

The effects of pleural fluid drainage on respiratory function in mechanically ventilated patients after cardiac surgery

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ABSTRACT

Background: Pleural effusions occur commonly after cardiac surgery and the effects of drainage on gas exchange in this population are not well established. We examined pulmonary function indices following drainage of pleural effusions in cardiac surgery patients.

Methods: We performed a retrospective study examining the effects of pleural fluid drainage on the lung function indices of patients recovering from cardiac surgery requiring mechanical ventilation for more than 7 days. We specifically analysed patients who had pleural fluid removed via an intercostal tube (ICT: drain group) compared with those of a control group (no effusion, no ICT).

Results: In the drain group, 52 ICTs were sited in 45 patients. The mean (SD) volume of fluid drained was 1180 (634) mL. Indices of oxygenation were significantly worse in the drain group compared with controls prior to drainage. The arterial oxygen tension (PaO₂)/fractional inspired oxygen (FiO₂) (P/F) ratio improved on day 1 after ICT placement (mean (SD), day 0: 31.01 (8.92) vs 37.18 (10.7); p<0.05) and both the P/F ratio and oxygenation index (OI: kPa/cm H₂O=PaO₂/mean airway pressure×FiO₂) demonstrated sustained improvement to day 5 (P/F day 5: 39.85 (12.8); OI day 0: 2.88 (1.10) vs day 5: 4.06 (1.73); both p<0.01). The drain group patients were more likely to have an improved mode of ventilation on day 1 compared with controls (p=0.028).

Conclusions: Pleural effusion after cardiac surgery may impair oxygenation. Drainage of pleural fluid is associated with a rapid and sustained improvement in oxygenation.

INTRODUCTION

Pleural effusion is common in patients recovering from cardiac surgery.^{1–3} Large effusions, occupying more than a quarter of the hemithorax, affect approximately 3–10% of these patients.^{1 4 5} Most effusions have no identifiable cause, although surgical practices including the use of internal mammary artery grafts^{1 6} and topical hypothermia,^{7–9} with clinical factors such as presence of heart

KEY MESSAGES

- ▶ Pleural effusion(s) after cardiac surgery are common.
- ▶ This retrospective study demonstrates that drainage of pleural fluid is associated with a rapid and sustained improvement in oxygenation in postsurgical mechanically ventilated patients.
- ▶ There remains a need, however, for high-quality, controlled studies in this field to inform clinical practice.

failure, arrhythmias and use of anticoagulants, may be risk factors.¹⁰

Pleural effusions may cause hypoxaemia by creating an intrapulmonary shunt,^{11 12} alternatively the cause of the effusion, such as atelectasis, may itself contribute to ventilation/perfusion mismatch.¹³ Experimental studies suggest that the presence of a pleural effusion reduces lung compliance, and there may be an increase in chest wall compliance (reviewed in ref. 14). Large effusions may reduce the patient's tidal volume causing hypercapnia.¹⁵ The results of small studies carried out on different patient populations have suggested that intercostal tube (ICT) drainage of effusions may improve gas exchange^{11 16–22} and indices of pulmonary function,^{15 19 23 24} however, the effects demonstrated have been modest, variable^{21 25} and, to date, not reported in patients following cardiothoracic surgery.

We studied patients requiring a prolonged period of invasive mechanical ventilation after cardiac surgery, to examine the effects of ICT drainage of pleural effusions on indices of pulmonary function.

METHODS

Patients and data collection

Data from a consecutive 24-month period were collected retrospectively for patients recovering from cardiac surgery in the adult intensive care unit (AICU) of the Royal



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Brompton Hospital, London, UK, which supports the work of a national referral centre for cardiothoracic surgery and medicine. All data were collected routinely as part of patients' treatment and ongoing care. Because no additional data, or interventions, were collected or performed outside of normal best practice, the Local Regional Ethics Committee deemed that specific ethics approval was not required for this study.

Comprehensive clinical and laboratory data are recorded real time on a computerised system (CareVue, Phillips Medical Systems, Andover, Massachusetts, USA). Data were then accessed using Microsoft Access 2000 (Microsoft Corp, Redmond, Washington, USA). After interrogation of the database for the inclusion criteria, demographic data including date of operation and drain placement, Acute Physiology and Chronic Health Evaluation (APACHE) II score, mode of ventilation, physiological parameters and mortality were recorded. The date, time and side of ICT placement was confirmed by reviewing relevant chest radiographs (WINRAD system, Line Imaging, Atlanta, Georgia, USA).

Patients who had spent more than 7 days on the AICU after surgery with a pleural effusion and ICT insertion were enrolled to a 'drain group', and those with neither ICT nor pleural effusion assigned to a comparison or 'control group'. All patients from both groups had chest radiographs examined to confirm the presence of a pleural effusion and an ipsilateral ICT in the drain group, and absence of effusion in the control group. Patients with postoperative haemothorax or pneumothorax were excluded from the analysis. Data were excluded from patients with no retrievable chest radiograph. Patients otherwise had standard appropriate medical therapy. Serious adverse events following ICT insertion were defined as: significant haemorrhage (that requiring more intervention than the application of local pressure), pneumothorax, infection developing in the pleural space or at the wound site, and malposition.

The decision to place an ICT was not protocol driven, but made depending on the patients' individual clinical and physiological state and requirements. All ICT insertions were carried out under ultrasound guidance using the modified Seldinger technique (12 Fr: Portex Inc, Keene, New Hampshire, USA). Mean values of the physiological parameters were calculated from at least three data sets taken around 8:00. The days were designated 'day 0' for the day of ICT insertion in the drain group and day 8 of mechanical ventilation in the control group, and 'days 1–5' for the subsequent days.

Indices of gas exchange

The *arterial oxygen tension (PaO₂)/fractional inspired oxygen (FiO₂) ratio* (kPa: P/F ratio) is one of the most commonly used and simple measures of oxygenation. It does not however take into account other variables in mechanically ventilated patients that affect gas exchange, for example, the positive end-expiratory pressure (PEEP).

Therefore, the *oxygenation index* (OI: kPa/cm H₂O=PaO₂/mean airway pressure×FiO₂) was used as an additional measure of oxygenation. This version of the OI has been validated as a measure of intrapulmonary shunt in patients undergoing cardiac surgery.²⁶ The *ventilation index* (VI: cm H₂O kPa/min=peak airway pressure×respiratory rate×arterial carbon dioxide tension (PaCO₂)/1000) was also calculated as a marker of dead-space ventilation.²⁷ As the OI and VI require physiological indices (mean and peak airway pressure) that are only available in mechanically ventilated patients, and PF ratio requires an arterial line, if a patient was weaned from positive pressure ventilation, and/or arterial lines removed, these indices could not be calculated.

Indices of respiratory weaning

A standardised weaning protocol was not imposed. However, the qualitative step down in respiratory support, which is standard practice in the AICU, was recorded: volume or pressure-controlled mandatory breaths > pressure supported spontaneous breaths > continuous positive airway pressure > spontaneous ventilation without positive pressure.

Data analysis

Data were analysed using Graph-Pad Prism V.4.00 (GraphPad Software, San Diego, California, USA). All data are expressed as mean and SD. The normality of data distribution was assessed by the Kolmogorov-Smirnov test; categorical data were compared using Fisher's exact test and two conditions were compared using the Student *t* test. When three or more conditions were compared, one-way analysis of variance with repeated measures was used with Dunnett's multiple comparisons test where appropriate. Statistical significance was defined at the 95% level.

RESULTS

During the 24-month study period, 147 patients fulfilled the entry criteria. A total of 20 patients were excluded, leaving 82 patients in the control group and 45 patients (52 events) in the drain group. Seventeen episodes were excluded because data were unavailable on day 1 following weaning from positive pressure mechanical ventilation, and/or the removal of the arterial line. The remaining three exclusions were caused by one haemothorax, one pneumothorax and the other had a large calibre ICT inserted, rather than the smaller 12 Fr drains otherwise used in this study.

The clinical characteristics of the patients studied are shown in [table 1](#). The patients were predominantly male and over 60 years old, with a preponderance of left-sided effusions. Re-do operations accounted for approximately a third of operations in each group: control 16/45 (35.5%), drain 23/82 (28.0%). Six patients had bilateral effusions, which were drained on consecutive days; entry into the study was after the first drain insertion. Overall,

Table 1 Baseline patient characteristics

	Control group n=82	Drain group n=45	p Value*
Age (years)	63.63±13.85	67.2±11.67	0.193
Male (%)	64.6	60	0.701
APACHE II score	14.9±4.28	15.62±5.39	0.411
Length of AICU stay (days)	10.94±14.59	24.58±26.98	<0.0001
Mortality 6 months after surgery n=(%)	6 (7.69)	6 (13.33)	0.344
Coronary artery bypass graft n=(%)	26 (32)	16 (36)	0.696
Valve(s) replaced or repaired n=(%)	43 (56)	23 (51)	0.991
Other cardiac surgery n=(%)	13 (12)	6 (13)	0.799
Days from operation to drain insertion	NA	13.98±11.59	–
Left-sided effusion (%)	NA	32/52 (61.5)	–
Mean total volume of pleural fluid drained (mL)	NA	1180±634	–
Mean volume of pleural fluid drained in first 24 h following drain insertion (mL)	NA	991±510	–

Data displayed as mean±SD of N observations.

*Determined by unpaired Student t test.

AICU, adult intensive care unit; APACHE, Acute Physiology and Chronic Health Evaluation; NA, not available.

80.8% of the total pleural fluid drainage occurred in the first 24 h after ICT insertion. The only demographic parameter that differed with statistical significance between the groups was mean length of stay on AICU (mean (SD), control 10.9 (14.6) vs drain 24.6 (27.0); $p<0.0001$). No serious adverse events following ICT insertion were recorded during the study period.

Effect of ICT insertion on oxygenation

Oxygenation was worse at enrolment in the drain compared with the control group (P/F ratio, mean (SD), control 36.21 (11.29) vs drain 31.01 (8.92); $p=0.002$; OI, control 3.6 (1.75) vs drain 2.88 (1.10); $p=0.019$; [table 2](#)). There was a significant improvement in P/F ratio at day 1 compared with day 0 after ICT insertion (95% CI –11.9 to –0.66; $p<0.05$), which lasted to day 5 (95% CI –14.4 to –3.33; $p<0.01$; [table 3](#) and [figure 1A](#)). The OI did not change significantly from day 0 to day 1, but the improvement comparing day 0 to day 5 following ICT insertion was significant (95% CI –1.95 to –0.68; $p<0.01$; [table 3](#) and [figure 1B](#)). In the control group, there was no statistically significant change in P/F ratio

Table 2 Baseline indices of gas exchange prior to drainage of pleural effusion

	Control group	Drain group	p Value*
PaO ₂ /FiO ₂ ratio (kPa)	36.21±11.29 N=82	31.01±8.92 N=52	0.002
Oxygenation index (kPa/cm H ₂ O)	3.60±1.75 N=75	2.88±1.10 N=40	0.019
Ventilation index (cm H ₂ O kPa/min)	13.87±5.38 N=45	20.15±8.85 N=30	0.012

Data displayed as mean±SD of N observations.

*Determined by unpaired Student t test.

FiO₂, fractional inspired oxygen; PaO₂, arterial oxygen tension.

or OI between day 0 and day 1, or day 5 over the study period. Full results for P/F ratio, OI and VI are presented in [table 3](#). There was no correlation between the volume of fluid drained and change in P/F ratio and OI over the first 24 h after drainage ($r=0.02$; $p=0.86$ and $r=0.03$; $p=0.85$, respectively, by Spearman's correlation).

Effect of intercostal drain insertion on the VI

The VI of the two groups was significantly different at enrolment (control 13.87 (4.49) vs drain 20.15 (8.85); $p=0.012$; [table 2](#)) with a worse score in the drain group. The VI then fell (improved) in the drain group on day 1 following ICT insertion, although the change was not statistically significant ([table 3](#) and [figure 2](#)); the control group VI did not change significantly over the study period. Numbers for calculation of the VI were less than those of the OI because data on the peak airway pressure recorded at the same time as the other indices were more limited.

Effect of intercostal drain insertion on ventilatory weaning

The drain group were more likely to have an improved mode of ventilation on day 1 compared with controls (24/41 vs 22/61) relative risk (RR) 1.62 (95% CI 1.06 to 2.48; $p=0.028$), but this was not sustained to day 5 (RR 1.21, 95% CI 0.94 to 1.58; $p=0.198$). There was no statistically significant difference between the two groups in the numbers of patients that were extubated by day 1 or day 5.

DISCUSSION

In this retrospective study of patients requiring prolonged mechanical ventilation after cardiac surgery, we have demonstrated that ultrasound-guided ICT drainage of pleural effusions is associated with a sustained benefit in oxygenation. To our knowledge, this is the first time

Table 3 Gas exchange indices

	Day 0	Day 1	Day 5	p Value*
PaO ₂ /FiO ₂ ratio (kPa)				
Control	36.21±11.29 N=82	35.56±11.77 N=82	35.16±11.36 N=75	0.653
Drain	31.01±8.92 N=52	37.18±10.7 N=52	39.85±12.8 N=38	<0.0001
Oxygenation index (kPa/cm H ₂ O)				
Control	3.6±1.75 N=75	3.93±2.57 N=75	3.45±1.53 N=43	0.413
Drain	2.88±1.10 N=40	3.49±1.73 N=40	4.06±1.73 N=29	<0.0001
Ventilation index (cm H ₂ O/kPa/min)				
Control	13.87±4.49 N=45	14.26±4.92 N=45	15.46±4.16 N=37	0.298
Drain	20.15±8.85 N=30	17.39±7.81 N=30	17.57±5.65 N=21	0.325

Data are presented as mean±SD of N observations; day 0=prior to drainage.

*One-way ANOVA with repeated measures.

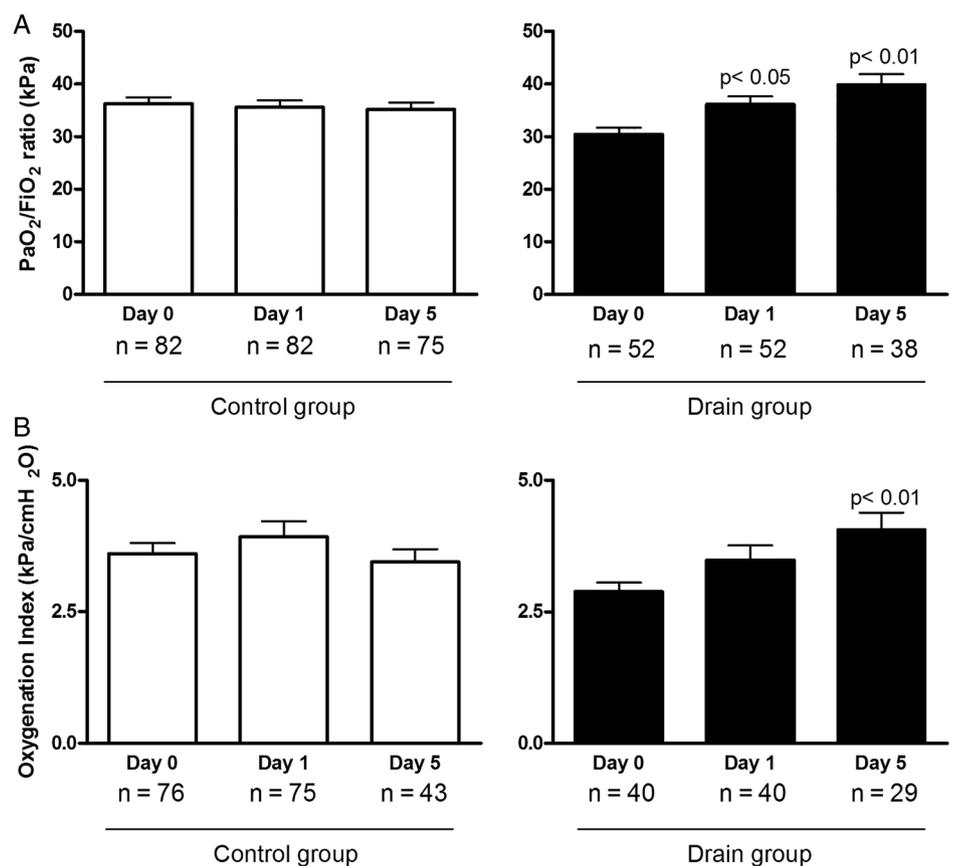
ANOVA, analysis of variance; FiO₂, fractional inspired oxygen; PaO₂, arterial oxygen tension.

this has been demonstrated in this postcardiac surgery population, despite widespread practice of this procedure in cardiothoracic critical care. These data should act as further proof of concept to inform larger, controlled studies that are still required.

The indices of oxygenation in the drain group were worse than those in the control group at enrolment

(table 2). This observation is consistent with the data in patients using the multiple inert gas elimination technique suggesting that large effusions impair ventilation-perfusion matching,¹² increasing intrapulmonary shunt,¹¹ and our finding that drainage of the effusion was associated with improved oxygenation (figure 1). In our study, the improvement in oxygenation in the drain

Figure 1 Comparison of the effects of intercostal tube drainage of pleural fluid (drain group: solid bars) and no intervention (control group: open bars) on oxygenation over 6 days in patients recovering from cardiac surgery as assessed by (A) the ratio of arterial oxygen tension (PaO₂)/fractional inspired oxygen (FiO₂) (kPa) and (B) oxygenation index (kPa/cm H₂O=PaO₂/mean airway pressure×FiO₂). Data are displayed as mean±SD. Values determined by analysis of variance with multiple comparisons test, compared to day 0.



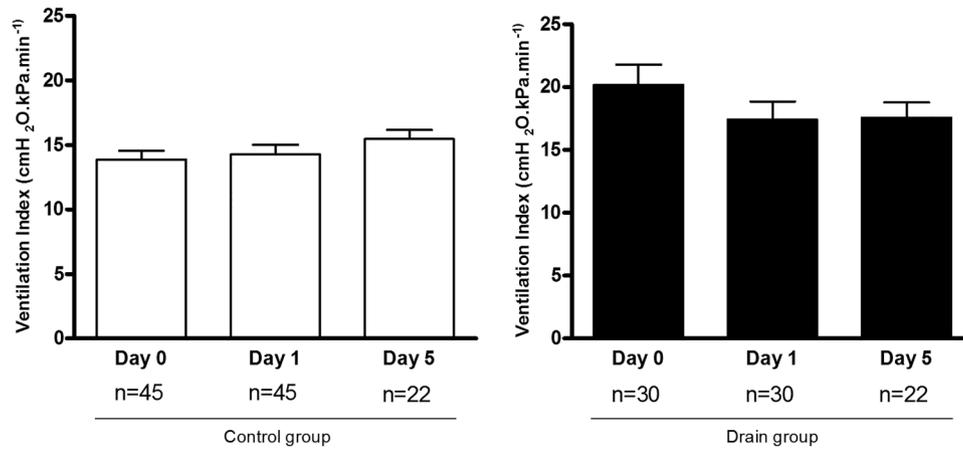


Figure 2 Comparison of the effects of intercostal tube drainage of pleural fluid (drain group: solid bars) and no intervention (control group: open bars) on ventilation index ($\text{cm H}_2\text{O}/\text{kPa}/\text{min} = \text{peak airway pressure} \times \text{respiratory rate} \times \text{arterial carbon dioxide tension (PaCO}_2)/1000$) over 6 days in patients recovering from cardiac surgery. Data displayed as mean \pm SD.

group continued at least up to day 5. This is consistent with other reports²⁸ and may reflect delayed lung re-expansion after drainage and possibly with gradual clearance of re-expansion pulmonary oedema.^{17 23 29} There are a wide range of potential causes for the presence of a pleural effusion in ICU,³⁰ and in this setting, it may be that cardiac function was worse in the drain group, although the data to support this supposition are not available.

Our study is the largest report on a postsurgical ICU population and contributes to a growing literature supporting the notion of improved oxygenation in this population. A previous systematic review examining the effect of pleural fluid drainage in a mixed medical-surgical population of mechanically ventilated patients (n=112) describes an improvement in P/F ratio,²⁵ although the effect was positively skewed by one small (19 patient) uncontrolled study.¹⁸ The effect in surgical patients is otherwise uncertain with small numbers and heterogeneous results in uncontrolled studies, with different approaches to maintain oxygenation prior to drainage.²⁵ Studies in ventilated animals with artificial pleural effusions demonstrate the need for PEEP to prevent cycled recruitment/derecruitment of atelectatic lung (and presumably resultant atelectrauma),³¹ although the optimal or required level of PEEP in humans is not known. There is a need for high-quality, controlled, prospective studies to establish and delineate any true benefit from this intervention.

A further, recent, small study in a medical ICU demonstrates an early improvement in P/F ratio at 3 h postdrainage, which is sustained at 24 h postintervention.²² Some studies have reported improvements in pulmonary mechanics (peak inspiratory pressure, respiratory rate, plateau pressure and dynamic compliance) within an hour of pleural fluid drainage,^{11 18 21} although the same studies do not demonstrate a similar improvement in oxygenation over the same time scale. Reasons for this delayed effect may be as simple as the

effusion taking several hours to drain and slow physiological adaptation to the changes in pulmonary mechanics.

In our study, there was no correlation between the physiological indices and the volume of pleural fluid drained, which is consistent with other reports.^{22 25} We were also unable to demonstrate a threshold for the volume of pleural effusion drained that is likely to be associated with clinical benefit. It is possible that by the time an effusion is suspected clinically or on a chest radiograph, and large enough to be safely drained, it may already be over the threshold at which there will be benefit from drainage. Other small studies examining spontaneously breathing medical patients have suggested modest improvements in pulmonary mechanics and oxygenation after ICT insertion.^{15 24} In these studies, there was a correlation between the volume of pleural fluid drained and a benefit in the parameters studied. Even if it were possible to demonstrate a threshold of size of pleural effusion above which a patient is likely to benefit, it remains challenging to assess the size of an effusion prior to drainage, especially in recumbent, ventilated patients.^{32–34} Ultrasound, which was used routinely in this study, is the most reliable and safe method of estimation.^{3 35}

In this study, there was no significant improvement in VI after ICT placement, although the significant difference in VI at day 0 between the groups was lost at day 1 after drainage. One interpretation of these data is that the known effects of pleural effusion in decreasing lung volumes and compliance³⁶ are not readily reversed in this patient group when the fluid is removed. This may be accounted for partially by the fact that the patients were predominantly supine (30° head up) which would decrease chest wall compliance and that the effusion may have been preceded by and contributed to, by lower lobe atelectasis.³⁷ Alternatively, others have observed that with small or moderate sized effusions, as seen in our patients, the lung is displaced rather than



compressed,³⁸ which would predict a modest effect on VI of its removal.

We examined a cohort of patients who had required intensive care for more than 7 days and who were therefore suffering complications following cardiac surgery. This may partly account for the prolonged length of AICU stay demonstrated in the drain group, with the presence of pleural effusion, a non-specific indicator of underlying pulmonary pathology, such as pneumonia. This may be consistent with other reports of postcardiac surgery patients with a pleural effusions having an increased prevalence of postoperative complications.¹⁰ Care should be taken in interpreting our length of stay data in comparison to others; our unit works as the national referral centre for cardiothoracic surgery, and as such frequently accepts patients that have multiple comorbidity and more complex, challenging operations. We are unable to comment on the incidence of pleural effusion after cardiac surgery or the risk factors for developing a large effusion, although like others, we have noted a preponderance of left-sided effusions.^{1-3 37} This would be expected from the increased incidence of atelectasis and left lower lobe collapse after surgery and the increased likelihood of opening the left pleura to access the left internal mammary artery, if these are indeed important aetiological factors.^{1 6 13 37 39}

As the calculation of the OI and VI both include physiological indices that can only readily be obtained in mechanically ventilated patients, spontaneously ventilating patients were excluded from analysis of OI and VI. These exclusions introduce a bias that would tend to negate the positive effect of the intervention on gas exchange. Therefore, the beneficial effect of draining large pleural effusions on weaning from mechanical ventilation suggested by our data was probably underestimated. Alternatively, we cannot exclude a non-pulmonary cause for the patient's improvement, for example, drainage of large pleural effusions may significantly improve cardiac output particularly in patients with impaired cardiac function.^{14 40}

The most important limitation of this study is that it was performed retrospectively. While our entry criteria defined a control group who were similar to the patients requiring drainage of pleural fluid, the period of data collection was also different between the two groups, being from day 7 after the operation in the control group and from the day of ICT insertion in the drain group (median 10 days). Another limitation of the study was that neither the decision to insert an ICT nor the ventilatory weaning was protocol driven. Additionally, we cannot completely exclude the presence of undrained effusions in the control population. While none were evident when the chest radiographs were reviewed, we accept that due to the sensitivity of chest radiography for identifying pleural effusions in this population ($\approx 80\%$),⁴¹ it is possible that small effusions may not have been identified, although it is unlikely that a moderate or large effusion will have been missed.

Furthermore, it is possible that the patient's apparent readiness to step down in respiratory support could have influenced the decision to drain the pleural fluid. Finally, we have not accounted for possible differences in fluid balance and/or pharmacological therapy (eg, diuretic use) that patients may have had.

In summary, our data provide novel physiological evidence to support the current practice in cardiothoracic critical care of draining large pleural effusions in patients requiring prolonged mechanical ventilation after cardiac surgery, possibly as a means of improving oxygenation and facilitating respiratory weaning. Considering the limitations of this report, further randomised controlled studies are required to examine if the drainage of pleural effusions facilitates weaning from mechanical ventilation in the cardiothoracic and general ICU population, and to attempt to identify a threshold of effusion size that would predict benefit from drainage.

Contributors FJHB was involved in data collection, analysis and write up. MGD was involved in data collection and write up. AE was involved in data collection. MJDG was involved in data analysis and write up.

Competing interests None declared.

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Data sharing statements No additional data are available.

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