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1 **The influence of Low Frequency Sea Surface Temperature modes on Delineated**
2 **Decadal Rainfall zones in Eastern Africa region**

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1 **Abstract**

2 Influence of low frequency global Sea Surface Temperatures (SSTs) modes on decadal
3 rainfall over Eastern Africa region is investigated. Fore-knowledge of rainfall distribution at
4 decadal time scale in specific zones is critical for planning purposes. Both rainfall and SST
5 data that covers a period of 1950 to 2008 were subjected to a 'low-pass filter' in order to
6 suppress the high frequency oscillations. VARIMAX-Rotated Principal Component Analysis
7 (RPCA) was employed to delineate the region into decadal rainfall zones while Singular
8 Value Decomposition (SVD) techniques was used to examine potential linkages of these
9 zones to various areas of the tropical global oceans. Ten-year distinct decadal signals,
10 significant at 95% confidence level, are dominant when observed in-situ rainfall time series
11 are subjected to spectral analysis. The presence of variability at El Niño Southern
12 Oscillation (ENSO)-related timescales, combined with influences in the 10-12 year and 16-
13 20 year bands were also prevalent. Nine and seven homogeneous decadal rainfall zones for
14 long rainfall season i.e. March-May (MAM) and the short rainfall season i.e. October-
15 December (OND), respectively, are delineated. The third season of June – August (JJA),
16 which is mainly experienced in western and Coastal sub-regions had eight homogenous
17 zones delineated. The forcing of decadal rainfall in the region is linked the equatorial
18 central Pacific Ocean, the tropical and South Atlantic Oceans, and the Southwest Indian
19 Ocean. The high variability of these modes highlighted the significant roles of all the global
20 oceans in forcing decadal rainfall variability over the region.

21

22 **Keywords:** Decadal Rainfall Variability, Sea Surface Temperatures, Singular Value
23 Decomposition

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1 **1. Introduction**

2 Spatial and temporal variability of rainfall offers considerable challenges for assessing and
3 understanding climate change and variability over Eastern Africa region. The region is
4 already witnessing dire consequences of erratic climatic conditions that are likely to be
5 associated with regional climate change (IPCC 2007). The recurrences of floods and
6 droughts have been associated with many socio-economic miseries. This is a region with
7 serious food insecurity and resources-based conflicts. IPCC (2007) report provided clear
8 evidences of climate change in the region with increased risks of climate extremes.
9 Unfortunately, the economies and livelihoods of the majority of the countries in the region
10 still rely on rain dependent systems. Serious food insecurity, famines, and poverty among
11 other miseries, are prevalent in the region. The rainfall pattern over the region is highly
12 variable both in space and time because of the complexity of regional climates and the
13 influence of regional geographic features, such as semi-arid lands, land cover variations,
14 mountain chains, large lakes, land-sea contrasts, and the sea surface temperature (SST)
15 changes of the surrounding Indian Ocean. Such diversity influences the distribution and
16 statistics of rainfall extremes at all scales. This in turn may have a much greater impact on
17 natural systems and human activities than mean precipitation (Parry et al., 2007).

18
19 Atmospheric motions are dominated by the gradients in temperature and the associated
20 pressure gradients (Barry and Chorley 1968; Nyakwada 2009). The use of SST gradients
21 can improve the representation of the driving forces of the general circulation. The SST
22 gradients influence wind currents and the associated moisture transport (Lindzen and
23 Nigam 1987). Some of the SST gradients that have been documented to influence climate
24 of the region and beyond include the Zonal SST gradients in the Indian Ocean associated
25 with the Indian Ocean Dipole (IOD) (Saji et al 1999, Webster et al. 1999, Yu and Rienecker
26 2000, Clark et al 2003, Behera et al 2005, Owiti 2005, Singhrattna et al 2005); the zonal SST
27 gradient in the Pacific (Bjerkness 1969; Nyakwada 2009) and the zonal and meridional SST
28 gradients in the Atlantic Ocean (Moura and Shukla 1981; Nobre and Shukla 1996; Wang
29 2002). The meridional and zonal SST gradients are linked through teleconnections with
30 large-scale atmospheric circulation such as the Walker and Hadley cells (Wang 2002). The
31 zonal and meridional SST gradients have a strong signature on the climate of the tropical
32 areas (Lindzen and Nigam 1987; Ward 1998). In the tropics the zonal gradients are
33 generally smaller than the meridional gradients but are more important in forcing low level
34 tropical circulation and convergence than the meridional gradients (Lindzen and Nigam
35 1987).

36
37 There is particular interest in the coming decade, which represents a key planning horizon
38 for infrastructure upgrades, insurance, energy policy, and business development. Societal
39 needs for climate information on decadal time scales is continuing to grow in terms of its
40 potential value and relevance as a driver in sector decision making. However, such
41 information is currently lacking in the region. Predictions and observationally-based
42 analyses for decadal climate variability and change are therefore needed. A large timescale
43 gap, especially the one that goes from one year to about 20 years, exists in climate
44 predictions (Reason et al., 2006; Smith et al., 2007; IPCC 2007). Long term scale climate
45 predictions over East African region has not been done with enough precision to

1 adequately carry out assessment of impacts of climate anomalies at the sub-regional level.
2 For interventions and planning purposes, climate information is required on average over
3 5 to 10 years. Only recently has this received attention of the scientific community despite
4 the relevance of this timescale to many societal and developmental applications (see e.g.
5 Smith et al., 2007; Keenlyside et al., 2008; Pohlmann et al., 2009). These scientific and
6 technical shortcomings in turn act as a major hurdle that limits the ability to design and
7 justify investment decisions for the development and design of appropriate adaptation
8 strategies.

9
10 Knowledge on decadal climate variability is popularly emerging as a new direction in
11 climate science (CLIVAR Vacs, 2007; Meehl and Hibbard, 2007; Seager et al., 2007; Knutson
12 and Tuleya, 2004; Meehl et al., 2009), especially in light of increased demand for climate
13 and climate change information. Numerous assessments of climate information user needs
14 have identified this timescale as being important to infrastructure planners, water resource
15 managers, and many others (Meehl et al., 2009; Lee et al., 2006; Keenlyside., 2008). Decadal
16 prediction lies between seasonal/interannual forecasting and longer term climate change
17 projections, and further focuses on time-evolving regional climate conditions over the next
18 10-30 years. Perhaps the most striking finding is the linkage of decadal drought frequency
19 in the Sahel to SST (Nicholson 2000a; L'Hôte et al., 2002; Zhang and Delworth, 2006).
20 Other droughts on decadal-timescale, such as the "dust-bowl" in the Southern United States
21 of America (USA) in the 1930s have been linked to variations in SST (Worster, 1979;
22 Woodhouse and Overpeck, 1998; Bark, 1978). Nicholson (2000b) showed rainfall time
23 series for 1901-1994 of three African regions displaying decadal rainfall variations. In
24 South Africa, and some neighboring areas, an austral summer rainfall signal at near bi-
25 decadal scales has been known for some time (Tyson and Preston-Whyte, 2000). In the
26 Pacific/Indian Ocean basins, there are strong signals of decadal variability associated with
27 the Pacific Decadal Oscillation (PDO) with statistical links to the climate of the surrounding
28 regions. For example, during the 20th century, El Niño- like phases of the PDO coincided
29 with decades in which ENSOs impact on Australia was weak, whereas La Niña- like phases
30 of the PDO coincided with decades in which ENSOs impact on Australia was strong (Power
31 et al, 1999).

32
33 Over East Africa region, there is substantial evidence for decadal climate variability in the
34 observed climate system (Nicholson 2000b; Schreck and Semazzi, 2004; Bowden et al.,
35 2004, Muthama et al., 2008; Omondi et al 2009; Omondi et al., 2012a,b). Decadal rainfall
36 signals in East Africa have been linked to the Pacific Decadal Oscillation (PDO) (CLIVAR
37 VACS 2007). Several parts of the region experience strong decadal signal during the short
38 rains of OND season (Schreck and Semazzi, 2004; Bowden et al., 2004; Omondi et al 2009;
39 CLIVAR VACS, 2007). Recently, Omondi et al., 2009 provided some evidence of decadal
40 variability in the interannual patterns of East Africa rainfall. They showed that some
41 teleconnections were evident between the observed decadal rainfall and SST variability
42 patterns over parts of the global oceans. They further found correlations between the
43 rainfall and SSTs but no attempt was made in linking regional homogenous zones to
44 specific regions of global SSTs. Relatively less attention has been directed at the influence
45 of low frequency SST signals in forcing decadal rainfall in different sub-regions of East
46 Africa.

1 The focus of the present study therefore, is to extend the work of Omondi et al., 2009 by
2 delineating the sub-regions of Eastern Africa based on decadal rainfall variability and
3 investigate how these sub-regions are coupled to low frequency SST signals. Unlike in
4 Omondi et al., 2009 where a simple correlation analysis was employed, this study applied the
5 more sophisticated Singular Value Decomposition (SVD) technique in attempt to link decadal
6 rainfall to various global basins SSTs. The advantage of adding this step to the analysis is
7 twofold: 1) It improves the performance of statistical methods with removing highly
8 correlated observation in each region, which might add unrealistic weights to the zones
9 with more stations and disturb the leading components towards the maximum variability
10 of those zones (see e.g., Basalirwa, 1995). 2) It also groups together stations with
11 common decadal rainfall characteristics into homogeneous zones, which considerably
12 improves the interpretation of the results. Once the homogeneous regions are identified,
13 again we investigate both spatial and temporal coupling over a longer period of time
14 compared the previous work where only temporal variability was considered and over a
15 relatively shorter period of time.

16
17 This study is organized as follows: A brief discussion of the types of data used in the study
18 and methods of analysis are present in section 2. The key results are discussed in section 3,
19 whereas summary and conclusions are provided in section 4.

20 **2. Data and methods**

21 *2.1 Observed climate data*

22 The rain gauge observations network over East Africa is quite sparse. Furthermore, the
23 available data are also riddled with numerous gaps in both space and time. These
24 limitations in the quantity and quality of in-situ observations impose substantial
25 constraints on diagnostic studies of the regional climate (rainfall) variability. The observed
26 rainfall data used in this study consist of monthly rainfall data (1920-2008) obtained from
27 the Kenya Meteorological Department (KMD), Tanzania Meteorological Agency (TMA) and
28 Uganda Meteorological Department (UMD). The in-situ rainfall stations used in this study
29 are the representative stations for 37 climatological zones used by the three countries in
30 day-to-day operations (ICPAC 1999). These were earlier computed using PCA analysis and
31 have been used by many authors in the region. A total of 37, unevenly distributed, gauge
32 stations over East Africa were available for the analysis.

33
34 The SST data used in this study is from the National Center for Environmental
35 Prediction/Climate Prediction Center (NCEP/CPC) for the period 1950-2008 which is
36 distributed under the National Oceanic and Atmospheric Administration (NOAA) / Climate
37 Diagnostic Center (CDC) Optimum Interpolation (OI) SST Version 2 (OISSTv.2). The data
38 are on $1.0^{\circ} \times 1.0^{\circ}$ grid point resolution and is often known as optimal interpolation (OI) SST
39 in literature following Reynolds and Smith (1994). The data set is a blend of insitu and
40 satellite SSTs, but also includes those simulated by sea-ice cover (Reynolds et al., 2002;
41 Smith and Reynold, 2002). The data adjustment for biases was done using the method
42 described by Reynolds (1988) and Reynolds and Marsico (1993). Details of this
43 methodology can be found in Reynolds and Smith (1994). All SST observed data within

1 30°S – 30°N are discarded if the value is less than -2°C or greater than 35°C or if the SST
 2 anomaly lies outside ±3.5 times the climatological standard deviation.
 3 SSTs have long memory and therefore have wide usage in most climate prediction models
 4 (Mutemi, 2003; Owiti, 2005; Smith et al., 2007; Smith et al., 2008; Nyakwada, 2009).
 5 Several efforts have been made to improve the quality of SST records due to their value in
 6 climate prediction (Smith and Reynolds, 2004; Smith et al., 2008). Kanamitsu et al., (2002);
 7 Smith and Reynolds, (2002, 2004) and Smith et al., (2008) among other authors, have
 8 discussed the details of these data. It is important to note that SST data to the south of 30°S
 9 may not be of good quality as indicated in the study of Weare (1977) and hence the present
 10 study was restricted between 30°S and 30°N of the tropical global Oceans.

11
 12 We briefly highlight the methodology employed in analysis for the study in the section that
 13 follows. However, in establishing the relationship between rainfall and temperature, a
 14 common window for both data sets is set as 1950-2008. Both data sets were low-pass
 15 filtered using a nine-term binomial coefficient filter or ten years moving average to remove
 16 all fluctuations equal to and less than 10 years. It is also noteworthy that when any time
 17 series is subjected to nine point Gaussian probability curve, four years of data are
 18 truncated from both ends of the series. The graphical presentations these series include the
 19 plotting of the bar charts anomalies obtained when long-term mean decadal rainfall is
 20 subtracted from the filtered record and the ensuing series detrended.

21 *2.2 Spectral Analysis Method*

22 Spectral analysis is a technique for examining the hidden periodicities (cycles or
 23 oscillations) of any time series at certain frequencies. The objective of spectral analysis is to
 24 determine the actual distribution of frequencies in a signal. Spectral analysis has been used
 25 by many authors to examine cyclic variations (e.g. Bloomfield 1976; Wilks 1995, 2006;
 26 Muhati et al., 2007).

27
 28 In statistical signal processing, the spectral density, power spectral density (PSD), or
 29 energy spectral density (ESD), is a positive real function of a frequency variable associated
 30 with a stationary stochastic process, or a deterministic function of time. The power spectral
 31 density of a signal can be estimated by a periodogram. A spectral plot refers to a smoothed
 32 version of the periodogram performed to reduce the effect of measurement noise. Raw
 33 periodogram is not a good spectral estimate because of spectral bias and the fact that the
 34 variance at a given frequency does not decrease as the number of samples used in the
 35 computation increases. The variance problem can be reduced by smoothing the
 36 periodogram. Various techniques to reduce spectral bias and variance are the subject of
 37 spectral estimation. The purpose of estimating the spectral density is to detect any
 38 periodicities in the data, by observing peaks at the frequencies corresponding to these
 39 periodicities.

40
 41 The spectral analysis density function $h(w)$ can be expressed as a Fourier transform of
 42 autocovariance function $R(r)$. Thus

43
$$h(w) = \frac{1}{2\pi} \sum_{r=-\infty}^{\infty} e^{-iwr} R(r) \dots\dots\dots (1a)$$

1 Where $\omega = 2\pi f$ is the angular frequency and f is the frequency and $i = \sqrt{-1}$. In the
 2 normalized power spectrum $|(\omega)$, the autocovariance is replaced by autocorrelation, and
 3 takes form

$$4 \quad |(\omega) = \frac{1}{2\pi} \sum_{r=-\infty}^{\infty} e^{-i\omega r} \rho(r) \dots\dots\dots (1b)$$

5 In order to obtain consistent estimates of $|(\omega)$, smoothing functions $\lambda(r)$ are used. The
 6 smoothed spectral density function, $|^1(\omega)$ may be expressed as $|^1(\omega) \lambda(r) |(\omega)$. Where
 7 $\lambda(r)$ the smoothing is weights or lag windows (Muhati et al., 2007). Examples of lag
 8 windows that are used to smooth the power spectrum are: Truncated Periodogram,
 9 Bartlett, Daniel, Tukey Humming, Tukey Hunning, Parsen and Barklett–Priestley windows
 10 (Bloomfield 1976, Wilks 1995). The most commonly used windows are the Parsen and
 11 Tukey windows (Jenkins and watts, 1968).
 12

13 Significance testing of spectral estimates is performed using methods outlined in Minja
 14 (1984) and Koopmans (1995). The upper and lower bounds of a confidence interval
 15 around each spectral estimate were computed using χ^2 distribution. In order to set up
 16 confidence interval, it is necessary to determine the equivalent degrees of freedom (*edf*) for
 17 the new (smoothed) spectral estimates. Prior to smoothing, each periodogram intensity
 18 estimates has $2 df$; after smoothing, the estimated new *edf* will vary depending upon the
 19 width and shape of the smoothing window. For the Daniell window, the *edf* is easily
 20 determined: it is just $2M$, where M is the total width of the window (the number of
 21 frequencies that are included in the weighted average). Details of the computations of
 22 weights and of the *edf* for other windows can be obtained from Gottman (1981) and
 23 Koopmans (1995).

24 For a 98% confidence interval (*CL*), the critical values of χ^2 (for this *edf*) that cut off the
 25 bottom and top 1% are obtained by table lookup in the χ^2 distribution. In the formula that
 26 follows, these are denoted $\chi^2_{.01}$ for the bottom 1% cutoff and $\chi^2_{.99}$ for the top 1% cutoff
 27 critical values of χ^2 . The spectral estimate for which the *CL* is set up is denoted by $s(f_i)$,
 28 where f_i is the specific frequency and $s(f_i)$ is the estimated power at that frequency.
 29 Thus the upper and lower bounds of a 98% *CL* for $s(f_i)$ are given by as:

$$30 \quad \text{Lower bound of } CL = [edf \cdot s(f_i)] / \chi^2_{.99} \dots\dots\dots (1c)$$

$$31 \quad \text{Upper bound of } CL = [edf \cdot s(f_i)] / \chi^2_{.01} \dots\dots\dots (1d)$$

32 The width of the *CL* varies as a function of the size of $s(f_i)$, and so if $s(f_i)$ is plotted on a
 33 linear scale a separate *CL* must be set up for each spectral estimate.
 34

1 Given that the null hypothesis (“white noise”) implies a uniform distribution of power
2 across frequencies, a line can drawn on the graph of the power spectrum that corresponds
3 to this mean level of power (i.e., the mean of all the spectral estimates). To test whether a
4 specific spectral estimate is statistically significant, one can then examine its *CL* to see
5 whether it overlaps this mean. Should it not overlap the mean of the spectrum, then this
6 spectral estimate might be judged to be significantly higher than expected by chance.
7 However, this approach to significance testing raises a problem of inflated Type I error
8 (Bloomfield, 1976). If the analyst specifies a very limited number of frequencies a priori
9 and tests whether the power at these frequencies significantly exceeds the mean level of
10 power (that would be expected if the series were white noise), this significance testing
11 procedure may have acceptably low risk of Type I. When the largest peak in a spectrum is
12 tested in this manner post hoc, then the actual risk of Type I error will be higher than the
13 normal α level.

14 Because the CL approach to significance testing does not control for the inflated risk of
15 Type I error that arises when many spectral estimates are tested post hoc for significance,
16 the one may wish to take a more conservative approach may instead apply the Fisher test
17 to the periodogram as a means of assessing significance of periodicity. Alternatively, one
18 may wish to set up a CL for 99% or 99.9% to reduce the risk of Type I error.

19
20 In this study, we employ spectral analysis technique to detect periodic or quasi-periodic
21 fluctuations and if the smoothed rainfall record is dominated with ten years cycle.

22 *2.3 VARIMAX-Rotated Principal Component Analysis (RPCA)*

23 Seasonal rainfall patterns over East Africa are known to be highly variable due to the existence
24 of complex topography and large inland water bodies (Ogallo, 1988; Indeje, 2000; Mutemi,
25 2003; Nyakwada, 2009, Anyah and Qiu 2012). To prepare a spatially largest possible area for
26 coherent rainfall having similar characteristics and associations with regional/global circulation
27 parameters, homogenous zones are delineated. Spatial averages are more representatives of
28 the large-scale conditions than are data for individual stations (Nicholson 1986). The use of
29 normalized regionally averaged series reduce two problems inherent in the analysis of
30 rainfall in the sub-humid, tropical areas namely; the highly diverse means and variabilities
31 and the randomness of the convective process reflected in individual station totals (Ogallo,
32 1988; Indeje, 2000). Reduction of regional data and delineation of homogeneous climate
33 zones is critical in studying mechanisms associated with these modes of variability.
34 Regionalization and averaging of rainfall over large but homogeneous regions have the
35 advantages of reducing meteorological noise in the data as well as minimizing the number
36 of variables which describe the regional climate variability (Ogallo, 1988; Indeje, 2000;
37 Mutemi, 2003; Nyakwada, 2009). To effectively provide a better understanding of the
38 physical processes responsible for decadal climate variability over the region, we employ
39 VARIMAX-Rotated PCA (RPCA) technique (Indeje, 2000; Mutemi, 2003; Nyakwada, 2009)
40 to isolate dominant modes of the decadal rainfall variability and cluster analysis on the
41 rainfall stations network to group them into homogeneous decadal rainfall zones. The
42 delineation of homogeneous zones is followed by identifying the stations with the largest
43 correlation with the Principal Component (PC) time series associated with the first
44 eigenvector of the decadal rainfall anomaly (Basalirwa 1991, Indeje et al., 2000).

45

1 In this study, the VARIMAX rotated version of PCA was applied to define dominant modes
2 of variability of the low passed rainfall and SST series. The VARIMAX rotation is selected to
3 improve the physical interpretation of the PCA modes and to derive more localized
4 components (Richman 1986). We therefore employ PCA concept in this study to establish
5 the dominant modes in both decadal rainfall and SST data. The spatial patterns of the
6 dominant modes observed in the SST fields are thereafter used in the selection of SSTs
7 modes from the major global basins for the model development. The use of PCA, however,
8 requires the identification of the number of factors, which must be included in the
9 solutions. A detailed discussion on selection rules are documented in e.g., (Kaiser 1958,
10 Kaiser 1959, Cattel 1966, Wilks 2006).

11 *2.4 Singular Value Decomposition (SVD)*

12 The purpose of Singular Value Decomposition (SVD) is to reduce a dataset containing a
13 large number of values to a dataset containing significantly fewer values, but which still
14 contains a large fraction of the variability present in the original data (von Storch and
15 Navarra, 1995). Often in the rainfall and SST data will exhibit large spatial correlations. SVD
16 analysis results in a more compact representation of these correlations, especially with
17 multivariate datasets and can provide insight into spatial and temporal variations exhibited
18 in the data being analyzed.

19
20 In this study, SVD method aims to relate the two fields by decomposing their joint
21 covariance matrix into singular values and two sets of paired-orthogonal vectors—one for
22 each field. The covariance between the expansion coefficients of the leading pattern in each
23 field is maximized. The singular values give the magnitude of the Squared Covariance
24 Fraction (SCF) as accounted for by the various singular values (Bretherton et al. 1992;
25 Wallace et al. 1992). Thus, SVD is a better approach compared to simple correlation
26 analysis between different components of climate systems.

27
28 The SVD method is used in this study to further examine the detailed patterns of the
29 dominant modes of decadal variations in rainfall and their coupling to the global SST
30 changes. Detailed descriptions of SVD analysis can be found, e.g., in Bretherton et al.,
31 (1992) and von Storch and Navarra (1995). Exploiting the spatial coherence of climate
32 signals in data series with low signal to noise ratios facilitates their identification (Mann
33 and Park, 1996) while preserving spatial information and allowing the isolation of signals
34 that might largely cancel in coarse spatial averaging (e.g., signals which largely involve
35 dipole and quadrupole patterns). The usage of the SVD method in this study, therefore, is
36 similar to canonical correlation analysis (CCA) where two sets of orthogonal time series are
37 produced, along with their corresponding spatial patterns. CCA aims to maximize
38 correlation between variables, while SVD aims to maximize covariance between variables.
39 The difference between CCA and SVD is that CCA identifies spatial patterns by maximizing
40 the temporal correlation between two data fields, whereas SVD maximizes the temporal
41 covariance between two data fields. Barnston and Smith (1996) provide an in-depth
42 analysis of CCA and its relation to SVD.

43
44 It should be noted that prior to SVD analysis, the series were subjected to a 'low- pass filter'
45 in order to suppress the high frequency oscillations. The weights used were nine point

1 Gaussian probability curve (0.01, 0.05, 0.12, 0.20, 0.24, 0.20, 0.12, 0.05, 0.01). The long
2 term-mean was subtracted and the time series further de-trended until the records clearly
3 showed no trends when linear regression technique and the 'Students t' test were applied.
4 When trends in the data exist over time, the first structure often captures them. The
5 purpose of this analysis is to find spatial and temporal correlations independent of trends
6 and thus de-trending data before applying the analysis.

7 **3. Results and discussion**

8 *3.1 Delineation of East Africa into Climatic Zones based on Decadal Rainfall Variability*

9 Table 1 gives the summary of results obtained from rotated VARIMAX solutions. Using the
10 Scree test; Kaiser's criterion and North et al. (1982) sampling errors methods, nine PCA
11 modes were significant for the MAM season. These accounted for 92% of total March - May
12 decadal rainfall variance and thus was used for further analyses. Results from the second
13 rainfall season of OND indicated seven modes accounting for 91.3% are significant. During
14 the Northern Hemisphere summer of June to August season, most of the region is dry
15 except the western equatorial and coastal sectors influenced by Lake Victoria and Indian
16 Ocean respectively. Eight PCA modes accounting of 89.9% were significant during this
17 season.

18 Figure 1 show 9, 7 and 8 unique climatologically homogeneous zones delineated for the
19 MAM, OND and JJA seasons, respectively, using decadal rainfall records for the three
20 countries of the study region. We group together stations with common characteristics into
21 homogeneous regions using spatial patterns of the dominant PCA modes. The PCA statistics
22 (Communality) at each individual zone is therefore used to identify a representative station
23 for each zone, which is used for further analyses (Gregory, 1975; Ogallo, 1988, 1989;
24 Basalirwa, 1991; Indeje, 2000; Okoola, 1996; ICPAC, 1999). For example, correlation
25 between the stations with highest communality in every cluster is computed and this helps
26 in the mapping of stations into zones. Correlation coefficients values as high as 0.94 are
27 observed between Dar-es-Salaam International Airport (DIA) and stations within zone 5
28 during OND season (Figure 1b). To facilitate discussion of the regions, Figure 4 presents
29 the anomaly of decadal precipitation across some stations in each of the delineated
30 homogenous regions. Determining the spatial form of these signals was the primary
31 purpose of the PCA. The 9 significant modes of variability passed both Scree and Kaiser's
32 criterion tests but the eigen values were indistinguishable at 95% confidence level using
33 North et al. (1982) sampling error test.

34 Figure 2 shows an example of ten years cycle dominant in most rainfall records that were
35 subjected to spectral analysis. The spectral peaks are significant at 95% confidence level
36 when both white and red noise hypotheses are tested. The ten years dominant cycles
37 demonstrate the dominance of decadal variability in rainfall patterns over East Africa.
38 There are, however, significant differences in the amplitudes and spectral bands of the
39 spectral peaks from the various regions, which signified some differences in the impacts of
40 the decadal variability of various parts of East Africa due to the modification of the complex
41 regional climate systems.

42 Figure 3, however, depicts long-term linear trends towards negative values, which are
43 detectable in most sub-regions. When linear regression technique and the 'Students t' test

1 for testing if there is any significance in the trend are applied, there were no statistically
2 significant trends detected. Results of the study further showed wet and dry decades
3 recurring with approximately ten years cycle (Figure 4) and sometimes extend over large
4 areas of the region.

5 *3.2 Linkages between regional decadal rainfall variability patterns and global SSTs*

6
7 Table 2 provides the two main summary statistics of the SVD analysis obtained when the
8 three global basins were separately analyzed with the three rainfall seasons of the region.
9 These statistics provide a measure of the strength of the relationship between the two
10 fields. The first statistic, the Squared Covariance Fraction (SCF_k), where k is the mode
11 number, provides the percentage of the total squared covariance between the two fields
12 explained by the SVD mode, and is proportional to the square of its singular value. This is a
13 measure of the relative importance of the SVD mode in the relationship between the two
14 fields. It should be noted that only the first three significant covariability modes of the
15 squared covariance is shown in Table 2. The second statistic is the correlation coefficient
16 (r_k) between the two time series that represent the temporal variations of the mode in the
17 two fields. It is a measure of the similarity between the time variations of the patterns of
18 the two fields, or how strongly the two fields are related to each other with respect to time
19 (significant at the 5% level) for the first three modes.

20
21 We investigate linkages between smoothed SST and decadal rainfall anomalies using SVD
22 to establish existence of covariance amongst East Africa rainfall and the specific global
23 Ocean basin temperatures.

24 *3.2.1 The three Oceans versus MAM modes*

25 Figure 5 shows the spatial and temporal patterns of the first SVD mode of MAM rainfall and
26 Indian Ocean SST that accounted for 49.9% of the total square covariance. This mode is
27 characterized by large pool of positive warming over western and northern Indian Ocean
28 while negative loading are over south-eastern Indian Ocean (Figure 5a). The corresponding
29 precipitation pattern shows positive loadings over most parts of the region especially
30 where MAM rains are dominant (Figure 5b). It identifies the warming trend signal in the
31 SSTs with strong positive loadings throughout the central Indian Ocean. A similar SST
32 loading pattern has been reported by Smith and Reynolds (2003). The correlation
33 coefficient of time series of the expansion coefficient of both SST and rainfall fields is 0.73
34 (Figure 5c).

35
36 This first SVD mode is characterized by some east-west and north-south dipole patterns
37 that are associated with much rainfall over most parts of the region. Some previous studies
38 have shown strong positive SST anomalies over western Indian Ocean and negative SST
39 anomalies off the Indonesia coast that has been referred to it as the positive Indian Ocean
40 Dipole (IOD) Mode (Webster et al., 1999; Saji et al., 1999; Yu and Rienecker, 2000; Saji and
41 Yamagata, 2003a; Black et al., 2003; Black, 2004; Hastenrath and Polzin, 2003, 2004;
42 Behera et al., 2005; Singhrattna et al., 2005; Owiti, 2005; Tozuka et al., 2007; Meyers et al.,
43 2007; Huang and Shukla 2007, Garci'a-Garci'a et al., 2011, and Becker et al. 2011).

44

1 The second SVD mode (SVD-2) that accounted for 14.8% variance has negative coherence
2 loadings centered on the central equatorial Indian Ocean and positive loading over the
3 south-western parts of the ocean basin (Figure 6a). This mode is also characterized by
4 negative loadings over the northern sector while positive loading is confined over the
5 southern sector of the sub-region (Figure 6b). It also showed stronger decadal trend mode
6 (correlation coefficient, $r = 0.64$) in time series of expansion coefficient (Figure 6c)
7 compared to SVD-1 mode. Such a pattern in the Indian Ocean was observed in Nyakwada
8 (2009) and has been attributed to the mean seasonal SST pattern over the ocean when the
9 overhead sun crosses the equator.

10
11 The third mode (SVD-3), which accounted for 9.9% of the variance showed positive
12 loadings over the entire Indian Ocean with the highest loadings concentrated in the area
13 between 20°N and 15°S (not shown). This pattern is associated with strong positive
14 loadings for rainfall over the eastern sector and negative loadings over western sector of
15 the region with strong decadal signal in the time series of expansion coefficients. It is worth
16 noting that although this mode explains relatively low covariance, it was still able to display
17 strong decadal variability for the MAM rainfall season (Rao and Yamagata, 2004; Rao and
18 Behera, 2005; Rao et al., 2007).

19
20 The results from SVD analysis discussed above confirm the complexity of rainfall variability
21 over East Africa during MAM rainfall season. SVD has however delineated three modes that
22 could give more insight into the modes of decadal rainfall variability over the region. The
23 dominance of the modes representing zonal SST variability in the Indian Ocean, which may
24 be associated with the strong influence of this ocean on the climate of the region has been
25 indicated and consistent with observation analyses by many other authors including
26 Okoola (1996); Goddard and Graham (1999); Mutai (2003); Nyakwada (2009) among
27 others.

28
29 Similarly, the influence of the Atlantic Ocean on MAM decadal rainfall was investigated
30 using SVD technique. Moisture influx from the ocean is associated with enhanced westerly
31 circulation that also favours the incursions of moisture from the always wet tropical forests
32 of Congo, Democratic Republic of Congo (DRC) and other central African countries. The SST
33 variability in the Atlantic Ocean reaches its maximum in the period January to May (Wu et
34 al. 2007). The results of the analysis showed SVD-1 mode accounting for about 43% of the
35 total covariance, and is characterized by a meridional dipole like pattern with negative
36 loadings over the northern equatorial Atlantic Ocean and positive loadings over the
37 southern equatorial Atlantic basin (Figure 7a). The rainfall component of this mode
38 showed a southeastern – northwestern dipole pattern with negative loadings over the
39 south-eastern sub-sector while positive loading over north-western segment of the region
40 (Figure 7b). The time series of expansion of SVD coefficients of both rainfall and SST
41 anomalies has a correlation coefficient of 0.97 (Figure 7c) that also shows some trends and
42 enhancement of the decadal amplitudes in some years (Figure 7c).

43
44 The SVD-2 mode between MAM rainfall and Atlantic Ocean SST fields explains 23% of the
45 total covariance (Table 2). Large coherent positive loadings over the equatorial Atlantic
46 Ocean (Figure 8a) are observed to be in association with large positive loading in most

1 parts of the regions where MAM rainfall is significant (Figure 8b). The time series of
2 expansion coefficients of this mode has a correlation of 0.98 significant at 95% confidence
3 level. The time series further showed strong decadal variation with strong positive phases
4 during mid 1960s and early 1980s.

5
6 The third mode for MAM rainfall and Atlantic Ocean SST that explains 12.8% of the total
7 covariance is occasioned by inter-hemispheric positive loading over the southern Atlantic
8 Ocean and negative loading over northern hemisphere with centre at (50°W-35°W, 4°S-
9 15°N) of the basin. The regional rainfall variability associated to this mode has positive and
10 negative loadings in the southern and northern sub-region respectively. This pattern was
11 observed to be more or less opposite to that of SVD-2 mode in Figure 8b. The time series of
12 expansion coefficients of this mode has increasing trend in the 1960s and 1970s decades.
13 Lindzen and Nigam (1987) observed similar pattern in their study and indicated that such
14 gradients have a stronger influence on the climate in the tropical regions. This mode
15 displayed both zonal and meridional SST variability that has been observed to have
16 significant influence on the rainfall over western Africa (Wasilla, 2007).

17
18 Analysis of MAM rainfall and Pacific Ocean SSTs showed a rather stronger coupling
19 compared to other basins. This is manifested in the first three modes contributing to about
20 79% of the decadal rainfall covariance. The SVD-1 mode that contributed about 38% of the
21 total covariance is characterized by warming over the eastern and cooling over western
22 Pacific Ocean, reminiscent of El Niño SST pattern (see e.g., Garcí'a-Garcí'a et al., 2011,
23 Forootan et al., 2012). SVD-2 Mode for the Pacific contributed to about 25% of the
24 covariance and is also characterized by strong negative loadings over the eastern and
25 positive loadings over western equatorial Pacific Ocean. This is the cold phase of La Niña
26 SST pattern that is associated with deficient seasonal rainfall over most parts of the region
27 (Ropelewski and Halpert, 1987; Indeje 2000; Mutemi, 2003). Njau (2006) observed that
28 most of the severe droughts over Kenya were experienced during the MAM rainfall season
29 preceding El-Niño event. The SVD-3 which contributes about 17% of the total covariance is
30 characterized by unique negative loadings over the eastern and western with positive
31 loadings over the central equatorial Pacific Ocean.

32 33 *3.2.2 The three Oceans versus OND modes*

34 We now discuss the results obtained when the three global oceans were independently
35 analyzed with OND decadal rainfall. The results show stronger coupling between the three
36 oceans and OND decadal rainfall compared to the MAM rainfall. The three leading SVD-
37 coupled modes explain greater than 80% of the squared covariance compared to 75% for
38 the MAM rainfall. Figure 9 shows the heterogeneous correlation patterns for the first mode
39 in the SVD expansion for the Indian Ocean SST anomaly and OND decadal rainfall from
40 1954 to 1983 (30 years WMO climatology). Each map represents the correlation between
41 the expansion coefficients of one field and the grid point anomaly values of the other field.
42 The heterogeneous pattern for the first SVD mode (Figure 9a) has two prominent features.
43 The primary characteristic is the warming of the southwestern ocean as indicated by the
44 positive loadings and cooling in southeastern ocean, mainly south of the equator. Secondly,
45 this corresponds to negative loading patterns of rainfall over the southern sector and

1 positive loading over the northern sector of the region. Mode-1 explains 65% of the total
2 coupling covariance which seems to represent the mean seasonal patterns of SST over the
3 ocean consistent with the studies of Behera et al. (2005), Schreck and Semazzi (2004),
4 Terray and Dominiak (2005), and Tozuka et al. (2007). The time series of expansion of this
5 mode showed a decreasing trend with correlation coefficient of 0.87 during the period of
6 the study.

7
8 The heterogeneous pattern for the second SVD mode has spatial patterns exhibiting large
9 coherent negative loading over the central equatorial Indian Ocean with small positive
10 tongue over the Indo-Pacific Oceans (Figure 10a). This signal is generally associated with
11 negative loading patterns over most parts of the region especially over areas that receive
12 substantial rainfall during OND season (Figure 10b). This mode shows that the large-scale
13 cooling of the ocean is associated with dry decades over the region. Harrison and Carson
14 (2007); Ihara et al., (2008); and Nyakwada (2009) observed similar patterns over the
15 Ocean in their respective studies. The time series of expansion coefficients of mode-2 has
16 correlation coefficient of 0.98 with significant decreasing decadal trend since 1960s.

17
18 Figure 11 shows the heterogeneous correlation patterns for the first mode in the SVD
19 expansion for the Atlantic SST anomaly and OND rainfall (Table 2). The Atlantic Ocean is to
20 the west of the region of study and moisture influx from the ocean is associated with
21 enhanced westerly circulation that also favours the incursions of moisture from the always
22 wet tropical forests of Congo, DRC and other central African countries. The SST variability
23 in the Atlantic Ocean reaches its maximum in the period January to May (Wu et al. 2007).
24 The first three SVD modes for Atlantic Ocean SST and October-December seasonal rainfall
25 accounted for about 84% of the total square covariance. Mode-1 (47.8%) is characterized
26 by a meridional dipole like pattern with negative loadings over the northern equatorial
27 Atlantic Ocean and positive loadings over the southern equatorial Atlantic basin (Figure
28 11a). The OND rainfall component of this mode is characterized by south-eastern – north-
29 western dipole pattern with negative and positive loadings respectively over the sub-sector
30 (Figure 11b). The time series of expansion of this mode has a correlation coefficient of 0.97
31 and also shows some enhanced decadal modes (Figure 11c).

32
33 The spatial patterns observed for mode-2 seems to be opposite to that observed for SVD-1
34 mode for the same basin (Figure not shown). This shows that a change in the
35 interhemispheric loading patterns in the Ocean causes corresponding change in the spatial
36 and temporal regional rainfall loading patterns. For instance whenever there is negative
37 loading in the northern Atlantic Ocean and positive in the south, the regional rainfall
38 pattern is associated with positive loading in the northern sector and negative loading in
39 the southern sector and vice versa. Similar changes are also true for the time series
40 expansion coefficients of the two fields.

41
42 This suggests that the large scale changes in the global basins SSTs have significant
43 influence on regional decadal climate variability. This emphasizes the importance of the
44 ocean currents and the associated SST patterns in the study of climate variability and
45 prediction. Strong relationship between ocean currents and climate have been established
46 to be the major influence of regional climates (Valsala and Ikeda, 2007; Cai and Cowan,

1 2007; Keller et al., 2007). Whenever there is enhancement of ENSO, this mode has always
2 been manifested (and it is also linked to the North Atlantic Oscillation (NAO) (Li et al.,
3 2007; Wu et al., 2007).

4
5 The results on coupling between the Pacific Ocean and the October-December rainfall at
6 decadal time scale over the region is summarized in Table 2 and also spatially shown by
7 Figure 12a. Although Pacific is the furthest ocean from the region, it has some strong
8 teleconnections to the East African climate, especially during ENSO and other years with
9 large SST anomalies (Ogallo and Suleiman, 1987; Ropelewski and Halpert, 1987; Nicholson
10 and Kim, 1997; Wassila et al., 1999; Wang and Eltahir, 1999; Indeje, 2000; Indeje et al.,
11 2000; Schreck and Semmazzi, 2004; Korecha and Barnston, 2007). Circulation anomalies in
12 Pacific Ocean have significant influence on Indian and Atlantic Oceans and the surrounding
13 land areas (Wolter, 1987, Terray and Dominiak, 2005) including East African rainfall.

14
15 The first three modes for the Pacific SST and OND rainfall accounted for about 80% of the
16 decadal rainfall covariance. The heterogeneous pattern for the first SVD mode that
17 contributes about 39% of the total covariance mainly reveals the ENSO-like pattern in the
18 central equatorial Pacific (figure not shown). The impact of this mode is observed to have
19 similarity to mode-1 of the Indian Ocean on East African rainfall (Figure 5b). This may be
20 due to close responses of the circulation amongst the three oceans (Burroughs 1999). It has
21 been shown that ENSO is linked to the Indian Ocean variability through the modulation of
22 walker circulation (Xie et al., 2002; Saji and Yamagata, 2003b; Krishnamurty and Kirtman,
23 2003; Kug et al., 2005; Kug and Kang, 2006; Kug et al., 2006).

24
25 The SSTs over the southern Indian Ocean during the December to February have been
26 observed to influence climate shift in the Indian and Pacific Oceans and thus predictors of
27 El Niño (Terray and Dominiak, 2005). The SVD-2 Mode of the basin and OND rainfall (28%
28 of covariance) is characterized by the La Niña-like SST pattern with large negative loadings
29 over the eastern central equatorial Pacific Ocean and positive loading over the western
30 equatorial Pacific Ocean (Figure 12a). Such anomaly pattern is associated with negative
31 loading over the region that causes depressed rainfall in the region (Figure 12b). The time
32 series exhibits strong decadal variability with a long-term change toward higher values in
33 1962–70. The relative dry decade of 1960/1970 in the region noted by Omondi (2005) is
34 reflected in the mode 2 time series.

35 *3.2.3 The three Oceans versus JJA modes*

36 The June-August (JJA) season constitute the third rainy season when western and coastal
37 parts of the region receive substantial amount of rainfall. Parts of the equatorial sector,
38 covering northern Tanzania, western and coastal areas generally exhibit a trimodal rainfall
39 regime centered on MAM, JJA and OND seasons. In Table 2, a summary of results for the
40 three basins coupling with JJA decadal rainfall are given with the first three leading modes
41 accounting for about 87% of the covariance. SVD mode-1 is characterized by a zonal dipole
42 mode with pools of negative and positive loadings observed over the western and eastern
43 equatorial Indian Ocean (Figure 13a) associated with depressed decadal rainfall over all
44 parts of the region (Figure 13b). Time series of expansion of the coefficients demonstrates

1 strong decadal variations in both time series with expansion coefficient of 0.98 (Figure
2 13c).

3
4 A rather interesting result presented in Figure 14a for SVD mode-1 when coupling between
5 the JJA decadal rainfall and Atlantic Ocean accounted for 38.6% of the total covariance. An
6 inter-hemispheric dipole with positive loading over the southern (0°E-15°E, 30°S-15°S)
7 and the negative pole in the northern part of the tropical Atlantic Ocean (Figure 14a) with
8 strong decadal signal in time series of expansion coefficients (significant correlation of
9 0.99) was delineated (Figure 14c). This pattern can therefore be linked to the possibly
10 strong JJA decadal rainfall variability over the region (Figure 14b). The second dominant
11 SVD-2 explains 29.2% of the total JJA rainfall and SST covariance. Its spatial characteristic
12 is opposite to that of OND rainfall and Atlantic Ocean (Figure 11b) hence not shown in this
13 study. There are, however, some differences in the magnitudes and spatial spread over
14 some areas with high positive / negative loadings.

15
16 These results signify the importance of Atlantic Ocean modes on decadal rainfall over East
17 Africa. The characteristics of the SVD modes are closely associated with some climate
18 extremes affecting the region. These could be associated with the implications of these
19 modes on the circulations, energy, and moisture induced by the ocean, and other inland
20 rain generating systems. Barnston et al., (1996) demonstrated that the time-space
21 behaviour of the SST field alone influences the JJA seasonal rainfall over the region both on
22 interannual and inter-decadal time-scales. Other similar results with JJAS season have been
23 documented, e.g., by Gissila et al., (2004); Segele and Lamb (2005); Korecha and Barnston
24 (2007); Zewdu et al (2009) among others.

25
26 The result of SVD mode one for the Pacific Ocean and JJA rainfall fields showed ENSO-like
27 pattern discussed in the previous section. However, SVD-2 mode is characterized by large
28 pool of positive SST anomalies over the central equatorial Pacific and negative SST
29 anomalies in the equatorial western and eastern parts of the basin (Figure 15a). The
30 corresponding impact of this mode on regional rainfall is positive loading over western
31 equatorial parts of the region and negative loading over the eastern highlands (Figure 15b).
32 Major warming events for this mode take place over the Central Pacific Ocean unlike the
33 eastern/western Pacific of typical El Niño/La Niña evolution. Similar pattern in tropical
34 Pacific SST variability mode was observed and referred to as trans-Niño index (Trenberth
35 and Stepaniak, 2001; Trenberth et al., 2002) and later by Ashok et al., (2007) who termed it
36 '*El Niño Modoki*'. Cold SST anomalies (SSTA) are observed on both sides along the equator
37 (Trenberth and Stepaniak, 2001; Trenberth et al., 2002, Ashok et al., 2007; Meyers et al.,
38 2007; Ashok et al., 2009; Hye-Mi Kim, et al., 2009) and this is associated with enhanced and
39 depressed rainfall over western and eastern sectors respectively of East Africa region. El
40 Niño Modoki involves ocean-atmosphere coupling processes, which include a unique
41 tripolar sea level pressure pattern during the evolution, analogous to the Southern
42 Oscillation in case of El Niño (Ashok et al., 2007; Meyers et al., 2007; Ashok et al., 2009;
43 Hye-Mi Kim, et al., 2009). This anomalous warming event is different from conventional El
44 Niño events but both take place in equatorial Pacific.

45

1 The results from SVD analyses have therefore demonstrated that although decadal rainfall
2 variability modes are generally common at all locations, some strong signals were only
3 restricted to specific areas. These signals were evident for all seasons over the entire
4 region. It is a fact that some climate extremes experienced over the region such as floods of
5 the early 1960s, 1997/98 and droughts of 1970s and early 1980s that extended over most
6 parts of the region had decadal finger prints. The strong coupling between global basins
7 and decadal rainfall can provide new prediction tools that are important for decadal
8 rainfall prediction. This again can contribute to the formulation and improvement of
9 prediction skills in numerical modeling and early warning several lead times ahead.

10 **4. Summary and conclusions**

11 The primary focus of this study was to investigate the dominant spatial and temporal
12 decadal rainfall variability modes over East Africa region and their linkages to decadal
13 variability modes of the specific global oceans. The first investigation involved the use of
14 RPCA and simple correlation analysis approaches to delineate East Africa region into zones
15 with similar modes of decadal rainfall variability. This analysis was mainly to group
16 together stations with common decadal rainfall characteristics into homogeneous zones.
17 The stations highly correlated with each other were identified as representative stations
18 for every zone used for the analyses in the study. Each observed rainfall record for the
19 delineated representative station was thereafter subjected to spectral analysis to
20 demonstrate the dominance of ten years cycles. We applied SVD to evaluate the existence
21 of covariance amongst regional rainfall and the individual global basins SSTs. VARIMAX-
22 RPCA analysis delineated nine, seven and eight unique climatologically homogeneous zones
23 for March-May (MAM), October-December (OND) and June – August (JJA) seasons
24 respectively when smoothed rainfall records are used. It is also evident from our analysis
25 that ten years cycle was dominant in most rainfall records with significant differences in
26 the amplitudes and spectral bands of the spectral peaks from the various regions. This
27 could be a reflection of the complex nature of the regional climate systems that include
28 complex topography and large water bodies that include Lake Victoria. The results
29 obtained from the teleconnections of the regional decadal rainfall variability patterns to the
30 global SSTs using SVD analysis showed that:

- 31
- 32 1. Decadal variability of the SSTs in the Pacific, Atlantic and Indian Oceans all have
33 significant influence on regional decadal rainfall variability.
- 34 2. Significant coupling of the SSTs and rainfall fields were observed with high values of
35 SCF explained by the first three modes for each of the three oceans.
- 36 3. Generally, the study revealed that even when decadal variability of one of the oceans
37 contributed most of the variance of decadal rainfall variability, the roles of the other
38 two oceans were still very significant. This signifies the close interaction among the
39 global oceans SSTs and the complex regional scale climate processes. The first three
40 SVD modes of the basin SSTs and decadal rainfall accounted for over 75% of the
41 total square covariance for all the seasons, with statistically significant expansion
42 coefficients of time series of the SVD mode of the two fields' anomalies.
- 43

- 1 4. The SVD analysis for MAM rainfall season with Indian Ocean SST showed the first
2 dominant mode accounting for 49.9% of the total square covariance and this was
3 influenced mainly by the east/west zonal mode over the Indian Ocean.
4
- 5 5. Results for SVD analysis for OND rainfall season with Pacific Ocean SST showed the
6 first dominant mode accounting 39.2 % of the total square covariance. Decadal
7 rainfall variability for this season was dominantly characterized by decadal
8 variability modes of the ENSO phenomenon over the equatorial Pacific Ocean.
9
- 10 6. SVD analysis for the third rainfall season of June – August and the Atlantic Ocean
11 SST showed the first dominant mode accounting for 38.6% of the total square
12 covariance. The key modes of variability that seemed to drive the June –August
13 rainfall significantly was the inter-hemispheric tropical Atlantic variability with
14 meridional dipole pattern in the Atlantic Ocean. A unique tripolar mode of
15 variability observed during this season was characterized by large pool of positive
16 loading over the central equatorial Pacific and negative loadings in the equatorial
17 western and eastern parts of the basin. This mode is associated with wet decades
18 over western parts of the region and dry decades over the eastern sectors of the
19 region.
20

21 The knowledge of extreme climate variations at decadal timescale gained from this study is
22 useful for planning and decision making of long term climate risk management strategies
23 for sustainable socioeconomic development. It can also provide means of using ocean
24 variability modes to predict future decadal rainfall variability. Availability of timely and
25 accurate climate information can provide useful tools for forward planning to reduce the
26 vulnerability and risks of the hydro-meteorological hazards that currently are prevalent in
27 the region. This lead time of the early warning is important for the general planning and
28 management of the disaster risk reduction.

29

1 **Acknowledgements**

2 We are grateful to the IGAD Climate Prediction and Application Centre (ICPAC) through the
3 Director for the scholarship and computer resources that made the pursuance of this
4 research study possible. The Kenya Meteorological Department (KMD), Tanzania
5 Meteorological Agency (TMA), and Uganda Meteorological Department (UMD) provided the
6 rainfall data used in this study.

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1 **Table Captions**

2 Table 1: VARIMAX-RPCA results of homogeneous group of stations extracted by each mode
3 of the decadal MAM, OND and JJA rainfall

4 Table 2: Summary of some statistics from SVD analysis for decadal seasonal rainfall and
5 the various global Ocean SSTs

6
7 Table 3: Assessment of the skill for regression models

8
9 **Figure Captions**

10 Figure 1: Homogeneous climatic zones over East Africa obtained from combined PCA and
11 simple correlation analyses using March-May (MAM), October-December (OND) and June-
12 August (JJA) decadal rainfall variability. Blue areas in the figure represent water bodies of
13 Lake Victoria and Turkana)

14 Figure 2: Spectral analysis of the smoothed March – May seasonal rainfall for zone 8 as
15 represented by Mbarara

16 Figure 3: Smoothed March – May rainfall anomalies depicting decadal variability with small
17 percentages of the variance of the signals that are not statistically significant over Voi in
18 Kenya

19 Figure 4: Graphical plots of decadal rainfall variability for some representative stations
20 over East Africa region during all the three seasons

21 Figure 5: Spatial patterns of the first SVD mode accounting for 49.9% of the covariance for
22 (a) Indian Ocean SST, (b) MAM rainfall presented as homogeneous correlation maps (c)
23 Time series of expansion coefficients (s1) of the first SVD mode for March - May rainfall and
24 Indian Ocean SST anomalies

25 Figure 6: Spatial patterns of the second SVD mode accounting for 14.8% of the covariance
26 for (a) Indian Ocean SST, (b) MAM rainfall presented as homogeneous correlation maps (c)
27 Time series of expansion coefficients (s2) of the second SVD mode

28 Figure 7: Spatial patterns of the first SVD mode accounting for 43% of the covariance for
29 (a) Atlantic Ocean SST, (b) MAM rainfall presented as homogeneous correlation maps (c)
30 Time series of expansion coefficients (s1) of the first SVD mode

31 Figure 8: Spatial patterns of the second SVD mode accounting for 23% of the covariance for
32 (a) Atlantic Ocean SST, (b) MAM rainfall presented as homogeneous correlation maps (c)
33 Time series of expansion coefficients (s2) of the second SVD mode

34 Figure 9: Spatial patterns of the first SVD mode accounting for 65% of the covariance for
35 (a) Indian Ocean SST, (b) OND rainfall presented as homogeneous correlation maps (c)
36 Time series of expansion coefficients (s1) of the first SVD mode

37 Figure 10: Spatial patterns of the second SVD mode accounting for 16.5% of the covariance
38 for (a) Indian Ocean SST, (b) OND rainfall presented as homogeneous correlation maps (c)
39 Time series of expansion coefficients (s2) of the second SVD mode

- 1 Figure 11: Spatial patterns of the first SVD mode accounting for 47.8% of the covariance for
- 2 (a) Atlantic Ocean SST, (b) OND rainfall presented as homogeneous correlation maps (c)
- 3 Time series of expansion coefficients (s_1) of the first SVD mode
- 4 Figure 12: Spatial patterns of the second SVD mode accounting for 28% of the covariance
- 5 for (a) Pacific Ocean SST, (b) OND rainfall presented as homogeneous correlation maps (c)
- 6 Time series of expansion coefficients (s_2) of the second SVD mode
- 7 Figure 13: Spatial patterns of the first SVD mode accounting for 61.1% of the covariance for
- 8 (a) Indian Ocean SST, (b) JJA rainfall presented as homogeneous correlation maps (c) Time
- 9 series of expansion coefficients (s_1) of the first SVD mode
- 10 Figure 14: Spatial patterns of the first SVD mode accounting for 38.6% of the covariance for
- 11 (a) Atlantic Ocean SST, (b) JJA rainfall presented as homogeneous correlation maps (c)
- 12 Time series of expansion coefficients (s_1) of the first SVD mode
- 13 Figure 15: Spatial patterns of the second SVD mode accounting for 20% of the covariance
- 14 for (a) Pacific Ocean SST, (b) JJA rainfall presented as homogeneous correlation maps (c)
- 15 Time series of expansion coefficients (s_2) of the second SVD mode
- 16
- 17

1 Table 1

PERIOD	FACTOR	EIGENVALUE	VARIANCE EXTRACTED (%)	CUMULATIVE VARIANCE (%)
MAM	1	7.3	19.7	19.7
	2	6.9	18.6	38.3
	3	5.9	15.9	54.2
	4	4.2	11.4	65.6
	5	3.0	8.1	73.7
	6	2.3	6.4	80.1
	7	1.8	5.0	85.1
	8	1.2	3.3	88.4
	9	1.1	2.9	91.3
OND	1	15.87	42.9	42.9
	2	5.25	14.2	57.1
	3	3.87	10.5	67.6
	4	2.67	7.2	74.8
	5	2.40	6.5	81.3
	6	2.32	6.3	87.6
	7	1.38	3.7	91.3
JJA	1	7.9	24.1	24.1
	2	5.4	16.5	40.6
	3	4.3	13.0	53.6
	4	3.8	11.5	65.1
	5	3.1	9.5	74.6
	6	2.1	6.3	80.9
	7	1.6	4.9	85.8
	8	1.3	4.0	89.8

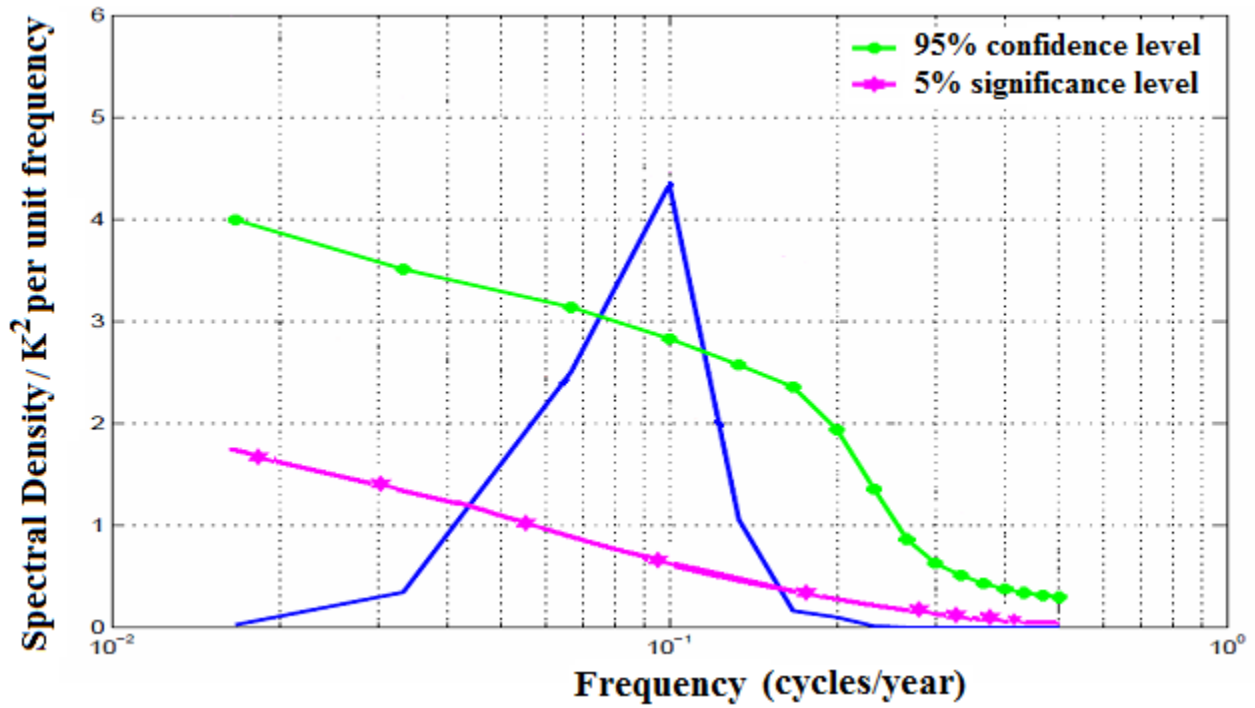
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1 **Table 2**

Season	Oceans	Mode (k)	Square Covariance fraction (SCF)	% of total mode covariance	Cumulative % covariance	Correlation coefficient (r)
MAM	INDIAN	1	20.5	49.9	49.9	0.73
		2	6.1	14.8	64.7	0.64
		3	4.1	9.9	74.6	0.38
	ATLANTIC	1	16.9	43.4	43.4	0.99
		2	9.0	23.1	66.5	0.98
		3	5.0	12.8	79.3	0.97
	PACIFIC	1	38.6	37.8	37.8	0.99
		2	25.5	25.0	62.8	0.98
		3	17.0	16.7	79.4	0.88
OND	INDIAN	1	26.7	65.2	65.2	0.99
		2	6.8	16.5	81.7	0.98
		3	3.6	8.8	90.5	0.94
	ATLANTIC	1	18.7	47.8	47.8	0.97
		2	9.0	23.1	71.0	0.94
		3	4.9	12.5	83.5	0.76
	PACIFIC	1	40.0	39.2	39.2	0.99
		2	28.0	27.5	66.7	0.96
		3	13.8	13.6	80.3	0.94
JJA	INDIAN	1	25.1	61.1	61.1	0.98
		2	6.9	16.8	78.0	0.96
		3	3.6	8.7	86.6	0.94
	ATLANTIC	1	15.1	38.6	38.6	0.99
		2	11.4	29.2	67.9	0.97
		3	5.5	14.1	82.0	0.88
	PACIFIC	1	43.0	42.1	42.1	0.99
		2	20.4	20.0	62.1	0.97
		3	15.6	15.3	77.4	0.85

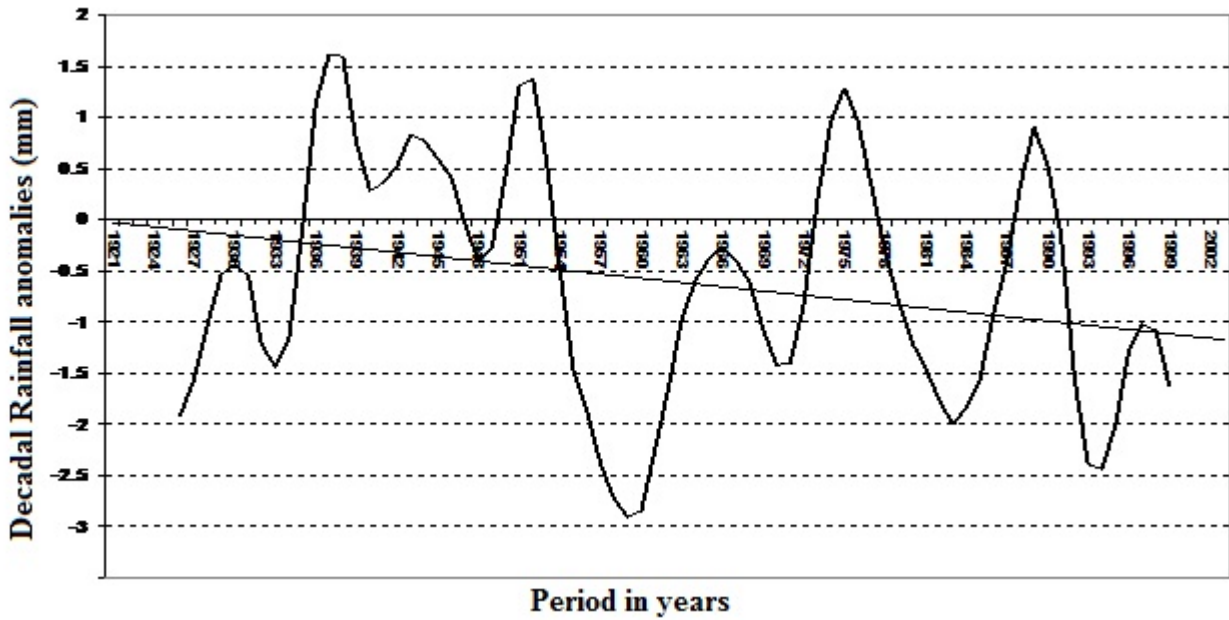
2 *r* is the correlation coefficient between the expansion coefficient of SSTs and seasonal rainfall
3 modes
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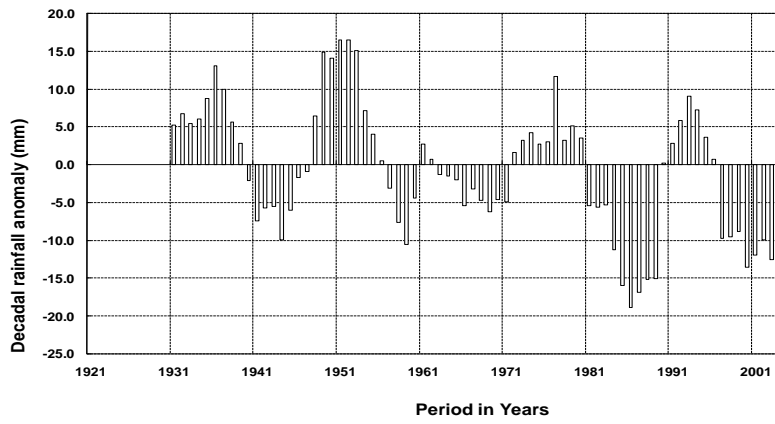
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Figure 2

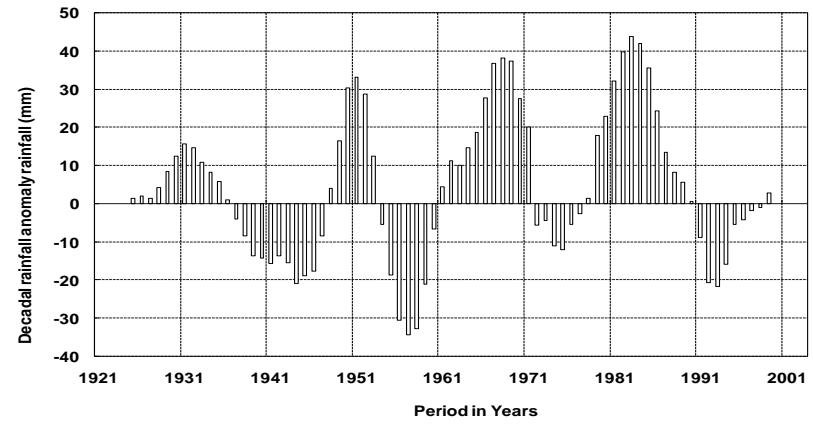


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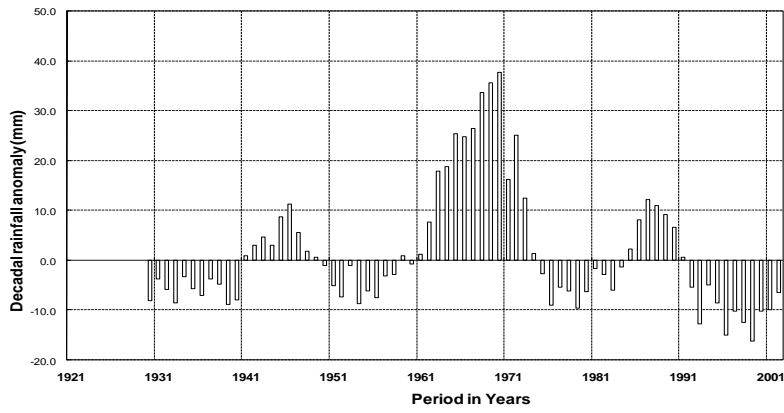
Figure 3



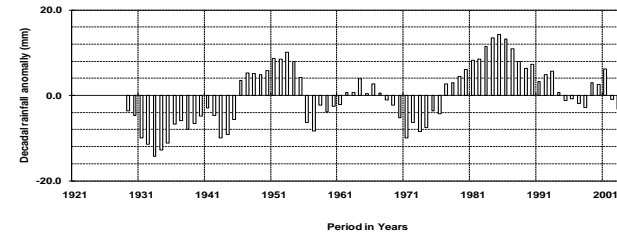
(i) Zone 1 (Iringa) for MAM



(ii) Zone 2 (Bukoba) for OND

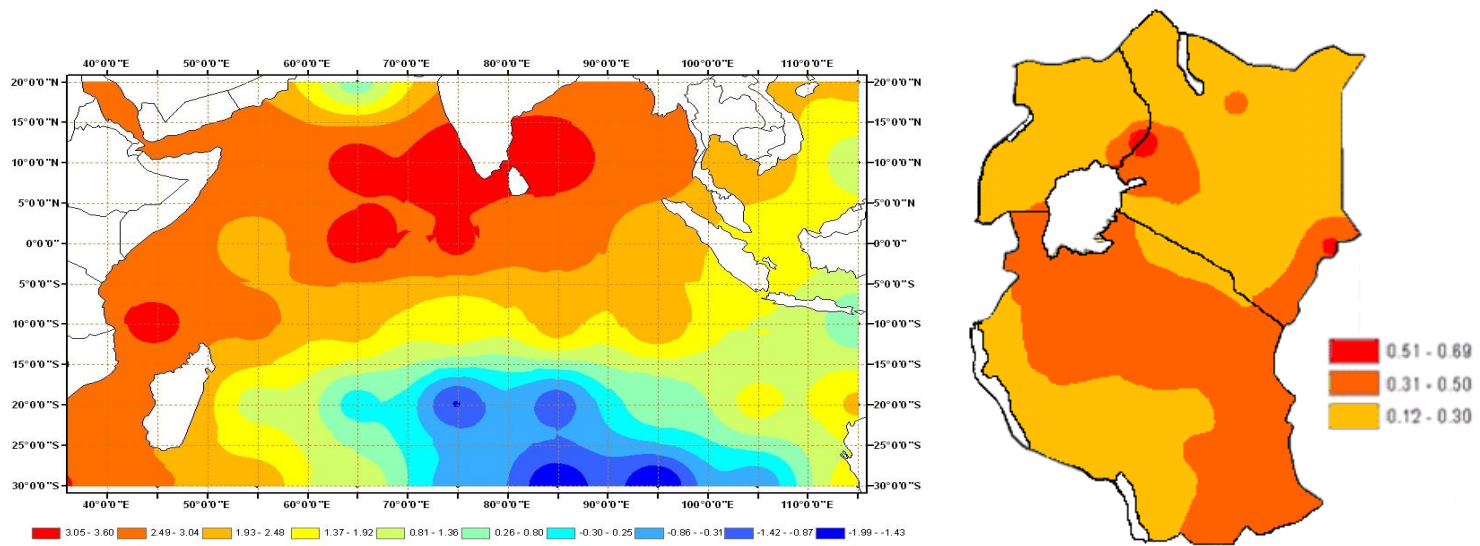


(iii) Zone 3 (Mbulu) for OND



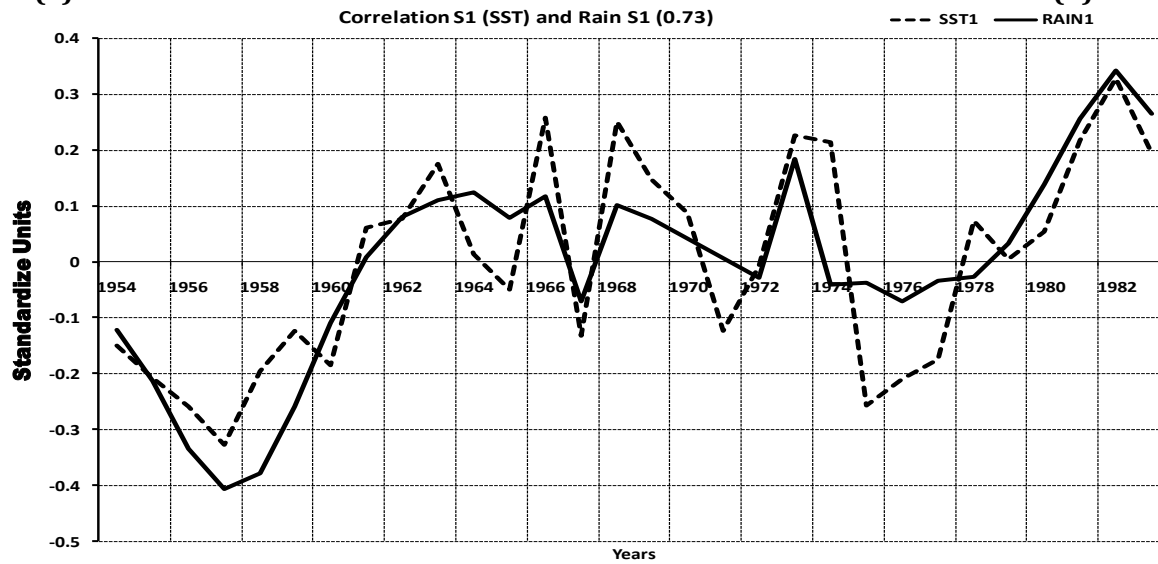
(iv) Zone 4 (Kisumu) for JJA

Figure 4



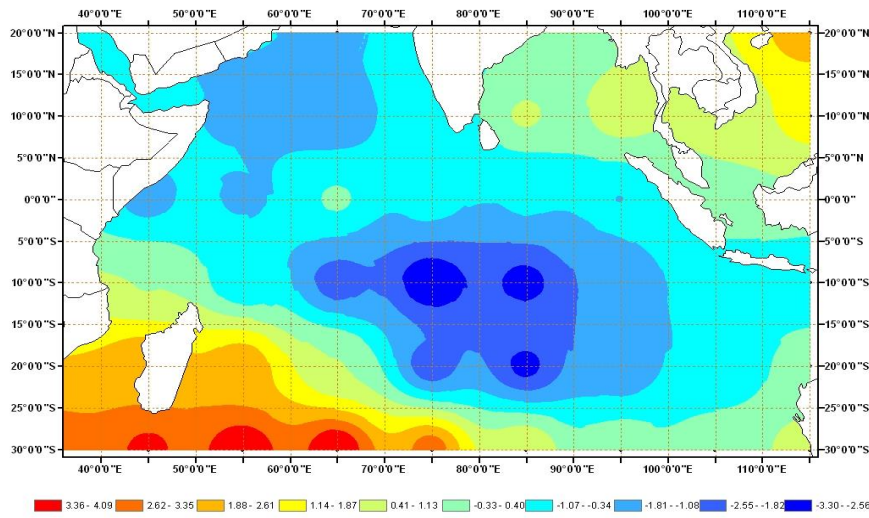
(a) Indian Ocean SST Mode 1

(b) MAM Rainfall Mode 1

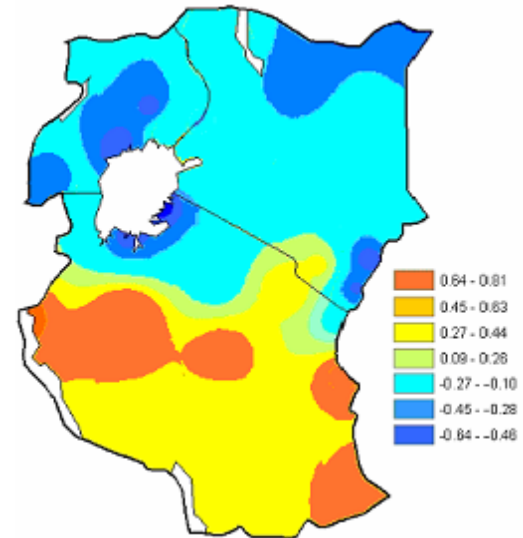


(c) Time series of expansion coefficients (s_1) of the first SVD mode

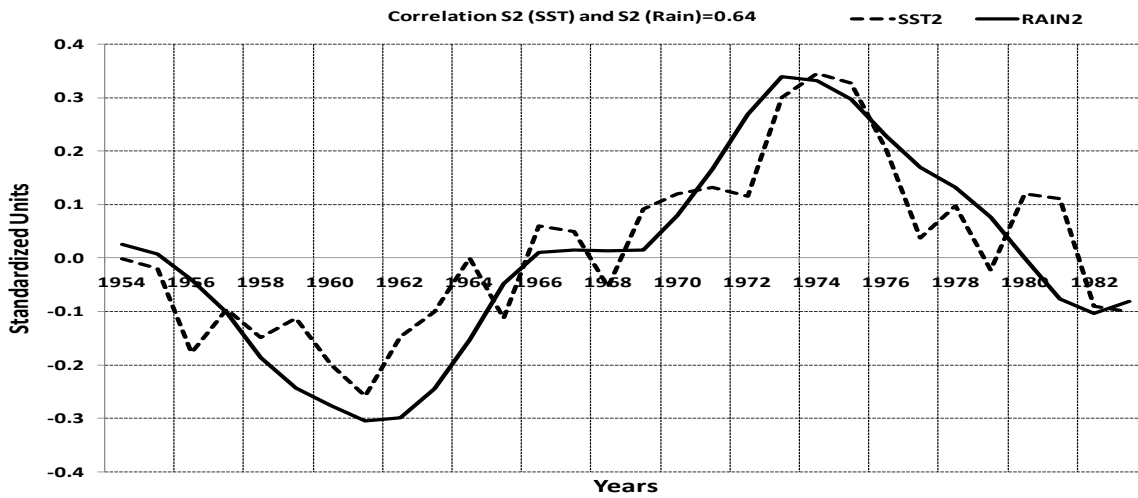
Figure 5



(a) Indian Ocean SST Mode 2

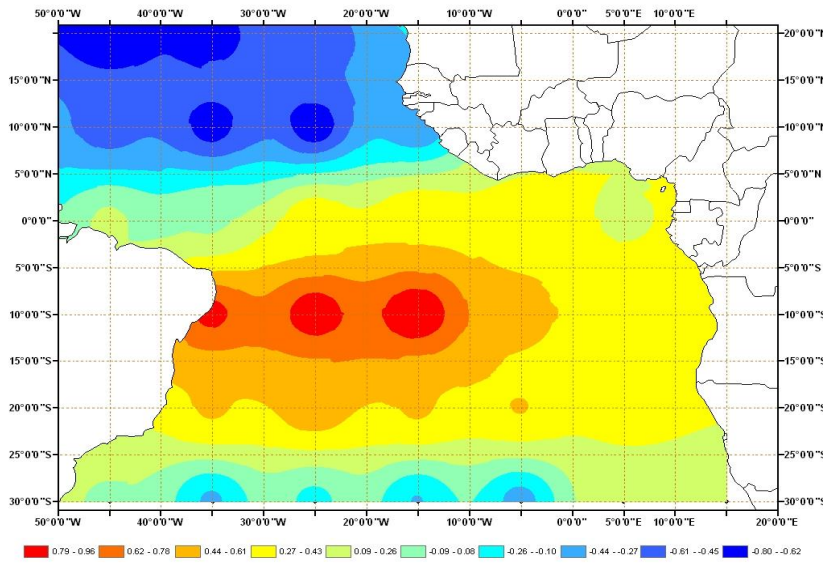


(b) MAM Rainfall Mode 2

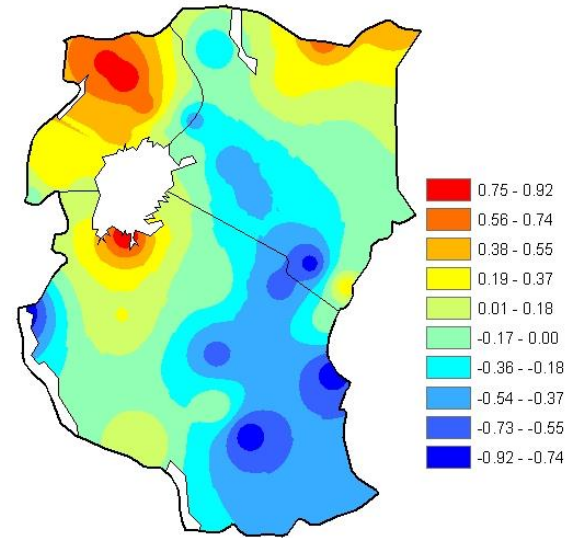


(c) Time series of expansion coefficients (s2) of the second SVD mode

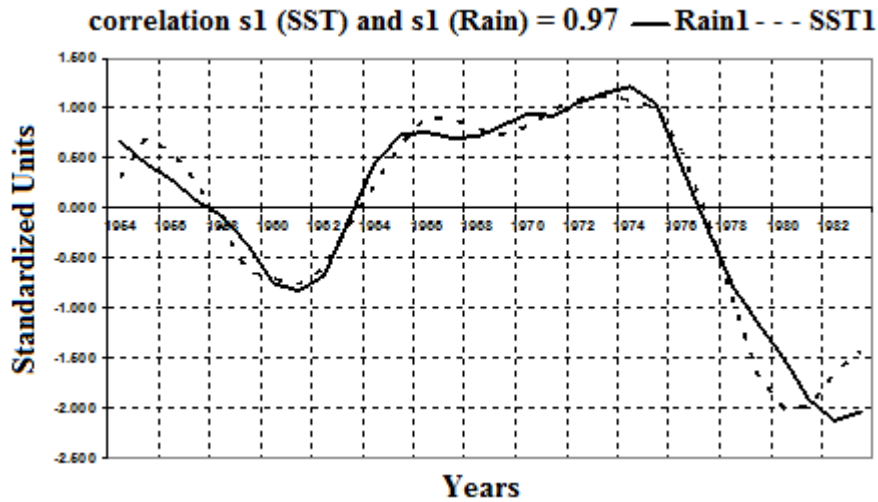
Figure 6



(a) Atlantic Ocean SST Mode 1

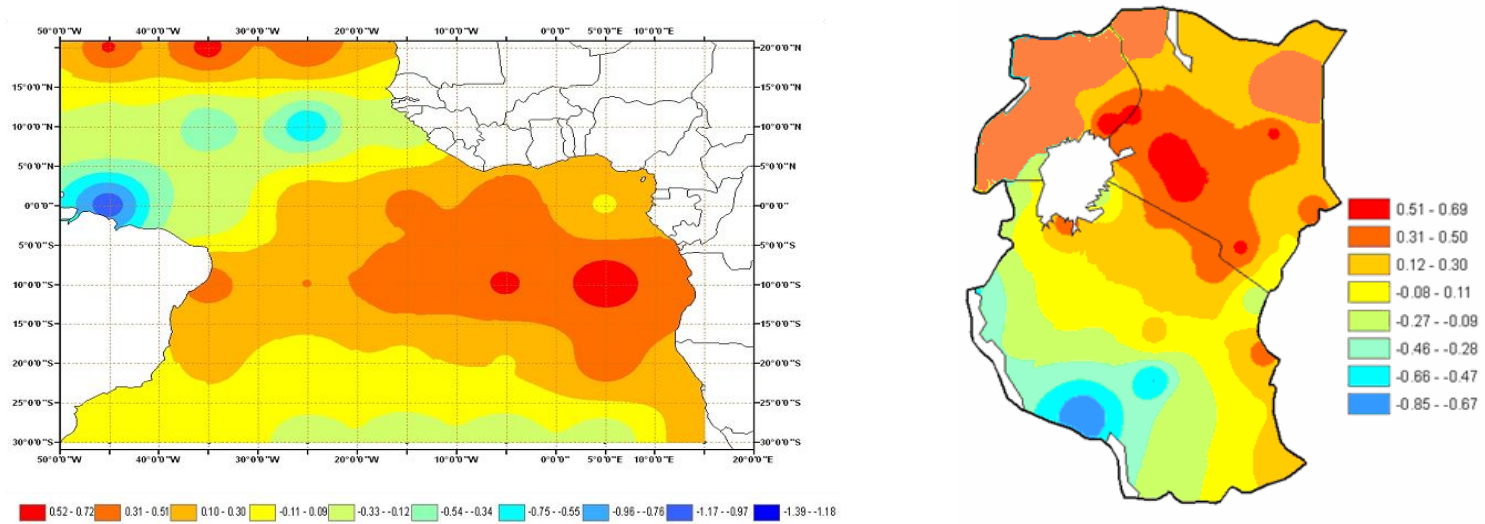


(b) MAM Rainfall Mode 1



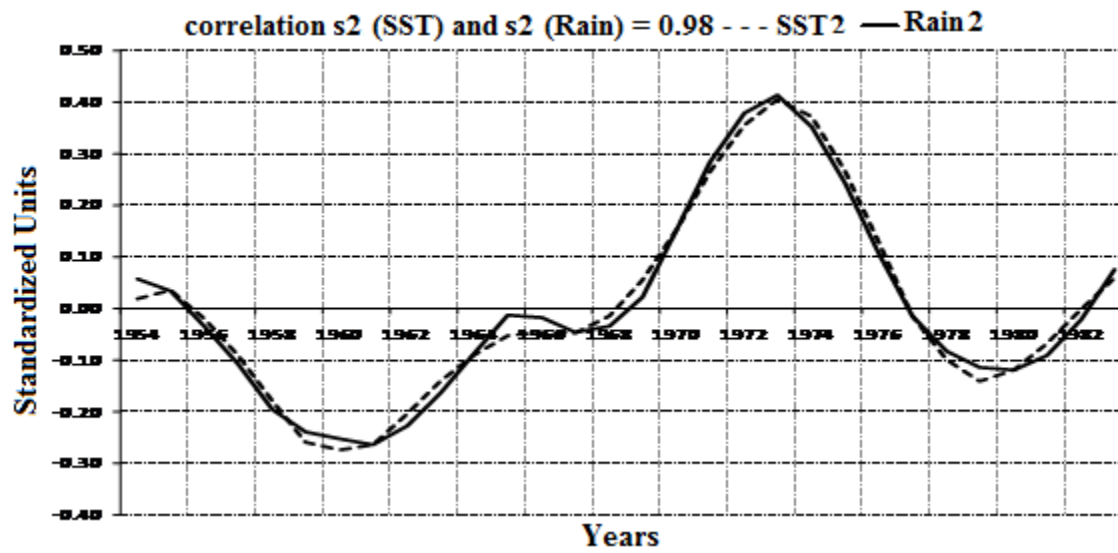
(c) Time series of expansion coefficients (s1) of the first SVD mode

Figure 7



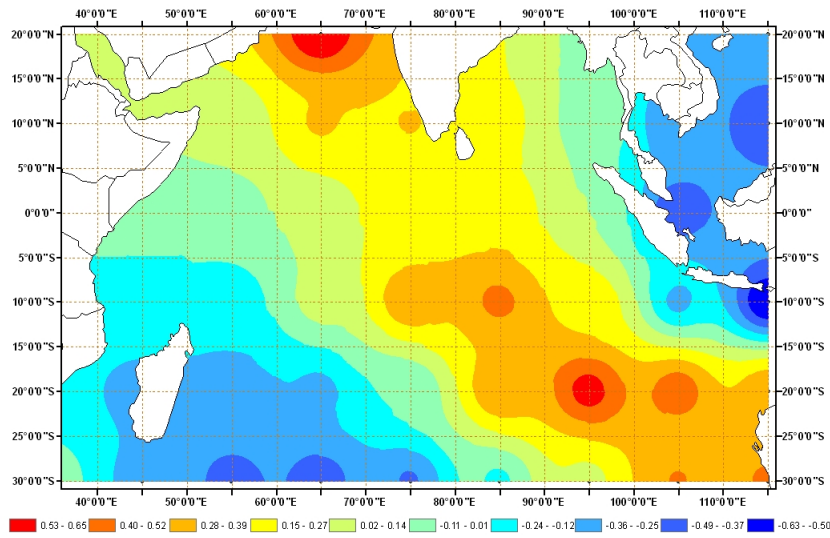
(a) Atlantic Ocean SST Mode 2

(b) MAM Rainfall Mode 2

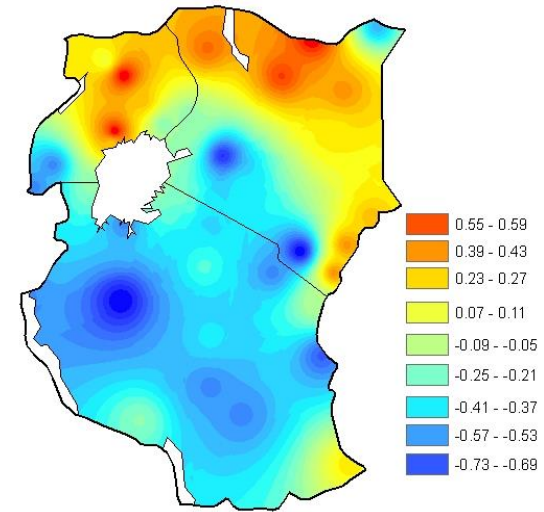


(c) Time series of expansion coefficients (s_2) of the second SVD mode

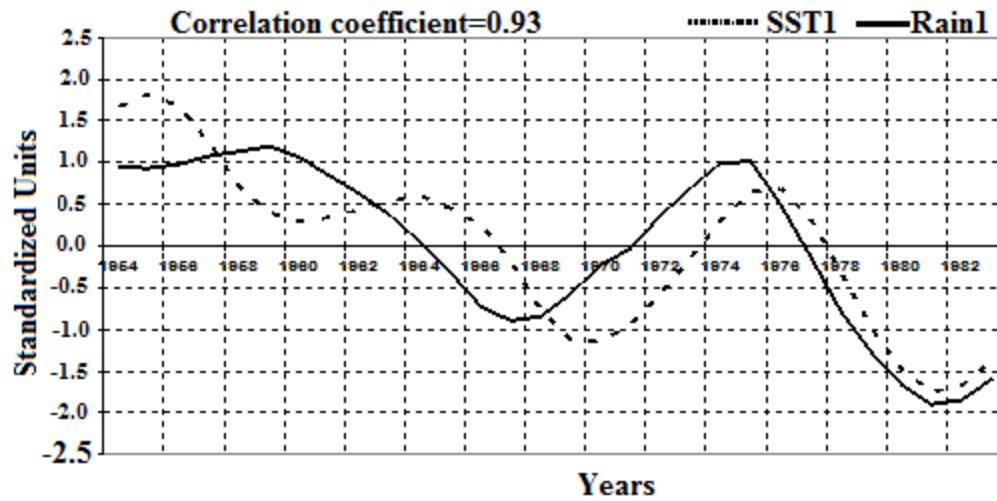
Figure 8



(a) Indian Ocean SST Mode 1

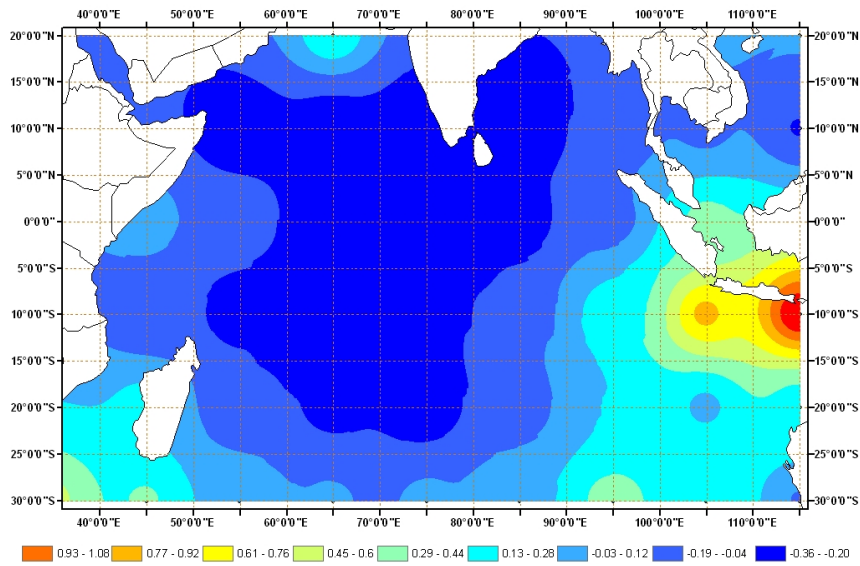


(b) OND Rainfall Mode 1

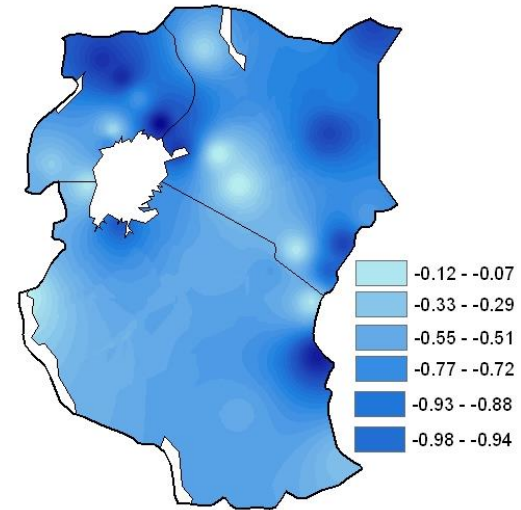


(c) Time series of expansion coefficients (s1) of the first SVD mode

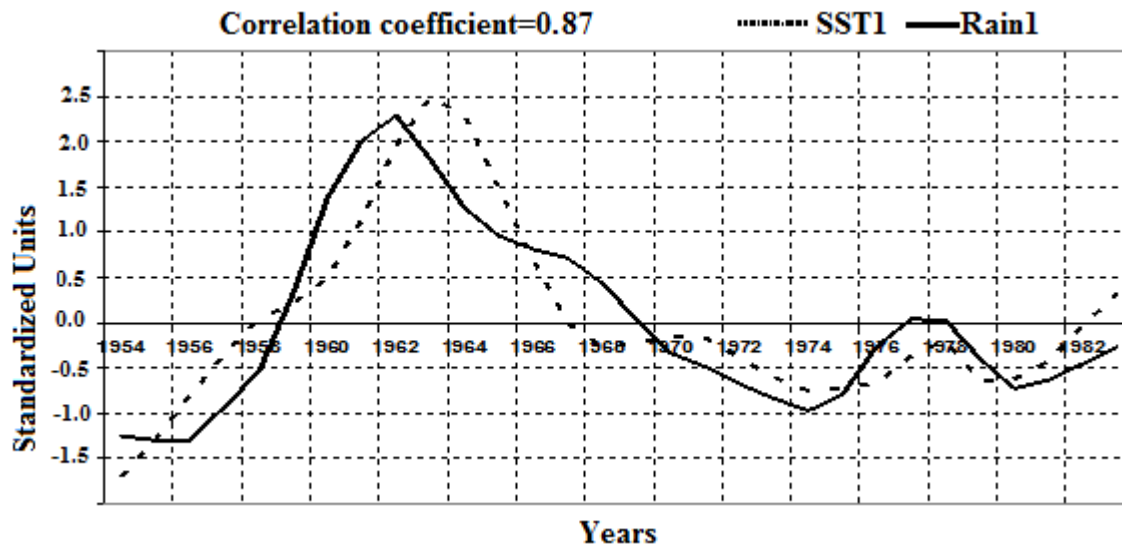
Figure 9



(a) Indian Ocean SST Mode 2

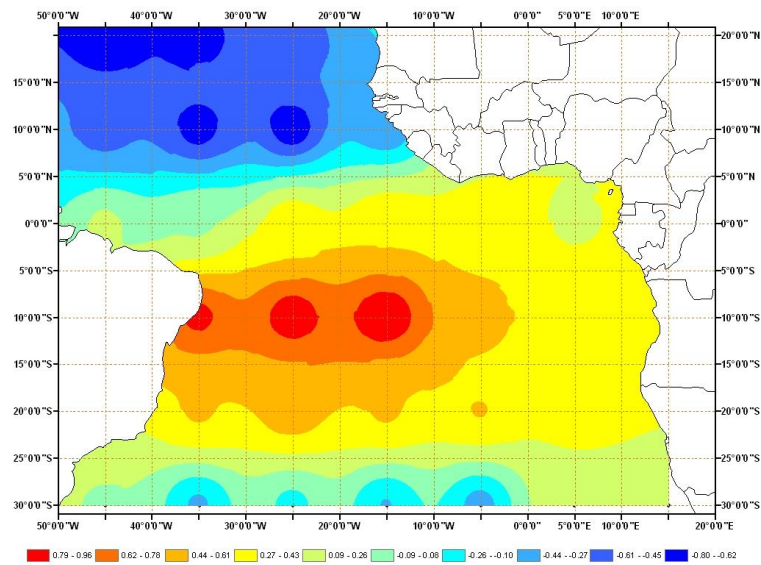


(b) OND Rainfall Mode 2

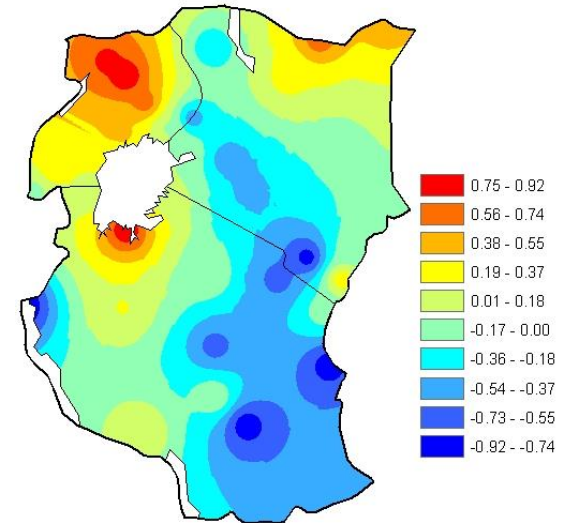


(c) Time series of expansion coefficients (s2) of the second SVD mode

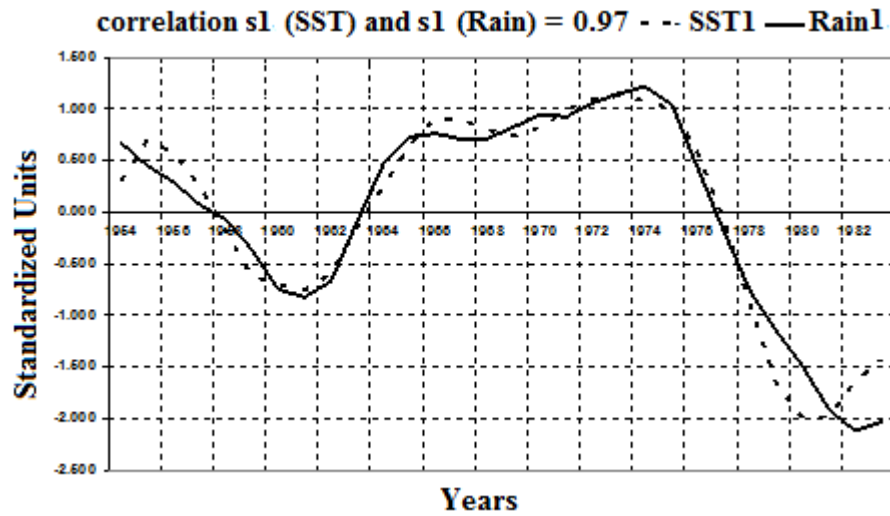
Figure 10



(a) Atlantic Ocean SST Mode 1

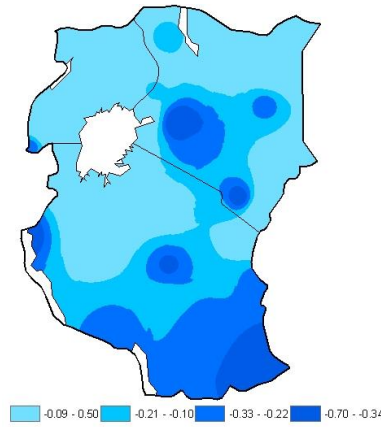
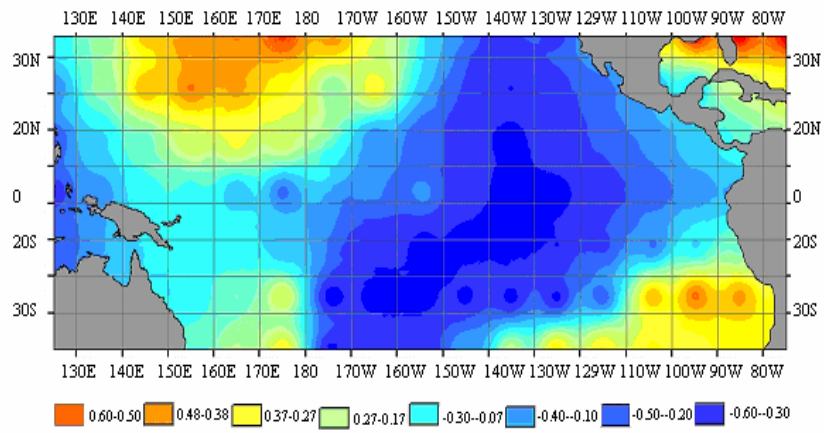


(b) OND Rainfall Mode 1



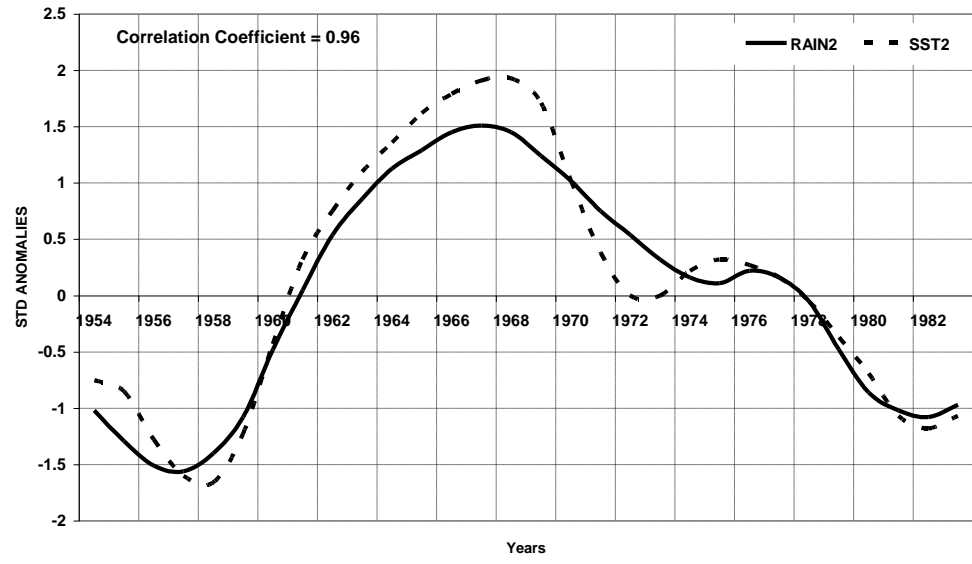
(c) Time series of expansion coefficients (s1) of the first SVD mode

Figure 11



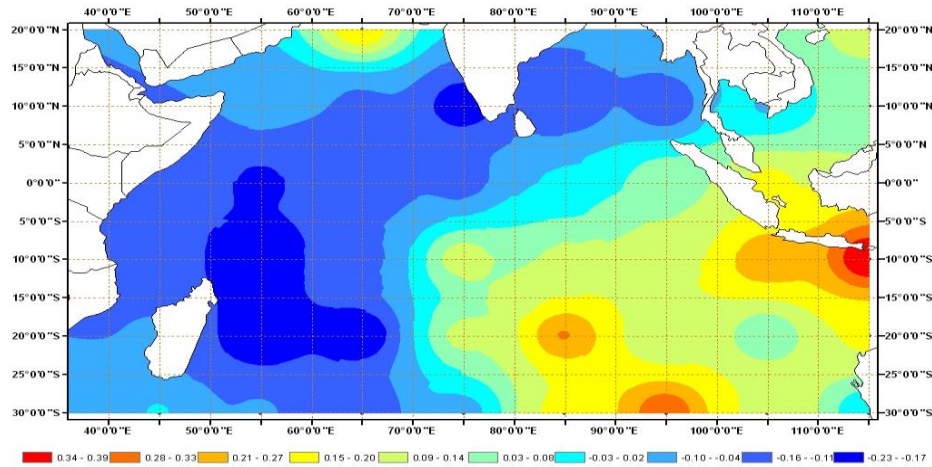
(a) Pacific Ocean SST Mode 2

(b) OND Rainfall Mode 2

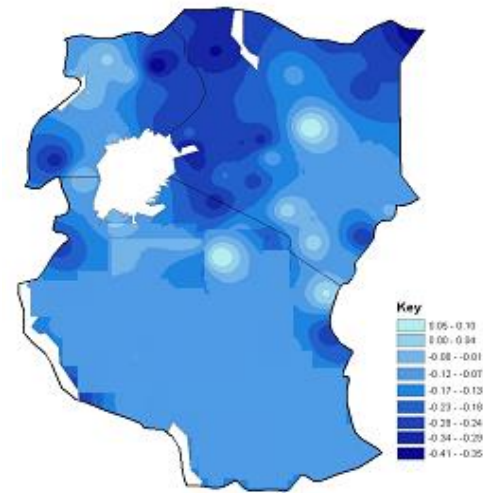


(c) Time series of expansion coefficients (s1) of the first SVD mode

Figure 12

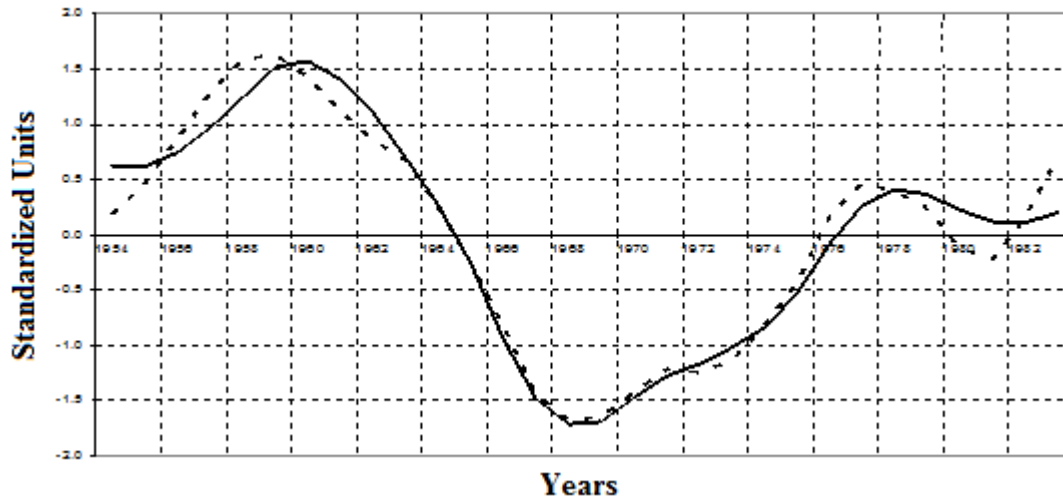


(a) Indian Ocean SST Mode 1

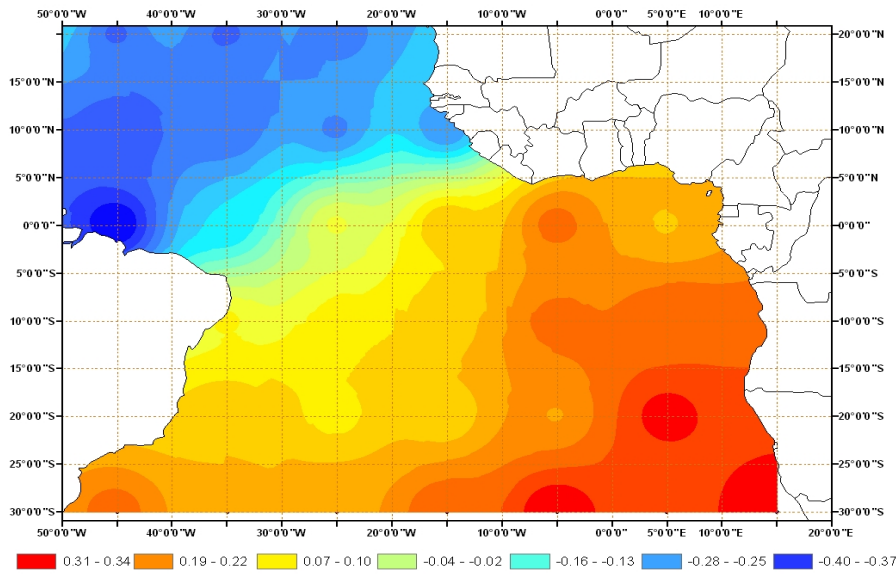


(b) JJA Rainfall Mode 1

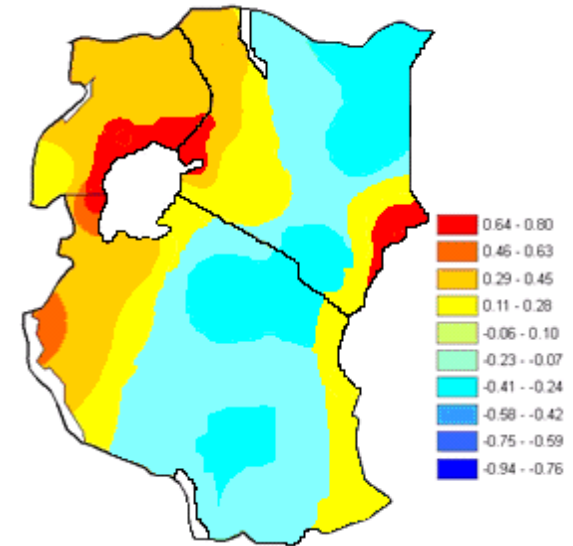
correlation s1 (SST) and s1 (Rain) = 0.92 - - SST1 — Rain1



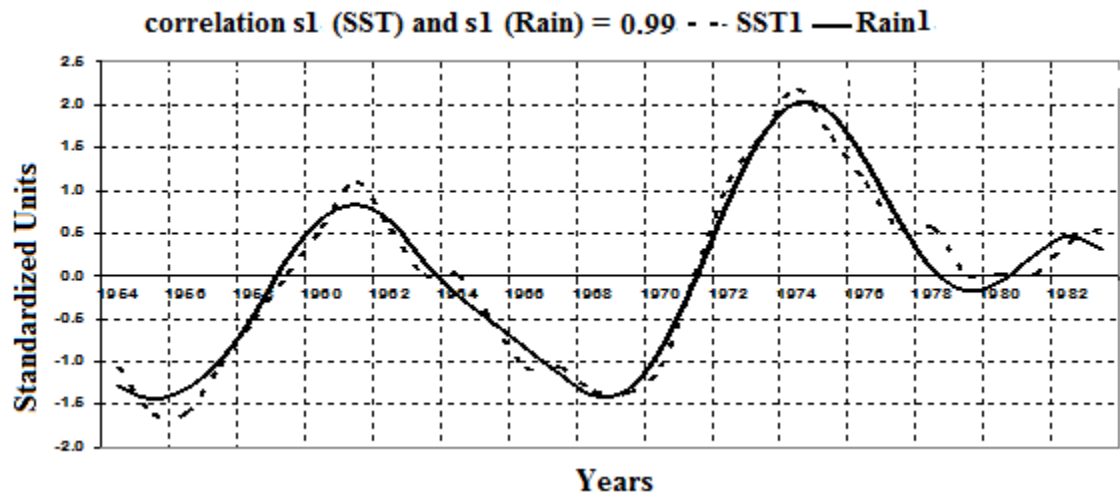
(c) Time series of expansion coefficients (s1) of the first SVD mode



(a) Atlantic Ocean SST Mode 1

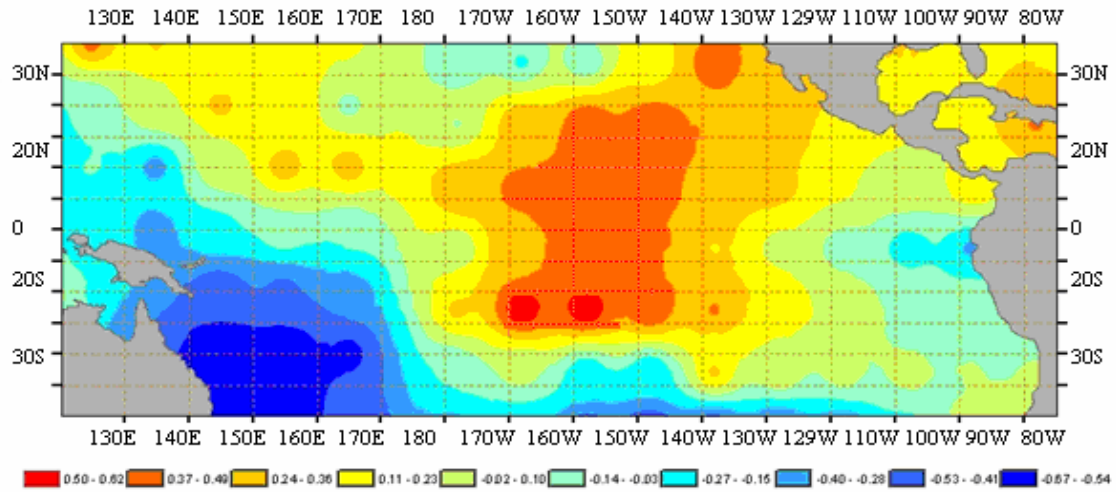


(b) JJA rainfall Mode 1

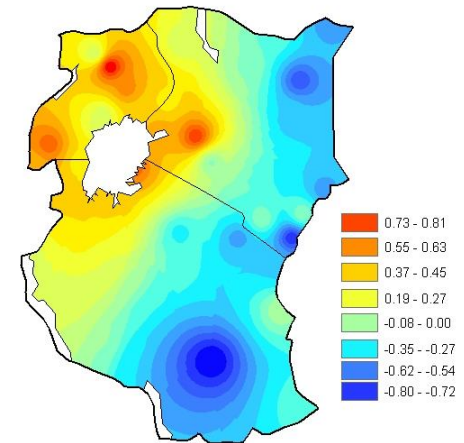


(c) Time series of expansion coefficients (s1) of the first SVD mode

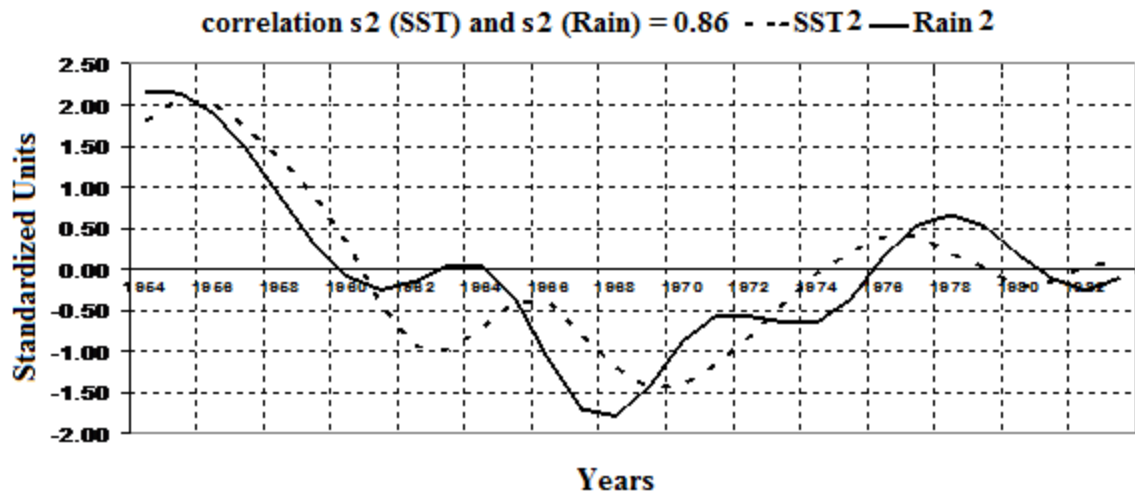
Figure 14



(a) Pacific SST Mode 2



(b) JJA rainfall Mode 2



(c) Time series of expansion coefficients (s_2) of the second SVD mode

Figure 15

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