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1 **Title Page**

2 In vitro fertilization is associated with an increased risk of borderline ovarian tumors

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55 **Abstract**

56 Objectives

57 To compare the risk of borderline ovarian tumors in women having in vitro fertilization (IVF) with
58 women diagnosed with infertility but not having IVF.

59 Methods

60 This was a whole-population cohort study of women aged 20-44 years seeking hospital infertility
61 treatment or investigation in Western Australia in 1982-2002. Using Cox regression, we examined
62 the effects of IVF treatment and potential confounders on the rate of borderline ovarian tumors.
63 Potential confounders included parity, age, calendar year, socio-economic status, infertility
64 diagnoses including pelvic inflammatory disorders and endometriosis and surgical procedures
65 including hysterectomy and tubal ligation.

66 Results

67 Women undergoing IVF had an increased rate of borderline ovarian tumors with a hazard ratio (HR)
68 of 2.46 (95% confidence interval [CI] 1.20–5.04). Unlike invasive epithelial ovarian cancer, neither
69 birth (HR 0.89; 95% CI 0.43–1.88) nor hysterectomy (1.02; 0.24–4.37) nor sterilization (1.48; 0.63–
70 3.48) appeared protective and the rate was not increased in women with a diagnosis of
71 endometriosis (HR 0.31; 95% CI 0.04–2.29).

72 Conclusions

73 Women undergoing IVF treatment are at increased risk of being diagnosed with borderline ovarian
74 tumors. Risk factors for borderline ovarian tumors appear different from those for invasive ovarian
75 cancer.

76

77 Key Words

78 In vitro fertilization; borderline ovarian tumors; cohort study; hazard ratios; risk factors;
79 epidemiology

80 Introduction

81 Borderline epithelial ovarian tumors are a heterogeneous group of neoplasms, first described in
82 1929 [1]. For some time they were believed to be precursors of invasive epithelial ovarian cancer,
83 but they have been gradually recognised as a separate entity and were classified as such by the
84 International Federation of Gynecology and Obstetrics (FIGO) in 1970 [2]. Unlike invasive epithelial
85 ovarian cancer, borderline epithelial ovarian tumors, also known as tumors of low malignant
86 potential, have an indolent disposition, do not destructively invade the underlying ovarian stroma
87 [3], are more likely to be diagnosed in women of reproductive age [4], and have a favourable
88 prognosis, with more than 95% of women surviving five years beyond diagnosis [5]. They represent
89 around 15% of all ovarian neoplasms [6].

90 Some authors maintain that risk factors for borderline ovarian tumors are the same as those for
91 invasive epithelial ovarian cancer, but the evidence is scant and contradictory. For example, giving
92 birth has been found to be protective in some studies [7, 8], possibly protective in another [9] and
93 not protective in others [10-12]. Oral contraceptive use appears in some studies [9, 12] to confer
94 protection, but not in others [7, 11]. Harris et al [8] found a protective effect of sterilization;
95 Mosgaard et al [10] found no effect.

96 With regard to treatment with fertility drugs, most studies have found an increased risk of
97 borderline ovarian tumors after fertility drug treatment [8, 12-15], although some have not [10, 11].
98 The only study that focussed specifically on in vitro fertilization (IVF) found that IVF treatment was
99 associated with a four-fold increase in the risk of borderline ovarian tumors [16].

100 Given the important role that IVF plays in the current management of infertility, we believe the
101 relationship between IVF and borderline ovarian tumors deserves further investigation. The aim of
102 the present study was to examine the association between IVF treatment and risk of borderline
103 ovarian tumors in a cohort of women seeking treatment for infertility, considering also the

104 confounding effects of known or potential ovarian cancer risk factors including parity, infertility
105 diagnosis, sterilization, hysterectomy and socio-economic status.

106

107 Methods

108 The study cohort

109 This was a population-based cohort study using routinely collected linked administrative data from
110 an entire Australian State. Methods for identifying the study cohort have been described in previous
111 reports of breast [17] and ovarian cancer [18]. To recapitulate, we identified a cohort of women
112 seeking hospital investigation and treatment for infertility at all hospitals in Western Australia (WA).
113 The cohort was restricted to women who were known to be resident in WA according to the address
114 attached to their hospital records, and who did not move out of the State according to the WA
115 Electoral Roll, which had data available to us from 1988 onward.

116 Women were included in the cohort if their hospital records contained a diagnosis of infertility or
117 procreative management (ICD-9 628.0 - 628.9; ICD-10 N97.0 - N97.9 or ICD-9 V26.1 - V26.9; ICD-10
118 Z31.1 - Z31.9), with their first such diagnosis occurring when they were aged between 20 and 44
119 years. The recruitment period for this study ranged from 1982 to 2002. Data on exposures and
120 outcomes (listed below) were collected in de-identified form from 1980 to 2010 using the resources
121 of the WA Data Linkage System [19] which connects administrative datasets covering the whole
122 population of WA. The required information was obtained by accessing and combining de-identified
123 data from six separate data collections: the Hospital Morbidity Data System, the WA Cancer Registry,
124 the Midwives Notification System, the WA Deaths Register, the Reproductive Technology Register
125 and the WA Electoral Roll. These are statutory based data collections ensuring routine and complete
126 data collection.

127

128 Exposure variables

129 The main exposure was IVF treatment. Women undergoing IVF were identified from either the
130 diagnostic and procedure codes contained in their hospital records, or by linkage to the
131 Reproductive Technology Register. Since 1993, all clinics in WA have been required by law to report
132 all IVF cycles to this register.

133 We also considered a number of potential confounders: those believed to influence the risk of
134 invasive epithelial ovarian cancer, which may also impact on the risk of borderline ovarian tumors.
135 These included diagnoses of endometriosis and pelvic inflammatory disorders (PID – ICD-9 614.0 -
136 614.9; ICD-10 N70.1, N70.9, N73.0 - N73.9: predominantly 614.6 and N73.6 [pelvic peritoneal
137 adhesions]), and procedures including tubal ligation, hysterectomy, unilateral oophorectomy or
138 salpingo-oophorectomy (USO); parity (we compared parous women with nulliparous women), age at
139 first birth, socio-economic status using the Index of Education and Occupation [20], and age and
140 calendar year at the start of follow-up.

141 We captured all births in WA during 1980 to 2010 and correctly recorded prior births in women who
142 gave birth within the State during this time period. However, some women may have delivered
143 outside WA or prior to 1980 and not subsequently given birth in WA – this small proportion of
144 women would have been incorrectly classified as nulliparous. Similarly, all women who had a tubal
145 ligation in WA between 1980 and 2010 were correctly classified, as were women who had a reversal
146 with no mention of a prior sterilization. However, there would remain a small proportion that had a
147 tubal ligation outside the State or prior to 1980 without having a subsequent reversal, presumably
148 going straight to IVF: sterilization status in this small proportion of women would have been
149 incorrect. We categorised women as having a diagnosis of endometriosis or PID if either of these
150 was recorded at or on any record prior to the first infertility admission. It is possible that some
151 women would have been diagnosed later during follow-up or remained undiagnosed: these would

152 have been classified as not having endometriosis or PID, when in fact they did suffer from these
153 conditions.

154

155

156 Outcome variable

157 The outcome was an incident diagnosis of borderline ovarian tumor, identified from data collected
158 by the WA Cancer Registry.

159 Data analysis

160 Data were analysed using Cox regression analysis. Women were followed from their first hospital
161 infertility admission to the date of diagnosis of borderline ovarian tumor, date of bilateral
162 oophorectomy/salpingo-oophorectomy, date of death or the censor date (15 August 2010),
163 whichever came first. Hazard ratios (HRs) were estimated in univariate and multivariate adjusted
164 analysis for each of the exposure variables. Covariates were entered into the model as either fixed
165 or time dependent variables, depending on when they occurred or were measured. Socio-economic
166 status, age and calendar year were measured at baseline; endometriosis and pelvic inflammatory
167 disorders were diagnosed at baseline. These were entered into the regression model as fixed
168 categorical variables. Women gave birth either before or after the start of follow-up. Birth (parous
169 vs. nulliparous) and age group at first birth (less than 30 years and 30 years or older) were entered
170 into separate models as categorical time dependent variables. Tubal ligation could occur either
171 before or after the start of follow-up; IVF could occur at the start of follow-up or sometime later;
172 hysterectomy occurred after the start of follow-up. These were all entered into Cox regression
173 models as categorical time dependent variables to allow for the correct allocation of follow-up time
174 into time before and time after exposure.

175 Ethics approval

176 This study received ethics approval from The University of Western Australia Human Research Ethics

177 Committee and the Department of Health WA Human Research Ethics Committee.

178

179

180 Results

181 Characteristics of the study participants

182 The eligible population included 22,045 women. We excluded women who were not resident of WA
183 or who were known to have moved out of WA (n=379) as well as women who were deemed to be no
184 longer at risk of a borderline ovarian tumor diagnosis. These included women who had a bilateral
185 oophorectomy/salpingo-oophorectomy before their first infertility admission (n=13), women who
186 had a diagnosis of invasive ovarian cancer either before or within six months of their first infertility
187 admission (n=7) and women who were diagnosed with a borderline ovarian tumor before their first
188 infertility admission (n=7). None of the women in the cohort were diagnosed with borderline
189 ovarian tumors within six months of their first infertility admission. The final cohort comprised a
190 total of 21,639 women.

191 Women were, on average, 31.2 years of age at their first infertility admission and were followed for
192 a mean of 16.9 years. Total observation of the cohort amounted to 365,775 woman-years. Out of a
193 total of 21,639 women, 31 were diagnosed with borderline ovarian tumors, including 17 women
194 who had IVF and 14 women who did not. The average age at diagnosis was 43.2 years (Table 1).
195 Women having IVF were older at the birth of their first child and more likely to be in the upper
196 quartile of the Index of Education and Occupation (Table 1).

197 Borderline ovarian tumor risk factors

198 We examined the association between IVF and potential confounding factors in univariate and then
199 multivariate adjusted analyses (Table 2).

200 IVF treatment was associated with an increased rate of borderline ovarian tumors in univariate and
201 adjusted analysis. The HR was 2.48 (95% confidence interval [CI] 1.22 – 5.04) in unadjusted analysis,
202 and changed only slightly after adjustment for confounding by age, calendar year and socio-
203 economic status to 2.46 (95% CI 1.20 – 5.04) (Table 2).

204 In unadjusted analysis, there appeared to be a slight protective effect of giving birth, in particular
205 giving birth at a young age, however, most of this apparent protection disappeared after adjustment
206 for IVF, socio-economic status, age and calendar year (Table 2). A part of this effect was due to
207 confounding by IVF: women who gave birth, and women who gave birth at a young age were less
208 likely to have had IVF (Table 1) and consequently appeared to have a reduced rate of borderline
209 ovarian tumor diagnosis in the analysis that did not adjust for IVF.

210 We also examined the effect of IVF in parous and nulliparous women separately. In parous women
211 the adjusted HR ratio associated with IVF treatment was 2.96 (95% CI 1.15 – 7.61); in nulliparous
212 women it was 1.88 (95% CI 0.63 – 5.63).

213 Women in the highest quartile of socio-economic status, as measured by the Index of Education and
214 Occupation, had a reduced rate of borderline ovarian tumors. This was particularly apparent in the
215 adjusted analysis where the HR was 0.36 (95% CI 0.12 – 1.03) (Table 2). Women undergoing IVF
216 were more likely to be in the upper quartile of socio-economic status (Table 1) and adjusting for IVF
217 allowed for a more accurate estimate of the effect of socio-economic status.

218 We observed no association between a diagnosis of PID and the rate of borderline ovarian tumors,
219 with an adjusted HR of 0.96 (95% CI 0.37 – 2.51) (Table 2). We did not observe an increased rate
220 with a diagnosis of endometriosis (adjusted HR 0.31, 95% CI 0.04 – 2.29) (Table 2). We found no
221 evidence for a protective effect of either sterilization or hysterectomy with HRs of 1.48 and 1.02
222 (Table 2).

223

224

225 Discussion

226 The results of this study support the proposition that women undergoing IVF treatment are at
227 increased risk of borderline ovarian tumors. Within our cohort, the rate of diagnosis of borderline
228 ovarian tumors was 2.5 times greater in women who sought infertility treatment and had IVF than in
229 women who had infertility treatment but not IVF. These findings are consistent with the only other
230 study of IVF and borderline ovarian tumors [16], and also consistent with most studies of fertility
231 drug treatment and borderline ovarian tumors [8, 12-15].

232 A number of authors [14, 21-23] have suggested that this apparent increase in risk may not be
233 causal, but rather due to surveillance bias. Surveillance bias is a case of “the more you look, the
234 more you find”; the premise being that women who have IVF will be examined more often than
235 women who do not, providing more opportunities for detection. Women undergoing IVF may be
236 exposed to more routine examinations; or, because they are concerned about their IVF exposure,
237 they may be more aware of symptoms of disease and be more pro-active in seeking diagnosis and
238 treatment; alternatively they may be more health conscious and therefore actively seek screening.
239 Any of these could lead to earlier detection and an apparent (though false) increase in risk of
240 disease.

241 This is a logical explanation, but is it true? In order to answer this question, we considered the
242 following: time from last infertility admission to diagnosis of borderline ovarian tumors, age at
243 diagnosis, and risk of borderline tumors in women of high socio-economic status. Women were
244 diagnosed with borderline ovarian tumors, on average 8.6 years after their last hospital infertility
245 admission. Although there was some variability in the time to diagnosis, this considerable lapse of
246 time suggests that borderline tumors were generally not being detected during routine infertility
247 investigation. This counters the first concern. Secondly, if IVF women were more pro-active in
248 seeking diagnosis and treatment, we would expect them to be diagnosed sooner, and at a younger
249 age. This was not the case. The average time from last infertility admission to diagnosis in IVF

250 women was 9.4 years, and in women not undergoing IVF it was 7.3 years. Furthermore, IVF women
251 were diagnosed with borderline tumors at a mean age of 44.7 years, compared with 41.1 years in
252 non-IVF women. IVF women were not diagnosed sooner, or at a younger age. Our third point is that
253 if detection bias was the main reason for the apparent increase in risk of borderline tumors, we
254 would expect women of higher socio-economic status to be more likely to be diagnosed, as they are
255 generally found to be more active in seeking health screening [24]. This was also not the case. We
256 found that women of high socio-economic status had only one third the risk of borderline tumors
257 compared with women of lower socio-economic status.

258 We conclude, therefore, that the results of this study, although not offering definitive evidence in
259 favour of a causal relationship, do not support the hypothesis that the observed increase in risk of
260 borderline tumors after IVF treatment is due to detection bias.

261 We considered a number of known ovarian cancer risk factors [25, 26], to determine whether they
262 were also associated with the development of borderline ovarian tumors. In contrast to previous
263 studies of invasive epithelial ovarian cancer (for example, [18, 27]), we found no evidence for a
264 protective effect of parity. Neither sterilization nor hysterectomy appeared to confer any
265 protection. These observations support the proposition that borderline ovarian tumors are a
266 separate entity with a different (and perhaps heterogeneous) etiology. Unfortunately, we had no
267 information about other potential risk factors, including use of oral contraceptives and hormone
268 replacement therapy.

269 Like Pearce et al [28], we found no evidence for an increased risk of borderline tumors in women
270 diagnosed with endometriosis, with an adjusted HR of 0.31 (95% CI 0.04 – 2.29). This is in direct
271 contrast to most research, including our own [18], on invasive epithelial ovarian cancer. The reason
272 for this could be because borderline tumors are generally found to be of serous and mucinous sub-
273 types [3] while endometriosis is more commonly associated with clear cell and endometrioid
274 invasive ovarian cancer [28].

275 In summary, this study shows an increased rate of borderline ovarian tumors in women who
276 undergo IVF treatment. These are uncommon neoplasms, but with more and more couples relying
277 on IVF to conceive, they may increase in number. Continued data monitoring is warranted.

278

279 Conflict of interest statement

280 LMS, CDJH, JCF and DBP have nothing to declare. RH is a member of the Medical Advisory Board of
281 Schering-Plough, Australia and the Medical Advisory Board of Merck-Serono, Australia and has
282 received travel and accommodation support from the above to attend conferences. RH is a Medical
283 Director of Fertility Specialists of Western Australia and holds shares in Western IVF.

284

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295

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366

367

368 Table 1. Characteristics of the study cohort ¹

369

Characteristic	All women in the cohort	Women not undergoing IVF	Women undergoing IVF
Number of women	21,639	14,095	7,544
Number of women who gave birth (%)	14,902	10,029 (71.1%)	4,873 (64.6%)
Mean ² duration of follow-up (years)	16.9 ± 5.9	17.0 ± 5.9	16.7 ± 5.9
Median duration of follow-up (years)	16.5	16.7	16.1
Total duration of follow-up (person-years)	365,775	240,069	125,706
Mean age at first infertility admission (years)	31.2 ± 5.2	30.8 ± 5.3	32.1 ± 4.8
Mean age at first birth (years)	29.6 ± 6.0	28.4 ± 5.8	32.2 ± 5.4
Number of women with a diagnosis of borderline ovarian tumor	31	14	17
Mean age at borderline ovarian tumor diagnosis (years)	43.2 ± 7.5	41.1 ± 8.1	44.7 ± 6.9
Median age at borderline ovarian tumor diagnosis (years)	43.0	40.5	43.5
Mean time from last infertility admission to borderline ovarian tumor diagnosis (years)	8.6 ± 6.4	7.3 ± 5.6	9.4 ± 7.0

Median time from last infertility admission to borderline tumor diagnosis (years)	8.2	7.5	10.8
% of women in the upper quartile of the Index of Education and Occupation	24	21	31

370

371 ¹ The study cohort included all women in Western Australia seeking hospital investigation and
 372 treatment for infertility in the period 1982-2002 when they were aged between 20 and 44.

373 ² All means are reported \pm SD

374 Table 2. Potential borderline ovarian tumor risk and protective factors

375

Exposure	Number in exposed group	Crude (unadjusted) HR (95% CI) ¹	Adjusted HR (95% CI) ²
IVF	7,544	2.48 (1.22 – 5.04)	2.46 (1.20 – 5.04)
Birth ³	14,902	0.70 (0.34 – 1.43)	0.89 (0.43 – 1.88)
Age at first birth			
No birth recorded	6,737	1.00	1.00
Age < 30 at first birth	7,047	0.41 (0.15 – 1.13)	0.62 (0.20 – 1.87)
Age ≥ 30 at first birth	7,855	1.01 (0.46 – 2.21)	1.05 (0.48 – 2.34)
High socio-economic status ⁴	5,268	0.46 (0.16 – 1.30)	0.36 (0.12 – 1.03)
Diagnoses at baseline			
PID	3,885	0.94 (0.36 – 2.45)	0.96 (0.37 – 2.51)
Endometriosis	2,978	0.26 (0.04 – 1.92)	0.31 (0.04 – 2.29)
Procedures			
Sterilization	3,740	1.55 (0.66 – 3.63)	1.48 (0.63 – 3.48)
Hysterectomy without USO ⁵	2,186	1.01 (0.24 – 4.34)	1.02 (0.24 – 4.37)

376

377 ¹The crude HR is estimated from a model that includes only the variable listed. In each case, it
 378 compares the rate of borderline ovarian tumors in women in the exposed group with all other
 379 women in the cohort.

380 ² Each adjusted HR is estimated from a separate model that includes the variable listed, plus IVF,
 381 socio-economic status, age and calendar year at the first infertility admission.

382 ³ Women known to have given birth were compared with women who had no recorded births.

383 ⁴ Socio-economic status was measured using the Index of Education and Occupation. Women in the
384 upper quartile were compared with women in the lower three quartiles combined.

385 ⁵ A further 690 women had a hysterectomy and a USO, either in the same or separate admissions.
386 None of these were diagnosed with borderline ovarian tumors. A total of 497 women had a USO
387 without hysterectomy in the same or any other admission. None of these were diagnosed with
388 borderline ovarian tumors more than 12 months after USO.