

Study of concrete damage mechanism under hydrostatic pressure by numerical simulations

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Abstract: Current material models commonly assume concrete does not suffer damage under hydrostatic pressure. However concrete damages were observed in recent true tri-axial tests. Hydrostatic pressures varying from 30 MPa to 500 MPa were applied on the 50 mm cubic concrete specimens in the tests. Uniaxial compressive tests and microscopic observations on the hydrostatic tested specimens indicated that concrete suffered obvious damage if the applied hydrostatic pressure was higher than the uniaxial compressive strength of concrete specimen. This study aims to examine damage mechanism of concrete under hydrostatic pressures through numerical simulations. A mesoscale concrete model with the consideration of randomly distributed aggregates and pores is developed and verified against the testing data, and then used to simulate the responses of concrete specimens subjected to different levels of hydrostatic pressures. The simulation results show that under hydrostatic pressure there are significant deviatoric stresses distributed inside the specimen especially in the zones around the pores and between aggregates and mortar because of the inhomogeneous and anisotropic characteristics of the concrete material. The mortar paste matrix in these zones is seriously damaged leading to concrete damage associated with significant stiffness and strength losses. More accurate concrete material models need be developed to take into consideration the damages that could be induced by hydrostatic stress.

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24 **Keywords:** EOS of concrete; hydrostatic pressure; mesoscale model; damage; true tri-axial test.

25 **1. Introduction**

26 This study focuses on the behavior of concrete subjected to hydrostatic pressures (equation of
27 state, EOS). When a concrete structure subjects to extreme loading conditions such as near-field
28 detonations and projectile penetrations, the material experiences a complex stress state, e.g. very
29 high confining pressure or very high hydro pressure caused by the lateral inertial confinement.
30 Therefore material models able to capture the behavior of concrete under complex stress-states are
31 needed for reliable predictions of concrete structure responses to these extreme loadings. Current
32 material models commonly assume concrete material does not suffer damage under hydrostatic
33 pressures. In other words, no matter how high is the hydrostatic pressure applied to concrete material,
34 it does not experience stiffness and strength loss although it suffers plastic deformation, i.e.,
35 compaction of the pores. This assumption could be true if concrete material is homogeneous and
36 isotropic. In reality, concrete is a composite material, consisting of randomly distributed aggregates
37 and pores in mortar matrix, and therefore is neither homogeneous nor isotropic. The assumption that
38 hydrostatic pressure does not damage concrete material is thus not necessarily valid. To model the
39 multiphase property of concrete material, Karinski et al. [1] developed a multi-scale mix based
40 equation of state for cementitious materials that considers the microstructure of cement paste and
41 concrete. In the model, cement paste represents the non-linear elastic-plastic behavior while fine and
42 coarse aggregates are assumed to be linear elastic. The model validation shows good agreement with
43 available test results.

44 Concrete is one of the most widely used construction materials in the field of civil engineering
45 and military engineering. Thus concrete structures might be exposed to extreme dynamic loading
46 conditions. Understanding its material behavior under complex stress-states is essential for reliable
47 predictions of the responses of concrete structures. Most experimental results available in the
48 literature only address the damage and destruction of concrete material under deviatoric stress [2-6],

49 usually obtained with a cylindrical specimen subjected to an axial loading with confining pressure.
50 Because of the lack of understanding and data to characterize the performance under hydrostatic
51 pressures, the commonly used concrete material models in hydrocodes such as KCC model [7] and
52 RHT model [8] in LSDYNA [9] do not consider the damage of material in hydrostatic pressure. The
53 study of concrete under high hydrostatic pressure is limited owing to the difficulty in applying the
54 very high true tri-axial pressures in tests. However, the damage of concrete under high hydrostatic
55 pressure influences the failure surface, damage evolution algorithm and equation of state (EOS) of
56 the concrete constitutive model under the complex stress states [10]. Poinard, et al [11] did a series of
57 pseudo tri-axial tests using cylindrical concrete specimens which have a 29 MPa uniaxial
58 compressive strength. In their research it was observed that the bulk modulus of the concrete
59 decreased substantially after the specimen having been subjected to a hydrostatic pressure higher
60 than 60MPa. The authors attributed this drop to cement matrix damage. Pham et al. [12] found that in
61 their FRP-confined concrete tests, the core concrete has suffered serious damage although the
62 FRP-confinement could significantly increase the concrete strength. Karinski et al. [13] developed an
63 experimental setup to perform confined compression tests of cementitious material specimens at high
64 pressures. They found that cracks occurred in specimens with $W/C = 0.50$ (water/cement ratio). In
65 the other specimens made with a lower w/c ratio, no crack was observed. The authors attributed this
66 observation to the fact that cement paste with $W/C = 0.50$ has higher porosity and larger maximum
67 capillary pore size as compared to lower w/c ratios, which made the specimen more vulnerable to
68 confined compressive loadings.

69 There are several approaches in numerical simulation to study concrete material behavior, i.e.,
70 macro-level, meso-level and micro-level. At macro-level, the concrete is regarded as a homogeneous
71 material, therefore the model at this level cannot considerate the influences of individual components
72 in concrete material on its mechanical properties. At mesoscale, the coarse aggregates, mortar matrix,
73 pores and the interfacial transition zone (ITZ) can be modelled in detail. The computational effort of

74 meso-level modelling is substantially higher than the macro-level model, but the influences of each
75 component on concrete material performance can be captured. At micro-level, the mortar matrix of
76 the previous level is further subdivided into fine aggregates and hardened cement paste. Among these
77 levels, mesoscopic level analysis is the most practicable and it can provide more insights to the
78 mechanical response of concrete because the volume fractions and distributions of multiple phases
79 such as aggregates, mortar and pores can be explicitly modeled in detail. Many mesoscale concrete
80 models [14-18] have been developed to study the anisotropic and heterogeneous behavior of concrete
81 under different stress states. In a mesoscale model, the influence of important parameters, such as the
82 shape, distribution and size of coarse aggregates within the mortar matrix are studied by different
83 researchers [19-22]. In the study by Kim et al [20], it was concluded that aggregate shape had a weak
84 effect on the ultimate tensile strength of concrete and on the tensile stress-strain curve. However, due
85 to the stress concentration at the sharp edges of polygonal aggregate shape, the ultimate tensile
86 strength of the circular shaped aggregate model was a little higher than those of the other aggregate
87 shapes. Some previous numerical studies proved that models with circular or spherical aggregates
88 yield reliable predictions of response of concrete specimens under different loadings [23, 24]. It
89 should be noted that most previous studies do not consider pores although concrete material usually
90 has an approximately 10% porosity depending on the W/C ratio [11, 25, 26].

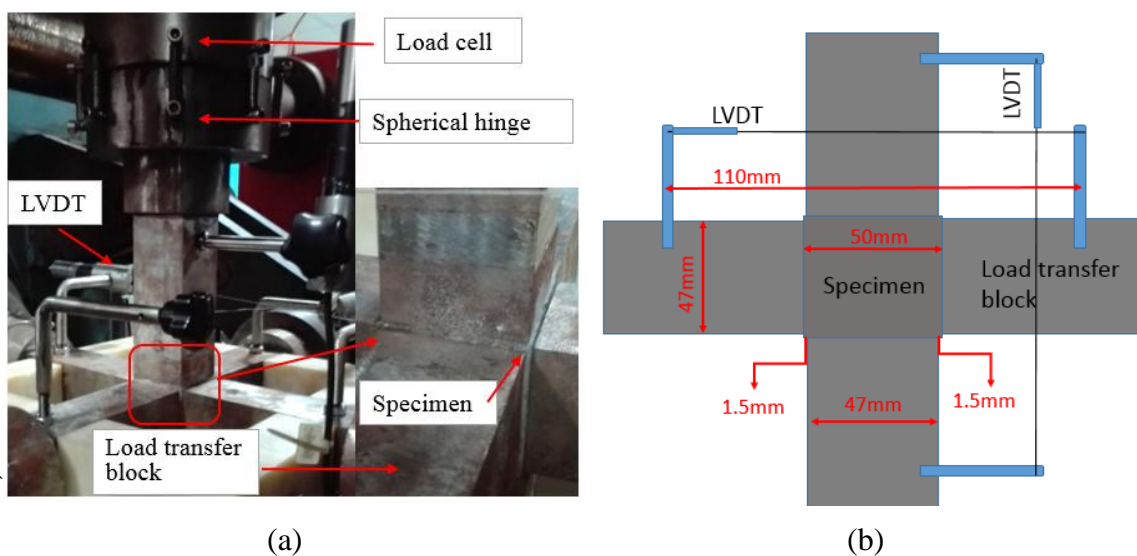
91 The present study develops a three-dimensional mesoscale model of concrete with consideration
92 of mortar matrix and randomly distributed coarse aggregates and pores to investigate the stress
93 distribution inside the concrete specimen and the damage evolution due to deviatoric stresses. The
94 commercial software LS-DYNA is employed to perform the numerical simulations. The accuracy of
95 the numerical model is verified by testing data. The numerical model is then used to simulate
96 concrete material responses under different levels of hydrostatic pressures to examine the behavior
97 and the damage mechanism of concrete under high hydrostatic pressures. The results are used to
98 analyze and explain the observed concrete material damage under hydrostatic pressures.

99 2. Experimental study of concrete damage under hydrostatic pressure

100 A series of true tri-axial tests were carried out to study the damage of concrete under high
101 hydrostatic pressures [27]. Some representative testing data are used to verify the numerical model
102 developed in the present study. For completeness the tests are briefly described here.

103 2.1 Test set-up

104 The experiments were conducted by a true tri-axial hydraulic servo-controlled test system
105 developed by Central South University in China [28, 29]. The machine could apply quasi-static loads
106 along the three principal stress directions through hydraulically driven pistons, independently. In this
107 test, the cross section of steel load transfer block is $47\text{ mm} \times 47\text{ mm}$, 3 mm shorter than the 50 mm
108 cubic specimen to avoid the collision of the load transfer bars along different directions when the
109 specimen experiences a large strain during the loading process, as illustrated in **Fig. 1**. The axial
110 loads was recorded by the load cell sandwiched between the actuator of the machine and the
111 spherical hinge (**Fig. 1(a)**), and the deformation of the specimen was measured by LVDT sensors.
112 The elastic deformation of the load transfer bar was measured by strain gauges and removed from the
113 record of LVDT in the subsequent data analyses to obtain the strain of the tested specimen, as
114 detailed in **Fig. 1(b)**. At the time of hydrostatic testing, the uniaxial compressive strength of concrete
115 was also tested as 35.2 MPa on average.



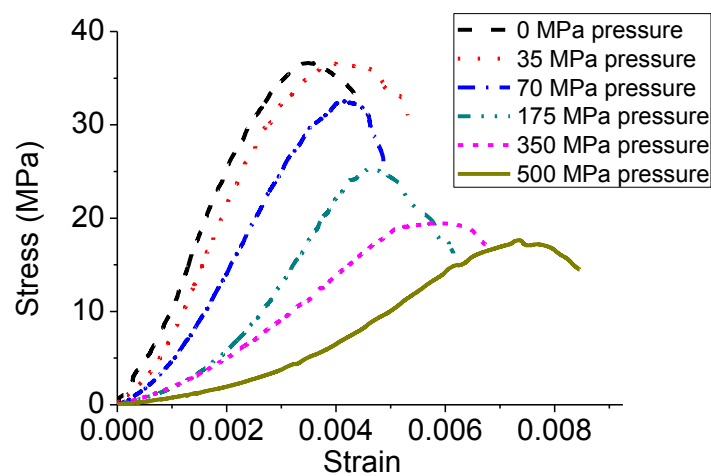
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Fig. 1 The test set-up: (a) overall view; (b) 2D section view

120 2.2 Test procedure and results

121 One loading-unloading cycle was applied on the cubic specimen during the hydrostatic test. To
122 ensure $\sigma_1 = \sigma_2 = \sigma_3$ (σ_1 , σ_2 , and σ_3 are major, intermediate, and minor principal stresses, respectively)
123 during the loading-unloading process, the forces of X, Y and Z axes were applied by the force control
124 mode at a rate of 1 kN/s (0.4 MPa/s) until reaching the desired stress level. Before unloading, the
125 desired stress level was maintained for about 6 minutes. To investigate the damage of the specimens
126 at different levels of hydrostatic pressures, five levels of hydrostatic pressures (35 MPa, 70 MPa, 175
127 MPa, 350 MPa and 500 MPa) were applied on the specimen.

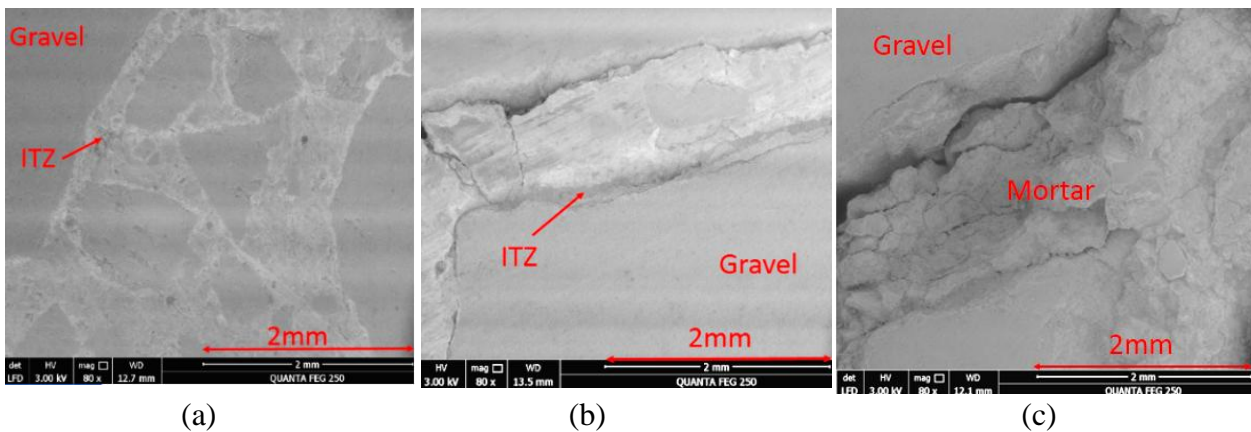
128 After hydrostatic tests, the specimen was taken out from the true tri-axial test facility and
129 uniaxial compressive strength tests were carried out to evaluate the residual compressive strength of
130 the tested specimens. **Fig. 2** shows the typical stress-strain curves of the tested concrete specimens
131 under the uniaxial compression. From the figure, it is clear that as the preloaded hydrostatic pressure
132 increases, the residual strength and Young's modulus of the concrete decrease, indicating application
133 of hydrostatic pressure has caused damage to the concrete specimens.



134
135
136

Fig. 2 Compressive stress-strain curve of the specimen after hydrostatic tests

137 Electron microscope provides a direct observation of the damages of the tested specimens, and
138 hence helps to better understand the damage mechanism of concrete subjected to hydrostatic pressure.
139 In the test, typical virgin specimens and the specimens after the application of 500 MPa were
140 examined with an Environment Scanning Electron Microscopy (ESEM) at low vacuum mode. The
141 typical micrographs of concrete are shown in **Fig. 3**. In the mesoscale analysis, the cement
142 matrix/aggregate interface, also called the interfacial transition zone (ITZ) is considered to be the
143 weakest link inside the concrete and have a significant influence on the failure mode and the
144 macro-mechanical properties of concrete [30, 31]. The test results also confirm this conclusion. From
145 **Fig. 3** one can find that most of the damaged areas are on the ITZ or in the cement matrix near the
146 ITZ. The micro-cracks between the cement matrix and the coarse aggregates are very clear.



147
148 (a) (b) (c)
149 **Fig. 3** Electron microscope photos: (a) virgin concrete; (b) and (c) concrete after application of 500
150 MPa hydrostatic pressure

152 3. 3D concrete mesoscale model

153 To analyze the damage that could be caused by hydrostatic pressure in more detail, a 3D
154 mesoscale model is developed in this study to simulate the true tri-axial tests of the concrete
155 specimens.

156 3.1 Material model

157 The plastic-damage model for concrete in LS-DYNA developed by Malvar et al [7]
158 (Mat_072R3) is adopted to model the mortar and aggregates in the simulation [23]. This model uses

159 three fixed shear failure surfaces with the consideration of damage and strain rate effects.

160 Three independent strength surfaces are an initial yield surface (F_y), a maximum failure surface
 161 (F_m) and a residual surface (F_r) with consideration of all the three stress invariants (I_1, J_2, J_3). The
 162 failure surface of hardening stage is derived by interpolating between the initial yield surface and the
 163 maximum failure surface, as is shown in Eq. (1). The failure surface of softening stage is derived by
 164 interpolating between the maximum failure surface and the residual surface, as is shown in Eq. (2).

165 **Fig. 4** shows the three failure surfaces.

$$166 \quad F(p, J_2, J_3, \lambda) = \eta(\lambda) \cdot (F_m - F_y) + F_y, \text{ for } \lambda \leq \lambda_m \quad (1)$$

$$167 \quad F(p, J_2, J_3, \lambda) = \eta(\lambda) \cdot (F_m - F_r) + F_r, \text{ for } \lambda > \lambda_m \quad (2)$$

168 In Eqs. (1-2),

$$169 \quad F_i(p, J_2, J_3) = \Delta\sigma_i^c \times r' \quad i=m, y \text{ or } r \quad (3)$$

170 where $\Delta\sigma_i^c$ represents the compressive meridians of the three independent strength surfaces:

$$171 \quad \Delta\sigma_i^c = a_{0i} + \frac{p}{a_{1i} + a_{2i} \cdot p} \quad (4)$$

172 in which parameters a_{0i}, a_{1i}, a_{2i} need to be determined from test data. r' is an implementation of the
 173 William and Warnke equation [32] to consider the influence of the second stress invariants J_2 .

174 λ is the modified effective plastic strain or the damage parameter, given as:

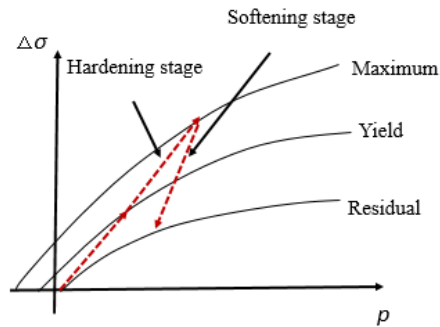
$$175 \quad \lambda = \begin{cases} \int_0^{\bar{\varepsilon}_p} \frac{d\bar{\varepsilon}_p}{(1 + p/f_t)^{b_1}} & p \geq 0 \\ \int_0^{\bar{\varepsilon}_p} \frac{d\bar{\varepsilon}_p}{(1 + p/f_t)^{b_2}} & p < 0 \end{cases} \quad (5)$$

176 in which f_t is the static tensile strength of concrete, $d\bar{\varepsilon}_p$ is the effective plastic strain increment, and

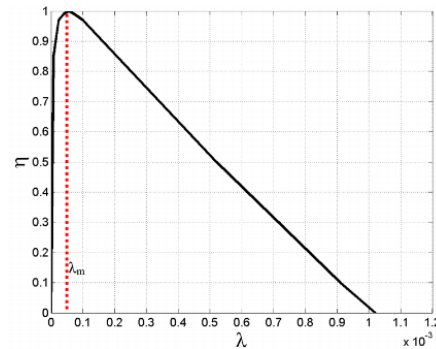
177 $d\bar{\varepsilon}_p = \sqrt{(2/3)d\varepsilon_{ij}^p d\varepsilon_{ij}^p}$, with $d\varepsilon_{ij}^p$ being the plastic strain increment tensor, $\eta(\lambda)$ is a function of the

178 damage parameter λ (**Fig. 5**), with $\eta(0)=0$, $\eta(\lambda_m)=1$, and $\eta(\lambda \geq \lambda_{max})=0$; b_1 and b_2 are parameters for

179 controlling the damage characterized from test data for compression and tension softening,
 180 respectively. This implies that the failure surface starts at the yield strength surface, and it reaches the
 181 maximum strength surface as λ increases to λ_m , and then it drops to the residual surface as λ further
 182 increases up to λ_{max} . Specific values for the λ_m , λ_{max} , and $\eta(\lambda)$ parameters are determined from test
 183 data.



184
 185 **Fig. 4** Three failure surface



186
 187 **Fig. 5** Plot of η - λ curve

187 This model assumes a homogeneous and isotropic behavior of concrete. It can be found from
 188 **Fig. 4** that the concrete is not damaged under whatever high hydrostatic pressure. The model clearly
 189 neglects the damage to concrete material that could be induced by high hydrostatic pressure.

190 The automatic model parameter generation in LSDYNA version 971 is used in the simulation.
 191 The input material parameters used in the present study are listed in **Table 1**.

192 **Table 1** Material parameters of mortar and aggregate

Parameters	Mortar	Aggregate
Density (kg/m ³)	2100	2600
Poisson's ratio	0.18	0.14
Strength (MPa)	35	90

193

194 **3.2 Establishment of the 3D concrete mesoscale model**

195 3.2.1 Generating and mapping coarse aggregates

196 The size of coarse aggregates considered in the mesoscale model ranges from 3.0 mm to 10 mm.

197 The total volume percentage of aggregates is 45% according to the mixture of the concrete specimen.

198 Three series of course aggregates, namely 3-5, 5-8, 8-10 mm with volume percentage of 16%, 17%,
199 12% respectively are considered in the mesoscale model. An algorithm including two steps is
200 implemented in FORTRAN to establish the course aggregates in the numerical model.

201 Step 1: Generation algorithm of coarse aggregates

202 Coarse aggregates are assumed to have spherical shape with random size and distribution inside
203 the concrete specimen in the present study. The aggregate size distribution is assumed to follow
204 Fuller's curve, which defines the grading of aggregate particles for optimum density and strength of
205 the concrete mixture [22]. Fuller's curve is expressed by the equation

$$206 \quad p(d) = 100\left(\frac{d}{d_{\max}}\right)^n \quad (6)$$

207 where $p(d)$ is the cumulative percentage of aggregates passing a sieve with aperture diameter d ; d_{\max}
208 is the maximum size of aggregates; n is the exponent of the equation, varying from 0.45 to 0.7 and is
209 taken as 0.5 in the present numerical study.

210 The procedure of generating and placing random aggregates can be summarized in the
211 following sub-steps:

- 212 1) Random number defining the diameter of an aggregate within the size range is generated
213 according to Fuller's curve;
- 214 2) Random coordinates for placing the aggregate within the range of the specimen are generated;
- 215 3) Whether the boundary condition is satisfied to avoid overlapping among aggregates and
216 protruding of the aggregate outside the specimen boundary is checked;
- 217 4) If the generated aggregate satisfies the boundary conditions, record the parameters of this
218 generation and place the aggregate in the model; otherwise delete the aggregate and perform a new
219 generation until the boundary conditions are satisfied;
- 220 5) Repeat the above steps until all the particles are successfully placed into the concrete specimen.

221 Step 2: Mapping algorithm of finite element model

222 To generate the finite element mesh with 3D mesoscale model, the following sub-steps are
223 implemented in FORTRAN:

224 1) Generate element meshes of the specimen;

225 2) Calculate the central coordinates of each element;

226 3) Generate the randomly distributed aggregates using the method in Step 1;

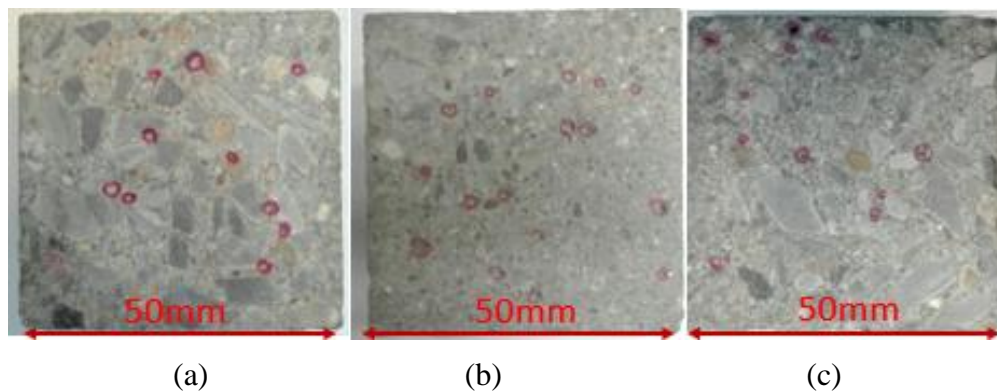
227 4) Check the position of each aggregate. If the element center locates inside one of the aggregates,
228 assign the element with aggregate material property; otherwise fill it with mortar material property.

229 3.2.2 Generating and mapping pores

230 The pore structure of concrete is one of the most important characteristics and strongly
231 influences its mechanical behavior. This study includes pores in the mesoscale model because pores
232 also make concrete inhomogeneous and anisotropic, therefore affect the performance of concrete
233 under hydrostatic pressure.

234 According to the references [33, 34], the pore system in cement-based materials consists of
235 three types of pores. These are: (a) gel pores, which are micro pores of characteristic dimension
236 0.5-10 nm; (b) micro capillary pores (<50 nm) and macro capillaries (>50 nm to 50 μm); (3) macro
237 pores due to entrained air and inadequate compaction with radius 50 μm to more than 2 mm. The
238 larger the pores, the more influences they will effect on concrete properties. Considering the
239 available computer memory and computational efficiency, only macro pores, which also affect the
240 concrete material properties most significantly due to its size, can be modelled. In this study, 0.5 mm
241 mesh size of hexahedral solid element is used to do this simulation. The size of pores ranging from
242 0.5-2 mm is considered in the simulation. The volume percentage of these pores is determined
243 through the pore distribution on a section of the specimen. As shown in **Fig. 6**, the cross-sectional
244 area of pores with diameters between 0.5 mm and 2 mm takes about 1.02% of the cross-sectional
245 area of the specimen. Therefore without loss of generality the volume fraction of these pores is

246 assumed to be 0.1% in the study. It should be noted that the volume fraction of the pores is estimated
247 according to $(1.02\%)^{3/2}=0.1\%$.



248
249
250 **Fig. 6** Distribution of the pores (red circles in the photos) with diameters 0.5-2.0 mm on a
251 cross-section of the specimen

252
253 The algorithm for generating the pores with diameter 0.5-2.0 mm in mesoscale model is similar
254 to that of generating aggregates. The pore is randomly distributed inside the specimen and its size
255 distribution between 0.5 mm and 2.0 mm is also assumed to follow the Fuller's curve. In this study,
256 aggregates are generated and placed first before pores. Therefore, when generating and placing pores,
257 the location and size of each randomly generated pore are checked to avoid pore overlapping, and
258 also avoid overlapping with aggregates. If a generated pore locates inside one of the pores or
259 aggregates, it is deleted and generation repeated. When a valid pore is generated, the corresponding
260 element is deleted to generate a void in the specimen. It should be note that in the present study, the
261 pore is simply modelled by deleting the element in the concrete specimen, i.e., modelled as a void.
262 The air inside the pore is not considered because modelling the interaction between air and cement
263 matrix in the specimen significantly increases the computational effort, and the influence of such
264 interaction is believed insignificant on concrete material behavior under static loading.

265 3.2.3 Numerical model

266 It is generally agreed that ITZ is the weakest part of the micro-structural system and it plays a
267 significant role on the mechanical properties of concrete. Micrographs of damaged concrete under
268 hydrostatic test also confirm this point. However, the thickness of ITZ is typically 10-50 μm [30, 31,

269 35], modelling such thickness in a 3D mesoscale model will lead to extremely large number of
270 elements and thus almost impossible for the current computer capacity. On the other hand, the
271 material properties of ITZ and its transport properties between aggregates and cement paste has not
272 been well understood [36, 37]. Therefore it is difficult to define ITZ reasonably in the simulation.
273 This study does not model ITZ because of the above reasons, but focuses on the characteristics of
274 stress distribution inside the concrete specimen from inhomogeneous distribution of aggregates and
275 pores.

276 The dimension of the specimen is the same as those tested in the previous study [27] and the
277 mesoscale model is shown in **Fig. 7**. The stresses along the X, Y and Z directions are perpendicularly
278 applied on the surfaces of specimen at a rate of 10 MPa/ms (strain rate is about 0.8 1/s, according to
279 reference [38], lateral inertial confinement effect is not prominent when the strain rate is lower than
280 10 1/s) to produce the hydro pressure.

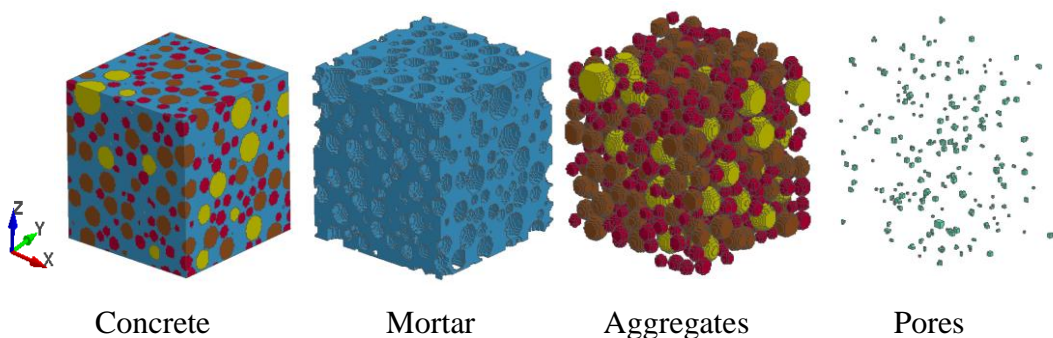


Fig. 7 3D mesoscale model of concrete

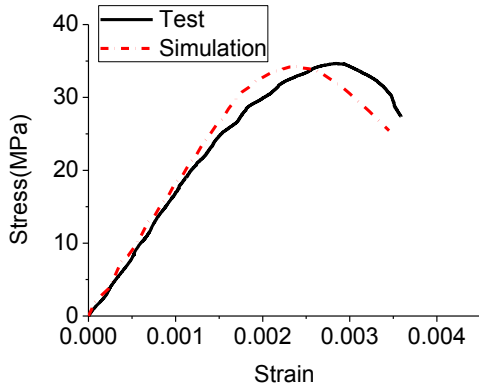
285 3.3 Model validation

286 The established 3D mesoscale concrete model is calibrated by comparing the numerical
287 simulation results with the test data, i.e., the stress-strain curves from the unconfined uniaxial
288 compression test and the true tri-axial hydrostatic test. **Fig. 8** shows the stress-strain curves of
289 experimental and numerical results of unconfined uniaxial compression. The test result and the
290 simulation result are very similar before yielding. The numerical simulation also gives accurate
291 prediction of concrete uniaxial strength and reflects the hardening and softening behavior of the

292 concrete. These results validate the mesoscale concrete model using in this study. It should be noted
293 that the concrete used in the test shows a little more plastic deformation, resulting in the strain at the
294 maximum stress of the tested specimen is 13% larger than that of the simulation result. This
295 modelling error could be attributed to neglecting ITZ and pores with diameter less than 0.5 mm in
296 the model. As discussed above, ITZ is the weakest component in the specimen and it is likely to
297 experience large plastic deformation. Similarly compaction of pores leads to large deformation.
298 However ITZ and pores smaller than 0.5 mm are not modelled in the simulation owing to the
299 limitation of the current computer power used in the study.

300 Comparison of the pressure-volumetric strain curve (equation of state) of the concrete recorded in
301 the hydrostatic loading test and the present simulation is shown in **Fig. 9**. As can be seen, the
302 concrete mesoscale model can reproduce the properties of EOS well, i.e., the initial elastic stage, the
303 plastic compaction stage and fully compacted stage, indicating the reliability of the model in
304 capturing the volumetric behavior of concrete in the loading phase. However, the mesoscale model
305 cannot capture the unloading curve of the tested specimen accurately, i.e., unloading stiffness and a
306 strong nonlinearity at the completion of unloading. This is because cement matrix damages when the
307 granular skeleton, which remained elastic, recovers its initial shape. The numerical model fails to
308 correctly simulate unloading phase because the unloading curve of the Malvar model, which is used
309 to represent the concrete material in this study, assumes a perfect plastic deformation, i.e., the
310 deformed aggregates could not recover its initial shape. For this reason the results of the unloading
311 stage is not included in the following discussions. In other words the discussions are made based on
312 the observations of specimen under tri-axial loading before unloading takes place. The numerical
313 model can successfully simulate unloading phase only after a material model that can capture
314 concrete material failure under hydrostatic loading is developed. The above calibrations demonstrate
315 that, despite some inaccuracies, the developed 3D mesoscale model in general can capture the main
316 properties of concrete specimen under uniaxial and tri-axial loading well in the loading phase,

317 indicating the reliability of the numerical model for studying the stress distribution and damage
 318 evolution inside the concrete which cannot be recorded in hydrostatic tests.



319
 320 **Fig. 8** Uniaxial compressive stress-strain curve

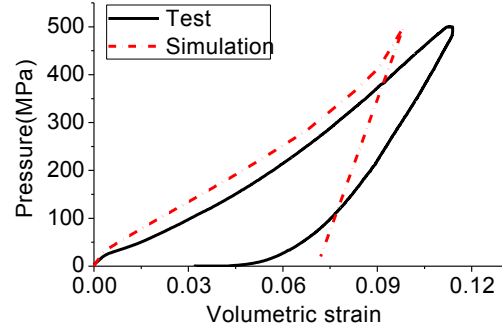


Fig. 9 Pressure-volumetric strain curve

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322 **4. Analysis of simulation results and discussion**

323 **4.1 Stress distribution inside the concrete.**

324 **Fig. 10** gives the stress distribution along X direction on an YZ-cross-section of the specimen
 325 when the volumetric strain is 0.08 (the volumetric strain is defined as the summation of strain along
 326 X, Y and Z directions of the specimen). As can be seen from the figure the stress is not evenly
 327 distributed on the cross-section, the stress in aggregates is larger than that in mortar. This is expected
 328 because the aggregates have higher bulk modulus than mortar, therefore attracts larger stress when
 329 the specimen is under hydrostatic pressure. **Fig.10 (b)** is the zoomed-in region of the red block area
 330 in the **Fig. 10 (a)**, in which element A is an element in the middle of an aggregate, element B is a
 331 mortar element connected to an aggregate, element C is a mortar element far from aggregates while
 332 element D is a mortar element close to a pore. The principal stresses σ_X (the stresses along the X
 333 direction of the specimen) of elements A, B, C and D are shown in **Fig. 11**. From the Figure, it can be
 334 found that during the loading process, the principle stresses σ_X of different elements differ a lot. The
 335 largest stress is in the aggregate element A while the lowest stress is in the mortar element D near the
 336 pore. The pore makes the mortar element around it lack of sufficient constraint to undertake high
 337 hydrostatic pressure. Therefore element D is not in a hydrostatic stress state and the deviatoric stress

338 could damage this element although the material model used assumes the hydrostatic stress does not
 339 damage the concrete specimen.

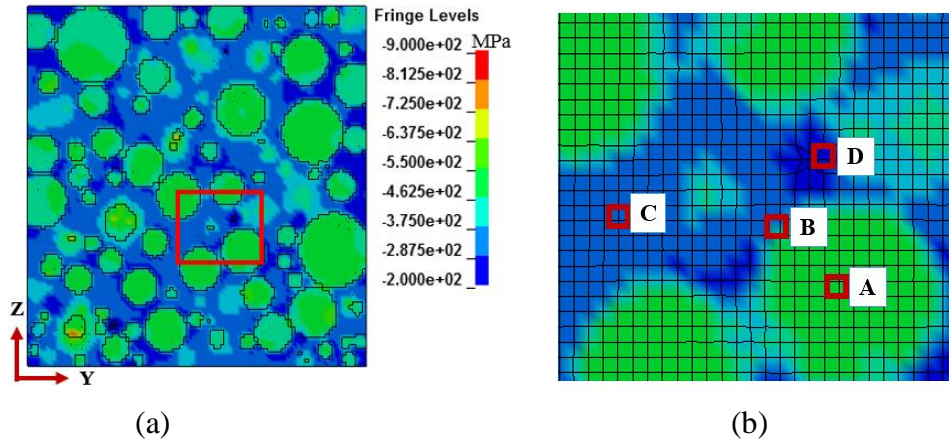


Fig. 10 Stress distribution along X direction on an YZ-cross-section.

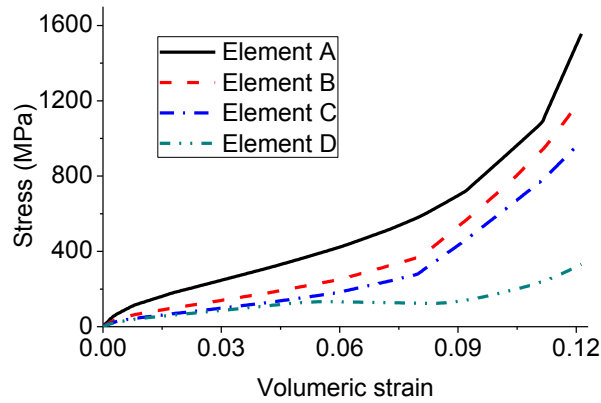
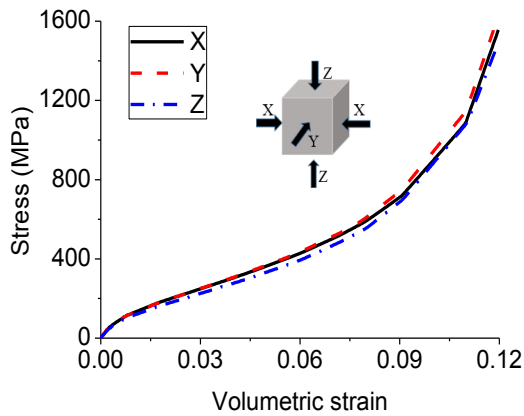


Fig. 11 The principal stresses σ_X of different elements

346 **Figs. 12-15** show principle stresses σ_X , σ_Y and σ_Z of the four elements. One can find that the
 347 three principle stresses of element A and C are very similar while those of element B and D differ a
 348 lot. This is because the material properties of elements around A and C are the same as the material
 349 properties of elements A and C, i.e., the material of local zones of A and C can be considered as
 350 homogeneous and isotropic and so that the deviatoric stress is very small. Mortar element B is
 351 connected to the aggregate elements thus the material of its local zone is anisotropic that makes the
 352 three principle stresses very different. The boundary conditions of element D in the three principle
 353 directions are different because of the nearby pore, hence the three principle stresses are also very

354 different. There are many other elements inside the concrete specimen subjected to such stress
 355 conditions as element B and D which will be damaged by deviatoric stress. This is the main reason
 356 of the concrete damage under hydrostatic pressure. It should be noted that the interface between
 357 mortar and aggregates is the weakest link inside the concrete and the deviatoric stress is very obvious
 358 around these interfaces (e.g. **Fig. 13**). Therefore these interfaces are the most severely damaged
 359 region inside the concrete specimen under high hydrostatic pressure as shown in **Fig. 3**.



360
361 **Fig. 12** Three principle stresses of element A

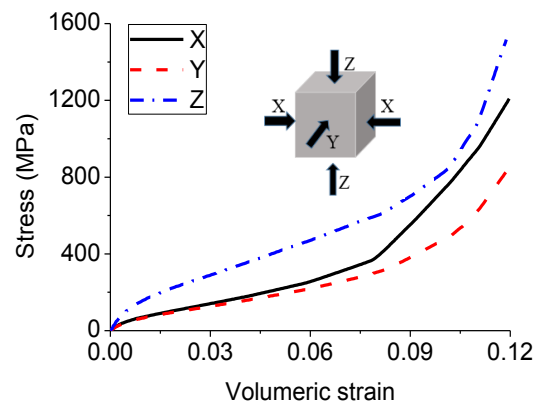
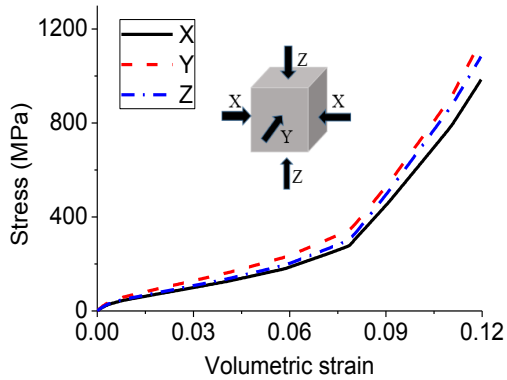


Fig. 13 Three principle stresses of element B



362
363 **Fig. 14** Three principle stresses of element C

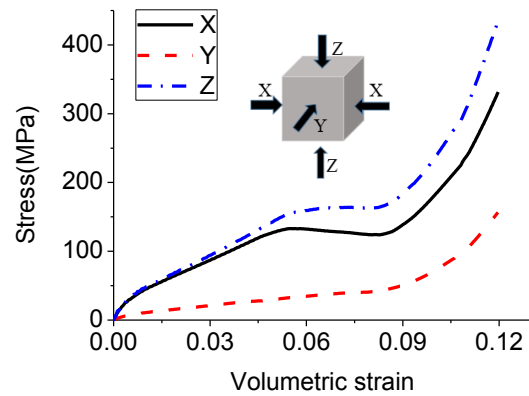


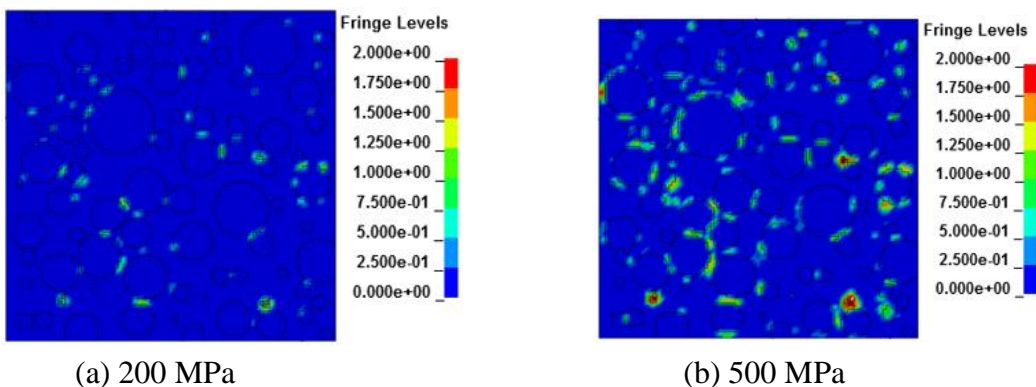
Fig. 15 Three principle stresses of element D

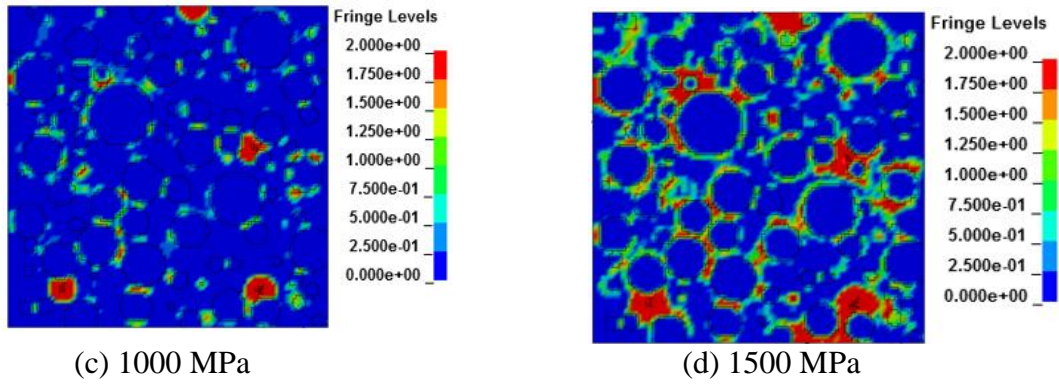
365 4.2 Damage evolution inside the concrete

366 **Figs. 16-17** show the damage evolution of the concrete under different hydrostatic pressures. In
 367 comparison with the simulation results and experimental results, it can be noted that the simulated
 368 damage degree of the concrete is less severe than the test observations. This is because the ITZs and

369 the pores with diameter smaller than 0.5mm are not considered in the mesoscale model. Because of
370 the above limitations of the current numerical model, this part focuses on analyzing the damage
371 evolution under different hydrostatic pressures and the zones where the concrete is damaged most
372 seriously in examining the concrete specimen behavior under hydrostatic pressures. There only the
373 damage evolution is discussed while the damage level is not considered.

374 It can be seen from **Fig. 16**, under 200 MPa hydrostatic pressure, the damages appear in the
375 mortar between two closely distributed aggregates. With the increase in the hydrostatic pressure
376 these damages are further intensified, more numbers of damages appear and some damages penetrate
377 into the aggregates. In other words, when the applied hydrostatic pressure is very high, e.g., 1500
378 MPa in this example, damages are not limited to the mortar and aggregate interfaces, but distributed
379 in wide areas of mortar matrix and can even damage aggregates. These damages can also be
380 observed in the tests results shown in **Fig. 3 (c)**. As shown the mortar matrix between two closely
381 spaced gravels is most seriously damaged. Other seriously damaged areas are the mortar around the
382 pores. From **Fig. 17**, it can be found that as the hydrostatic pressure increases, the pore is compacted
383 gradually and the damage to mortar matrix around the pore also gradually extends to a larger area.
384 This result explains the observations reported by Karinski et al. [13] that obvious cracks were found
385 in cement paste specimens with a higher W/C ratio which have higher porosity and larger maximum
386 capillary pore size while no crack was observed in specimens with low W/C ratios. These damages
387 inside the concrete specimen under hydrostatic pressure are caused because of high deviatoric
388 stresses in these regions as shown in **Fig. 13** and **Fig. 15** owing to material heterogeneity.

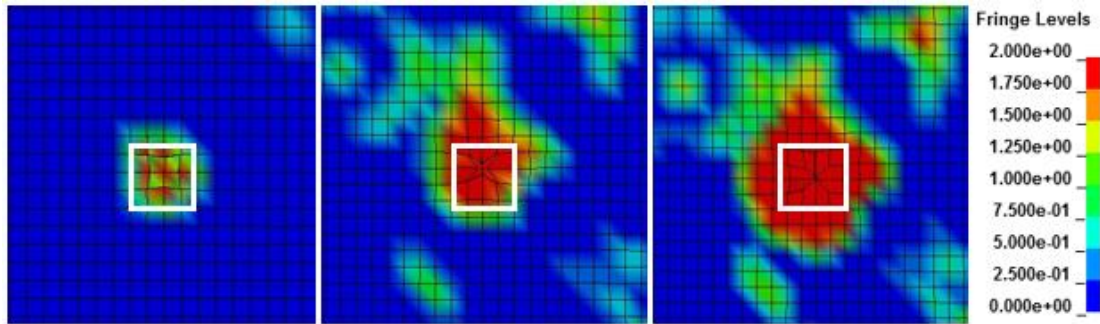




(c) 1000 MPa

(d) 1500 MPa

Fig. 16 Damage evolution of concrete under different hydrostatic pressures: (a) 200 MPa; (b) 500 MPa; (c) 1000 MPa; (d) 1500 MPa



(a) 200 MPa

(b) 400 MPa

(c) 600 MPa

Fig. 17 Compaction of the pore and the damage evolution of the mortar around it: (a) 200 MPa; (b) 400 MPa; (c) 600 MPa

The above observations indicate that concrete material can be damaged by high-hydrostatic pressures because it is neither homogeneous nor isotropic. Unless concrete material is modelled with mesoscale or micro-scale model, which are extremely time consuming in numerical simulation and are very unlikely for general applications in modelling concrete structures, a proper concrete material model needs be developed to capture the material behavior associated with the nonhomogeneous and anisotropic properties. The current concrete material models assume the material is homogeneous and isotropic; therefore they may not capture the material behaviour under complex stress states as observed in the true tri-axial tests and in the current numerical simulations. Developing a new concrete material model, however, is beyond the scope of the current study. It could be a future research topic.

411 **5 Conclusions**

412 The simulation results show that the stress inside the concrete specimen is not evenly distributed
413 under hydrostatic pressure because concrete is not a homogeneous and isotropic material, and this is
414 the primary cause of the concrete damage under high hydrostatic pressure. ITZ and zones around
415 pores are the most vulnerable areas because the deviatoric stresses are developed in these areas and
416 damage the material. Mortar between closely distributed aggregates is the most vulnerable because
417 of the strong material heterogeneity in these areas and possible stress concentrations. Current
418 concrete material models cannot capture these damages and material behavior under hydrostatic
419 pressures because they assume concrete as a homogeneous and isotropic material.

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