films were conditioned in a desiccator at room temperature

125 (23 ± 2 °C) and 52 ± 3% relative humidity (RH). Conditioned films were used for analysis of
126 their physical and antimicrobial properties. The process of film-making and analysis was
127 performed in triplicate.

128 **2.4 *Film thickness***

129 The film thickness, taken as the average of eight random locations, was obtained using a
130 digital caliper micrometer (Thorlabs, NJ, USA) according to the method of Mei & Zhao
131 (2003). The film thickness was used in the calculations for the tensile and water vapor
132 permeability (WVP) properties of the films.

133 **2.5 *Moisture content of the film***

134 The moisture content of the films was determined according to AOAC 925.10 (AOAC,
135 2005). Samples of 30 – 40 cm² (300 - 400 mg) were placed on pre-dried aluminum dishes in
136 triplicate followed by drying to a constant mass in a forced draft oven at 105 ± 2° C for 24 h.

137 **2.6 *Film solubility in water***

138 The solubility of the films in water was determined using a modification of the method of
139 Kavooosi, Dadfar, and Purfard (2013). The initial dry matter of the films was obtained after
140 oven drying 28 - 32 cm² samples for 24 h at 105 ± 2°C. Dried samples were weighed into 50
141 mL centrifuge tubes and 40 mL deionized water was added. The tubes were sealed and
142 agitated in a shaking water bath at 30 °C for 24 h. The films were separated from the water
143 by vacuum filtration using a pre-dried, desiccator-equilibrated and pre-weighed filter paper
144 Whatman #2 (Thermo Fisher Scientific, Scoresby, Australia]. The final dry weight of the
145 filter paper and film residue were obtained after oven drying at 105 ± 2°C for 24 h. The tests
146 were performed in triplicate for each film and the solubility was calculated as equation (1):

$$\text{Solubility}(\%) = \left(\frac{\text{Film initial dry weight (g)} - \text{Film final dry weight(g)}}{\text{Film initial dry weight (g)}} \right) \times 100$$

147 (1)

148 2.7 *Film tensile properties*

149 Tensile properties of the film were evaluated according to ASTM standard method D882 – 12
150 (ASTM, 2013a) with some modifications. Rectangular strips of 60 × 10 mm were cut from
151 the pre-conditioned film samples. The ends of the strips were mounted between the tensile
152 grips (40mm apart) on a TA-XT2 Texture Analyzer (Stable Micro Systems, Goldalming,
153 UK). Tensile grips were coated with an abrasive paper, orbital sanding sheet (P180) obtained
154 from a local retail store (Bunnings, Perth, Australia). Tension was applied at a test speed of
155 0.6 mm/s, pre-test speed 1.0 mm/s, post-test speed 8.0 mm/s, distance 80.0 mm using a load
156 cell of 5 kg, at a temperature of 23 ± 2 °C and 53 ± 3% RH. The maximum force and distance
157 at break were obtained automatically from the computer.

158 The tensile strength and elongation at break (ELB) were obtained using equations (2) and (3)
159 respectively.

$$160 \text{ Tensile strength (N/mm}^2\text{)} = F / A \quad (2)$$

$$161 \text{ EAB (\%)} = [\text{Increase in length at breaking point } (\Delta l) / \text{original length}] \times 100 \quad (3)$$

162 where, F (N) is the maximum force at break, and A (mm²) is the initial cross-sectional area
163 (thickness (mm) × width (mm)). EAB is elongation at break, and (Δl) is the difference
164 between the original distance between grips holding the specimen before and distance after
165 the break of the sample.

166 **2.8 Water vapor permeability**

167 Water vapor permeability was determined gravimetrically according to Taylor, Taylor,
168 Dutton, and de Kock (2005a). Circles of the films (43 mm diameter) were mounted on the
169 mouth of 100 mL Schott bottles containing 90 mL deionized water. A watertight seal was
170 maintained by holding a 2 mm ledge of the film in place with a parafilm (Hach Pacific,
171 Sydney, Australia) reinforced by silicon tape. The test assembly was weighed to ± 0.001 g
172 and placed in an oven at 30 ± 3 °C at 32 ± 2 % RH. The assembly was weighed 7 times at 4 h
173 intervals and the changes in their weight over time were plotted in a scatter plot ($R^2 > 0.95$).
174 The rate of water vapor transmission (WVTR) was calculated using equation (4) and water
175 vapor permeability (WVP) was obtained from equation (5). Each film formulation was
176 evaluated in triplicate.

177
$$WVTR (g/h \cdot m^2) = (G/t)/A \quad (4)$$

178
$$WVP(g \cdot mm/m^2 \cdot h \cdot kPa) = G \cdot X/A \cdot \Delta P \quad (5)$$

179 Where, G is the weight change from the straight line (g), t is the time during which G
180 occurred (h), G/t is the slope of the straight line (g/h), A is the test area (cup mouth area, m²).
181 X is the film thickness (mm), ΔP is the differential water vapor partial pressure across the
182 film (Eck Van, 2004), and $\Delta P = (P_o (kPa) \times (RH1-RH2)/100$: P_o at 30 °C = 4.76 kPa (Aider,
183 2010). RH1 is the relative humidity inside the bottle and RH2 is the relative humidity outside
184 the bottle. An assumption was made that the relative humidity inside the bottle (RH1) was
185 100% (ASTM, 2013b). The relative humidity outside the bottle (RH2) was measured using a
186 Tiny Tag Ultra2 data logger for temperature and humidity (Omni instruments, Parkes,
187 Australia).

188 **2.9 Film oxygen permeability**

189 Oxygen permeability was determined according to the method of Ayranci and Tunc (2003),
190 however each type of edible film was used to seal between two plastic cups with 5.7 cm
191 diameter, rather than the diameter of 4 cm previously reported. The oxygen permeability
192 equipment was assembled to contain two identical chambers each with an inlet and an outlet
193 channel.

194 Oxygen was allowed to enter and leave one of the cups on one side of the film at a controlled
195 flow rate. Constant pressure in the unit was maintained at 10 ± 0.5 Kpa using a differential
196 pressure manometer HD750 (± 5 psi range) (Extech Instruments, New Hampshire, USA).
197 The cup on the other side of the film was purged by a stream of nitrogen which functioned as
198 a carrier of permeated oxygen to a wet analysis system containing manganese (II) sulphate
199 and alkaline iodide azide solution for 1 hour.

200 The solution of manganese (II) sulphate and alkaline iodide azide was analyzed for oxygen
201 concentration (mg/L) using the stoichiometric titration described by Vogel (1989) and
202 following Equation (6). Any pre-dissolved oxygen in the wet system was determined using a
203 blank titration. Finally, oxygen permeability was calculated using equation (7).

$$\begin{aligned} 204 \text{ Dissolved oxygen mg/L} &= (\text{Vol of thiosulphate (mL)} \times M \text{ of thiosulphate} \times 8000) / \\ 205 &(\text{vol of sample titrated(ml)} \times [(\text{ml of original solution} - 8) / \\ 206 &(\text{ml of original solution})] \end{aligned} \quad (6)$$

207 where: “8000” is a factor related to 8 mg oxygen / miliequivalents mEq, “8” was the volume
208 in mL of reagents added.

$$209 \text{ Oxygen permeability} = m \cdot d / A \cdot t \cdot \Delta P \quad (7)$$

210 Where: m is the mass of oxygen (g) diffusing through the film; d is the thickness (m) of the
211 film; A (m^2) is the area through which permeation takes place; t (h) is the time interval under
212 which permeation occurs; ΔP (Pa) is the pressure difference of oxygen between the two sides
213 of the film (oxygen pressure on the nitrogen side of the film was assumed to be zero since all
214 diffused oxygen was continuously carried away by nitrogen).

215 *2.10 Color measurement*

216 The colour of the films was determined using a Minolta Hunter LAB colorimeter (CM-508i,
217 Konica Minolta, Tokyo, Japan) using instrumental color readings L^* a^* b^* . The instrument
218 was calibrated using a standard white tile. Three replicate readings from random locations
219 were taken for each sample and the average was recorded.

220 *2.11 Antimicrobial activity of films*

221 The antimicrobial property of the films was tested qualitatively against *C. jejuni*, *L.*
222 *monocytogenes* and *P. fluorescens* using the agar disc diffusion method according to Rojas-
223 Graü, Avena-Bustillos, Friedman, Henika, Martín-Belloso, and McHugh (2006). The
224 selection of the three microorganisms was based on their frequent occurrence in food,
225 especially in poultry meat and meat products (Ravishankar, Zhu, Olsen, McHugh, &
226 Friedman, 2009). An active culture of each bacterium was obtained by inoculating single
227 colonies into tryptic soy broth with 0.6% yeast extract (TSBYE) for 12 hours. Subsequent
228 dilutions of the stock cultures were made in buffered peptone water (BPW) to obtain
229 approximately 10^8 CFU/ml with reference to the 0.5 McFarland standard turbidity test
230 (Kavoosi, Dadfar, & Purfard, 2013).

231 Lawns of bacteria cultures were introduced by flooding 1,000 μ L of the 10^8 cells/ml
232 inoculum onto their respective media on sterile petri dish and excess cells were drawn using a
233 sterile micropipette. Plates were air dried in a laminar flow cabinet for 10 minutes. Films

234 were aseptically cut into 8 mm diameter discs and six discs were arranged in each plate
235 following an alternating manner determined by the levels of citral concentration. All plates
236 were incubated in an inverted position for 24 h under the specific conditions for each
237 microorganism as described above.

238 The size (mm) of the inhibition zone (annular radius) around the film disk (colony-free
239 perimeter) was measured and the growth of the bacteria at the contact area of edible film with
240 the agar surface was visually examined (Chen, Yeh, & Chiang, 1996). An average of three
241 measurements of the radius taken, 90 degrees apart were used for antimicrobial sensitivity
242 analysis.

243 *2.12 Statistical analysis*

244 The effect of film formulation on tensile strength and elongation at break was assessed using
245 one-way multivariate analysis of variance. Other tests were analyzed using one-way ANOVA
246 and non-parametric tests where applicable using SPSS version 21 (IBM Corp, NY, USA).
247 Pair wise comparisons using Tukey (HSD) was used to separate the differences at 5%
248 significance level. A two-way analysis of variance was used to determine the significance of
249 the difference in the zones of inhibition of the films across the three microorganisms.

250 **3 Results and discussion**

251 Ethanol (96 %) was effective in solubilizing kafirin at $80 \pm 2^\circ\text{C}$ allowing the formation of
252 free standing films. The films formed through this process were easy to peel from the glass
253 surface and easy to handle. This is in agreement with the work of Buffo, Weller, and
254 Gennadios (1997) on kafirin film preparation.

255 **3.1 Thickness and moisture content of kafirin films**

256 Addition of different combinations of citral and quercetin to the kafirin film forming solution
257 caused significant ($P < 0.05$) alteration of their thickness (Table 2). The thickness of the films
258 ranged from 0.07-0.09 mm, which was in agreement with the published values of Buffo,
259 Weller, & Gennadios (1997), and fitted the film definition of < 0.25 mm (ASTM, 2013a).

260 The mean thickness of films without the plant extracts (P - films) was lower than that of the
261 films where 2 % citral (C films), 1.25% citral and 1% quercetin (C+Q films) and 2 %
262 quercetin (Q-films) were incorporated. This was possibly due to increased viscosity of film
263 forming solutions with the addition of citral and quercetin. Taylor, Taylor, Dutton, and de
264 Kock (2005a) reported that more viscous film forming solutions may result in thicker films.
265 The moisture content of the various films was not significantly different, which could be
266 attributed to the uniform storage conditions applied.

267 **3.2 Solubility of kafirin films**

268 Film water solubility can influence its use for protection of the packaged product from the
269 external environment. The film may be required to be water insoluble especially when
270 applied in high moisture foods. Kafirin has been described as a highly hydrophobic protein
271 that is soluble only in aqueous alcohol solutions, ketones, amide solvents, esters, glycols and
272 high concentrations of salts (Shukla & Cheryan, 2001). In the present study, the water
273 solubility of kafirin films with the incorporation of citral and quercetin was not significantly
274 different ($P > 0.05$) from the control (P) film (Table 2), which suggested that the kafirin film
275 solubility was not affected by the citral and quercetin addition.

276 **3.3 Tensile properties of kafirin films**

277 The tensile strength (N/mm^2) and elongation at break (% EAB) were used to describe the
278 mechanical properties of the kafirin films. Films formulation significantly affected their

279 tensile strength (TS) and % EAB ($P < 0.05$) (Table 2). Films containing citral had lower TS
280 and higher % EAB than the films without citral. The study showed a high standard deviation
281 in % EAB ($S.D > \pm 40 \%$) which could be attributed to the existence of a large number of
282 variables influencing the properties of the films (Buffo, Weller, & Gennadios, 1997).
283 Similarly high S.D. for % EAB ($\pm 47.9 \%$) of kafirin film was observed by Taylor, Taylor,
284 Dutton, and de Kock (2005a).

285 The mean % EAB of the control (P) film was very close to that reported by Taylor, Taylor,
286 Dutton, and de Kock (2005a), i.e. EAB $63.5 \pm 47.9\%$ for plain film cast from 70% ethanol
287 and 70 °C (specimen size 60×6 mm, tension applied = 0.4mm/s). The maximum force
288 obtained by the authors from plain kafirin films cast was also similar to the force (4.1N) in
289 the current study (results not shown).

290 Variations in specimen size, film thickness, and film conditioning have been reported to
291 influence film mechanical properties (Buffo, Weller, & Gennadios, 1997). The present results
292 showed that formulation affected mechanical strength of the films. The addition of citral
293 resulted in higher elongation at break ($P < 0.05$). This is of potential value for food packaging
294 applications as these films could find application in products requiring film flexibility
295 (Kavoosi, Dadfar, & Purfard, 2013).

296 **3.4 Water vapor permeability test**

297 The water vapor permeability (WVP) of kafirin films are shown in Table 3, where no
298 significant difference was observed among the four types of film formulations ($P > 0.05$).
299 However, the overall mean water vapor transmission rate (WVTR) of plain (P) film was
300 significantly higher than that of the films containing citral (C and C+Q) but not different from
301 the quercetin (Q) film. Films containing citral exhibited lower water vapor transmission than
302 plain (P) and quercetin (Q) films (Table 3). Low water transmission rate may be a useful

303 property of edible films in product packaging of food to prevent gaining or losing of moisture
304 transmission.

305 It has been proposed that the types and levels of plasticizers have influence on the migration
306 of water through thin films. According to Gillgren, Faye, and Stading (2011), addition of
307 plant extracts (e.g. citral) to film forming solutions could lower the concentration of the
308 plasticizers and then the WVTR. In the current study, plain films had higher concentration of
309 plasticizers and therefore higher WVTR than the rest. Another reason might be that citral is
310 an essential oil from citrus that has a hydrophobic nature which also reduced the water
311 transmission.

312 Taylor, Taylor, Dutton, and de Kock (2005a) reported a mean WVP of 0.43 (g·mm/m²·h·kPa)
313 and WVTR 10.9 (g/h/m²) on plain kafirin films cast using 70% ethanol at 70 °C, which were
314 lower than the results of the present study (Table 3). This difference might be related to the
315 use of a different kafirin source and/or the homogenization method used on the kafirin film-
316 forming solutions. The homogenization of the film forming solutions may generate bubbles
317 and pinholes, which have previously been shown to increase water and gas permeability of
318 polymeric films (Park & Chinnan, 1995). In the present study, we have kept the homogenized
319 solutions still for 30 min before film casting to minimize the bubble formations.

320 **3.5 Oxygen permeability**

321 The oxygen permeability (OP) of the 4 types of films was in the range of $(2.90 - 6.24) \times 10^7$
322 g·mm/m²·day·Pa (Table 3). The results showed that OP decreased significantly with addition
323 of plant extracts (P<0.05). Plain kafirin film exhibited the highest (P<0.05) oxygen
324 permeability rate whereas quercetin films had the lowest OP rates.

325 The highest values for oxygen permeability of plain kafirin films could be attributed to the
326 highly non-polar characteristic of the kafirin (Guilbert, Gontard, & Cuq, 1995). According to

327 Yoo and Krochta (2011), oxygen as a non-polar molecule has a tendency to dissolve more in
328 low polarity polymers such as kafirin. As mentioned in section 3.4, plain films had relatively
329 higher levels of plasticizers (polyethylene glycol/lactic acid/glycerol) compared to other
330 films, which might also have increased the OP of the plain films (Gillgren, Faye, & Stading,
331 2011).

332 The protective action of flavonoids such as quercetin against movement of molecules across
333 biological membranes has been suggested by Ionescu, Popescu, Drăgușin, Dima, Iftime, and
334 Ganea (2007). The lowest oxygen permeability shown by quercetin films could be due to
335 interactions between the polyphenol with the kafirin polymer through hydrogen bonding,
336 which resulted in reduction diffusion of oxidizing molecules across the film (Ionescu et al.,
337 2007). The authors showed that quercetin has the ability of inserting into lipid bilayers in
338 artificial membranes as well as influencing the anion-lipid interactions in a biological system
339 blocking the movement of oxidizing agents. The results of the present study indicated that
340 incorporation of citral and quercetin, especially the quercetin, could significantly reduce the
341 film oxygen permeability. Barrier to gas movement is a promising property in food packaging
342 to prevent product oxidation, or loss of volatile aroma compounds.

343 ***3.6 Effect of film formulation on film color***

344 Qualitative observation of the films showed that they were yellowish-brown in color (Figure
345 1), which was the colour of the extracted kafirin raw material. The yellowish-brown color of
346 kafirin has previously been associated with the presence of natural pigments and polyphenols
347 in the sorghum grain that may have been co-extracted with the kafirin (Da Silva & Taylor,
348 2005; Shukla & Cheryan, 2001).

349 The control film was the lightest $L^* = 75.14$ (Table 4), which was in agreement with the
350 lightness ($L^* = 75.6 - 78.0$) reported by Da Silva and Taylor (2005) for films of sorghum

351 extracted from whole grain white sorghum. In contrast, the Q and C-Q films ($L^* = 61.94$ and
352 65.44) were darker ($P > 0.05$) than P and C films, which could be the dark yellowish
353 coloration from quercetin (Formica & Regelson, 1995). The b^* values of films that contained
354 citral (C and C-Q films) were higher ($P < 0.05$) than those of P and Q films, implying that
355 films containing citral were more yellow.

356 Subjective assessment showed that the films containing citral and quercetin were darker,
357 redder and more yellow compared to the control. Similarly, Kim, Marshall, Cornell, Iii, and
358 Wei (1995) reported that citral passed on a yellowish coloration to citral-treated fish products.
359 Guilbert, Gontard, and Cuq (1995) reported that color, transparency, clarity, taste and odor of
360 the films could determine its acceptability. Therefore, incorporation of citral and quercetin in
361 kafirin film as potential bioactive packaging material could influence product color and then
362 consumer acceptability.

363 3.7 Antimicrobial activity of the film

364 The three microorganisms showed varying degrees of sensitivity against the four types of
365 films. The mean annular radii (mm) of the inhibition zones given as the average of nine are
366 summarized in Table 5. The control (P) films showed some inhibitory power against *L.*
367 *monocytogenes* (radius = 0.17 mm) but no inhibition of *C. jejuni* and *P. fluorescens*.
368 However, visual observation of the contact area showed that cells of all the three bacteria
369 strains did not grow beneath (at the contact area with the agar surface) the plain film. The
370 antibacterial activity shown by the P film may have resulted from the natural active
371 compounds, for example, flavonoids, and phenolic acids in the kafirin extract from the
372 sorghum grain (Afify, El-Beltagi, El-Salam, & Omran, 2012). The antimicrobial activity of
373 grain phenolic compounds has been reported in other studies. For example, the antibacterial
374 activity of phenolic compounds in grains against microorganisms *Bacillus cereus* and

375 *Aspergillus flavus* has been reported by Viswanath, Urooj, and Malleshi (2009). In another
376 study, Kil et al. (2009) showed that methanolic crude extracts of sorghum (*S. bicolor*)
377 inhibited the growth of *Staphylococcus aureus*.

378 Films containing citral showed a dose-related inhibition against the three microorganisms.
379 Overall, C – film (2.5% citral) was the most potent inhibitor, followed by C-Q (1.25% citral)
380 films as demonstrated by larger zones of inhibition for all three strains (Table 5).
381 Comparative antibacterial activity of citral against two other strains of foodborne pathogens
382 *Salmonella typhimurium* and its rifampicin-resistant strain has previously been demonstrated
383 by Kim, Marshall, Cornell, Iii, and Wei (1995).

384 Quercetin (Q) and plain (P) films showed similar antimicrobial reaction towards all three
385 strains. The contact area of the Q-films on the agar surface also showed that the three
386 organisms did not grow beneath the films. The potential of quercetin and other flavonoids as
387 antimicrobial agents was reviewed by Cushnie and Lamb (2005). These authors attributed the
388 antimicrobial activity of quercetin to possible reactions leading to inhibition of DNA gyrase.
389 However, in the current study, quercetin films showed similar activity to the plain film
390 probably because the plain kafirin films already contained some phenolic compounds and the
391 added quercetin concentration (2%) was not high enough to inhibit the selected
392 microorganisms. The results suggested that citral was the main contributor of the
393 antimicrobial capacity of the tested kafirin films.

394 **4 Conclusion remarks**

395 This study successfully formulated bioactive films from sorghum protein incorporating the
396 phytochemicals of citral and quercetin. The addition of quercetin and citral both imparted a
397 yellowish color on the films, and significantly lowered the film oxygen permeability. The
398 tensile strength and rate of water vapor transmission were decreased, and elongation at break

399 was increased when citral was incorporated into the films. In addition, the films incorporated
400 with citral showed strong antimicrobial activity against *C. jejuni*, *L. monocytogenes* and *P.*
401 *fluorescens*. The results suggested that kafirin-based films incorporating citral and quercetin
402 have the potential to be used as bioactive packaging material to improve food safety and
403 quality. Further research on the evaluation of the physical suitability and antimicrobial
404 properties of these films in fresh food systems is under investigation.

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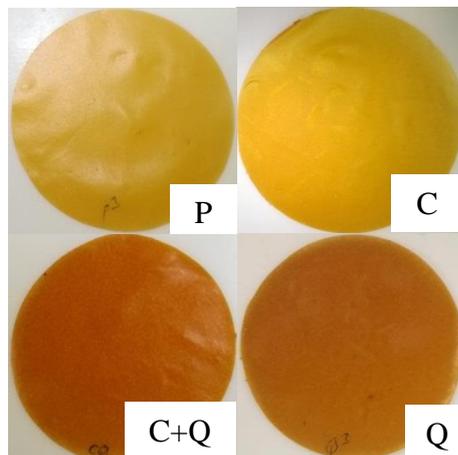
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518 Figure 1. Visual observation of kafirin based films: P (plain film), C (P + 2.5% citral film),

519 C+Q (P + 1.5% citral + 1% quercetin film), Q (P + 2% quercetin film).

520 **Table 1- Compositions of kafirin-based active films**

Treatment	Formulation (Percent of ingredient in formula)
Plain kafirin film (P)	Kafirin: 13.8%; Plasticizer: 5.6% (1:1:1 w/w mixture of glycerol: polyethylene glycol 400:lactic acid); 96% ethanol: 80.6%
Citral kafirin film (C)	P + 2.5% citral
Quercetin kafirin film (Q)	P + 2% quercetin
Citral quercetin kafirin film (C+Q)	P + 1.25% citral + 1% quercetin

521

522 **Table 2 Physical and mechanical properties of kafirin films**

Film	Thickness (mm)	% Moisture content	% Solubility	Tensile Strength (Nmm ⁻²)	Elongation at break (%)
P	0.07 ± 0.01 ^a	14.0 ± 3.94 ^a	29.1 ± 5.59 ^a	3.48 ± 1.11 ^b	79.7 ± 41.4 ^a
C	0.09 ± 0.02 ^b	13.5 ± 4.80 ^a	24.7 ± 4.89 ^a	1.89 ± 0.55 ^a	141.0 ± 40.7 ^b
C+Q	0.09 ± 0.02 ^b	14.0 ± 2.52 ^a	24.5 ± 2.99 ^a	1.76 ± 0.50 ^a	147.0 ± 48.8 ^b
Q	0.08 ± 0.02 ^{ab}	11.3 ± 3.31 ^a	24.4 ± 3.53 ^a	3.25 ± 0.23 ^b	46.7 ± 44.5 ^a

523 P (plain film), C (P + 2.5% citral film), C+Q (P + 1.5% citral + 1% quercetin film), Q (P +
524 2% quercetin film).

525 Same superscript letters in the same column are not significantly different (p > 0.05).

526 **Table 3 Water and oxygen barrier properties of kafirin films with different levels of**
 527 **citral and quercetin**

Films	WVP (g.mm/m ² .h.kPa)	WVTR (g/h.m ²)	OP × 10 ⁷ (g/m.day.Pa)
P	0.66 ± 0.08 ^a	31.5 ± 3.74 ^b	6.24 ± 0.45 ^c
C	0.69 ± 0.10 ^a	25.6 ± 3.86 ^a	4.86 ± 1.11 ^b
C+Q	0.65 ± 0.12 ^a	23.7 ± 4.38 ^a	4.33 ± 0.34 ^b
Q	0.74 ± 0.18 ^a	28.9 ± 6.96 ^{ab}	2.90 ± 0.46 ^a

528 P (plain film), C (P + 2.5% citral film), C+Q (P + 1.5% citral + 1% quercetin film), Q (P +
 529 2% quercetin film).

530 Same superscript letters in the same column are not significantly different at (P > 0.05).

531 WVTR – Water vapor transmission rate.

532 WVP – Water vapor permeability of the films.

533 OP – Oxygen permeability of the films.

534 **Table 4 CIE L* a* b* values of kafirin films**

Film type	L^*	a^*	b^*
P	75.14 ± 2.02^c	13.52 ± 2.18^a	41.78 ± 3.93^a
C	69.48 ± 3.87^b	16.01 ± 3.88^{ab}	54.62 ± 3.50^b
C+Q	65.44 ± 4.24^{ab}	21.84 ± 5.10^c	57.70 ± 2.75^b
Q	61.94 ± 4.50^a	19.03 ± 2.34^{bc}	43.19 ± 4.90^a

535 ^{**} Mean \pm S.D (n=9); L^* = Lightness; a^* = Redness; b^* = yellowness

536 P (plain film), C (P + 2.5% citral film), C+Q (P + 1.5% citral + 1% quercetin film), Q (P +
 537 2% quercetin film).

538 Same superscript letters in the same column are not significantly different at ($P > 0.05$).

539 **Table - 5 Antimicrobial activity of kafirin-based film on three bacteria strains (mean ±**
 540 **S.D n=9)**

Microbe	<i>Campylobacter jejuni</i>		<i>Listeria monocytogenes</i>		<i>Pseudomonas fluorescens</i>	
	Inhibition zone (mm)	Contact area	Inhibition zone (mm)	Contact area	Inhibition zone (mm)	Contact area
Films						
P	0.00 ^a	+/-	0.17 ± 0.24 ^a	+/-	0.00 ^a	+/-
C	15.3 ± 4.70 ^c	++	2.54 ± 0.60 ^c	+	1.74 ± 0.78 ^c	+
C+Q	6.37 ± 4.20 ^b	+	0.52 ± 0.10 ^b	+	0.50 ± 0.01 ^b	+
Q	0.00 ^a	+/-	0.16 ± 0.16 ^a	+/-	0.04 ± 0.01 ^a	+/-

541 P (plain film), C (P + 2.5% citral film), C+Q (P + 1.5% citral + 1% quercetin film), Q (P +
 542 2% quercetin film)

543 ^{abcd} Mean ± S.D in the same column bearing the same superscript letters are not statistically
 544 significantly different (p > 0.05)

545 +/- .weak cells retraction at the contact area; +. clear inhibition zone present.

546 Same superscript letters in the same column are not significantly different at (P > 0.05).