

1 **Probuco release from novel multicompartmental microcapsules for the oral targeted delivery in**
2 **Type 2 Diabetes**

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4 Armin Mooranian¹, Rebecca Negruj¹, Hesham S Al-Sallami², Zhongxiang Fang³, Momir Mikov^{4,5}
5 Svetlana Golocorbin-Kon^{4,5}, Marc Fakhoury⁶, Gerald F Watts⁷, Vance Matthews⁸, Frank Arfuso⁹,
6 Amanda Lambros¹⁰ and Hani Al-Salami¹ *

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8 ¹Biotechnology and Drug Development Research Laboratory, School of Pharmacy, Curtin Health
9 Innovation Research Institute, Biosciences Research Precinct, Curtin University, Perth, Western
10 Australia, Australia

11 ² School of Pharmacy, University of Otago, Dunedin, New Zealand

12 ³ School of Public Health, Curtin University, Perth WA, Australia

13 ⁴ Department of Pharmacology, Toxicology and Clinical Pharmacology, Faculty of Medicine, University
14 of Novi Sad, Serbia

15 ⁵ Department of Pharmacy, Faculty of Medicine, University of Montenegro, Montenegro

16 ⁶ Faculty of Medicine, University of Montreal, Montreal, Quebec, Canada

17 ⁷ School of Medicine and Pharmacology, Royal Perth Hospital, University of Western Australia

18 ⁸ Laboratory for Metabolic Dysfunction, UWA Centre for Medical Research, Harry Perkins Institute of
19 Medical Research.

20 ⁹ School of Biomedical Science, Curtin Health Innovation Research Institute, Biosciences Research
21 Precinct, Curtin University, Perth, Western Australia, Australia

22 ¹⁰ Faculty of Health Sciences, School of Occupational Therapy and Social Work, Curtin University, Perth,
23 WA, Australia

24

25 **Corresponding author:**

26 Dr Hani Al-Salami

27 Senior Lecturer of Pharmaceutics, School of Pharmacy

28 Curtin University

29 Tel | + 61 8 9266 9816

30 Fax | + 61 8 9266 2769

31 Email | hani.al-salami@curtin.edu.au

32 Profile | <http://healthsciences.curtin.edu.au/teaching/people.cfm/Hani.Al-Salami>

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34

35 **Abstract**

36

37 In previous studies, we developed and characterised multicompartmental microcapsules as a platform
38 for the targeted oral delivery of lipophilic drugs in Type 2 diabetes (T2D). We also designed a new
39 microencapsulated formulation of ProbucoI-Sodium Alginate (PB-SA), with good structural properties
40 and excipient compatibility. The aim of this study was to examine the stability and pH-dependant
41 targeted release of the microcapsules at various pH values and different temperatures.
42 Microencapsulation was carried out using a Büchi-based microencapsulating system developed in our
43 laboratory. Using SA polymer, two formulations were prepared: empty SA microcapsules (SA, control)
44 and loaded SA microcapsules (PB-SA, test), at a constant ratio (1:30) respectively. Microcapsules were
45 examined for drug content, Zeta-potential, size, morphology and swelling characteristics, and PB
46 release characteristics at pH 1.5, 3, 6, and 7.8. The production yield and microencapsulation efficiency
47 were also determined. PB-SA microcapsules had $2.6 \pm 0.25\%$ PB content, and Zeta-potential of $-66 \pm$
48 1.6% , suggesting good stability. They showed spherical and uniform morphology and significantly
49 higher swelling at pH 7.8 at both 25 °C and 37°C ($p < 0.05$). The microcapsules showed multiphasic
50 release properties at pH 7.8. The production yield and microencapsulation efficiency were high ($85 \pm$
51 5 and $92 \pm 2\%$, respectively). The PB-SA microcapsules exhibited distal gastrointestinal tract targeted
52 delivery with a multi-phasic release pattern, and with good stability and uniformity. However, the
53 release of PB from the microcapsules was not controlled, suggesting uneven distribution of the drug
54 within the microcapsules.

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56 **Keywords:** ProbucoI, artificial-cell microencapsulation, diabetes mellitus, anti-inflammatory,
57 antioxidant, Type 2 Diabetes

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72 **Introduction**

73 Diabetes mellitus is a disease characterized by hyperglycaemia and metabolic disorders. It is classified
74 as Type 1 diabetes (T1D) or Type 2 diabetes (T2D). T1D is an autoimmune disease marked by the
75 destruction of β -cells of the pancreas resulting in a partial or complete lack of insulin production and
76 the inability of the body to control glucose homeostasis [1]. T2D develops due to genetic and
77 environmental factors that lead to tissue desensitization to insulin [2]. Despite strict glycaemic control
78 and the fact that new and more effective antidiabetic drugs are continuously appearing onto the
79 market, diabetic patients still suffer from the disease and its complications [3]. Antidiabetic drugs are
80 effective in minimizing variations between peaks and troughs of blood glucose levels in diabetic
81 patients [3]. Common antidiabetic drugs include: sulfonylureas, such as Gliclazide that enhances
82 insulin production, pancreatic β -cell functionality and improves insulin sensitivity; and the biguanide
83 Metformin, which reduces glucose production in the liver [3]. However, the risks of hypoglycaemia,
84 free radical and toxin build up remain major issues associated with T2D [4, 5]. Thus, there is an urgent
85 need for new and more efficacious medications for diabetes that are capable of exerting a stronger
86 protection of β -cells and have considerable anti-free radical and antioxidant effects. An advantage is
87 optimising the formulations of drugs that have already shown desirable antidiabetic effects such as
88 lowering of blood cholesterol and reducing the formation of atherosclerotic plaques.

89

90 Probuco (PB) is a highly lipophilic drug that has been shown to protect β -cells of the pancreas through
91 its strong anti-free radical and antioxidant effects, and thereby neutralizing reactive oxygen species
92 and alleviating oxidative stress [6, 7]. PB was developed as an antihyperlipidemic drug, but was
93 withdrawn in some countries owing to high interindividual variation in absorption and potential
94 adverse effects [8]. PB has high affinity for adipose tissues and has huge inter- and intra-individual
95 variations in absorption after an oral dose [9]. The variations in absorption and efficacy are predicted
96 to contribute significantly to its adverse effects, and compromise its potential clinical benefits in T2D
97 [10]. Thus, developing a novel and stable formulation with high uniformity, efficient targeted delivery,
98 and consistent release kinetics is anticipated to overcome these variations and maximise its potential
99 use in T2D.

100

101 In a recent study carried out in our laboratory (manuscript currently under review), we designed novel
102 multi-compartmental microcapsules of PB that displayed uniform and homogenous characteristics
103 and exhibited pseudoplastic-thixotropic properties. These newly designed PB microcapsules showed
104 good compatibility and structural properties. Accordingly, in this study, we aimed at describing further
105 the targeted delivery, stability, and release properties of these PB microcapsules.

106

107 **Materials and methods**

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109 **Materials**

110 Probuco (PB, 99%) and low viscosity sodium alginate (LVSA, 99 %) were purchased from Sigma
111 Chemical Co, USA. Calcium chloride dihydrate ($\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$, 98%) was obtained from Scharlab S.L,
112 Australia. All solvents and reagents were supplied by Merck (Australia) and were of HPLC grade and
113 used without further purification.

114

115 **Drugs preparations**

116 Due to PB being highly insoluble [11] in aqueous media, it was dissolved in 10% freshly prepared
117 Ultrasonic suspension prior to carrying out of experiments. Stock suspensions of PB (20 mg/mL) were
118 prepared by adding the powder to 10% Ultrasonic water-soluble gel in 100mL HPLC water. The CaCl_2
119 stock solution (2%) was prepared by adding CaCl_2 powder to HPLC water. All preparations were mixed
120 thoroughly at room temperature for 4 hours, stored in the refrigerator, and used within 48 hours of
121 preparation.

122

123 **Preparation of microcapsules**

124 Vibrational-jet flow microencapsulation of PB-loaded LVSA was prepared using a Büchi-390 based-
125 microencapsulating system (BÜCHI Labortechnik, Switzerland). Polymer solutions containing SA with
126 and without PB were made up to a final concentration of PB-SA in a ratio of 1:30 respectively.
127 Parameters were set in a frequency range of 1000-1500Hz and a flow rate of 4 mL/min under a
128 consistent air pressure of 300 mbar. Vibrational-jet flow prepared microcapsules were collected from
129 the microencapsulating system and, for each formulation, 3 independent batches were prepared and
130 tested separately (n=3). All microcapsules (unloaded and PB-loaded) were prepared and treated in the
131 exact same way. Furthermore, the microcapsules were dried using stability chambers (Angelantoni
132 Environmental and Climatic Test Chamber, Italy). The weight of the recovered dry particles was then
133 recorded and the PB contents, production yield, microencapsulation efficiency, zeta potentials, and
134 mean particle size of each preparation were all measured and compared, as described below.

136 **Characterization of PB-loaded microcapsules**

138 ***Drug content, production yield, microencapsulation efficiency, and stability studies:***

139 Drug content, production yield, and microencapsulation efficiency: 1 g of microcapsules was carefully
140 weighed, ground, and dissolved in 200 mL of phosphate buffer (pH 7.8) and the suspension was stirred
141 with a magnetic stirrer for 6 hours. 2 mL of the solution were then transferred to 100 mL flask and
142 diluted with phosphate buffer (vehicle) to 100 mL. Aliquots of the dissolution medium (2 mL) were
143 withdrawn at predetermined time points (every 200 seconds) and filtered through a 0.22 µm Millipore
144 filter. The amount of dissolved drug was determined spectrophotometrically at $\lambda_{\text{Max}} = 242$ nm against
145 the buffer as blank [12, 13]. The measurements were performed under sink conditions, and average
146 values were calculated. Absorbance was measured using an UV spectrophotometer (Shimadzu UV-Vis
147 spectrophotometer 1240, Japan). PB concentrations were calculated from the calibration curve. All
148 analyses were carried out in triplicate (n=3). Drug contents, production yield, and microencapsulation
149 efficiency were calculated from the following equations.

$$151 \quad 1. \quad \% \text{Drug Content} = \frac{\text{Calculated amount of PB in the microcapsules}}{\text{Total weight of microcapsules}} \times 100$$

$$153 \quad 2. \quad \% \text{Production Yield} = \frac{\text{Total weight of the microcapsules}}{\text{Total weight of the polymer + drug solution}} \times 100$$

$$155 \quad 3. \quad \% \text{Encapsulation Efficiency} = \frac{\text{Drug content}}{\text{Theoretical content}} \times 100$$

159 Zeta-potential and size analysis: To determine the electrokinetic stability and size uniformity of the
160 microcapsules in the colloidal system, zeta potential and size distribution for the microencapsulated
161 formulation of SA and PB-SA were measured by photon correlation spectroscopy using a Zetasizer
162 3000HS (Malvern Instruments, Malvern, UK), and by the Mie and Fraunhofer scattering technique
163 using a Mastersizer 2000 (Malvern Instruments, Malvern, UK). The measurements were performed
164 at 25°C with a detection angle of 90°, and the raw data were subsequently correlated to Z average
165 mean size using a cumulative analysis via an OmniSEC-Zetasizer software package. Each sample was
166 measured 10 times. All analyses were performed on samples appropriately diluted with filtered
167 deionized water. All determinations were performed in triplicate and results were reported as mean
168 \pm SD.

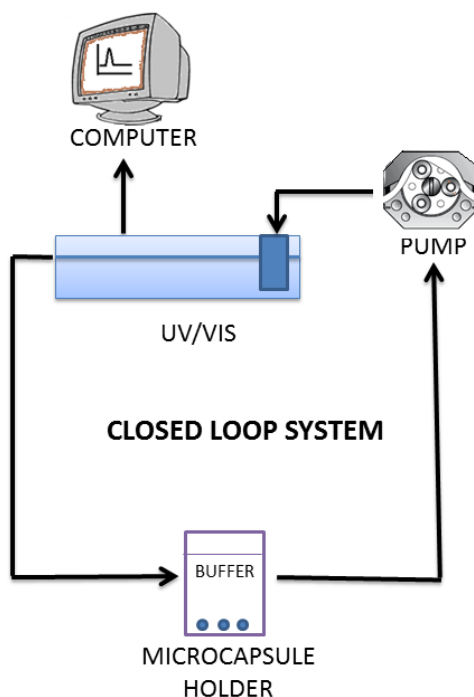
169 Optical microscopy (OM): Morphological characteristics and particle size analysis were determined
170 utilizing a Nikon YS2-H mounted with a Touptek photonics FMA050 fixed calibrated microscope
171

172 adaptor (Japan). Sample analysis was carried out in triplicates. Briefly, pre-determined quantities (10
173 microcapsules from each formulation) of freshly prepared microcapsules were loaded onto a glass
174 slide mounted to a calibrated scale. OM software (ToupTek Digital, Japan) capable of particle size
175 analysis, microcapsule characterization, and morphological assessments was used to determine the
176 basic characteristics of the microcapsules that are needed to complement the scanning electron
177 microscopy (SEM) studies.

178 Swelling Studies: To determine the swelling properties of the microcapsules (SA and PB-SA), 50 mg dry
179 microcapsules were weighed and placed in 20 mL of two pH values (3 and 7.8) and two temperatures
180 (25°C and 37°C) for 6 hours. The selection of the two temperatures, pH values, and study duration was
181 based on our previously published work [14, 15]. The swollen microcapsules were then removed at
182 periodically predetermined intervals (hourly). The wet weight of the swollen microcapsules was
183 determined by blotting them with filter paper to remove moisture adhering to the surface,
184 immediately followed by weighing on an electronic balance. All experiments were done in triplicate
185 (n=3). The swelling index of the microcapsules was calculated from the following formula [16, 17]:
186

187 **4. Swelling Index** = $\frac{\text{Final weight}}{\text{Initial weight}}$
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189 Drug release studies (in-vitro dissolution test): A weighed sample (2 g) of PB loaded microcapsules
190 was suspended in 200 mL of phosphate buffer solution at pH values of 1.5, 3, 6, and 7.8 for 6 hours,
191 as appropriate. The dissolution medium was stirred at 200 rpm. Sink conditions were maintained
192 throughout the assay period [18, 19]. All the experiments were carried out at 25°C. The absorbances
193 of the solutions were measured every 30 minutes using a Hewlett Packard-based time controlled UV-
194 spec mounted with a close-loop flow system under sink conditions (Figure 1). All analyses were carried
195 out in triplicate (n=3). Additionally, unloaded microcapsules (containing no drug) were analysed
196 spectrophotometrically at $\lambda_{\text{Max}} = 242\text{nm}$ using phosphate buffer at all four pH values (temperature
197 maintained at 25°C) in order to exclude any interference in the analytical data and to ensure that only
198 PB was being measured at that particular wavelength and experimental condition.
199



200
201 **Figure 1: Closed-loop flow system for microcapsule-drug release measurements.**
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204 Physical and chemical stability:

205 The stability test was carried out by placing predetermined amounts of freshly prepared microcapsules
206 onto sterile petri dishes (30 microcapsules in each) and storing them in thermostatically controlled
207 ovens at -20°C, 5°C, 25°C, and 40°C respectively, with relative humidity set at 35% for 3 days. The
208 experiment was conducted using a stability chamber (Angelantoni environmental and climatic test
209 chamber, Italy). A temperature and humidity regulator was used to ensure constant experimental
210 conditions. At the end of the experiment, the microcapsules were analyzed for any changes in
211 appearance and morphology, and for the determination of the amount of drug remaining in each
212 formula, using a validated UV-Vis stability-indicating method [20, 21]. Briefly, the dosage forms were
213 crushed and dissolved in a 200 mL phosphate buffer at pH 7.8. The solution was filtered and the first
214 20 mL were removed; and 10 mL of the filtrate were diluted to 100 mL in a volumetric flask. Then, 1
215 mL aliquot of the prepared solution was transferred to 10 mL volumetric flask, and the volume was
216 completed with the buffer. A calibration curve was constructed for PB in phosphate buffer across the
217 concentration range of 0.01 mg to 4 mg/ mL with $R^2=0.99$ (data not shown). Physical stability data
218 (morphology and appearance) were recorded for both microencapsulated formulations (SA and PB-
219 SA), and chemical stability (drug content remaining) was recorded for the PB-SA formulation.

220

221 **Statistical analysis**

222 Values are expressed as means \pm SD. Drug content, production yield, and microencapsulation
223 efficiency were assessed using Student's t-test. Swelling index and drug dissolution comparison for
224 the different formulations were also assessed and compared using Student's test. The best fit model
225 was derived using GraphPad Prism software (V6; GraphPad Software, Inc., USA). Statistical significance
226 was set at $p < 0.05$ and all statistical analyses were performed using GraphPad Prism software.

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228

229 **Results and Discussion**

230 Drug content, production yield, and microencapsulation efficiency:

231 Significant levels of PB-loading (microencapsulation) efficiency were achieved for all microcapsules as
232 shown in

233 Table 1. The results of the drug content and encapsulation efficiency showed minimum variation
234 among repeated samples, which confirms the reproducibility of our developed microencapsulation
235 method. Additionally, high production yield with low variability in drug content and good drug loading
236 efficiency were achieved. Neither any peaks for a biodegradable polymer nor any alteration of the
237 chromatographic pattern of PB was observed, which is in line with our published work.

238

239 Table 1: Drug content, production yield, encapsulation efficiency, zeta potential, and mean particle
240 size of SA and PB-SA microencapsulation formulations.

241

242 Microcapsule size analysis and Zeta potential determination:

243 Analysis of the size of the microcapsules obtained from each formulation, as demonstrated in
244 Table 1, revealed uniform and consistent particle size distribution, and thus the addition of the drug
245 did not alter the size of the microcapsules, ensuring effective encapsulation without adverse effects
246 on size. SA and PB-SA microcapsules had an range in size of 0.8-1 μ m. The significant uniformity in
247 particle size distribution of the microcapsules ensures reproducibility.

248 As depicted by Zeta potential values of -66mV (SA) and -72.9mV (PB-SA), the dispersion of
249 microcapsules suggested a stable system [22], with the PB-SA formulation being more charged (
250 Table 1). This is assuming the higher charge (>25 mV) indicates stronger surface electrical charge of
251 the suspended drug particles. Additionally, the PB-SA formulation would be more stable, given the
252 greater repulsion created within the suspension system [23].

253

254 Optical Microscopy:

255 From both formulations, SA and PB-SA, ten microcapsules were randomly selected for particle size
256 and morphological analysis. Results show an overall consistent and uniform shape as determined via
257 a calibrated scale mounted onto a glass slide. As evident in Figure 2, the mean diameter of SA
258 microcapsules (Figure -a) (average \pm SD) was $800 \pm 20 \mu\text{m}$, while that of PB-SA microcapsules (Figure -
259 b) was $850 \pm 50 \mu\text{m}$. Results obtained also include the horizontal diameter (L1), the vertical diameter
260 (L2), and the microcapsule width (L3).

261

262 Figure 2: SA microcapsule (a) and PB-SA microcapsule (b). L1 is the horizontal diameter, L2 is the
263 vertical diameter, and L3 is the microcapsule width.

264

265 Swelling studies:

266

267 Figure and Figure show that the formulation type, the pH of the medium, and the temperature do
268 have an effect on the swelling characteristics of the microcapsules. Evidently, the higher the
269 temperature and pH, the more swelling of microcapsules in both formulations.

270 In line with PB-SA *in-vitro* dissolution data (Figure), the swelling index corresponds to degree of drug
271 release. The greater the swelling, the higher the amount of drug that diffuses into the dissolution
272 media. This is due to water uptake, expansion, and subsequent erosion of the alginate matrix, resulting
273 in the loss of microcapsule structural integrity and release of both surface bound and encapsulated PB
274 [24]. The swelling index is heavily influenced by pH and temperature, and, by considering physiological
275 parameters, it is evident that at pH 7.8 and 37°C , the greatest swelling and thus the most extensive
276 drug release occurs from PB-SA microcapsules. PB-containing microcapsules swell more than empty
277 SA microcapsules. This could be due to the fact that the surface of PB-SA microcapsules contains dry
278 crystal agglomerates compromising the surface integrity, causing weak links in the alginate matrix and
279 easy expansion and rupture upon contact with water.

280 By considering the swelling and dissolution data, it seems logical to emphasize the importance of the
281 alginate matrix structural integrity and stability in order to ensure controlled drug release, particularly
282 in physiological conditions. An important formulation excipient that should be considered for future
283 work is a bile acid (BA), which has the potential to provide stability and matrix reinforcement [14].
284 Additionally, BAs have also been shown to be more hydrophobic than their corresponding salts,
285 ensuring greater protection from water penetration as well as being very good tissue permeation
286 enhancers in diabetes [25, 26].

287

288 Figure 3: Swelling characteristics of PB-SA and SA microcapsules (pH 3 and 7.8) at 25°C .

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290 Figure 4: Swelling characteristics of PB-SA and SA microcapsules (pH 3 and 7.8) at 37°C .

291

292 Drug release studies and in-vitro dissolution:

293 Probucol release from the PB-SA microcapsules was studied in triplicates across four pH values (1.5,
294 3, 6, and 7.8) at 25°C for a period of 6 hours each. The selection of these four pH values was based on
295 our previous studies examining the best sites of potential antidiabetic drug absorption in the
296 gastrointestinal tract (GIT) [27-33]. However, the use of a gradient-pH system may have also provided
297 good prediction of *in vivo* results.

298 The release of PB was dependant on temperature and pH, which is in line with previous studies using
299 SA-drug formulations [34, 35]. As shown in Figure , PB release was slow and minimal but in a relatively
300 controlled manner at low acidic pH values (1.5 and 3). As pH values were increased, the release of PB
301 was also increased, in particular, at pH 7.8, which is expected [24, 36]. PB release from PB-SA
302 microcapsules at pH 6 and 7.8 was biphasic and multiphasic, respectively (Figure). This has important
303 implications in diabetes therapy as work in our laboratory has confirmed the distal GIT to be the site
304 of intended drug delivery due to an abundance of efflux transporters, which have been associated
305 with PB absorption after oral administration, such as the transporter ABCA1 [37, 38]. However, the

306 exact impact of such release patterns in PB oral absorption, efficacy, and safety profiles remains
307 difficult to predict [39-41].

308 A possible explanation of the multi-phasic release of PB from the PB-SA microcapsules is that PB is
309 unevenly distributed within the microcapsules, with some of it on the outside, as well as inside of the
310 microcapsules. Thus, the multi-phasic drug release pattern depicted in PB-SA dissolution data (Figure
311) could be the preferential binding of PB to the microcapsule surface, and by coating the microcapsule
312 surface, the drug would be quickly liberated following swelling and erosion of the alginate matrix.

313 Preferential deposition of encapsulated drugs onto microcapsule surfaces has been extensively
314 studied, and may occur due to several factors such as the hydrophilic-lipophilic balance of the surface
315 (HLB), the molecular weight, solubility, and degree of ionisation of the drug, as well as the surface
316 charge of the microcapsule and the physicochemical properties and proportions of the excipients used
317 [42]. It is also possible that the rapid release of PB from the microcapsule surface is attributed to its
318 very low solubility in the release medium (creating thermodynamic instability); as such, release
319 mechanisms often stem from drugs that are very lipophilic and their release patterns are characterised
320 by short “burst” times followed by much slower release concentrations [43].

321 It appears that microencapsulation of PB using only the sodium alginate polymer results in drug
322 coating of the surface by the drug, with some being distributed within the core of the microcapsule.

323 Figure 5: Probucol release from PB-SA microcapsule over time across various pH values

324

325 Accelerated stability studies (environmental chamber):

326 Accelerated stability studies were carried out over a 3 day period, testing both formulations (SA and
327 PB-SA) at -20°C, 5°C, 25°C, and 40°C and at a relative humidity of 35%.

328 Both formulations (SA and PB-SA) appeared to retain their original morphological characteristics
329 throughout the study. However, there were some changes in the colour, overall size, and quality of
330 the microcapsule surfaces across the temperatures. In detail, at -20°C, some PB-SA microcapsules
331 formed agglomerates that were easily re-dispersed, while others retained their original shape. The
332 appearance of PB-SA at this temperature was white and spherical following the 3 day period, with the
333 original quality (soft microcapsules) maintained. Similarly, SA microcapsules were also soft, spherical,
334 and flexible but were much lighter in colour (opaque in appearance). At the higher temperatures,
335 microcapsules appeared to change colour from a white (5°C and 25°C) to a light brown (at 40°C), most
336 likely due to oxidation of the alginate, whilst retaining their spherical shapes and even homogenous
337 particle size distribution. In terms of size, it was evident that an increase of the temperature resulted
338 in greater shrinkage (by up to 50%), of the microcapsules, with the biggest effect seen at a
339 temperature of 40°C. This may be explained in terms of loss of moisture content, reducing the overall
340 surface area and volume of each microcapsule. In addition, the microcapsules at all temperatures
341 (except at -20°C) had become harder and more brittle owing to loss of moisture within the
342 microcapsules and reduction in their elasticity.

343 UV analysis of the microcapsules after three days of accelerated stability testing revealed an average
344 % drug content of 2.6 ± 0.3 for PB-SA microcapsules, illustrating that various accelerated
345 environmental conditions did not compromise drug content nor did it result in loss of drug structure.
346 This complemented the visual characterisation of the microcapsules following accelerated stability
347 testing and confirmed uniformity of drug contents.

348 **Conclusion**

349 Our vibrational-jet flow microencapsulation method of PB is effective in producing microcapsules with
350 good stability and uniformity. However, the multi-phasic release characteristics may not result in
351 optimised oral absorption. Thus, an interesting future investigation will be to incorporate BA as a
352 formulation excipient, which may provide reinforcement to the alginate polymer matrix and enhance
353 the controlled release of the drug, and perhaps optimise its potentials in T2D.

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360 **The authors declare no conflict of interest.**

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