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3 Predicting Physical Activity-Related Outcomes in Overweight and Obese Adults: A Health

4 Action Process Approach

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12

1 **Abstract**

2 The present study examined the efficacy of constructs from the Health Action Process
3 Approach (HAPA) with respect to physical activity participation in predicting changes in
4 biomedical and psychological outcomes in overweight and obese adults undergoing a 12-
5 week weight-loss intervention. Measures of the HAPA constructs (action and maintenance
6 self-efficacy, outcome expectancies, planning, risk perceptions, and intentions),
7 psychological outcomes (quality of life, and depression, anxiety, and stress symptoms), and
8 biomedical outcomes (body fat mass, heart pulse, waist circumference, total cholesterol, and
9 low density lipoprotein) were administered to overweight and obese participants (N = 74).
10 Measures were taken at baseline and 6 and 12 weeks after a behavioural weight-loss
11 intervention. A variance-based structural equation model revealed significant direct effects of
12 action self-efficacy and outcome expectancies on physical activity intentions, and significant
13 direct effects of maintenance self-efficacy and intentions on planning. Action self-efficacy
14 was indirectly related to planning mediated by intention and maintenance self-efficacy.
15 Planning had a direct relationship with each biomedical and psychological outcome. There
16 were also significant indirect effects of intentions on the biomedical and psychological
17 outcomes mediated by planning. Data support the hypothesised direct and indirect effects of
18 the HAPA and demonstrate its efficacy in explaining variance in key physical activity
19 outcomes.

20 *Keywords:* Action self-efficacy, Behaviour change, Exercise, Health action process
21 approach, HEALTHI Program, Intention, Maintenance self-efficacy, Obesity, Overweight,
22 Outcome expectancies, Physical Activity, Planning, Risk perception, Weight-loss.

23

24 **Practitioner Points:**

- 1 • The current study examined the efficacy of the Health Action Process Approach in
2 providing an evidence base for behavioural interventions that will be optimally
3 effective in changing physical activity behaviour and weight-loss outcomes.
- 4 • The model indicates that interventions that promote action and maintenance self-
5 efficacy (e.g., providing experiences of success, positive feedback, appropriate role
6 models) as well as planning (e.g., stating when and where the behaviour should be
7 performed) should lead to improvements in physical activity intentions and related
8 outcomes.
- 9 • The current model indicates how changing the variables (e.g., action and maintenance
10 self-efficacy, outcome expectancies, planning, risk perceptions, and intentions) in the
11 model will evoke change in behavioural and outcomes related to weight loss, effects
12 that are not routinely tested in models of behaviour change.

13

1 **Predicting Physical Activity-Related Outcomes in Overweight and Obese Adults: A** 2 **Health Action Process Approach**

3 Obesity and overweight are linked to multiple chronic health conditions and illnesses
4 including cardiovascular disease and diabetes (World Health Organization; WHO, 2012). The
5 main cause of overweight and obesity is an imbalance between the amount of energy
6 consumed and expended, highlighting sufficient physical activity as an important means to
7 maintain a healthy weight and to achieve weight loss (WHO). Physical activity may also help
8 in reducing incidence of metabolic risk factors linked to chronic conditions and illnesses in
9 overweight and obese individuals (WHO). Even in the absence of weight loss it has been
10 found that physical activity leads to improvements in risk factors associated with chronic
11 diseases like cardiovascular disease such as cholesterol and blood pressure (Ho, Dhaliwal,
12 Hills, & Pal, 2012a, 2012b; Ho, Dhaliwal, Hills, & Pal, 2013; Shaw, Gennat, O'Rourke, &
13 Mar, 2006). Physical activity guidelines in America and Australia suggest that to receive
14 health benefits adults should engage in a minimum of 30 minutes of moderate activity on
15 most or all days of the week (Norton, Norton, & Sadgrove, 2010; Pal, Cheng, & Ho, 2011).
16 Similarly, Australia's Physical Activity and Sedentary Behaviour Guidelines for Adults
17 (Department of Health, 2014) recommend that adults are active on most, preferably all, days
18 of the week. Regular physical activity has also been found to lead to better psychological and
19 affective outcomes (Nieman, 2002). For example, physical activity has been found to relate to
20 better management of symptoms of stress, anxiety, and depression. In addition, research has
21 found that being overweight impacts on an individual's quality of life with weight having a
22 negative correlation with the quality of life level within both clinical and community
23 populations (Fontaine & Barofsky, 2001; Kolotkin, Crosby, Williams, Hartley, & Nicol,
24 2001; Kushner & Foster, 2000; Wright et al., 2013). Studies have found that improvement in
25 quality of life is apparent following various types of weight loss interventions (Kolotkin et

1 al., 2001; Mamplekou, Komesidou, Bissias, Papakonstantinou, & Melissas, 2005; Wright et
2 al., 2013).

3 According to the 2011-2012 National Nutritional and Physical Activity Survey, 36%
4 of Australian adults were classed as insufficiently active and did not meet guideline physical
5 activity levels of at least 150 minutes of activity over five or more sessions per week
6 (Australian Bureau of Statistics, 2013). Researchers in behavioural medicine have aimed to
7 identify the theory-based modifiable psychological factors that should be targeted in
8 behavioural interventions to evoke a change in health behaviour such as physical activity.
9 This information can be used to guide the adoption of appropriate techniques that will be
10 effective in affecting a change in the psychological factors most strongly related to physical
11 activity (Hagger & Hardcastle, 2014; Michie & Johnston, 2012). Adopting a theoretical basis
12 provides an explanatory system to identify the psychological constructs that could be targeted
13 by specific behaviour-change techniques and mediate the effect of the techniques on key
14 health-related outcome variables (Hagger & Luszczynska, 2014; Knäuper et al., 2011). Such
15 an approach allows researchers to propose specific hypotheses as to how a particular
16 technique may evoke behaviour change and how the technique operates. The hypotheses can
17 then be confirmed or rejected against observation.

18 The current study adopts the Health Action Process Approach (HAPA; Schwarzer,
19 2008), a widely used social psychological model that has been used to identify components
20 related to changes in weight loss behaviours and how the various components inform the
21 intervention content to highlight the change process. The aim of the current study is to
22 examine the effectiveness of the HAPA variables in predicting changes in biomedical and
23 psychological outcomes in overweight and obese adults within the context of behaviour-
24 change in a physical activity intervention.

1 **Health Action Process Approach**

2 The HAPA is a social-cognitive model that aids understanding of health behaviour as
3 it provides a solution to the problem that forming strong intentions does not always lead to
4 behaviour change, often referred to as the intention-behaviour ‘gap.’ According to the main
5 tenets of the HAPA, the health behaviour change process consists of two phases: a
6 *motivational* phase, which describes the process by which individuals form intentions to
7 change behaviour, and a *volitional* phase, which describes the process by which individuals
8 implement their intentions to perform the actual behaviour (Schwarzer, 2008). The model
9 suggests that *intentions* (e.g., how much participants intend to participate in the
10 recommended physical activity) are related to *planning* (e.g. whether participants made a
11 detailed plan about when, where and how they would engage in physical activity), which then
12 influences *action*. Specifically, planning is depicted as the key mediating factor by which
13 intentions are enacted.

14 The initial motivational phase describes the process by which individuals form
15 intentions to perform health behaviours (Schwarzer & Luszczynska, 2008). The HAPA
16 identifies three social-cognitive belief-based constructs that give rise to intentions: *risk*
17 *perception* (e.g., perceived risk of developing obesity related risk factors), *outcome*
18 *expectancy* (e.g., participants expectation of the effect of physical activity), and *action self-*
19 *efficacy* (e.g., participants’ confidence and ability to engage in the recommended physical
20 activity guidelines). These three variables are proposed to directly relate to intentions.
21 Individuals, therefore, form their intentions based on these sets of beliefs. Once an individual
22 has formed the intention to perform the action, the volitional phase is critical to behavioural
23 enactment. Within this phase self-efficacy and planning are key variables. There are various
24 types of perceived self-efficacy: *action self-efficacy* describes the motivation an individual
25 has to perform action; *maintenance self-efficacy* describes the individual’s beliefs regarding

1 their ability to deal with barriers that may arise; *recovery self-efficacy* describes the
2 individual's experience of setbacks and the trust they have in their ability to recover
3 (Schwarzer & Luszczynska, 2008). Action self-efficacy is believed to have a direct
4 relationship to maintenance self-efficacy, which is directly related to *planning*. *Planning* the
5 steps for the action formation is necessary to convert good intentions to actual behaviour
6 change.

7 **Evidence Supporting the HAPA**

8 The HAPA is well supported by empirical research in multiple health behaviours and
9 contexts. Studies have illustrated that the HAPA framework is effective in predicting physical
10 activity (Barg et al., 2012; Lippke, Ziegelmann, & Schwarzer, 2004; Scholz, Sniehotta, &
11 Schwarzer, 2005; Sniehotta, Scholz, & Schwarzer, 2005; Ziegelmann, Lippke, & Schwarzer,
12 2006). For example, Barg and colleagues (2012) examined predictors of physical activity
13 using a sample of inactive middle-aged women and illustrated that the main hypotheses
14 relating to the HAPA predictions are supported. This includes, action self-efficacy and
15 outcome expectancies significantly predicted intentions, and outcome expectancies affect
16 planning indirectly through intentions. Intentions and maintenance self-efficacy significantly
17 predicted planning, and action self-efficacy affected planning indirectly through intentions.
18 Risk perception was not found to predict intention which is in contrast to model hypotheses.
19 Support for the HAPA has also been found in studies examining dietary health behaviour
20 (Chiu, Lynch, Chan, & Rose, 2012; Kreausukon, Gellert, Lippke, & Schwarzer, 2012). For
21 example, Kreausukon and colleagues (2012) examined an intervention program based on the
22 HAPA with an emphasis on dietary self-efficacy and planning skills; with the participants
23 within the intervention condition consuming increased fruit and vegetable consumption
24 compared to the control condition. Results indicated that self-efficacy and planning were
25 statistically significant predictors of change in dietary behaviours. Overall, research has

1 generally provided support for the model in predicting health behaviours in a number of
2 domains.

3 To date, the majority of the research studies have been correlational and prospective
4 in design and focused on behavioural prediction rather than behaviour change. While
5 prediction of variance in health behaviour and its antecedents has value, such approaches
6 have been criticised as focusing exclusively on explanation and not explaining change
7 (Sniehotta, Penseau, & Araújo-Soares, 2015). This is particularly important when one
8 regards the typically strong effects of past behaviour and habit on prospectively-measured
9 behavioural outcomes over time, and the strong auto-regressive effects of psychological
10 factors on themselves over time (Lindwall, Larsman, & Hagger, 2011). This means that many
11 studies do not adequately account for change, particularly the stability of the behavioural
12 relationship over time, which reduces the value of the model in accounting for behaviour over
13 time (i.e. mediating the past behaviour-future behaviour, of habit-behaviour relationship over
14 time). Better approaches that account for changes in these factors over time are likely to have
15 greater value when it comes to understanding how behaviour changes over time. To date,
16 there have been a number of studies, many of them adopting cross-lagged panel design with
17 autoregressive techniques to predict change and control for the stability of psychological
18 constructs over time, in the context of social cognitive models of health behaviour (Davis,
19 1985; Hagger, Chatzisarantis, & Biddle, 2002a; Hagger, Chatzisarantis, & Biddle, 2002b;
20 Jacobs, Hagger, Streukens, De Bourdeaudhuij, & Claes, 2011; Liska, Felson, Chamlin, &
21 Baccaglioni, 1984). However, there are no studies to date that have examined effect of
22 dynamic changes in HAPA variables on multiple behavioural outcomes. The aim of the
23 current research was to address this gap in the literature.

24 **The present study and hypotheses**

1 The purpose of the present study was to examine the efficacy of the HAPA constructs
2 for physical activity in predicting change in key health-related biomedical and psychological
3 outcomes in overweight and obese individuals from baseline to week 6 and 12 undergoing a
4 weight loss intervention. The research focused on predicting change while controlling for
5 intervention effects. The hypothesised relations among the HAPA variables in the present
6 study are displayed in Figure 1 and summarised in Table 1. We have detailed each
7 hypothesised relationship in the next sections using Figure 1 and Table 1 as a guide. It is
8 important to note that in the Figure and Table, the constructs reflect a *change* in the construct
9 across study time points.

10 *Direct effects.* Based on the HAPA (Schwarzer, 2008), we predicted that changes in
11 action self-efficacy would predict changes in intention (H₁), and changes in intention would
12 predict planning (H₂). We also predicted that changes in action self-efficacy would be related
13 to changes in maintenance self-efficacy (H₃), and changes in maintenance self-efficacy would
14 be predict changes in planning (H₄), consistent with predictions of the HAPA. In addition, it
15 was predicted that changes in outcome expectancies (H₅), and risk perception (H₆) would
16 predict changes in intention. We also hypothesised that changes in planning would predict
17 changes in each of the biomedical and psychological outcome variables (H_{7a-f}).

18 *Indirect effects.* We also hypothesised a series of indirect effects in the model based
19 on HAPA predictions. We hypothesised indirect effects of changes in action self-efficacy on
20 changes in planning mediated by changes in intention (H₈), and changes in action self-
21 efficacy on changes in planning mediated by changes in maintenance self-efficacy (H₉). Also,
22 changes in outcome-expectancies (H₁₀) and risk perception (H₁₁) was predicted to have
23 indirect effects on changes in planning mediated by changes in intention.

1 only measured at baseline and week 12). Data were collected as part of an intervention study
2 in which overweight and obese participants were randomly-allocated to one of three
3 conditions to complete a 12-week Healthy Eating and Active Lifestyle Health Intervention
4 (HEALTHI). The full protocol for the intervention is provided in a separate article outlining
5 the specific details of the study design and method (Hattar, Hagger, & Pal, 2015). While
6 participants in the three conditions differed in the behaviour-change techniques used, no
7 intervention effects were found within the data used for the current study. For completion, we
8 controlled for intervention effects in all study variables in order to completely negate any
9 potential intervention effects. Ethical approval for the trial was obtained from the [University
10 omitted for masked review] University Human Research Ethics Committee.

11 *Participants*

12 Participants provided informed consent to participate in the research study and for the
13 data results to be published. Overweight or obese participants ($N = 74$; M age = 41.10 years,
14 $SD = 12.10$, Body Mass Index = 31.13, $SD = 3.55$) from [Location omitted for masked
15 review] completed various measures at baseline after randomisation, and at follow up data
16 collection occasions 6 and 12 weeks later. Participants within the three original conditions did
17 not differ on any of the demographic information. Of the 74 participants 63.5% were born in
18 Australia, and 36.5% were born outside of Australia. Participant nationalities included
19 White/Caucasian (75.7%), Asian (6.8%), Black/African American (1.4%), other (14.9%) and
20 the remainder did not provide their ethnicity (1.4%). The majority of the participants reported
21 a high level for education, reporting completing education at university or tertiary level
22 (75.7%), technical/trade certificate (6.8%), completed high school (13.5%) and the remainder
23 left high school before completion (4.1%). The majority of participants were engaged in full
24 time employment (60.8%), with a further in part time employment (28.4%), part time
25 volunteers (2.7%), engaged in home duties (2.7%), working as a part time volunteer and in

1 part time employment (2.7%), and the remainder did not provide their employment status
2 (2.7%). Participants reported engaged in jobs that involved predominately sitting (67.6%),
3 standing and some walking (16.2%), predominately physical (12.2%), other (2.7%), and the
4 remainder reported none (1.4%).

5 **Measures**

6 **Health Action Process Approach.** Self-report measures of the HAPA variables were
7 administered at baseline and at week 6- and 12-weeks during the intervention, as adapted
8 from Barg and colleagues' (2012) measures. The items are outlined in Appendix A. *Risk*
9 *perception* was assessed using four items (e.g., "I think it is likely that I will develop health
10 problems related to obesity at some point in my life") with responses provided on a six-point
11 scales ranging from 1 (strongly disagree) to 5 (strongly agree). *Outcome expectancy* was
12 assessed using three items (e.g., "I think that engaging in daily physical activity with a
13 minimum of 30 minutes of planned exercise will help me to lose weight") with responses
14 provided on five-point scales ranging from 1 (strongly disagree) to 5 (strongly agree).
15 *Intention* was assessed with two items (e.g., "I intend to participate in daily physical activity
16 with a minimum of 30 minutes of planned exercise on each individual occasion over the next
17 6 weeks") with responses ranging from 1 (strongly disagree) to 5 (strongly agree). *Action*
18 *self-efficacy* was measured using five items (e.g., "if it were entirely up to you, how confident
19 are you that you would be able to participate in daily physical activity with a minimum of 30
20 minutes of planned exercise on each individual occasion over the next 6 weeks?") with item
21 responses ranging from 1 (not confident) to 5 (completely confident). Participants were
22 informed of the guidelines as part of the intervention. *Maintenance self-efficacy* was
23 measured using nine items (e.g., "how confident are you that you will do daily physical
24 activity with a minimum of 30 minutes of planned exercise during your leisure time on each
25 individual occasion over the next 6 weeks even if..." followed by a list of barriers, such as,

1 but not limited to bad weather and feeling tired) with responses ranging from 1 (not
2 confident) to 5 (completely confident). *Planning* was assessed using one item (e.g., “I have
3 made a detailed plan about when, where, and how I will do daily physical activity with a
4 minimum of 30 minutes of planned exercise on each individual occasion over the next 6
5 weeks”) with responses ranging from 1 (strongly disagree) to 5 (strongly agree).

6 **Biomedical outcome variables.** Biomedical outcome variables related to risk from
7 chronic illness that have also shown to be sensitive to changes in physical activity level were
8 measured at baseline, week 6 and 12 unless specified otherwise. *Total body fat* measured in
9 grams was measured using the whole body dual-energy X-ray absorptiometry (DEXA; Lunar
10 Prodigy, Lunar, Madison, WI, USA) at baseline and 12 weeks. The DEXA apparatus was
11 calibrated and a phantom scan undertaken daily. *Heart pulses* per minute was measured three
12 times with the average reading reported using an automated, blood pressure monitor (A & D
13 Medical, model UA-851) with participants in a supine position with the tested arm at the
14 level of their heart for at least a minimum of 10 minutes before and during measurements
15 (Naissides, Pal, Mamo, James, & Dhaliwal, 2006; Pal & Radavelli-Bagatini, 2013). *Waist*
16 *circumference* in centimetres was calculated by measuring waist circumference in standing
17 position at the narrowest area between the iliac crest and lateral lower rib to the nearest 0.1cm
18 using a circumference measuring tape (Seca 203). Waist circumference was measured twice
19 with the average of the two readings used (Pal, Khossousi, Binns, Dhaliwal, & Ellis, 2011).
20 *Total cholesterol* (TC) and *low-density lipoprotein* (LDL) were measured at an approved
21 pathology laboratory using a venous blood sample within an approximately three-day period
22 prior to the clinic appointment.

23 **Psychological outcome variables.** Psychological variables were measured at
24 baseline, week 6 and 12 using the two listed questionnaires. *Quality of life* was measured

1 using the 31-item Impact of Weight on Quality of Life Questionnaire (Kolotkin & Crosby,
2 2002) self-reported measure that assesses the effect of obesity on quality of life in five
3 domains: physical function, self-esteem, sexual life, public distress, and work. Scores on each
4 domain are summed to provide an overall index of quality of life. Responses were made on
5 five-point scales ranging from 1 (never true) to 5 (always true). The Depression Anxiety
6 Stress Scales-21 (Lovibond & Lovibond, 1995) was used to measure symptoms of
7 *depression, anxiety, and stress*. Participants rated their symptoms over the past week by
8 answering 21 items with responses made on four-point scales anchored by 0 (did not apply to
9 me at all) and 3 (applied to me very much, or most of the time), (Lovibond & Lovibond,
10 1995).

11 **Statistical analysis**

12 *Participant Attrition*

13 Seventy-five participants commenced the HEALTHI Program. Participant retention
14 rates were high throughout the intervention. Four participants withdrew from the study for
15 personal reasons prior to the week 6 appointment, and a further three withdrew or did not
16 attend the week 12 appointment. In addition, one participant's data was excluded from the
17 analysis due to a low baseline body mass index of 24 which did not match the study inclusion
18 criteria, leaving 74 participants for analysis. We conducted a full intention-to-treat analysis
19 with last measured data points carried forward in order to provide a conservative estimate of
20 hypothesised effects.

21 *Analyses*

22 Data used in this study were collected at baseline and after the intervention had been
23 administered with intervention effects found to be small and not statistically significant. We

1 tested the hypothesised relationships among construct from the HAPA illustrated in Figure 1.
2 If the major hypotheses of the model are non-significant this indicates that the model should
3 be rejected. Data were analysed using variance-based structural equation modelling (VB-
4 SEM), also known as Partial Least Squares analysis. As our analysis focused on examining
5 change in psychological and outcome variables across study time points, we computed
6 residualised change scores for each variable in the proposed model. Residualised change
7 scores were calculated prior to the VB-SEM analysis by regressing the follow-up measures
8 onto the baseline measures, while subtracting the predicted value from the follow-up value.
9 Unstandardised residualised change scores were computed for all the variables within the
10 model including the HAPA constructs and biomedical and psychological change outcome
11 variables. These residualised change scores were also controlled for participants' intervention
12 condition, age, and gender by including each of these control variables in the regression
13 equation to compute the change scores. The residualised change scores also controlled for the
14 baseline and week 6 scores for the variable of interest.

15 The VB-SEM was conducted using the Warp PLS v.5.0 statistical software (Kock,
16 2015), which uses ranked data which reduces outlier value distances without compromising
17 on sample size. Effects were estimated using bootstrapped resampling method with 100
18 resamples as recommended by Kock (2015). VB-SEM analysis is similar to covariance based
19 SEM analyses with both explicitly modelling measurement error through the use of latent
20 variables. However, the partial least-squares algorithm is based on ranked data which means
21 it is distribution free unlike covariance-based methods. This means the estimation is less
22 affected by the model complexity, data non-normality, and small sample size. However,
23 partial least squares structural equation modelling (PLS-SEM) approaches are often criticised
24 for being used due to small sample sizes without support through additional power analyses
25 (Ringle, Sarstedt, & Straub, 2012). Ringle and colleagues suggest that for PLS-SEM

1 researchers can use power tables from regression (Cohen, 1992) to determine the minimum
2 sample size needed for appropriate statistical power (Chin, 2010). In the current study sample
3 size was determined by a power analysis for multiple regression with six predictor variables
4 (the 3-predictor system being the most complex regression system in the proposed model),
5 with statistical power set at .80 and alpha set at .05 (Soper, 2015) and a medium effect size
6 consistent with previous predictive studies using the HAPA (e.g., Barg et al., 2010). Our
7 analysis revealed an estimated sample size of 73 participants is adequate.

8 **Results**

9 **Model goodness of fit**

10 The VB-SEM exhibited adequate model fit with the data according to multiple
11 recommended indices with overall large effect sizes (Kock, 2015). The Tenenhaus Goodness-
12 of-Fit (GoF) value indicates the model has large explanatory power with a value greater than
13 the expected cut-off of 0.360, (GoF = 0.504). Both the average path coefficient (APC) and
14 average R^2 (ARS) indicate adequate model fit with both indices statistically significant, (APC
15 = 0.398, $p < .001$; ARS = 0.272, $p = .003$). The average full collinearity VIF (AFVIF)
16 indicates that the model has adequate overall predictive and explanatory quality with the
17 value below the proposed cut-off value 3.3, (AFVIF = 1.804), (Kock, 2015). Correlations
18 among the latent variables included in the VB-SEM are provided in Table 2.

19 **Model effects**

20 Figure 2 displays the standardised path coefficients for the hypothesised direct effects in our
21 model based on the HAPA. Parameter estimates for paths not depicted in Figure 2 for clarity
22 are provided in Appendix B as online supplemental materials. Next, we provide details of our
23 tests of hypotheses from the HAPA.

1 *Direct effects.* As predicted there was a statistically significant direct effect of changes
2 in action self-efficacy on changes in intention (H_1 , $\beta = .527$, $p < .001$), and changes in
3 intention on changes in planning (H_2 , $\beta = .423$, $p < .001$). There was a statistically significant
4 direct effect of changes in action self-efficacy on changes in maintenance self-efficacy (H_3 , β
5 $= .755$, $p < .001$), as well as changes in maintenance self-efficacy on changes in planning
6 (H_4 , $\beta = .339$, $p < .001$) consistent with hypotheses. We also found statistically significant
7 direct effects of changes in outcome expectancies on changes in intention (H_5 , $\beta = -.233$, $p =$
8 $.017$). There was no statistically significant direct effect of changes in risk perception on
9 changes in intentions. Statistically significant effects for changes in planning on changes in
10 each of the outcome variables were found for body fat mass (H_{7a} , $\beta = -.332$, $p = .001$), heart
11 pulse (H_{7b} , $\beta = -.351$, $p < .001$), waist circumference (H_{7c} , $\beta = -.312$, $p = .002$), total
12 cholesterol and low density lipoprotein (H_{7d} , $\beta = -.472$, $p < .001$), quality of life (H_{7e} , $\beta = -.38$,
13 $p < .001$), and depression, anxiety, and stress (H_{7f} , $\beta = .515$, $p < .001$).

14 *Indirect effects.* We found a statistically significant indirect effect of changes in action
15 self-efficacy on changes in planning mediated by intention (H_8 , $\beta = .319$, $p < .001$), and a
16 statistically significant indirect effect of changes in action self-efficacy on changes in
17 planning mediated by changes in maintenance self-efficacy (H_9 , $\beta = .428$, $p < .001$). Contrary
18 to hypothesised predictions there was no significant indirect effect of changes in outcome
19 expectancies on changes in planning mediated by changes in intention (H_{10}). As we found no
20 statistically significant direct effect of changes in risk perception on changes in intentions
21 (H_6), we found no statistically significant indirect effect was found of changes in risk
22 perception on changes in planning mediated by changes in intention, so we rejected
23 hypothesis H_{11} .

24 The results indicated that there was a statistically significant indirect effect of changes
25 in intention on changes in each of the outcome variables of body fat mass (H_{12a} , $\beta = -.140$, p

1 = .039), heart pulse (H_{12b} , $\beta = -.148$, $p = .031$), waist circumference (H_{12c} , $\beta = -.132$, $p =$
2 $.049$), total cholesterol and low density lipoprotein (H_{12d} , $\beta = -.200$, $p = .006$), quality of life
3 (H_{12e} , $\beta = -.161$, $p = .022$), and depression, anxiety, and stress (H_{12f} , $\beta = .217$, $p = .003$)
4 mediated by changes in planning. In addition, there were statistically significant indirect
5 effects of changes in maintenance self-efficacy on changes in only the outcome variables of
6 total cholesterol and low density lipoprotein (H_{13d} , $\beta = -.160$, $p = .022$) and depression,
7 anxiety, and stress (H_{13f} , $\beta = .174$, $p = .014$) mediated by changes in planning. Statistically
8 non-significant indirect effects were found for changes in maintenance self-efficacy on body
9 fat mass, heart pulse, waist circumference, and quality of life mediated by changes in
10 planning, so our hypotheses relating to these variables were rejected (H_{13a-c} , H_{13e}).

11 We also found statistically significant indirect effects of changes in action self-
12 efficacy on changes in all the outcome variables of body fat mass (H_{14a} , $\beta = .142$, $p = .015$),
13 heart pulse (H_{14b} , $\beta = -.150$, $p = .011$), waist circumference (H_{14c} , $\beta = -.133$, $p = .021$), total
14 cholesterol and low density lipoprotein (H_{14d} , $\beta = -.202$, $p < .001$), quality of life (H_{14e} , $\beta = -$
15 $.163$, $p = .006$), depression, anxiety, and stress (H_{14f} , $\beta = .220$, $p < .001$) mediated by changes
16 in maintenance self-efficacy and planning in three-segment mediation pathways. There was a
17 statistically significant indirect effect of changes in action self-efficacy on changes in heart
18 pulse (H_{15b} , $\beta = -.112$, $p = .044$), total cholesterol and low density lipoprotein (H_{15d} , $\beta = -.151$,
19 $p = .011$), quality of life (H_{15e} , $\beta = -.121$, $p = .032$), and depression, anxiety, and stress (H_{15f} ,
20 $\beta = .164$, $p = .006$) mediated by changes in intentions and planning in three segment
21 mediation effects. There were no statistically significant indirect effects of changes in action
22 self-efficacy on changes in body fat mass (H_{15a}), and waist circumference (H_{15c}) mediated by
23 changes in intentions and planning in three segment mediation effects. Results indicated no
24 statistically significant indirect effects of changes in outcome expectancies and changes in

1 risk perceptions on changes in the outcome variables mediated by changes in intention and
 2 planning in three-segment mediation effects (H_{16a-f}, and H_{17a-f}, respectively).

3 The overall indirect effect of changes in action self-efficacy on changes in planning
 4 mediated by changes in maintenance self-efficacy and intention in two-segment mediation
 5 effects was statistically significant (H₁₈, $\beta = .479$, $p < .001$). The total indirect effects of
 6 changes in action self-efficacy on changes in the outcome variables through multiple paths
 7 revealed statistically significant effects on body fat mass (H_{19a}, $\beta = -.159$, $p = .041$), heart
 8 pulse (H_{19b} $\beta = -.168$, $p = .033$), total cholesterol and low density lipoprotein (H_{19d} $\beta = -.226$,
 9 $p = .006$), quality of life (H_{19e} $\beta = -.182$, $p = .023$), and depression, anxiety, and stress (H_{19f}, β
 10 $= .246$, $p = .003$). The total effect of action self-efficacy on waist circumference was not
 11 statistically significant (H_{19c}).

12 Discussion

13 The present study examined the efficacy of changes in HAPA constructs associated
 14 with physical activity participation in predicting changes in biomedical (body fat mass, heart
 15 pulse, waist circumference, total cholesterol and low density lipoprotein) and psychological
 16 (quality of life, and depression, anxiety, and stress symptoms) outcomes in overweight and
 17 obese adults undergoing a weight-loss intervention. Results supported key hypothesised
 18 relationships consistent with previous research conducted adopting the HAPA (Barg et al.,
 19 2012; Kreausukon et al., 2012). As predicted, changes in action self-efficacy and outcome
 20 expectancies were statistically significant predictors of changes in participants' intentions,
 21 with changes in action-self efficacy as the major predictor; similar findings have been found
 22 in other studies (Barg et al., 2012). These results suggest that changes in participants'
 23 confidence and beliefs in their own ability to engage in physical activity prior to action
 24 commencement is related to changes in participants' intention to engage in the behaviour or

1 action. The study results indicated that changes in action self-efficacy was directly related to
2 changes in intentions, and indirectly related to changes in planning mediated through changes
3 in maintenance self-efficacy, which suggests that action-self efficacy may have a role in both
4 the motivational and volitional phases of the HAPA process model. This finding is consistent
5 with research conducted by Barg and colleagues (2012). Consistent with the HAPA, changes
6 in intentions and maintenance self-efficacy were significant predictors of changes in
7 planning. Intention to engage in physical activity was found to predict planning of the
8 behaviour. Changes in action self-efficacy predicted changes in planning indirectly through
9 intention changes, consistent with previous research (Barg et al., 2012; Sniehotta et al.,
10 2005). Changes in planning had a direct relationship with changes within each of the
11 biomedical (body fat mass in grams, heart pulses per minute, waist circumference, total
12 cholesterol and low density lipoprotein), and psychological (impact of weight on quality of
13 life, and symptoms of depression, anxiety, and stress) outcome variables. The results indicate
14 that changes in planning are antecedent of changes in the biomedical and psychological
15 outcome variables. An important contribution of the present study is that these effects are
16 supported in terms of change scores, to enable better links between the model constructs.
17 Contrary to our hypotheses, changes in risk perception was not a good predictor of changes in
18 intentions; other studies have also found that this relationship was not statistically significant
19 (Barg et al., 2012; Luszczynska & Schwarzer, 2003; Schwarzer & Renner, 2000). Schwarzer
20 (2008) also noted that risk perception is a distal predictor of intentions and Luszczynska and
21 Schwarzer (2003) state that risk perception may have an influence in the initial consideration
22 of behaviour but may not be as pertinent following the formation of intentions.

23 **Contribution, Strengths and Limitations**

24 The current research makes two important contributions to knowledge. First, it
25 corroborates prior research that supports the HAPA model and extends these to multiple

1 objectively-measured health-related outcomes as indicators of participation in physical
2 activity. Second, the current research also examines these in light of changes in these
3 variables over the course of a long-term behavioural follow-up, which is rare in research
4 adopting the HAPA and other social cognitive models. This has important implications for
5 supporting the long-term predictive and nomological validity of the HAPA.

6 The present research has a number of notable strengths. We had high retention rates
7 with low participant drop-out the study data collection occasions. A further strength is that
8 the study is one of the first to adopt a well-defined theoretical approach, the HAPA, to
9 identify theory-based predictors and mediators of study outcomes. Adopting this approach
10 permitted the posing of hypotheses and research questions based on the model and to confirm
11 or reject those hypotheses alongside observation. A major innovation of the present study is
12 the examination of change in the psychological and outcome variables of time, an approach
13 which is in contrast to the typically ‘static’ perspective adopted in many studies testing social
14 cognitive theories and models in health contexts. For example, many previous tests of such
15 models have focused solely on prediction. Using residualised change scores that control for
16 participants responses to the variable at baseline, week 6, the condition allocation, gender,
17 and age is an important strength as not doing so could misrepresent the effects of the HAPA
18 constructs on outcomes.

19 It is also important to acknowledge some of the limitations of the current study. The
20 current study is not a comprehensive test of the HAPA, we omitted the coping planning and
21 recovery self-efficacy variables for reasons of parsimony. In addition, physical activity
22 behavioural variable data collected could not be included due to a mistake in the
23 operationalisation of the construct within the questionnaire. Our research was therefore
24 confined to examining relations among the HAPA variables and the biomedical and
25 psychological outcomes, which serve as indirect indicators of behavioural effects. In other

1 words, our test only indirectly reflects the influence of behaviour as a mediator between
2 HAPA variables and health related outcomes. Although there is considerable research that
3 has found health behaviour as a mediator between HAPA variables and behaviour, it would
4 have been advantageous to confirm, rather than infer, this in the current data set. Another
5 limitation was that all measures were self-reported thus subject to social desirability bias. Our
6 adoption of psychometrically-verified, valid measures of the HAPA constructs with explicit
7 instructions for participants to answer candidly and without prejudice were means to allay
8 this bias. In addition, generalisability of the findings to the broader population may be
9 limited. This is because our sample was neither ethnically nor socioeconomically diverse
10 with 75.7% participants classified as white/Caucasian and 75.7% indicating that their highest
11 level of education was at university or tertiary education level. Caution must, therefore, be
12 exercised in generalising results beyond a highly education predominantly white/Caucasian
13 population. In addition, as this investigation was part of a larger intervention, participants
14 completed a number of questionnaires which may have placed undue burden on participants.
15 We managed participant burden by encouraging participants to take regular breaks when
16 completing the intervention materials and measures.

17 Overall, results of the current study provide support for the HAPA model in regards to
18 examining changes in the construct variables. Practical recommendations based on findings
19 of the current study are that health behaviour interventions should aim to increase action self-
20 efficacy and planning to lead to changes in both biomedical and psychological outcomes.
21 This would mean health care professionals that promote self-efficacy (e.g., promoting
22 experiences of success, providing feedback, using modelling and imagery) and planning (e.g.,
23 assisting in identifying salient cues, encouraging if-then plans) in clients may foster better
24 engagement in physical activity levels.

25

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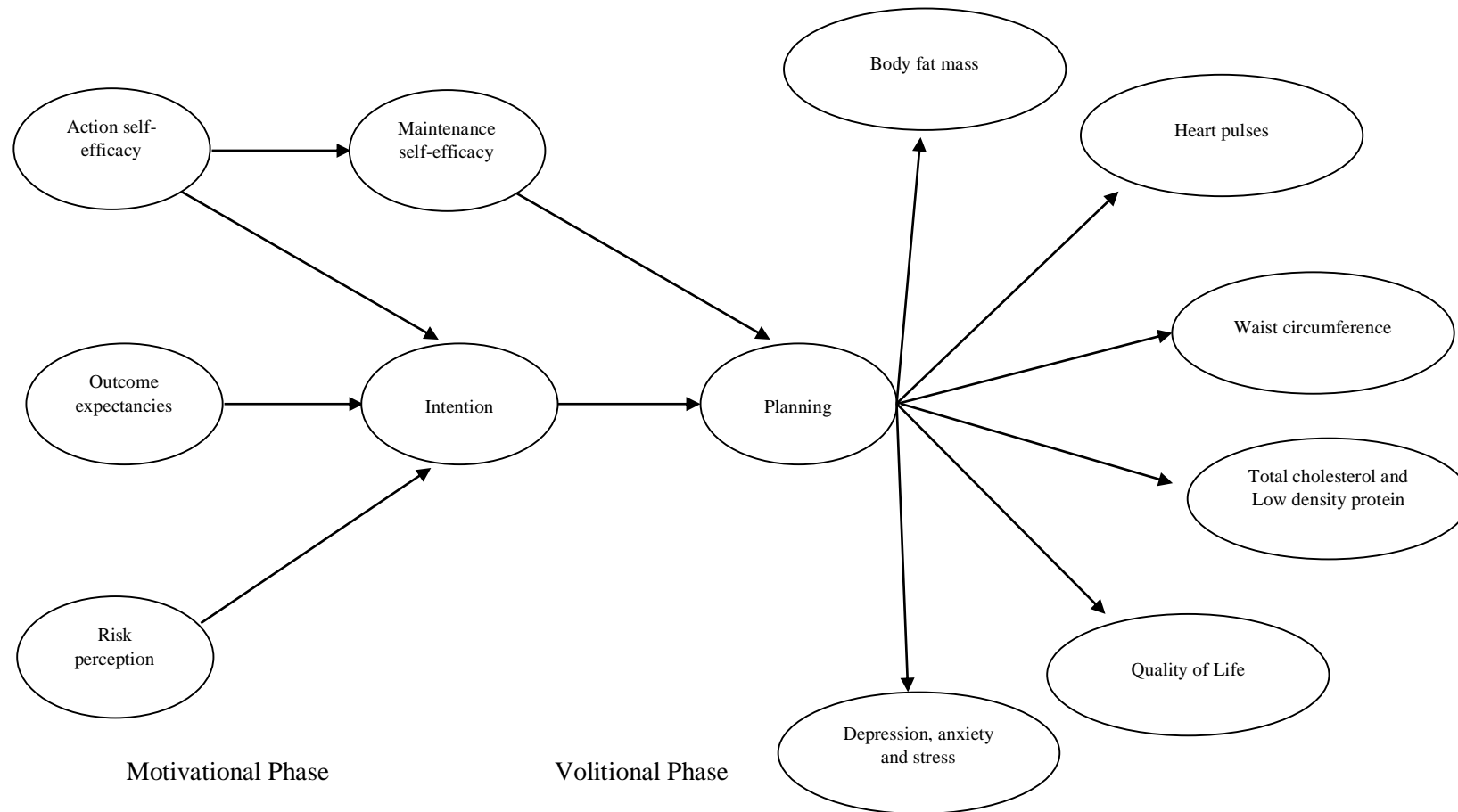
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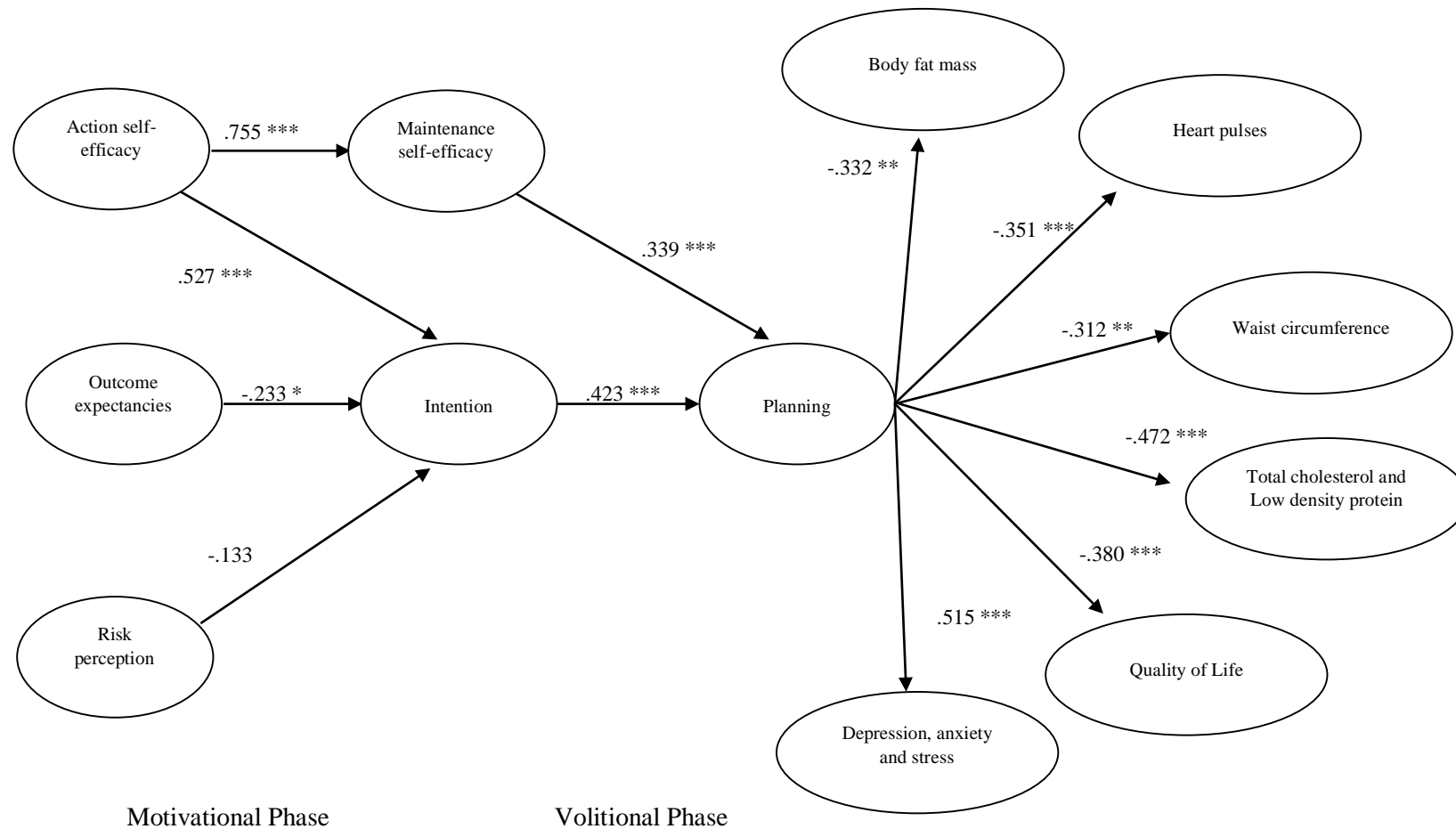
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Figure 1. Health Action Process Approach theoretical model and outcome variables



Note. Variable change was measured at Week 12 from baseline, controlling for Week 6, gender, age, and group condition. Body fat mass change was measured at Week 12 from baseline, controlling for gender, age, and group condition.

Figure 2. Standardised Path Coefficients from a Variance-Based Structural Equation Model of Hypothesised Relations among HAPA Constructs.



Note: P *<.05. **<.01. ***<.001.

Table 1

Summary of Hypothesised Direct and Indirect Effects from the HAPA

Hypothesis	Independent variable	Dependent variable	Mediator/mediators
H ₁	Action self-efficacy	Intention	–
H ₂	Intention	Planning	–
H ₃	Action self-efficacy	Maintenance self-efficacy	–
H ₄	Maintenance self-efficacy	Planning	–
H ₅	Outcome expectancies	Intention	–
H ₆	Risk perception	Intention	–
H _{7a}	Planning	Body fat mass	–
H _{7b}	Planning	Heart pulse	–
H _{7c}	Planning	Waist circumference	–
H _{7d}	Planning	Cholesterol and low density lipoprotein	–
H _{7e}	Planning	Quality of life	–
H _{7f}	Planning	Depression, anxiety, and stress	–
H ₈	Action self-efficacy	Planning	Intention
H ₉	Action self-efficacy	Planning	Maintenance self-efficacy
H ₁₀	Outcome expectancies	Planning	Intention
H ₁₁	Risk perception	Planning	Intention

H _{12a}	Intention	Body fat mass	Planning
H _{12b}	Intention	Heart pulse	Planning
H _{12c}	Intention	Waist circumference	Planning
H _{12d}	Intention	Cholesterol and low density lipoprotein	Planning
H _{12e}	Intention	Quality of life	Planning
H _{12f}	Intention	Depression, anxiety, and stress	Planning
H _{13a}	Maintenance self-efficacy	Body fat mass	Planning
H _{13b}	Maintenance self-efficacy	Heart pulse	Planning
H _{13c}	Maintenance self-efficacy	Waist circumference	Planning
H _{13d}	Maintenance self-efficacy	Cholesterol and low density lipoprotein	Planning
H _{13e}	Maintenance self-efficacy	Quality of life	Planning
H _{13f}	Maintenance self-efficacy	Depression, anxiety, and stress	Planning
H _{14a}	Action self-efficacy	Body fat mass	Maintenance self-efficacy & Planning
H _{14b}	Action self-efficacy	Heart pulse	Maintenance self-efficacy & Planning
H _{14c}	Action self-efficacy	Waist circumference	Maintenance self-efficacy & Planning
H _{14d}	Action self-efficacy	Cholesterol and low density lipoprotein	Maintenance self-efficacy & Planning

H _{14e}	Action self-efficacy	Quality of life	Maintenance self-efficacy & Planning
H _{14f}	Action self-efficacy	Depression, anxiety, and stress	Maintenance self-efficacy & Planning
H _{15a}	Action self-efficacy	Body fat mass	Intention & Planning
H _{15b}	Action self-efficacy	Heart pulse	Intention & Planning
H _{15c}	Action self-efficacy	Waist circumference	Intention & Planning
H _{15d}	Action self-efficacy	Cholesterol and low density lipoprotein	Intention & Planning
H _{15e}	Action self-efficacy	Quality of life	Intention & Planning
H _{15f}	Action self-efficacy	Depression, anxiety, and stress	Intention & Planning
H _{16a}	Outcome expectancies	Body fat mass	Intention & Planning
H _{16b}	Outcome expectancies	Heart pulse	Intention & Planning

H _{16c}	Outcome expectancies	Waist circumference	Intention & Planning
H _{16d}	Outcome expectancies	Cholesterol and low density lipoprotein	Intention & Planning
H _{16e}	Outcome expectancies	Quality of life	Intention & Planning
H _{16f}	Outcome expectancies	Depression, anxiety, and stress	Intention & Planning
H _{17a}	Risk perception	Body fat mass	Intention & Planning
H _{17b}	Risk perception	Heart pulse	Intention & Planning
H _{17c}	Risk perception	Waist circumference	Intention & Planning
H _{17d}	Risk perception	Cholesterol and low density lipoprotein	Intention & Planning
H _{17e}	Risk perception	Quality of life	Intention & Planning
H _{17f}	Risk perception	Depression, anxiety, and stress	Intention & Planning

H ₁₈	Action self-efficacy	Planning	Maintenance self-efficacy & Intention
H _{19a}	Action self-efficacy	Body fat mass	^a Maintenance self-efficacy & Planning. Intention & Planning
H _{19b}	Action self-efficacy	Heart pulse	^a Maintenance self-efficacy & Planning. Intention & Planning
H _{19c}	Action self-efficacy	Waist circumference	^a Maintenance self-efficacy & Planning. Intention & Planning
H _{19d}	Action self-efficacy	Cholesterol and low density lipoprotein	^a Maintenance self-efficacy & Planning. Intention & Planning
H _{19e}	Action self-efficacy	Quality of life	^a Maintenance self-efficacy & Planning. Intention & Planning
H _{19f}	Action self-efficacy	Depression, anxiety, and stress	^a Maintenance self-efficacy & Planning. Intention & Planning

H_{19a-f} effects are comprised of indirect effects through the two three segment pathways involving maintenance self-efficacy and planning, and the three segment pathway involving intention and planning.

Table 2

Factor correlations, and R² statistics for latent variables in Variance-Based Structural Equation Model

Variable	R ²	1	2	3	4	5	6	7	8	9	10	11	12
1. Action self-efficacy	–	–											
2. Outcome expectancies	–	.016	–										
3. Risk perception	–	-.144	.083	–									
4. Maintenance self-efficacy	.571	.749***	-.040	-.086	–								
5. Intention	.470	.625***	-.123	-.103	.565***	–							
6. Planning	.447	.434***	.041	.034	.521***	.591***	–						
7. Body fat mass	.110	-.227	.024	.228	-.261*	-.259*	-.323**	–					
8. Heart pulses	.123	-.146	.019	.023	-.240*	-.218	-.345**	.321**	–				
9. Waist circumference	.097	-.310**	.056	.143	-.291*	-.165	-.175	.528***	.154	–			
10. Cholesterol and LDL	.223	-.088	-.081	-.042	-.084	-.136	-.385***	.303**	.246*	.112	–		
11. Quality of life	.145	-.203	.027	.239*	-.131	-.207	-.224	.364**	.089	.347**	.066	–	
12. Depression, anxiety and stress	.265	.145	-.169	-.099	.032	.097	.182	.086	.092	.179	.163	-.047	–

Note. LDL = Low density lipoproteins; R^2 = Variance accounted for in dependent variable in VB-SEM model; ρ = Composite reliability estimate for each variable

* $<.05$. ** $<.01$. *** $<.001$.

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Appendix A. Details of Measures Used to Tap Health Action Process Approach Components

<i>Scale</i>	<i>Items</i>	<i>Scale anchors</i>
Risk	<i>I think it is likely</i> that I will develop health problems	1 = Strongly
Perception	related to obesity at <i>some point in my life</i>	disagree, 5 = Strongly agree
	Personally, I <i>feel vulnerable</i> to developing health problems related to obesity at <i>some point in my life</i>	
	Compared to the average person, I feel that my chance of developing health problems related to obesity is:	1 = Much lower, 5 = Much higher.
	<i>How likely do you think</i> it is that you will get health problems related to obesity at <i>some point in the future?</i>	1 = Not likely, 5 = Extremely likely
Outcome expectancy	I think that engaging in daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise is a very important way to help me to lose weight.	1 = Strongly disagree, 5 = Strongly agree.
	I believe that engaging in daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise will help me to lose weight.	
	How effective do you feel that engaging in daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise would be to help you to lose weight?	1= Not at all effective, 5 = Extremely effective
Action self-	If it were entirely up to you, how confident are you that	1 = Not

efficacy	<p>you would be able to participate in daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise on each individual occasion over the next 6 weeks?</p> <p>How confident are you that you can complete daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise no matter what, on each individual occasion over the next 6 weeks?</p> <p>How confident are you that you can arrange your schedule to include daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise on each individual occasion over the next 6 weeks?</p> <p>To what extent do you see yourself as being capable of participating in daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise, on each individual occasion over the next 6 weeks?</p> <p>I believe I have the ability to participate in daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise, on each individual occasion over the next 6 weeks?</p>	<p>confident,</p> <p>5 = Completely confident.</p>
Maintenance self-efficacy	<p>Stem: How <i>confident</i> are you that you will do daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise during your leisure time on each individual occasion over the next 6 weeks even if... ...you get busy and have limited time?</p>	<p>1 = Not confident,</p> <p>5 = Completely confident</p>

- ...the weather is very bad?
- ...you are feeling tired?
- ...you are feeling stressed?
- ...there are competing interests like
- ...your favourite TV show?
- ...you have no one to do physical activity with?
- ...you are not enjoying your physical activity?
- ...you do not receive support from your family or friends?
- ...you have other things you like to do in your spare time to do?

Intention	I intend to participate in daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise on each individual occasion over the next 6 weeks.	1 = Strongly disagree, 5 = Strongly agree.
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I will try to engage in daily *physical activity* with a minimum of 30 minutes of planned exercise on each individual occasion over the next 6 weeks.

Planning	I have made a detailed plan about when, where, and how I will do daily <i>physical activity</i> with a minimum of 30 minutes of planned exercise on each individual occasion over the next 6 weeks.	1 = Strongly disagree, 5 = Strongly agree.
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Table 3. *Effect sizes and P values for the non-significant effects.*

Hypothesis	Independent variable	Dependent variable	Mediator/mediators
H ₆	Risk perception	Intention	
H ₁₀	Outcome expectancies	Planning	Intention
H ₁₁	Risk perception	Planning	Intention
H _{13a}	Maintenance self-efficacy	Body fat mass	Planning
H _{13b}	Maintenance self-efficacy	Heart pulse	Planning
H _{13c}	Maintenance self-efficacy	Waist circumference	Planning
H _{13e}	Maintenance self-efficacy	Quality of life	Planning
H _{15a}	Action self-efficacy	Body fat mass	Intention & Planning
H _{15c}	Action self-efficacy	Waist circumference	Intention & Planning
H _{16a}	Outcome expectancies	Body fat mass	Intention & Planning
H _{16b}	Outcome expectancies	Heart pulse	Intention & Planning
H _{16c}	Outcome expectancies	Waist circumference	Intention & Planning
H _{16d}	Outcome expectancies	Cholesterol and low density lipoprotein	Intention & Planning
H _{16e}	Outcome expectancies	Quality of life	Intention & Planning
H _{16f}	Outcome expectancies	Depression, anxiety, and stress	Intention & Planning
H _{17a}	Risk perception	Body fat mass	Intention & Planning
H _{17b}	Risk perception	Heart pulse	Intention & Planning
H _{17c}	Risk perception	Waist circumference	Intention & Planning

H _{17d}	Risk perception	Cholesterol and low density lipoprotein	Intention & Planning
H _{17e}	Risk perception	Quality of life	Intention & Planning
H _{17f}	Risk perception	Depression, anxiety, and stress	Intention & Planning
H _{19c}	Action self-efficacy	Waist circumference	^a Maintenance self-efficacy & Planning. Intention & Planning

^aH_{19c} effect is comprised of indirect effects through the two three segment pathways involving maintenance self-efficacy and planning, and the three segment pathway involving intention and planning.