

# In vitro and in vivo evaluation of a sublingual fentanyl wafer formulation

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**Background:** The objective of this study was to prepare a novel fentanyl wafer formulation by a freeze-drying method, and to evaluate its in vitro and in vivo release characteristics, including its bioavailability via the sublingual route.

**Methods:** The wafer formulation was prepared by freeze-drying an aqueous dispersion of fentanyl containing sodium carboxymethylcellulose and amylogum as matrix formers. Uniformity of weight, friability, and dissolution testing of the fentanyl wafer was achieved using standard methods, and the residual moisture content was measured. The fentanyl wafer was also examined using scanning electron microscopy and x-ray diffraction. The absolute bioavailability of the fentanyl wafer was evaluated in 11 opioid-naïve adult female patients using a randomized crossover design.

**Results:** In vitro release showed that almost 90% of the fentanyl dissolved in one minute. In vivo, the first detectable plasma fentanyl concentration was observed after 3.5 minutes and the peak plasma concentration between 61.5 and 67 minutes. The median absolute bioavailability was 53.0%.

**Conclusion:** These results indicate that this wafer has potential as an alternative sublingual fentanyl formulation.

**Keywords:** absolute bioavailability, fentanyl wafer, in vitro dissolution, in vivo study, pharmacokinetics, sublingual

## Introduction

Fentanyl is an opioid analgesic suitable for adult and pediatric patients. It is commonly used in doses of 1–2 µg per kg per dose in children, or 50–100 µg in adults, every 2–3 hours as required.<sup>1</sup> Among the current products on the market, fentanyl is the only opioid formulated for transmucosal administration. The lollipop or lozenge formulation (Actiq<sup>®</sup>, Abbott Laboratories Inc, Abbott Park, IL, USA), known as oral transmucosal fentanyl citrate, was designed to allow rapid absorption of fentanyl through the mucosa as a result of its lipophilicity and appropriate molecular weight.<sup>2,3</sup> However, this dosage form stimulates saliva production and swallowing, such that some fentanyl is swallowed and metabolized due to a significant first-pass effect. Typical opioid dose-related side effects occur.<sup>4</sup>

An effervescent buccal fentanyl tablet (Fentora<sup>®</sup>, Cephalon Inc, Frazer, PA, USA) is based on a proprietary OraVescent<sup>®</sup> drug delivery system (Cima Labs Inc, Brooklyn Park, MN, USA) that changes the local pH, the purpose of which is to enhance the absorption of fentanyl through the buccal mucosa. Pharmacokinetic data from effervescent buccal fentanyl tablet studies show that fentanyl is rapidly absorbed,

with a time to maximum concentration of 35–45 minutes and an average onset of analgesia at approximately 15 minutes. The side effects are also typical of opioid analgesics.<sup>5</sup> Another formulation, developed as a buccal-soluble fentanyl film, shows an absolute bioavailability of 71%.<sup>6</sup> Fentanyl is clearly an effective analgesic when administered via the oral cavity.

Interest in noninvasive but fast-acting formulations for opioid administration has generated investigation of the sublingual route.<sup>7,8</sup> Compared with the transmucosal and buccal routes, the sublingual route may be even more attractive for fentanyl delivery.<sup>9–11</sup> Clinical studies have shown that sublingual fentanyl formulations give rise to detectable plasma concentrations ( $C_{\text{first}}$ ) 8–11 minutes after administration, but there are no data on its bioavailability.<sup>10</sup> We observed that a sublingual fentanyl wafer had an absolute bioavailability of 78.9% in healthy volunteers.<sup>11</sup> In addition, fentanyl is particularly suitable for sublingual administration because it lacks the bitter taste of some other opioids.<sup>12</sup>

This study describes the development and evaluation of the physicochemical properties of a sublingual fentanyl wafer, including a pilot investigation of its bioavailability and pharmacokinetic profile in surgical patients.

## Materials and methods

### Materials

Fentanyl powder was purchased from Professional Compounding Centers of America (Houston, TX, USA). Intravenous fentanyl was purchased from Mayne Pharma Ltd (Melbourne, Australia) as Fentanyl DBL 500 µg in 10 mL. Amylogum® CLS was obtained from Avebe Food (Veendam, The Netherlands). D-Mannitol, D-lactose, and glycine were supplied by Sigma-Aldrich (St Louis, MO, USA). Sodium carboxymethylcellulose was purchased from BDH (Poole, UK). Avicel® PH 101 and polyethylene glycol 2000 were obtained from Fluka (Steinheim, Germany). All other chemicals were purchased commercially as analytical grade reagents.

### Preparation of fentanyl wafer

The fentanyl (0.12% as the citrate salt) wafer was prepared by freeze-drying an aqueous solution using carboxymethylcellulose (1.62% w/w) and Amylogum (13.16% w/w) as matrix forming agents, lactose (30.87% w/w), mannitol (46.48% w/w), and microcrystalline cellulose (Avicel PH101, 1.55% w/w) as filling agents, glycine (3.1% w/w) as an anticollapsing agent, and polyethylene glycol 2000 (3.1% w/w) as a lubricant. The formulae for the ingredients

were all dry weight. Amylogum and carboxymethylcellulose were first dissolved in MilliQ water (Millipore, Bedford, MA, USA) at 50°C, and when the solution had cooled to room temperature, polyethylene glycol 2000, glycine, Avicel PH101, lactose, and mannitol were added individually, stirring mechanically to obtain a homogenous mixture. Fentanyl (as the citrate salt) was subsequently dissolved in the mixture by stirring (freely soluble; the solubility of the citrate salt in water is 1 in 40 mL).

The resulting mixture was transferred by pipette and accurately weighed into preformed foil blister pack wells, resulting in a fentanyl dose of 50 µg per wafer, before freezing at –30°C for approximately 24 hours. After freezing, the sample was freeze-dried (Dynavac Engineering Pty Ltd, Bayswater, Australia) for 24 hours. The prepared sample was subsequently stored in a desiccator over silica gel at a room temperature of 22°C ± 1°C.

## Characterization of wafers

### Uniformity of weight

The uniformity of the weight of the fentanyl wafer was tested in accordance with the British Pharmacopoeia 2009 test.<sup>13</sup> That is, 20 wafers were individually weighed, and the average weight and relative standard deviation was calculated.

### Friability

The strength of the fentanyl wafer, ie, its ability to be reduced from a solid matrix into smaller pieces or powder, was measured. The test<sup>13</sup> was also conducted according to the method (ie, friability of uncoated tablets), using a friability tester (Erweka GmbH, Heusenstamm, Germany). A sample of 20 fentanyl wafers was weighed accurately and placed in the apparatus. A rotation time of 4 minutes at 25 rpm was used. Whole fentanyl wafers were removed and reweighed, and the percentage weight loss was calculated.

### Moisture analysis

The moisture content of the fentanyl wafer after freeze-drying was analyzed using a 870 Karl Fisher Titrino Plus device (Metrohm Ag, Filderstadt, Germany). A fentanyl wafer was weighed and powdered, then dispensed into the cell containing Hydranal® methanol (Sigma-Aldrich) and titrated using Hydranal Composite A. The test results are presented as the mean ± standard deviation (n = 4).

### Scanning electron microscopy

Surface morphology and a cross-section of samples from randomly selected wafers were observed by scanning electron

microscopy (Zeiss, EVO 40 XVP, Oxford Instruments, Abingdon, UK). Cross-sectional samples were prepared by cutting a thin slice of the wafer using a scalpel. Samples were then coated with carbon prior to examination. The accelerating voltage was 10 kV.

### Powder x-ray diffraction

Powder x-ray diffraction experiments were performed using a D8 Advance instrument (Bruker, Karlsruhe, Germany) with a LynEye detector. The radiation used was nickel-filtered  $\text{CuK}\alpha$ , which was generated using an acceleration voltage of 40 kV and a cathode current of 40 mA. The samples were scanned over a 2-theta range of  $7.5^\circ$  to  $70^\circ$ , with a counting time of one second per  $0.02^\circ$ .

### In vitro dissolution studies

Dissolution tests were carried out using BP apparatus I. The temperature of the medium was  $37^\circ\text{C} \pm 0.5^\circ\text{C}$ . A fentanyl wafer was used to determine the amount of drug release from the formulation. Dissolution rates for the fentanyl wafer were determined in 200 mL of phosphate-buffered solution (25 mM, pH 6.8) with a basket rotation speed of 75 rpm. At given intervals (ie, 0.5, 1, 5, and 10 minutes), 1.0 mL samples were replaced with an equal volume of fresh medium. The drug released was measured by high-pressure liquid chromatography using a  $\text{C}_{18}$  column ( $150 \times 4.6$  mm,  $5 \mu\text{m}$ , Alltech Associates Inc, Deerfield, IL, USA), a mobile phase of methanol to 0.04 M phosphoric acid (50:50, v/v, pH 3.0) and a flow rate of 1.2 mL per minute. The monitoring wavelength was at 210 nm.<sup>9</sup> The calibration curve for concentrations in the range of 5–100  $\mu\text{g/mL}$  (seven-point calibration) was linear. The coefficient of variation for the high-pressure liquid chromatography assay was 0.57% at a fentanyl concentration of 0.5  $\mu\text{g/mL}$  ( $n = 8$ ) and 0.54% at a fentanyl concentration of 4  $\mu\text{g/mL}$  ( $n = 8$ ).

### In vivo study

#### Study subjects and design

After receiving The Women and Newborn Health Service of King Edward Memorial Hospital for Women ethics committee approval, the trial was registered with the Australian Therapeutic Goods Administration under the Clinical Trial Notification scheme and with the Australian and New Zealand Clinical Trials Registry (12609000743268). Twenty-one adult female opioid-naïve patients requiring major gynecological surgery gave their written informed consent to participate in the study. All patients were free of sublingual/buccal ulceration or disease, weighed 50–100 kg, and consented

to general anesthesia including intravenous morphine as the sole opioid, followed by patient-controlled intravenous morphine.

### Sampling and data collection

Each patient was assigned to receive the equivalent of 50  $\mu\text{g}$  of fentanyl by both the sublingual and intravenous routes, with the order of administration randomized according to a Latin crossover square design and allocated using sealed opaque envelopes.

Patients given a fentanyl wafer were requested to avoid swallowing for at least 10 minutes to minimize loss of fentanyl via the oral route. A dedicated intravenous cannula was placed in the forearm for subsequent venous blood sampling. Blood samples (5 mL) were collected into sterile polypropylene tubes at 0, 2, 5, 10, 15, 30, 60, 120, and 180 minutes after fentanyl administration. Self-administration of further doses of morphine was permitted at any time for the duration of the trial to ensure adequate pain relief.

After collection, the blood samples were immediately centrifuged at  $4^\circ\text{C}$  and 2000–2500 g for 15 minutes. The plasma was then extracted and placed into polypropylene tubes for storage at  $-70^\circ\text{C}$  until analysis.

### Pharmacokinetic analysis

Sample extracts were analyzed on an API 4000 LC-MS/MS analyzer (Applied Biosystems, Foster City, CA, USA), preceded by a Prominence HPLC system (Shimadzu, Tokyo, Japan) with *d*5-fentanyl as the internal standard. This assay has a limit of detection of 0.01 ng/mL. Precision was determined by duplicate analyses of plasma containing 0.03, 2.00, and 8.00 ng/mL fentanyl. The results were precise to within  $\pm 3.01\%$ ,  $\pm 1.76\%$ , and  $\pm 2.16\%$  of the mean measured concentrations of 0.03, 2.14, and 8.47 ng/mL, respectively, and accurate to within 6.67%, 7.00%, and 5.87% of the nominal concentrations of 0.03, 2.00, and 8.00 ng/mL, respectively. At each concentration, the number of replicates was six.

The following parameters were determined by model-independent pharmacokinetic analyses of the plasma fentanyl concentration-time profiles, using WinNonlin pharmacokinetic program version 4.1 (Pharsight Corporation, Mountain View, CA, USA). The pharmacokinetic parameters, including maximum plasma concentration ( $C_{\text{max}}$ ),  $C_{\text{first}}$ , time taken to reach maximum plasma concentration  $t_{\text{max}}$ , and time to  $C_{\text{first}}$  ( $t_{\text{first}}$ ) were read directly from the plasma fentanyl concentration-time curves from each subject.

The terminal elimination rate constant ( $k_{\text{el}}$ ) was determined as the slope of the regression line of best fit to the

approximately log-linear terminal elimination phase. All fitting was performed with unity weighting of the data. The terminal elimination half-life ( $t_{1/2}$ ) was obtained from  $k_{el}$  and equaled  $\ln 2/k_{el}$ . The area under the curve from 0 to 3 hours ( $AUC_{0-180}$ ) and  $AUC_{0-t}$  values were obtained using the trapezoidal rule. Extrapolation to  $AUC_{0-\infty}$  was calculated from  $AUC_{0-t} + C_t/k_{el}$ .

The absolute bioavailability (F) of fentanyl was calculated using the following equation:

$$F = AUC_{SL} / AUC_{IV} \times Dose_{IV} / Dose_{SL} \times 100$$

### Clinical evaluation of wafers

In vivo dissolution time of the wafer was assessed by frequent inspection of the sublingual area. Patient-rated effectiveness (four-point scale: excellent, good, fair, poor), acceptability (four-point Likert scale: unacceptable to very acceptable), and satisfaction (numerical 0–10, verbal rating scale) were assessed.

### Statistical analysis

Continuous demographic data were summarized using the median and interquartile range (IQR), and categorical data were summarized using frequency distributions. SAS version 9.2 (SAS Institute Inc, Cary, NC, USA) statistical software was used for the data analysis. All tests were two-sided, and  $P$  values  $< 0.05$  were considered to be statistically significant.

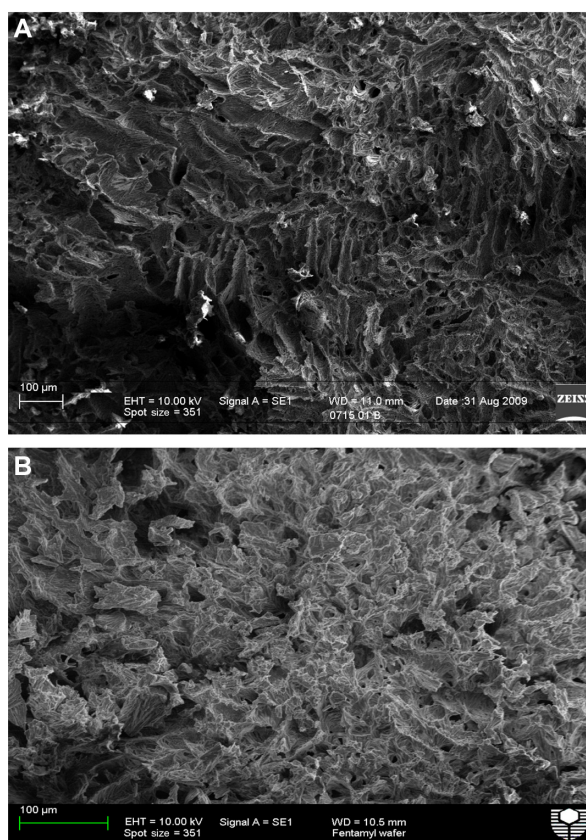
## Results and discussion

### Characterization of fentanyl wafers

The wafers prepared showed a weight variation of  $65.2 \pm 0.89$  mg, and the fentanyl content was  $96.3\% \pm 1.05\%$ . The friability test gave a weight loss for 20 wafers of 8.65%. Although this weight loss does not comply with British Pharmacopoeia 2009 standard<sup>13</sup> for compressed tablets, there is no such standard for wafers in either the British or US pharmacopoeias.<sup>14–16</sup> This implies that the wafers would not withstand robust handling, but in practice they did not crumble when handled by patients. The diameter and thickness of the wafers were approximately 10 mm and 4 mm, respectively. The moisture content was  $3.51\% \pm 0.10\%$ , indicating that water had been removed efficiently by the freeze-drying process. Stability studies showed that the fentanyl wafers remained stable for more than 2 years when stored at room temperature ( $22^\circ\text{C} \pm 1^\circ\text{C}$ ) in a desiccator.

### Scanning electron microscopy

Two cross-sectional scanning electron microscopic images are shown in Figure 1. Both the blank and fentanyl wafers

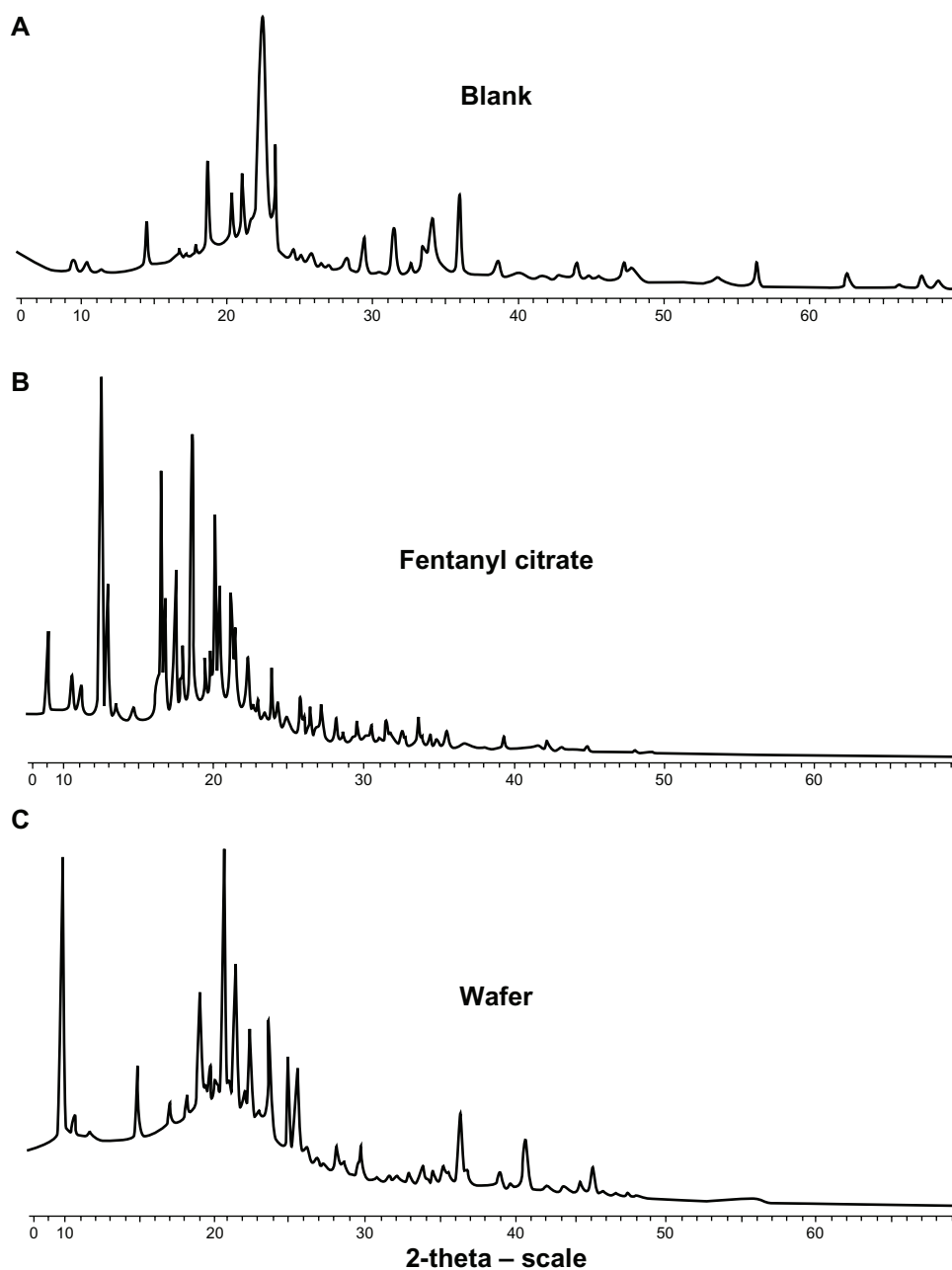


**Figure 1** Scanning electron micrographs of a cross-section of a (A) blank wafer and (B) fentanyl wafer.

formed highly porous inner structures, supporting the rapid disintegration time and dissolution profiles. In addition, there were no noticeable morphological differences between the blank and fentanyl-containing wafers, indicating that the fentanyl was homogeneously dispersed and did not change the matrix structure.

### Powder x-ray diffraction

The physical state of the materials in the wafer was evident in the x-ray diffraction spectra. Spectra for the fentanyl, blank matrix, and fentanyl wafer are shown in Figure 2. It was observed that the powder patterns of all wafers prepared were dominated by intense scattering peaks located at approximately 2-theta of  $9.58^\circ$ ,  $19.68^\circ$ , and  $20.05^\circ$ , indicating the crystalline nature of the excipient, Avicel. Absence of the characteristic peaks for fentanyl pointed to amorphization of fentanyl during the freeze-drying process. This finding was also supported by the data generated from the scanning electron microscopic analysis. Indeed, the excipients used in the formulations, including glycine, lactose, mannitol and microcrystalline cellulose, were crystalline in nature, but amorphization appeared to have occurred during freeze-drying.



**Figure 2** Powder x-ray diffraction spectra (A) blank wafer, (B) fentanyl citrate and (C) fentanyl wafer.

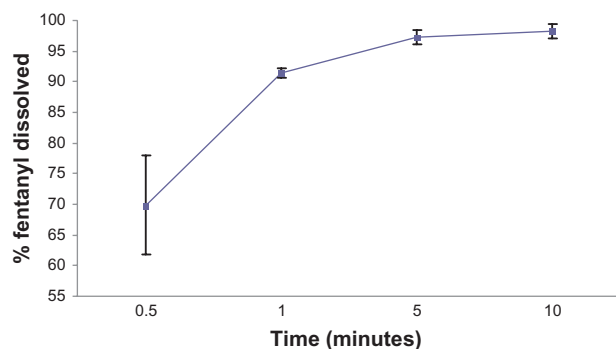
### In vitro dissolution studies

The dissolution profiles are shown in Figure 3. The cumulative percentage of fentanyl dissolved from four wafers was approximately 90% within one minute. Total release occurred within five minutes. This result indicates that the fentanyl wafer was a solid dispersion of fentanyl within a porous matrix that permits rapid fluid disintegration and release of the contents. The fentanyl wafer formulation complied with the British Pharmacopoeia standard for orodispersible tablets of disintegration within 3 minutes. An excellent correlation has been reported between in vitro

and oral disintegration times in volunteers taking rapidly disintegrating tablets.<sup>17</sup>

### In vivo studies

Twenty-one patients were randomized, 11 to the sublingual followed by intravenous sequence and 10 to the intravenous followed by sublingual sequence. Of these 21 patients, 11 received both sublingual and intravenous fentanyl; six patients were only given intravenous fentanyl (sublingual fentanyl was subsequently not required because of no further pain) and two patients received only sublingual fentanyl



**Figure 3** Dissolution profiles for the fentanyl wafer formulation in phosphate-buffered solution at pH 6.8 (average with error bars) at 37°C (n = 4).

(intravenous fentanyl was not subsequently required). Two patients did not complete the sublingual and/or the intravenous administration arm of the study and were eliminated from all analyses. The demographic characteristics of the patients are reported in Table 1.

On commencing the study, it was noted that the wafer did not disintegrate/dissolve in the first five patients until approximately 12 minutes, as a result of dry mouth immediately after general anesthesia. Subsequently, the study patients were given a mouth rinse using 3 mL of water before placing the wafer sublingually, and this reduced the mean disintegration time to 6.6 minutes. Accordingly, the pharmacokinetic results were later divided into two subgroups, ie, a dry mouth group and a wet mouth group.

## Pharmacokinetic data

Plasma fentanyl concentration versus time curves for the intravenous and sublingual routes are shown in Figure 4. The median ( $\pm$ IQR)  $AUC_{inf}$  data for intravenous and sublingual administration are shown in Table 2. With respect to bioavailability and  $t_{max}$ , the pharmacokinetic data for the sublingual 50  $\mu$ g fentanyl wafer were generally similar to those previously reported for a sublingual fentanyl 100  $\mu$ g tablet and wafer.<sup>10,11</sup> The  $C_{max}$  (0.11 ng/mL) of the 50  $\mu$ g fentanyl wafer was approximately half that reported for a double-strength

**Table 1** Demographic characteristics of the study patients

Number <sup>#</sup>	11
Mean age in years (range)	45 (21–75)
Mean weight in kg (range)	69 (50–100)
Study dose of fentanyl ( $\mu$ g)	50
Gynecological abdominal surgery	76%
Vaginal surgery	24%

**Notes:** <sup>#</sup>Two patients did not complete the sublingual and/or the intravenous administration arm of the study. Also not included were six patients receiving only intravenous fentanyl and two patients only sublingual fentanyl.

sublingual fentanyl tablet and wafer, namely 0.24 ng/mL and 0.22 ng/mL, respectively. Compared with previous data, the  $t_{max}$  of the 50  $\mu$ g fentanyl wafer tended to be longer (120 and 61 minutes for the dry and wet mouth groups, respectively) compared with  $39.7 \pm 17.4$  minutes for the 100  $\mu$ g sublingual fentanyl tablet and  $54.6 \pm 0.73$  minutes for the 100  $\mu$ g wafer. The median (IQR) bioavailability of the sublingual wafer was 53.0% (IQR 51.4%) for the wet mouth group (See Table 3 for all bioavailability results), which is comparable with the 65% bioavailability of an effervescent buccal fentanyl tablet<sup>18</sup> and the 47% bioavailability of oral transmucosal fentanyl citrate.<sup>19</sup> The buccal mucosa is thicker (500–800  $\mu$ m) than the sublingual mucosa (100–200  $\mu$ m),<sup>20</sup> which may explain the more prolonged absorption phase and elimination half-life reported for oral transmucosal fentanyl citrate.

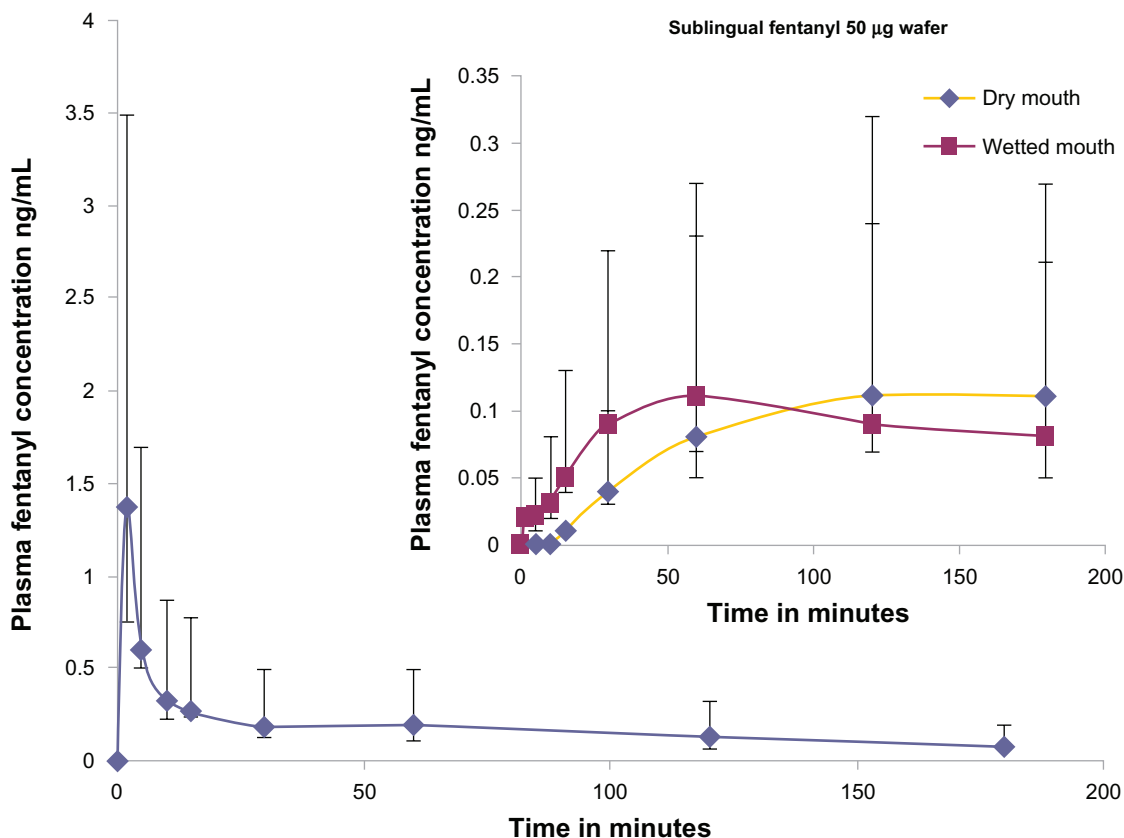
The lower bioavailability found in this study compared with that from a study in human volunteers<sup>11</sup> probably related to the different durations of these studies. The human volunteer study had a duration of 24 hours, whereas this study was for 3 hours. This may have affected the  $AUC_{Cinf}$  values, leading to the lower bioavailability.

$C_{first}$  after sublingual administration was observed at 30 (IQR 15) minutes in the dry mouth group and 3.5 (IQR 8.8) minutes in the wet mouth group (Table 2). This was expected because a dry mouth markedly delayed wafer dissolution, preventing fentanyl being available for sublingual absorption.

The  $t_{first}$  of 3.5 minutes in the wet mouth group was similar to that in a 100  $\mu$ g fentanyl wafer group (2–10 minutes)<sup>11</sup> and faster than that from a sublingual tablet (8–11 minutes).<sup>10</sup> This reflects the high permeability of fentanyl in the rich blood flow of the sublingual mucosa and avoidance of the hepatic “first-pass” effect.<sup>21,22</sup> The  $t_{1/2}$  was considerably shorter after intravenous administration, being 1.7 (IQR 1.5) hours compared with 4.9 (IQR 3.4) hours in the wet mouth group. This may have been influenced by the relatively short sampling time.

## Clinical results

The median time taken for the sublingual wafer to dissolve was 5 (range 1–12) minutes. The median satisfaction score was 9 (range 2–10), with 80% reporting that it dissolved completely and 87% reporting that there was no bitter or unpleasant taste. Effectiveness was rated as excellent by 31%, good by 54%, fair by 8%, and poor by 8%, compared with reports of excellent by 56% and good by 44% after intravenous fentanyl administration. Sixty-two percent found the wafer to be very acceptable, 33% found it acceptable, and



**Figure 4** Median (±interquartile range) plasma concentration (ng/mL) over time profiles for sublingual fentanyl wafer and intravenous fentanyl (given as an intravenous push over one minute). **Note:** Inset figure shows profiles of the wafer administered to the dry mouth and wet mouth groups.

5% found it reasonably acceptable. Among nine patients who were able to compare the sublingual and intravenous routes, 33% (three of nine) expressed a preference for the sublingual wafer, 44% (four of nine) a preference for the intravenous injection, and 22% (two out of nine) had no preference.

**Table 2** Median values (IQR) of plasma pharmacokinetic parameters for fentanyl

Pharmacokinetic parameter	Sublingual fentanyl wafer (n = 11)		Intravenous fentanyl (n = 12)
	Dry mouth (n = 5)	Wet mouth (n = 6)	
AUC <sub>inf</sub> (ng/mL/min), median	16.7 (15.2)	20.4 (19.8)	38.5 (32.4)
AUC <sub>t</sub> (ng/mL/min), median	NA	15.2 (14.5)	27.7 (20.8)
t <sub>first</sub> (min), median	30 (15)	3.5 (8.8)	NA
C <sub>max</sub> (ng/mL), median	0.11 (0.08)	0.12 (0.11)	0.87 (0.69)
t <sub>max</sub> (min), median	67 (60)	61.5 (60)	2 (2)
t <sub>1/2</sub> (h), median	NA	4.9 (3.4)	1.7 (1.5)
Bioavailability (%) using AUC <sub>inf</sub>	NA	53.0	NA

**Abbreviations:** AUC, area under the concentration-time curve; NA, not applicable; IQR, interquartile range.

There are several limitations to the design of this study, which reflect its pilot nature. These included the limited clinical information that could be obtained from a pharmacokinetic study with a small sample size within a heterogeneous surgical population, and the clinical difficulty in obtaining data for both intravenous and sublingual administration from all participants. It is noteworthy that dry mouth is a critical factor in drug delivery by the sublingual route if a rapid response is desired. This finding should be noted for other

**Table 3** Median values (IQR) of the absolute bioavailability of fentanyl 50 µg wafer

Dry mouth	Wet mouth
<b>Absolute bioavailability (%) of fentanyl 50 µg wafer</b>	
46.7	60.6
N/A	53.2
54.5	52.8
N/A	51.0
N/A	50.5
N/A	54.5
Median (IQR) = 53.0 (51.4)	

**Abbreviations:** IQR, interquartile range; N/A, not available (inability to obtain three final points for calculating AUC).

drugs, such as glyceryl trinitrate, where a rapid response is important.

## Conclusion

The fentanyl wafer studied was a solid dispersion of amorphized fentanyl in a porous matrix. The fast in vitro release pattern correlated well with in vivo absorption profiles. Sublingual administration of the fentanyl wafer resulted in rapidly detectable plasma fentanyl concentrations (at a mean of 3.5 minutes) after administration to patients with a moist mouth. The absolute bioavailability of the fentanyl wafer was approximately 53%. The high interindividual variability of  $t_{\text{first}}$  was due to slow dissolution of the wafer in patients with a dry mouth postoperatively. Advice to rinse the mouth with water prior to insertion may be advisable using other sublingual products for which a rapid onset of action is desired.

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## Disclosure

The authors report no conflicts of interest in this work.

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