

1 Running head: FALLS AND MOBILITY AFTER STROKE

2 **Factors predicting falls and mobility outcomes in patients with stroke returning**
3 **home after rehabilitation who are at risk of falling**

4 Mandy Man-Di Ng (BSc Physio, Hons)¹, Keith D Hill¹ (PhD), Frances Batchelor²
5 (PhD), Elissa Burton¹ (PhD)

6 ¹School of Physiotherapy and Exercise Science, Faculty of Health Sciences, Curtin
7 University, Perth, Australia

8 ²National Ageing Research Institute, Parkville, Victoria, Australia

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15 **Corresponding author:**

16 Dr Elissa Burton

17 School of Physiotherapy and Exercise Science, Faculty of Health Sciences, Curtin
18 University, GPO Box U1987, Perth, Australia

19 Business telephone number +61 9266 4926

20 Email e.burton@curtin.edu.au

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23 **Factors predicting falls and mobility outcomes in patients with stroke returning**
24 **home after rehabilitation who are at risk of falling**

25 **Abstract**

26

27 **Objective:** To identify factors predicting falls and limited mobility in people with
28 stroke at 12 months after returning home from rehabilitation.

29 **Design:** Observational cohort study with 12 month follow-up.

30 **Setting:** Community.

31 **Participants:** People with stroke (n=144) and increased falls risk discharged home from
32 rehabilitation.

33 **Interventions:** Not applicable.

34 **Main Outcome Measures:** Falls were measured using monthly calendars completed by
35 participants, and mobility was assessed using gait speed over five metres (high mobility
36 (>0.8m/s) versus low mobility (\leq 0.8m/s). Both measures were assessed at 12 months
37 post-discharge. Demographics and functional measures including balance, strength,
38 visual or spatial deficits, disability, physical activity level, executive function,
39 functional independence and falls risk were analysed to determine factors significantly
40 predicting falls and mobility levels after 12 months.

41 **Results:** Those assessed as being at high falls risk (Falls Risk for Older People in the
42 Community (FROP-Com) score \geq 19) were 4.5 times more likely to fall by 12 months
43 (OR:4.506, 95% CI:1.71-11.86, p-value:0.002). Factors significantly associated with
44 lower usual gait speed (<0.8m/s) at 12 months in the multivariable analysis were age
45 (OR:1.07, 95% CI=1.01–1.14, p-value=0.033), physical activity (OR:1.09, 95% CI

46 =1.03-1.17, p-value=0.007) and functional mobility (OR:0.83, 95% CI =0.75-0.93, p-
47 value=0.001).

48 **Conclusion:** Several factors predicted falls and limited mobility for patients with stroke
49 12 months after rehabilitation discharge. These results suggest that clinicians should
50 include assessment of falls risk (FROP-Com), physical activity, and dual task Timed Up
51 and Go during rehabilitation to identify those most at risk of falling and experiencing
52 limited mobility outcomes at 12 months, and target these areas during in-patient and
53 out-patient rehabilitation to optimise long term outcomes.

54 **Keywords:** Accidental falls; Gait; Falls risk; Stroke.

55

56 **Abbreviations**

57

58	AUD	Australian Dollar
59	CI	confidence interval
60	FAB	Frontal Assessment Battery
61	FES-S	Falls Efficacy Scale (Swedish Modification)
62	FIM	Functional Independence Measure
63	FROP-Com	Falls Risk for Older People in the Community
64	HAPAAS	Human Activity Profile - Adjusted Activity Score
65	HRQoL	Health-Related Quality of Life
66	LHS	London Handicap Scale
67	OR	odds ratio

68	PASE	Physical Activity Scale for the Elderly
69	RCT	randomized controlled trial
70	SPSS	Statistical Package for the Social Sciences
71	TUG	Timed Up and Go
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74 **Factors predicting falls and mobility outcomes in patients with stroke returning**
75 **home after rehabilitation who are at risk of falling**

76

77 Stroke is a prevalent medical condition among the adult population,¹ especially in
78 people over the age of 65 years. Stroke affects around 62 million people worldwide,²
79 and is the second leading cause of death and the third leading contributor to burden of
80 disease globally.³ In Australia, stroke was the eighth highest cause of burden of disease
81 in 2011 overall,⁴ and the total financial costs of stroke have been estimated to be
82 AUD\$5 billion in 2012 (approximately US\$3.7 billion, based on April 2017 conversion
83 rates)⁵ In addition, over a third of Australians with stroke reported a disability resulting
84 from their stroke,⁶ such as incomplete use of limbs and restrictions in physical activity
85 or work.⁶ These disabilities have a negative impact on core daily activities like mobility
86 and self-care,⁶ and also increase the risk of falling.⁷

87

88 Falls are common in people with stroke, who fall 1.5-2 times more than the age-
89 matched older population without stroke.^{8,9} Following stroke, people commonly have
90 physical, cognitive and psychological impairments which can increase their propensity
91 to fall.¹⁰ Some of these impairments include poor balance, the presence of visual
92 neglect, sensory loss, decreased muscle strength, increased muscle tone and fear of
93 falling.¹⁰⁻¹³ Falls can result in serious consequences such as fractures, which are two
94 times more likely in people with stroke as compared to age-matched controls.^{14, 15}
95 Fractures are associated with decreased functional mobility and physical activity levels,
96 and increased dependency in activities of daily living.¹⁴ Other consequences of falls in
97 post-stroke populations may include fear of falling and reduced confidence in mobility,
98 which can have debilitating effects on the everyday life of people with stroke.¹⁶

100 In addition to the increased risk of falling, people with stroke have decreased mobility
101 levels.¹⁷ Mobility is defined by the World Health Organization as “the individual’s
102 ability to move about effectively in his/her surroundings”.^{18 (p.192)} Mobility impairments
103 can persist in patients with stroke even at 1-3 years post-stroke.^{17, 19} Mobility is vital for
104 performing activities of daily living such as dressing, showering, walking or preparing
105 meals.²⁰ In addition to the effects of normal age-related declines in mobility such as
106 slower gait speed,²¹ people with stroke over the age of 65 also have impaired mobility
107 due to the effects of stroke, such as post-stroke fatigue and increased oxygen cost of
108 walking as a result of asymmetric gait patterns.^{22, 23} Several consequences of decreased
109 mobility in this population include reduced independence in activities of daily living
110 such as walking and dressing,²⁴ lower health-related quality of life,²⁵ sedentary
111 lifestyles,²⁶ muscle atrophy and weakness²⁷ as well as bone loss particularly in the
112 hemiparetic lower limb (hemi-osteoporosis).^{28, 29}

113

114 It is therefore important to investigate the factors influencing falls, and mobility levels,
115 as people with stroke have increased risk of falling and decreased mobility levels with
116 subsequent detrimental effects. To date, there has been no consensus in the literature in
117 determining the factors that increase the risk of falls in people with stroke, with studies
118 listing different factors.^{11, 12, 30} One possible reason for the inconsistent results could be
119 because these studies were undertaken in populations with different stroke severities
120 and at different time points. The first 12 months after rehabilitation is an important time
121 period as first falls and repeat falls are common throughout this period.^{31, 32}

122

123 A number of studies have examined factors which predict mobility outcomes in the first
124 several years after returning home following stroke-related hospitalisation.^{19, 33-35} There
125 is considerable variability in the duration post hospitalisation used as the time point to
126 predict outcomes (e.g. 6 months, 12 months, several years), outcome measures utilised,
127 and predictor variables. It is rare that the important and associated predictor outcomes
128 included both mobility and falls. The first 12 months post rehabilitation is a critical time
129 point because it has allowed sufficient time for adjusting back to the physical,
130 psychological and emotional demands of living at home with the impact of the stroke,
131 recovery will have plateaued, and the negative impacts of reduced activity and falls will
132 be emerging. While many falls by stroke patients are repeat falls, some first falls occur
133 beyond the six month time point. For these reasons, 12 months is considered an
134 important time point for predicting falls and mobility levels in people with stroke.^{36, 37}
135 To date there has been a paucity of research looking at the factors predicting both falls
136 and mobility levels in people with stroke in the first 12 months after rehabilitation,
137 using the same comprehensive baseline predictor dataset. The aim of this study was to
138 identify factors predicting falls and mobility outcomes in people with stroke in the first
139 12 months after returning home from rehabilitation.

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142 **Methods**

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145 **Study design**

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148 An observational cohort study was conducted using an existing dataset from a

149 randomised controlled trial (RCT) carried out from October 2006 to November 2010.³⁸

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151

152 **Participants**

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155 Study data were collected as part of a multi-centre RCT, which aimed to determine the

156 effects of a multifactorial falls prevention program in people with stroke who were

157 returning home after rehabilitation.³⁸ Rehabilitation is defined by the World Health

158 Organization as “a set of measures that assist, individuals, who experience or are likely

159 to experience disability, to achieve and maintain optimum functioning in interaction

160 with their environments.”^{39 (p.96)} Rehabilitation for this study refers to the sub-acute in-

161 patient environment, once the patient had been transferred from the acute setting, but it

162 does not include residential care or long term care facilities. Participants were recruited

163 from nine health rehabilitation services across Melbourne and Adelaide, Australia.³⁸

164 Full details of the published RCT protocol and results are available.^{38, 40} Ethics approval

165 for the current study was obtained from Melbourne Health and Curtin University

166 Human Research Ethics Committees.

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170 **Inclusion criteria**

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173 People with stroke (any type, except sub-dural stroke and malignancy related infarct or
174 malignancy related haemorrhage) aged 45 years and above, who had been discharged
175 home from rehabilitation and were evaluated to have increased falls risk, were included
176 in the study (discharge from rehabilitation to other settings such as residential care was
177 an exclusion criteria).³⁸ The inclusion age was originally 60 years and over, however
178 due to slow recruitment it was extended to include participants aged 45 years and over.
179 Increased falls risk was determined by a score of less than 49 on the Berg Balance Scale
180 or a score of less than seven on the Step Test (lowest score between legs), or if the
181 person had fallen during hospital admission.³⁸ These variables were shown in a previous
182 study to predict multiple falls within the first six months after discharge from
183 rehabilitation.¹² To manage costs of travel associated with home visits, people with
184 stroke were excluded if they lived more than 100 kilometres from study sites.³⁸

185

186 In the primary RCT study, 156 participants were randomised into one of two groups:
187 control (falls education booklet and usual care) or intervention (home-based exercise
188 program, falls risk minimisation strategies, injury risk minimisation strategies for those
189 at high risk of fractures and a multifactorial intervention based on falls risk assessment
190 findings, a falls education booklet and usual care).³⁸ A physiotherapist with more than
191 five years' experience in neurology/gerontology delivered the intervention, which
192 included the home-based exercise program which was based on the Otago Exercise
193 Program (OEP),^{41,42} but it was modified as required by the physiotherapist. The OEP
194 has been shown to reduce falls in older people and the exercises address balance and

195 mobility issues.^{38, 43, 44} The physiotherapist was trained in delivering the OEP. The falls
196 risk minimisation strategies were aimed at directly preventing falls, such as improving
197 footwear or safety in the home environment, whereas injury minimisation strategies
198 were aimed at preventing injury if a fall occurred, for example by using hip
199 protectors.^{38, 40} Multifactorial interventions were based on a falls risk assessment and
200 may have included referral for continence management strategies, medication review or
201 a home safety assessment.^{38, 40} As there was no significant difference in falls outcomes
202 between the intervention and control groups for the RCT,³⁸ data for the full sample
203 (intervention and control group) were included in this study analysis.

204

205

206 **Variables**

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209 The primary outcome measures in this study were fall status (faller versus non-faller),
210 measured using the gold standard of prospective monthly calendar records by
211 participants,³⁸ and mobility (high or low mobility) at 12 months after discharge home
212 from stroke rehabilitation. Falling is often defined as “an unexpected event in which the
213 person involved comes to rest on the ground, floor or lower level”.^{45 (p.1619)} Fallers
214 included participants who fell at least once and non-fallers were participants who did
215 not fall within the first 12 months after returning home from rehabilitation respectively.
216 Mobility was measured by comfortable gait speed score over five metres in metres per
217 second (m/sec), using 0.8m/s as the cut-off point for categorisation of participants into
218 high mobility (>0.8m/s) or low mobility (≤0.8m/s).⁴⁶ Participants used their usual
219 indoor walking aid for this assessment.

220

221 Independent variables were the baseline factors considered as potentially influencing
222 factors on longer term falls and low mobility outcomes (measured at a home visit
223 occurring on average within two weeks [median 13.0 days, Inter-Quartile range 10
224 days] of discharge from rehabilitation). Variables included age, sex, type of stroke, side
225 of body symptoms, time between stroke to baseline measurement, balance (Step Test),⁴⁷
226 strength (Sit-To-Stand test),⁴⁸ visuo-spatial hemi-neglect (Baking Tray Task and Star
227 Cancellation Test),^{49, 50} fear of falling (Falls Efficacy Scale – Swedish Modification),⁵¹,
228 ⁵² visual field deficit (confrontation visual field testing),⁵³, level of disability (London
229 Handicap Scale),⁵⁴ physical activity level (Human Activity Profile),^{55, 56} executive level
230 function (Frontal Assessment Battery),⁵⁷ functional independence (Functional
231 Independence Measure),⁵⁸ falls risk grade (Falls Risk for Older People in the
232 Community: FROP-Com)⁵⁹ and functional mobility level (Timed Up and Go single and
233 dual-task test).⁶⁰ All measures have been determined to be valid and reliable in this
234 population.^{47-50, 53-55, 57-60}

235

236 Most of these measures are widely utilised, but we provide additional detail for two of
237 the measures which are less commonly used in stroke research. The Human Activity
238 Profile (HAP) is a valid and reliable tool for measuring activity levels in patients with
239 stroke.⁵⁵ It comprises 94 activities including self-care, physical exercises, transportation,
240 home maintenance, entertainment or social activities, which are hierarchically ordered
241 according to their required metabolic equivalents (score range 0-94).⁵⁶ Two scores are
242 derived: the Maximum Activity Score (MAS), which is the highest numbered activity
243 rated as still being performed, and the Adjusted Activity Score (AAS), which is the
244 MAS less the number of lower numbered activities rated as “have stopped doing”.⁵⁶

245 Falls risk grade was assessed using the FROP-Com falls risk assessment tool, which is a
246 validated tool designed to identify the level of falls risk associated with common falls
247 risk factors for older people living in the community.^{40,59} The FROP-Com assessment
248 tool consists of 28 items which are used to inform the delivery of potential
249 interventions, for example improving footwear, continence strategies, medication
250 management (score range 0-63; cut-off score ≥ 19 indicating high falls risk).⁵⁹

251

252 **Data analysis**

253

254

255 Data analyses were conducted using Statistical Package for the Social Sciences (SPSS
256 for Windows, version 23.0, IBM, New York, USA). Two binary primary outcome
257 variables were examined: 1) fall status (fallers versus non-fallers over the 12 month
258 study) and 2) mobility level (high mobility level versus low mobility level determined
259 by gait speed scores at the 12 month post discharge assessment). Descriptive statistics
260 were generated for all demographic, baseline and 12 month data. For continuous
261 measures, data were checked for normal distribution. For non-normally distributed
262 continuous measures, non-parametric analyses were used (Mann-Whitney U test).
263 Comparisons were undertaken between groups for the primary outcome binary
264 variables, using Chi-squared for independent (categorical) variables and *t*-tests for
265 continuous independent variables.

266

267 Initial univariate analysis involved identifying between-group (fallers versus non-
268 fallers, high versus low mobility) differences. Demographic, stroke data, falls risk
269 (FROP-Com), medication, function, confidence, activity, handicap and cognitive

270 (Frontal Assessment Battery) measures were all included in the initial analysis as
271 factors that may influence faller status and mobility (gait speed) (see Table 2 for all
272 factors included). Variables with $p \leq 0.1$, in conjunction with examination of confidence
273 intervals, were included in the multivariable logistic regression model.^{61, 62} Potentially
274 correlated covariates were checked using Spearman's rank-order correlation or
275 Pearson's product-moment correlation dependent on the normality distribution of the
276 data. Correlated variables were not placed together in the same multivariable logistic
277 regression model. If two or more variables were highly correlated ($r > 0.7$),⁶³ the
278 variable with the highest univariate odds ratio was utilised. Multivariable regression
279 analyses were undertaken using the hierarchical model, entering covariates into the
280 model in a stepwise fashion.⁶⁴ Potential confounders such as age and gender were
281 adjusted for as appropriate, in both univariate and multivariable logistic regression
282 models. A p-value of ≤ 0.05 was considered statistically significant for the multivariable
283 models.

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285

286 **Results**

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288

289 The falls analyses consisted of 144 participants; 75 fallers and 69 non-fallers. Gait
290 speed data were available for 132 participants as 24 participants dropped out of the
291 study before the final assessment. Reasons for dropping out included deceased (n=8), no
292 longer interested (n=8), unwell (n=2), too busy (n=3), unable to contact (n=1), moved
293 overseas (n=1) and family reasons (n=1). There was no significant difference between
294 those who remained in the study and those who withdrew, except for FROP-Com. For

295 those in the study, 75 participants had low gait speed $<0.8\text{m/s}$ and 57 participants had
296 high gait speed $\geq 0.8\text{m/s}$.

297

298 Table 1 shows the characteristics of the study population based on falls status and
299 mobility levels (gait speed). The mean age for all participants was 71.5 (SD:10.6) years,
300 with males making up 63.5% of the total sample. Time between discharge and baseline
301 was analysed with non-parametric statistics as it was not normally distributed. There
302 was a significant difference between fallers and non-fallers in the number of falls in the
303 12 months prior to stroke, with 55.9% of fallers falling two or more times in this period.
304 Seventy-one per cent of participants with low mobility levels had high falls risk (as
305 measured by the Falls Risk for Older People in the Community assessment tool score
306 ≥ 19), compared to 29.8% of participants with high mobility ($p < 0.001$). There were
307 significant differences for both the faller and mobility status groups for taking centrally
308 acting drugs, with non-fallers and those with high mobility taking fewer drugs
309 respectively.

310

311 Insert Table 1 here

312

313

314 **Fallers Outcomes**

315

316

317 A univariate logistic regression was conducted to identify which factors predicted the
318 faller status of participants at 12 months (Table 2). Having high falls risk was found to

319 significantly predict falls in both the non-adjusted and adjusted univariate analysis.
320 Taking centrally acting drugs, the Functional Independence Measure (FIM), Falls Risk
321 for Older People in the Community (FROP-Com), Falls Efficacy Scale-Swedish
322 Modification (FES-S), Human Activity Profile Adjusted Activity Score (HAPAAS) and
323 gait speed over five metres were also significant in predicting falls in both the non-
324 adjusted and adjusted univariate analysis. As both comfortable and fast gait speed
325 scores and high falls risk and FROP-Com total score were highly correlated ($r>0.7$),
326 comfortable gait speed score and FROP-Com total score were excluded in the
327 multivariable logistic regression in the falls analysis due to lower univariate odds ratios.

328

329 Insert Table 2 here

330

331 The multivariable logistic regression model correctly classified 52.6% of faller cases
332 and 65% of overall cases and was statistically significant, $\chi^2(4)=10.764$, p-value=0.029.
333 High falls risk as measured by the FROP-Com assessment tool score (≥ 19) was the only
334 factor found to significantly predict those more likely to fall (OR:4.506, 95% CI:1.71-
335 11.86, p-value:0.002) (Table 2).

336

337

338 **Mobility Levels Outcomes**

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340

341 A univariate logistic regression was also conducted to determine factors influencing
342 mobility (comfortable gait speed) (Table 3). Having moderate or high falls risk was
343 found to significantly predict the mobility levels of participants in both the non-adjusted
344 and adjusted univariate analysis. Taking centrally acting drugs, time from stroke to
345 baseline in months, FIM, FROP-Com, FES-S, HAPAAS, London Handicap Scale
346 (LHS), Frontal Assessment Battery (FAB), Timed Up and Go (TUG) single and dual-
347 task tests and the five time sit-to-stand test also significantly predicted mobility levels in
348 both the non-adjusted and adjusted univariate analysis. As both TUG single and dual-
349 task tests, and risk of falling and FROP-Com total score respectively were highly
350 correlated ($r>0.7$), the TUG single task test and the risk of falling were excluded in the
351 multivariable logistic regression due to lower univariate odds ratios.

352

353 Insert Table 3 here

354

355 The multivariable regression model was statistically significant, $\chi^2(6)=66.58$, $p\leq 0.001$.
356 The model classified 86.8% of high mobility cases correctly and 82.2% of overall cases.
357 Factors significantly associated with lower mobility ($<0.8\text{m/s}$) at 12 months were age
358 (OR:1.07, 95% CI=1.01–1.14, $p\text{-value}=0.033$), HAPAAS score (OR:1.09, 95%
359 CI=1.03-1.17, $p\text{-value} = 0.007$) and TUG dual-task test score (OR:0.83, 95% CI=0.75-
360 0.93, $p\text{-value}=0.001$) (Table 3).

361

362

363 **Discussion**

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365

366 This study is the first to identify factors predicting both falls and mobility levels in
367 people with stroke in the first 12 months after returning home from rehabilitation.

368 Multivariable analysis indicated that being assessed as high falls risk (FROP-Com \geq 19)
369 increased the likelihood of falling by 4.5 times in people with stroke in the first 12
370 months after discharge from rehabilitation, compared to those assessed as medium to
371 low falls risk.

372

373 Previous research has shown that falls history and poor balance predict falls in people
374 with stroke in the first six months after rehabilitation.¹² Cho and Lee also found that
375 impaired dynamic balance was associated with falls in people with stroke.⁶⁵ The FROP-
376 Com assessment tool, which was used to determine falls risk status in the current study
377 covers a variety of factors, including falls history and balance,⁵⁹ functional
378 independence, gait and physical activity in determining falls risk.⁵⁹ These factors were
379 significant in predicting falls in the univariate analysis, and when utilised in the falls
380 risk tool (FROP-Com) highlighted that those with high falls risk were 4.5 more likely to
381 fall in the 12 months post rehabilitation. While there have been other studies that have
382 shown that falls risk is predictive of falls in people with stroke, this is the first to use a
383 comprehensive assessment tool such as the FROP-Com as a measure of falls risk.

384

385 This study also investigated factors predicting mobility levels in people with stroke in
386 the first 12 months after rehabilitation. The multivariable analysis found that age,
387 HAPAAS and TUG dual-task test scores were significant in predicting lower mobility
388 levels in people with stroke. The TUG dual-task test is a measure that evaluates physical
389 performance (including speed of sit to stand to sit, and gait speed, both straight walking

390 and turning) under conditions of competing cognitive demand from the dual task
391 component of the test, that has been shown to be reliable and valid in stroke patients.⁶⁶
392 Reduced gait speed and increased energy expenditure per metre walked in older
393 people,⁶⁷ increased energy costs of walking and other mobility related tasks due to
394 stroke-related impairments such as hemiparesis on the affected side and reduced muscle
395 power on the unaffected side,^{23, 68-70} as well as reduced ability to dual-task, all
396 contribute to the reduced performance by stroke patients on the TUG dual-task test. This
397 combination of factors may account for the lower mobility levels in older people with
398 stroke.⁶⁷

399

400 Dual-task walking in people with stroke adversely affects gait speed and balance due to
401 the competing demands for attention to both tasks.^{71, 72} The increased burden on the
402 already limited cognitive resources available after stroke results in slower gait speeds
403 and poorer mobility.⁷³ Yang et al. suggested that dual-tasking exercises can improve
404 gait ability in people with stroke.⁷⁴ It may therefore be beneficial to use the TUG dual-
405 task test in clinical settings to identify people with stroke who are likely to have low
406 mobility levels and who may benefit from dual-tasking exercises during stroke
407 rehabilitation.

408

409 The literature also suggests that lower physical activity levels in people with stroke are
410 associated with poorer walking abilities in terms of mobility.⁷⁵ This study used the HAP
411 to predict mobility levels in people with stroke because it covers a variety of physical
412 activities including walking and stairs use.⁵⁶ This result reinforces findings of another
413 study which reported that the HAP was significantly related to comfortable gait speed in
414 people with stroke.⁵⁵

415

416 **Study Limitations**

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418

419 The main limitation of this study was that only participants with increased risk of falling
420 at the end of rehabilitation were included in this study. Therefore, the results cannot be
421 generalised to the entire stroke population, such as those not at high falls risk or aged
422 under 45 years. Slow recruitment also resulted in the decision to lower the inclusion
423 criteria age from 60 to 45, which may have led to more heterogeneity in mobility
424 outcomes. However, 85% of participants were aged 60 or older, so the impact of the
425 lowered age was minimal. The study was also undertaken in two cities in Australia and
426 therefore may not be generalisable to stroke survivors who do not receive medical
427 assistance or rehabilitation after leaving hospital, unlike the participants in this current
428 study. Also there was a small amount of 12 month mobility data missing (<16%), due
429 to 24 patients not completing the final assessment. Another limitation was the small
430 number of cases with an unknown type of stroke (n=6). These were the result of details
431 not being available to the researchers. However, the small number of participants where
432 this occurred suggests it is unlikely to have had much effect on the results. Given the
433 high proportion of people with stroke who fall, receive medical assistance and the
434 negative effects of falls in people with stroke, the results are still clinically relevant for
435 much of this population.

436

437

438 **Conclusion**

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440

441 Falls and limited mobility are common negative outcomes that can each contribute to
442 other short and longer term poor health outcomes (eg injuries, reduced confidence,
443 curtailed activity) in the 12 months following in-patient rehabilitation for stroke
444 patients. The results of this study highlight the potential value of using the FROP-Com
445 assessment to target interventions during and post rehabilitation aiming to reduce longer
446 term falls risk, and the use of activity level and functional mobility (measured using
447 dual task TUG) similarly to target greater emphasis of interventions during and post
448 rehabilitation to improve mobility at 12 months.

449

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Table 1: Study characteristics of participants

Characteristic	Faller (n=75)	Non-Faller (n=69)	Low Mobility (n=75)	High Mobility (n=57)
Mean age, years (SD)	71.5 (10.5)	71.2 (10.8)	69.9 (11.2)	72.5 (9.7)
Age median (73 years) – N (%)				
Under 73 years	37 (49.3)	33 (47.8)	41 (54.7)	26 (45.6)
73 years and older	38 (50.7)	36 (52.2)	34 (45.3)	31 (54.4)
Gender N (%)				
Male	49 (65.3)	42 (60.9)	47 (62.7)	37 (64.9)
Female	26 (34.7)	27 (39.1)	28 (37.3)	20 (35.1)
Stroke Type N (%)				
Infarct	60 (80.0)	55 (79.7)	61 (81.3)	45 (78.9)
Intracerebral Haemorrhage	13 (17.3)	10 (14.5)	11 (14.7)	10 (17.5)
Sub-Arachnoid haemorrhage	0 (0.0)	3 (4.3)	1 (1.3)	1 (1.8)

Unknown	2 (2.7)	1 (1.4)	2 (2.7)	1 (1.8)
Side of symptoms N (%)				
Right	31 (41.3)	28 (40.6)	34 (45.3)	19 (33.3)
Left	41 (54.7)	38 (55.1)	39 (52.0)	34 (59.6)
Bilateral	2 (2.7)	2 (2.9)	1 (1.3)	3 (5.3)
Unknown	1 (1.3)	1 (1.4)	1 (1.3)	1 (1.8)
Mean time from stroke to baseline, months (SD)	2.9 (1.6)	2.9 (1.5)	3.5 (2.0)	2.6 (1.4)
Living Arrangements N (%)				
Alone	17 (22.7)	15 (21.7)	15 (20.0)	13 (22.8)
Spouse / Carer (person providing informal care)	47 (62.7)	35 (50.7)	40 (53.3)	36 (63.2)
Family	11 (14.7)	19 (27.5)	20 (26.7)	8 (14.0)
Number of falls in 12 months prior to stroke N (%)				

Nil	5 (7.4) ‡	56 (87.5) ‡	33 (44.0)	28 (49.1)
One	18 (26.5) ‡	5 (7.8) ‡	16 (21.3)	7 (12.3)
Two or more	38 (55.9) ‡	2 (3.1) ‡	21 (28.0)	19 (33.3)
One or more requiring hospitalization	7 (10.3) ‡	1 (1.6) ‡	5 (6.7)	3 (5.3)
Median time between discharge and baseline, days (IQR: between the 25 th and 75 th percentile)	13.0 (9)	13.0 (11)	14.0 (9)	12.0 (8)
Falls Risk N (%)				
Low (FROP-Com score ≤11)	10 (13.3)	14 (20.3)	6 (8.0) ‡	16 (28.1) ‡
Moderate (FROP-Com score 12-18)	18 (24.0)	25 (36.2)	16 (21.3) ‡	24 (42.1) ‡
High (FROP-Com score ≥19)	47 (62.7)	30 (43.5)	53 (70.7) ‡	17 (29.8) ‡
Visual field confrontation N (%)				
No deficit	49 (70.0)	43 (64.2)	44 (62.0)	40 (71.4)

Right deficit	9 (12.9)	5 (7.5)	8 (11.3)	5 (8.9)
Left deficit	7 (10.0)	9 (13.4)	10 (14.1)	5 (8.9)
Other	5 (7.1)	10 (14.9)	9 (12.7)	6 (10.7)
Taking centrally acting drugs N				
(%)				
Yes	35 (46.7)*	18 (26.1)*	39 (52.0)†	14 (24.6)†
No	40 (53.3)*	51 (73.9)*	36 (48.0)†	43 (75.4)†

NOTE. Centrally acting drugs include sedatives, antidepressants, centrally acting analgesics, other psychotropics and vestibular suppressants.

Abbreviation. FROP-Com -Falls Risk for Older People in the Community.

* $p < 0.05$, † $p < 0.01$, ‡ $p < 0.001$.

Table 2: Analysis of factors influencing falls

Variables	Univariate analysis (no adjustments)			Univariate analysis (adjusted for age and gender)			Multivariable analysis (adjusted for age and gender)		
	Odds ratio	95% CI	p-value	Odds ratio	95% CI	p-value	Odds ratio	95% CI	p-value
Age	1.01	0.97-1.04	0.617	1.00	0.97-1.04	0.849	1.00	0.97-1.04	0.864
Age mid point	0.94	0.49-1.81	0.857						
Gender	0.83	0.42-1.63	0.579	0.94	0.47-1.88	0.86	0.74	0.32-1.69	0.470
Type of stroke – Infarct		Reference			Reference				

Haemorrhage	0.84	0.34-2.07	0.703	0.83	0.33-2.08	0.698
Sub arachnoid§	N/A	N/A	N/A	N/A	N/A	N/A
Unknown	1.54	0.12-19.47	0.739	1.52	0.12-19.37	0.746
Side of hemiparesis – Right		Reference			Reference	
Side of hemiparesis - Left	0.98	0.50-1.91	0.94	1.00	0.51-1.98	0.993
Side of hemiparesis - Bilateral	0.90	0.12-6.85	0.922	1.03	0.13-8.08	0.979
Side of hemiparesis - Unknown	0.90	0.05-15.13	0.944	1.07	0.06-18.91	0.964

Living status -Live alone		Reference			Reference					
Live with spouse/carers	1.19	0.52-2.69	0.685	1.14	0.483-2.71	0.760				
Live with family	0.51	0.19-1.41	0.195	0.51	0.18-1.46	0.209				
Falls risk – Low (FROP-Com score ≤ 11)		Reference			Reference					
Falls risk – Moderate (FROP-Com score 12 -18)	1.59	0.66-3.87	0.303	1.76	0.69-4.50	0.236				
Falls risk – High (FROP-Com score ≥ 19)	4.55	1.91-10.86	0.001†	4.70	1.95-11.32	0.001†	4.51	1.71-11.86	0.002	
Centrally acting drugs	2.48	1.23-5.01	0.011*	2.57	1.26-5.23	0.009†				

Time from stroke to baseline, months	1.11	0.92-1.34	0.284	1.16	0.94-1.44	0.175
FIM total score	0.97	0.95-0.99	0.017*	0.97*	0.946-0.99*	0.019*
Days from discharge to assessment	0.98	0.95-1.01	0.246	0.98	0.95-1.01	0.239
FROP-Com score (revised)	1.17	1.09-1.25	<0.001‡	1.17	1.09-1.25	<0.001‡
FESS total score	0.98	0.97-0.99	0.001†	0.98	0.96-0.99	0.001†
HAP AAS score	0.98	0.96-0.99	0.023*	0.98	0.96-0.99	0.021*

LHS total score	1.03	0.95-1.12	0.467	1.03	0.95-1.11	0.496
FAB total score	1.01	0.92-1.10	0.893	1.01	0.92-1.11	0.826
Comfortable gait speed (average)	0.98	0.96-0.99	0.029*	0.98	0.96-0.99	0.031*
Fast gait speed (average)	0.98	0.97-0.99	0.022*	0.98	0.97-0.99	0.022*
TUG single task time	1.02	0.99-1.05	0.073	1.02	0.99-1.05	0.079
TUG dual-task time	1.02	0.99-1.04	0.080	1.02	0.99-1.04	0.086

5 time Sit-To-Stand test 1.00 0.97-1.03 0.927 1.00 0.97-1.03 0.926

NOTE. Centrally acting drugs include sedatives, antidepressants, centrally acting analgesics, other psychotropics and vestibular suppressants.

Abbreviations. FIM -Functional Independence Measure, FROP-Com -Falls Risk for Older People in the Community, FESS -Falls Efficacy Scale – Swedish Modification,

HAP AAS -Human Activity Profile Adjusted Activity Score, LHS -London Handicap Scale, FAB -Frontal Assessment Battery, TUG -Timed Up and Go test.

*p < 0.05, †p < 0.01, ‡p < 0.001

§No participant in the faller group had a sub-arachnoid stroke.

Table 3: Analysis of factors influencing mobility levels (gait speed)

Variables	Univariate analysis (no adjustments)			Univariate analysis (adjusted for age and gender)			Multivariable analysis (adjusted for age and gender)		
	Odds ratio	95% CI	p-value	Odds ratio	95% CI	p-value	Odds ratio	95% CI	p-value
Age	1.02	0.99-1.06	0.173	1.03	0.99-1.07	0.129	1.07	1.01-1.14	0.033*
Age mid point	1.44	0.72-2.87	0.303						
Gender	0.91	0.44-1.86	0.791	0.91	0.44-1.91	0.809	1.09	0.33-3.65	0.885
Type of stroke- Infarct		Reference			Reference				
Haemorrhage	0.81	0.32-2.08	0.663	0.71	0.27-1.88	0.484			

Sub-arachnoid	1.10	0.06-20.01	0.949	1.43	0.07-27.83	0.814
Unknown	0.55	0.04-7.03	0.646	0.46	0.04-6.07	0.557
Side of hemiparesis – Right		Reference			Reference	
Side of hemiparesis – Left	1.56	0.76-3.22	0.23	1.64	0.78-3.43	0.190
Side of hemiparesis - Bilateral	5.37	0.52-55.27	0.158	7.13	0.64-79.17	0.110
Side of hemiparesis - Unknown	1.79	0.11-30.27	0.687	2.99	0.17-54.11	0.459
Living status - Live alone		Reference			Reference	
Live with spouse/carer	1.04	0.44-2.48	0.932	1.07	0.43-2.67	0.890

Live with family	0.46	0.15-1.40	0.171	0.52	0.17-1.66	0.271
Fallen in last 12 months	0.58	0.28-1.21	0.147	0.61	0.29-1.28	0.188
Falls risk – Low (FROP-Com score ≤11)		Reference			Reference	
Falls risk – Moderate (FROP-Com score 12 -18)	0.33	0.13-0.81	0.016*	0.34	0.13-0.88	0.026*
Falls risk – High (FROP-Com score ≥19)	0.144	0.06-0.36	<0.001‡	0.13	0.05-0.33	<0.001‡
Centrally acting drugs combined	0.30	0.14-0.64	0.002†	0.31	0.14-0.66	0.002†
No. of falls in last 12 months – Nil		Reference			Reference	

No. of falls in last 12 months -	0.52	0.19-1.43	0.204	0.56	0.20-1.57	0.266
One						
No. of falls in last 12 months \geq	1.07	0.48-2.37	0.875	1.04	0.46-2.34	0.926
Two						
No. of falls in last 12 months \geq	0.71	0.16-3.23	0.654	0.75	0.16-3.43	0.706
One or more requiring hospitalization						
Time from stroke to baseline, months	0.70	0.54-0.92	0.009†	0.71	0.53-0.94	0.018*
FIM total score	1.10	1.05-1.15	<0.001‡	1.10	1.05-1.15	<0.001‡
Days from discharge to assessment	0.97	0.94-1.01	0.106	0.97	0.94-1.01	0.098

FROP-Com score (after revisions)	0.87	0.82-0.94	<0.001‡	0.87	0.82-0.93	<0.001‡			
FESS total score	1.04	1.02-1.06	<0.001‡	1.04	1.03-1.06	<0.001‡			
HAP AAS score	1.08	1.05-1.11	<0.001‡	1.09	1.06-1.12	<0.001‡	1.09	1.03-1.17	0.007†
LHS total score	0.78	0.70-0.87	<0.001‡	0.78	0.70-0.87	<0.001‡			
FAB total score	1.17	1.05-1.29	0.003†	1.22	1.09-1.36	0.001†			
TUG single task time	0.72	0.64-0.82	<0.001‡	0.72	0.63-0.81	<0.001‡			

TUG dual-task time	0.88	0.83-0.93	<0.001‡	0.87	0.81-0.92	<0.001‡	0.83	0.75-0.93	0.001†
5 time Sit-To-Stand test	0.93	0.88-0.98	0.004†	0.92	0.87-0.98	0.004†			

NOTE. Centrally acting drugs include sedatives, antidepressants, centrally analgesics, other psychotropics and vestibular suppressants.

Abbreviations. FIM -Functional Independence Measure, FROP-Com -Falls Risk for Older People in the Community, FESS -Falls Efficacy Scale – Swedish Modification, HAP AAS -Human Activity Profile Adjusted Activity Score, LHS -London Handicap Scale, FAB -Frontal Assessment Battery, TUG -Timed Up and Go test.

*p < 0.05, †p < 0.01, ‡p < 0.001