

1 **A lower crust origin for some flood basalts of the Emeishan large igneous province,**  
2 **SW China**

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10

11 **Abstract:** High seismic velocity layers within the lower crust (i.e. ~40 km) of the Yangtze Block are  
12 interpreted as mafic underplated rocks derived from the Emeishan mantle plume. However the region  
13 experienced a previous magmatic event during the Neoproterozoic (~800 Ma) which produced the  
14 Kangdian basalts and associated mafic intrusions. The identification of inherited Neoproterozoic (i.e.  
15 ~750 to ~850 Ma) zircons within Emeishan magmatic rocks indicates they either assimilated older  
16 material during emplacement or that they were derived from Neoproterozoic basement rocks of the  
17 Yangtze Block. Equilibrium partial melt modeling of Neoproterozoic Kangdian basalts can produce  
18 compositions similar to Emeishan basalt at a pressure of 1.2 GPa (i.e. ~40 km depth). The models  
19 indicate that is possible some magmatic rocks, including the flood basalts, of the ELIP are the product  
20 of partial melting of Neoproterozoic mafic rocks that underplated the lower crust of the Yangtze Block.  
21 Thus it is possible that some Emeishan basalts are the product of mafic lower crust recycling.

22

23 **Supplementary material:** The results of MELTS modeling are available at  
24 [www.geolsoc.org.uk/SUPXXX](http://www.geolsoc.org.uk/SUPXXX)

25

26 The Late Permian Emeishan flood basalts of SW China are the most voluminous rock-type of the  
27 Emeishan large igneous province (ELIP) which is one of at least five major eruptions of mafic  
28 continental volcanic rocks that occurred during the Late Palaeozoic (i.e. Tarim LIP, Siberian Traps,  
29 Panjal Traps, Skagerrak-Centred LIP). Like many continental flood basalts they are compositionally  
30 divided into 'high-Ti' and 'low-Ti' groups which are interpreted to reflect different petrological  
31 origins. The 'high-Ti' (i.e.  $\text{TiO}_2 > 2.5$  wt%) basalts are interpreted to be derived by low degrees ( $< 8\%$ )  
32 of partial melting of a mantle plume source whereas the formation of the 'low-Ti' basalts (i.e.  $\text{TiO}_2 <$   
33  $2.5$  wt%) is more complex and are suggested to be derived from the sub-continental lithospheric mantle  
34 (SCLM), or picritic magmas that assimilated upper crust, or the same source as the high-Ti basalts but  
35 merely represent higher degrees (i.e. 10-15%) of partial melting (Xu *et al.* 2001; Song *et al.* 2001,  
36 2004, 2008a, b; Hanski *et al.* 2004; Xiao *et al.* 2004; Hou *et al.* 2006; Wang *et al.* 2007; Fan *et al.*  
37 2008; Zhou *et al.* 2008; Shellnutt & Jahn 2011; Wang *et al.* 2011).

38 The ELIP is considered to be one of the best examples of a mantle plume derived large igneous  
39 province because there is evidence for pre-volcanic uplift, presence of ultramafic volcanic rocks (i.e.  
40 picrites), and short eruption duration of voluminous flood basalts (He *et al.* 2003; Hanski *et al.* 2004;  
41 Ali *et al.* 2005; Campbell 2005, 2007; Shellnutt *et al.* 2012; Shellnutt 2014). One of the most intriguing  
42 interpretations of the ELIP mantle plume model is related to the identification of high seismic velocity  
43 layers within the lower crust of the Yangtze Block beneath the region considered to be the epicenter of  
44 magmatism. The same region is interpreted to have thicker average crust than other regions of the  
45 western Yangtze Block (Xu *et al.* 2004; Xu and He 2007; Chen *et al.* 2010). Xu *et al.* (2004)  
46 interpreted the deep (i.e.  $> 100$  km) high seismic velocity layers to be the fossilized Emeishan mantle  
47 plume head whereas the lower crust (i.e. 40 km to 60 km) high velocity layers are interpreted to be the  
48 underplated mafic and ultramafic rocks which fed the surface flows and shallow crustal intrusions. The  
49 seismic data interpretations coupled with the crustal thickness is a compelling explanation for and is

50 consistent with the expectation of a mantle plume-derived large igneous province. However there is  
51 another equally valid interpretation of the high seismic velocity layers if they represent underplated  
52 mafic rocks.

53         The western margin of the Yangtze Block was the site of either long-lived subduction-related  
54 magmatism or mantle plume-related magmatism during the Neoproterozoic (Li *et al.* 1999; Zhou *et al.*  
55 2002a, b; Zhao & Zhou 2007). The Neoproterozoic (~800 Ma) Kangdian basalts are located at the  
56 western boundary of the Yangtze Block within the Kangdian rift and are found within the same  
57 geographic area as the Emeishan basalts. The basalts and associated mafic dykes and plutonic rocks are  
58 described by Li *et al.* (2002) as being compositionally similar to continental flood basalts from Ethiopia  
59 and/or alkali basalts of Hawaii. In the case of the Kangdian basalts, they are interpreted to be derived  
60 from an OIB-like mantle plume source associated with the break-up of Rodinia whereas similarly aged  
61 granitic rocks and younger (i.e. ~750 Ma) gabbros in the same region are interpreted to be related to an  
62 active continental margin setting (Li *et al.* 2002, 2005, 2006; Zhou *et al.* 2002a, b; Zhou *et al.* 2004;  
63 Lin *et al.* 2007; Zhao & Zhou 2007; Zhao *et al.* 2008; Wang *et al.* 2009; Wang *et al.* 2010). Regardless  
64 of how the Kangdian or other Neoproterozoic rocks formed (i.e. subduction zone setting vs. mantle  
65 plume), it is possible that magmas accumulated in the lower crust of the Yangtze Block and thus the  
66 crustal seismic layers may not be completely attributed to the ELIP. In fact, the seismic layers could  
67 represent a mixture of mafic and ultramafic material from both the Kangdian event and the Emeishan  
68 event.

69         In this paper we show the results of *in situ* zircon U/Pb dating and Hf isotopes of inherited  
70 Neoproterozoic zircons from Late Permian granitic rocks of the ELIP. We discuss the origins of the  
71 older zircons and evaluate the possibility that some ELIP-related magmatic rocks, including the flood  
72 basalts, may be derived by partial melting of rocks similar in composition to the Neoproterozoic  
73 Kangdian basalts.

74

## 75 **Geological Background**

76 The Late Permian Emeishan large igneous province (ELIP) is located in southwestern China on the  
77 western edge of the Yangtze Block near the boundary with the Early Triassic Songpan-Ganze terrane  
78 (Fig. 1a). The distribution of ELIP rocks was affected by faulting associated with the accretion of the  
79 Songpan-Ganze terrane and later during the Paleogene collision of India and Eurasian and covers an  
80 area of at least  $0.3 \times 10^6 \text{ km}^2$  including the Song Da zone of northern Vietnam which was translated  
81 ~600 km along the Ailao Shan-Red River shear zone during the Oligocene (Chung & Jahn 1995;  
82 Chung *et al.* 1997). The ELIP is subdivided into three structural zones (i.e. inner, intermediate and  
83 outer) based on crustal thickness estimates using seismic profiling (Figs. 1a, b). The inner zone of the  
84 ELIP is interpreted to have the thickest crust which thins radial to the outer zone (Xu *et al.* 2004). The  
85 volcanic succession ranges from a maximum thickness of ~5 km in the inner zone to < 1km at the  
86 margin of the outer zone. The volcanic rocks consist mostly of flood basalts but there is a higher  
87 proportion of picrites found in the lower flows of the inner zone whereas basaltic andesites and silicic  
88 volcanic rocks are common within the upper flows throughout the ELIP. The inner zone, chiefly the  
89 Panxi region, contains many giant orthomagmatic Fe-Ti-V oxide deposits whereas Ni-Cu-(PGE) and  
90 PGE deposits are found within the inner zone and outer zone but none have been found within the  
91 intermediate yet (Shellnutt 2014). The Yangtze Block was located at equatorial latitudes of eastern  
92 Pangaea and the volcanic rocks of the ELIP erupted on top of middle Permian limestones or directly on  
93 to Precambrian cratonic rocks.

94 The granitic plutons of this study are from the Song Da zone of northern Vietnam and the Panxi  
95 region in Sichuan. Northern Vietnam is part of the South China block, which is separated from the  
96 Indochina block by the Song Ma suture zone to the southwest (Fig. 1). The SW side of the ASRR shear  
97 zone consists of the Phan Si Pan uplift and Tu Le basin, which are further surrounded by Song Da belt  
98 in the west (Fig. 2). The Song Da zone rocks are from the Phan Si Pan uplift and Tu Le basin and are

99 correlative with the inner zone of the ELIP. The area is crosscut by the left-lateral ASRR shear zone for  
100 over 1000 km from SE Tibet to the South China Sea (Tapponnier *et al.* 1990; Leloup *et al.* 1995;  
101 Chung *et al.* 1997). The Phan Si Pan uplift consists mainly of alkaline and sub-alkaline granitoids  
102 whereas the Song Da belt consists of picrite, flood basalt and rhyolitic rocks (Hanski *et al.* 2004; Wang  
103 *et al.* 2007; Anh *et al.* 2011). The volcanic rocks of the Song Da belt rest on early Permian limestone  
104 and are unconformably overlain by Triassic limestone and coal-bearing shale (Anh *et al.* 2011).

105 Two granitic plutons from the Panxi region of the ELIP were selected for this study (Fig. 3). The  
106 peraluminous Yingpanliangzi pluton is located within the city of Panzhihua just south of the Jinsha  
107 River and intrudes Proterozoic granitic gneisses (Shellnutt *et al.* 2011a). The pluton is exposed along a  
108 dirt road revealing fresh, albeit sporadic outcrops that contain ellipsoidal microgranular enclaves that  
109 are more mafic than the host rock. The pluton is known to be younger than ~600 Ma because dykes  
110 emanating from the main exposure are observed cutting the Denying (~600 Ma) marble. The sample  
111 (GS03-065) dated for this study is located at 26°33'36'' N, 101°42'53'' E. The peralkaline Panzhihua  
112 granite is located (i.e. 26°34'29'' N, 101°37'38'' E) to the west of the Yingpanliangzi pluton and  
113 intruded Emeishan flood basalt. The Panzhihua granite is interpreted to be petrogenetically related to  
114 the Panzhihua layered gabbroic intrusions which hosts a world-class Fe-Ti-V oxide deposit (Shellnutt  
115 & Jahn 2010).

116

## 117 **Methods**

### 118 *Zircon U/Pb SHRIMP II ages*

119 Zircon grains were separated using conventional heavy liquid and magnetic techniques, mounted in  
120 epoxy, polished, coated with gold, and photographed in transmitted and reflected light to identify  
121 grains for analysis. U/Pb isotopic ratios of zircons from sample GS03-065 (i.e. peraluminous granite)  
122 were measured using the SHRIMP II at Curtin University of Technology in Perth, Western Australia.

123 The measured isotopic ratios were reduced off-line using standard techniques (Claoué-Long *et al.*  
124 1995) and the U/Pb ages were normalized to a value of 564 Ma determined by conventional U-Pb  
125 analysis of zircon standard CZ3. Common Pb was corrected using the methods of Compston *et al.*  
126 (1984). The  $^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$  data were corrected for uncertainties associated with the  
127 measurements of the CZ3 standard. The  $^{207}\text{Pb}/^{206}\text{Pb}$  ages given in table 1 are independent of the  
128 standard analyses.

129 Zircon analyses for sample GS03-010 (i.e. peralkaline granite) were measured using the  
130 SHRIMP II at Chinese Academy of Geological Sciences, Beijing, China. The measured isotopic ratios  
131 were reduced off-line using standard techniques and calibrated to the TEMORA 1 standard which was  
132 repeatedly analyzed after every three zircon analyses (Claoué-Long *et al.* 1995; Black *et al.* 2003a, b).  
133 Common Pb was corrected using the methods of Compston *et al.* (1984). The  $^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$   
134 data were corrected for uncertainties associated with the measurements of the TEMORA 1 standard.  
135 The  $^{206}\text{Pb}/^{238}\text{U}$  and  $^{207}\text{Pb}/^{235}\text{U}$  data are found within table 1.

136

### 137 *Zircon Hf LA-ICP-MS values*

138 Hf isotopes were analyzed using a Nu Plasma multi-collector ICP-MS attached to a New Wave  
139 UP213 laser-ablation microprobe housed at the Institute of Earth Science, Academia Sinica, Taipei,  
140 documented in Lan *et al.* (2009). The analytical procedure follows that described in Griffin *et al.* (2000,  
141 2004). The Hf isotopes were measured on the dated spots of individual zircons to minimize zoning  
142 effect but the laser ablation size is 55  $\mu\text{m}$ , slightly larger than that of preexisting spots by the U-Pb  
143 dating. Data were normalized to  $^{179}\text{Hf}/^{177}\text{Hf} = 0.7325$ , using an exponential correction for mass bias.  
144  $^{176}\text{Hf}/^{177}\text{Hf}$  results of Mud Tank and Harvard zircon standards during analysis of this study are  
145  $0.282530 \pm 0.000050$  ( $2\sigma$ ,  $n = 63$ ) and  $0.282314 \pm 0.000088$  ( $2\sigma$ ,  $n = 22$ ), respectively.  $\epsilon\text{Hf}_{(T)}$  values  
146 and model ages used in the figures were calculated using the decay constant ( $1.867 \times 10^{-11}$  per year)

147 proposed by Söderlund *et al.* (2004). The single stage deplete-mantle model ages ( $T_{DM1}$ ) and the two-  
148 stage model ages ( $T_{DM2}$ ) are calculated. We assumed that  $^{176}\text{Lu}/^{177}\text{Hf}$  of average continental crust is  
149 0.015 (Griffin *et al.* 2004) for calculation of  $T_{DM2}$ . The results are found within table 2.

150

## 151 **Results**

### 152 *In situ zircon U/Pb ages*

153 GS03-065 contains zircons with a variety of textures and morphologies. The CL images, Figure  
154 4 show the highly complex internal structure of these zircons. Many zircons exhibit varying degrees of  
155 recrystallization. Some have only a thin overgrowth of intermediate U zircon on the rim of crystals,  
156 while others have embayments of both high U and low U zircon intergrown within a single crystal (Fig.  
157 4a). The low U region in this grain has a  $^{206}\text{Pb}/^{238}\text{U}$  age of 555 Ma. In figure 4b, the zircon shows  
158 three distinct growth zones. An inner low U region is surrounded by oscillatory moderate U zircon and  
159 the very centre of the crystal shows a small, higher U region. The oscillatory zoned region has a  
160  $^{206}\text{Pb}/^{238}\text{U}$  age of 671 Ma, while the core age is 701 Ma. There is a progression of recrystallization  
161 effects in larger zircons through the two stages shown figure 4. Small, high-U zircons no longer show  
162 any oscillatory zoning and have had their ages reset to varying degrees. The lowest  $^{206}\text{Pb}/^{238}\text{U}$  age,  
163 485 Ma, was found in a small, 25 um, high-U (897 ppm) grain. The oldest  $^{206}\text{Pb}/^{238}\text{U}$  age in this  
164 population, 787 Ma, was found in a moderate-U (298 ppm) grain which was unzoned. Not all grains  
165 have been completely recrystallized or had their ages reset, but there is a strong inverse relationship  
166 between U content. Figure 5a gives an overview of the SHRIMP results for GS03-065 plotted on a  
167 concordia diagram. Most of analyses plot along a chord with calculated intersections at  $264 \pm 82$  Ma  
168 and  $806 \pm 36$  Ma. The upper intersect is the best estimate of the original protolith crystallization age.  
169 The lower intercept is identical to that of the mafic-ultramafic and syenite intrusions in the ELIP, which  
170 have ages of ~260 Ma and suggests this is the age of the thermal event affecting the recrystallization of

171 the zircons (Shellnutt *et al.*, 2012). The data for this sample is consistent with partial  
172 metamorphic/igneous resetting of zircon ages, with the high-U zircons ages being more susceptible to  
173 disturbance.

174 Four inherited zircons were analyzed from sample GS03-010. The crystals are typically  
175 between 30  $\mu\text{m}$  to 50  $\mu\text{m}$  and show oscillary zonation and have variable morphologies. The internal  
176 structure is less complicated than those from GS03-065 and they are of igneous origin (i.e.  $\text{Th}/\text{U} \leq 1$ ).  
177 Figure 5b show the SHRIMP results for GS03-010 plotted on a concordia diagram. Two of the analyses  
178 plot along the concordia whereas the other two have suffered U loss. The concordant  $^{206}\text{Pb}/^{238}\text{U}$  ages  
179 are  $860 \pm 7$  Ma and  $854 \pm 8$  Ma but the intercept age of all analyses is  $848 \pm 45$  Ma.

180

#### 181 *In situ zircon Hf isotopes*

182 Hf isotope compositions were analyzed for 18 inherited zircons from the Phan Si Pan granites (table 2).  
183 The Neoproterozoic zircons yield Hf isotope compositions in the range of 0.282173 to 0.282299. The  
184 zircons have mostly negative  $\epsilon\text{Hf}_{(T)}$  values with a few moderately positive values and range between -  
185 5.4 and +1.7 which correspond to  $T_{\text{DMI}}$  model ages between 1.14 Ga to 1.51 Ga. The relatively enriched  
186 Hf isotope values indicate that they are either derived from a crustal source or an enriched mantle  
187 source.

188

## 189 **Discussion**

### 190 *Inherited zircons within plutonic rocks of the Emeishan large igneous province*

191 Shellnutt & Zhou (2007) and Shellnutt *et al.* (2011a) showed that the alumina saturation index (i.e. ASI  
192 =  $\text{Al}/(\text{Ca}+\text{Na}+\text{K})$ ) offers a simple and relatively robust petrogenetic classification scheme for the  
193 Emeishan granitoids. The peralkaline granitoids are interpreted to be derived by fractional  
194 crystallization of Emeishan mafic magmas, the metaluminous are interpreted to be derived from mixing



195 of mafic magmas and crustal material (i.e. hybrid) or by partial melting of underplated mafic rocks (i.e.  
196 mantle-derived) and the peraluminous rocks are interpreted to be derived by crustal melting.  
197 Therefore the peraluminous Yingpanliangzi, peralkaline Panzhihua and metaluminous Phan Si Pan  
198 granitic plutons offer an opportunity to compare the results of inherited zircons from three types of  
199 Emeishan silicic rocks that have different petrogenetic histories.

200 The peraluminous Yingpanliangzi pluton is interpreted to be derived by partial melting of  
201 Neoproterozoic granitic rocks (Shellnutt *et al.* 2011a). The upper and lower intercept ages of the  
202 discordia appear to verify that interpretation (Fig. 6a). The pluton has enriched whole rock Sr-Nd (i.e.  
203  $ISr = 0.7107$  to  $0.7151$ ;  $\epsilon Nd_{(T)} = -3.9$  to  $-4.4$ ), low Nb/U ( $<6.5$ ) values and high Th/Nb<sub>PM</sub> (i.e. 9.7 to  
204 16.6) values (Shellnutt *et al.* 2011a). The injection of high temperature magmas into the Yangtze Block  
205 is considered to be the primary reason for crustal melting as there are mafic dykes in the same region as  
206 well as the Panzhihua layered gabbroic intrusion. The fact that the zircons from the Yingpanliangzi  
207 pluton do not form a coherent concordant age coupled with the enriched Sr-Nd isotopes suggests the  
208 Neoproterozoic Yangtze crust melted during the emplacement of the ELIP.

209 Thermodynamic and geochemical modeling indicate that the Panzhihua peralkaline pluton was  
210 derived by fractional crystallization of an Emeishan high-Ti basalt and directly related to the  
211 neighbouring layered oxide ore-bearing Panzhihua gabbroic intrusion (Shellnutt & Zhou, 2007;  
212 Shellnutt & Jahn, 2010; Shellnutt *et al.* 2011b). The *in situ* zircon U/Pb ages show there are  
213 Neoproterozoic zircons that have ages of  $860 \pm 7$  Ma and  $854 \pm 8$  Ma with an intercept age of  $848 \pm 45$   
214 Ma. In contrast to the Yingpanliangzi pluton, the Nd isotopes of the Panzhihua pluton are more  
215 depleted (i.e.  $\epsilon Nd_{(T)} = +2.2$  to  $+2.9$ ) and trace element ratios indicative of mantle-derived rocks (i.e.  
216 Th/Nb<sub>PM</sub> = 1.0 to 1.6; Nb/U = 24.4 to 34.0) rather than crust-derived rocks (Shellnutt & Zhou 2007).  
217 The geological relationships (i.e. intruded Emeishan basalt) and mineralogy (i.e. perthitic feldspar) of  
218 the Panzhihua pluton suggest that it formed at shallow depth thus the Neoproterozoic zircons are

219 unlikely to originate from the country rock (i.e. basalt). The implication is that the Neoproterozoic  
220 zircons were inherited at greater depth before the formation of Panzhihua granitic magma when the  
221 parental magma was still basaltic.

222 Usuki *et al.* (2014) reported the first age dates of silicic rocks from the Phan Si Pan uplift of  
223 Northern Vietnam. The rocks range in age from ~252 to ~260 Ma and are geologically correlated with  
224 inner zone of the ELIP. The metaluminous to peraluminous Phan Si Pan pluton is  $\sim 256 \pm 6$  Ma and is  
225 the only silicic intrusion from northern Vietnam that was found to contain Neoproterozoic zircons. The  
226 inherited zircons range in age from 632 Ma to 825 Ma. The Tu Le rhyolite yielded *in situ* zircon U/Pb  
227 dates between  $252 \pm 5$  Ma and  $262 \pm 4$  Ma but contained inherited zircons with ages between 708 Ma  
228 and 818 Ma. The petrogenetic history of the Phan Si Pan granite and Tu Le rhyolite has not been  
229 investigated thoroughly but Tran *et al.* (in preparation) suggest that they are derived from basaltic  
230 parental magmas. The Permian zircon  $\epsilon_{\text{Hf}(T)}$  values of the granite and rhyolite range between +3.1 and  
231 +11.4 which is similar to Emeishan metaluminous granitic rocks that are interpreted to be derived by  
232 partial melting of underplated basaltic rocks (Xu *et al.* 2008; Shellnutt *et al.* 2009, 2011a; Usuki *et al.*  
233 2014).

234 Granitic rocks are not the only rocks that are known to have ancient inherited zircons. Single  
235 zircons with ages of  $2952 \pm 42$  Ma,  $1927 \pm 31$  Ma,  $1577 \pm 26$ ,  $1569 \pm 29$  and  $742 \pm 13$  Ma were found  
236 within the Kelang gabbroic intrusion that yielded a mean age of  $256 \pm 3$  Ma (Shellnutt & Wang 2014).  
237 The Nd isotopes of the Kelang gabbro are similar to many plutonic rocks in the area and have  $\epsilon_{\text{Nd}(T)}$   
238 values of +2.5. The Archean to Neoproterozoic zircon ages correspond to major crust building episodes  
239 of the Yangtze Block and indicate that the parental magma interacted with rocks of that age (Qui *et al.*  
240 2000; Zhang *et al.* 2006; Liu *et al.* 2008). The parental magma likely interacted with the lower most  
241 part of the crust because basement rocks of Archean age (i.e. Kongling migmatite) are thought to  
242 underlie the Proterozoic metasedimentary rocks of the Yangtze Block (Gao *et al.* 1999; Qiu *et al.* 2000;

243 Zhang *et al.* 2006; Liu *et al.* 2008). In other words, it is unlikely that the Archean zircon was inherited  
244 during final stages of emplacement because the surrounding country rocks in the area are  
245 Neoproterozoic to Paleozoic.

246 The Neoproterozoic zircon U/Pb ages indicate that the parental magmas of some Permian silicic  
247 and mafic rocks directly interacted with the lower crust of the Yangtze Block however the nature of the  
248 magma/country rock interaction remains uncertain. Zircon inheritance is often interpreted to be the  
249 result of late stage magma emplacement rather than source origin but the geological relationships of  
250 some of the rocks investigated indicates shallow level assimilation is unlikely. The likelihood of an  
251 inherited zircon surviving within a melt is related to its original radius, the intensity and duration of the  
252 melting event, the degree of Zr undersaturation in the melt, and volume of the local melt reservoir  
253 (Watson 1996). Zircons which are likely to survive temperatures  $>850^{\circ}\text{C}$  must be  $> 120 \mu\text{m}$  whereas  
254 zircons  $< 50 \mu\text{m}$  will likely be consumed at temperatures of  $\sim 700^{\circ}\text{C}$  therefore it is possible for original  
255 source zircons to survive melting providing they are of sufficient size (Watson 1996). Given the  
256 presence of inherited zircons in at least one known mafic intrusion, it is possible that the  
257 Neoproterozoic zircons found within some Emeishan magmatic rocks may not only be a consequence  
258 of magma/crust interaction but that, in some cases, they indicate the original source was  
259 Neoproterozoic in age.

260

### 261 *Thermodynamic modeling*

262 Equilibrium partial melt modeling was calculated using the program MELTS on three different  
263 Kangdian basaltic rocks as starting compositions in order to determine if they can produce liquid  
264 compositions similar to the Emeishan basalts and estimate what the most likely conditions (i.e.  
265 temperature, relative oxidation state, initial water content) would have to be in order for melting to  
266 occur (Ghiorso & Sack 1995; Smith & Asimow 2005). The initial pressure used for the partial melt  
267 modeling is 1.2 GPa (i.e. 12 kbar) which corresponds to the depth (i.e.  $\sim 40 \text{ km}$ ) of the lower crust high

268 seismic velocity layer identified by Xu *et al.* (2004). The initial starting compositions are shown in  
269 table 3. The relative oxidation state and initial water content of each model were constrained by trial  
270 and error.

271 The results of the three models are shown figure 6 and can be found within the online  
272 supplementary table S1. The best models have an initial water content of 1 wt% and relative oxidation  
273 state of FMQ +2. In all cases, the melting curves pass through the total range of Emeishan basalt whole  
274 rock data where models EMS1 and EMS2 reproduce the high-Ti basalt compositions and model EMS3  
275 reproduces the low-Ti basalt (Fig. 6). The temperature at which the models predict the composition of  
276 the Emeishan basalts is first reached is at 1255°C for models EMS1 and EMS2 and 1275°C for model  
277 EM3. The amount of melting required to generate the first liquid composition equal to Emeishan basalt  
278 is ~75% for model EMS1, ~70% for model EMS2 and ~50% for model EMS3. Models EMS1 and  
279 EMS2 have distinct SiO<sub>2</sub> gaps which form at temperatures of 1215°C (EMS1) and 1190°C (EMS2)  
280 which are due to spinel melting and reflects the higher TiO<sub>2</sub> concentration in those models (i.e. TiO<sub>2</sub> >  
281 2.4 wt%) in comparison with EMS3 (i.e. TiO<sub>2</sub> < 1.5 wt%). Therefore, given the modeling parameters  
282 used, it is possible that the Kangdian basaltic rocks could produce whole rock compositions similar to  
283 the Emeishan basalts.

#### 284 285 *Plausibility of a Neoproterozoic source for the Emeishan magmatic rocks*

286 The identification of Neoproterozoic zircons within Permian mafic and silicic plutonic rocks and the  
287 results of the partial melt modeling indicate that rocks similar in composition to the Kangdian basalts  
288 could produce the Emeishan basalts if melted. In order to evaluate the validity of this hypothesis we  
289 examine and compare the likely maximum thermal conditions of the ELIP and the isotope  
290 compositions of the Neoproterozoic rocks found within the Kangdian/Panxi rift area.

291 The modeled temperatures required to melt the Kangdian mafic source in order to produce the  
292 Emeishan basalts is calculated to be between 1250°C and 1280°C. Estimates of the eruption and mantle

293 potential temperatures ( $T_p$ ) of the Emeishan ultramafic volcanic rocks were calculated using different  
294 techniques by Xu *et al.* (2001), Zhang *et al.* (2006), He *et al.* (2010) and Ali *et al.* (2010). Xu *et al.*  
295 (2001) used REE inversion and estimated the mantle potential temperatures to be  $> 1550^\circ\text{C}$  whereas  
296 Zhang *et al.* (2006) calculated mantle potential temperatures between  $1630^\circ\text{C}$  and  $1690^\circ\text{C}$ . He *et al.*  
297 (2010) and Ali *et al.* (2010) calculated eruption temperatures of  $\sim 1440^\circ\text{C}$  and mantle potential  
298 temperatures between  $\sim 1540^\circ\text{C}$  and  $\sim 1610^\circ\text{C}$  using PRIMELT2 assuming initial MgO values of the  
299 picrites to be  $\geq 20\%$ . The wide range of mantle potential temperature may be related to differences in  
300 the thermodynamic assumptions for each calculation method but all results reveal temperatures  
301  $> 1540^\circ\text{C}$  which are  $\sim 150^\circ\text{C}$  above the  $T_p$  estimates of primitive MORB values and are supportive of a  
302 high temperature regime (Campbell 2005; Ali *et al.* 2010). Additionally, the eruption temperature  
303 estimates of the picrites (i.e.  $\sim 1440^\circ\text{C}$ ) are greater than the temperatures required to induce melting of  
304 the underplated mafic rocks and thus the thermal requirements of the MELTS models is plausible.

305 The Emeishan basalts have  $\epsilon\text{Nd}_{(T)}$  values ranging from -14.2 to +6.4 with a mean value of  $+0.1$   
306  $\pm 0.5$   $2\sigma$  (Fig, 6). The Sr, Os and Pb isotopes also show a wide range in composition (i.e.  $I_{\text{Sr}} = 0.7040$   
307 to 0.7132;  $\gamma_{\text{Os}} = -5$  to  $+11$  and  $^{206}\text{Pb}/^{204}\text{Pb}_{\text{Pb}_1} = 17.9$  to  $19.7$ ). In comparison, albeit a smaller database,  
308 the ultramafic volcanic rock have a higher average  $\epsilon\text{Nd}_{(T)}$  value (i.e.  $\epsilon\text{Nd}_{(T)} = +3.0 \pm 1.4$   $2\sigma$ ), including  
309 the single highest reported value of  $+7.8$ , but there is also a wide (i.e.  $\epsilon\text{Nd}_{(T)} = -7.8$  to  $+7.8$ ) range  
310 (Kamenetsky *et al.* 2012). The range in composition of the Emeishan basalts makes it difficult to  
311 distinguish between a specific source (i.e. SCLM or sublithospheric source or both) or process (i.e.  
312 crustal assimilation or mixing between an enriched component and mantle source) to explain the  
313 isotope variability (Shellnutt 2014).

314 The Nd-Hf isotopes of the Kangdian basalts and mafic dykes are moderately depleted (i.e.  
315  $\epsilon\text{Nd}_{(T)} = +5.0$  to  $+6.0$ ,  $\epsilon\text{Hf}_{(T)} = +7.9$  to  $+17.4$ ) although some differentiated basalts and trachyandesite  
316 have more enriched values (i.e.  $\epsilon\text{Nd}_{(T)} = +1.4$  to  $+2.4$ ,  $\epsilon\text{Hf}_{(T)} = +4.3$  to  $+8.0$ ) which are attributed to

317 crustal contamination (Li *et al.* 2002, 2005; Lin *et al.* 2007). Zhao *et al.* (2008) describe  
318 Neoproterozoic A- and I-type granites with  $\epsilon\text{Nd}_{(T)}$  values of +1 and zircon  $\epsilon\text{Hf}_{(T)}$  values of +5 to +9  
319 whereas Zhao & Zhou (2007) describe a group of slightly younger (i.e. ~750 Ma) mafic intrusions in  
320 southern Sichuan (near Panzhihua) that are interpreted to be derived by partial melting of a garnet-  
321 bearing metasomatised upper mantle. The gabbros have  $I_{\text{Sr}}$  (i.e.  $I_{\text{Sr}} = 0.7040$  to  $0.7070$ ) and  $\epsilon\text{Nd}_{(T)}$  (i.e.  
322  $\epsilon\text{Nd}_{(T)} = -0.6$  to  $-1.7$ ) values that are indicative of a more enriched mantle source. The isotopic range of  
323 the Emeishan basalts, in particular Nd isotopes, overlaps with the Neoproterozoic rocks. Furthermore  
324 the Hf isotopes from the inherited zircons from NW Vietnam have  $\epsilon\text{Hf}_{(T)}$  values are between -5.4 and  
325 +1.7 which would equal  $\epsilon\text{Nd}_{(T)}$  values of -6.3 to -1.1 (e.g.  $\epsilon\text{Hf} = 1.36\epsilon\text{Nd} + 3.19$ ) and fall within the  
326 range of Emeishan basalt and similar to the 750 Ma mafic intrusions. Therefore it is possible that the  
327 Neoproterozoic mafic rocks, if melted, could produce the isotopic range observed within the Emeishan  
328 mafic and some silicic rocks.

329

### 330 *An alternative model for the genesis of the Emeishan basalts*

331 Many models for the petrogenesis of the ELIP invoke a mantle plume model to explain the presence of  
332 ultramafic rocks and voluminous flood basalts (Xu *et al.* 2001, 2004; Xiao *et al.* 2004; Song *et al.*  
333 2004; Ali *et al.* 2005, 2010; Fan *et al.* 2008; Shellnutt 2014). Isotope enrichment and variability in  
334 some trace element ratios (e.g.  $\text{Th}/\text{Nb}_{\text{PM}}$ ,  $\text{Nb}/\text{U}$ ) of the Emeishan basalts is interpreted to be related to  
335 crustal contamination with either a heterogeneous mantle source or a two mantle source (i.e. SCLM  
336 plus sub-lithospheric mantle). However we suggest that it is possible that some mafic and silicic ELIP-  
337 related magmatic rocks can be derived from Neoproterozoic lower crust rocks. The temperature  
338 estimates of the ultramafic volcanic rocks is high enough to induce melting of mafic underplated  
339 Neoproterozoic rocks and produce some ‘second’ generation magmas with a large range of isotopic  
340 compositions without necessarily showing evidence of sialic crustal assimilation. Furthermore it is

341 possible that some of the underplated Neoproterozoic rocks chemically equilibrated with older Yangtze  
342 Block rocks and therefore could have more enriched isotopic compositions if melted. For example,  
343 there are some Emeishan basalts that have enriched Nd isotope signatures (i.e.  $\epsilon\text{Nd}_{(T)} > -2$ ) but do not  
344 show strong evidence of crustal assimilation (i.e.  $\text{Th}/\text{Nb}_{\text{PM}} < 2.5$ ,  $\text{Nb}/\text{U} > 25$ ) (Fig. 7).

345         The high seismic velocity layers in the lower crust of the Yangtze Block could represent pure  
346 Emeishan material, pure Neoproterozoic material or a mixture of both but none of these interpretations  
347 can be dismissed outright because there are no age constraints on the seismic layers. The MELTS  
348 models, thermal estimates of the Emeishan ultramafic volcanic rocks and Nd-Hf isotope range of the  
349 Kangdian rocks indicate that it is possible to generate derivative liquid compositions that resemble  
350 Emeishan basalts and thus it is plausible that mafic Neoproterozoic rocks could be the source of some  
351 Emeishan mafic or silicic rocks and that they are a consequence of lower crustal recycling indirectly  
352 related to a Neoproterozoic mantle plume rather than a direct lineage to the Permian mantle plume (Fig.  
353 8). Although the Permian mantle plume model is a preferred interpretation for the genesis of the  
354 Emeishan ultramafic volcanic rocks and probably most basalts but the possibility that partial melting of  
355 mafic Neoproterozoic lower crustal rocks could produce some of the mafic and silicic ELIP magmatic  
356 rocks cannot be easily disproved. The results of this study imply that, in some cases, mantle-plume  
357 derived large igneous provinces contribute to crustal recycling as well as juvenile crust formation.

358

## 359 **Conclusions**

360 Late Permian mafic and silicic plutonic rocks associated with the Emeishan large igneous province  
361 have inherited zircons which yield Neoproterozoic U/Pb ages. The Neoproterozoic zircons indicate that  
362 at least some of the ELIP magmatic rocks have evidence for direct interaction with the lower crustal  
363 rocks of the Yangtze Block. The precise nature of the magma/crust interaction is uncertain as the  
364 zircons may have been assimilated during emplacement or they could represent inheritance from the

365 original source rocks. Thermodynamic modeling indicates that compositions similar to the Emeishan  
366 flood basalts can be produced by partial melting of the Neoproterozoic Kangdian basalt at conditions  
367 equal to a pressure of 1.2 GPa, water content of ~1 wt% and  $f_{O_2}$  of FMQ +2. The identification of high  
368 velocity seismic layers in the lower crust of the Yangtze Block, interpreted as being related to the  
369 Emeishan mantle plume, may, in fact, represent underplated mafic to ultramafic rocks from the  
370 Neoproterozoic magmatic event or a mixture of Neoproterozoic and Permian magmatic rocks. The  
371 presence of Late Permian ultramafic volcanic rock with estimated eruption temperatures  $>1400^{\circ}\text{C}$   
372 would be sufficient to induce partial melting (i.e.  $1250^{\circ}\text{C}$  to  $1280^{\circ}\text{C}$ ) of the underplated mafic rocks to  
373 the extent indicated by the partial melt models. Therefore, it is possible, that some Emeishan flood  
374 basalts and other magmatic rocks were formed by juvenile crustal recycling induced by a high  
375 temperature regime attributed to the Emeishan mantle plume.

376

377 J.G.S. would like to acknowledge the support of the National Science Council of Taiwan through grant  
378 NSC 102-2628-M-003-001-MY4.

379

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584

585 Figure Captions

586 **Fig. 1.** (a) Distribution of the Emeishan large igneous province showing the concentric zones (dashed red  
587 lines) and locations of the Panxi intrusions and Song Da intrusion. (b) Seismic P-wave velocity (km/s)  
588 structure of the lower crust and upper mantle beneath the western Yangtze Block from Lijiang (A) to  
589 Zhehai (B) (modified from Xu *et al.* 2004).

590 **Fig. 2.** Simplified geological map of NW Vietnam (modified from Usuki *et al.*, 2014).

591 **Fig. 3.** Sample geological map of the Panzhihua region showing the locations of samples GS03-065  
592 (Yingpanliangzi granite) and GS03-010 (Panzhihua granite). Modified from Ma *et al.* (1999).

593 **Fig. 4.** Cathodoluminescence images of (a) one zircon showing highly complex internal structure. Zone 1  
594 is a low U region whereas zone 2 is a high U region. (b) Multiple growth zones with a low U core.

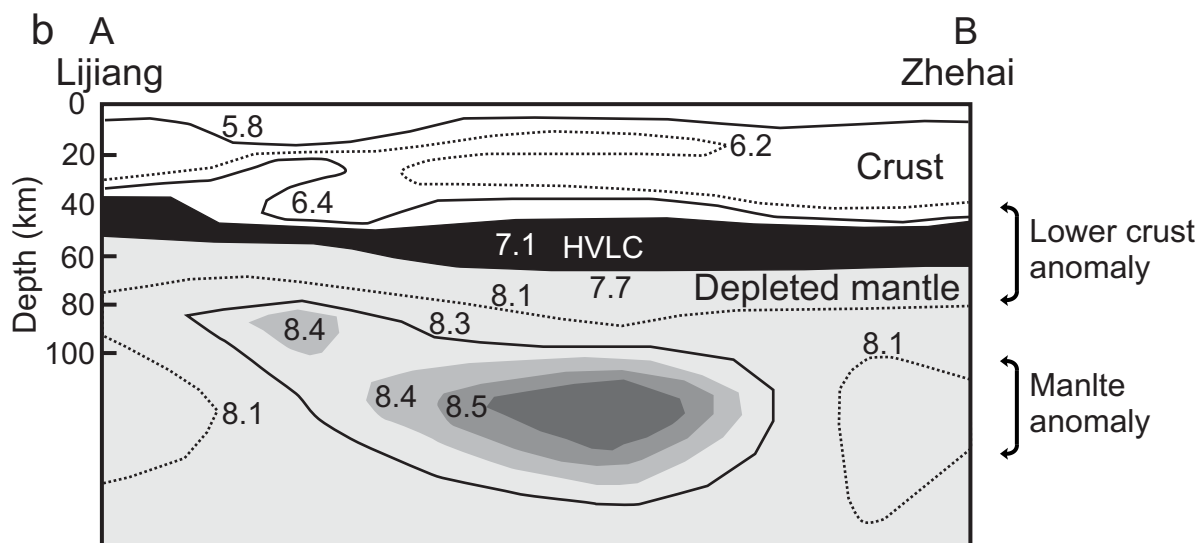
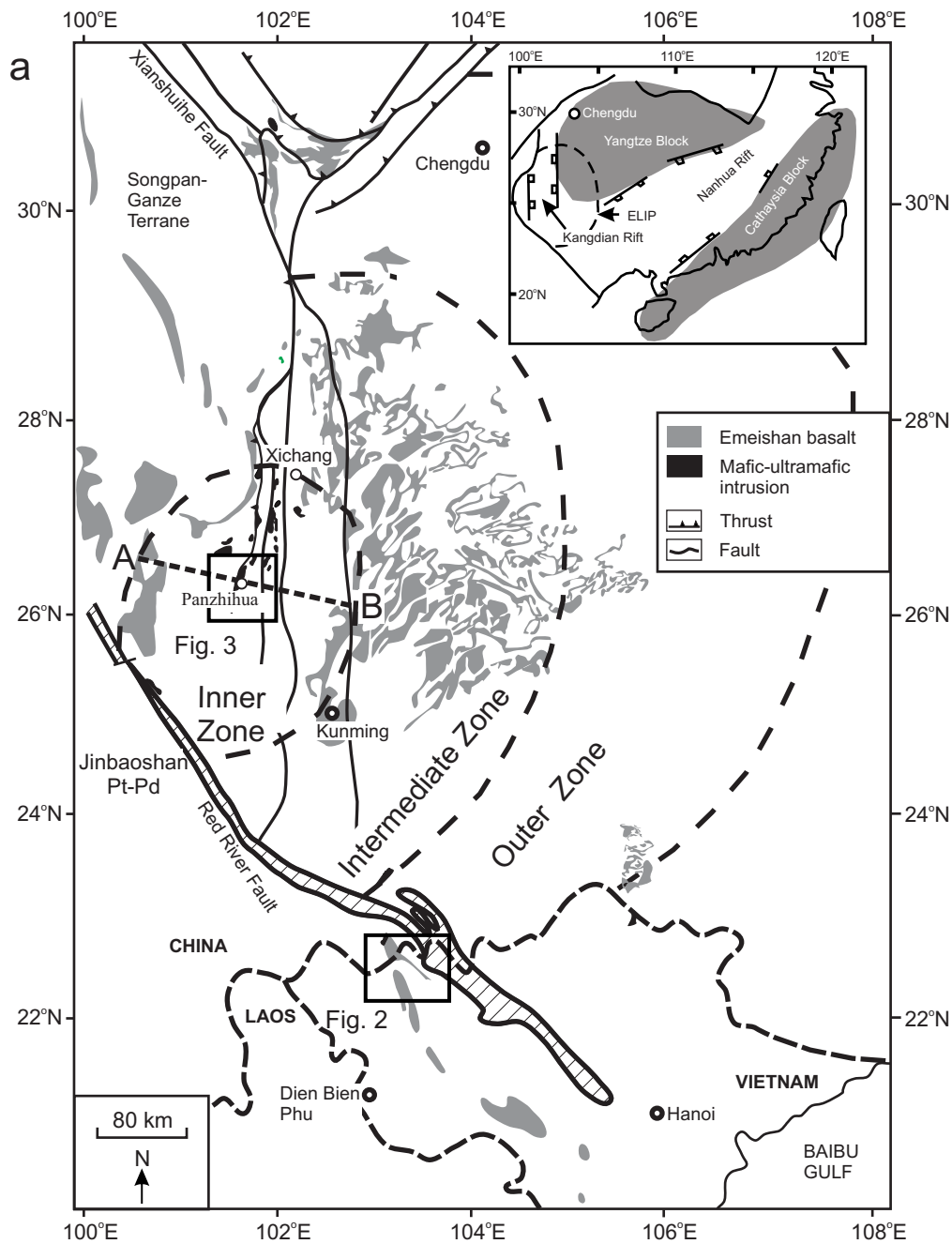
595 **Fig. 5.** Concordia diagrams of the (a) Yingpanliangzi pluton and the (b) Panzhihua peralkaline granite.

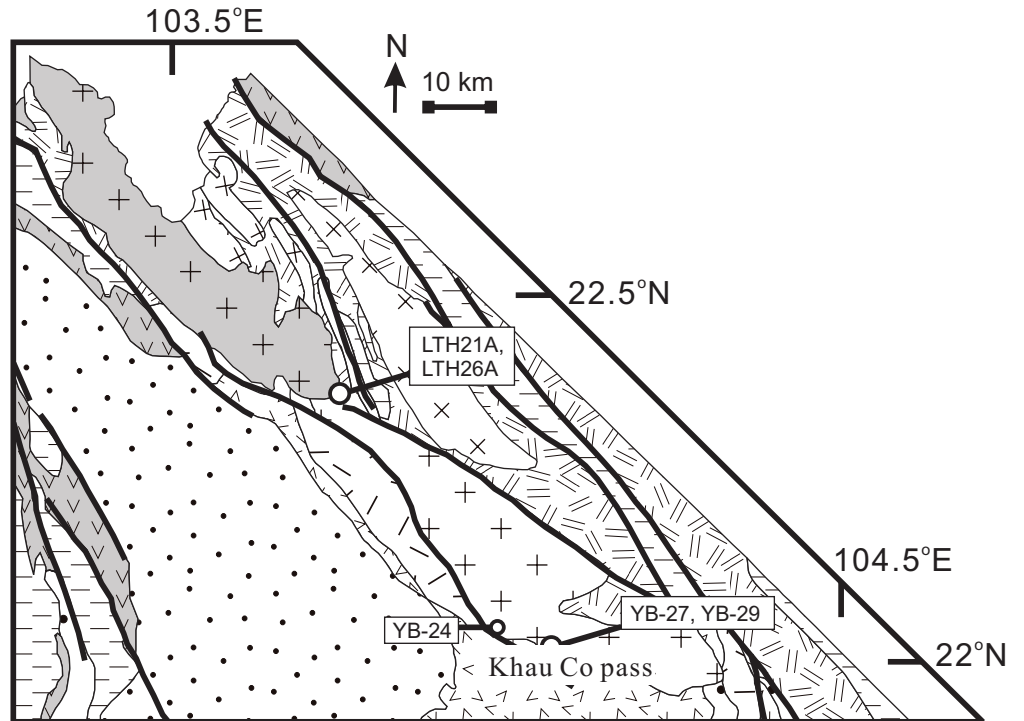
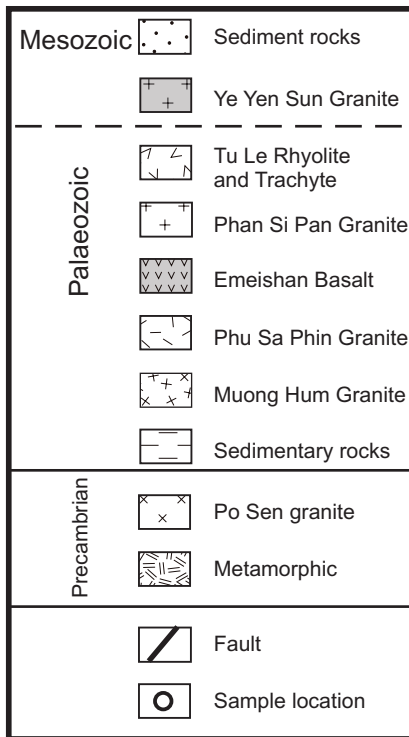
596 **Fig. 6.** Results of equilibrium partial melt modeling of the Kangdian mafic rocks. All models rocks were  
597 calculated at a relative oxidation state of FMQ +2, pressure of 1.2 GPa and water content of 1 wt%.  
598 Source rock compositions can be found in table 3. All modeled results and Emeishan basalt data  
599 normalized to 100%. Emeishan basalt data compiled in Shellnutt & Jahn (2011).


















600 **Fig. 7.** (a)  $\epsilon\text{Nd}_{(T)}$  vs. Nb/U ratio of the high-Ti and low-Ti Emeishan flood basalts, Emeishan ultramafic  
601 volcanic rocks and Neoproterozoic mafic rocks. (b)  $\epsilon\text{Nd}_{(T)}$  vs. Th/Nb<sub>PM</sub> ratio of the high-Ti and low-Ti  
602 Emeishan flood basalts, Emeishan ultramafic volcanic rocks and Neoproterozoic mafic rocks. The  
603 Th/Nb ratio is normalized to primitive mantle of Sun & McDonough (1989). The values of average

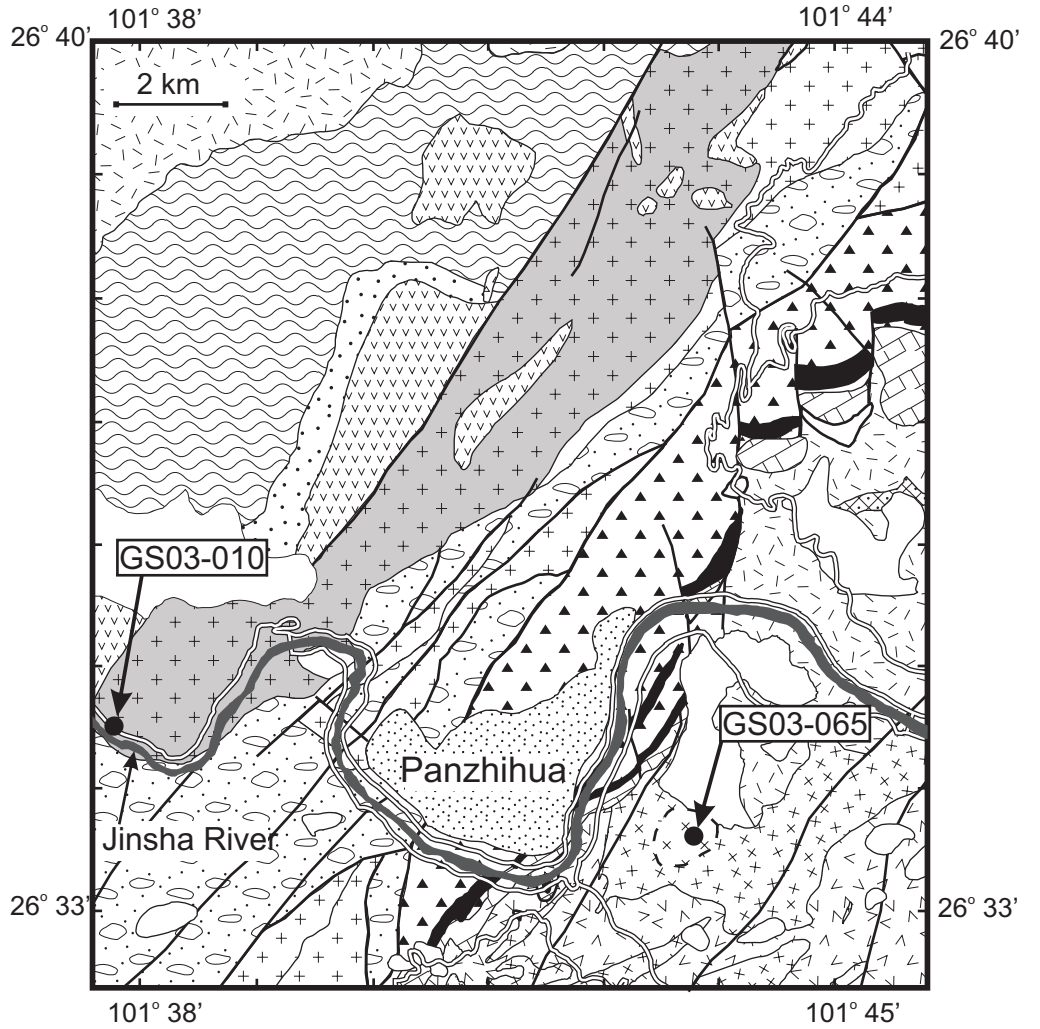
604 lower crust and upper crust from Rudnick and Gao (2003). Emeishan compiled in Shellnutt & Jahn  
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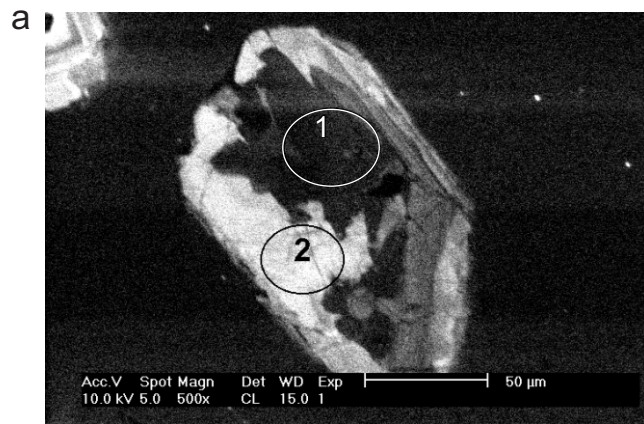
607 **Fig. 8.** Conceptual model of the lower crust origin of some Emeishan magmatic rocks.

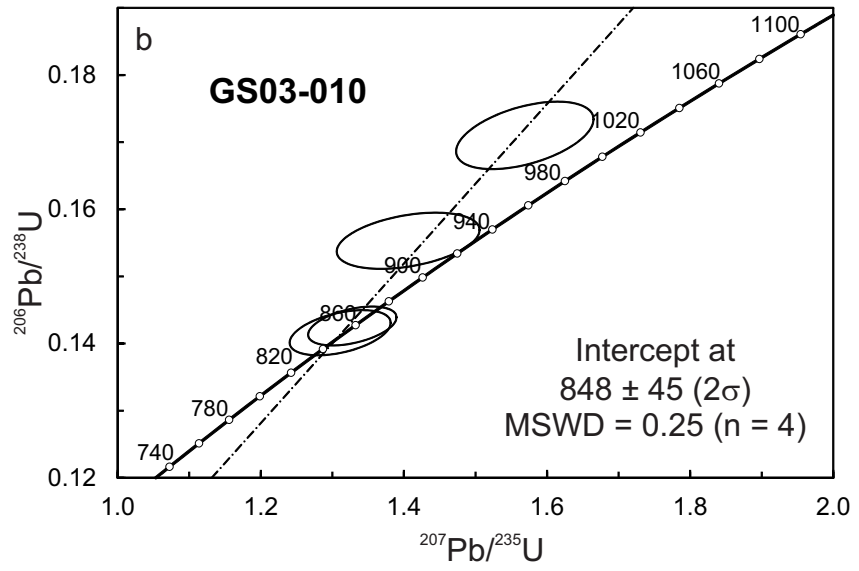
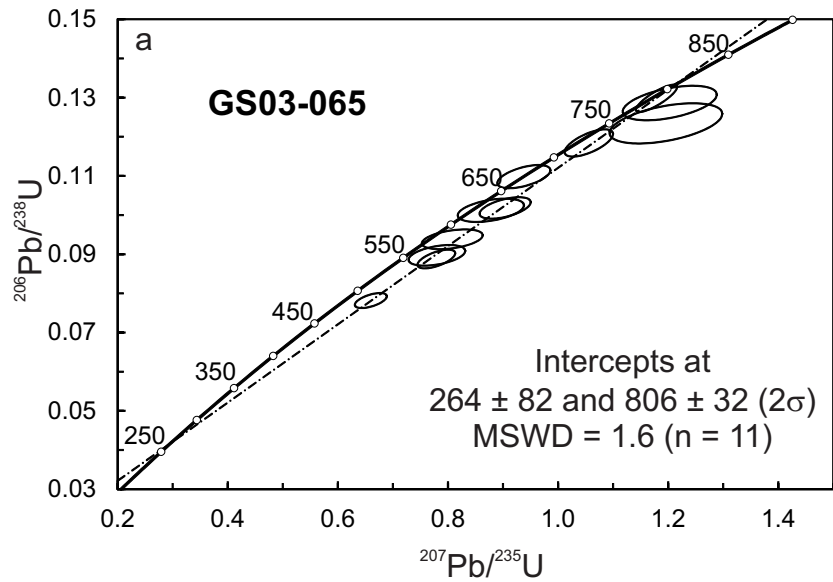


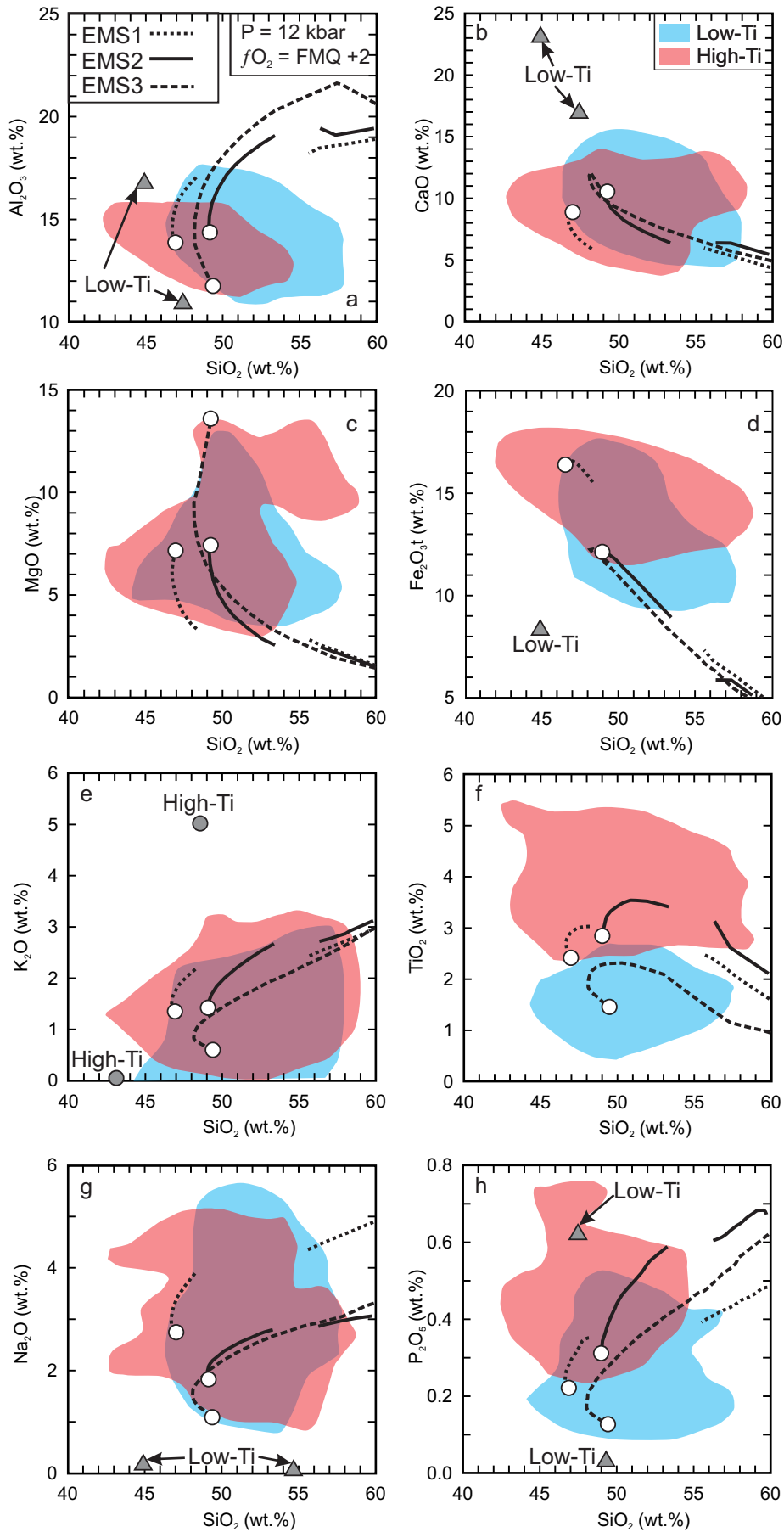


Cenozoic		Quaternary
Mesozoic		Conglomerate
Palaeozoic		Yingpanliangzi Granite
		Sedimentary rocks
		Panzhihua Granite
		Syeno-diorite
		Emeishan Basalt
		Layered Gabbro
		Magnetite Ore
		Ultramafic
Precambrian		Limestone
		Sedimentary
		Granite
		Gabbro
		Metamorphic
		Fault
		Road

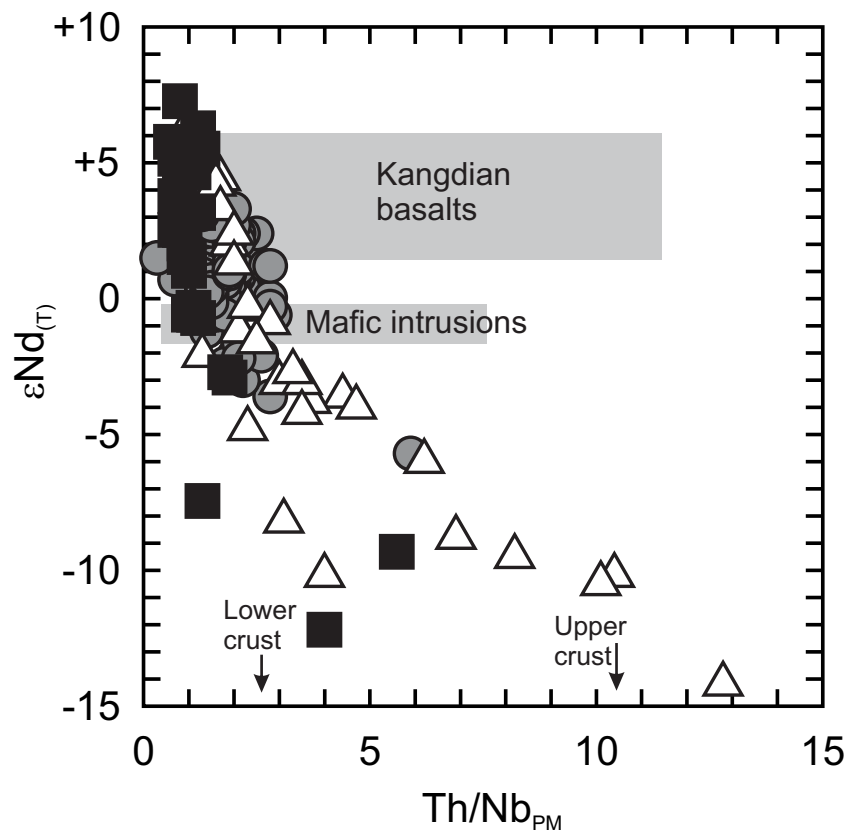
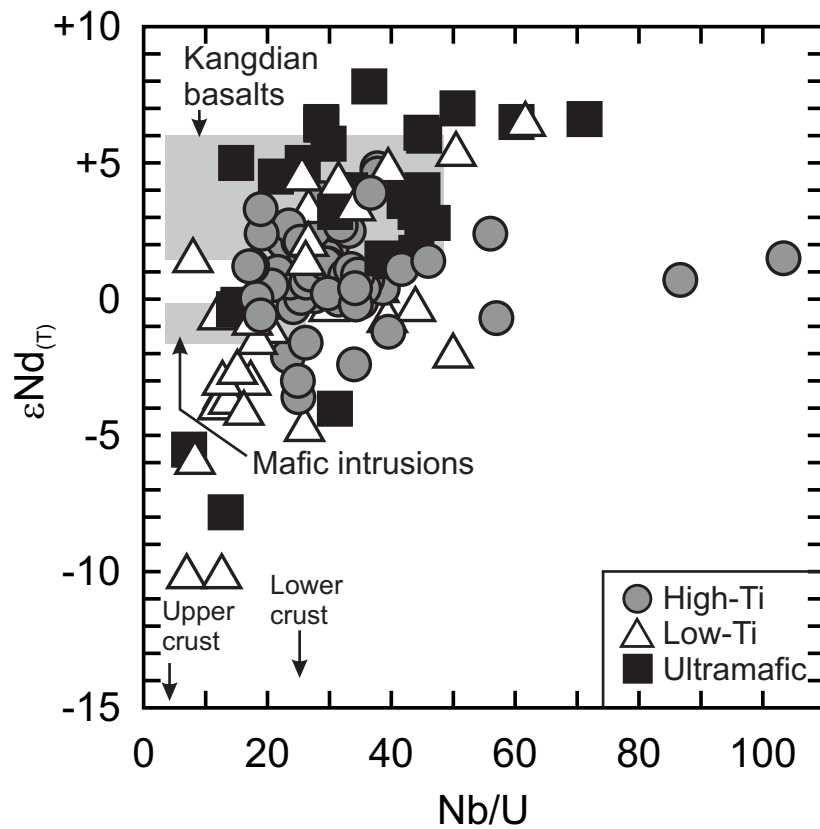


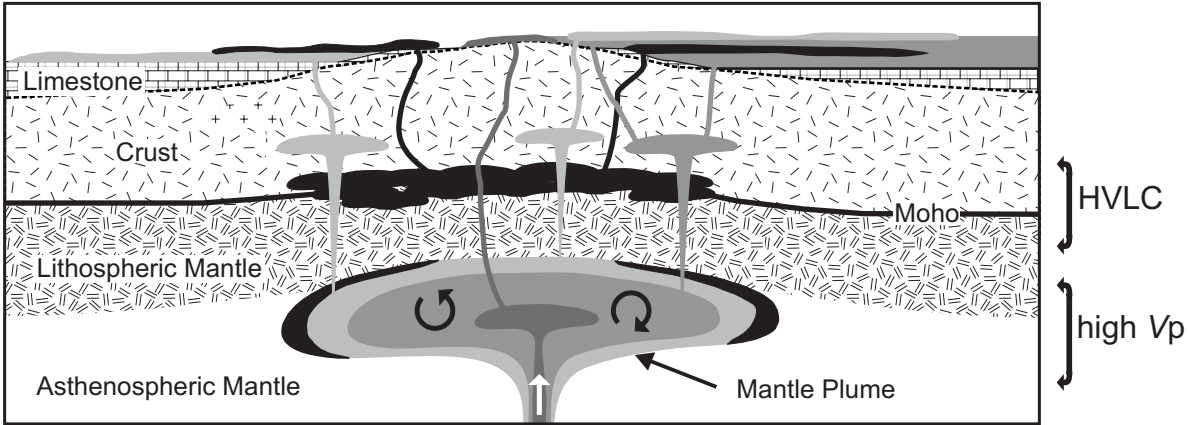












**Table 1.** SHRIMP U-Pb ages of inherited zircons from the Panzhihua and Yingpanliangzi granitic plutons

Sample	U (ppm)	Th (ppm)	Th/U	$^{207}\text{Pb}/^{206}\text{Pb}$	$\pm 1\sigma$	$^{207}\text{Pb}/^{235}\text{U}$	$\pm 1\sigma$	$^{206}\text{Pb}/^{238}\text{U}$	$\pm 1\sigma$	error corr.	$^{206}\text{Pb}/^{238}\text{U}$ (Ma $\pm 1\sigma$ )	$^{207}\text{Pb}/^{206}\text{Pb}$ (Ma $\pm 1\sigma$ )
<i>Panzhihua</i>												
GS03-010-2	489	266	0.56	0.0675	0.0011	1.3280	0.0252	0.1426	0.0012	0.447	860 $\pm$ 7	854 $\pm$ 34
GS03-010-3	262	267	1.05	0.0657	0.0018	1.4060	0.0408	0.1553	0.0017	0.383	930 $\pm$ 10	797 $\pm$ 57
GS03-010-4	515	26	0.05	0.0666	0.0015	1.5690	0.0392	0.1710	0.0021	0.476	1018 $\pm$ 11	824 $\pm$ 46
GS03-010-6	435	175	0.42	0.0672	0.0013	1.3110	0.0288	0.1416	0.0014	0.440	854 $\pm$ 8	843 $\pm$ 41
<i>Yingpanliangzi</i>												
GS03-065-1	176	114	0.67	0.0631	0.0016	0.7800	0.0211	0.0897	0.0011	0.432	554 $\pm$ 6	711 $\pm$ 53
GS03-065-4	233	271	1.20	0.0620	0.0011	0.9380	0.0197	0.1098	0.0012	0.551	671 $\pm$ 7	674 $\pm$ 37
GS03-065-5	300	253	0.87	0.0658	0.0005	1.1790	0.0153	0.1298	0.0014	0.837	787 $\pm$ 8	801 $\pm$ 15
GS03-065-6	236	133	0.58	0.0645	0.0012	0.9040	0.0190	0.1018	0.0011	0.541	625 $\pm$ 7	757 $\pm$ 37
GS03-065-7	391	226	0.60	0.0637	0.0009	0.7790	0.0140	0.0888	0.0010	0.621	548 $\pm$ 6	731 $\pm$ 30
GS03-065-8	903	949	1.09	0.0613	0.0009	0.6600	0.0119	0.0782	0.0008	0.575	485 $\pm$ 5	649 $\pm$ 32
GS03-065-9	36	23	0.66	0.0703	0.0022	1.1960	0.0419	0.1234	0.0021	0.477	750 $\pm$ 12	937 $\pm$ 63
GS03-065-10	62	46	0.77	0.0678	0.0017	1.2030	0.0349	0.1286	0.0018	0.491	780 $\pm$ 10	863 $\pm$ 53
GS03-065-11	195	140	0.74	0.0647	0.0008	1.0560	0.0180	0.1184	0.0014	0.665	721 $\pm$ 8	765 $\pm$ 28
GS03-065-13	291	297	1.05	0.0625	0.0016	0.8080	0.0226	0.0938	0.0010	0.408	578 $\pm$ 6	690 $\pm$ 54
GS03-065-17	297	252	0.88	0.0630	0.0016	0.8780	0.0246	0.1012	0.0012	0.431	621 $\pm$ 7	707 $\pm$ 54

**Table 2.** *Hf isotope analyses of Neoproterozoic zircons from the Phan Si Pan granite and Tu Le rhyolite*

Sample	Rock type	Age (Ma)	$^{176}\text{Hf}/^{177}\text{Hf}$	$\pm 1\sigma$	$^{176}\text{Lu}/^{177}\text{Hf}$	$^{176}\text{Yb}/^{177}\text{Hf}$	$T_{\text{DM1}}$ (Ga)	$T_{\text{DM2}}$ (Ga)	$\text{Hf}_i$	$\varepsilon\text{Hf}_{(T)}$
YB24-05	Granite	789 ± 15	0.282294	0.000011	0.000800	0.024535	1.35	1.70	0.282282	+0.1
YB27-01	Granite	744 ± 13	0.282225	0.000016	0.001163	0.038888	1.46	1.89	0.282209	-3.5
YB27-11	Granite	715 ± 13	0.282193	0.000007	0.001483	0.039588	1.51	1.99	0.282173	-5.4
YB27-13	Granite	708 ± 13	0.282272	0.000021	0.000848	0.022848	1.38	1.80	0.282261	-2.5
YB29-11	Granite	816 ± 16	0.282273	0.000017	0.000660	0.026871	1.37	1.72	0.282263	0.0
LTH26A-08	Granite	632 ± 14	0.282433	0.000015	0.000541	0.017499	1.14	1.47	0.282427	+1.7
LTH26A-09	Granite	825 ± 17	0.282225	0.000015	0.000645	0.020782	1.44	1.83	0.282215	-1.5
YB12-01	Rhyolite	724 ± 14	0.282315	0.000010	0.001148	0.038304	1.33	1.70	0.282299	-0.8
YB12-04	Rhyolite	818 ± 16	0.282281	0.000010	0.001327	0.043816	1.38	1.73	0.282261	0.0
YB12-06	Rhyolite	793 ± 15	0.282281	0.000009	0.001142	0.038210	1.38	1.74	0.282264	-0.5
YB12-10	Rhyolite	708 ± 14	0.282227	0.000014	0.000648	0.021917	1.43	1.89	0.282218	-4.0
YB12-18	Rhyolite	720 ± 14	0.282261	0.000008	0.000926	0.030538	1.40	1.82	0.282248	-2.6
YB19A-01	Rhyolite	769 ± 15	0.282246	0.000012	0.000779	0.026009	1.41	1.82	0.282235	-2.0
YB19A-03	Rhyolite	795 ± 16	0.282246	0.000017	0.000877	0.029793	1.42	1.80	0.282233	-1.5
YB19A-05	Rhyolite	780 ± 16	0.282329	0.000013	0.002321	0.076132	1.35	1.67	0.282295	+0.3
YB19A-06	Rhyolite	788 ± 16	0.282299	0.000009	0.000894	0.029255	1.34	1.69	0.282286	+0.2
YB19A-07	Rhyolite	763 ± 15	0.282246	0.000010	0.001061	0.033368	1.42	1.83	0.282231	-2.3

The full dataset of the zircon ages are reported in Usuki *et al.* (2014).

**Table 3.** Kangdian basalt starting compositions and modeling conditions

Sample	04KD16-3*	04KD16-3 (model EMS1)	99KD22-8 <sup>+</sup>	99KD22-82 (model EMS2)	04KD4-24*	04KD4-24 (model EMS3)
SiO <sub>2</sub> (wt%)	46.05	45.60	48.35	47.95	48.50	48.18
TiO <sub>2</sub>	2.44	2.42	2.78	2.76	1.47	1.46
Al <sub>2</sub> O <sub>3</sub>	13.76	13.63	14.17	14.05	11.61	11.53
Fe <sub>2</sub> O <sub>3</sub> t	17.79	17.62	13.08	12.97	12.66	12.58
MnO	0.30	0.30	0.17	0.17	0.18	0.18
MgO	6.98	6.91	7.24	7.18	13.48	13.39
CaO	8.34	8.26	10.47	10.38	9.87	9.81
Na <sub>2</sub> O	2.74	2.71	1.85	1.83	1.13	1.12
K <sub>2</sub> O	1.36	1.35	1.40	1.39	0.62	0.62
P <sub>2</sub> O <sub>5</sub>	0.22	0.22	0.31	0.31	0.13	0.13
H <sub>2</sub> O		1 wt%		1 wt%		1 wt%
<i>f</i> O <sub>2</sub>		FMQ +2		FMQ +2		FMQ +2
Pressure (GPa)		1.2		1.2		1.2

Major element data for models normalized to 100% including water. \*Data from Lin *et al.* (2007) and <sup>+</sup> data from Li *et al.* (2002).