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1       **Spatial variations of effective elastic thickness of the lithosphere in**  
2                               **Central America and surrounding regions**

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## 1 **Abstract**

2           As a proxy for long-term lithospheric strength, the effective elastic thickness ( $T_e$ )  
3 can be used to understand the relationship between lithospheric rheology and  
4 geodynamic evolution of complex tectonic settings. Here we present, for the first time,  
5 high-resolution maps of spatial variations of  $T_e$  in Central America and surrounding  
6 regions from the analysis of the coherence between topography and Bouguer gravity  
7 anomaly using multitaper and wavelet methods. Regardless of the technical differences  
8 between the two methods, there is a good overall agreement in the spatial variations of  
9  $T_e$  recovered from both methods. Although absolute  $T_e$  values can vary in both maps,  
10 the qualitative  $T_e$  structure and location of the main  $T_e$  gradients are very similar. The  
11 pattern of the  $T_e$  variations in Central America and surrounding regions agrees well with  
12 the tectonic provinces in the region, and it is closely related to major tectonic  
13 boundaries, where the Middle American and Lesser Antilles subduction zones are  
14 characterized by a band of high  $T_e$  on the downgoing slab seaward of the trenches.  
15 These high  $T_e$  values are related to internal loads (and in the case of the southernmost  
16 tip of the Lesser Antilles subduction zone also associated with a large amount of  
17 sediments) and should be interpreted with caution. Finally, there is a relatively good  
18 correlation, despite some uncertainties, between surface heat flow and our  $T_e$  results for  
19 the study area. These results suggest that although this area is geologically complex, the  
20 thermal state of the lithosphere has profound influence on its strength, such that  $T_e$  is  
21 strongly governed by thermal structure.

22

23 **Keywords:** Effective elastic thickness; Spectral methods; Lithosphere structure; Central  
24 America; Caribbean Plate

## 1 **1. Introduction**

2           The knowledge of lateral variations in lithosphere strength can aid in  
3 understanding how surface deformation relates to deep Earth processes. As a proxy for  
4 long-term lithospheric strength, the effective elastic thickness of the lithosphere ( $T_e$ )  
5 corresponds to the thickness of an idealized elastic plate bending under the same applied  
6 loads (Watts, 2001), and is related to the integrated mechanical strength of the  
7 lithosphere (Burov and Diament, 1995). The knowledge of  $T_e$  in different places  
8 provides a measurement of the spatial variation of the lithospheric strength, which is  
9 strongly controlled by local and regional conditions. Although  $T_e$  does not represent an  
10 actual depth to the base of the mechanical lithosphere, its spatial variations reflect  
11 relative lateral variations in lithospheric mechanical thickness (see McNutt, 1984). Thus  
12 it can be used to understand the relationship between lithospheric rheology and  
13 geodynamic evolution of complex tectonic settings.

14            $T_e$  primarily depends on the thickness and structure of the crust, the composition  
15 of the crust and the lithospheric mantle, the degree of their coupling, the thermal state of  
16 the lithosphere, the state of stress, plate curvature, and the presence of melts, fluids and  
17 faults (e.g., Lowry and Smith, 1995; Burov and Diament, 1995; Lowry et al., 2000;  
18 Watts, 2001; Artemieva, 2011). The oceanic lithosphere generally behaves like a single  
19 mechanical layer due to the thin crust, which is usually coupled to the lithospheric  
20 mantle, and  $T_e$  is to first order controlled by the thermal age of the lithosphere at the  
21 time of loading (Watts, 2001; Kalnins and Watts, 2009). By contrast, the thermal state  
22 and rheological behaviour of the lithosphere in continental areas are largely a  
23 consequence of local conditions (e.g., Ranalli, 1997; Afonso and Ranalli, 2004;  
24 Bürgmann and Dresen, 2008; Hasterok and Chapman, 2011; Mareschal and Jaupart,

2013), such that there is a complex relationship between  $T_e$  and its controlling parameters (Watts and Burov, 2003; Burov and Watts, 2006; Burov, 2011).

In this study we present, for the first time, high-resolution maps of spatial variations of  $T_e$  in Central America and surrounding regions from the analysis of the Bouguer coherence using both multitaper and wavelet methods. The Central America-Caribbean region is characterized by the interaction of six lithospheric plates (Fig. 1). The Caribbean plate moves eastward relative to its two neighboring plates, North and South America plates (DeMets et al., 2010), and its perimeter is characterized by a high variability and complexity of geodynamic and tectonic processes (e.g., Sykes et al., 1982; Ross and Scotese, 1988). Therefore, this area represents a good natural laboratory to study the spatial variations of  $T_e$ , test the response of spectral methods to different factors and geodynamic conditions, and examine relationships between surface deformation, lithospheric structure and mantle dynamics.

In the following sections we first introduce the methodology and data employed for estimating  $T_e$ . We then present our results and compare them to previous estimates of  $T_e$  in the study area. Finally, we examine the relationships between  $T_e$  with other proxies for lithospheric and sub-lithospheric structure to improve our knowledge of the long-term rheology and mechanical behaviour of the lithosphere in the study area. We also discuss how the lithospheric structure derived from our  $T_e$  analysis relates to surface deformation.

## 2. $T_e$ estimation by spectral methods

To estimate the effective elastic thickness we calculate the coherence function relating the topography and Bouguer anomaly, commonly known as Bouguer

1 coherence, using multitaper and wavelet methods. This function gives information on  
2 the wavelength band over which topography and Bouguer anomaly are correlated. In the  
3 coherence deconvolution method of Forsyth (1985),  $T_e$  is estimated by comparing the  
4 observed coherence curve with coherence functions predicted for a range of  $T_e$  values.  
5 For each given  $T_e$ , we calculate via deconvolution the initial surface and subsurface  
6 loads and compensating deflections that generate a predicted topography and gravity  
7 that best fit the observed topography and gravity anomaly, and a predicted coherence  
8 that best fits the observed coherence (Forsyth, 1985). The  $T_e$  value that minimizes the  
9 differences between the predicted and observed quantities is the optimal one for the  
10 analysed area. The Bouguer coherence generally tends to zero at short wavelengths,  
11 where the topography is not compensated and loads are supported predominantly by the  
12 elastic strength of the lithosphere (Forsyth, 1985). At long wavelengths, the response to  
13 loading approaches the Airy limit and the coherence tends to one. The wavelengths at  
14 which the coherence rapidly increases from 0 to 1 depend on the effective elastic  
15 thickness of the lithosphere, such that when the lithosphere is weak and  $T_e$  is small,  
16 local compensation for loading occurs at relatively shorter wavelengths and vice versa.

17 In this Section we describe briefly the methodology and data employed to  
18 estimate  $T_e$ . For an extensive description of the methods, choice of parameters and  
19 biases in  $T_e$  estimation, see Supplementary Material associated with the online version  
20 of this article.

21

### 22 *2.1. Multitaper method*

23 To recover spatial variations in  $T_e$  we divide the analysis area into overlapping  
24 windows, such that in each window the coherence is calculated and inverted assuming a

1 spatially constant  $T_e$ , moving the centre of each window 50 km for each new estimate.  
2 Calculation of the observed and predicted coherence involves transformation into the  
3 Fourier domain of the topography and Bouguer gravity anomaly to estimate their auto-  
4 and cross-power spectra. Because both data sets are non-periodic and finite, the Fourier  
5 transformation presents problems of frequency leakage (Thomson, 1982; Simons et al.,  
6 2000), resulting in estimated spectra that differ from the true spectra. To reduce leakage,  
7 the data are first multiplied by a set of orthogonal tapers in the space domain, the  
8 Fourier transform of the data-taper product taken for each taper, and the power spectrum  
9 determined at each taper. The final estimate of the signal's true power spectrum is then  
10 the weighted average of the individual power spectra over all tapers. However, the  
11 choice of taper influences the resulting power spectra and hence the coherence function  
12 (Pérez-Gussinyé et al., 2007, 2008). The set of orthogonal tapers is defined by the  
13 bandwidth product  $NW$  that controls the wavelength resolution and spectral leakage  
14 (where  $N$  is the number of samples within the data window and  $W$  is the half bandwidth  
15 of the central lobe of the power spectral density of the first-order taper) and by the  
16 number of tapers  $K$  that governs the estimation variance (see Simons et al., 2000). The  
17 choice of bandwidth parameter  $NW$  in the multitaper technique is important. As the  
18 bandwidth increases, the resolution (i.e. the minimum separation in wave number  
19 between approximately uncorrelated spectral estimates) decreases (Walden et al., 1995).  
20 For a given bandwidth,  $W$ , there are up to  $K = 2NW-1$  tapers with good leakage  
21 properties (Percival and Walden, 1993). The variance of the spectral estimates decreases  
22 with the number of tapers as  $1/K$ , so the bandwidth and resolution are chosen depending  
23 on the individual function under analysis (Percival and Walden, 1993). In this study we  
24 apply the multitaper method using  $NW = 3$  and  $K = 3$ , which are also used in several

1 recent studies for  $T_e$  estimation (see e.g. Daly et al., 2004; Audet et al., 2007; Pérez-  
2 Gussinyé et al., 2009a; Kirby and Swain, 2011).

3         The effect of calculating  $T_e$  within a finite-size window is to limit the maximum  
4 wavelength of the gravity and topography that can be recovered. The choice of window  
5 size is critical in the multitaper estimation of  $T_e$  because it compromises the trade-off  
6 between resolution and variance of the estimates (Pérez-Gussinyé et al., 2004; Audet et  
7 al., 2007), such that large windows are better able to retrieve high  $T_e$  but degrade the  
8 spatial resolution and potentially merge tectonic provinces, while small windows  
9 provide high spatial resolution and analyse perhaps just one province but cannot resolve  
10 long flexural wavelengths. As the resulting  $T_e$  estimate depends on window size, we use  
11 three different window sizes (400 x 400 km, 600 x 600 km and 800 x 800 km,  
12 respectively) to obtain high spatial resolution and at the same time recover potentially  
13 high  $T_e$ . Finally, the  $T_e$  results estimated from three different window sizes are merged  
14 to obtain the final  $T_e$  map. This is done by calculating a weighted average of the  $T_e$   
15 estimated from each of the three windows following the approach of Pérez-Gussinyé et  
16 al. (2009b). This approach combines the information content regarding abrupt  $T_e$   
17 gradients recovered by small windows and the more reliable information on high  $T_e$   
18 recovered by the larger windows.

19

## 20 *2.2. Wavelet method*

21         The wavelet method convolves a range of scaled wavelets with the whole data  
22 set to map and invert the coherence at each grid point, and achieves good wavenumber  
23 resolution over long length scales and good spatial resolution over short length scales.  
24 Here we employ a Morlet wavelet of high spatial resolution in the fan wavelet transform



1 (Kirby and Swain, 2011). The value of the central wavenumber of the Morlet wavelet,  
 2 denoted by  $|\mathbf{k}_0|$ , governs the resolution of the wavelet in the space and wavenumber  
 3 domains. Larger values of  $|\mathbf{k}_0|$  give better wavenumber resolution but poorer spatial  
 4 resolution, and vice versa for smaller values (Addison, 2002). The choice of the value of  
 5  $|\mathbf{k}_0|$ , described in Kirby and Swain (2011), is governed by the amplitude of the first  
 6 sidelobes of the simple wavelet. If this amplitude is a fraction  $1/p$  ( $p > 1$ ) of the  
 7 amplitude of the central peak of the real part of the space-domain wavelet, then  $|\mathbf{k}_0|$   
 8  $= \pi\sqrt{2/\ln p}$ . The  $|\mathbf{k}_0|$  value used in this study is 2.668, which give a space-domain  
 9 wavelet whose first sidelobes is 1/16 of the magnitude of the central amplitude (Kirby  
 10 and Swain, 2011).

11 To recover  $T_e$ , the Bouguer gravity anomaly and topography are mirrored about  
 12 their edges prior to Fourier transformation, which, when used with the wavelet  
 13 transform does not generally bias the results significantly, as it can with the  
 14 periodogram method (Kirby and Swain, 2008). The wavelet transform is then applied to  
 15 both datasets to calculate the auto and cross-spectra at different azimuths and scales. We  
 16 follow Kirby and Swain (2009) and invert the square of the real part of the wavelet  
 17 coherency (SRC), rather than the coherence, because it is less sensitive to correlations  
 18 between the initial loads on the plate and to “gravitational noise”, both of which can  
 19 cause incorrect recovery of  $T_e$  (Kirby and Swain, 2009, 2011).

20

### 21 *2.3. Regional topography, gravity and crustal structure*

22 The elevation data used in our analysis are obtained from the ETOPO1 digital  
 23 elevation model, a 1 arc-minute global relief model of Earth's surface that integrates  
 24 land topography and ocean bathymetry (Amante and Eakins, 2009). Our area contains

1 both continental and oceanic lithosphere, with the latter being subject to an additional  
2 water load. To treat mixed land and marine environments, we adopt the approach of  
3 Stark et al. (2003) and Kirby and Swain (2008). This approach scales ocean bathymetry  
4 ( $h$ ) to an equivalent topography [ $h' = (\rho_c - \rho_w)h / \rho_c$ ] prior to Fourier transformation,  
5 with subsequent application of the land loading deconvolution equations to the entire  
6 data set. Kirby and Swain (2008) showed that although this approach may bias  $T_e$  in  
7 ocean areas, the bias is small. Values of the densities are given in Table 1. The  
8 equivalent topography represents the bathymetry that would be expected if there were  
9 no water present (provided Airy isostasy operates). This allows the loading equations  
10 for a land environment to be used for the whole area, rather than performing two  
11 separate analyses and inversions on land and ocean areas (Perez-Gussinye et al., 2004).

12 The regional free-air gravity anomaly data are taken from the V18 Global  
13 Gravity Anomaly model of Sandwell and Smith (2009), on a  $1' \times 1'$  grid over both land  
14 and ocean. The Bouguer gravity anomaly has been calculated applying the complete  
15 Bouguer correction at regional scales to free-air data using the FA2BOUG code (Fullea  
16 et al., 2008). We calculated terrain corrections using the ETOPO1 digital elevation  
17 model (see above), with a reduction density of  $2670 \text{ kg m}^{-3}$ . The Bouguer gravity  
18 anomaly of the study area obtained following this procedure is shown in Fig. 2.

19 The deconvolution requires detailed information on the internal structure of the  
20 crust and uppermost mantle. To define the internal density profile and lateral variation  
21 of the different interfaces, we use the global crustal model CRUST2.0 (Laske and  
22 Masters, 1997; Bassin et al., 2000; Laske et al., 2000). CRUST2.0 includes three crustal  
23 and two sediment layers, whose 7<sup>th</sup> layer describes the Moho depth. Forsyth's (1985)  
24 original formulation of the predicted coherence assumes that all internal density

1 variations and loading occurs at the Moho. In this study we assumed that internal  
2 loading occurs at the interface between upper and mid-crust. Since the observed  
3 coherence can be reproduced equally well by either low  $T_e$  and shallow loading or a  
4 larger  $T_e$  and deeper loading, there is a trade-off between  $T_e$  and assumed depth of  
5 loading. However, Pérez-Gussinyé and Watts (2005) tested the sensitivity of  $T_e$  to  
6 loading depth in Europe and found that changing the loading depth from the mid-crust  
7 to Moho changed  $T_e$  by  $\sim 5$  km, but the general patterns of variations remained the same  
8 (Pérez-Gussinyé and Watts, 2005; Pérez-Gussinyé et al., 2007). Other constants are  
9 given in Table 1.

10 We project all data sets to a Cartesian coordinate system using the Mercator  
11 projection to mitigate errors arising from the planar treatment of curvilinear coordinates.  
12 The data cover a much larger area than the study area to mitigate boundary effects.

13

### 14 **3. Results**

#### 15 *3.1. Spatial variations of $T_e$*

16 The effective elastic thickness obtained from the multitaper and wavelet  
17 methods are shown in Fig. 3. Fig. 3a shows the final  $T_e$  from multitaper method after  
18 merging results from three different window sizes. Fig. 3b shows  $T_e$  estimated from the  
19 wavelet method with  $|\mathbf{k}_0|=2.668$ . In the following, we present our results and describe  
20 only those  $T_e$  variations present in the results obtained with both multitaper and wavelet  
21 methods.

22 The pattern of  $T_e$  variations in Central America and surrounding regions agrees  
23 well with the tectonic provinces in the area, and it is closely related to major tectonic  
24 boundaries (Fig. 3). The stable platforms of the North and South American plates have

1 relatively high values. Otherwise, both methods give low values over the southern  
2 Cordillera and Baja California areas of North America. A steep  $T_e$  gradient separates  
3 this region from the southerly regions of the Interior Platform, which are characterized  
4 by intermediate to high  $T_e$  values (50-100 km). To the east,  $T_e$  decreases smoothly  
5 towards the Atlantic plain. Over northern South America, we also recover a high  $T_e$   
6 within the stable platform. Both methods give very large values ( $> 90$  km) over the  
7 northern part of the Amazonia craton, where a linear SW-NE trending of much lower  $T_e$   
8 values characterize the eastern part of the Guyana Shield within the rigid cratonic  
9 interior. Northward along the Northern Andes there is an increase of  $T_e$  to intermediate  
10 values at the junction with the boundary between the South American and Caribbean  
11 plates.

12         The northern part of the Maya block shows a linear SW-NE trend of  
13 intermediate to high  $T_e$  values. The Trans-Mexican Volcanic Belt is characterized by  
14 very low  $T_e$  values, which are bounded to the south by a narrower band of relatively  
15 higher  $T_e$ . The Maya-Chortis and Chortis-Chorotega active boundaries (i.e., the  
16 Polochic-Motagua Fault System and Santa Elena shear zone, respectively) show steep  
17 gradients with lower  $T_e$  values than the surrounding regions, such that the interaction  
18 between these blocks has reduced the strength of the lithosphere near their boundaries.  
19 Our results also show a linear NW-SE trending zone of low  $T_e$  associated with the  
20 Central American volcanic arc, probably associated with high heat flow related to  
21 magma transport along the arc (see below). Within the Chortis block, which shows low  
22  $T_e$  values, there are two areas of relatively high  $T_e$  that coincide with the Nicaraguan  
23 depression and the eastern passive margin of this block.

24         Low to intermediate  $T_e$  values characterize the Eastern Pacific Ocean, where

1 both methods give extremely low values (<5 km) along region under intensive  
2 extension and volcanism, e.g., the East Pacific Rise, around the Galapagos hotspot,  
3 Cocos Ridge, Carnegie Ridge and the Cocos-Nazca spreading center, as well as the  
4 Cayman spreading center in the Caribbean Sea. The Eastern Pacific also shows  
5 contrasting patches of high and low  $T_e$ . The Middle American subduction zone is  
6 characterized by a narrower band of high  $T_e$  on the downgoing slab, greater than 30 km,  
7 over the outer rise seaward of the trench. These  $T_e$  values decrease sharply under the  
8 Middle American Trench (MAT) offshore of Central America. In this zone, the  
9 Tehuantepec Ridge (TR) represents a major limit which separates the oceanic  
10 lithosphere into two distinct tectonic regions with a maximum  $T_e$  offset of ~30 km, such  
11 that seaward of the trench show higher  $T_e$  values in the northwest of the TR than to the  
12 southeast of the TR.

13 Over the Gulf of Mexico there is a linear SW-NE trend of intermediate to high  
14  $T_e$  values (20-40 km) associated with old oceanic crust (seafloor ages of ~160-120 Myr;  
15 Müller et al., 2008) which outcrops in this area. Moreover, most of the Caribbean  
16 oceanic domain seems to be uniformly weak. In addition, several patches of  
17 intermediate to high  $T_e$  are also visible in the Colombian and Venezuelan basins.  
18 Westward, the Cocos-Nazca slab window beneath southeastern Costa Rica and  
19 northwestern Panamá is characterized by extremely low  $T_e$  (< 4 km). Finally,  $T_e$   
20 increases to high values clearly delineated along the transform plate boundary between  
21 the South American and Caribbean plates and Lesser Antilles Trench, which connect  
22 northward with the high  $T_e$  values in the Puerto Rico Trench, western North Atlantic  
23 margin and the Bermuda Rise region.

24 Regardless of the technical differences between the two methods, there is a good

1 overall agreement in the relative spatial variations of  $T_e$  recovered from both techniques.  
2 Although absolute  $T_e$  values can vary in both maps, the qualitative  $T_e$  structure and  
3 location of the main  $T_e$  gradients are very similar. The greater discrepancies between  
4 both methods are local spatial variations of  $T_e$  in the stable platforms of the North and  
5 South American plates. Other differences are observed in  $T_e$  values recovered along the  
6 plate boundary between the South American and Caribbean plates, northward of the  
7 Lesser Antilles Trench and Bermuda Rise region. Since this study focuses on spatial  
8 variations of  $T_e$  and its geodynamic implications for Central America and surrounding  
9 regions, we do not discuss here the differences in absolute values between both maps  
10 produced by the methods, and the interested reader can find a more thorough  
11 comparison between the wavelet and multitaper methods in, for example, Daly et al.  
12 (2004), Audet et al. (2007), Pérez-Gussinyé et al. (2007, 2009a), and Kirby and Swain  
13 (2011).

14 As mentioned above, there are several ‘key’ parameters used in the analysis that  
15 lead to small (but perhaps significant) changes in resolution and accuracy of the results  
16 from both methods. Here we follow the approach of Pérez-Gussinyé et al. (2009b) and  
17 Kirby and Swain (2011) to obtain high spatial resolution and at the same time recover  
18 potentially high  $T_e$  from both methods. We have included an extensive description of  
19 the choice of parameters and its influence on the results, as well as the biases in  $T_e$   
20 estimation, in the Supplementary Material. It should also be noted that “gravitational  
21 noise” (McKenzie and Fairhead, 1997; McKenzie, 2003; Kirby and Swain, 2009) does  
22 exist in the study area, which casts doubt upon  $T_e$  values in some regions, especially  
23 where we have recovered very high values; we will return to this issue in the  
24 Discussion.

### 3.2. Comparison with previous $T_e$ estimates

Direct comparison of  $T_e$  values and its variations is possible between our results and the previous study of  $T_e$  from the multitaper method by Lowry and Pérez-Gussinyé (2011) for the western United States, and with  $T_e$  computed by Tassara et al. (2007) and Kirby and Swain (2011) for northern South America using  $|\mathbf{k}_0|=2.668$  and  $|\mathbf{k}_0|=5.336$  wavelets (Supp. Fig. 6 shows our results obtained from wavelet method with  $|\mathbf{k}_0|=5.336$ ). Our results are consistent with these and other previous regional studies of North America (Kirby and Swain, 2009), and South America (Pérez-Gussinyé et al., 2007, 2008, 2009a). This consistency indicates the viability of our results over Central America and surrounding regions.

Regarding the Central America region, there are numerous studies of oceanic  $T_e$  performed for given places (for a compilation see Watts, 2001). For the Middle America Trench, Caldwell and Turcotte (1979) estimated a  $T_e$  of  $18.6\pm 2.2$  km for seafloor age of  $32.5\pm 2.5$  Myr. Meanwhile, McNutt (1984) obtained a  $T_e$  of  $17.5\pm 2.5$  km for  $20\pm 5$  Myr, McAdoo and Martin (1984) a  $T_e$  of  $29.7\pm 2.2$  km for  $20\pm 5$  Myr, and Levitt and Sandwell (1995) a  $T_e$  of  $27.3\pm 10$  km for  $19.9\pm 8$  Myr. Feighner and Richards (1994) studied the Galápagos region using a variety of compensation models, obtaining a  $T_e$  of  $12\pm 2$  km and  $3\pm 3$  km for  $7.5\pm 1$  Myr. McAdoo et al. (1985) obtained a  $T_e$  of  $31.7\pm 5.2$  km for  $80\pm 5$  Myr over the Puerto Rico Trench, and Levitt and Sandwell (1995) a  $T_e$  of  $40.7\pm 5$  km for  $101.6\pm 12$  Myr over the Antilles Trench. Furthermore, in their study Manea et al. (2005) estimated  $T_e$  of the oceanic lithosphere beneath Tehuantepec Ridge by means of an admittance analysis of a set of profiles across this structure. These authors obtained a  $T_e$  of  $\sim 5$ - $10$  km in the NW area of the TR, while in the SE area  $T_e$  is of  $\sim 10$ - $15$  km. As

1 mentioned above, we recover a complex pattern of  $T_e$  associated with the TR, with a  
2 maximum offset of ~30 km.

3 Finally, our results are in a good agreement with previous global studies as in  
4 e.g., Watts et al. (2006), who obtained  $T_e$  estimates from a wide range of submarine  
5 volcanic features in the East Pacific Ocean. Recently, Kalnins (2011) produced a global  
6 map of elastic thickness in the world's oceans, and recovered  $T_e$  at major constructional  
7 volcanic features in our study area, as the Bermuda Rise ( $T_e$  of 15-21 km), Carnegie  
8 Ridge (3-4 km), Cocos Ridge (3-4 km), Galapagos Islands (3-4 km) or Nazca Ridge (4-  
9 5 km). Furthermore, our results are also in a good agreement with the worldwide  $T_e$  map  
10 obtained by Audet and Bürgmann (2011) from the Bouguer coherence using the  
11 continuous wavelet transform, and with  $T_e$  results of Tesauro et al. (2012) from a  
12 rheological approach based on the lithospheric strength distribution, although our results  
13 have higher resolution due to the regional nature of the present work.

14

## 15 **4. Discussion**

### 16 *4.1. $T_e$ , surface heat flow and thermal age*

17 Due to the dependence of lithosphere strength on temperature,  $T_e$  should show  
18 an inverse correlation with heat flow (McNutt, 1984; Lowry and Smith, 1995): higher  
19 surface heat flow implies higher lithospheric temperatures and hence lower lithospheric  
20 strength. Several studies examining the dependence of the strength of the lithosphere on  
21 the temperature structure (e.g., Watts and Burov, 2003; Afonso and Ranalli, 2004;  
22 Burov and Watts, 2006), found that there is not a simple relation between  $T_e$  and surface  
23 heat flow for continental areas, due to local differences in crustal structure and  
24 composition (which implies differences in radioactive heat production and thermal and



1 rheological properties of the rocks) and lithosphere flexure (which affects the vertical  
2 distribution of elastic stresses). Otherwise, the situation is relatively simpler for oceanic  
3 areas, because oceanic crust is thinner and comparatively devoid of radioactive  
4 elements, implying that the strength of the lithosphere is mostly controlled by the  
5 cooling history (i.e., thermal age) of the oceanic lithosphere, although flexural effects  
6 can be important.

7 Fig. 4a shows the regional surface heat flow in the study area from the updated  
8 global heat flow database of the International Heat Flow Commission (Hasterok, 2010).  
9 Despite some uncertainties, there is a relatively good (inverse) correlation between  
10 surface heat flow and  $T_e$  values in Central America and surrounding regions. Low  $T_e$   
11 values observed in the southern Cordillera and Baja California are associated with  
12 relatively high heat flow. Similarly, high  $T_e$  values recovered for southern regions of the  
13 Interior Platform match the observed low heat flow (Fig. 3 and Fig. 4a). By contrast,  
14 there are extensive areas with no measurements, e.g., the northern South America.  
15 Pérez-Gussinyé et al. (2007, 2008) examined the relationship of  $T_e$  to heat flow in South  
16 America, concluding that both parameters correlate well. Our relatively high  $T_e$  values  
17 observed in the northern part of the Maya block and in the southern boundary of the  
18 Trans-Mexican Volcanic Belt are well correlated with the low surface heat flow of these  
19 areas. The Trans-Mexican Volcanic Belt is characterized by intermediate to high heat  
20 flow and low  $T_e$  values. The southern Maya block and northwestern Chortis block,  
21 including the northwestern Central American volcanic arc, are also characterized by  
22 high surface heat flow and low  $T_e$  values. By contrast, the southeastern part of the  
23 Central American volcanic arc and Chorotega block are characterized by low heat flow  
24 and low  $T_e$  values (Fig. 3 and Fig. 4a), such that it's possible that due to the narrow

1 width of the Central American land bridge in this area, the  $T_e$  recovered over the  
2 continent is very influenced by the low  $T_e$  values of the surrounding oceanic regions.

3 As illustrated in Fig. 4a, high heat flow is observed in the Eastern Pacific Ocean  
4 in regions under intensive extension and volcanism, e.g. the East Pacific Rise, around  
5 the Galapagos hotspot, Cocos Ridge, Carnegie Ridge and the Cocos-Nazca spreading  
6 center, as well as over the Cayman spreading center in the Caribbean Sea. This first-  
7 order pattern of surface heat flow variation is in accord with our low  $T_e$  estimates for  
8 these areas (Fig. 3). However, a low heat flow is observed within the Cocos plate where  
9 there is not a clear correlation with the  $T_e$  signature associated to the Middle American  
10 subduction zone (see below). The western Caribbean region shows moderate surface  
11 heat flow, where the Cocos-Nazca slab window beneath Central America, characterized  
12 by extremely low  $T_e$ , does not show a high surface heat flow. Meanwhile the eastern  
13 Caribbean region is characterized by lower values, with several contrasting patches of  
14 high surface heat flow as in the central part of the Hess Escarpment or over the Aves  
15 Ridge associated with the Lesser Antilles.

16 It is commonly accepted that  $T_e$  reflects a fossil lithospheric equilibrium  
17 developed at the time of loading (for a review see Artemieva, 2011). If loading occurs  
18 when the lithosphere is weak and no mass redistribution occurs afterwards, there is no  
19 need for stress to re-equilibrate, and isostatic analyses might yield a low  $T_e$  estimate  
20 even after subsequent cooling and strengthening of the lithosphere, as is the case for  
21 oceanic lithosphere (Pérez-Gussinyé et al., 2009b). Fig. 4b shows the age-area  
22 distribution of the ocean floor from Müller et al. (2008) in the study area. If we compare  
23 our  $T_e$  results with the age of the ocean crust in the study area (Fig. 4c), a direct  
24 relationship between them is not evident. This is consistent with the scatter observed in

1 previous works on other oceanic regions (e.g., Tassara et al., 2007; Kalnins and Watts,  
2 2009). Watts (2001) notes that the load age, which is not necessarily the same of the  
3 crust age, would explain much of this scatter. Other contributing sources could be  
4 uncertainties in load, infill and mantle densities, thermal perturbations due to hot and  
5 cold spots (e.g., Tassara et al., 2007), viscoelastic stress relaxation (Watts and Zhong,  
6 2000), yielding in regions of large loads and high curvature (McNutt and Menard,  
7 1982), or spatial variations in the controlling isotherms that determine  $T_e$  (Kalnins and  
8 Watts, 2009).

9         Otherwise, as stated above, the thermal state and rheological behaviour of the  
10 lithosphere in continental areas are largely a consequence of local conditions, such that  
11 there is a complex relationship between  $T_e$  and the age of the continental lithosphere.  
12 Surface processes of erosion and deposition constantly redistribute continental surface  
13 mass loads. In any case, the high  $T_e$  values recovered here for the stable platforms of the  
14 North and South American plates (see Fig. 3) are consistent with previous studies for  
15 these regions (Tassara et al., 2007; Pérez-Gussinyé et al., 2007, 2009a; Kirby and  
16 Swain, 2009, 2011).

17

#### 18 *4.2. Loading of the lithosphere*

19         A fundamental assumption of the load deconvolution method developed by  
20 Forsyth (1985) is that surface and subsurface loads are statistically uncorrelated. In  
21 many cases, however, surface and subsurface loading are likely to be tectonically  
22 related processes and, therefore, spatially correlated (Forsyth, 1985). Subsurface loads  
23 include mafic intrusions, accreted lower crustal material, thermal anomalies and  
24 compositional variations, which cause lateral variations of density at depth and may

1 have a strong influence on  $T_e$  estimates (Stark et al., 2003). Meanwhile, surface loading  
2 is caused by topography and large-scale variations in surface density (e.g., mountains  
3 and sedimentary basin). Macario et al. (1995) showed that when the degree of  
4 correlation of initial surface and subsurface loading increases, the  $T_e$  values estimated  
5 using Forsyth's (1985) deconvolution method can be biased downward. Furthermore,  
6 erosion and sedimentation may play an important role in modifying the relationship  
7 between surface topography and subsurface density anomalies (e.g., Forsyth, 1985;  
8 McKenzie and Fairhead, 1997). Both processes can reduce the landscape to a perfectly  
9 flat surface, removing the topographic expression of subsurface loads. The presence of  
10 topographically unexpressed internal loading, known as “noise” or “gravitational noise”  
11 (McKenzie and Fairhead, 1997; McKenzie, 2003; Kirby and Swain, 2009), biases the  $T_e$   
12 upward. As pointed out by Kirby and Swain (2009), this problem can occur in regions  
13 of subdued topography, and predominantly affects areas where the coherence method  
14 indicates high  $T_e$  (see Suppl. Fig. 7).

15         The load deconvolution wavelet method can also estimate the ratio between the  
16 initial internal and surface load amplitudes (the loading ratio,  $f$ ; Forsyth, 1985). We can  
17 display the loading ratio results in terms of the  $F$  parameter, the internal load fraction  
18 (McKenzie, 2003), where purely surface loading gives  $F = 0$ , purely internal loading  
19 gives  $F = 1$ , while equal surface and internal loading gives  $F = 0.5$  (see Supplementary  
20 Material for an extended explanation). Fig. 5a shows best fitting  $F$  values corresponding  
21 to the  $T_e$  recovered from the wavelet method (Fig. 3b). For North America, our results  
22 are consistent with Kirby and Swain's (2009)  $F$  results, which show that subsurface  
23 loading has dominated continental tectonics, or at least been equal in magnitude to  
24 surface loading, in North America. Our results are also consistent with the pattern of the

1 flexural loading ratio,  $f_F$ , obtained by Tassara et al. (2007) in northern South America,  
2 which suggest that below the north-eastern limit of the Amazonia craton there are strong  
3 lateral variations in density not compensated by surface topography. Subsurface loading  
4 dominates along the East Pacific Rise, around the Galapagos hotspot, Cocos Ridge,  
5 Carnegie Ridge and the Cocos-Nazca spreading center (Fig. 5a). In this zone, the  
6 Tehuantepec Ridge represents, again, a major limit which separates the oceanic  
7 lithosphere into two distinct load regions, such that the northwest TR is dominated by  
8 surface loading while the southeast TR is dominated by internal loads. The Caribbean  
9 region is characterized by all range of  $F$  values. Higher  $F$  values are observed over the  
10 eastern Cayman spreading center, the Caribbean Large Igneous Province, the Cocos-  
11 Nazca slab window beneath Central America, the Aves Ridge, and along the Lesser  
12 Antilles Trench and east North American margin.

13 Fig. 5b shows seafloor sediment thickness (Divins, 2003; Whittaker et al., 2013)  
14 in the study area. Large amount of sediments are located on the Gulf of Mexico basin,  
15 along of the western North Atlantic margin, the Colombian, Venezuelan and Grenada  
16 basins, and on the Barbados Accretionary Complex associated to the Lesser Antilles. In  
17 many cases sediment thickness exceeds 10 km. However, most of the Caribbean  
18 lithosphere, where both methods recovered low  $T_e$  values (see Fig. 3), seems to be  
19 uniformly weak, suggesting that the effect of the sediments on  $T_e$  estimates is very  
20 small. The continental shelf of the Gulf of Mexico, which shows a smooth surface, is  
21 characterized by moderate to high  $T_e$  values (between 40-50 km; see Fig. 3) and low  $F$   
22 values, with surface loads dominating. Seaward, high  $T_e$  values are recovered for the  
23 Mississippi delta system (Fig. 3). Southwestward in the Gulf of Mexico, over the old  
24 oceanic crust which outcrops in this area, both methods recover a linear SW-NE

1 trending of intermediate to high  $T_e$  values associated with a high  $F$  value. The  
2 Venezuelan basin also shows high  $T_e$  values associated with a subsurface loading  
3 domain, possibly related to the oceanic basement underneath the Caribbean Large  
4 Igneous Province. Müller et al. (2008) found prominent negative residual basement  
5 depth anomalies (in a range between 750 and 1500 m) associated with the Gulf of  
6 Mexico, northeast of Venezuela, and off the east coast of North America, which may be  
7 related to subducted slab material descending in the mantle or to asthenospheric flow.  
8 In the case of the Colombian basin, the presence of sediments on the continental shelf  
9 may play a major role on the estimation of  $T_e$  (~40 km; Fig. 3 and Fig. 5b).  
10 Interestingly, the presently active Nicaraguan depression, which experienced significant  
11 extension in the Cenozoic, shows intermediate  $T_e$  values (~25 km) and a  $F$  value of 0.5,  
12 such that it is possible that in this case  $T_e$  values are overestimated due to the effect  
13 associated with its sediment fill (see Suppl. Fig. 7).

14

#### 15 *4.3. The Middle American and Lesser Antilles subduction zones*

16 The results over the Middle American and Lesser Antilles subduction zones  
17 should be interpreted with caution. As exposed in the Results Section, the Middle  
18 American subduction zone is characterized by a narrower band of high  $T_e$  on the  
19 downgoing slab seaward of the trench (Fig. 3). These  $T_e$  values decrease sharply under  
20 the MAT offshore of Central America, indicating a substantial degree of weakening  
21 within the downgoing plate due to the flexure of the lithosphere (see McNutt and  
22 Menard, 1982; Judge and McNutt, 1991; Billen and Gurnis, 2005; Contreras-Reyes and  
23 Osses, 2010). In fact, the bathymetry of the MAT offshore of Central America shows a  
24 complex response of the crust to the subduction process, with widespread outer-rise

1 normal faulting subparallel to the trench axis due to the plate bending, increasing in  
2 number and offset where the bending is more pronounced (Ranero et al., 2003, 2005;  
3 Harders et al. 2011; Manea et al., 2013). This high  $T_e$  signature is very evident (broader  
4 and even exceeding 50 km at the Lesser Antilles Trench; see Fig. 3), and can also be  
5 observed in other subduction zones, as e.g. along the Peru-Chile Trench (Tassara et al.,  
6 2007; Pérez-Gussinyé et al., 2009a; Kirby and Swain, 2011), or over the Japan, Izu-  
7 Bonin, and Mariana trenches and at the northernmost tip of the Tonga-Kermadec trench  
8 (Kalnins and Watts, 2009).

9         Subsurface loads, such as those due to a dense downgoing slab, should be taken  
10 into account when interpreting the results over subduction zones (Kalnins and Watts,  
11 2009). We have found that the high  $T_e$  values over the Middle American and Lesser  
12 Antilles subduction zones are dominated by internal loads (and in the case of the  
13 southernmost tip of the LAT also associated with a large amount of sediments; see Fig.  
14 5), where the corresponding noise levels are high (see Suppl. Fig. 7) and thus, these  
15 results may be biased upward. If  $T_e$  over the Middle American subduction zone is  
16 actually low (at least relatively), it would be in accordance with they reflecting a  
17 “frozen in” signal which is not affected in this zone by subsequent cooling and  
18 strengthening of the oceanic lithosphere. Interestingly, this is not the case of the Lesser  
19 Antilles subduction zone, where the high  $T_e$  values are free of noise (excepting the  
20 southernmost tip of the LAT associated with a large amount of sediments; see Fig.5b  
21 and Suppl. Fig. 7), and persist in all our results from different window sizes and  $|\mathbf{k}_0|$  in  
22 both multitaper and wavelet methods, respectively (see Fig.3 and Supp. Fig. 1 and 6).  
23 This is in accordance with the strength of the oceanic lithosphere is being in this case  
24 controlled by the thermal age of the lithosphere at the time of loading (Watts, 2001;

1 Kalnins and Watts, 2009), such that  $T_e$  values increase with cooling of the oceanic  
2 lithosphere away from the ridge. Furthermore, it should be noted that the results over  
3 the Middle American and Lesser Antilles subduction zones, especially in relation to the  
4 high gradient that limits these bands of higher  $T_e$ , are highly dependent on the choice of  
5 spectral parameters in both multitaper and wavelet methods (see Fig.3 and Supp. Fig. 1  
6 and 6).

7

#### 8 *4.4. $T_e$ and seismicity*

9         The magnitude and spatial variations of  $T_e$  could control the degree, style and  
10 localization of deformation in response to long-term tectonic loads, and potentially the  
11 distribution of seismic activity (e.g., Lowry and Smith, 1995; Tassara et al., 2007; Audet  
12 and Bürgmann, 2011; Chen et al., 2013). The seismotectonics of the circum-Caribbean  
13 area is complex, and essentially related to plate boundaries, with intraplate activity  
14 being very scarce (Fig. 6a). Comparison of the spatial variation of  $T_e$  with the shallow  
15 (< 50 km deep) earthquake distribution indicates that most of the seismic activity is  
16 located in regions with low  $T_e$  or steep  $T_e$  gradient, while the lack of seismicity in stable  
17 tectonic provinces characterized by high  $T_e$  values is evident (Fig. 6a). As illustrated in  
18 Fig. 6b, shallow earthquakes are very frequent in regions with low  $T_e$  (< 20 km), and are  
19 relatively scarce in regions with higher values. This suggests that the stronger  
20 lithosphere resists deformation and transfers the stress effectively, while the weak  
21 lithosphere and areas with steep change of  $T_e$  are prone to accumulate and then release  
22 tectonic stresses causing earthquakes (Mao et al., 2012; Chen et al., 2013).

23

24



## 1 **5. Conclusions**

2           We have used two different spectral methods (multitaper and wavelet) to  
3 calculate the coherence between the Bouguer gravity anomaly and the topography in  
4 order to estimate the spatial variations in effective elastic thickness in Central America  
5 and surrounding regions. We have generated, for the first time, high-resolution maps of  
6 spatial variations of  $T_e$  for this region. Regardless of the technical differences between  
7 the two methods, there is a good overall agreement in the spatial variations of  $T_e$   
8 recovered from both methods. Although absolute  $T_e$  values can vary in both maps, the  
9 qualitative  $T_e$  structure and location of the main  $T_e$  gradients are very similar, such that  
10 estimation of  $T_e$  is relatively insensitive to the choice of spectral estimator.

11           The pattern of the  $T_e$  variations in Central America and surrounding regions  
12 agrees well with the tectonic provinces in the region, and it is closely related to major  
13 tectonic boundaries. There is a relatively good correlation, despite some uncertainties,  
14 between surface heat flow and our  $T_e$  results. These results suggest that although this  
15 area is geologically complex, the thermal state of the lithosphere has profound influence  
16 on its strength, such that  $T_e$  is strongly governed by thermal structure. Otherwise, in  
17 general there is not a direct relationship between  $T_e$  and the age of the ocean crust in of  
18 our study area (contrary to that expected if  $T_e$  is exclusively controlled by the thermal  
19 structure of the oceanic lithosphere), which could be explained for other factors, maybe  
20 mainly differences in age loading.

21           The Middle American and Lesser Antilles subduction zones are characterized by  
22 a band of high  $T_e$  on the downgoing slab seaward of the trenches. These high  $T_e$  values  
23 are related to internal loads (and in the case of the southernmost tip of the LAT also  
24 associated with a large amount of sediments); showing high noise levels and they may

1 be biased upward. Thus, the results over subduction zones should be interpreted with  
2 caution, and warrant further analysis.

3 Finally, future research should also evaluate the relationship between  $T_e$ , as well  
4 as its anisotropy, Moho structure and mantle structure within a geodynamical  
5 perspective, in order to improve our understanding on the evolution of the Caribbean  
6 plate.

7

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20

### 21 **Appendix A. Supporting information**

22 Supplementary material associated with this article can be found in the online version at  
23 <http://>

24

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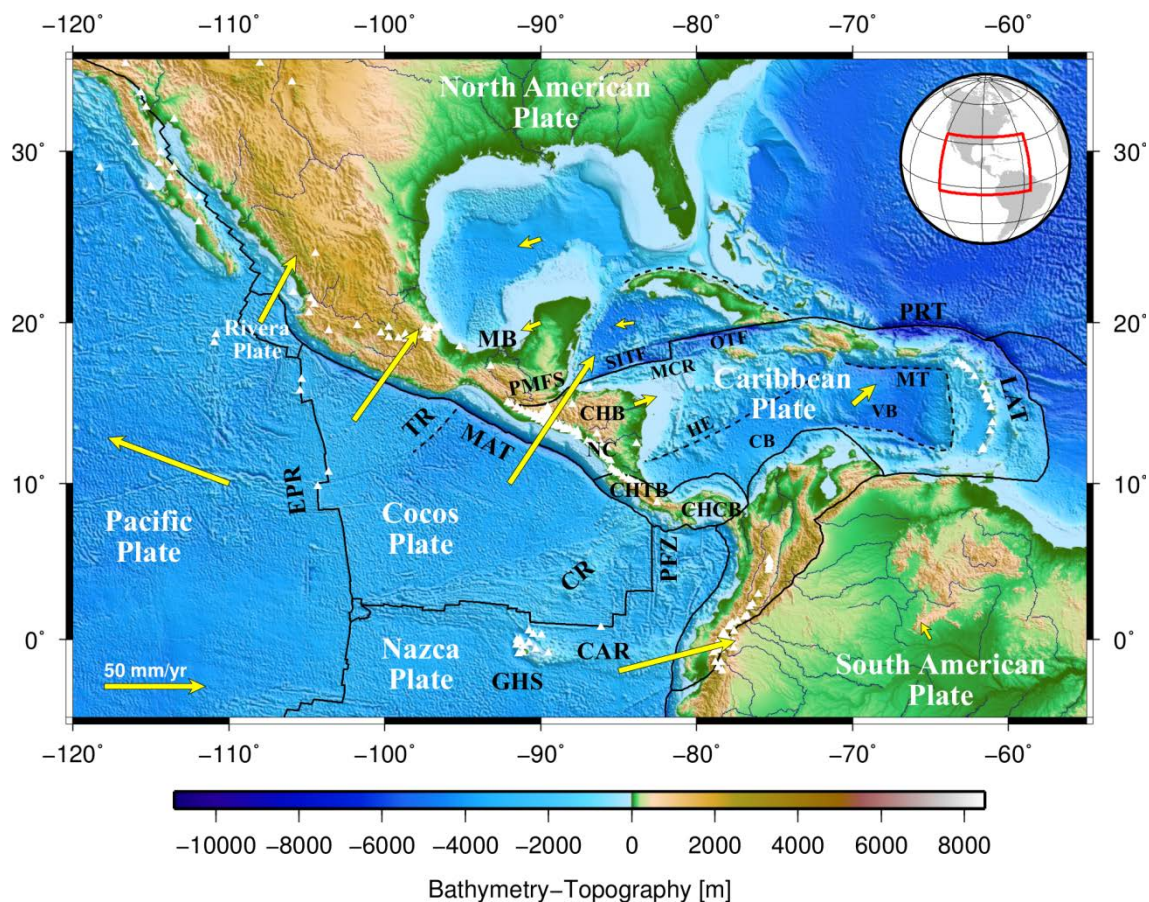
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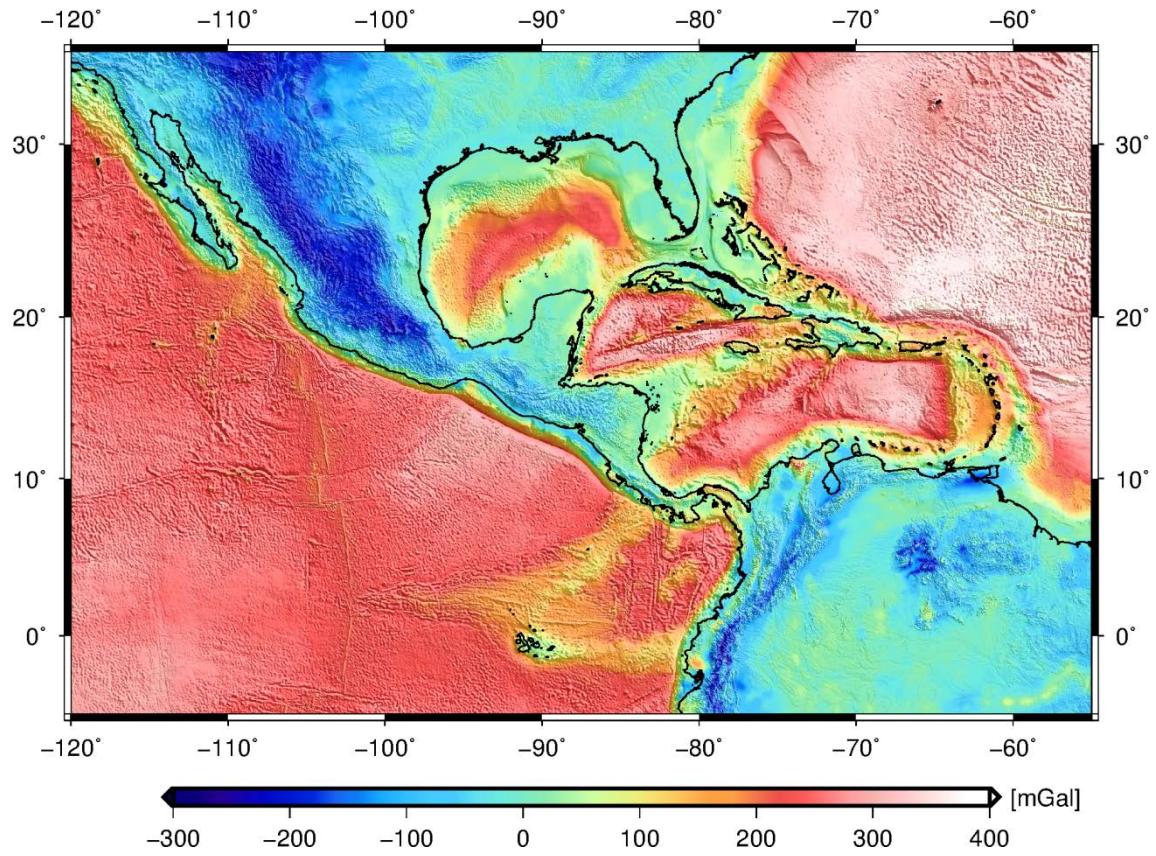
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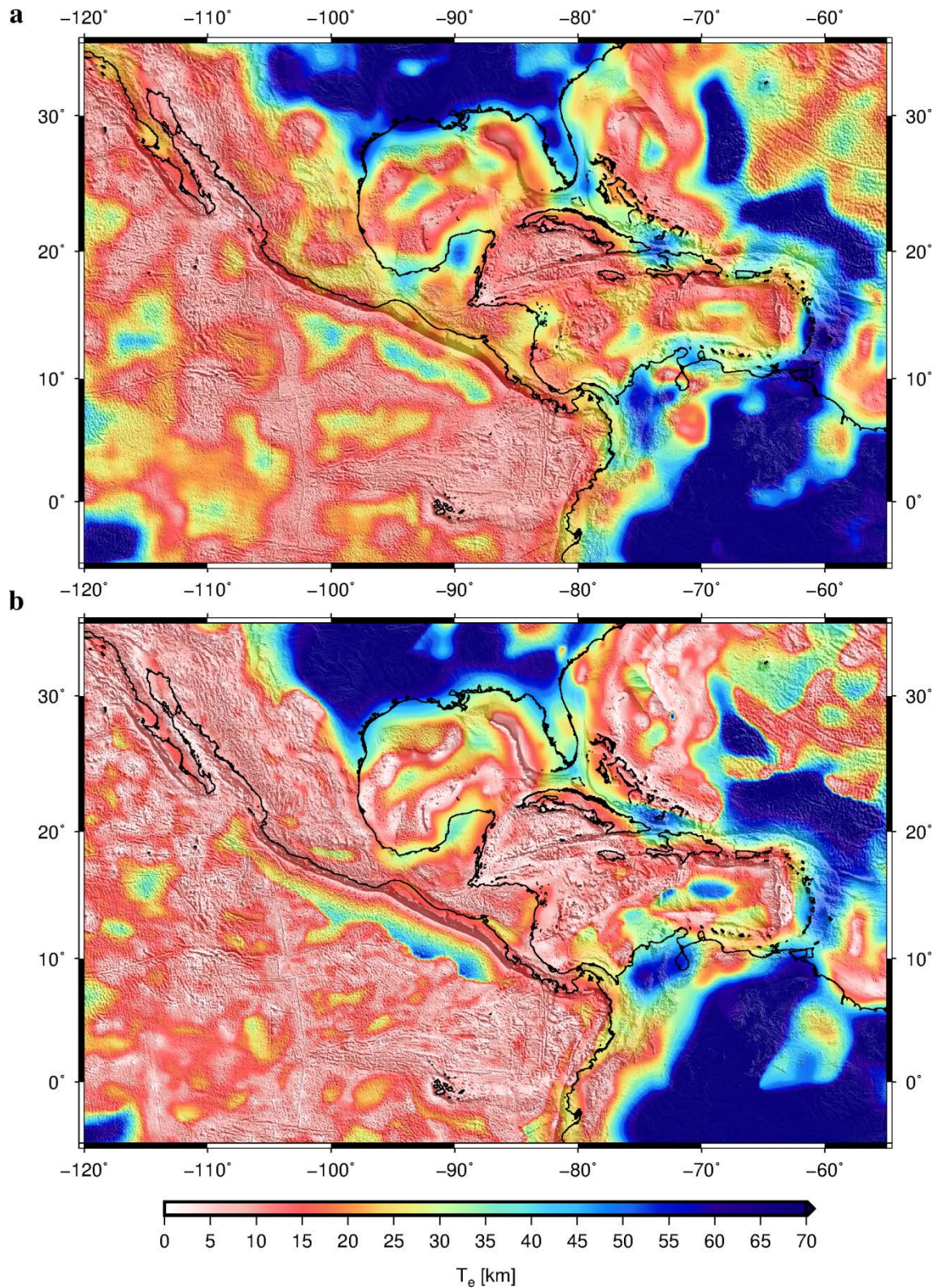


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 2 **Figure 1.** Geotectonic setting of Central America and surrounding regions. Shaded  
 3 relief image of bathymetry and topography is from ETOPO1 digital data (Amante and  
 4 Eakins, 2009), and boundaries of lithospheric plates are based on the PB2002 model  
 5 (Bird, 2003). Yellow arrows denote vectors of the plate motion from the MORVEL  
 6 model (DeMets et al., 2010) with respect to the NNR reference frame as calculated at  
 7 the given position with the Plate Motion Calculator  
 8 ([http://www.unavco.org/community\\_science/science-](http://www.unavco.org/community_science/science-support/crustal_motion/dxdt/model.html)  
 9 [support/crustal\\_motion/dxdt/model.html](http://www.unavco.org/community_science/science-support/crustal_motion/dxdt/model.html)). Triangles show the position of Holocene  
 10 volcanoes (Siebert and Simkin, 2002). Abbreviations: CAR, Carnegie Ridge; CB,  
 11 Colombian Basin; CHB, Chortis Block; CHCB, Chocó Block; CHTB, Chorotega Block;  
 12 CR, Cocos Ridge; EPR, East Pacific Rise; GHS, Galápagos Hotspot; HE, Hess  
 13 Escarpment; LAT, Lesser Antilles Trench; MAT, Middle America Trench; MB, Maya

- 1 Block; MCR, Mid-Cayman Rise; MT, Muertos Trough; ND, Nicaraguan Depression;
- 2 OTF, Oriente Transform Fault; PFZ, Panamá Fracture Zone; PMFS, Polochic-Motagua
- 3 Fault System; PRT, Puerto Rico Trench; SITF, Swan Island Transform Fault; TR,
- 4 Tehuantepec Ridge; VB, Venezuelan Basin.
- 5



**Figure 2.** Bouguer gravity anomaly used for the analysis. Topography shaded relief superimposed.



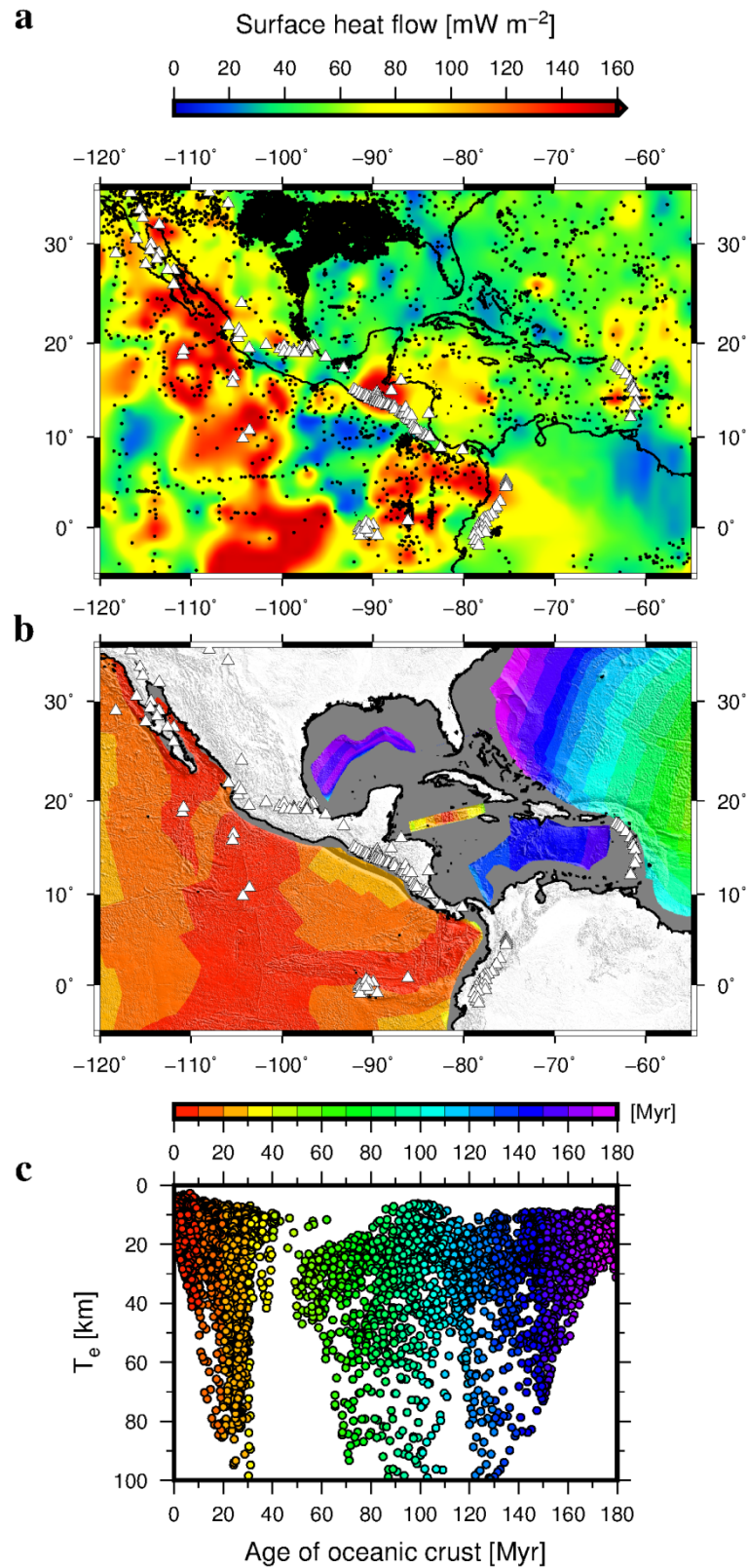
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2 **Figure 3.** Effective elastic thickness,  $T_e$ , over Central America and surrounding regions

3 from: (a) multitaper method, and (b) wavelet method. In both images, topography

1 shaded relief is superimposed. Fig. 3a shows final  $T_e$  after merging the results from the  
2 three different window sizes (see Section 2 and Supp. Fig. 1). The final  $T_e$  structure  
3 retains the primary characteristics of the largest window, but it better resolves the sharp  
4 gradient between low and high  $T_e$  areas and the short wavelength variations in  $T_e$ . Fig.  
5 3b shows  $T_e$  estimated from the wavelet method with  $|\mathbf{k}_0|=2.668$ .  
6



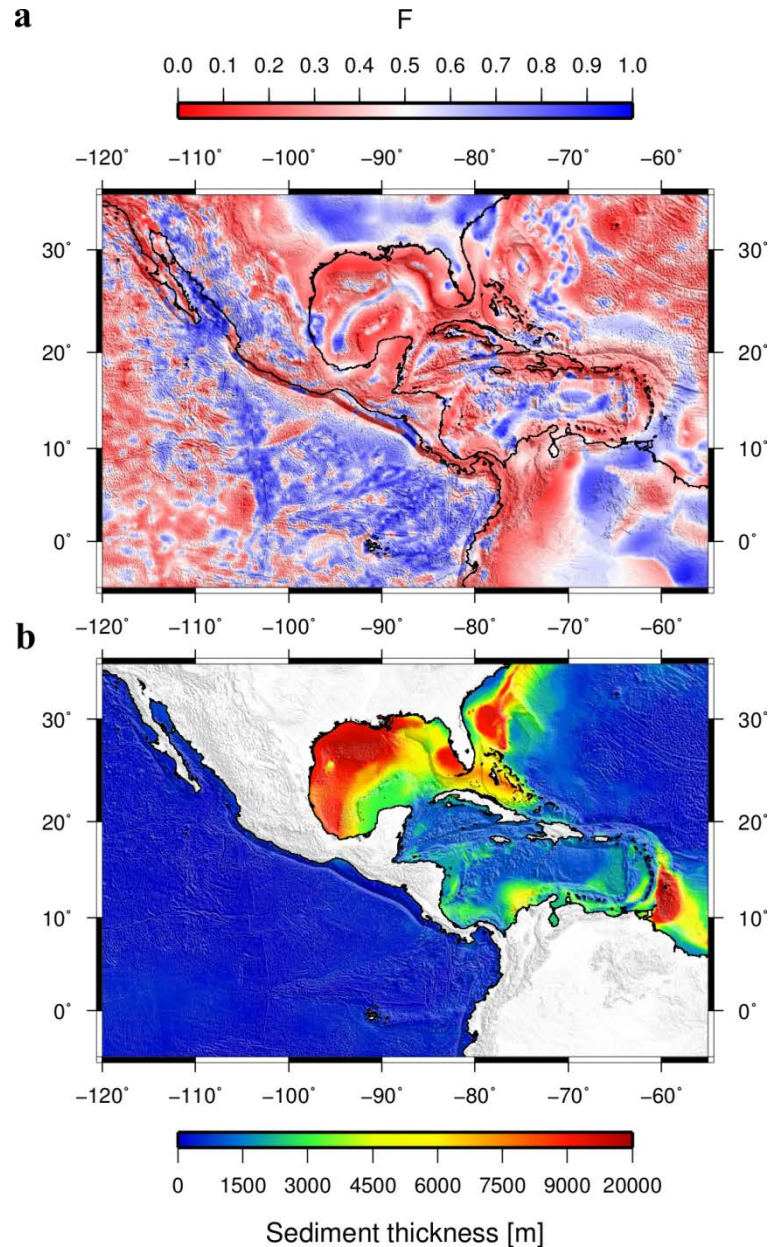


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2 **Figure 4.** (a) Regional surface heat flow from the updated global heat flow database of

3 the International Heat Flow Commission (Hasterok, 2010). Black circles indicate

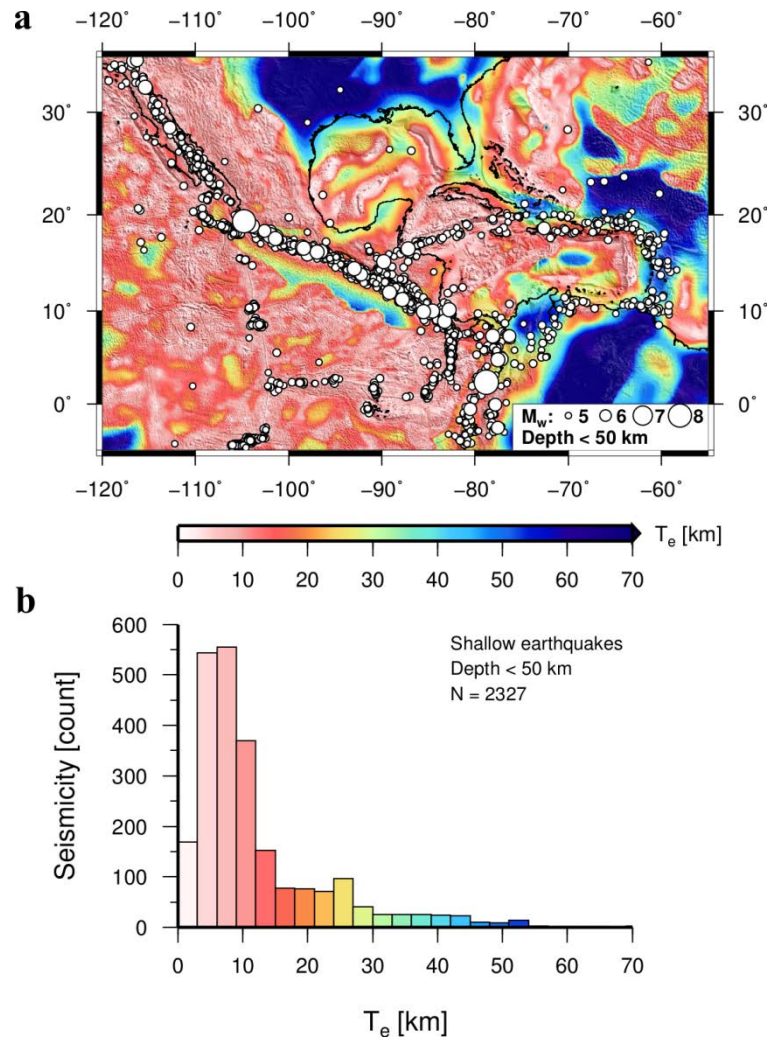
- 1 measurement sites. White triangles show the position of Holocene volcanoes (Siebert
- 2 and Simkin, 2002). (b) Age-area distribution of ocean floor from Müller et al. (2008).
- 3 (c) Effective elastic thickness,  $T_e$ , from the multitaper method versus age of the oceanic
- 4 crust (Müller et al., 2008).
- 5



1

2 **Figure 5.** (a) Loading ratio ( $F$ ) corresponding to the  $T_e$  obtained from the wavelet  
 3 method (Fig. 3b). (b) Sediment thickness (metres) on the seafloor in the study area from  
 4 the 5 arc-minute digital total-sediment-thickness database for the world's oceans and  
 5 marginal seas (Divins, 2003; Whittaker et al., 2013).

6



1

2 **Figure 6.** (a) Correlation between distribution of the shallow seismicity (depth < 50 km)  
 3 from the Global CMT seismic catalog (Ekström et al., 2012) with  $T_e$  derived from the  
 4 wavelet method. (b) Histogram shows shallow earthquake events versus  $T_e$ .

5