

Approaches and adjuncts used by physiotherapists when suctioning adult patients who are intubated and ventilated in intensive care units in Australia and New Zealand: a cross-sectional survey

Charissa S.L. Tan^a, Meg Harrold^a, Kylie Hill^{a,b,c*}

^a *School of Physiotherapy and Exercise Science, Faculty of Health Sciences, Curtin University, GPO Box U1987, Perth WA 6845, Australia*

^b *Institute for Respiratory Health, Ground Floor, E Block, Sir Charles Gairdner Hospital, Hospital Ave, Nedlands WA 6009, Australia*

^c *Physiotherapy Department, Royal Perth Hospital, 197 Wellington Street, Perth WA 6000, Australia*

*Corresponding author at: School of Physiotherapy and Exercise Science, Faculty of Health Sciences, Curtin University, GPO Box U1987, Perth WA 6845, Australia. Tel.: +61 8 9266 2774.

E-mail addresses: k.hill@curtin.edu.au (K. Hill), charissa_sl_tan@ttsh.com.sg (C.S.L. Tan),

m.harrold@curtin.edu.au (M. Harrold).

1 **Abstract**

2 *Background:* Suctioning is an integral component of care for patients who are intubated and ventilated
3 in an intensive care unit (ICU). There appears to be no published data of physiotherapy suctioning
4 practices in Australia or New Zealand.

5 *Objective:* To describe suctioning practices and the factors which have shaped these practices, of
6 experienced physiotherapists working with adults who are intubated and ventilated in an ICU across
7 Australia and New Zealand. Areas of investigation focused on: (i) suctioning approach (i.e. open vs.
8 closed system); (ii) use of adjuncts to suctioning such as hyperoxygenation, hyperinflation and saline
9 lavage; (iii) use of subglottic suctioning and; (iv) factors influencing suctioning practices.

10 *Methods:* Electronic surveys were emailed to experienced physiotherapists working in ICUs across
11 Australia and New Zealand which had the capacity to intubate and ventilate adult patients for ≥ 24
12 hours.

13 *Results:* The participation rate was 84.8% (112/132). Closed suction system was used in most ICUs
14 (97/112, 86.6%). Hyperoxygenation was commonly performed on ‘all’ or ‘most’ patients *before*
15 suctioning (71/112, 63.4%), but less frequently *after* suctioning (38/112, 33.9%). Hyperinflation was
16 infrequently performed on ‘all’ or ‘most’ patients *before* (22/112, 19.6%) or *after* suctioning (22/112,
17 19.6%). Saline lavage and subglottic suctioning were infrequently performed on ‘all’ or ‘most’ patients
18 (3/112, 2.7%; 17/112, 15.2%, respectively). ‘Personal experience’ and ‘established practice in the
19 ICU’ had the greatest influence on suctioning practices.

20 *Conclusions:* Most ICUs in Australia and New Zealand are equipped for closed system suctioning. As
21 hyperoxygenation minimises desaturation during suctioning, there may be scope for a larger
22 proportion of physiotherapists to use this adjunct. The practice of hyperinflation before and after
23 suctioning was uncommon despite the emerging evidence for improved lung compliance with this
24 procedure. Subglottic suctioning was infrequently available as a choice for physiotherapists despite the
25 strong evidence, which suggests an evidence-practice gap.

26

27 *Keywords:* Endotracheal; Health care surveys; Intensive care; Physiotherapy; Suction

28 **1. Introduction**

29 Suctioning is a procedure that involves the use of negative pressure to remove secretions from
30 the airway.^{1,2} It is an integral component of care for patients who are intubated and ventilated in an
31 intensive care unit (ICU).¹ Nevertheless, it is often a painful and distressing experience and places the
32 patient at risk of oxygen desaturation, reduced lung compliance, infection, and untoward
33 cardiovascular responses.²⁻⁵ Various approaches and adjuncts to suctioning have been trialed to
34 mitigate these risks. Regarding approaches, both the open suction system (OSS) and closed suction
35 system (CSS) may be available to use in an ICU. An OSS approach involves either completely
36 disconnecting the patient from the ventilator to introduce the suction catheter into the airway, or
37 introducing the suction catheter into the airway through a self-sealing port which assists in preserving
38 positive end-expiratory pressure.¹ In contrast, a CSS approach or ‘in-line’ suctioning, involves using a
39 suction catheter in an enclosed plastic sleeve, which is integrated into the ventilatory circuit. These
40 suction catheters, enclosed in their sleeves, are often left in place for up to 72 hours.^{1,6} There is
41 emerging evidence that, compared with OSS, CSS reduces the risk of ventilator-associated pneumonia
42 (VAP)⁷ but does not influence oxygenation, duration of mechanical ventilation, length of ICU stay, or
43 mortality.^{1,6-9}

44 In addition to different suctioning approaches, adjuncts such as the use of hyperoxygenation,
45 hyperinflation and saline lavage, are available to mitigate risk and/or optimise sputum yield. There is
46 strong evidence that hyperoxygenation performed before, during and after suctioning helps to
47 minimise desaturation after suctioning.^{1,10,11} Hyperinflation implemented before and after suctioning
48 has been shown to improve lung compliance following suctioning,¹ and there is some evidence that
49 saline lavage will increase sputum yield, although it is unclear whether or not it increases the incidence
50 of VAP.^{12,13} Regarding equipment, some ICUs facilitate subglottic suctioning, which involves
51 removing secretions that have pooled above the cuff of the endotracheal tube, through the use of a
52 specially designed endotracheal tube with a separate dorsal lumen that opens directly above the
53 endotracheal tube cuff.^{1,14} There is evidence that subglottic suctioning reduces the risk of VAP,
54 duration of mechanical ventilation, and length of ICU stay.^{14,15}

55 To date, there appears to be no published data of the approach and adjuncts to suctioning used
56 by physiotherapists working in ICUs across Australia or New Zealand (NZ). As such, it is difficult to
57 ascertain whether evidence is shaping current suctioning practices, so that safety and effectiveness of
58 the procedure are optimised. Therefore, the aim of this study was to report the current suctioning
59 practices of experienced physiotherapists working with adult patients who are intubated and ventilated
60 in an ICU in Australia or NZ. The scope of this investigation was limited to: (i) the use of OSS vs.
61 CSS; (ii) the use of adjuncts to suctioning such as hyperoxygenation, hyperinflation and saline lavage;
62 (iii) the use of subglottic suctioning and; (iv) factors that have shaped suctioning practices.

63

64 **2. Materials and methods**

65 *2.1. Study design*

66 A cross-sectional observational study was conducted across Australia and NZ with data
67 collection taking place between January 2014 and March 2014. Ethical approval was obtained from the
68 Human Research Ethics Committee at xxx.

69

70 *2.2. Setting and eligibility criteria*

71 A list of ICUs in Australia and NZ were identified via the Australian and NZ Intensive Care
72 Society Centre for Outcome Resource Evaluation database¹⁶ and the National Health Performance
73 Authority of Australia website.¹⁷ Hospitals from this list with the capacity to intubate and ventilate
74 adult patients for ≥ 24 hours were eligible for inclusion. Hospitals were excluded if the ICU
75 accommodated primarily a neonatal or paediatric case mix. Staff at each hospital were contacted via
76 telephone to confirm that their ICU met the eligibility criteria.

77 For hospitals that met the study criteria, the manager of the Physiotherapy Department was
78 contacted either via telephone or email, and asked to provide the contact details of the physiotherapist
79 with the most experience in this clinical area who maintained a clinical caseload in the ICU. This
80 physiotherapist was then invited to participate in this study and provided with a participant information
81 sheet via email. Each physiotherapist was asked to complete an electronic survey within two weeks.
82 Return of the completed survey was implied as consent to participate in the study. Participants were

83 informed that on completion of data collection, prior to analysis, data would be saved in a de-identified
84 format.

85

86 *2.3. Optimising the participation rate*

87 A modified Dillman approach was used to optimise participation rate,¹⁸ as this has been
88 demonstrated to be effective in other studies.^{19, 20} Reminder emails were sent every two weeks until
89 such time as the survey had been completed. A maximum of three reminders were sent to the
90 physiotherapist, followed by one reminder email to the manager of the Physiotherapy Department as a
91 last attempt to facilitate participation.

92

93 *2.4. Survey format and variables*

94 An electronic (i.e. online) survey was developed using the Qualtrics survey software program
95 (see online supplement for a copy of the survey).²¹ In order to optimise readability and face validity,
96 the survey was piloted by five experienced cardiopulmonary physiotherapists prior to use. The final
97 survey comprised four sections and a total of 44 questions. Sections 1 and 2 included questions
98 pertaining to the characteristics of the ICU and physiotherapists who completed the survey,
99 respectively. Section 3 focused on the factors that shaped the suctioning practices (entry level training,
100 textbooks, established practice in ICU, published journal articles, personal experience, professional
101 development and postgraduate education). Section 4 included questions pertaining to OSS vs. CSS,
102 adjuncts (hyperoxygenation, hyperinflation and saline lavage) and whether or not subglottic suctioning
103 was available to the physiotherapist. The responses to most questions were in the format of a 5-point
104 Likert scale (e.g. 'All patients', 'Most patients', 'Some patients', 'A few patients' or 'None of the
105 patients'). A small proportion of questions were in the format of multiple choice or open-ended
106 responses.

107

108 *2.5. Data analysis*

109 Categorical data were expressed in terms of count, frequency and proportions. Free text was
110 analysed by development of themes and reported qualitatively. To facilitate interpretation of data

111 obtained in Section 4 of the survey, responses of ‘all’ and ‘most’ were collapsed and reported together.
112 This is because a response of ‘all’ and ‘most’ to any question in this section indicated that the
113 physiotherapist applied the approach in the majority of patients. As this study was descriptive and did
114 not test any hypotheses, no sample size calculations were undertaken.

115

116 **3. Results**

117 *3.1. Pilot study*

118 In response to piloting of the survey, eight questions were changed to improve the face
119 validity and two questions were altered to improve the readability.

120

121 *3.2. Participation rate*

122 Results of the screening process and final participation rate are summarised in Fig. 1. Briefly,
123 136 hospitals were identified as meeting the eligibility criteria. However, on four occasions, one
124 physiotherapist covered two hospitals. This occurred where the publically- and privately-funded
125 hospitals were covered by the same experienced physiotherapist. Therefore, participation from 132
126 physiotherapists would have provided information on suctioning practices at 136 hospitals. In total,
127 112 surveys were completed, resulting in a participation rate of 84.8% (112/132).

128

129 *3.3. Characteristics of ICUs*

130 The representation of ICUs across Australia and NZ is shown in Table 1. Responses showed
131 that the highest proportion of ICUs had between one and 10 ventilator-capable beds (67/112, 59.8%)
132 and the lowest proportion of ICUs had more than 30 ventilator-capable beds (5/112, 4.5%). There were
133 30 ICUs with between 11 and 20 ventilator-capable beds (30/112, 26.8%), and 10 ICUs with between
134 21 and 29 ventilator-capable beds (10/112, 8.9%). The majority of ICUs (58/112, 51.8%) provided
135 daytime physiotherapy services only, while 36 (36/112, 32.1%) provided daytime physiotherapy
136 services in conjunction with on call services in the evening and overnight. Only one ICU provided a
137 24-hour physiotherapy service (1/112, 0.9%).

138

139 *3.4. Characteristics of physiotherapists who participated in the survey*

140 Most physiotherapists had more than five years of experience with a cardiopulmonary
141 caseload (84/112, 75.0%). A large proportion of physiotherapists had a bachelor degree or an entry
142 level diploma as their highest level of qualification (86/112, 76.8%). The remaining physiotherapists
143 had a post-graduate physiotherapy degree (15/112, 13.4%), a doctorate degree (4/112, 3.6%) or an
144 Australian Physiotherapy Association titled cardiopulmonary physiotherapy qualification or equivalent
145 specialisation in cardiopulmonary physiotherapy (7/112, 6.3%). The majority of physiotherapists
146 (94/112, 83.9%) had worked in two or more ICUs.

147

148 *3.5 Open vs. closed system approach*

149 A large proportion of physiotherapists indicated that their ICU predominantly used CSS
150 (97/112, 86.6%). Of the 97 physiotherapists who primarily used CSS, 63 did not use the OSS for any
151 of their patients (63/97, 64.9%). For those physiotherapists who occasionally used OSS, the reasons
152 given were medical team decision (8/18, 44.4%) and if the endotracheal tube was too small for CSS
153 (4/18, 22.2%). For those who used OSS, 35 (35/63, 55.6%) used a sterile technique and 28 (28/63,
154 44.4%) used a clean technique.

155

156 *3.6. Adjuncts to suctioning*

157 The proportion of patients for which physiotherapists used adjuncts to suctioning are outlined
158 in Table 2. The reasons for performing and not performing these adjuncts to suctioning are
159 summarised in Table 3.

160 Hyperoxygenation *before* suctioning was performed on 'all' or 'most' patients by
161 approximately two-thirds of physiotherapists (71/112, 63.4%). Hyperoxygenation *after* suctioning was
162 performed on 'all' or 'most' patients by approximately one-third of physiotherapists (38/112, 33.9%).
163 The most common duration for hyperoxygenation was one to two minutes (49/112, 43.8%).
164 Hyperoxygenation was less frequently performed for 30 to 60 seconds (20/112, 17.9%), more than two
165 minutes (19/112, 17.0%) or for one to 30 seconds (10/112, 8.9%).

166 Hyperinflation was not commonly performed on ‘all’ or ‘most’ patients *before* (22/112,
167 19.6%) or *after* suctioning (22/112, 19.6%). Different methods of hyperinflation were used: manual
168 hyperinflation (MHI) (50/112, 44.6%); ventilator hyperinflation (VHI) (25/112, 22.3%) and both
169 techniques (18/112, 16.0%).

170 Saline lavage was infrequently used on ‘all’ or ‘most’ of the patients (3/112, 2.7%).

171

172 3.7. Subglottic suctioning

173 Subglottic suctioning was performed on ‘all’ or ‘most’ of the patients by a small proportion of
174 physiotherapists (17/112, 15.2%).

175

176 3.8. Factors shaping suctioning practices

177 The two most commonly reported factors that shaped suctioning practices in the ICU by ‘a lot’
178 or ‘very much’ were ‘personal experience’ and ‘established practice in the ICU’. ‘Text books’ and
179 ‘entry level training’ were factors that were considered to have the least influence on their suctioning
180 practices. The data are shown in Fig. 2.

181

182 4. Discussion

183 This is the first study to describe the suctioning practices (i.e. approach and use of adjuncts) by
184 physiotherapists working in ICUs across Australia and NZ. This information will allow
185 physiotherapists to benchmark their practices with that of others in Australia and NZ. The novel
186 findings of this study are: (i) CSS was predominantly used in the ICUs (86.6%); (ii) hyperoxygenation
187 was commonly performed on ‘all’ or ‘most’ patients *before* suctioning (63.4%); but less frequently
188 *after* suctioning (33.9%); (iii) hyperinflation was not commonly performed on ‘all’ or ‘most’ patients
189 *before* (19.6%) or *after* suctioning (19.6%); (iv) the use of saline lavage (2.7%) and subglottic
190 suctioning (15.2%) were infrequently performed on ‘all’ or ‘most’ patients and; (v) ‘personal
191 experience’ and ‘established practice in the ICU’ had the greatest influence on suctioning practices.

192

193 4.1. Open vs. closed system approach

194 The use of CSS is recommended for adults with high oxygen requirements or high risk for
195 lung de-recruitment, as it facilitates continuous mechanical ventilation and oxygenation during the
196 suctioning event.^{3, 20} Prior to 2010, three meta-analyses had pooled data from between five and 11
197 trials to compare outcomes between OSS and CSS approaches and demonstrated no differences in
198 terms of the incidence of VAP, oxygenation, length of ICU stay and mortality.^{1, 6, 8} These data are
199 consistent with individual randomised studies published after 2010 which also reported that the
200 incidence of VAP was similar between CSS and OSS.^{22, 23} Nevertheless, a large meta-analysis
201 published in 2015 which included data from 16 randomised studies (n=1,929), demonstrated that CSS
202 reduced the risk of VAP compared with OSS (relative risk 0.69, 95% confidence interval 0.54 to 0.87),
203 but did not change the duration of mechanical ventilation or mortality.⁷ Data collected in the current
204 study demonstrated that ICUs in Australia and NZ predominantly utilise the CSS approach.

205

206 4.2. Adjuncts to suctioning

207 The American Association for Respiratory Care Clinical Practice Guidelines recommend
208 hyperoxygenation *before* suctioning if the patient has a clinically important reduction in oxygen
209 saturation with suctioning.²⁴ Systematic reviews and meta-analyses have shown that hyperoxygenation
210 is effective in maintaining oxygenation levels, as it elevates the partial pressure of oxygen in arterial
211 blood.^{1, 10} This technique was associated with no adverse effects^{1, 10} and therefore, experts recommend
212 that hyperoxygenation be performed before suctioning.²⁵ Data collected in this study indicated that
213 only just over half of the physiotherapists (63.4%) frequently performed hyperoxygenation *before*
214 suctioning, and less than half of the physiotherapists commonly used this adjunct *after* suctioning
215 (33.9%). Given that hyperoxygenation is both a low cost and low risk adjunct to suctioning, and
216 desaturation is a common outcome of suctioning patients in the ICU,²⁶⁻²⁸ there may be scope to
217 increase its utilisation among physiotherapists.

218 Hyperinflation was not commonly performed *before* or *after* suctioning despite the emerging
219 evidence that it may improve lung compliance.^{1, 29, 30} Although barotrauma has been suggested to be an
220 associated risk of hyperinflation,^{4, 25} it was not reported in this study as one of the reasons
221 physiotherapists were not utilising this adjunct. Rather, 'lack of evidence' and 'ICU policy' were more

222 commonly reported reasons for not using this adjunct. A knowledge translation strategy that highlights
223 the emerging evidence for hyperinflation as an adjunct to suction may be needed to increase utilisation
224 of this technique.

225 Saline lavage was not commonly performed in ICUs in Australia and NZ, with 83.0% of
226 physiotherapists (93/112) using it on ‘a few’ or ‘none’ of the patients. This is consistent with the
227 results of an earlier study that reported 94.7% (18/19) of experienced physiotherapists in NZ used
228 saline lavage for ‘under 50% of patients’ or ‘never used’ this technique in patients who were intubated
229 and ventilated.³¹ Although there is minimal evidence that saline lavage will increase sputum weight,¹⁰
230 a survey of respiratory physiotherapists in the United Kingdom revealed that they perceived saline
231 lavage was indicated prior to suctioning when there was persistent retention of thick or tenacious
232 secretions which were affecting ventilatory status, such as the presence of atelectasis or the obstruction
233 of the endotracheal tube/tracheostomy.³² It is unclear whether or not saline lavage leads to
234 unfavourable outcomes such as VAP and hemodynamic changes.^{13, 33} Overall, the lack of clear
235 evidence for saline lavage suggests that this technique should not be used routinely during suctioning.^{3,}
236 ^{13, 25, 33} Responses to the open-ended questions revealed that physiotherapists were aware of the lack of
237 high quality evidence for this technique.

238

239 4.3. Subglottic suctioning

240 Subglottic suctioning was infrequently available as a choice for most physiotherapists, with
241 the main reasons identified as ‘a lack of appropriate endotracheal tubes’ and ‘current practice in the
242 ICU’. This highlights the fact that, unlike the use of adjuncts such as hyperoxygenation, hyperinflation
243 and saline lavage which physiotherapists may choose to use at the bedside, the capacity for
244 physiotherapists to utilise subglottic suctioning is contingent on the availability of suitable equipment,
245 which reflects usual practice within the organisation rather than a personal choice. A meta-analysis
246 established that subglottic suctioning was associated with a reduced risk of VAP, shorter duration of
247 mechanical ventilation (two days), and decreased length of ICU stay (three days).¹⁴ Five recent studies,
248 which were not included in the meta-analysis, also reported a significant reduction in the incidence of
249 VAP with the use of subglottic suctioning.^{15, 22, 34-36} Further studies which present an economic analysis

250 of weighing the cost of purchasing and using the appropriate equipment against the benefit in reducing
251 the incidence of VAP may assist in the increased utilisation of this adjunct.

252

253 *4.4. Factors shaping suctioning practices*

254 Current suctioning practices were shaped largely by historical precedent, as ‘personal
255 experience’ and ‘established practice in the ICU’ were most commonly ranked as the two strongest
256 influencing factors of suctioning practices in the ICU. This is perhaps not surprising as ICUs often
257 have a well-established local culture for common interventions, such as suctioning. Successful
258 behaviour change is difficult to achieve. Given the evidence for subglottic suctioning, the limited
259 uptake of this approach would appear to be an evidence-practice gap. For ICUs to increase the use of
260 subglottic suctioning, a four-step framework may be used, which involves: (i) identifying who needs to
261 do what differently; (ii) using the Theoretical Domains Framework³⁷ to understand determinants of
262 behaviour which serve as barriers to or enablers to increase the availability of subglottic suctioning;
263 (iii) selecting specific behaviour change techniques to overcome modifiable barriers and enhance
264 enablers and; (iv) developing a method to assess the behavior change.³⁸ Recent work indicates that
265 there is increased familiarity with the Theoretical Domains Framework amongst healthcare
266 professionals working in the area of implementation science³⁹ and its use in future research would
267 facilitate a systematic approach to identifying determinants of behaviour which underpin suctioning
268 practices.

269

270 *4.5. Strengths and limitations*

271 This is the first study to report current suctioning practices related to approaches and adjuncts,
272 and the factors that have shaped these practices amongst experienced physiotherapists working in
273 ICUs across Australia and NZ. The high participation rate coupled with wide geographical
274 representation suggests that these data are highly representative of current practice in Australia and
275 NZ. By informing the participants that their responses would be stored in a de-identified format, we
276 were likely to have minimised social desirability bias. Nevertheless, the survey sought responses from
277 one experienced physiotherapist working in each of the ICUs and therefore the results may not be

278 reflective of the suctioning practice by others in the ICU. Further, although these data were collected
279 more than two years ago, it is likely that they are reflective of current practice as: (i) suctioning
280 practices were largely shaped by historical precedent (which is established over several years) and; (ii)
281 there has been no significant change in the equipment available for suctioning over the last two years.

282

283 **5. Conclusion**

284 Data collected in this study demonstrated that ICUs in Australia and NZ predominantly utilise
285 the CSS approach. There may be scope to increase utilisation of specific suctioning adjuncts such as
286 hyperoxygenation and hyperinflation by physiotherapists working in ICUs across Australia and NZ.
287 There was limited availability of equipment to facilitate subglottic suctioning and this appears to be an
288 evidence-practice gap. To address this issue, consideration should be given to identify what factors
289 influence the uptake of subglottic suctioning and other evidence-based suctioning practices.

290

291 **Conflict of interest**

292 None.

293

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410 **Figure captions**

411

412 **Fig. 1.** Flow chart illustrating the process of screening and determining the participation rate.

413

414 **Fig. 2.** Factors influencing suctioning practices of physiotherapists in Australian and New Zealand

415 intensive care unit.

Table 1.

Representation of intensive care units across Australia and New Zealand in the survey results

Location	No. of eligible ICUs	No. of eligible publically-funded ICUs	No. of eligible privately-funded ICUs	No. of ICUs included in survey n (%)
NSW*	45	34	11	33 (73.3%)
VIC	25	15	10	23 (92.0%)
QLD*	22	13	9	20 (90.9%)
SA*	10	7	3	10 (100.0%)
WA	7	3	4	6 (85.7%)
ACT	4	2	2	4 (100.0%)
TAS	3	2	1	3 (100.0%)
NT	2	0	2	2 (100.0%)
NZ	18	18	0	15 (83.3%)
Total	136	94	42	116 (85.2%)

ACT=Australian Capital Territory, ICU=intensive care unit, NSW=New South Wales, NT=Northern Territory, NZ=New Zealand, QLD=Queensland,

SA=South Australia, TAS=Tasmania, VIC=Victoria, WA=Western Australia.

* In four instances, there were two hospitals covered by the same physiotherapist. This occurred where the publically-funded and privately-funded hospitals were covered by the same physiotherapist. In NSW, there were 45 eligible hospitals and responses were obtained from 33 physiotherapists who reported data across 34 hospitals. In QLD, there were 22 eligible hospitals and responses were obtained from 19 physiotherapists who reported data across 22 hospitals. In SA, there were 10 eligible hospitals and responses were obtained from nine physiotherapists who reported data across 10 hospitals. In total, data pertaining to 116 ICUs were obtained, but only 112 surveys completed.

Table 2.

Proportion of patients for which physiotherapists used hyperoxygenation, hyperinflation, saline lavage, subglottic suctioning and lubricating gel

n=112 (%)	All patients, n (%)	Most patients, n (%)	Some patients, n (%)	A few patients, n (%)	None of the patients, n (%)	Not applicable, n (%)
Adjuncts						
Hyperoxygenation before suctioning	43 (38.3%)	28 (25.0%)	19 (16.9%)	16 (14.2%)	6 (5.3%)	0 (0.0%)
Hyperoxygenation during suctioning	38 (33.9%)	26 (23.2%)	16 (14.2%)	19 (16.9%)	13 (11.6%)	0 (0.0%)
Hyperoxygenation after suctioning	27 (24.1%)	11 (9.8%)	21 (18.7%)	41 (36.6%)	12 (10.7%)	0 (0.0%)
Hyperinflation before suctioning	6 (5.3%)	16 (14.2%)	30 (26.7%)	29 (25.8%)	31 (27.6%)	0 (0.0%)
Hyperinflation after suctioning	10 (8.9%)	12 (10.7%)	20 (17.8%)	28 (25.0%)	42 (37.5%)	0 (0.0%)
Use of saline lavage before suctioning	2 (1.7%)	1 (0.8%)	16 (14.2%)	45 (40.1%)	48 (42.8%)	0 (0.0%)
Subglottic suctioning	10 (8.9%)	7 (6.2%)	10 (8.9%)	27 (24.1%)	58 (51.7%)	0 (0.0%)
Use of lubricating gel during open suction	0 (0.0%)	4 (3.5%)	3 (2.6%)	12 (10.7%)	35 (31.2%)	58 (51.7%)

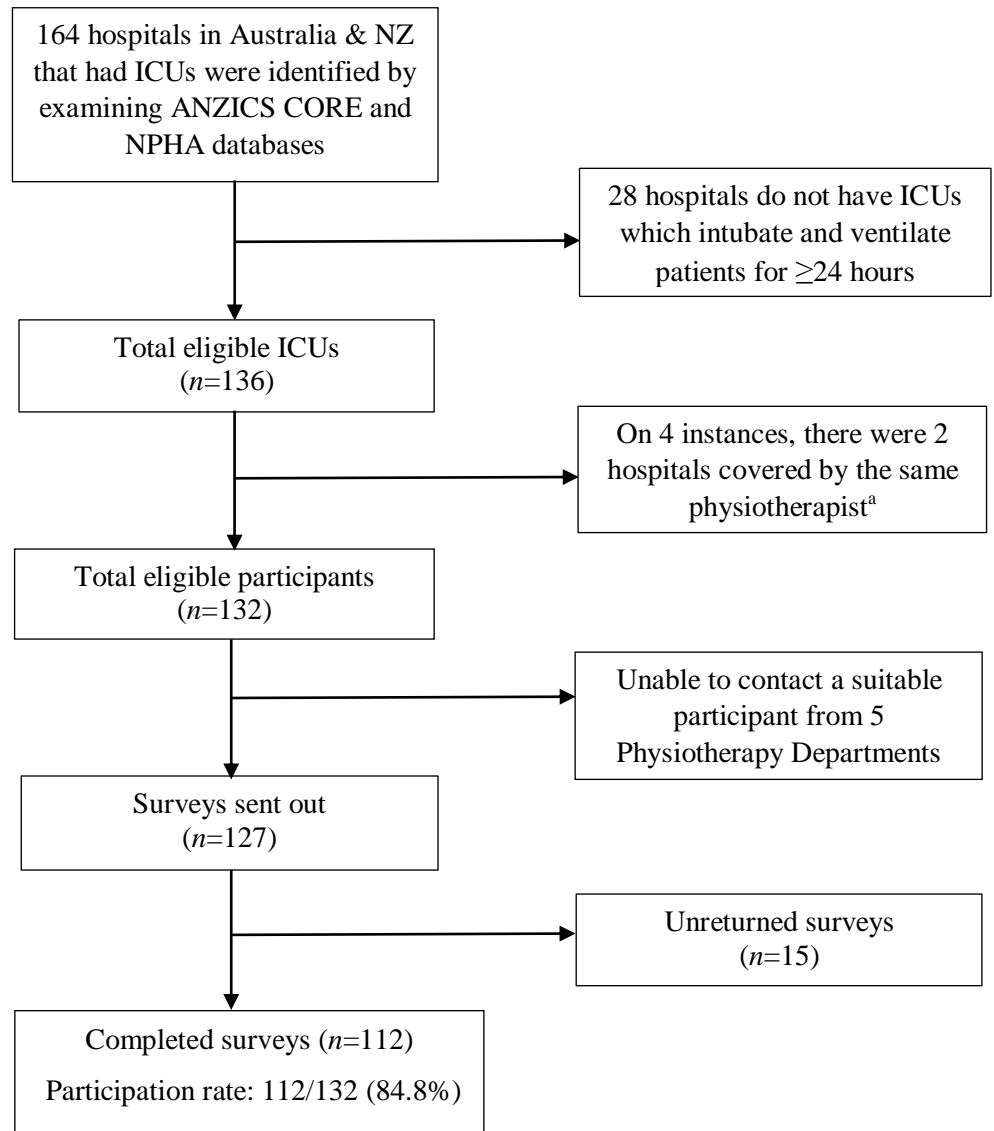
Table 3.

Reasons for performing or not performing hyperoxygenation, hyperinflation, saline lavage and subglottic suctioning

Suctioning adjunct	Most frequently reported reasons given for performing (n, %)	Most frequently reported reasons given for not performing (n, %)
Hyperoxygenation before suctioning	Poor oxygen saturation levels (27/59, 45.7%)	ICU policy (2/6, 33.3%)
	Prevention of desaturation (18/59, 30.5%)	Lack of evidence (2/6, 33.3%) A perceived lack of clinical need (1/6, 16.6%)
Hyperoxygenation after suctioning	Significant desaturation with oxygen level not returning to pre-suctioning values (58/69, 84.0%)	A perceived lack of clinical need (5/10, 10.0%) Lack of evidence (4/10, 40.0%) Nursing staff role (1/10, 10.0%)
Hyperinflation before suctioning	Collapse/consolidation on chest x-ray (34/72, 47.2%)	ICU policy (16/29, 55.1%)
	Hyperinflation forms part of treatment (8/72, 11.1%)	Lack of evidence (4/29, 13.7%)
	ICU policy (7/72, 9.7%)	Lack of training (3/29, 10.3%)
Hyperinflation after suctioning	If clinically indicated (16/57, 28.0%)	ICU policy (18/36, 50.0%)
	Oxygen desaturation post-suctioning (14/57, 24.5%)	Lack of evidence (4/36, 11.1%)
	Hyperinflation forms part of treatment (9/57, 15.7%)	A perceived lack of clinical indication (3/36, 8.3%)
	Sputum retention (6/57, 10.5%)	

Saline lavage	Thick tenacious secretions that were difficult to clear (59/59, 100.0%)	Lack of evidence (13/31, 41.9%) ICU policy (12/31, 38.7%)
Subglottic suctioning	Nil	Lack of appropriate endotracheal tubes (31/54, 57.4%) Current practice in the ICU (15/54, 27.7%) Nursing staff role (8/54, 14.8%)

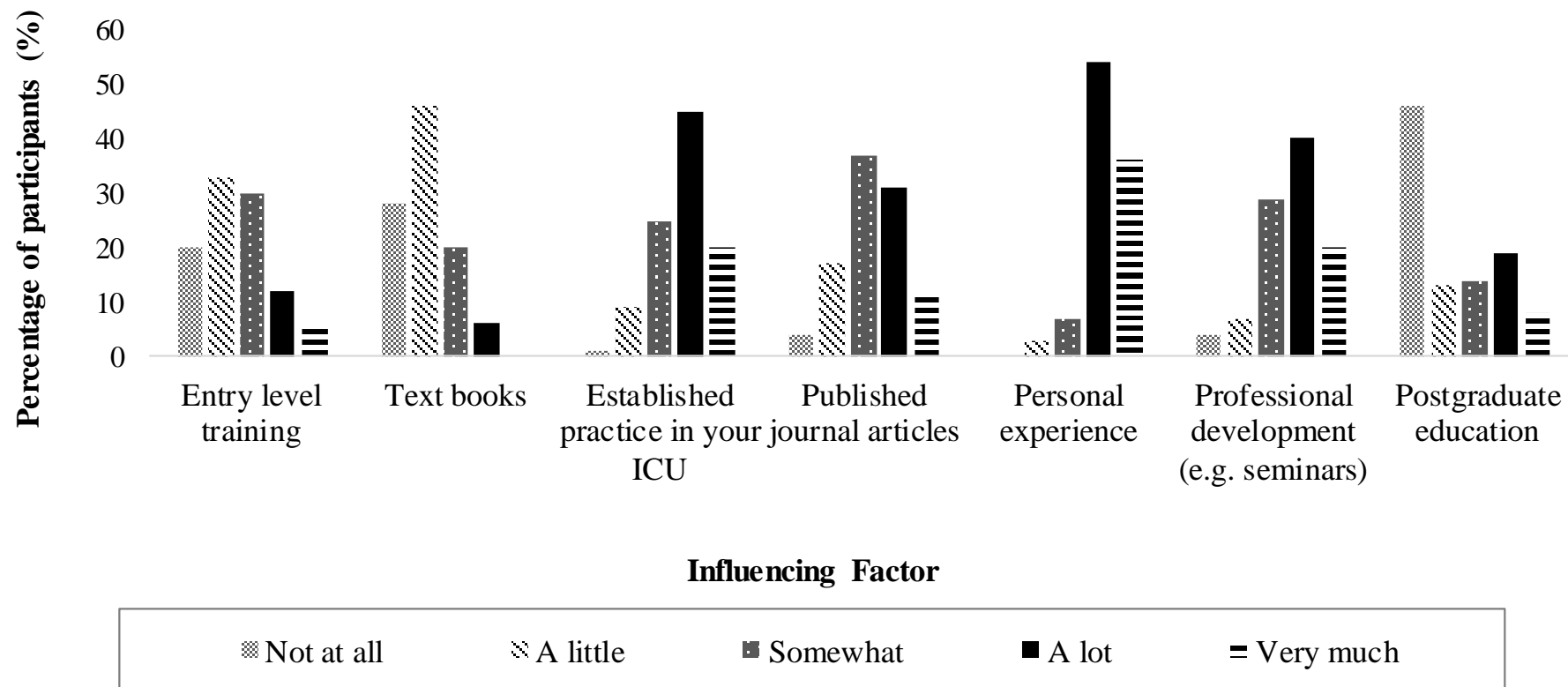
ICU= intensive care unit



ANZICS CORE=Australian and New Zealand Intensive Care Society Centre for Outcome Resource Evaluation, ICU=intensive care unit, NHPA=National Health Performance Authority of Australia, NZ=New Zealand.

*On four instances, there were two hospitals covered by the same physiotherapist. This most commonly occurred where the publically funded and privately funded hospitals were covered by the same physiotherapist. There were 136 eligible ICUs and data were sought from 132 physiotherapists.

Fig. 2.



SURVEY

Dear _____

Thank you for agreeing to participate in this study. Your time is very much appreciated.

The questionnaire relates to suctioning practices of adult patients who are intubated and ventilated in the intensive care unit in which you currently work. It does not relate to the suctioning of paediatric patients or those who are not intubated and ventilated.

There are four sections. Please read the information that has been embedded into each section prior to completing the questions in each section. Please complete each question unless instructed otherwise. We estimate that it will take no longer than 15 minutes of your time to complete the following questionnaire.

SECTION 1: INTENSIVE CARE UNIT CHARACTERISTICS

Section 1 comprises 5 questions pertaining to the characteristics of the intensive care unit (ICU) that you work in.

1) Which category does your hospital belong to? (tick one)

- Public
- Private
- Other, please specify: _____

2) How many ventilator-capable staffed beds are there in the ICU that you work in? (tick one)

- 0-10
- 11-20

- 21-30
- 30+
- Other, please specify: _____

3) Which areas of specialty are managed in the ICU that you work in? (tick all that apply)

- General medical/surgery
- Neurosurgery
- Cardiothoracic surgery
- Transplant
- Trauma
- Spinal
- Burns
- Paediatrics
- Other, please specify: _____

4) How many full-time equivalent physiotherapists work clinically in your ICU on weekdays, during usual working hours (e.g. 8am-5pm)? (tick one)

- 0.5
- 1
- 1.5
- 2
- 2.5
- 3
- 4
- 5

- 5+

5) During the week, when does the ICU that you work in have access to physiotherapy services? (tick one)

- Daytime only (e.g. 8am-5pm)
- Daytime + evening
- Daytime + evening + on call services overnight
- Daytime + on call services in the evening
- Daytime + on call services in the evening and overnight
- 24 hours

SECTION 2: PHYSIOTHERAPIST CHARACTERISTICS

Section 2 comprises 6 questions regarding your physiotherapy qualifications:

6) How long ago did you complete your initial (entry-level) qualification in physiotherapy? (tick one)

- Less than 1 year
- Between 1 and 5 years
- Between 6 and 10 years
- 10+ years

7) Where did you complete your initial qualification in physiotherapy? (tick one)

- Australian Capital Territory
- New South Wales
- Queensland
- South Australia

- Victoria
- Western Australia
- New Zealand
- Other, please specify: _____

8) What is your highest qualification in physiotherapy? (tick one)

- Diploma
- Entry level physiotherapy degree (Bachelor's Degree or Post-graduate Master's degree)
- Post-graduate physiotherapy degree
- Doctorate
- Australian Physiotherapy Association (APA) Titled Cardiorespiratory Physiotherapist or equivalent specialisation in Cardiorespiratory Physiotherapy

9) How many years of experience do you have in treating cardiopulmonary patients? (tick one)

- Less than 1 year
- Between 1 and 5 years
- Between 6 and 10 years
- 10+ years

10) How many different ICUs have you worked in as a clinician (including the current one that you are working at)? (tick one)

- 1
- 2
- 3
- 4
- 5

- 5+

11) How many full-time equivalent physiotherapists are employed in your hospital? (tick one)

(Please ask the Manager of your Physiotherapy Department if you are unsure)

- 0 to 20
- 21 to 40
- 41 to 60
- 60+

SECTION 3: FACTORS THAT INFLUENCE YOUR SUCTIONING PRACTICES

Section 3 pertains to the factors that influence your decisions regarding the suctioning practices that you perform on intubated and ventilated adult patients in the ICU.

12) Use the table below to indicate the extent to which each factor has influenced how you currently suction intubated and ventilated adult patients in the ICU. Please tick one frequency category for each 'influencing factor' listed in the table below.

Influencing factor	Frequency				
	Not at all	A little	Somewhat	A lot	Very much
<i>Entry level training (Diploma/Undergraduate degree)</i>					
<i>Text books</i>					
<i>Established practice in your hospital</i>					
<i>Published journal articles</i>					

<i>Personal experience</i>					
<i>Professional development (workshops, seminars etc.)</i>					
<i>Postgraduate education</i>					
<i>Other, please specify: _____</i>					

**SECTION 4: INFORMATION PERTAINING TO PHYSIOTHERAPY PRACTICES
REGARDING SUCTIONING IN THE ICU**

Section 4 comprises 6 separate parts, each of which pertain to the different approaches or strategies that you may perform before, during or after suctioning an intubated and ventilated adult patient in the ICU.

Part 1: HYPEROXYGENATION

Hyperoxygenation is defined as the practice of increasing the fraction of inspired oxygen for a short time period above the current level that the patient is receiving via a mechanical ventilator.

13) On average, do you provide hyperoxygenation BEFORE suctioning? (tick one)

- For all patients (Go to Question 16)
- For most patients
- For some patients
- For a few patients
- For none of the patients (Go to Question 15)

14) If you do NOT provide hyperoxygenation for ALL patients BEFORE suctioning, briefly describe the criteria you use to select patients for hyperoxygenation?

15) If you NEVER provide hyperoxygenation for patients BEFORE suctioning, briefly explain why.

16) On average, do you provide hyperoxygenation DURING suctioning? (tick one)

- For all patients (Go to Question 19)
- For most patients
- For some patients
- For a few patients
- For none of the patients (Go to Question 18)

17) If you do NOT provide hyperoxygenation for ALL patients DURING suctioning, briefly describe the criteria you use to select patients for hyperoxygenation?

18) If you NEVER provide hyperoxygenation for patients DURING suctioning, briefly explain why.

19) On average, do you provide hyperoxygenation AFTER suctioning? (tick one)

- For all patients (Go to Question 22)
- For most patients
- For some patients
- For a few patients

- For none of the patients (Go to Question 21)

20) If you do NOT provide hyperoxygenation for ALL patients AFTER suctioning, briefly describe the criteria you use to select patients for hyperoxygenation?

- _____

21) If you NEVER provide hyperoxygenation for patients AFTER suctioning, briefly explain why.

- _____

22) If you provide hyperoxygenation before, during or after suctioning to the patient on average, how long is it provided for? (tick one)

- Not applicable/Do not use
- Between 1-30 seconds
- Between 30 seconds-1 minutes
- Between 1-2 minutes
- 2+ minutes
- Other, please specify: _____

Part 2: HYPERINFLATION

Hyperinflation is defined as the process of increasing the patient's tidal volume.

Manual hyperinflation is defined as a technique whereby the patient is disconnected from the ventilator and a manual circuit is used to increase the patient's tidal volume.

Ventilator hyperinflation is defined as a technique whereby the ventilator settings are manipulated to increase the patient's tidal volume.

23) As part of your average suctioning practice, do you perform manual or ventilator hyperinflation BEFORE suctioning? (tick one)

- For all patients (Go to Question 26)
- For most patients
- For some patients
- For a few patients
- For none of the patients (Go to Question 25)

24) If you do NOT perform manual or ventilator hyperinflation for ALL patients BEFORE suctioning, briefly describe the criteria you use to select patients for hyperinflation?

- _____

25) If you NEVER perform manual or ventilator hyperinflation for patients BEFORE suctioning, briefly explain why.

- _____

26) On average, do you perform manual or ventilator hyperinflation AFTER suctioning? (tick one)

- For all patients (Go to Question 29)
- For most patients
- For some patients
- For a few patients
- For none of the patients (Go to Question 28)

27) If you do NOT perform manual or ventilator hyperinflation for ALL patients AFTER suctioning, briefly describe the criteria you use to select patients for hyperinflation?

28) If you NEVER perform manual or ventilator hyperinflation for patients AFTER suctioning, briefly explain why.

29) If you perform hyperinflation, which method is your preference? (tick one)

- Not applicable/Do not use
- Manual hyperinflation
- Ventilator hyperinflation
- Varies according to patient's needs
- No preference

Part 3: SALINE LAVAGE

30) On average, do you use saline lavage before suctioning? (tick one)

- For all patients (Go to Part 4)
- For most patients
- For some patients
- For a few patients
- For none of the patients (Go to Question 32)

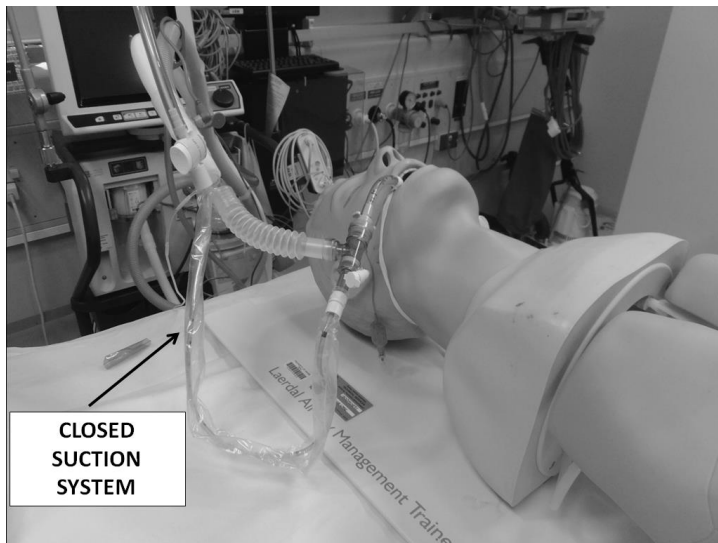
31) If you do NOT use saline lavage for ALL patients, briefly describe the criteria you use to select patients for saline lavage?

32) If you NEVER use saline lavage for patients before suctioning, briefly explain why.

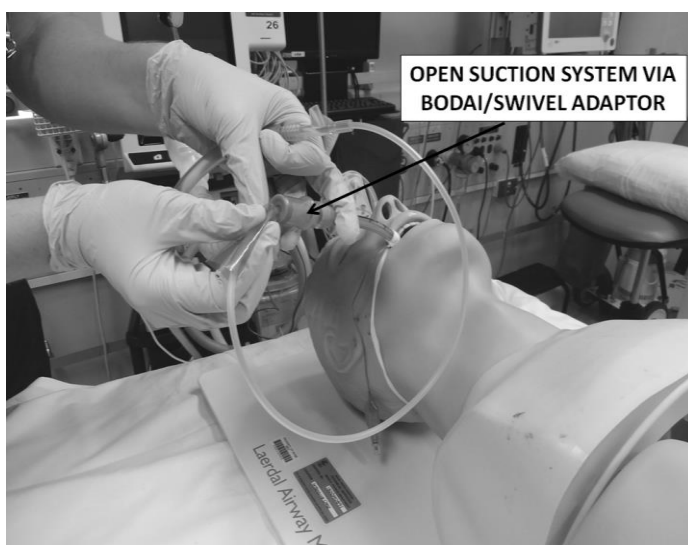
○ _____

Part 4: TYPE OF SUCTION SYSTEM

Closed suction system or 'in-line' suctioning is defined as the insertion of a suction catheter that is enclosed in a plastic sleeve, into a endotracheal tube (ETT) or tracheostomy without the need for disconnection of the patient from the ventilator circuit.



Open suction system is defined as the use of a single-use suction catheter after a complete or partial disconnection (via the use of an adaptor) of the patient from the ventilator circuit.



33) Which type of suction system is predominantly used in the ICU that you work in? (tick one)

- Closed suction system (Please skip Question 37)
- Open suction system (Please skip Questions 33 & 34)

34) Which type of suction system is predominantly used in the ICU that you work in?

- Closed suction system
- Open suction system

35) On average, what percentage of patients who are intubated and ventilated are suctioned using an open suction system? (tick one)

- 0%
- 1-10 %
- 11-25%
- 26-50%
- 51-75%
- 76-100%

36) What criteria do you use to select an open suction system for intubated and ventilated patients? (tick all that apply)

- Not applicable/Do not use
- When an angled tipped catheter is required
- When the closed suction system is inadequate (difficult to insert or maneuver the catheter via the plastic sleeve)
- Other, please specify: _____

37) If you perform open suction, which type of technique do you use for intubated and ventilated patients? (tick all that apply)

- Not applicable/Do not use
- Sterile (i.e. surgical gloves, sleeved catheter approach)
- Clean (i.e. regular gloves)
- Other, please specify: _____

38) If you perform open suction, do you routinely use lubricating gel? (tick one)

- Not applicable (i.e. Use of closed suction system only)
- For all patients
- For most patients
- For some patients
- For a few patients
- For none of the patients

39) If you predominantly perform open suction, what criteria do you use for selecting a closed suction system for intubated and ventilated patients?

- Not applicable/Do not use
- Predicted length of stay >24 hours
- Infection control
- Other, please specify: _____

Part 4: SUBGLOTTIC SUCTIONING

Subglottic suctioning is defined as the removal of secretions that have pooled above the cuff of the ETT in the subglottic space, through the use of a specially designed ETT with a separate lumen that opens directly above the ETT cuff.

40) On average, how many of the ICU patients undergo subglottic suctioning? (tick one)

- All patients (Go to Question 42)
- Most patients (Go to Question 42)
- Some patients (Go to Question 42)
- A few patients (Go to Question 42)
- None of the patients

41) If you NEVER perform subglottic suctioning on ICU patients, briefly explain why.

- _____

Part 6: PROCEDURE & EQUIPMENT
--

42) On average, how often is the catheter for the closed suction system replaced at the ICU that you work in? (tick one)

- Not applicable/Do not use
- Less than or equal to 24 hours
- Every 25-48 hours
- Every 49-72 hours
- Unsure
- Other, please specify: _____

43) On average, for what percentage of intubated and ventilated patients do you use an angled tip catheter during suctioning? (tick one)

- 0%
- 1-10 %
- 11-25%
- 26-50%

- 51-75%
- 76-100%

44) If you use an angled tip catheter, briefly describe the criteria that you use to select patients for this approach?

- _____

45) On average, what type of infection control measures do you routinely use when suctioning intubated and ventilated patients? (tick all that apply)

- Gloves
- Gown/Apron
- Protective eyewear
- Faceshield
- Other, please specify: _____

Thank you very much for completing this survey. Your time is greatly appreciated.

If you are interested in the results of this survey, we will send you a summary of the findings once the study has been completed. Please fill in your contact email below to request a summary.
