

1 **Response of cathodoluminescence to crystal-plastic**
2 **deformation in zircon**

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13 **Abstract**

14 Geochemical and geochronological studies of zircon are commonly supplemented by
15 cathodoluminescence (CL) imaging because it provides a means of recognizing
16 different generations of zircon growth at high spatial resolution. Crystal-plastic
17 deformation of zircon can have significant effects on zircon geochemistry. Detailed
18 analyses from electron backscatter diffraction mapping combined with panchromatic
19 CL imaging and hyperspectral CL mapping of several crystal-plastically deformed
20 grains from different geological settings are used to establish the relationships
21 between crystal-plastic deformation and CL in zircon. Results show a strong spatial
22 association between deformation microstructures and CL response that lead to
23 modification of CL that commonly cross-cuts primary zoning. Variable contributions
24 from two fundamental deformation-related processes result in a variety of CL
25 characteristics: A defect control on panchromatic CL intensity, particularly at low-
26 angle (subgrain) boundaries; and changes in spectral CL response due to deformation-
27 related modification of CL-active REE geochemistry. A framework is provided for
28 the recognition of deformation-related microstructures using CL and the usefulness of
29 CL imaging in the discrimination of these microstructures is critically evaluated.

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33 Key Words: Cathodoluminescence, EBSD, microstructure, zircon, REE, deformation

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36 **1. Introduction**

37 Panchromatic cathodoluminescence (PCL) imaging commonly supplements a range
38 of studies of zircon (ZrSiO_4) because it is a rapid, high-spatial resolution means of
39 recognizing fine scale intragrain growth zoning and recrystallisation textures, and
40 provides a framework for the interpretation of geochronological and geochemical
41 analyses (Corfu et al., 2003; Geisler et al., 2003; Hanchar and Miller, 1993; Hanchar
42 and Rudnick, 1995; Hoskin, 2000; Hoskin and Black, 2000; Pidgeon, 1992; Vavra,
43 1990). The phenomenon of CL in crystalline solids is caused by the emission of
44 radiation as electrons excited by external irradiation (such as visible light or an
45 electron beam) return to their lower energy ground states. Cathodoluminescence in
46 minerals is typically activated by substitutional trace elements with equivalent valence
47 states at specific sites in the crystal lattice (Pagel et al., 2000). Many of the factors
48 that contribute to the CL signal of zircon are well established. Studies of natural and
49 synthetic zircon show that the presence of various CL-active impurity ions, such as
50 trivalent rare earth elements (REE^{3+}), causes narrow luminescence emission peaks at
51 characteristic wavelengths (Table 1) (Blanc, 2000; Cesbron et al., 1995; Nasdala et
52 al., 2002; Nasdala et al., 2003; Remond et al., 1992). In natural zircon, narrow REE
53 peaks are commonly superimposed on a broad emission peak (Table 1). The origin of
54 so-called 'broadband' CL is not well understood and has been attributed to point
55 defects (Koschek, 1993), OH- defects (Remond et al., 1992), or defects within the
56 silica tetrahedra (Kempe et al., 2000). The sum of all of the luminescence bands gives
57 the integrated intensity seen in panchromatic CL images.

58 Crystal defects, such as structural disorder associated with radiation damage, have
59 been shown to suppress CL intensity in zircon (Geisler and Pidgeon, 2001; Nasdala et

60 al., 2002), because the presence of crystal defects proximal to CL-activator elements
61 changes the crystal field symmetries and can lead to non-luminescence (Geisler and
62 Pidgeon, 2001; Geisler et al., 2001).

63 Recent studies show that zircon can deform by crystal-plasticity at crustal conditions
64 (Reddy et al., 2007) and that deformation-related microstructures and/or associated
65 chemical changes may modify CL signal (Reddy et al., 2007; Reddy et al., 2006;
66 Timms et al., 2006). However, the relationships between CL and deformation-related
67 microstructures are not well documented and remain poorly understood. This paper
68 utilizes panchromatic CL imaging and hyperspectral CL mapping of several examples
69 of deformed zircon grains from different geological settings to characterize the range
70 of responses to crystal-plastic deformation. In so doing the potential use of CL
71 imaging for the reliable identification of plastic deformation is assessed. This is the
72 first detailed study of the effects of deformation on the CL characteristics of zircon.
73

74 **2. Sample characteristics**

75 Five zircon grains were selected to illustrate a variety of effects of different styles of
76 crystal-plastic deformation on zircon CL response. Three grains come from ultramafic
77 and mafic rocks including an Archaean pyroxenite from NW Scotland (GST15), an
78 Indian Ocean gabbroic mylonite (IOZ), and a mantle xenolith from the Udachnaya
79 kimberlite, Siberia (UX), and two grains are from an undeformed andesite from Java
80 (Fig. 1; Table 2). The general paucity of zircons in pyroxenites, mantle xenoliths and
81 mafic rocks means that, when present, they can provide important constraints on
82 geological processes and increased emphasis is placed on geochronological and
83 geochemical data from these grains. Therefore, it is critical to be able to identify and

84 interpret deformation microstructures and their CL response to correctly interpret
85 geochronological and geochemical information from such samples.

86 Sample GST15 is one of several large (c.12.5 mm long), subhedral, deformed zircon
87 grains from the margin of a syntectonic pyroxenite intrusion (Kinny and Friend, 1997)
88 (Fig. 1a). The grain was crystal-plastically deformed soon after crystallization,
89 probably during regional amphibolite-facies Inverian metamorphism (Timms et al.,
90 2006). IOZ is a single magmatic zircon hosted in a deformed gabbro recovered from
91 Ocean Drilling Program Leg 735B drilled at the Atlantis II slow spreading ridge in the
92 Indian Ocean (Dick et al., 2000). The grain is within a 1cm-wide amphibolite-facies
93 shear zone predominantly composed of recrystallised plagioclase and magnetite
94 (Reddy et al., 2007) (Fig. 1b). Grain UX is an anhedral zircon, the largest (>900µm
95 long) grain in a zircon-rich zone of a partially metasomatised garnet websterite mantle
96 xenolith (U2268) from the Udachnaya kimberlite, Siberia (Fig. 1c). Udachnaya
97 peridotite xenoliths preserve assemblages with a range of mantle PT conditions from
98 750-1380°C and ~75-210 km depth, (Boyd et al., 1997). The grains from ultramafic
99 and mafic rocks were originally selected for study for their large size allowing
100 multiple in-situ ion probe analyses to facilitate quantitative comparison with
101 deformation microstructures, which is not presented here.

102 The two grains from an undeformed, Miocene porphyritic andesite from the Ponorogo
103 district of East Java (sample Jhs2PON4 of Smyth et al. (2007)), are more akin to
104 zircon routinely analysed for geochronology and/or geochemistry. Two grains are
105 from a population of euhedral magmatic zircon grains with a weighted mean
106 $^{207}\text{Pb}/^{206}\text{Pb}$ crystallisation age of 9.3 ± 0.2 Ma (Reddy et al., 2008b). Approximately
107 80% of the population preserve crystal-plastic deformation microstructures, and the
108 grains (5 and 8 of Reddy et al. (2008b)) are representative of the style of deformation

109 found in the zircon. The host rock contains plagioclase and hornblende phenocrysts
110 and glomerocrysts in a fine groundmass. Deformation of the zircons occurred within a
111 magma chamber during a low melt fraction cumulate stage before later disaggregation
112 by melt rejuvenation (Reddy et al., 2008b).

113

114 **3. Analytical Procedure**

115 Zircon grains from GST15 and Jhs2PON4 were separated from crushed rock samples
116 using magnetic and methylene iodide liquid separation, then mounted in epoxy resin
117 and polished to approximately half their thickness. For samples IOZ and UX,
118 petrographic thin sections were progressively polished down to 0.25 μ m diamond
119 paste. All of the samples were given a further polish with 0.06 μ m colloidal silica
120 NaOH suspension (pH 9.8) on a Buehler Vibromet II polisher. Colloidal silica
121 polishing times varied between samples from 2-6 hours. A carbon coat was applied
122 prior to CL imaging. For EBSD analysis, previous coats were removed by further
123 polishing (<30 minutes) with colloidal silica, and a light C-coat (c. 2 nm thick) was
124 applied to reduce the effects of charging under the electron beam but maintain a
125 strong EBSD signal. EBSD map data were collected using the SEM facilities at the
126 Microstructural Analysis Facility, Curtin University, part of the Nano-scale
127 Characterization Centre, WA, except EBSD mapping of IOZ which was collected
128 using a Philips XL30 FEG SEM at the University of Adelaide, South Australia. EBSD
129 data were acquired using a Nordlys 1 detector with 20kV accelerating voltage, 20mm
130 working distance, spot size \sim 0.5 μ m, and tilt of 70°. Settings for electron backscatter
131 pattern (EBSP) collection are given in Table 3 following Reddy et al. (2008a). EBSPs
132 were indexed to theoretical reflector files generated via Channel 5 Twist software

133 using structural data for zircon that include Oxford Instruments (HKL Technology
134 Ltd.) “Best in Family” default zircon phase, and zircon at 1 atmosphere and 9.8 GPa
135 from Hazen and Finger (1979), equivalent to zircon [2] and zircon [3] from the
136 Mincrust crystallographic database (Chichagov et al., 2001; Reddy et al., 2008a)
137 (Table 3). All EBSD data were processed using Oxford Instruments Channel 5.9
138 software with a variety of settings and parameters (Table 3). The raw EBSD data were
139 processed using the noise reduction procedure described by Reddy et al. (2007)
140 (Table 3). Visual comparison of the processed data with original data shows that no
141 significant artefacts were generated through noise reduction. Misorientation analysis
142 involves determination of the angle/axis pair that describes the minimum
143 misorientation between two differently oriented structures (Wheeler et al., 2001).
144 Minimum misorientation is commonly referred to in materials science literature as
145 ‘disorientation’ and is referred to herein simply as ‘misorientation’. Cumulative
146 misorientation maps were generated by colouring each pixel for misorientation from a
147 user-defined reference orientation of the grain, and show absolute orientation
148 variations within a grain (Reddy et al., 2008b). The boundaries between adjacent data
149 points with different misorientation angles ($0.5\text{-}1^\circ$, $1\text{-}2^\circ$, $>2^\circ$) were plotted as solid
150 lines, superimposed on cumulative misorientation maps, to show the orientation
151 boundary microstructure. Misorientation axis maps were produced by colour
152 assignment to low-angle ($>0.5^\circ$) boundaries for their misorientation axis orientation
153 within the (user defined) sample x-y-z reference frame. In these maps, adjacent
154 subgrains that have misorientation axes parallel to x, y, or z have red, green or blue
155 boundaries, respectively. A constant colour along the length of a boundary indicates
156 consistency in the axis geometry.

157 Panchromatic CL images were collected using a CCD-Si collector mounted on a
158 Philips XL30 SEM at Curtin University of Technology with 10kV accelerating
159 voltage, spot size of $\sim 0.5\mu\text{m}$ and 15mm working distance. Hyperspectral CL maps of
160 each grain were acquired by automated collection of CL spectra at nodes on a user-
161 defined grid using a 2049-element linear spectrometer/CCD-Si mounted on a Jeol
162 JXA8200 microprobe at the Advanced Analytical Centre, James Cook University,
163 Townsville, Australia. The accelerating voltage was 20kV, with the minimum spot
164 size ($\sim 1\mu\text{m}$) and 100nA probe current. Instrument sensitivity is 86 photons/count and
165 has a spectral range of 331.4 to 1826.9 nm. A grating of 300 lines/mm and blaze
166 width of 500 nm were used. The setting details for individual maps are given in Table
167 3. Trichromatic CL maps were produced from wavelength CL data by assignment of
168 narrow wavelength ranges to three colour channels. Spectral windows at 390-410,
169 540-560 and 595-620 nm encompass common dominant peaks for Er^{3+} , Tb^{3+} and Eu^{3+}
170 plus Sm^{3+} in zircon, respectively, and have been chosen to highlight relative changes
171 in HREE through MREE. The data were managed through XCLent operating software
172 (MacRae et al., 2005).

173

174 **4. Results**

175 **4.1 Microstructure Characteristics**

176 All of the samples analysed in this study preserve microstructures with similar
177 characteristics that include orientation variations accommodated by a combination of
178 both progressive bending of the lattice and discrete ($< 1\mu\text{m}$ wide) low-angle (generally
179 $< 10^\circ$) boundaries (Figs 2-6). These microstructures are commonly heterogeneously
180 distributed across each grain, and not limited to the grain margins. The total

181 cumulative misorientation varies across each grain from $\sim 10^\circ$ (Fig. 5a) to $\sim 23^\circ$ (Fig.
182 6c). The most misoriented domains in the Jhs2PON4 grains are within the grain
183 interior, whereas they are generally towards the grain edge in the other examples.
184 Detailed accounts of the microstructural characteristics of GST15 and IOZ are given
185 by Timms et al., (2006) and Reddy et al. (2007) respectively, and are summarized
186 briefly here.

187

188 The appearance and geometry of low-angle boundary microstructure also varies from
189 sample to sample (Figs 2b, 4b, 5b, 6e, f). Boundaries in IOZ are sub-parallel and form
190 deformation 'bands', whereas boundaries in the other samples form polygonal
191 networks that subdivide the zircon into 'subgrains' with relatively consistent internal
192 orientations (e.g., Figs 2a, 3, 5b, 6c, d). The size of orientation sub-domains is
193 variable within and between individual samples, and is typically 5-150 μm . In all
194 cases, a hierarchy of orientation boundaries is present with numerous boundaries that
195 accommodate low misorientation angles ($< 1.5^\circ$) and comparatively few higher-angle
196 ($< 10^\circ$) boundaries (Fig. 7). Where low-angle boundaries do not intersect other
197 boundaries or the grain edge, they dissipate into wider domains of orientation
198 variation.

199

200 Stereographic analysis of crystallographic pole orientations for each data point of the
201 EBSD maps shows gradual, cumulative dispersion of crystallographic axes that are
202 consistent with the orientation variations shown by the cumulative misorientation
203 maps. IOZ shows a simple dispersion about a single $\langle 100 \rangle$ direction (Fig. 8b). GST
204 15, UX and grain 8 from Jhs2PON4 show complex dispersion patterns that are
205 dominated by combinations of $\langle 001 \rangle$ and $\langle 100 \rangle$ rotation axes (Fig 8a, c, e), whereas

206 the dispersion of crystallographic poles in grain 5 from Jhs2PON4 is dominated by a
207 single rotation about the $\langle 001 \rangle$ direction (Fig.8d). The misorientation axes describing
208 the geometrical coincidence between adjacent data points are systematically aligned
209 in similar orientations to the dominant rotation axes that account for the
210 crystallographic dispersion patterns (Fig. 8). Importantly, additional clusters of
211 misorientation axes are identifiable for samples that show complex dispersion
212 patterns. In most cases, these minor populations of axes are also parallel with low-
213 index directions. For example, two discrete minor clusters of $2\text{-}4^\circ$ data in GST15 align
214 with $\{111\}$ and $\{011\}$ poles respectively (Fig. 8bi) and correspond with two different
215 low-angle boundaries (Fig. 2b). In UX, $2\text{-}5^\circ$ misorientation axes cluster at or near
216 $\{100\}$ poles, whereas $1\text{-}2^\circ$ misorientation axes form several clusters that align with
217 higher-index directions in zircon, and tend to be aligned along the $\{001\}$ and $\{010\}$
218 planes (Fig. 8c). The two Jhs2PON4 grains show systematic alignment of higher-
219 angle misorientation axes with low-index directions, and a minor component of $1\text{-}5^\circ$
220 misorientation axes clustering at higher-index positions (Fig. 8d-e).

221

222 **4.2 Cathodoluminescence**

223 Each grain has different CL characteristics in both panchromatic CL images and
224 wavelength CL maps (Figs 2c,d, 4c, d, 5c, d, 6g, j). The GST15 grain is largely
225 uniform with no oscillatory zoning in panchromatic CL, with a broad decrease in CL-
226 intensity toward the grain edge (Fig. 2c, 3b). This pattern is disrupted by a complex
227 network of CL-dark bands (Figs 2c, 3b). Typically, the borders of the dark CL bands
228 are diffuse, with CL gradients away from the bands, and the bands tend to terminate
229 into broader dark CL areas where they do not link with other bands (Figs 2c, 3b).
230 Narrow (up to $20\mu\text{m}$ wide) zones of bright CL sharp edges transect the grain and cut

231 across the network of dark CL signal and probably represent healed fractures. The
232 network of dark CL bands and bright CL domains is cut by sets of brittle fractures.
233 Hyperspectral CL mapping shows that the CL signal of the centre of the host 'low
234 strain' zircon is dominated by a broad peak centred at ~550 nm with minor peaks at
235 ~500 and ~600 nm (Fig. 9b). The spectral variations that define the dark bands are
236 caused by panchromatic reduction of CL emission without shifts in the relative
237 intensity of different peaks (Fig. 9b).

238

239 Panchromatic CL imaging of IOZ shows fine-scale oscillatory growth zoning
240 characteristic of igneous zircon (Fig. 4c). The CL intensity contrast between the
241 oscillatory bands is reduced in the deformed region of the grain such that the strong
242 zoning is replaced by mid grey CL emission where the zoning is barely visible. This is
243 overprinted by discrete, narrow (~1µm) darker bands that are oblique to oscillatory
244 growth zoning (Fig. 4c). Hyperspectral CL data reveals that the region with reduced
245 contrast in oscillatory zoning corresponds to a shift in the wavelength characteristics
246 of CL emission. Spectral analysis of the grain centre shows a broad peak centred at
247 ~400nm with minor peaks superimposed at ~420nm, ~470nm, ~550nm and ~600nm
248 (Figs 4d, 9a). In comparison, CL spectra from the reduced contrast domain show a
249 similar general form but with decreased luminescence at ~400nm and ~470nm, and
250 increased intensity of peaks at ~550nm and ~600nm.

251

252 The UX grain does not show oscillatory or sector zoning in panchromatic CL, and
253 heterogeneously developed CL-dark bands cross-cut a uniform CL pattern (Fig. 5d).
254 UX has a broad spectral peak centred at ~470nm with superimposed fine structure at
255 ~430, 500, 550 and 600 nm (Fig. 9c). The CL spectra from the dark bands have peaks

256 at the same wavelengths with the same relative magnitudes as the adjacent domains,
257 and differ only in panchromatic intensity (Figs 5d, 9c). This CL texture is disrupted
258 by very subtle, discrete linear features (up to a few microns wide) revealed by
259 wavelength CL that commonly track along the dark bands, and in other places transect
260 the microstructure (Fig. 5d). Spectral analysis shows that the CL-bright features are
261 caused by a decrease in the 475 nm peak and a relative increase in peak intensities at
262 ~550 nm and ~605 nm.

263

264 The zircon grains from Jhs2PON4 have euhedral, bright CL cores with strongly
265 oscillatory zoned rims in panchromatic CL (Fig. 6g-h). The cores are not oscillatory
266 zoned and contain diffuse curvilinear substructures that do not continue into the rims.
267 Localized disruption of the CL pattern in the cores and rims is visible in panchromatic
268 CL. Blocks of rotated and distorted zoning rimmed by dark CL boundaries coincide
269 with the position of sub-domains bound by orientation boundaries identified by EBSD
270 (Fig. 6). The CL spectra of Jhs2PON4 grains are dominated by a broad, asymmetric
271 peak centred at ~400 nm, with narrow minor peaks superimposed on the long
272 wavelength tail at ~500, ~550 and ~605 nm (Fig. 9d-e). CL contrast of primary
273 oscillatory zoning in the undisturbed parts of the grain is generated by panchromatic
274 CL intensity variations rather than shifts in the spectral response. Hyperspectral CL
275 mapping reveals that discrete domains of high local misorientation that cross-cut the
276 primary growth zoning locally show a significant spectral shift with a relative increase
277 of the 500, 550 and 605 nm peaks over peaks at lower wavelengths (Figs 6g-h, 9d-e).

278

279 **5. Discussion**

280 **5.1 Explanation of Orientation Variations**

281 In all samples, the orientation variations identified by EBSD mapping are
282 accommodated by a combination of progressive orientation change and discrete low
283 angle boundaries, most commonly with systematic low-index crystallographic
284 relationships. These microstructures do not have surface topographic expression and
285 do not correspond to visible fractures identified using optical microscopy (with the
286 exception of a complex zone in GST 15 shown in Fig. 2). These characteristics are
287 consistent with the formation and migration of dislocations through the crystal
288 structure, i.e., dislocation creep and subsequent recovery (Reddy et al., 2007; Reddy
289 et al., 2006; Timms et al., 2006), rather than by brittle fracture (Boullier, 1980; Rimsa
290 et al., 2007; Steyrer and Sturm, 2002) or solid-state recrystallisation (Hoskin and
291 Black, 2000). The data show that crystal-plastic deformation microstructures can
292 affect significant areas of the grains and are not always located at the tips or localized
293 at the grain margins.

294

295 Low-angle boundaries are formed during dislocation creep by the accumulation of
296 dislocations into boundary planes to accommodate the progressive bending of a
297 crystal lattice and minimise defect energy of the lattice, and their geometry is a direct
298 consequence of the geometry of contributory slip system(s) (Boyle et al., 1998; Lloyd
299 et al., 1997; Prior et al., 2002). This allows the slip system associated with a particular
300 dislocation geometry to be determined by utilizing a simple geometric model that
301 relates the low-angle boundary orientation and misorientation axis to the Burgers
302 vector and slip plane (Prior et al., 2002; Reddy et al., 2007). The analysis of
303 misorientation axes and low-angle boundary geometry shows that zircon grains

304 contain a variety of dislocation geometries, which indicate that zircon can deform by
305 several slip systems, even within a single grain. Analysis of the grains indicates the
306 operation of the two main slip systems $\langle 100 \rangle \{001\}$ and $\langle 001 \rangle \{100\}$ (and
307 symmetric equivalents), both of which are known slip systems for zircon (Leroux et
308 al., 1999; Reddy et al., 2007; Timms et al., 2006). Boundaries with misorientation
309 axes that coincide with higher index directions, such as $\langle 011 \rangle$ and $\langle 111 \rangle$ in GST15,
310 or $\langle 012 \rangle$ in Jhs2PON4 grain 5, are less common and are a consequence of higher
311 index slip systems, or a result of combinations of different slip systems at a scale that
312 cannot be resolved by the EBSD data (Reddy et al., 2007).

313

314 **5.2 The effects of crystal-plastic deformation on cathodoluminescence in zircon**

315 *5.2.1 Spatial relationship between deformation microstructure and CL textures*

316 In all of the studied samples the effects of crystal-plastic deformation is reflected in
317 CL, with textures that cross cut, disrupt and/or overprint primary growth features.
318 However, the exact response of CL to crystal-plastic deformation is varied, and
319 attributed to two phenomenon – panchromatic reduction in CL response and spectral
320 shifts in the CL response. Additional complexity can be seen in GST15 where new,
321 CL-bright zircon has grown along a domain of crystal-plastic and brittle deformation,
322 either by solid state recrystallisation driven by lattice strain energy, or growth into
323 open fracture porosity (Fig. 2).

324

325 *5.2.2 Panchromatic reduction in CL response – the effect of structural defects*

326 The localized low-luminosity domains associated with low-angle boundaries are
327 interpreted to result from secondary modification of CL due to crystal-plastic
328 processes. The presence of discrete low-angle boundaries implies that the adjacent

329 crystal volume has been swept by a population of dislocations. At high temperatures
330 where this process is efficient, these volumes have a relatively low dislocation
331 density, whereas low-angle boundaries have a significantly higher 'geometrically
332 necessary' dislocation density. The accumulation of dislocations into low-angle
333 boundaries lowers the strain energy of the surrounding crystal volume. Localised loss
334 of CL response at low-angle boundaries suggests that high dislocation densities within
335 low-angle boundary regions has led to short range disorder and disruption of CL-
336 active impurity site symmetry. Both of these factors have been proposed to
337 significantly affect CL in 'undeformed' zircon (Blanc, 2000; Cesbron et al., 1995;
338 Geisler and Pidgeon, 2001). Boundary misorientation angle seems to have a greater
339 effect on loss of CL response than specific slip system geometry, with the darkest
340 domains corresponding with the highest angle boundaries (Fig. 3).

341 The pattern of progressive reduction of panchromatic CL signal developed broadly
342 around low-angle boundaries in GST15 and UX suggests that the migration of
343 dislocations into low-angle boundaries was not efficient. In contrast, the JhsPON4
344 grains tend to have sharply localized reduction in CL response at low-angle
345 boundaries, and IOZ shows virtually no low-angle boundary effect, perhaps as a
346 consequence of efficient dislocation glide and climb (Fig 3). Asymmetrically
347 developed patterns of reduced panchromatic CL is observed around some of the low-
348 angle boundaries in GST15 and UX and could reflect low-angle boundary mobility,
349 where zircon with modified CL response occurs in the trail behind advancing
350 boundaries (Figs 2, 3, 5). Alternatively, this pattern could be a consequence of beam
351 interaction with low-angle boundaries at shallow angles to the polished surface, in
352 agreement with escape depth (activation volume) of CL which is generally

353 significantly larger than for EBSD, secondary and backscattered electrons (Kempe et
354 al., 2000; Nasdala et al., 2004).

355

356 Timms et al. (2006) demonstrated that enrichment of U and Th can occur at low-angle
357 boundaries due to the creation of enhanced-diffusivity pathways. Although the
358 presence of U and Th does not cause CL loss, the accumulated microstructural
359 damage due to α -decay over time could locally suppress panchromatic CL intensity.
360 However, the maximum accumulated α -doses calculated for the young and U-Th poor
361 zircon in this study are low, even for the most U- and Th-enriched domains in GST 15
362 (6.53×10^8 events/mg, after Murakami et al. (1991)) and are unlikely to have
363 suppressed CL. Radiation damage related loss of CL response in other deformed
364 samples depends on factors such as the concentration of U and Th, timing of
365 enrichment, the thermal (annealing) history of the zircon.

366

367 *5.2.3 Spectral shifts in CL signal – geochemical effects*

368 Hyperspectral CL mapping shows narrow emission peaks at known wavelengths for
369 REE³⁺ suggesting that these and other CL-active trace elements are present in the
370 analyzed samples. Detectable peaks correspond to the following CL-active REE³⁺ in
371 each sample: Er³⁺, Dy³⁺, Tb³⁺, Sm³⁺ Eu³⁺ in IOZ and UX; Dy³⁺, Tb³⁺, Sm³⁺ Eu³⁺ in
372 GST15; and Er³⁺, Tb³⁺, Sm³⁺ Eu³⁺ in the Jhs2PON4 grains. Localized differences in
373 CL emission wavelengths associated with crystal-plastic deformation microstructures
374 occur in all except one sample. A change in the relative intensity of REE³⁺ emission
375 peaks is interpreted to reflect changes in the relative concentration of different CL-
376 active REE³⁺. This interpretation has been verified by quantitative ion microprobe
377 analysis of IOZ which records a bulk increase in all REE³⁺, and a preferential increase

378 in middle over heavy REE³⁺ with deformation (Reddy et al., 2006). However,
379 calibration of CL spectra to quantify ionic concentrations is not possible due to non-
380 unique solutions for the deconvolution of CL spectra, primarily arising from
381 interference from different peaks, unknown orientation effects, the competing effect
382 of structural integrity, and instrumental factors such as spectrometer response
383 corrections. Even so, a similar pattern of relative intensification of middle REE³⁺
384 peaks (e.g., Sm³⁺, Eu³⁺, Tb³⁺) over heavy REE³⁺ (e.g., Er³⁺) implies middle REE
385 enrichment in deformed zones in all except one example, and indicates that
386 wavelength modification could be a common phenomenon that accompanies crystal-
387 plastic deformation (Fig. 9). Such changes in zircon composition are best explained
388 by enhanced diffusion associated with the development of crystal-plastic deformation
389 microstructures. Reddy et al. (2006) report REE diffusion distances associated with
390 crystal-plastic high diffusivity pathways in IOZ that are 10⁵ greater than those
391 expected from experimentally-derived, volume diffusion parameters (Cherniak et al.,
392 1997). The spatial extent to which REE composition has been modified is shown by
393 the trichromatic wavelength CL maps and varies between the samples (Figs 1, 3-5). In
394 IOZ, the modified domain encompasses all of the deformed area and is not limited to
395 low-angle boundaries. In contrast, REE modification in Jhs2PON4 grains is restricted
396 to low-angle boundaries and domains of high local misorientation. In UX, REE
397 enrichment is most pronounced along the low-angle boundary network, with
398 additional patchy modification in the deformed domain that is not related to the
399 current position of low-angle boundaries.

400

401 Although the results of this study suggest that deformation-related REE modification
402 could be a common process, little is known about the diffusion characteristics of

403 crystal-plastic deformation microstructures in zircon. The enrichment of middle over
404 heavy REEs in modified zircon could be a product of the chemical reservoir for
405 exchange and/or differences in diffusivity between REEs due to ionic radii variations
406 in a similar way to empirically derived diffusion parameters (e.g., the activation
407 energy, E , and diffusion coefficient, D_0) for volume diffusion (Cherniak et al., 1997).
408 Deformation-related REE enrichment of zircon implies interaction with a REE source,
409 such as a REE bearing fluid, and light REE enrichment or depletion depends on the
410 stability of REE-bearing phases and the grain boundary fluid chemistry (e.g., Rolland
411 et al., 2003).

412

413 **5.3 Evaluation of CL imaging for detecting and interpreting deformation**

414 **microstructures**

415 The net effect of deformation and related processes on the finite CL texture will be
416 governed by the interaction between rates of dislocation glide and climb, recovery,
417 pathway diffusivity (dynamic and arrested), intrinsic defect structure, impurity source
418 availability, and temperature-time history. The resultant texture may be
419 uncomplicated (e.g., IOZ) or have complex overprinting relationships (e.g., UX).

420 Some of the examples in this study illustrate that deformation-related microstructures
421 can be clearly identified in cathodoluminescence images, such as in UX and GST15
422 where there is no primary growth zoning, or where primary growth zoning is clearly
423 disrupted, such as for grain 5 of Jhs2PON4. However, other samples show that
424 deformation microstructures can be cryptic. This is true where deformation has
425 resulted in changes in emission wavelength but with negligible changes to the
426 integrated emission intensity. In other cases, primary CL features may dominate and

427 deformation effects on panchromatic CL images can be very subtle and easily
428 overlooked.

429

430 A current analytical problem is that crystal-plastic deformation microstructures can
431 cause geochemical heterogeneity at finer scales than the spatial resolution of many
432 current quantitative microbeam techniques, such as ion microprobe and laser ICP-MS.
433 This study illustrates that wavelength CL mapping can be a useful technique to
434 spatially resolve variations in REE in zircon semi-quantitatively, and down to ppm
435 levels, at the same scale as microstructural analysis by EBSD. However, interplay
436 between various controls on CL leads to ambiguities associated with the interpretation
437 of panchromatic CL images and hyperspectral CL maps. Given that deformation can
438 be accompanied by geochemical modification of zircon, and that deformation-related
439 textures are not always clear on CL images, it is important to identify and characterize
440 deformation in zircon via other imaging techniques, such as orientation contrast
441 imaging, or orientation mapping by electron backscatter diffraction. In conclusion,
442 this study highlights that CL imaging and orientation mapping can be a powerful
443 combination for robust interpretations of intragrain characteristics of zircon.

444

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457

458

459

460 Figure 1

461 Photomicrographs and maps of the samples utilized in this study. (ai) Reflected light
462 optical image of zircon-bearing pyroxenite GST15. (aii) Cumulative misorientation
463 map and profile of zircon grain from GST15. (b) Backscatter electron image of IOZ.
464 (ci) Optical micrographs of mantle xenolith U2268 showing the context of zircon UX.
465 (cii) Detail of area shown in (ci). (d) Panchromatic CL image of magmatic zircon
466 population separated from Jhs2PON4 (after Reddy et al. (2008b)). 80% of the grains
467 preserve crystal-plastic deformation microstructures (indicated by dots).

468

469 Figure 2

470 (a) Cumulative orientation map, (b) boundary misorientation axis geometry map, (c)
471 panchromatic CL image, and (d) hyperspectral CL map of the deformed tip of the
472 zircon grain from GST15 (after Timms et al. (2006)). The microstructure is dominated
473 by a complex pattern of orientation domains with $<5^\circ$ misorientation boundaries that
474 cumulatively accommodate $\sim 11^\circ$ of misorientation across the area shown. Orientation
475 boundaries in (a) form a polygonal network and are sites of lower panchromatic CL
476 intensity in (c) than the orientation domains. The complex zone shown in lower left
477 contains a combination of ductile and brittle deformation microstructures, and
478 contains patches of recrystallised bright-CL zircon. (b) shows systematic geometric
479 relationships between misorientation axes and differently oriented boundaries. The
480 colour uniformity in (d) indicates no relative changes in Er^{3+} , Tb^{3+} , Sm^{3+} or Eu^{3+} peak
481 intensities. Profile (i) to (ii) and boundary labelled α shown in Fig. 3. Dark ellipses in
482 (a) and (b) are non-indexed points over ion probe analysis pits. Boundary β shows
483 asymmetrically developed pattern of reduced-CL. Refer to text and Fig. 8 for

484 explanation of crystallographic direction annotations in (b). Numbered areas in (d)
485 correspond to CL spectra shown in Fig. 9.

486

487 Figure 3

488 (a) Misorientation profile and (b) panchromatic CL intensity profiles for transect (i) to
489 (ii) shown in Fig. 2. Peaks in the non-cumulative misorientation profile correspond to
490 low-angle boundaries. (b) shows a general, gradual decrease in CL intensity from left
491 to right with negative excursions that generally correspond to the position of low-
492 angle boundaries. Range bars indicate the approximate extent of significant CL-
493 quenching associated with $>0.5^\circ$ boundaries. Note the asymmetry of profile associated
494 with boundary labelled α . See text for discussion.

495

496 Figure 4

497 (a) Cumulative orientation map, (b) boundary misorientation axis geometry map, (c)
498 panchromatic CL image, and (d) hyperspectral CL map of the deformed tip of IOZ
499 (after Reddy et al. (2006)). The grain records 14° of cumulative lattice strain
500 accommodated by sub-parallel low-angle boundaries. Oscillatory CL growth zones
501 are homogenized in the deformed region. Arrows in (b) highlight selected boundaries
502 visible in (c). Arrows in (c) highlight linear dark bands that correspond to low-angle
503 boundaries. Colour change from blue-green to red in (d) indicates increase in the REE
504 CL peak for Tb^{3+} relative to Er^{3+} . Numbered areas in (d) correspond to CL spectra
505 shown in Fig. 9b.

506

507 Figure 5

508 (a) Cumulative orientation map, (b) boundary misorientation axis geometry map, (c)
509 panchromatic CL image, and (d) hyperspectral CL map of the deformed tip of UX.
510 The grain records a cumulative misorientation of up to 10° towards the corners of the
511 grain, accommodated by a system of well-defined $1\text{-}5^\circ$ boundaries and less discrete
512 $<1^\circ$ boundaries. (b) shows systematic geometric relationships between boundary
513 orientation and boundary misorientation axes. (bi) indicates a domain of distributed
514 lattice strain, (bii) indicates a discrete low-angle boundary. (c) shows patchy domains
515 of featureless panchromatic CL disrupted by variably low-luminescent domains that
516 mimic the deformation microstructure. (d) shows an additional complexity of
517 distinctive yellow patches and ribbons that indicate increased luminescence from
518 Tb^{3+} , Sm^{3+} and Eu^{3+} relative to Er^{3+} . Numbered areas in (d) correspond to CL spectra
519 shown in Fig. 9c.

520

521 Figure 6

522 (a-b) Cumulative orientation maps, (c-d) boundary misorientation axis geometry
523 maps, (e-f) panchromatic CL images, (g-h) hyperspectral CL maps of grains 5 and 8
524 from Jhs2PON4 (Fig. 1d). (a-b) show that both grains preserve heterogeneously
525 distributed polygonal orientation sub-domains, particularly on their flanks. These are
526 separated by low-angle ($<5^\circ$) boundaries with systematic misorientation axes (c-d)
527 that accommodate cumulative misorientation of 23° and 12° across grains 5 and 8,
528 respectively. See text for description of (e) to (h). Numbered areas in (g-h) correspond
529 to CL spectra shown in Fig. 9d, e. ((d, b, e and f) after Reddy et al. (2008b)).

530

531 Figure 7

532 Distribution of correlated (i.e., for adjacent data points) misorientation angles for (a)
533 GST15, (b) IOZ, (c) UX, and (d-e) grains 5 and 8 from Jhs2PON4. Each grain
534 contains a high relative frequency of low misorientation angles and a progressively
535 lower proportion to higher misorientation angles. See text for discussion.

536

537 Figure 8

538 Stereographic projections of crystallographic data from EBSD maps on Figs 2, 4-6 for
539 (a) GST15, after Timms et al. (2006), (b) IOZ, (c) UX, and (d-e) grains 5 and 8 from
540 Jhs2PON4, respectively. (i) Pole to selected low-index planes for all data on each
541 map. Colours correspond to misorientation away from a reference orientation as
542 shown in previous figures. (ii) Plots of 0.5-5° misorientation axis data for adjacent
543 EBSD analysis points. (a.i) Data is a subset that does not include misorientation axes
544 that relate to late brittle fractures. (i) and (ii) plotted as lower hemisphere equal area
545 projections in the user-defined sample x-y-z coordinate system.

546

547 Figure 9

548 Selected CL emission spectra from (a) GST15 (after Timms et al. (2006)), (b) IOZ,
549 (c) UX, (d) Jhs2PON4 grain 5, and (e) Jhs2PON4 grain 8. Individual spectra represent
550 the mean from approximately 10 by 10 µm areas corresponding to numbered points
551 shown on hyperspectral CL maps in previous figures. Annotations include the
552 position of the dominant peaks for REE³⁺, and the wavelengths assigned to blue,
553 green and red colour channels in wavelength maps in previous figures. See text for
554 discussion.

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698

699

Sample ID	Grain Length (μm)	Host rock	Location	Latitude/Longitude	U (ppm)	Th (ppm)	Age (Ma)
GST15 ^{1,2}	ca. 12,500	Syctectonic pyroxenite	Loch an Daimh Mór, Assynt Terrain, Lewisian complex, NW Scotland	58°19'54"N 05°07'57"W	20-60	30-110	²⁰⁷ Pb/ ²⁰⁶ Pb: 2451 ±14 (35)
IOZ ^{3,4}	ca. 700	Deformed gabbro	ODP Leg 176, Atlantis II Ridge, Indian Ocean	32°43.392'S, 57°15.960'E	3-6	1-4	ca. 11
UX ⁵	ca. 900	Metasomatised garnet websterite mantle xenolith	Udachnaya Kimberlite, Siberia	66°25'N 112°51'W	c. 12	c. 40	Proterozoic
Jhs2PON4 ^{6,7} grain 5	ca. 300	Undeformed porphyritic Andesite	Porongo district, E. Java	07°50'S 111°40'E	1297	408	²⁰⁶ Pb/ ²³⁸ U: 9.28 ± 0.21 (20)
Jhs2PON4 ^{6,7} grain 8	ca. 200				2112	810	

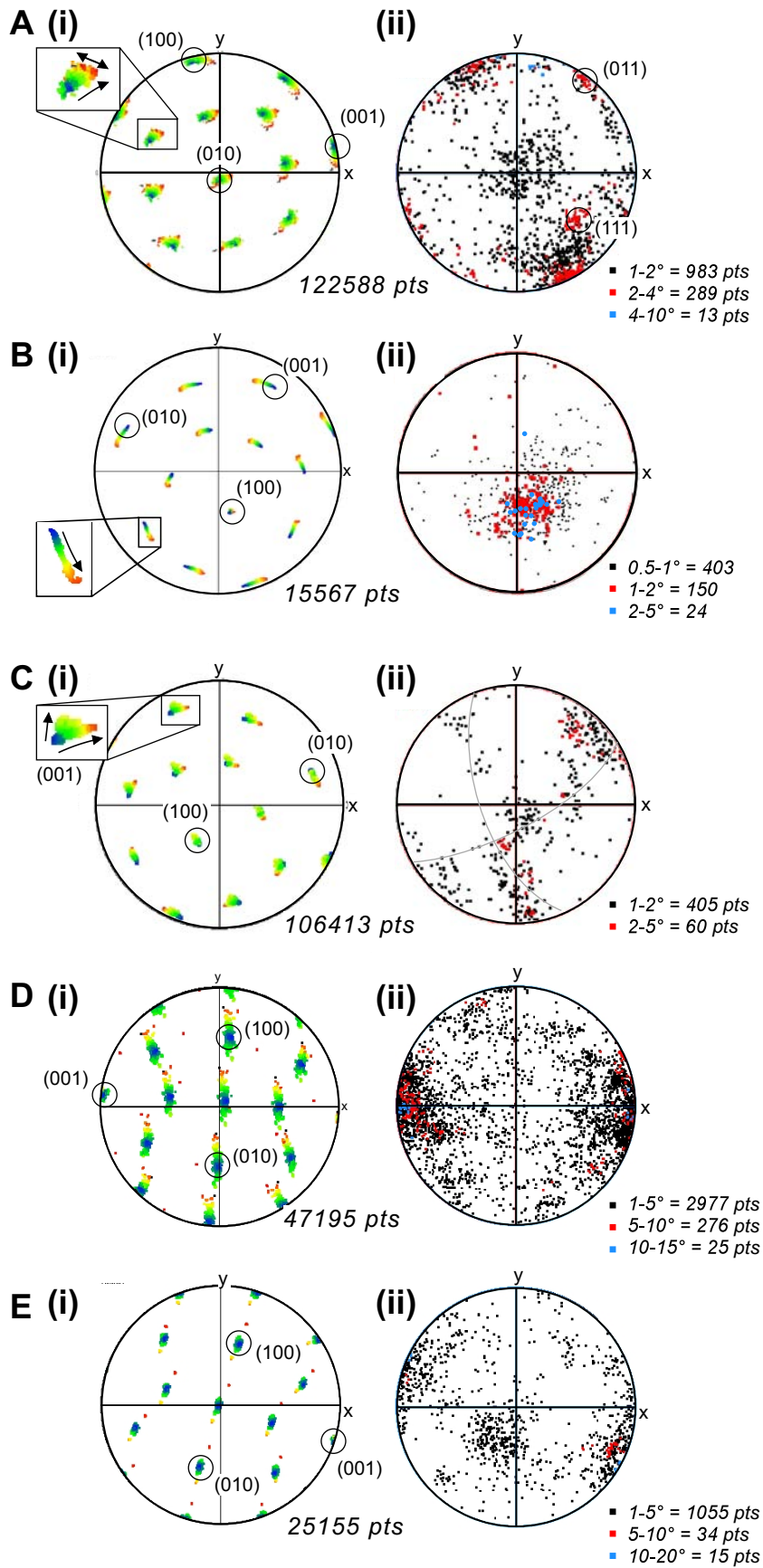
Table 2. Sample details. U-Pb ages are combined mean ages from multiple (*n*) SHRIMP analyses, e.g., Jhs2PON4 age is the weighted mean age from 20 analyses from 20 grains. Errors are 1 σ . ¹ Kinny & Friend (1997); ² Timms et al. (2006); ³ Reddy et al. (2006); ⁴ Reddy et al. (2007); ⁵ Timms (unpublished data); ⁶ Smyth et al. (2007); ⁷ Reddy et al. (2008b).

CL activator	Spectral peak positions									
	Ref	~300nm	~400nm	~500nm	~600nm	~700nm	~800nm	~900nm	>900nm	
Cr ³⁺	c				694	775				
Cr ³⁺ (300 K)	c								1213	
Cr ³⁺ (12 K)	c								1132 1154 1191 1215 1258	
Ce ³⁺	a	-	-	-	-	-	-	-	-	
	b	355								
Pr ³⁺	a	Non-excited								
	b		489		596		621			
Nd ³⁺	a							809 874	882-892	
	b									
Sm ³⁺	a	342-361			559-570 597- 605-	615 646-661		702-710-725		
	b				565	601 612 647				
Eu ³⁺	a	302-363-386			560 595 -616-632	652-656		692-704		
	b				596	616 654		702 707		
Gd ³⁺	a	30-360 308- 313								
	b	312								
Tb ³⁺	a	382	415 436 459 475	489 548 588-596		624 666 672 685	766		837 878	
	b	383	415 437 489	548						
Dy ³⁺	a	(280) 362	456 483	542 578		609 646-666	754 780		810-828-845-861	
	b		478	575						
Ho ³⁺	a	-	-	-	-	-	-	-	-	
	b			549		665				
Er ³⁺	a	323	405 474	530		619				
	b			549 559						
Tm ³⁺	a	291 350-364	383 454 481	513		656-679	758		790-805	
	b	289 347	458 483							
Intrinsic	d	(300)-340-(380)								
Intrinsic	d	(210)-285-(340)								
Intrinsic	e	(500-700)								
Intrinsic	f	(<300-475)								
Intrinsic	e	(490-700)								
Intrinsic	g									
Intrinsic	h	(400)-430-580-(800)								

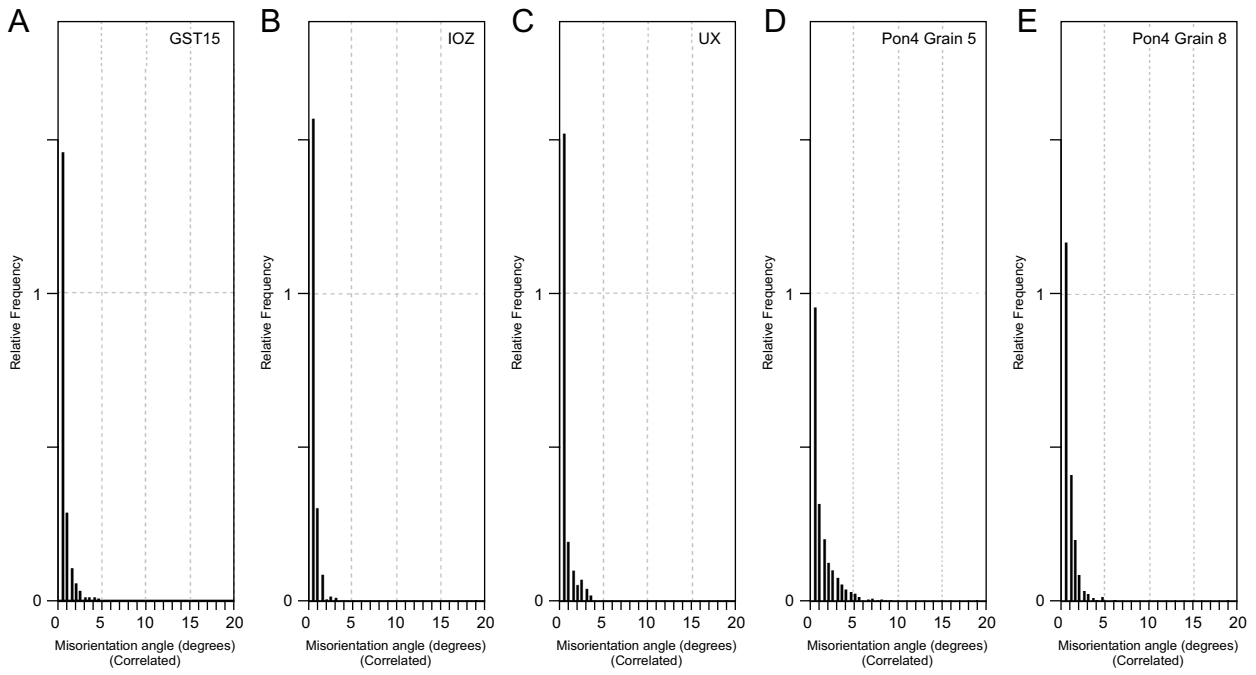
Table 1. Spectral peak positions for cathodoluminescence due to REEs, other trace elements, and intrinsic sources in zircon. Approximate spectral range of intrinsic broadband emission indicated by parentheses. Dominant peaks are indicated in bold. a (Blanc, 2000); b (Gaft et al., 2000b); c (Gaft et al., 2000a); d (Nasdala et al., 2002); e (Nasdala et al., 2003); f (Hanchar and Rudnick, 1995); g (Timms et al., 2006); h (Götze et al., 1999).

EBSD map settings and statistics	Fig 1 IOZ	Fig. 2 GST15	Fig. 4 UX	Fig. 5 Jhs2PON4- 5	Fig. 6 Jhs2PON4- 8
EBSF collection time per frame (ms)	60	60	60	60	60
Background (frames)	64	64	64	64	64
EBSF noise reduction (frames)	4	4	4	4	4
(binning)	4x4	2x2	2x2	4x4	4x4
(gain)	Low	High	Low	Low	Low
Number of reflectors	50	80	80	80	80
Hough resolution	60	65	65	65	65
Match units	HKL b.i.f.	Zircon [2]*	HKL b.i.f.	Zircon [3]*	Zircon [3]*
Band detection – min/max no. of bands	6/10	6/8	6/8	6/8	6/8
Mean angular deviation cut off	1.6	1.3	1.3	1.3	1.3
Step size (µm)	1	1.5	1	1	1
Step X	172	340	392	180	276
Step Y	123	340	428	390	190
Average mean angular deviation (°)	0.3702	0.4955	0.5201	0.4216	0.5107
<i>EBSF noise reduction</i>					
Wildspike removal (% of total data)	0.00006	0.032	0.176	0.058	0.264
5 neighbour zero solution extrapolation (% of total data)	0.17	14.65	16.67	4.96	14.99
Orientation averaging filter** (Filter size / smoothing angle / artefact angle)	3x3 / 5° / 1°	3x3 / 5° / 1°	3x3 / 5° / 1°	3x3 / 5° / 1°	3x3 / 5° / 1°
Wavelength CL map settings					
Dwell time per point (ms)	50	50	25	25	25
Step size (µm)	0.5	2	1	1	1
Step X	200	250	440	300	330
Step Y	250	250	440	500	230

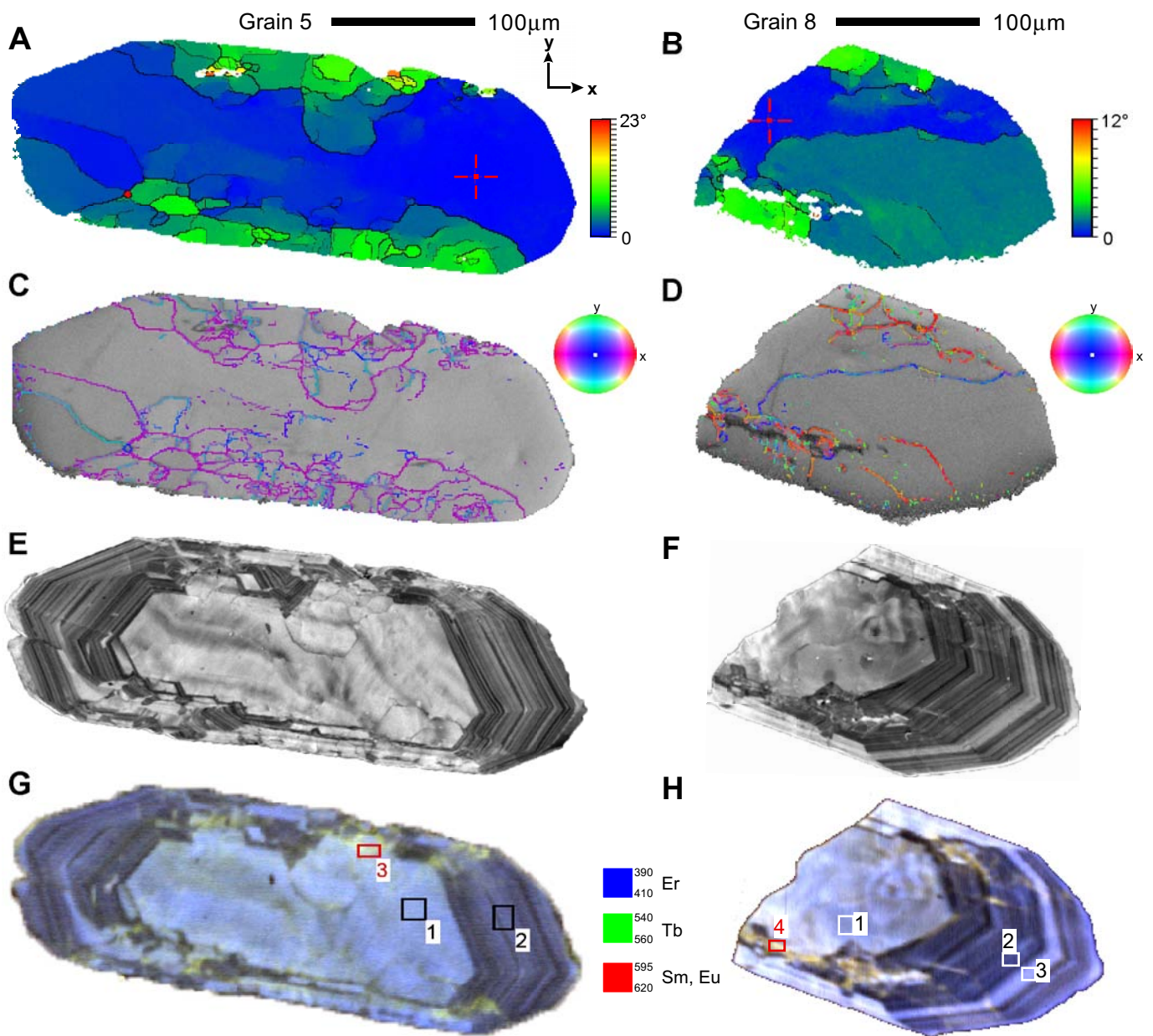
Table 3. Settings for EBSD and wavelength CL maps. *generated from structure file cards in the Mincryst crystallographic database. ** after Humphreys et al. (2001).



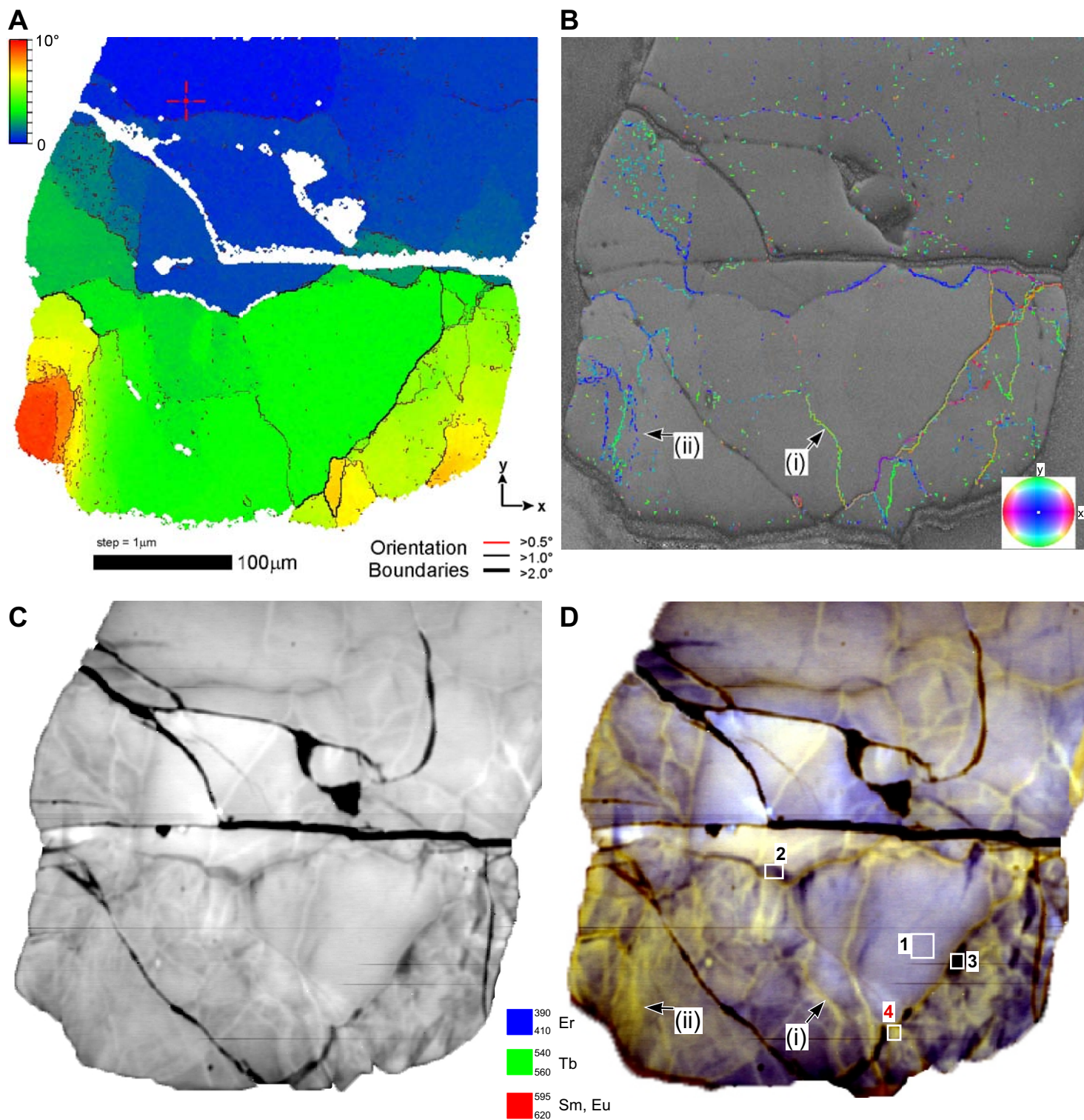
Timms & Reddy Figure 8

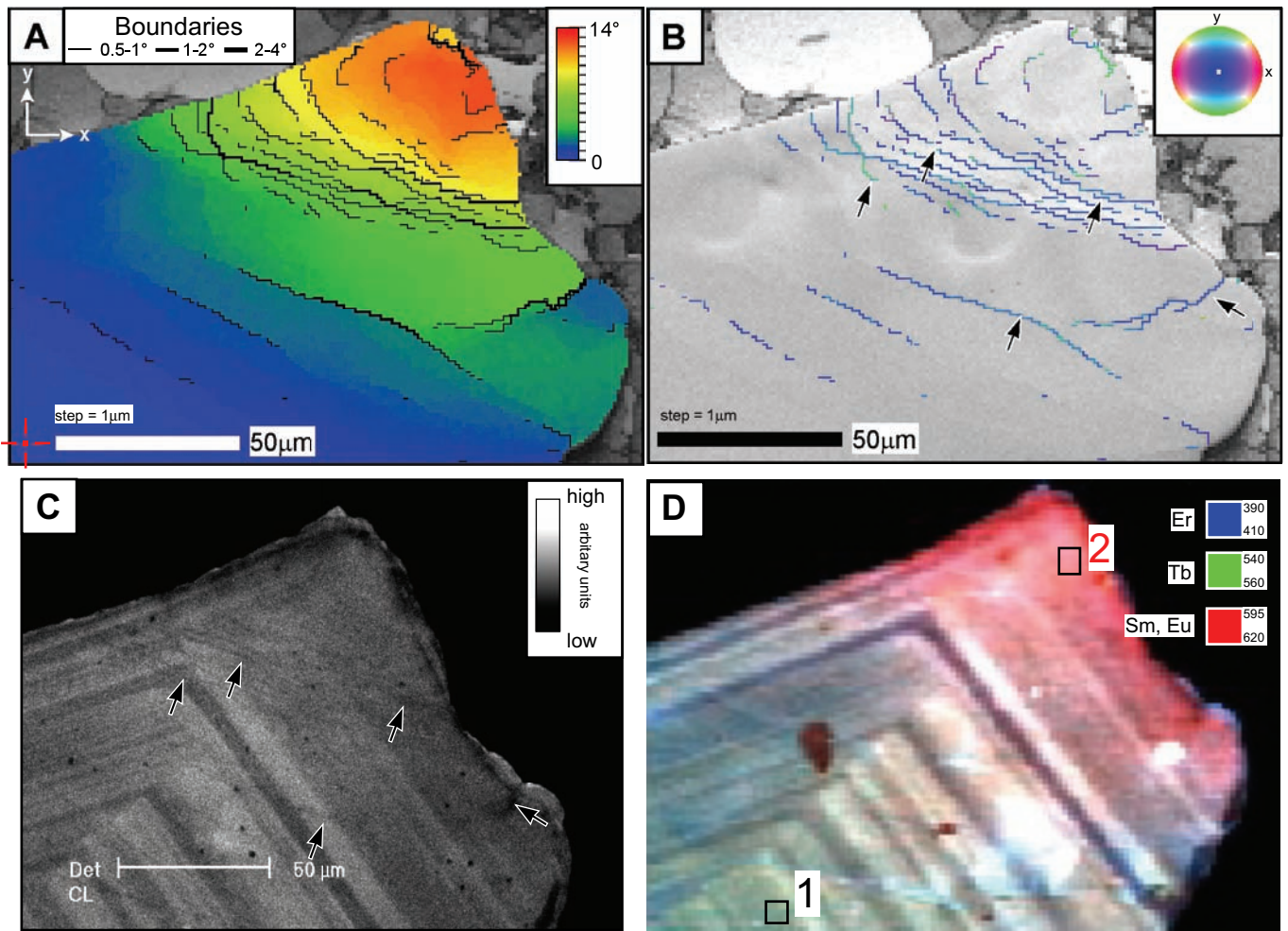


Timms & Reddy Figure 7

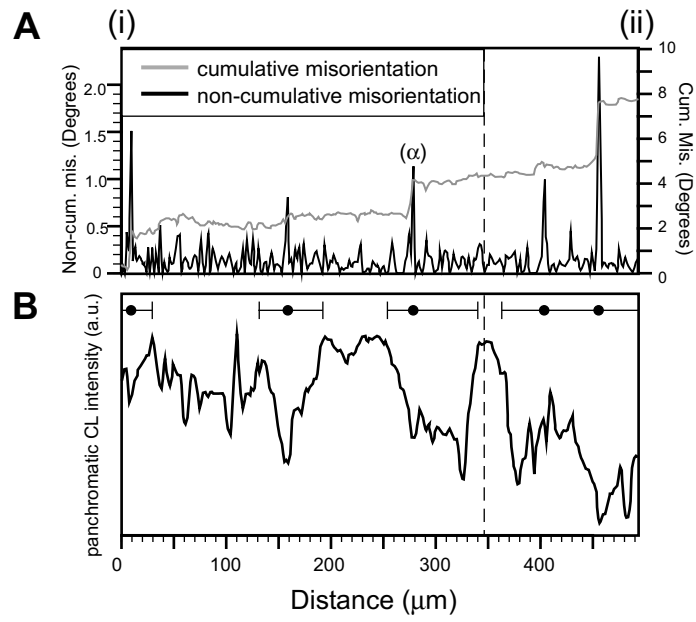


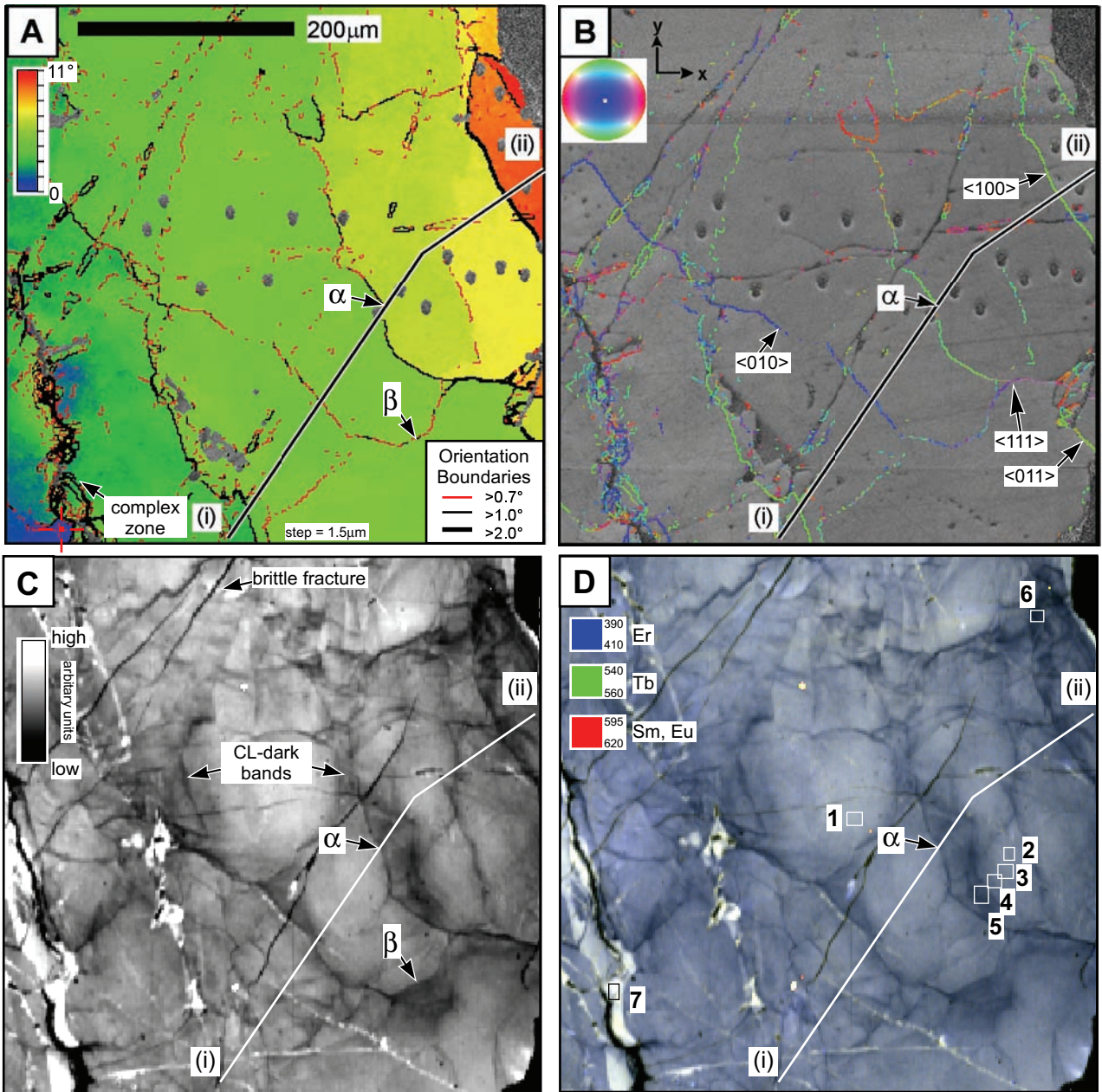
Timms & Reddy Figure 6



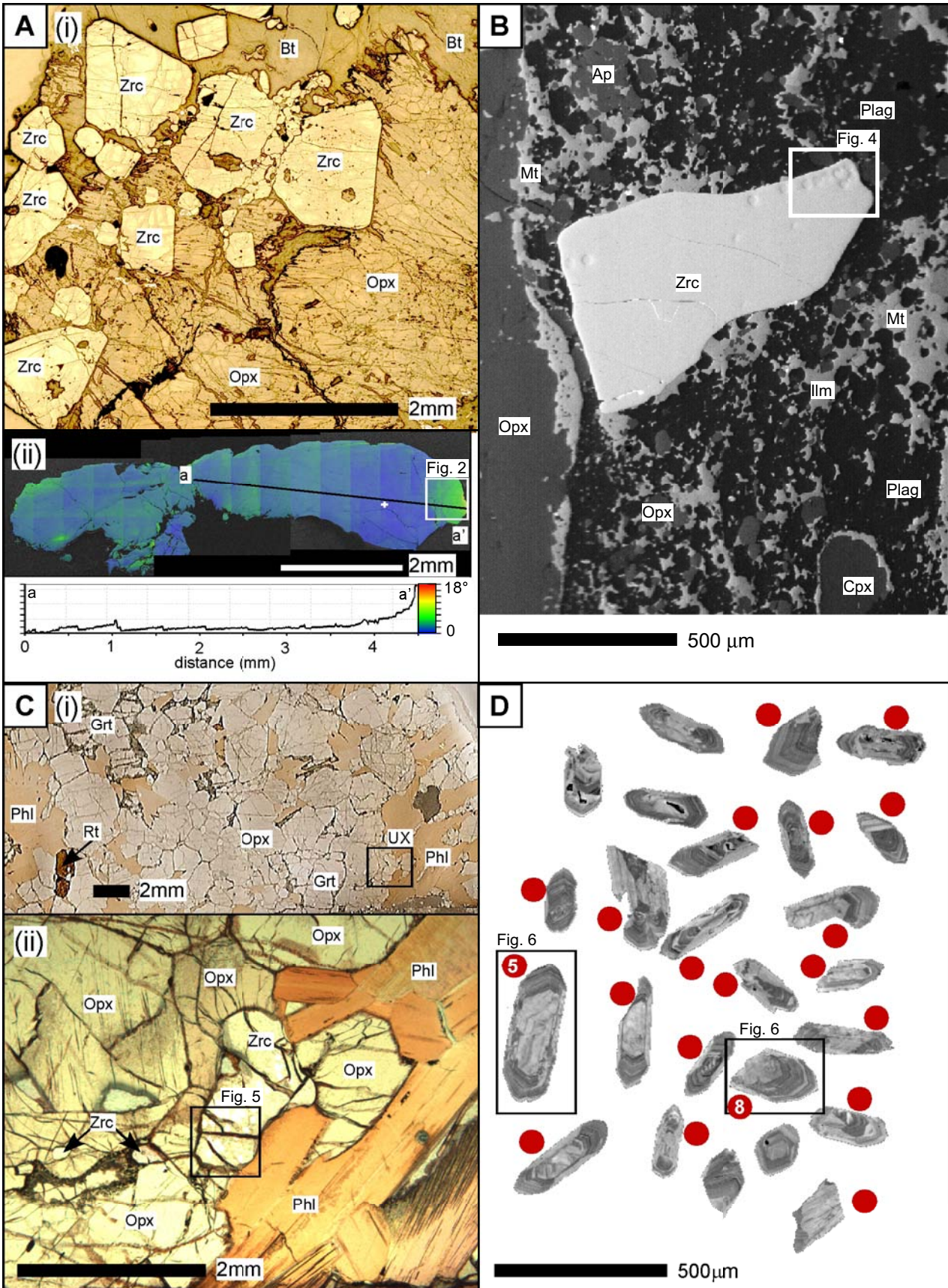


Timms & Reddy Figure 4





Timms & Reddy Figure 2



Timms & Reddy Figure 1

