

1                   **The Formation of Halogen-Specific TOX from Chlorination and**  
2                   **Chloramination of Natural Organic Matter Isolates**

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23

## 1 **Abstract**

2 The formation of disinfection by-products (DBPs) is a public health concern. An important way  
3 to evaluate the presence of DBPs is in terms of the total organic halogen (TOX), which can be  
4 further specified into total organic chlorine (TOCl), bromine (TOBr), and iodine (TOI). The  
5 formation and distribution of halogen-specific TOX during chlorination and chloramination of  
6 natural organic matter (NOM) isolates in the presence of bromide and iodide ions were studied.  
7 As expected, chloramination produced significantly less TOX than chlorination. TOCl was the  
8 dominant species formed in both chlorination and chloramination. TOI was always produced in  
9 chloramination, but not in chlorination when high chlorine dose was used, due to the limited  
10 presence of HOI in chlorination as a result of the oxidation of iodide to iodate in the presence of  
11 excess chlorine. The formation of TOI during chloramination increased as the initial iodide ion  
12 concentration increased, with a maximum of ~60% of the initial iodide ion becoming  
13 incorporated into NOM. Iodine incorporation in NOM was consistently higher than bromine  
14 incorporation, demonstrating that the competitive reactions between bromine and iodine species  
15 in chloramination favoured the formation of HOI and thus TOI, rather than TOBr. Correlations  
16 between the aromatic character of the NOM isolates ( $SUVA_{254}$  and % aromatic C) and the  
17 concentrations of overall TOX and halogen-specific TOX in chloramination were observed. This  
18 indicates that the aromatic moieties in NOM, as indicated by  $SUVA_{254}$  and % aromatic C, play an  
19 important role in the formation of overall TOX and halogen-specific TOX in chloramination.  
20 THMs comprised only a fraction of TOX, up to 7% in chloramination and up to 47% in  
21 chlorination. Although chloramine produces less TOX than chlorine, it formed proportionally  
22 more non-THM DBPs than chlorine. These non-THM DBPs are mostly unknown, corresponding  
23 to unknown health risks. Considering the higher potential for formation of iodinated DBPs and

1 unknown DBPs associated with the use of chloramine, water utilities need to carefully balance  
2 the risks and benefits of using chloramine as an alternative disinfectant to chlorine in order to  
3 satisfy guideline values for THMs.

4

5

6 **Keywords:** Total organic halogen, iodide, bromide, disinfection by-products, trihalomethanes,  
7 chlorination, chloramination, natural organic matter

8

9

## 10 **1 Introduction**

11 Disinfection is an integral part of drinking water treatment due to its crucial role in preventing the  
12 spread of water-borne diseases. However, as well as inactivating microorganisms in the water,  
13 chemical disinfectants also react with natural organic matter (NOM) and inorganic materials in  
14 the water, producing disinfection by-products (DBPs). The formation of DBPs from disinfection  
15 practices is a public health concern and a drinking water treatment problem (Richardson *et al.*,  
16 2002).

17

18 Organic DBPs can be quantified as individual species, *e.g.* trihalomethanes (THMs), haloacetic  
19 acids (HAAs), and haloacetonitriles (HANs), or as total organic halogen (TOX). TOX is a group  
20 parameter that is used to characterise the incorporation of halogen into organic molecules,  
21 regardless of their identity. Chlorine and chloramine are the two major disinfectants that produce  
22 significant amounts of TOX. In chlorinated samples, only about 50% of TOX can reportedly be  
23 assigned to individual species, while, in chloraminated samples, the corresponding value is less

1 than 20% (Richardson, 2003; Li *et al.*, 2002). TOX therefore provides a measure of the overall  
2 organic halogenated DBP formation which cannot be provided by analysis of specific DBPs. It is  
3 also an alternative to the measurement of individual DBPs, which cannot be done routinely due to  
4 the diversity and the large range of molecular weights of the DBPs formed.

5  
6 In the presence of bromide and iodide ions, chlorinated organic compounds (TOCl), as well as  
7 brominated (TOBr) and iodinated (TOI) organic compounds, are formed. TOX analysis can thus  
8 be further specified into TOCl, TOBr, and TOI. The knowledge of the proportions of TOBr and  
9 TOI is important for the evaluation of the health risks of DBPs. Brominated and iodinated DBPs  
10 are now being recognised as toxicologically important. Many brominated DBPs have been shown  
11 to be more carcinogenic than their chlorinated analogues, while iodinated compounds may be  
12 more toxic than their brominated analogues (Plewa *et al.*, 2004; Li *et al.*, 2002).

13  
14 The conventional method for TOX measurement combines carbon adsorption, pyrolysis, and  
15 measurement of hydrogen halide by microcoulometry. The method cannot differentiate between  
16 the different halides as it measures all halogens as chloride equivalents. In order to differentiate  
17 the halogen constituents in TOX, a different method of halide detection is required. Ion  
18 chromatography has been the popular choice for the differential analysis of TOCl, TOBr, and  
19 TOI (Hua and Reckhow, 2006; Echigo *et al.*, 2000; Oleksy-Frenzel *et al.*, 2000). Using ion  
20 chromatography, chloride, bromide, and iodide ions contained in the halide mixture can be  
21 individually measured.

22  
23 There have been many studies reporting the formation and behaviour of TOX from chlorination  
24 of water samples and NOM isolates (*e.g.* Li *et al.*, 2002; Baribeau *et al.*, 2001; Pourmoghaddas

1 and Stevens, 1995). However, there are only a few studies on the formation of TOX from  
2 chloramination (Wu *et al.*, 2003; Diehl *et al.*, 2000; Symons *et al.*, 1998), and those that compare  
3 the formation of TOX from chlorination and chloramination of water samples and NOM isolates  
4 (Hua and Reckhow, 2007; Wu *et al.*, 2003; Zhang *et al.*, 2000). The extent to which bromide and  
5 iodide ions can influence the formation of TOX is also not well known. Most of the previously  
6 published studies only measured TOX, not the halogen-specific fractions of TOX (TOCl, TOBr,  
7 and TOI). Echigo *et al.* (2000) compared the concentrations of TOCl and TOBr in water samples  
8 treated with chlorine, chloramine, and chlorine dioxide, while Oleksy-Frenzel *et al.* (2000)  
9 measured the concentrations of TOCl, TOBr, and TOI in drinking water and wastewater samples.  
10 Recently, the formation of all three species of TOX (TOCl, TOBr, and TOI) from chlorination of  
11 natural waters in the presence of bromide and iodide ions was reported (Hua and Reckhow, 2006;  
12 Hua *et al.*, 2006).

13  
14 This work aimed to bridge the knowledge gaps in studies of TOX formation. The formation and  
15 distribution of halogen-specific TOX, namely TOCl, TOBr, and TOI, upon chlorination and  
16 chloramination of natural organic matter (NOM) isolates in the presence of bromide and iodide  
17 ions were studied. This is the first study to investigate the influence of the characteristics of  
18 NOM and the concentrations of bromide and iodide ions on the formation of all three species of  
19 halogen-specific TOX in chloramination.

20

## 21 **2 Materials and Methods**

### 22 **2.1 NOM Samples**

1 NOM samples were collected from the following sources: Loire River and Ribou Reservoir in  
2 France; and Suwannee River, Colorado River, and South Platte River in the United States. The  
3 NOM in raw water samples from these sources was fractionated using XAD resins. Details of the  
4 fractionation procedure have been presented elsewhere (Croué *et al.*, 2000). The hydrophobic  
5 (HPO) fraction refers to the NOM fraction recovered from the XAD-8 resin by elution with an  
6 acetonitrile/water mixture; the hydrophobic acid (HPOA) fraction refers to the NOM fraction  
7 recovered from the XAD-8 resin by elution with NaOH solution; the transphilic (TPI) fraction  
8 refers to the NOM fraction recovered from the XAD-4 resin by elution with an acetonitrile/water  
9 mixture. Solid samples of the NOM fractions were obtained from the respective eluents by  
10 freeze-drying.

11  
12 The NOM fractions were characterised by determination of their specific  $UV_{254}$  values ( $SUVA_{254}$   
13 =  $UV_{254}/\text{mg/L}$  of dissolved organic carbon (DOC)) and % aromatic carbon content. The  
14 aromaticity of the samples was determined using solid state  $^{13}\text{C}$  nuclear magnetic resonance  
15 (NMR) spectroscopy. Details of the procedures used to characterise the NOM fractions have been  
16 presented elsewhere (Croué *et al.*, 2000). Details of the NOM fractions used in this work and  
17 their relevant characteristics are given in Table 1. For the disinfection experiments, these  
18 fractions were individually dissolved in MilliQ (MQ) water at concentrations of 5 mg solid NOM  
19 fraction per litre. The DOC concentrations of these solutions were determined by the  
20 UV/persulfate oxidation method, using a Shimadzu TOC Analyser TOC-V<sub>WS</sub>.

## 21 22 **2.2 Disinfection Experiments**

23 The hydrophobic fraction from the Loire River (LR HPO) ( $5 \text{ mg L}^{-1}$  of NOM sample in MQ  
24 water, corresponding to  $2.62 \text{ mg L}^{-1}$  DOC) was subjected to chlorination ( $10 \text{ mg L}^{-1} \text{ Cl}_2$ ) and

1 chloramination (preformed chloramine, mass ratio of  $\text{Cl}_2 : \text{N} = 4 : 1$ ,  $10 \text{ mg L}^{-1}$  as total  $\text{Cl}_2$ ) in the  
2 presence of added bromide ( $300 \mu\text{g L}^{-1}$ ) and iodide ( $50 \mu\text{g L}^{-1}$ ) ions. Relatively high chlorine and  
3 chloramine doses were used in these experiments to ensure that the disinfectant is always in  
4 excess, which will result in significant TOX formation. The experiments were carried out at  $20^\circ\text{C}$   
5 and  $50^\circ\text{C}$ , for 48 hours, at pH 7 for chlorination and pH 8 for chloramination, using phosphate  
6 buffer (10 mM). Chlorination experiments were carried out at pH 7 to simulate the commonly  
7 used pH in chlorination practices (White, 1999), and since it is the pH used in the Standard  
8 Method for determination of trihalomethane formation potential (Clesceri *et al.*, 1998). A higher  
9 pH was used in the chloramination experiments to ensure that monochloramine was the active  
10 species during chloramination, and to minimise the decomposition of monochloramine (Symons  
11 *et al.*, 1998). The expected difference in the formation of disinfection by-products between  
12 chlorination and chloramination would have been enhanced if pH 8 was used in chlorination  
13 experiments (Reckhow and Singer, 1985). Since the comparison of the two oxidants did not  
14 represent the major objective of this work, conducting chlorination at pH 8 was not considered.  
15 In each experiment, at various times up to 48 hr, the residual chlorine in a subsample of the  
16 reaction solution was quenched with aqueous sodium sulfite solution, and the sample was then  
17 analysed for halogen-specific TOX and THMs.

18  
19 A separate set of chloramination experiments was carried out, using all 9 NOM samples, in  
20 which the concentration of iodide ion was varied from  $50$  to  $300 \mu\text{g L}^{-1}$  ( $0.39$  to  $2.36 \mu\text{M}$ ), while  
21 the concentration of bromide ion was kept constant at  $300 \mu\text{g L}^{-1}$  ( $3.75 \mu\text{M}$ ). Another set of  
22 chloramination experiments was carried out, using 7 of the 9 NOM samples, in which the  
23 concentration of bromide ion was varied from  $32$  to  $190 \mu\text{g L}^{-1}$  ( $0.40$  to  $2.40 \mu\text{M}$  *i.e.* equal molar

1 concentrations to 50 – 300  $\mu\text{g L}^{-1}$  iodide ion), while the concentration of iodide ion was kept  
2 constant at 475  $\mu\text{g L}^{-1}$  (3.74  $\mu\text{M}$  *i.e.* equal molar concentration to 300  $\mu\text{g L}^{-1}$  bromide ion). These  
3 chloramination experiments were all performed at 20°C and pH 8. Relatively high concentrations  
4 of bromide and iodide ions were used in these experiments to represent source waters that contain  
5 high concentrations of these ions, where significant formation of brominated and iodinated DBPs  
6 are expected (Richardson, 2007). Sampling for the analysis of halogen-specific TOX in these  
7 experiments was carried out only at the end of the 48-hour experimental period, after quenching  
8 with sodium sulfite.

9

### 10 **2.3 Chlorine and Chloramine Measurements**

11 Chlorine and chloramine ( $\text{NH}_2\text{Cl}$ ) were analysed using the *N,N*-diethylphenylene-1,4-diamine  
12 (DPD) colorimetric method (Ventresque *et al.*, 1990). For free chlorine determination, 250  $\mu\text{L}$  of  
13 a pH 6.8 phosphate buffer solution (24  $\text{g L}^{-1}$   $\text{Na}_2\text{HPO}_4$  and 46  $\text{g L}^{-1}$   $\text{KH}_2\text{PO}_4$ , with added 0.8  $\text{g L}^{-1}$   
14 disodium EDTA and 0.02  $\text{g L}^{-1}$   $\text{HgCl}_2$ ) was mixed with 250  $\mu\text{L}$  of aqueous DPD solution (2 mL  
15  $\text{L}^{-1}$  concentrated  $\text{H}_2\text{SO}_4$ ; 0.2  $\text{g L}^{-1}$  disodium EDTA; 1.1  $\text{g L}^{-1}$  anhydrous *N,N*-diethylphenylene-  
16 1,4-diamine) and 5 mL of sample. The absorbance of the resulting solution was then measured at  
17 510 nm using a SAFAS 320 spectrophotometer. For total chlorine (chloramine) determination, a  
18 similar procedure was followed, except that potassium iodide (~50 mg per sample) was added to  
19 the solution prior to measurement of its absorbance.

20

### 21 **2.4 Halogen-Specific TOX Analysis**

22 The analysis of halogen-specific TOX was based on the method developed by Hua and Reckhow  
23 (2006) with minor modifications. The sample for TOX analysis was firstly acidified to pH 2 and

1 enriched through adsorption onto an activated carbon column using a Dohrmann<sup>®</sup> AD-3  
2 Adsorption Module. The activated carbon was then placed in a quartz sample boat and introduced  
3 into the combustion chamber of a Dohrmann<sup>®</sup> DX20 TOX Analyser. The activated carbon  
4 sample was combusted in the presence of oxygen for 10 minutes at 1000°C. The hydrogen halide  
5 gases produced were collected in MQ water by way of a custom-made absorber. The MQ water  
6 was then analysed for Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> using a Dionex<sup>®</sup> DX400 ion chromatograph with  
7 conductimetric detection and an ASRS Ultra II Anion Self-Regenerating Suppressor. For the  
8 analysis of Cl<sup>-</sup> and Br<sup>-</sup>, an AS9-HC column (Dionex<sup>®</sup>) was used with 9 mM Na<sub>2</sub>CO<sub>3</sub> solution as  
9 mobile phase. For the analysis of I<sup>-</sup>, an AS11 column (Dionex<sup>®</sup>) was used with 20 mM NaOH  
10 solution as mobile phase. The concentrations of Cl<sup>-</sup>, Br<sup>-</sup>, and I<sup>-</sup> (in µg L<sup>-1</sup>) obtained from the ion  
11 chromatographic (IC) analysis were used to calculate the sample concentration of TOCl (as µg L<sup>-1</sup>  
12 Cl<sup>-</sup>), TOBr (as µg L<sup>-1</sup> Br<sup>-</sup>), and TOI (as µg L<sup>-1</sup> I<sup>-</sup>), respectively, taking into account the  
13 concentration factors from the initial sample to the absorber solution. Where the concentration of  
14 TOX is given as a Cl equivalent concentration (µg L<sup>-1</sup> Cl), it refers to the sum of the molar  
15 concentrations of TOCl, TOBr, and TOI, multiplied by the atomic mass of Cl.

16

## 17 **2.5 THMs Analysis**

18 THMs were extracted from the samples by headspace extraction (Dani HSS 3950 headspace  
19 sampler), and analysed using gas chromatography (Varian 3300) with an electron capture  
20 detection (HS /GC-ECD) (Hureiki *et al.*, 1994). GC separation of THMs was carried out using  
21 high purity nitrogen as the carrier gas and a DB-624 megabore capillary column (J&W; 30 m x  
22 0.53 mm, film thickness 0.25 µm).

23

## 1    **3    Results and Discussion**

### 2    **3.1    Validation of the Halogen-Specific TOX Analytical Method**

3    The analysis of halogen-specific TOX was carried out according to the method developed by Hua  
4    and Reckhow (2006), with minor modifications to adapt to the instrument and material  
5    availability in our laboratory. The recovery of the method was evaluated by determination of the  
6    recoveries of several model compounds containing chlorine, bromine, and iodine. These model  
7    compounds were bromoform, dichloroacetonitrile, trichloroacetic acid, 2,4,6-tribromophenol, 4-  
8    iodophenol and iodoacetic acid. The recoveries of these compounds were studied in a  
9    concentration range of 20 to 100  $\mu\text{g L}^{-1}$  in the aqueous samples, which corresponds to a  
10    concentration range of 100 to 500  $\mu\text{g L}^{-1}$  in the IC samples, due to the five fold concentration  
11    factor in the method. All analyses were conducted in duplicate. Good recoveries, between 85 to  
12    109%, were obtained in the present study, comparing well with analytical recoveries reported for  
13    halogen-specific TOX by other researchers (Hua and Reckhow, 2006; Echigo *et al.*, 2000;  
14    Oleksy-Frenzel *et al.*, 2000).

15  
16    Matrix effects were not observed in the analysis of halogen-specific TOX using the modified  
17    method. Good precision of the method was demonstrated, with % RSD (% Relative Standard  
18    Deviation =  $100 \times [\text{standard deviation} / \text{mean}]$ ) values of five replicates analysis ranging from 2 –  
19    9%. Low detection limits for the ion chromatographic determination of halides were obtained.  
20    Detection limits of 5  $\mu\text{g L}^{-1}$ , 2  $\mu\text{g L}^{-1}$ , and 2  $\mu\text{g L}^{-1}$  were achieved for the analysis of chloride,  
21    bromide, and iodide, respectively.

22

### 23    **3.2    The Formation of DBPs in Chlorination vs. Chloramination**

1 A hydrophobic fraction isolated from the Loire River (LR HPO) was subjected to chlorination  
2 ( $10 \text{ mg L}^{-1}$ ; pH 7, DOC =  $2.62 \text{ mg C L}^{-1}$ ) and chloramination ( $10 \text{ mg L}^{-1}$  as total  $\text{Cl}_2$ ; pH 8) in the  
3 presence of bromide ( $300 \text{ } \mu\text{g L}^{-1}$ ) and iodide ( $50 \text{ } \mu\text{g L}^{-1}$ ) ions for 48 hours. The concentrations of  
4 halogen-specific TOX and THMs in these samples were measured. Figures 1 and 2 show the  
5 production of halogen-specific TOX (as  $\mu\text{mol L}^{-1}$  halide) at  $20^\circ\text{C}$  over the experimental period in  
6 the chlorination and chloramination experiments, respectively, while Figure 3 shows the  
7 production of THMs in both experiments. Table 2 presents the specific yield of halogen-specific  
8 TOX and the disinfectant demand after 48 hours contact time for both chloramination and  
9 chlorination, as well as the ratio of TOX to disinfectant demand. Separate chlorination and  
10 chloramination experiments in the presence of iodide ion only ( $200 \text{ } \mu\text{g L}^{-1}$ ) were carried out using  
11 SR HPOA, in order to study the effect of chlorine and chloramine dose on the formation of TOI.  
12 Various doses of chlorine and chloramine between 1 and  $10 \text{ mg Cl}_2 \text{ L}^{-1}$  were used, and only the  
13 concentration of TOI was measured in these samples. Table 3 gives the TOI formation at 48-hour  
14 contact time for this set of experiments.

15  
16 TOCl and TOBr were detected and quantified in both chloramination and chlorination  
17 experiments (Figures 1 and 2, Table 2). In contrast, TOI was always formed in chloramination  
18 experiments (see Tables 2 and 3), but only formed in chlorination experiments where the chlorine  
19 doses were less than  $10 \text{ mg L}^{-1}$  (Table 3). The results presented in Table 3 demonstrate that the  
20 concentration of chlorine significantly affects the formation of TOI, whereas the concentration of  
21 chloramine has little effect on the concentration of TOI. In this experiment, at high chlorine  
22 concentration of  $10 \text{ mg L}^{-1}$ , where the initial molar ratio of chlorine to iodide was 90, excess  
23 chlorine was likely to have oxidised all iodide ion to HOI, and then further oxidised the HOI to

1 iodate. Unlike chlorine, chloramine is only able to oxidise iodide ion to HOI, which is then  
2 available for reactions with NOM (Bichsel and von Gunten, 1999) to form TOI. In this case,  
3 since chloramine was always in excess compared to iodide, the chloramine concentration had no  
4 effect on the amount of TOI formed (Table 3).

5  
6 In both chlorination and chloramination, TOCl was the dominant TOX produced (Table 2). In the  
7 chlorination experiments, TOCl accounted for 77% of TOX on a molar concentration basis, while  
8 the corresponding value for chloramination was 88%. The high proportion of TOCl formed is  
9 associated with the high disinfectant dose used in these experiments relative to the typical  
10 chlorine doses used in the field. With higher iodide ion concentrations, chloramination was found  
11 to result in TOI formation higher than TOCl formation (see Section 3.4).

12  
13 Chloramination produced significantly less TOX and THMs than chlorination (Table 2, Figure  
14 3). For a 48-hour contact time, chloramination resulted in a 72% lower concentration of TOCl  
15 and a 93% lower concentration of TOBr than chlorination (Table 2), which corresponds to an  
16 overall TOX (TOCl + TOBr only) reduction of 67% upon chloramination. The final  
17 concentration of total THMs was reduced by 95% upon chloramination (Figure 3). These results  
18 are consistent with other reported studies (*e.g.* Richardson, 2003; Wu *et al.*, 2003; Richardson *et*  
19 *al.*, 2002), where chloramine has been found to produce less DBPs than chlorine, due to the lower  
20 reactivity of chloramine.

21  
22 Numerous studies have reported that identified DBPs, such as THMs and HAAs, account for only  
23 a fraction of TOX (*e.g.* Richardson, 2003; Zhang *et al.*, 2000; Symons *et al.*, 1998). This was also  
24 observed in the chlorination and chloramination of LR HPOA. In the chloramination experiments

1 at 20°C, THMs represented only 7% of TOX, while, in chlorination, 47% of TOX could be  
2 accounted for by THMs. The proportions of THMs in TOX were lower in the chloramination  
3 experiments due to the relative oxidising strengths of chlorine *versus* chloramine. As a stronger  
4 oxidant, chlorine, in the form of HOCl, reacts with NOM mainly through oxidation reactions,  
5 producing cleavage by-products such as THMs (Li *et al.*, 2002). Chloramine, however, is a  
6 weaker oxidant than chlorine. The formation of DBPs in chloramination is thought to be a result  
7 of reactions between NOM and small amounts of HOCl present in equilibrium with chloramine  
8 (Duirk *et al.*, 2002; Cowman and Singer, 1996), as well as through direct reaction of chloramine  
9 with NOM (Duirk *et al.*, 2002). Since there are less reactive species in a chloraminated system,  
10 the formation of cleavage by-products, such as THMs, is less favoured, and the formation of by-  
11 products of higher molecular weight is preferred (Johnson and Jensen, 1986). Therefore, the  
12 THMs form only a small percentage of the TOX measured in chloraminated samples.

13  
14 The relative oxidizing strength and the reactivity of chlorine and chloramine were also reflected  
15 in the kinetics of the formation of TOX and THMs. In chlorination, 35% of TOX produced after  
16 48 hours was formed in the first 30 minutes, while the corresponding value for THM formation at  
17 the same time was 30%. In chloramination, 55% of the total TOX produced was formed in the  
18 first 30 minutes, and the corresponding value for THM formation was 15%. These results  
19 demonstrate that chloramination favours the formation of non-THMs halogenated by-products,  
20 and that the formation of THMs during chloramination would only be the result of reactions  
21 between NOM and residual free chlorine (HOCl) that is present in equilibrium with chloramine  
22 (NH<sub>2</sub>Cl).

23

1   **3.3    The Effect of Temperature on the Formation of TOX in Chlorination and**  
2           **Chloramination**

3    In order to evaluate the influence of temperature on the formation of halogen-specific TOX,  
4    chlorination and chloramination experiments with LR HPO NOM were performed at 20°C and  
5    50°C. The concentrations of halogen-specific TOX formed at these temperatures are presented in  
6    Table 2. In both chlorination and chloramination, higher concentrations of halogen-specific TOX  
7    were formed at the higher temperature, which was expected, since an increase in temperature has  
8    been associated with a higher rate and a greater extent of formation of DBPs (*e.g.* Carlson and  
9    Hardy, 1998; Engerholm and Amy, 1983). Further data observation showed that increasing the  
10   temperature from 20°C to 50°C did not have a significant effect on the proportions of TOCl and  
11   TOBr in both chlorination and chloramination experiments. Therefore, the proportions of TOX in  
12   chlorination and chloramination are not influenced by temperature, rather, they appear to be  
13   primarily determined by the relative amounts of the halogen species in the system.

14  
15   Furthermore, although the concentrations of halogen-specific TOX increased with increasing  
16   temperature, the amount of halogen-specific TOX produced per mg of disinfectant consumed was  
17   found to be higher at 20°C than at 50°C, for both chlorination and chloramination experiments  
18   (Table 2). This demonstrates that the proportion of the disinfectant used in non-TOX forming  
19   reactions, *e.g.* oxidation reactions forming non-halogenated DBPs, increases when the  
20   temperature increases.

21  
22   **3.4    The Effect of Iodide Ion and Bromide Ion on the Distribution of TOX in**  
23           **Chloramination**

1 The effect of iodide ion and bromide ion concentrations on the formation and distribution of  
2 halogen-specific TOX upon chloramination of a selection of NOM isolates was investigated.  
3 NOM fractions were separately subjected to chloramination (10 mg L<sup>-1</sup> as total Cl<sub>2</sub>; pH 8) for 48  
4 hours and for different concentrations of iodide and bromide ions: a constant iodide ion  
5 concentration of 3.74 μM (475 μg L<sup>-1</sup>), with initial concentrations of bromide ion varying from  
6 0.40 μM to 2.40 μM (32 to 190 μg L<sup>-1</sup>); and a constant bromide ion concentration of 3.75 μM  
7 (300 μg L<sup>-1</sup>), with initial concentrations of iodide ion varying from 0.39 to 2.36 μM (50 to 300 μg  
8 L<sup>-1</sup>). Figure 4 shows, as an example, a typical halogen-specific TOX distribution obtained from  
9 the LR HPOA isolate, illustrating the effect of varying bromide ion concentrations (Figure 4a)  
10 and iodide ion concentrations (Figure 4b).

11  
12 In these experiments, for all conditions, TOCl and TOI were the dominant TOX produced, while  
13 TOBr was produced at concentrations more than ten-fold lower than those of TOCl and TOI. The  
14 average concentration of TOBr formed was approximately 1.5 μg eq Cl / mg C when the initial  
15 bromide ion concentration was 300 μg L<sup>-1</sup>. Although the concentrations of TOBr increased  
16 slightly with increasing initial bromide ion concentration, the bromine incorporation (percentage  
17 of the initial bromide ion incorporated into TOBr *i.e.*  $[\text{TOBr}] / [\text{Br}^-]_{\text{initial}} \times 100$ ) decreased, for all  
18 NOM isolates (Table 4). The maximum bromine incorporation was 18%, which was achieved in  
19 the chloramination of SPR HPOA with an initial bromide ion concentration of 32 μg L<sup>-1</sup>. The  
20 change in the initial concentration of bromide ion was found to have no significant effect on the  
21 concentrations of TOCl, TOI, and TOX.

22

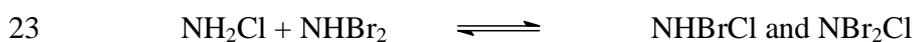
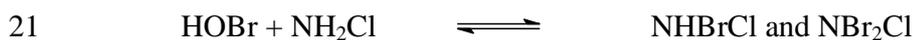
1 High linear correlations were observed between the production of TOI and the initial iodide ion  
 2 concentration ( $r^2 = 0.95 - 0.99$ ) (see Figure 4b for results from LR HPOA). As a result of the  
 3 increase in TOI concentration with increasing initial iodide ion concentration, the overall TOX  
 4 concentration also increased. Unlike the observations from varying the initial bromide ion  
 5 concentration, iodine incorporation (percentage of the initial iodide ion incorporated into TOI *i.e.*  
 6  $[TOI] / [I^-]_{initial} \times 100$ ) was found to increase as the initial iodide ion concentration increased,  
 7 varying from 8 to 64%, depending on the NOM isolate and the initial iodide ion concentration  
 8 (Table 4). At constant bromide ion concentration ( $300 \mu\text{g L}^{-1}$ ), TOBr production was not  
 9 significantly affected by the increase in initial iodide ion concentration (Figure 4b).

10

11 The observed lower proportion of TOBr compared to TOI, and the lower bromine incorporation  
 12 compared to iodine incorporation in chloramination can be explained by a low concentration of  
 13 HOBr in the system. In chloramination, a variety of bromine species can be formed, but not all  
 14 contribute to DBP formation.  $\text{NH}_2\text{Cl}$  reacts with bromide ion to form bromochloramine as shown  
 15 by the following equation (Gazda *et al.*, 1993):



17 Small amounts of HOBr could be produced by the reaction between bromide ion and HOCl,  
 18 present in equilibrium with  $\text{NH}_2\text{Cl}$ . The HOBr formed could then react with  $\text{NH}_2\text{Cl}$ , as well as  
 19  $\text{NH}_3$  that results from chloramine decay, to produce  $\text{NH}_2\text{Br}$  and other bromamine species, as  
 20 shown by the following equations (Gazda *et al.*, 1993; Wajon and Morris, 1980):



1 In addition, HOBr could also be consumed in reactions with iodide and HOI, which would reduce  
2 its availability to react with NOM. HOBr could induce the oxidation of iodide ion in the same  
3 manner as HOCl (Hua *et al.*, 2006), and it has been reported to oxidise iodide to HOI through an  
4 IBr intermediate (Troy and Margerum, 1991).

5  
6 Bromamines and bromochloramine do not react with NOM as readily as HOBr, and their  
7 reactivities in the formation of DBPs are largely unknown (Symons *et al.*, 1998). Therefore, the  
8 likely formation of bromamines and bromochloramine in chloramination would limit the steady  
9 state concentration of active bromine species, and thus the formation of TOBr.

10  
11 In contrast to bromide, NH<sub>2</sub>Cl oxidizes iodide ion into hypiodous acid, which is not further  
12 oxidized by NH<sub>2</sub>Cl (Bichsel and von Gunten, 1999). HOI is then available for fast reactions with  
13 NOM, leading to iodinated DBPs (Bichsel and von Gunten, 2000). Even though HOI is also  
14 involved in oxidation reactions with formation of iodide, iodide is continuously reoxidised into  
15 HOI, which favours the substitution reactions and explains the high incorporation of iodide into  
16 NOM as TOI.

### 17 18 **3.5 The Influence of NOM Characteristics on the Formation of TOX in Chloramination**

19 In chlorination, aromatic structures within NOM have been reported to be especially reactive  
20 with chlorine in producing DBPs, and significant correlations have been observed between  
21 aromaticity (as indicated by UV<sub>254</sub> or SUVA<sub>254</sub>, and % aromatic C) and DBP formation (Croué *et*  
22 *al.*, 2000; Wu *et al.*, 2000; Reckhow *et al.*, 1990). In light of this, correlations between the  
23 production of organohalides (TOX as  $\mu\text{g L}^{-1} \text{ Cl}$ , TOCl as  $\mu\text{g L}^{-1} \text{ Cl}$ , TOBr as  $\mu\text{g L}^{-1} \text{ Br}$ , and TOI

1 as  $\mu\text{g L}^{-1}$  I) in chloramination and the characteristics of NOM ( $\text{SUVA}_{254}$  and % aromatic C) were  
2 examined in the present study. Data from the chloramination experiments using  $300 \mu\text{g L}^{-1}$   
3 bromide ion and  $50 \mu\text{g L}^{-1}$  iodide ion was used for this purpose. The correlation coefficient  
4 values obtained for the different linear correlations evaluated in the present study are presented in  
5 Table 5. Good linear correlations were observed for TOCl and TOBr with  $\text{SUVA}_{254}$  and %  
6 aromatic C, indicating that aromatic moieties play a significant role in the formation of TOCl and  
7 TOBr. Similar correlations were also obtained for TOX since TOCl was the major contributor to  
8 TOX. Other researchers have reported that a linear correlation exists between  $\text{SUVA}_{254}$  and the  
9 formation of TOX in chlorination (Rostad *et al.*, 2000; Krasner *et al.*, 1996) and chloramination  
10 (Wu *et al.*, 2003), and also between TOX and % aromatic C in chloramination (Wu *et al.*, 2003).  
11 Wu *et al.* (2003) reported  $r^2 = 0.69$  for the correlation between aromatic C and TOX formation  
12 from chloramination of humic substance samples. Rostad *et al.* (2000) reported  $r^2 = 0.67$  for the  
13 correlation of 7-day TOX formation potential and  $\text{SUVA}_{254}$  in the chlorination of tertiary treated  
14 wastewaters from Arizona, USA. Krasner *et al.* (1996) obtained  $r^2 = 0.93$  for the correlation of  
15 specific yield of TOX and  $\text{SUVA}_{254}$  in the chlorination of NOM fractions from Apremont  
16 reservoir, France. This data indicates that the fraction of NOM represented by  $\text{SUVA}_{254}$  and %  
17 aromatic C plays an important role in the formation of overall TOX, in both chlorination and  
18 chloramination.

19  
20 For a low iodide ion concentration of  $50 \mu\text{g L}^{-1}$  (*i.e.*  $0.39 \mu\text{M}$ ), TOI formation was low for all  
21 NOM isolates, and no linear correlation was observed between TOI and  $\text{SUVA}_{254}$  or % aromatic  
22 C (Table 5). For this condition, the character of NOM was not a predominant factor influencing  
23 the formation of TOI. As it was shown previously in Section 3.4, the concentrations of TOI

1 linearly increased with increasing iodide ion concentration *i.e.* with increasing concentration of  
2 reactive iodine species. Linear regression was fitted to graphs of [TOI] *vs.* initial [I] for all NOM  
3 isolates. Different values of the slope for this correlation were obtained and the following trend  
4 was observed: the higher the SUVA<sub>254</sub> value, the higher the reactivity of NOM and the higher the  
5 value of [TOI] *vs.* [I] slope. Fitting a logarithmic regression to the data points showed a  
6 relationship between the slope of TOI formation and SUVA<sub>254</sub> values, with a significant  $r^2$  value  
7 of 0.875 (Figure 5). Furthermore, a good linear correlation was also obtained between TOI  
8 concentrations and SUVA<sub>254</sub> values ( $r^2 = 0.983$ , results not shown) for the experiments conducted  
9 using high initial iodide ion concentration of  $475 \mu\text{g L}^{-1}$  (*i.e.*  $3.74 \mu\text{M}$ ) and various initial  
10 bromide ion concentrations. This means that aromatic moieties in NOM, as indicated by  
11 SUVA<sub>254</sub>, are major reactive sites for the production of TOI in chloramination of iodide-  
12 containing waters, which is in accordance with the general findings of NOM reactivity with  
13 halogenated oxidants. Bichsel and von Gunten (2000) showed that phenolic moieties (but not  
14 carbonyl moieties) could account for the observed reactivity of HOI with NOM. The present  
15 study further demonstrates that the aromaticity of NOM significantly affects the formation of  
16 DBPs in general, and halogen-specific TOX (TOCl, TOBr, and TOI) in particular.

17

#### 18 **4 Conclusions**

19 The formation of halogen-specific TOX upon chlorination and chloramination of NOM isolates  
20 in the presence of bromide and iodide ions was studied and the following conclusions were made:

- 21 • Chloramination produced significantly less TOX and THMs than chlorination.
- 22 • In both chlorination and chloramination, TOCl was the dominant TOX species produced, as a  
23 result of the high ratios of  $\text{Cl}_2/\text{Br}^-$  and  $\text{Cl}_2/\text{I}^-$  used in these experiments. A higher proportion

1 of TOCl was observed in chloraminated samples, indicating limited formation of TOBr in  
2 chloramination due to the formation of bromamines instead of HOBr.

- 3 • TOI was always produced during chloramination, which is in agreement with the known  
4 chemistry of iodine in water treatment. In chlorination, chlorine oxidises iodide through to  
5 iodate, limiting the presence of HOI for reactions with NOM to produce TOI. A suitable  
6 chlorine dose, sufficient to completely oxidise iodide to iodate, should be utilised for source  
7 waters containing iodide, since it will limit the formation of TOI, which is considered to be  
8 more harmful than TOCl and TOBr.
- 9 • In chlorination, THMs constituted 47% of TOX, while THMs comprised only 7% of TOX in  
10 chloramination. Although chloramine produced less TOX than chlorine, it formed  
11 proportionally more non-THM DBPs. These non-THM DBPs are mostly unknown,  
12 corresponding to unknown health risks. The results of this study highlight that better  
13 understanding and estimation of the health risks associated with chloramination DBPs are  
14 needed.
- 15 • The formation of TOI in chloramination increased as the initial iodide ion concentration  
16 increased. A maximum of ~60% of the initial iodide ion was incorporated into NOM and  
17 measured as TOI. Iodine incorporation into NOM was consistently higher than bromine  
18 incorporation, although the molar concentrations of initial iodide ion were lower than  
19 bromide ion. Competitive reactions between bromine and iodine species were found to  
20 favour the formation of HOI and thus TOI, rather than TOBr.  $\text{NH}_2\text{Cl}$  reacts with iodide to  
21 produce only HOI, which then reacts with NOM to produce TOI; while  $\text{NH}_2\text{Cl}$  reacts with  
22 bromide forming HOBr, as well as other bromine species such as bromamine and  
23 bromochloramine, which have little contribution to the formation of TOBr, and HOBr could

1 also be consumed in reactions with iodide to produce HOI, rather than in reactions with  
2 NOM to produce TOBr. This study shows that the presence of bromide and iodide ions  
3 significantly affected the extent of TOX formation, as well as the distribution of TOX  
4 species. Therefore, inorganic precursors of DBPs also need to be seriously considered in  
5 efforts to minimise DBP formation and risks.

- 6 • This study demonstrates that high concentrations of TOI could be formed, and that the  
7 formation of TOI is favoured, in chloramination. Recent research has shown that iodinated  
8 DBPs are more toxic and pose a greater health risk than chlorinated and brominated DBPs.  
9 Since chloramine also has the potential to form proportionally higher unknown DBPs, water  
10 utilities need to carefully balance the risks and benefits of using chloramine as an alternative  
11 disinfectant to satisfy guideline values for THMs.
- 12 • Good linear correlations between the aromatic character of the NOM isolates ( $SUVA_{254}$  and  
13 % aromatic C) and the formation of TOX, TOCl, and TOBr in chloramination were observed,  
14 indicating that aromatic moieties play an important role in the formation of TOX, TOCl, and  
15 TOBr in chloramination. For all NOM isolates, the formation of TOI linearly increased with  
16 increasing initial iodide ion concentration and the slopes of linear regression of [TOI] vs.  
17 initial [I] were well correlated to the aromatic character of NOM ( $SUVA_{254}$  and % aromatic  
18 C). Hence, the aromaticity of NOM also affected the formation of TOI in chloramination.  
19 Based on these results, it is recommended that removal of the aromatic fraction of NOM  
20 during water treatment is maximised, in order to limit the formation of TOX in chlorination  
21 and chloramination.

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10

11

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9

## Tables and Figures

**Table 1:** NOM fractions and their characteristics

NOM Source	Fraction	Abbreviation	SUVA <sub>254</sub> (L mg <sup>-1</sup> m <sup>-1</sup> )	% Aromatic Carbon
Loire River	Hydrophobic Acid (HPOA)	LR HPOA	3.1	15
Loire River	Hydrophobic (HPO)	LR HPO	2.9	18
Loire River	Transphilic (TPI)	LR TPI	2.0	11
Suwannee River	HPOA	SR HPOA	4.6	26
Ribou Reservoir	HPO	RR HPO	3.4	16
Ribou Reservoir	TPI	RR TPI	2.1	9
Colorado River	HPO	CR HPO	1.8	14
Colorado River	TPI	CR TPI	1.4	10
South Platte River	HPOA	SPR HPOA	2.9	12

**Table 2:** Specific yield of halogen-specific TOX ( $\mu\text{g}$  as Cl/mg C) from chlorination ( $\text{Cl}_2$  dose  $10 \text{ mg L}^{-1}$ , pH 7) and chloramination ( $\text{NH}_2\text{Cl}$  dose  $10 \text{ mg L}^{-1}$ , pH 8) of LR HPO at  $20^\circ\text{C}$  and  $50^\circ\text{C}$  ( $[\text{Br}^-] = 300 \mu\text{g L}^{-1}$ ;  $[\text{I}^-] = 50 \mu\text{g L}^{-1}$ , 48 hours contact time)

	20°C	50°C
<b>CHLORINATION (pH 7, <math>10 \text{ mg L}^{-1} \text{ Cl}_2</math>)</b>		
TOCl	76	89
TOBr	23	34
TOI	not detected	
TOX	99	123
$\text{Cl}_2$ demand ( $\text{mg L}^{-1}$ )	3.7	7.8
TOX in $\mu\text{g Cl/mg Cl}_2$	26.8	15.8
<b>CHLORAMINATION (pH 8, <math>10 \text{ mg L}^{-1} \text{ NH}_2\text{Cl}</math>)</b>		
TOCl	22	33
TOBr	2	2
TOI	1	2
TOX	25	37
$\text{NH}_2\text{Cl}$ demand ( $\text{mg L}^{-1}$ )	0.7	3.3
TOX in $\mu\text{g Cl/mg NHCl}_2$	35.7	11.2

**Table 3:** TOI formation in chlorination ( $\text{Cl}_2$  dose  $10 \text{ mg L}^{-1}$ , pH 7) and chloramination ( $\text{NH}_2\text{Cl}$  dose  $10 \text{ mg L}^{-1}$ , pH 8) of SR HPOA at various disinfectant doses ( $\text{DOC} = 2.9 \text{ mg C L}^{-1}$ ;  $[\text{I}] = 200 \text{ } \mu\text{g L}^{-1}$ ,  $20^\circ\text{C}$ , 48 hours contact time)

Disinfectant dose		Chlorination	Chloramination
$\text{mg Cl}_2 \text{ L}^{-1}$	$\text{Cl}_2/\text{I}$ molar ratio	$\mu\text{g TOI as Cl/mg C}$	$\mu\text{g TOI as Cl/mg C}$
1	9	9	8
2	18	10	10
5	45	2	11
10	90	not detected	11

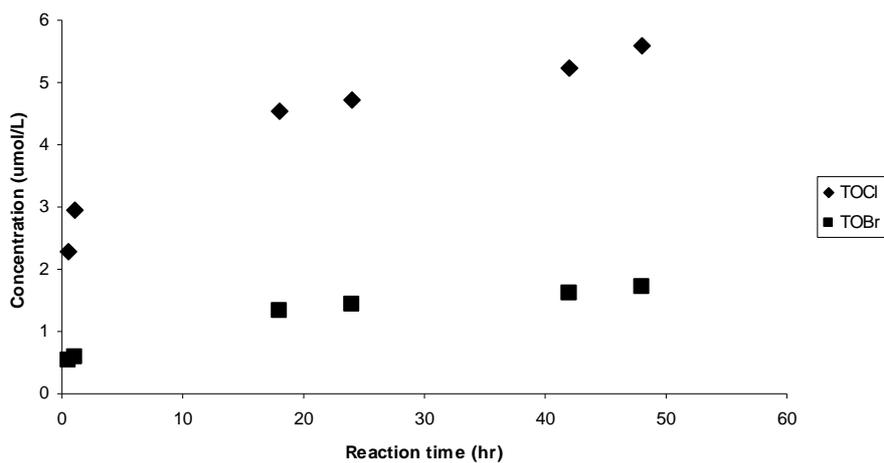
**Table 4:** Iodine and bromine incorporation into NOM in chloramination experiments ( $5 \text{ mg L}^{-1}$  NOM,  $\text{NH}_2\text{Cl}$  dose  $10 \text{ mg L}^{-1}$ , pH 8,  $20^\circ\text{C}$ , 48 hours contact time)

Sample	% Iodine incorporation at various initial $[\text{I}]$				% Bromine incorporation at various initial $[\text{Br}]$			
	$50 \text{ } \mu\text{g L}^{-1}$	$100 \text{ } \mu\text{g L}^{-1}$	$200 \text{ } \mu\text{g L}^{-1}$	$300 \text{ } \mu\text{g L}^{-1}$	$32 \text{ } \mu\text{g L}^{-1}$	$63 \text{ } \mu\text{g L}^{-1}$	$126 \text{ } \mu\text{g L}^{-1}$	$190 \text{ } \mu\text{g L}^{-1}$
	LR HPOA	30	40	63	56	7.8	4.6	3.3
LR HPO	11	24	40	45	nd	nd	nd	nd
LR TPI	24	28	43	62	8.1	4.7	3.3	2.4
SR HPOA	12	24	64	54	nd	nd	nd	nd
RR HPO	40	32	47	55	14	8.0	4.8	3.3
RR TPI	28	26	41	41	12	6.4	3.8	3.0
CR HPO	10	19	32	29	10	6.4	4.0	2.8
CR TPI	8	13	17	18	8.4	4.6	3	2.3
SPR HPOA	28	28	45	49	18	10	5.6	3.8

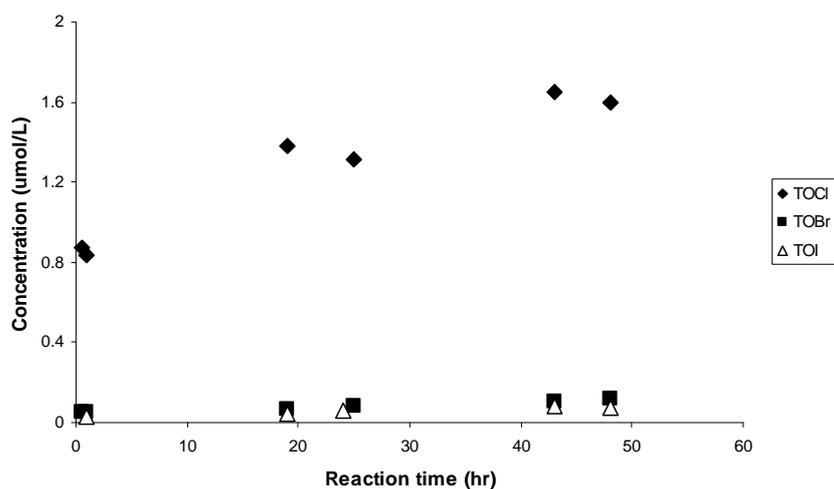
nd = not determined

**Table 5:** Linear correlation coefficient ( $r^2$ ) values for the correlation between overall and specific TOX concentrations and  $\text{SUVA}_{254}$  and % aromatic C ( $\text{NH}_2\text{Cl}$  dose  $10 \text{ mg L}^{-1}$ ,  $5 \text{ mg L}^{-1}$  NOM,  $50 \text{ } \mu\text{g L}^{-1}$  iodide ion,  $300 \text{ } \mu\text{g L}^{-1}$  bromide ion, pH 8, contact time 48 hours,  $20^\circ\text{C}$ )

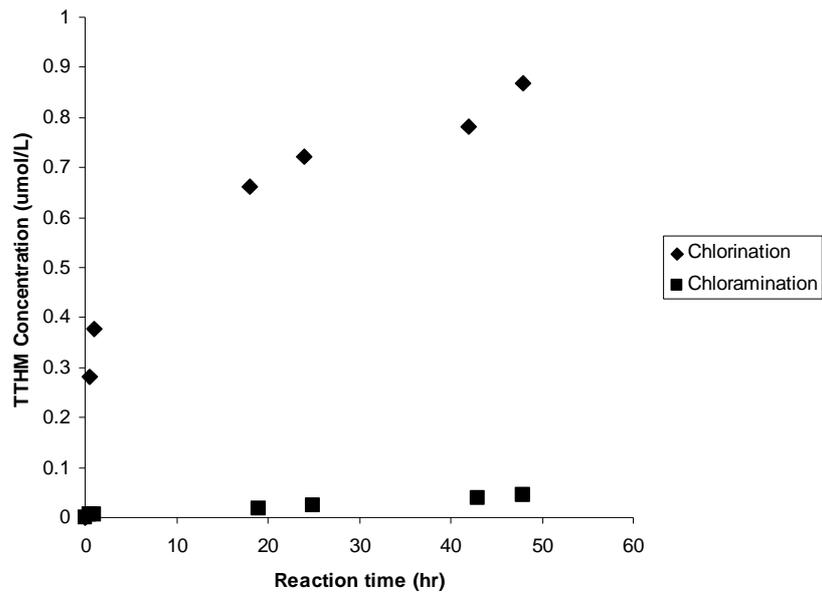
Linear Correlation	$r^2$
$\text{SUVA}_{254} - \text{TOX}$	0.711
$\text{SUVA}_{254} - \text{TOCl}$	0.700
$\text{SUVA}_{254} - \text{TOBr}$	0.723
$\text{SUVA}_{254} - \text{TOI}$	0.035
% aromatic C – TOX	0.792
% aromatic C – TOCl	0.792
% aromatic C – TOBr	0.758
% aromatic C – TOI	0.008



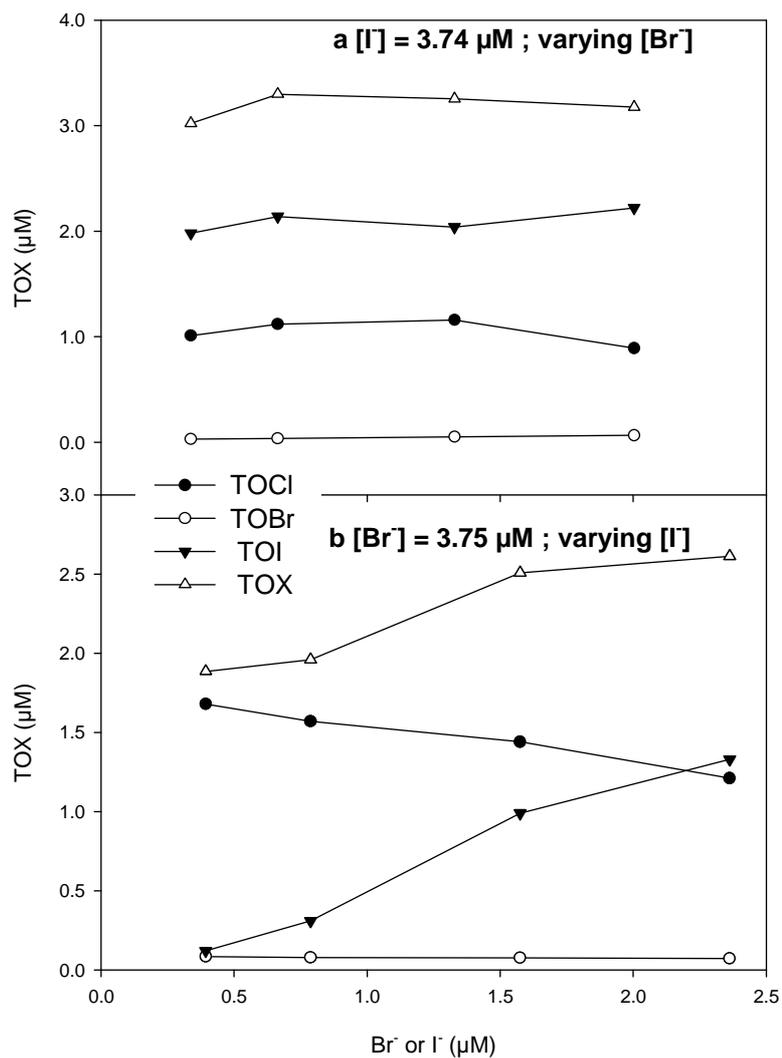
**Figure 1:** Formation of TOCl and TOBr in the chlorination of LR HPO ( $2.6 \text{ mg L}^{-1}$  DOC,  $10 \text{ mg L}^{-1}$   $\text{Cl}_2$ , pH 7,  $300 \text{ } \mu\text{g L}^{-1}$  bromide ion,  $50 \text{ } \mu\text{g L}^{-1}$  iodide ion,  $20^\circ\text{C}$ ). TOI was not detected in all samples.



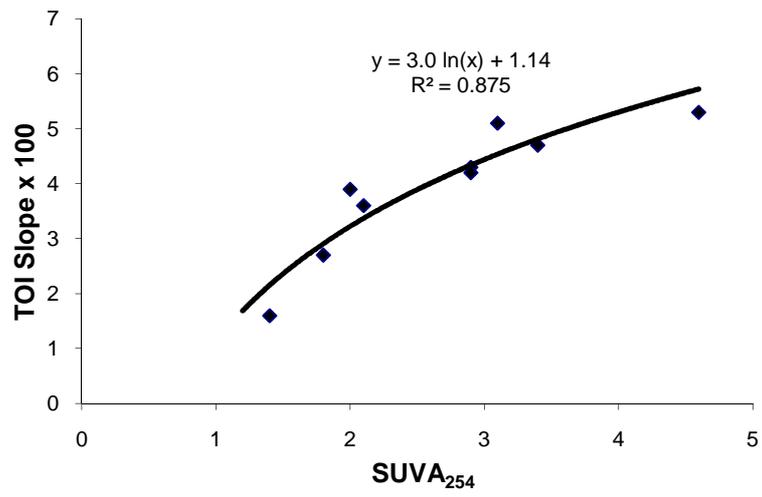
**Figure 2:** Formation of TOCl, TOBr and TOI in the chloramination of LR HPO ( $2.6 \text{ mg L}^{-1}$  DOC,  $10 \text{ mg L}^{-1}$   $\text{NH}_2\text{Cl}$ , pH 8,  $300 \text{ } \mu\text{g L}^{-1}$  bromide ion,  $50 \text{ } \mu\text{g L}^{-1}$  iodide ion,  $20^\circ\text{C}$ )



**Figure 3:** Formation of total THMs in the chlorination and chloramination of LR HPO ( $2.6 \text{ mg L}^{-1}$  DOC,  $300 \text{ } \mu\text{g L}^{-1}$  bromide ion,  $50 \text{ } \mu\text{g L}^{-1}$  iodide ion,  $20^\circ\text{C}$ , chlorination:  $10 \text{ mg L}^{-1}$   $\text{Cl}_2$  at pH 7, chloramination:  $10 \text{ mg L}^{-1}$   $\text{NH}_2\text{Cl}$  at pH 8)



**Figure 4:** TOX distribution after chloramination of LR HPOA, with varying initial a) bromide ion and b) iodide ion concentration (2.5 mg L<sup>-1</sup> DOC, NH<sub>2</sub>Cl dose 10 mg L<sup>-1</sup>, pH 8, 20°C)



**Figure 5:** Logarithmic function of SUVA<sub>254</sub> values and the slopes of the linear regressions of [TOI] and initial [I] for all NOM isolates