

Citation

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1 Numerical study of the influences of pressure confinement on 2 high-speed impact tests of dynamic material properties of 3 concrete

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8
9 **Abstract:** The strain rate effect of concrete material under multi-axial stress states in most of
10 the current material models is based on the uniaxial impact test results because carrying out
11 multi-axial dynamic impact tests is extremely hard. However, the uniaxial test data might not
12 reflect the true behavior of concrete under multi-axial stress states. Modified Split-Hopkinson
13 Pressure Bar (SHPB) system with a pressure vessel filled with pressurized fluid or air is
14 commonly used to test the concrete dynamic properties under confining pressures. Although
15 such tests give concrete material properties under multi-axial stress states, as will be
16 demonstrated in this study, they do not lead to accurate results because the confining pressure
17 under impact tests changes when specimen deforms. Unfortunately there is no reliable
18 apparatus yet to perform impact tests on specimens with a controllable confining pressure. In
19 this study, a mesoscale concrete model with consideration of randomly distributed aggregates
20 is developed to study the strain rate effect on concrete under confining pressures. The results
21 show that the strain rate sensitivity of concrete decreases with the increment of the confining
22 pressure, indicating the strain rate effect of concrete under multi-axial stress states is less
23 prominent as compared to that under uniaxial stress state. Using the uniaxial impact testing

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24 data overestimates the strain rate effect of concrete material under multi-axial stress states. An
25 empirical relation is proposed in this study to model the concrete Dynamic Increase Factor
26 (DIF) for the case with pressure confinement, which can be used to more accurately represent
27 the DIF of concrete material under multi-axial stress states.

28 **Keyword:** Concrete constitutive model; strain rate effect; SHPB test; confining pressure;
29 mesoscale model.

30 **1. Introduction**

31 Concrete structures during their service life might expose to multi-hazard loadings such as
32 blast and impact loads. Under such dynamic loads, the stress states of concrete material are
33 very complex owing to the complex stress wave propagations induced by blast and impact
34 loads and inertial confinement from concrete structure mass to resist fast dynamic deformations.
35 The dynamic behavior of concrete material under multi-axial stress states is not well
36 understood yet due to the lack of proper testing facilities for conducting multi-axial impact
37 tests, as well as the lack of effective analysis methods to predict the dynamic performance of
38 concrete under such conditions [1]. Most existing concrete material models adopt the uniaxial
39 testing results for modelling the strain rate effect of concrete material properties under multi-
40 axial stress states, such as Equation of State (EoS) and Strength Envelope [2]. Obviously strain
41 rate effect obtained from uniaxial impact tests is not able to reliably represent the true strain
42 rate effect on concrete material under multi-axial stress states. Although the uniaxial impact
43 testing data on confined concrete specimen give the dynamic behavior of concrete under multi-
44 axial stress states, it will be proved in this study that the current testing technique, i.e., the
45 Modified Split-Hopkinson Pressure Bar (SHPB) system with a pressure vessel filled with
46 pressurized fluid, does not give reliable results because the confinement pressure changes when
47 specimen deforms under impact loads. However, the reliability of numerical simulations of
48 structural responses subjected to blast and impact loads, which have been becoming more and

49 more common in practice, depend on the accuracy of material models. Therefore accurate
50 modelling of the dynamic material properties of concrete under multi-axial stress states are
51 deemed necessary.

52 The dynamic behavior of concrete under uniaxial loadings has been extensively investigated
53 through experimental tests and numerical simulations [3-6]. It is found that the uniaxial
54 strength of concrete increases with the increment of strain rate. Fib model code for concrete
55 structures 2010 [7] gives recommendations of concrete material DIF (Dynamic Increase Factor,
56 defined as the ratio of dynamic-to-static strength) as a function of strain rate that can be used
57 in the design and analysis. The behavior of concrete materials subjected to tri-axial static
58 loadings have been studied by many researchers. It is found that concrete showed different
59 performances under multi-axial stress states, and confinement greatly improves the maximum
60 strength and the ductility of concrete [8-11]. Nevertheless, study of strain rate effect on
61 concrete under multi-axial stress states is very limited because of the difficulties in conducting
62 synchronized multi-axial impact tests. When strain rate is relatively low, servo hydraulic multi-
63 axial testing system can be used to study concrete dynamic properties under certain confining
64 pressures. Yan et al. [12] carried out a series of low strain rate tests (< 0.1 1/s) and concluded
65 that the strength of concrete tended to be independent of the strain rate when the confining
66 pressure was higher than its uniaxial static strength. Fujikake et al. [13] also found that the
67 strain rate effect on concrete maximum strength under tri-axial stress states decreased with the
68 increment of the confining stress at a strain rate range from 3.0×10^{-2} 1/s to 2.0 1/s. Owing to
69 the difficulty in conducting the synchronized tri-axial impact tests, for high strain rate, modified
70 SHPB test system with a pressure vessel or using steel wrapped specimens to give confining
71 pressures is normally used to generate pseudo tri-axial dynamic loadings. Chen et al. [14] used
72 steel wrapped specimens to study the concrete dynamic properties under passive confining
73 pressures. It was found that the dynamic damage evolution process was delayed significantly

74 by the confining pressure and the strength of concrete increased obviously. However, it was
75 noted that the confining pressure was certainly increasing and uncontrollable during the
76 dynamic tests. Xue and Hu [15] used pressurized oil to fill the pressure vessel to give confining
77 pressures in the mortar SHPB tests and found that the strain rate effect on mortar was obvious.
78 Marvern et al. [16] used pressurized water to provide confinements on concrete specimens in
79 impact tests. The results showed that concrete was sensitive to strain rate within the tested
80 confinement pressure range of 3-10 MPa. Gary and Bailly [17] used a similar device and found
81 that the strength of concrete increased about 30% as strain rate increased from 250 1/s to 600
82 1/s under 5.0 MPa confining pressure. They also found that the same level of oil pressure and
83 air pressure led to different results, which meant that the pressurized fluid influenced the test
84 results under dynamic loadings. As will be demonstrated in this study, the confinement media
85 affecting the testing results is because the pressurized fluid constrains the lateral deformation
86 of the specimen under fast loading tests; and deformation of the specimen makes the confining
87 pressure change, hence influences the testing results. Since it is hard to keep the confining
88 pressure constant with the current testing devices in impact tests, but changing the confining
89 pressure with the specimen deformation during the test makes the testing data unreliable, the
90 dynamic properties and strain rate effects of concrete material under multi-axial stress states
91 therefore cannot be necessarily accurately obtained with the current testing devices. For these
92 reasons, most of the current concrete material models use the strain rate effect relation obtained
93 from uniaxial stress state to represent those of tri-axial stress states [18].

94 On the other hand, with development of computer technologies and computational
95 mechanics methods, numerical simulations of uniaxial high-speed impact tests of concrete
96 specimens have been reported and yielded good results [19-21]. In other words, numerical
97 simulation of impact tests of concrete specimens is viable. Since it is difficult to obtain reliable
98 results through physical tests of concrete specimens under complex dynamic stress states, in

99 the present study, numerical simulations are utilized to simulate the modified SHPB test on
100 concrete specimens with confinement pressures. It has been widely accepted that the true DIF
101 of concrete material is mainly caused by the different failure modes of specimens under static
102 and dynamic loadings [21-26]. Under static loading, the cracks develop and propagate along
103 the weak zones of the concrete. While under dynamic loading, there is not enough time for the
104 cracks to find the weak zones inside the concrete. Therefore widely spread cracks are forced to
105 propagate through the higher resistance zone inside the concrete specimen. To capture these
106 phenomena, in numerical modelling heterogeneity properties of concrete need be modelled.
107 Mesoscale concrete model can reflect the heterogeneity and anisotropy of the material [19, 20,
108 27]. In this study, a mesoscale concrete model with consideration of mortar matrix and
109 randomly distributed aggregates is developed to explore the strain rate effect on concrete under
110 confining pressures. The accuracy of the model is verified by comparing the numerical and
111 available testing data of uniaxial impact tests. The evolutions of the cracks under low rate
112 loading, high rate loading and axial loading with confining pressures are studied using the
113 mesoscale concrete model. The results are compared and discussed. Discussions on the
114 accuracy of the current SHPB test with pressure confinement on dynamic properties of concrete
115 under multi-axial stress states, and possible improvement on concrete constitutive models are
116 also made.

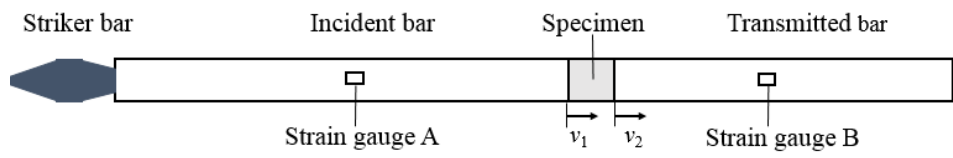
117 **2. Influence of the confinement pressure on the modified SHPB test results**

118 As mentioned above, the current understanding about the strain rate effect on concrete under
119 confining pressure may not be accurate because of the difficulty in providing a constant
120 confining pressure to the concrete specimen in dynamic tests. In this section, numerical models
121 of the SHPB tests without or with pressure confinement vessels are developed to simulate the
122 tests. The accuracy of the model is verified by actual SHPB testing data without confinement.

123 The variation of confinement pressure during the impact tests and its influences on testing
 124 data are demonstrated through numerical simulation results.

125 2.1 SHPB technique

126 **Fig. 1** gives the schematic illustration of SHPB test system which consists of an incident bar
 127 and a transmitted bar with a specimen sandwiched between them. The one-dimension incident
 128 wave is produced by a strike bar impacting the incident bar and is recorded by strain gauge A.
 129 Part of the incident wave is reflected as a tensile stress wave (also recorded by strain gauge A)
 130 at the interface between the incident bar and the specimen, while another part travels through
 131 the specimen. The wave goes forth and back between the two end surfaces of specimen and
 132 makes the stress distribute uniformly in the specimen after a few reflections [28]. The
 133 compressive stress wave leaves the specimen, then propagates forward along the transmitted
 134 bar and is recorded by strain gauge B.



135
 136 **Fig. 1** SHPB test system

137
 138 The compressive stress in the specimen can be deduced from the axial strain signal of strain
 139 gauge B on the transmitted bar. The compressive stress of the specimen is:

$$140 \quad \sigma = \frac{A_t E \varepsilon_t}{A_s} \quad (1)$$

141 where A_t and A_s are the cross sectional area of the transmitted bar and specimen, respectively;
 142 E is the Young's modulus of the steel bar and ε_t is the axial strain of the transmitted bar
 143 measured by strain gauge B.

144 The particle velocity at the end of the incident bar and transmitted bar are v_1 and v_2 ,
 145 respectively (as shown in **Fig. 1**). Thus the strain rate of the specimen is

146
$$\dot{\varepsilon} = \frac{v_1 - v_2}{l} \quad (2)$$

147 where l is the length of the specimen.

148
$$v_2 = C_B \cdot \varepsilon_t \quad (3)$$

149
$$v_1 = C_B \cdot (\varepsilon_i - \varepsilon_r) \quad (4)$$

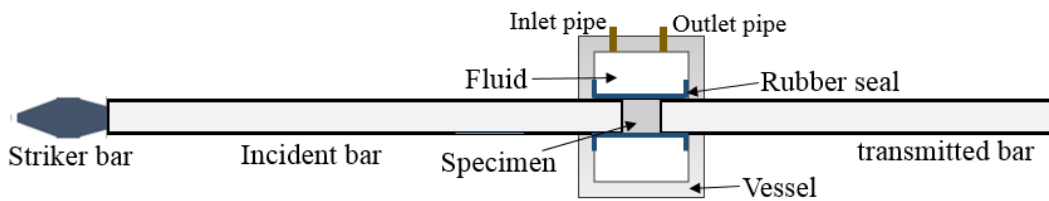
150 where C_B is the velocity of stress wave in the steel bar, ε_i , ε_t and ε_r represent the incident strain,
 151 transmitted strain and reflected strain from the strain gauges.

152 The strain of specimen is

153
$$\varepsilon = \frac{C_B}{l} \int_0^t (\varepsilon_i - \varepsilon_r - \varepsilon_t) dt \quad (5)$$

154 The strain rate constantly varies throughout the SHPB test. The representative strain rate is
 155 usually determined by either of the three methods: the strain rate corresponding to the peak
 156 stress in the stress-strain curve, the average strain increasing rate before the peak stress in the
 157 stress-strain curve and the average strain rate of the entire experimental process [23]. The strain
 158 rate at the peak stress is adopted in this study.

159 Schematic illustration of the modified SHPB test system integrated with a pressure vessel is
 160 shown in **Fig. 2**. In this set-up, pressurized fluid is applied to the specimen through fluid pipes
 161 that are attached to a hydraulic pressure supply system, attached to the pressure vessel. A test
 162 specimen is placed between the bars, and the rubber seal is applied over the bar diameters and
 163 the specimen. The data acquisition technique of this modified SHPB is the same as that of the
 164 normal SHPB test system.



165
 166 **Fig. 2** Sketch of the modified SHPB test system with a pressure vessel
 167

168 **2.2 3D mesoscale concrete model**

169 2.2.1 Establishment of the 3D mesoscale concrete model

170 In the meso-scale model, concrete specimen is assumed to be a two-phase composite material
171 consisting of coarse aggregates and mortar matrix. A FORTRAN program is developed to
172 generate randomly distributed aggregates and finite element meshes for the mesoscale concrete
173 model. The size of coarse aggregates considered in the mesoscale model ranges from 3 mm to
174 10 mm which is assumed to follow Fuller’s curve [20]. The total volume of coarse aggregates
175 is 45% according to the mix of the concrete specimen with a compressive strength of 32.5 MPa.
176 A cylindrical concrete specimen (the length and the diameter of specimen are both 50 mm)
177 modelled by 1.0 mm Lagrange solid elements is considered in this study, as shown in the **Fig.**
178 **3**. Details of the development of the mesoscale model is provided in references [20, 29]. For
179 brevity they are not repeated here.

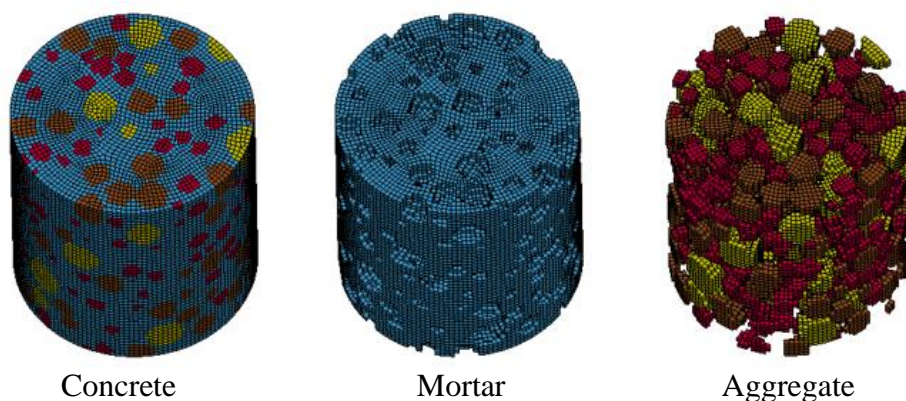


Fig. 3 Finite element grid of 3D mesoscale concrete model: (a) concrete; (b) mortar matrix;
(c) coarse aggregates

185 K&C model [30] for concrete in LS-DYNA [31] is used to model the mortar matrix and
186 coarse aggregates in the simulation [20]. K&C model is an elastic-plastic damage model with
187 consideration of strain rate effect. In this model three fixed independent strength envelopes, i.e.
188 yield, maximum and residual surfaces are defined. For hardening behavior, the loading surface
189 is interpolated between the yield and the maximum surfaces based on a plasticity variable. For

190 softening behavior, a similar interpolation is performed between the maximum and the residual
 191 surface. The EoS employed in LS-DYNA by the K&C model is defined using tabular input to
 192 define the relationships between volumetric strain and pressure. The automatic parameter
 193 generation for K&C model is used in the simulation and the input material parameters are listed
 194 in **Table 1**. The material DIF is set to 1.0 in the simulation in order to better observe the
 195 contributions of the mesoscale heterogeneity and inertial confinement to the dynamic strength
 196 enhancement.

197 **Table 1** Material parameters of mortar and aggregate

Parameters	Mortar	Aggregate
Density (kg/m ³)	2100	2600
Poisson's ratio	0.19	0.16
Strength (MPa)	30	90

198

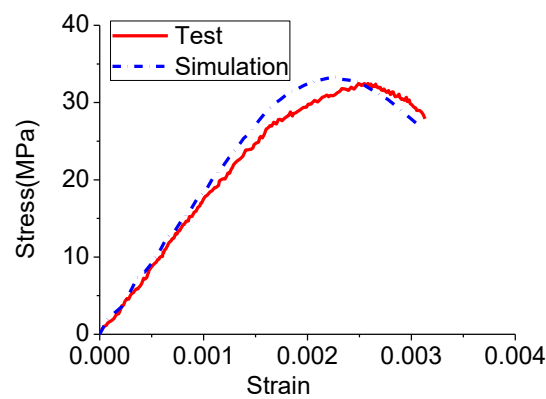
199 2.2.2 Model validation.

200 (1) Low strain rate

201 Corresponding laboratory tests were carried out to verify the mesoscale concrete model. The
 202 specimen used in the tests was the same as described above in developing the numerical model.
 203 Before the test, the surfaces of specimens were smoothed by a polisher and coated with grease
 204 to reduce the friction between the specimen and the rigid loading platens. To investigate the
 205 possible friction constraint, the friction coefficients were measured between the greased
 206 specimen and steel surface. The average friction coefficient was found to be 0.105, which is
 207 considered in the simulation. The specimen was tested to have a 32.5 MPa uniaxial strength
 208 (strain rate is 10^{-3} 1/s) using a 500kN computer-controlled servo hydraulic pressure testing
 209 machine.

210 In the simulation the mesoscale concrete specimen is also sandwiched between the two rigid
 211 loading platens like that in the test. All directions of the bottom plate are restrained and the
 212 upper plate can move along the vertical direction with controlled displacement to give the

213 specimen axial loadings as in the tests. The load transfers to the specimen by using
214 *CONTACT_ AUTOMATIC_ SURFAE_ TO_ SURFACE command card and the friction
215 coefficient is set to 0.105 from the measurements. The strength of specimen is simulated to
216 be 33.4 MPa at a strain rate of 0.1 1/s which is almost the same as the static test results of
217 32.5 MPa, as shown in **Fig. 4**. The numerical simulation satisfactorily repeats the laboratory
218 test when the loading rate is low. It should be noted here, the strain rate in the simulation is
219 higher than that in the test because very low loading rate leads to extremely large
220 computational time. In the simulation, strain rate of 0.05 1/s was also tried and it gave almost
221 the same result as that using strain rate 0.1 1/s, implying the mesoscale concrete model is
222 strain rate insensitive when the strain rate is lower than 0.1 1/s. This result is consistent with
223 the conclusion based on test data that the strain rate effect of concrete compressive strength
224 is not obvious when the strain rate is lower than 0.1 1/s [3]. Therefore strain rate 0.1 1/s is
225 used in the simulations to represent the pseudo-static condition in the present study.



226
227 **Fig. 4** Stress-strain curve of concrete under low strain rate.
228

229 (2) High strain rate

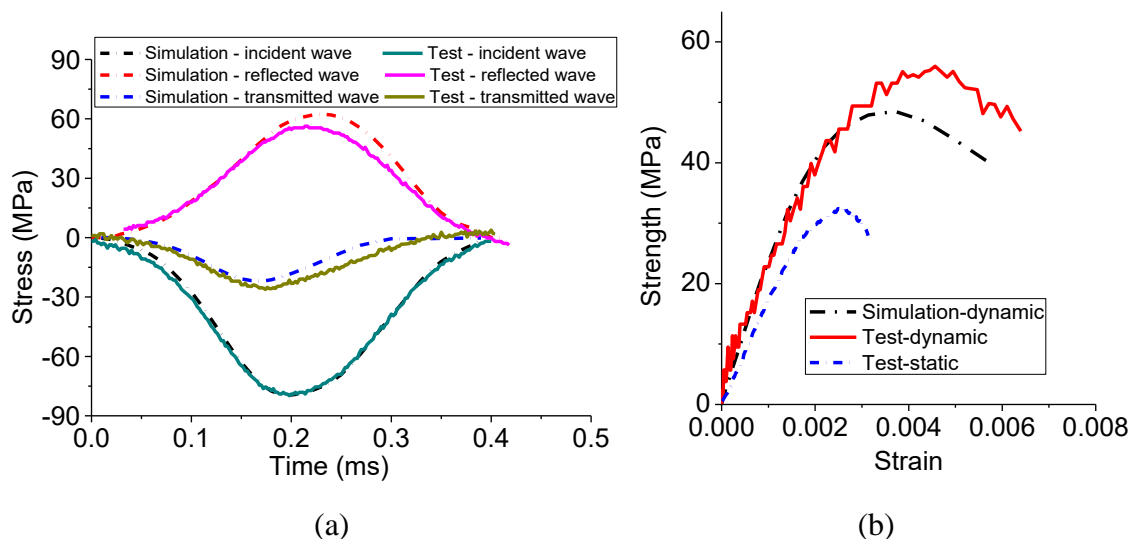
230 For high strain rate, numerical simulation of SHPB test with a mesoscale concrete
231 specimen is carried out to repeat the laboratory test. In the test, the $\varnothing 75$ mm steel bar has a
232 Young's modulus of 210 GPa, density 7800 kg/m^3 and Poisson's ratio 0.28. The steel bars

233 remain elastic in SHPB tests, therefore the isotropic elastic model (Mat_1) in LS-DYNA is
234 used in the simulation. The material parameters of the bars used in the simulation is the same
235 as that in the tests. *CONTACT_ AUTOMATIC_ SURFAE_ TO_ SURFACE command is
236 used to simulate the contact between the bars and the specimen, the friction factor between the
237 surfaces of specimen and the end surfaces of the bars is also set to 0.105. The mesh size of the
238 elastic steel bars is 4.0 mm (cubic Lagrange solid elements) which are proven yielding reliable
239 predictions through mesh convergence tests. The input incident stress wave is a sine wave as
240 shown in **Fig. 5** (a), which is the same as that recorded in the test produced by a cone-shaped
241 strike bar.

242 **Fig. 5** compares the simulation results and the test results at strain rate about 65 1/s. It can
243 be seen that the simulated stress histories agree reasonably well with the test data, the simulated
244 reflected wave is slightly larger, while the simulated transmitted wave is slightly smaller than
245 their respective counterparts recorded in the test, implying the simulated dynamic strength is
246 smaller than the recorded strength, as shown in **Fig. 5** (b). This difference can be attributed to
247 the no strain rate enhancement assumption in the numerical model, i.e., the DIF is defined to
248 be 1.0 in the numerical model as described above. It is well known that a few factors contribute
249 to the concrete strength increment in impact tests [32]. These include lateral inertial
250 confinement [33, 34] , failure modes [22], viscosity associated to the humidity and trapped
251 water and air in micro voids [2, 35], and strain rate effects on cement and aggregate material
252 [36]. The mesoscale model developed in this study with unit DIF assumption captures the
253 contributions to strength increment of concrete specimen related to the failure modes, i.e. there
254 is not sufficient time for the cracks to develop along the weakest zones inside the specimen and
255 a certain part of stronger coarse aggregates are forced to damage, as well as the lateral inertial
256 confinement effects, but cannot simulate the contributions owing to the viscosity and the strain
257 rate effects on cement and aggregate material. Therefore the simulated strength is slightly

258 smaller than the test result, and the difference is strain rate dependent. However, since the
 259 primary objective of the present study is to investigate the influence of pressure confinement
 260 on dynamic concrete strength, and it is difficult to accurately define the DIF related to only
 261 the viscosity and the other material strain rate effect, without further complicating the
 262 problem, this error is accepted in this study. In the subsequent simulations, the DIF is still
 263 assumed to be 1.0. Therefore the observed strength increment with strain rate is attributed to
 264 only the contributions from the different damage modes and lateral inertial confinement.

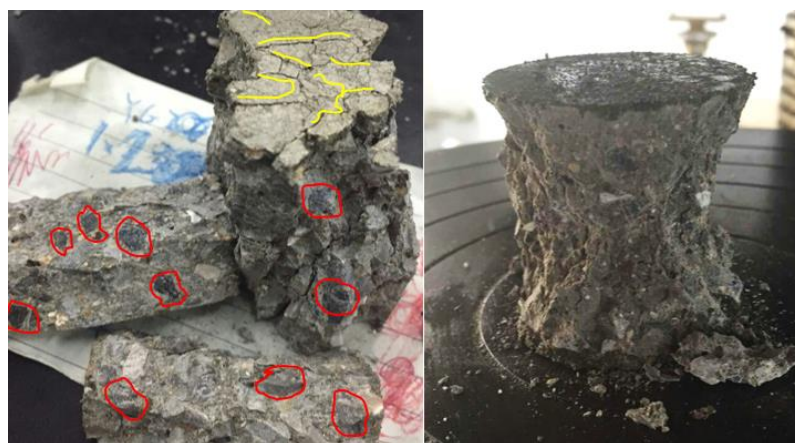
265 It should be noted that some researchers [19, 21] obtained the strain rate effect of concrete
 266 successfully using mesoscale concrete model in numerical simulation, implying the
 267 observed strength enhancement in impact tests is caused purely by the different damage
 268 modes of concrete material and lateral inertial confinement. These conclusions are different
 269 from the present results. As discussed above, it is commonly understood that viscosity is an
 270 important factor that contributes to the concrete material strength increment at high strain
 271 rate [2, 32]. Some testing results also demonstrate that dried concrete shows less strength
 272 increment than the wet concrete specimen [35, 37]. No mesoscale model in literature has
 273 considered the porosity and the trapped air and/or water in the concrete specimen yet owing
 274 to modelling difficulties. As shown in **Fig. 5**, neglecting these factors in mesoscale model
 275 leads to underestimation of DIF of concrete.



276
 277

278 **Fig. 5** Comparison between the SHPB simulation results and test results: (a) stress histories;
279 (b) stress-strain curve.
280

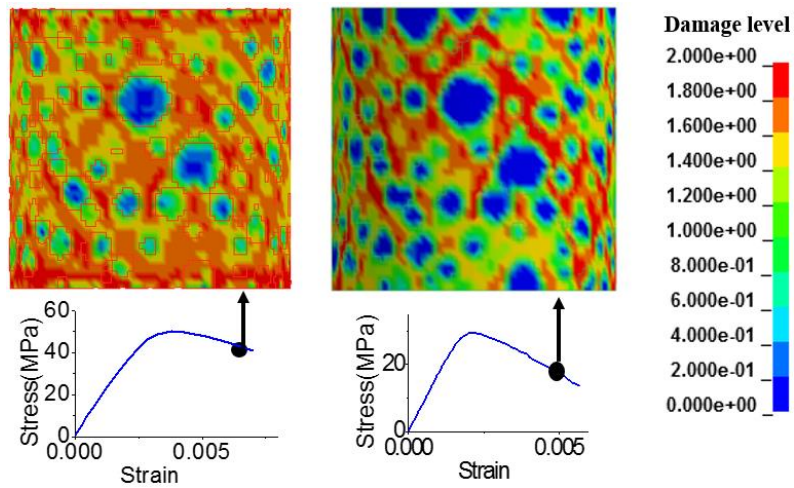
281 **Fig. 6** shows the different failure modes of concrete under high rate loadings and low rate
282 loadings observed in the laboratory test. It is obvious that under high-speed impact, some
283 aggregates are cleaved, which result in more extensive cracks and hence contribute to the
284 concrete strength increment. This does not occur when the specimen is subjected to the low-
285 speed impact, where concrete specimen breaks into a few large fragments along the weak
286 sections, i.e., interfaces between mortar and aggregates. This phenomenon is also captured in
287 numerical simulations. Under low strain rate (0.1 1/s), the cracks develop along the weak
288 sections of the concrete, i.e. the zones of mortar and aggregates interface or mortar in between
289 aggregates, which is the brittle and stress concentrated zone in the concrete matrix. Almost no
290 aggregate damage is observed because aggregates have higher strength than mortar matrix.
291 Under high strain rate (65 1/s), the damage zone is more evenly distributed inside the concrete,
292 where the mortar is damaged seriously and some aggregates are also damaged. These
293 phenomena demonstrate the mesoscale model can reflect the strain rate effect related to the
294 different damage modes owing to the material heterogeneity.



295
296

(a) Strain rate 65 1/s

(b) Strain rate 0.1 1/s



(c) Strain rate 65 1/s (d) Strain rate 0.1 1/s

Fig. 6 Failure modes of concrete under high strain rate and low strain rate loadings: (a) tested specimen at strain rate 65 1/s; (b) tested specimen at strain rate 0.1 1/s; (c) numerical result at strain rate 65 1/s; (d) numerical result at strain rate 0.1 1/s

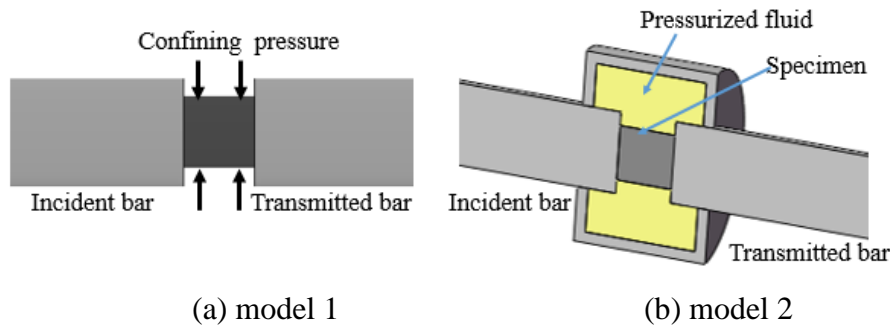
As discussed above, the lateral inertial confinement also contributes to the strength increment. However, this contribution is relatively small (less than 10% of the static strength of the specimen) when the strain rate is lower than 100 1/s according to the study of Hao et al. [33] and Johnson and Li [38]. Nonetheless the lateral inertial confinement effect on strength increment is naturally included in the numerical simulations.

The above discussions are based on results at two strain rates only, respectively representing low and high strain rate. It should be noted that the strain rate effects are strain rate dependent, and in general become more pronounced with the increase in strain rate. In other words, the effects related to the failure modes, lateral inertial confinement, and viscosity, etc. all vary with strain rate. Nevertheless the general trend is the same as observed and discussed above. For brevity, therefore, only the results at the two strain rates are presented above to verify the numerical model and discuss the contribution factors to DIF. However, in the subsequent sections, results at more strain rates will be presented.

2.3 SHPB simulation of specimen with lateral confinement

317 2.3.1 Two methods of giving confinement

318 To simulate SHPB test with pressure confinement on specimens, a pressure vessel filled with
 319 pressurized fluid is integrated to the SHPB system to give confining pressures to the specimens,
 320 as shown in **Fig. 7(b)**. This is the physical truth simulation of the laboratory tests. For
 321 comparison, as shown in **Fig. 7(a)** a constant confining pressure at the same level as that in the
 322 pressure vessel in **Fig. 7(b)** is applied on the surface of the specimen directly. In numerical
 323 simulation, a pulse load simulating the impact from the striker bar is applied to the end surface
 324 of the incident bar to load the specimens with axial loadings.



325
 326
 327
 328
 329
 330

Fig. 7 Two models for simulation of SHPB test with confinement: (a) simplified model with a constant pressure applied on specimen surface; (b) detailed model with pressure vessel included

331 Two kinds of fluid, i.e., water and air are normally used for filling the pressure vessel,
 332 respectively in actual tests, and they lead to different testing results as reported by Gary and
 333 Bailly [17]. In numerical simulation in the present study, they are also considered to examine
 334 the influences of confining media on testing results. Null material and GRUNESIEN equation
 335 of state in LS-DYNA are used to simulate water pressure.

336 In compression, the pressure is given by,

$$337 \quad p = \frac{\rho_0 C^2 \mu \left[1 + \left(1 - \frac{\gamma_0}{2}\right) \mu - \frac{a}{2} \mu^2 \right]}{\left[1 - (S_1 - 1) \mu - S_2 \frac{\mu^2}{\mu + 1} - S_3 \frac{\mu^3}{(\mu + 1)^2} \right]^2} + (\gamma_0 + a \mu) E \quad (6)$$

338 where ρ_0 is the initial density of fluid; $\mu = \rho/\rho_0 - 1$, and ρ is the density after disturbance; C is the
339 sound speed; γ_0 is the Gruneisen coefficient, and a is the volume correction coefficient; S_1 , S_2
340 and S_3 are fitting coefficients; E is the specific internal energy per unit volume, the initial
341 applied pressure is controlled by the input value of initial internal energy. These parameters
342 are given in **Table 2** [39].

343 **Table 2.** Material parameters and coefficients in the EOS for water

Symbol	ρ_0 (kg/m ³)	C (m/s)	γ_0	a	S_1	S_2	S_3
Value	1000	1480	0.5	0	2.56	1.99	1.23

344

345 Air is modelled as an ideal gas with NULL material and LINEAR POLYNOMIAL equation
346 of state. This EoS is given as,

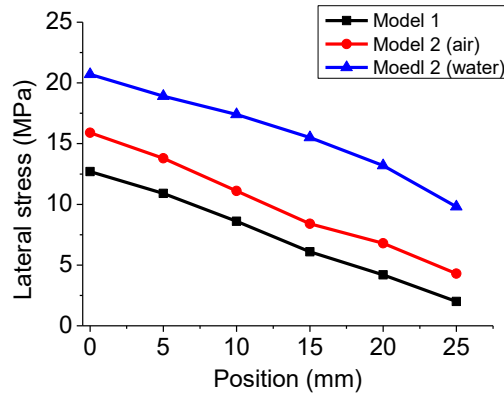
$$347 \quad p = C_0 + C_1 u + C_2 u^2 + C_3 u^3 + (C_4 + C_5 u + C_6 u^2) E \quad (7)$$

348 for ideal gas, $C_0 = C_1 = C_2 = C_3 = C_6 = 0$, $C_4 = C_5 = 0.4$ [40, 41]. The initial applied pressure is
349 controlled by the input value of initial internal energy E ; $\mu = \rho/\rho_0 - 1$, and ρ is the density after
350 disturbance; ρ_0 is the initial density defined in the NULL material, and the density of air at the
351 standard atmosphere pressure (0.101 MPa) is 1.225 kg/m³. This density changes proportional
352 to the applied pressure.

353 In the simulation, the inner diameter and length of the vessel are 150 mm and 100 mm,
354 respectively. The thickness of the vessel is 10.0 mm. Lagrange solid elements of 2.0 mm cube
355 are used to model the steel of pressure vessel with elastic material property (*Mat 1 in
356 LSDYNA), and 2.0 mm cubic ALE solid elements are used to model the water/air which are
357 proven yielding reliable predictions through mesh convergence tests. *CONSTRAINED_
358 LAGRANGE_IN_SOLID card is coded to produce the interaction between the water/air and
359 the solids (the specimen and the steel pressure vessel). Without loss of generality, the end
360 friction is set to zero in the simulation of this part. Other model information is the same as
361 described in the above section 2.2.

362 2.3.2 Simulation results

363 Two cases of confining pressures, namely 2.0 MPa and 10.0 MPa are considered in the
364 simulation. Different loading rates in simulations are achieved by changing the amplitude of
365 the incident sine wave. **Fig. 8** shows the peak lateral stress distribution along the radial direction
366 of the two models when the confining pressure is 2.0 MPa and strain rate is about 120 1/s.
367 Position 0 mm corresponds to the center of the specimen, and the position 25 mm corresponds
368 to the free surface. It can be found that the lateral stresses of the three models differ significantly.
369 For model 1 with direct application of the confining pressure on the specimen, the lateral stress
370 increases to about 13 MPa at the center of the specimen during impact which is resulted due to
371 the inertial effect caused by the Poisson's ratio. For model 2 where the same confining pressure
372 is applied with pressurized water, the lateral stress at the surface of specimen goes beyond 10.0
373 MPa while at the center of specimen is over 20 MPa. It is clear that the use of vessel to apply
374 pressurized water on the specimens leads to the increase in the confinement pressure during
375 the test. This is because the water is almost incompressible, together with the pressure vessel
376 they confine the lateral deformation of the specimen subjected to the axial impact. Lateral
377 expansion of the specimen will lead to the increase of confinement pressure. For model 2 with
378 pressurized air, the confinement pressure also increases but at a less scale than the case using
379 pressurized water during the dynamic test because air is easier to be compressed and it has a
380 lower density than water. These results explain the observations reported by Gary and Bailly
381 [17] that using pressured water and air in modified SHPB tests yields different testing data.
382 They also demonstrate that the confinement pressure changes during the impact tests owing to
383 the specimen deformation and is substantially higher than the specified value, therefore it
384 makes accurate interpretation of the testing results difficult because it is confinement pressure
385 dependent but the variation in confinement pressure is basically uncontrollable.



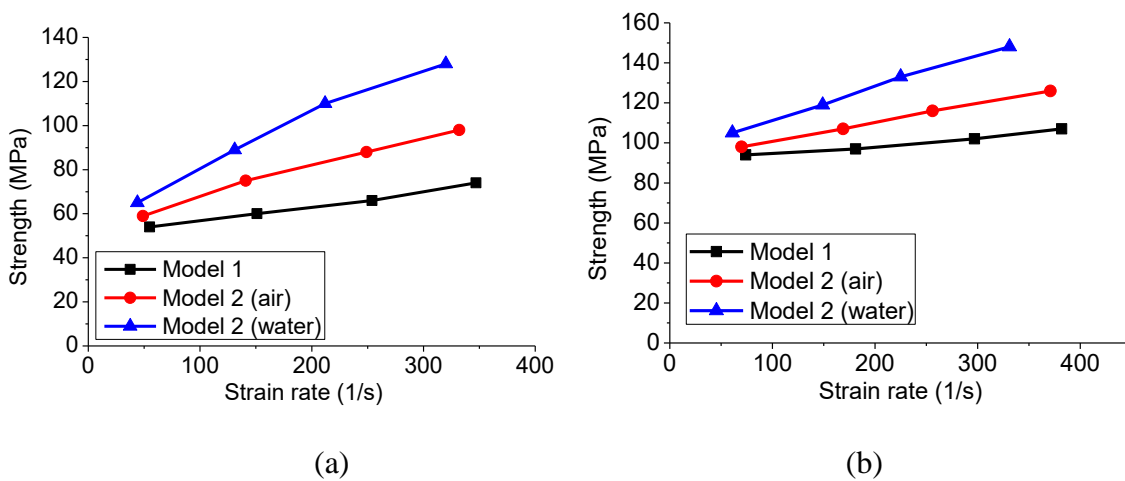
386

387 **Fig. 8** Lateral stresses distribution of the two models (strain rate 120 1/s, initial confinement
388 pressure 2.0 MPa)

389

390 To further investigate the influence of the current technique of using pressurized vessel in
391 providing confinement pressure in modified SHPB tests on testing data, more simulations are
392 carried out. **Fig. 9(a)** gives the strength of the concrete at different strain rates with 2.0 MPa
393 initial confining pressure. As shown the strength of concrete from model 1 increases from 54
394 MPa to 74 MPa as the strain rate increases from 55 1/s to 350 1/s. Compared with that of model
395 1, the strength of concrete from model 2 with pressurized water increases from 65 MPa at strain
396 rate 45 1/s to 128 MPa at strain rate 320 1/s. The similar trend can be observed for the case
397 with pressurized air, and the results are in between the above two cases. These results indicate
398 that the testing apparatus significantly influences the testing data of dynamic concrete strength.
399 This is because the applied confinement pressure increases with the lateral deformation of the
400 specimen, which provides significantly higher confinement to the concrete specimen.
401 Therefore, the observed increase in concrete strength is not the true strain rate effect of material
402 under a constant confining pressure of 2.0 MPa, but a varying and higher confining pressure.
403 These results demonstrate that the current testing technique over predicts the strain rate effect
404 on concrete strength under confinement pressure, and the level of over prediction increases
405 with the strain rate. Using pressurized air to provide confinement gives better testing results
406 than using pressurized water because air is more compressible and has smaller density than

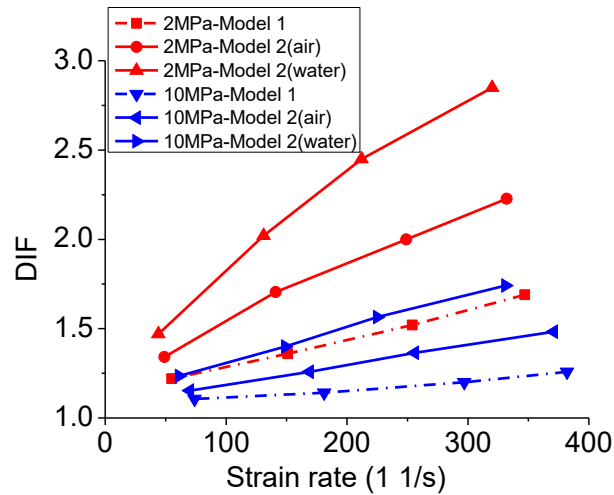
407 water. Therefore the increase in confining pressure in air is less prominent than that in water.
 408 **Fig. 9(b)** gives simulation results with 10.0 MPa initial confining pressure. Similar
 409 observations to those in **Fig. 9(a)** can be obtained. However, it can be noted by comparing the
 410 curves in **Fig. 9(a)** and **Fig. 9(b)** that the rate of strength increment with the strain rate is less
 411 prominent when the initial confinement pressure is higher. This is because the pressure
 412 increment with specimen deformation with respect to a larger initial confinement pressure is
 413 less prominent.



414
 415 (a) (b)
 416 **Fig. 9** Strength of confined concrete at different strain rate: (a) 2.0 MPa confining pressure;
 417 (b) 10.0 MPa confining pressure
 418

419 To quantify the DIF of confined concrete material in the modified SHPB test system with a
 420 pressure vessel, the corresponding DIFs obtained by the ratio of the dynamic strength of the
 421 concrete from the above model 1 and model 2 to the static strength of concrete with the same
 422 confining pressure is shown in **Fig. 10**. The unconfined strength of concrete used in the
 423 simulation is 31.7 MPa, it has a strength of 44 MPa under 2 MPa confining pressure and a
 424 strength of 85 MPa under 10 MPa confining pressure (at strain rate 0.1 1/s). It is clear that the
 425 interaction between the water/air and specimens significantly influences the pseudo tri-axial
 426 dynamic test results, and greatly over predicts the dynamic strength of confined concrete
 427 material. Since the concrete properties under tri-axial dynamic loadings is very important for

428 establishing the dynamic concrete constitutive model, accurately obtain the true dynamic
429 properties of confined concrete is essential. Modified testing devices with ability of properly
430 controlling the confining pressure is deemed necessary.



431
432 **Fig. 10** DIF of confined concrete at different strain rate
433

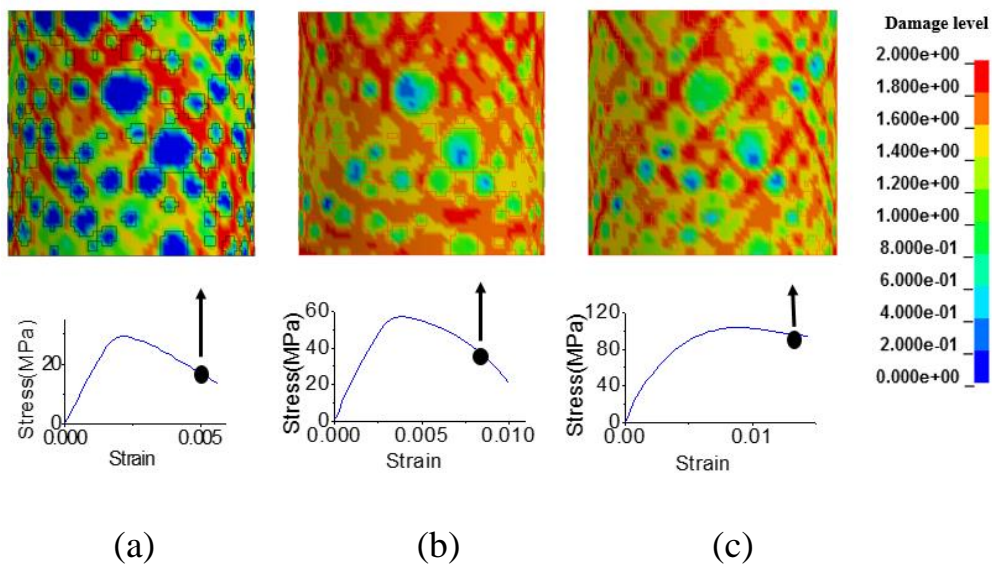
434 **3. Study of strain rate effect on confined concrete material**

435 The above numerical results demonstrate that the current apparatus used in modified SHPB
436 tests on confined concrete specimens do not give accurate results because confinement pressure
437 changes with the specimen deformation. In general the current test technique over predicts the
438 dynamic strength of confined concrete. To better understand the strain rate effect on concrete
439 material with confinement, the mesoscale model of concrete is used to numerically simulate
440 the modified SHPB tests of confined concrete specimens.

441 **3.1 Numerical simulations**

442 Three levels of confining pressures (5.0 MPa, 10.0 MPa and 15.0 MPa) are considered with
443 the model 1 shown in **Fig. 7** to investigate their different effects on the DIF of concrete. End
444 friction is set to zero in this study in order to concentrate on investigating the confinement
445 effect on dynamic strength of concrete.

446 **Fig. 11** shows the failure modes of concrete specimens under low strain rate with or without
447 confinement, as well as the specimen without confinement under high strain rate. As shown
448 under low rate (0.1 1/s) uniaxial impact loading, the cracks in the unconfined specimen develop
449 along the weak sections of the concrete. Under high rate (190 1/s) uniaxial impact loading, the
450 damage zone of the unconfined specimen is widely distributed inside the specimen with
451 damages also occurring to aggregates. Under low strain rate (0.1 1/s) impact on specimen with
452 15.0 MPa confining pressure, the damage pattern is very similar to the case of unconfined
453 specimen subjected to high rate impact, i.e., the damages also widely distribute inside the
454 concrete specimen with damages occurring to aggregates. These observations indicate that
455 confinement pressure and high strain rate have similar effects on the damage modes of concrete
456 specimen. This is because the confining pressure constrains the lateral expansion of the
457 specimen under axial impact, similar to the lateral inertial confinement, and constrains the
458 development of large cracks hence resulting in widely distributed cracks in the specimen with
459 many small fragments, similar to the damage mode of the specimen under high-speed impact.



460

461

462 **Fig. 11** Failure modes of concrete specimen: (a) low strain rate (0.1 1/s) without confinement;
463 (b) high strain rate (190 1/s) without confinement; (c) low strain rate (0.1 1/s) with 15.0 MPa
464 confining pressure

465

466 Some published experimental results also show the same phenomenon of change of the
467 damage modes with the increment of the strain rate [22, 23]. In the experimental study by Chen
468 et al. [22] (as shown in **Fig. 12**), the cracks passed through the mortar and propagated along a
469 main interface under low strain rate. Under high strain rates, the stress increased so rapidly that
470 before the crack had time to extend along the path of least resistance, the stress had increased
471 to sufficient level to fracture the mortar zones and aggregates of higher strength.

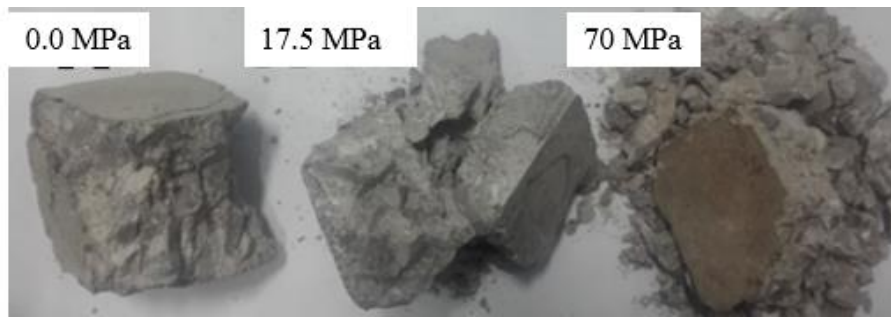
472 In the study of reference [11] as shown in **Fig. 13** that under static unconfined uniaxial
473 loadings, the concrete specimen failed with a dominant crack penetrating through the entire
474 specimen. When the applied confining pressure was high, the confinement restricted the cracks
475 to develop along the original weakest zone in the concrete and more intensive damage to
476 cement matrix and aggregates was created, which therefore led to more damage surfaces and
477 hence more number of smaller fragments. These results show that the damage modes of
478 concrete specimens under uniaxial high-speed impact and static load with confinement are
479 somewhat similar, implying again the strain rate and lateral pressure confinement effects are
480 similar on concrete material properties.



481

482 **Fig. 12.** Failure mode of unconfined concrete specimens under different strain rates [22].

483



484

485 **Fig. 13.** Failure mode of concrete specimens under static tests with different confining
486 pressures [11]

487

488 **Fig. 14** shows the strain rate effect on mesoscale model of concrete with and without
489 confinement on specimens. Because the concrete material DIF is assumed to be 1.0 in the
490 simulation, for the case of unconfined specimen under uniaxial loading, the obtained strength
491 increment can be attributed to the strain rate effect related to the different failure modes and
492 the lateral inertial confinement (structural effect) as discussed above. It can be seen from **Fig.**
493 **14 (a)**, under a strain rate of 187 1/s, the dynamic strength of concrete increases about 80%
494 compared to its strength at strain rate 0.1 1/s. Because the strength increment caused by the
495 lateral inertial confinement is less than 20% of the concrete static strength when the strain rate
496 is lower than 200 1/s [33, 38], the observed strength increment can therefore be primarily
497 attributed to the changing damage modes at high strain rates. However as shown in **Fig. 14 (b)**,
498 when a 15.0 MPa confining pressure is applied to the specimen, the simulated stress-strain
499 curve of the specimen at the same two strain rates are similar, indicating the strain rate effect
500 is insignificant. These results show that under this level of confining pressure, the concrete
501 material properties are not significantly influenced by the strain rate effect associated to the
502 changing in damage mode.

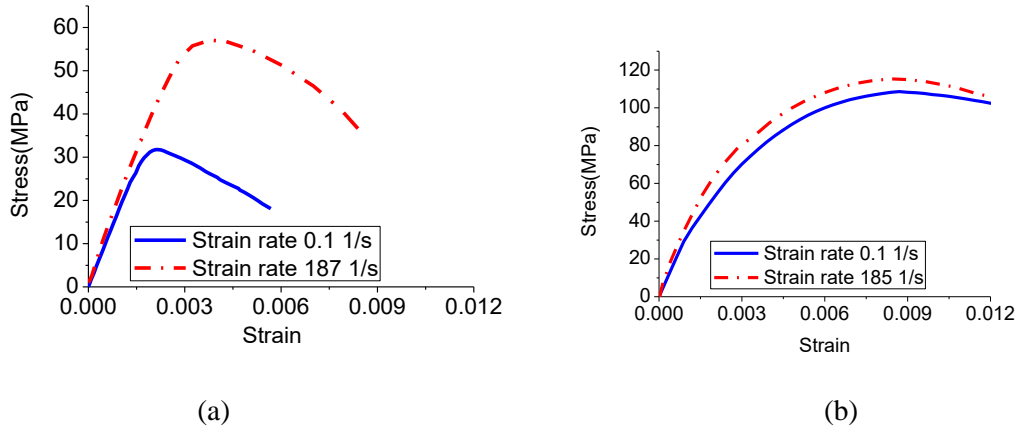


Fig. 14 Strain rate effect of meso-scale concrete model: (a) no confinement; (b) 15 MPa confining pressure.

More simulations are carried out with different level of confining pressures at different strain rates. The detail results are not shown here for brevity. It is found that the strain rate effects on concrete compressive strength are confining pressure dependent. Based on the simulation results, an empirical relation of DIF of concrete as a function of confining pressure p_c , denoted as DIF_{pc} , is defined as:

$$DIF_{pc} = r(p_c) (DIF_0 - 1) + 1 \quad (8a)$$

or

$$r(p_c) = (DIF_{pc} - 1) / (DIF_0 - 1) \quad (8b)$$

where DIF_0 is the DIF of concrete when the confining pressure is zero, $r(p_c)$ is the reduction factor of DIF of concrete with a confining pressure p_c . The simulation results of $r(p_c)$ are given in **Table 3** and **Fig. 15**. The results clearly show that DIF decreases with the increment of the confining pressure. It should be noted that the confining pressure p_c is a constant in the numerical simulation, which, as demonstrated above, is not likely to be kept constant with the current testing apparatus.

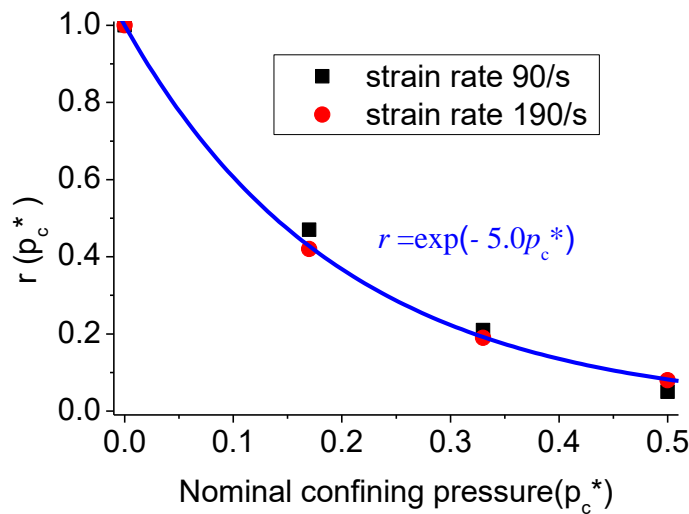
522 **Table 3** Simulation results of strain rate effect under confining pressures

Confining pressure (MPa)	0			5			10			15		
Strain rate (1/s)	0.1	90	190	0.1	90	190	0.1	90	190	0.1	90	190
Strength of concrete (MPa)	31.7	50.1	57.0	59.5	75.2	79.2	85.0	94.7	97.4	108.6	112.4	114.9
DIF _{pc}	\	1.58	1.79	\	1.27	1.33	\	1.12	1.15	\	1.03	1.06
$r(p_c)$	\	\	\	\	0.47	0.42	\	0.21	0.19	\	0.05	0.08

523

524 In **Fig. 15**, the horizontal coordinate is the nominal confining pressure p_c^* , defined as the
 525 ratio of the confining pressure to the uniaxial compressive strength of concrete. The fitted curve
 526 shown in figure is:

527
$$r(p_c^*) = \exp(-5.0 p_c^*) \quad R^2=0.96 \quad (9)$$



528

529 **Fig. 15** The reduction of DIF under confining pressures

530

531 **3.2 Modification of DIF used in the concrete model**

532 **Fig. 15** demonstrates that the DIF of the concrete decreases with the increment of the
 533 confining pressure at the same strain rate, therefore the DIF of concrete should be correlated to
 534 the stress state in the dynamic material constitutive model. Using DIF from uniaxial testing

535 results to consider the strain rate effect of concrete properties under multi-axial compressive
 536 stress states overestimates the concrete strength.

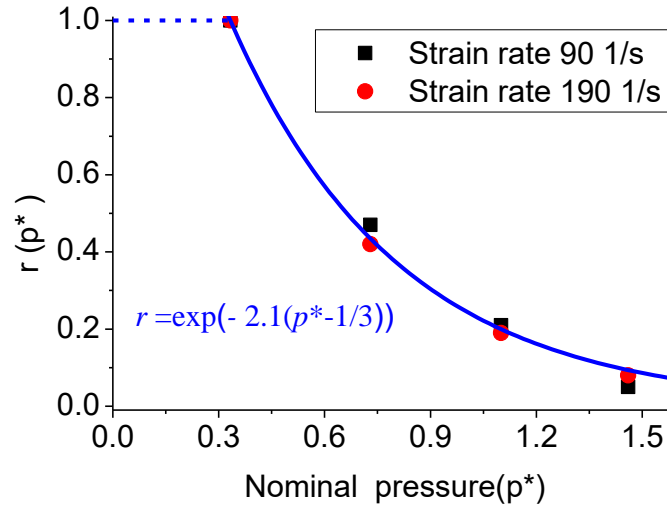
537 In the concrete model, the strength of concrete is defined using equivalent stress at failure
 538 as function of pressure [30]. **Eqs. (10)-(11)** give the expressions of equivalent stress σ_{eq} and
 539 pressure p , respectively.

$$540 \quad \sigma_{eq} = \sqrt{\frac{1}{2} [(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_1 - \sigma_3)^2]} \quad (10)$$

$$541 \quad p = \frac{1}{3} (\sigma_1 + \sigma_2 + \sigma_3) \quad (11)$$

542 where σ_1 , σ_2 and σ_3 are the three principle stresses ($\sigma_1 \geq \sigma_2 \geq \sigma_3 \geq 0$ in this study). Therefore
 543 establishment of the DIF correlated to pressure is a convenient way to deal with the strain rate
 544 effect under multi-axial stress states in the concrete model. In the case of uniaxial compression,
 545 σ_1 is the uniaxial strength of concrete f_c , $\sigma_2 = \sigma_3 = 0$, thus $p = 1/3 f_c$. Therefore the failure of
 546 concrete under a pressure lower than $1/3 f_c$ occurs due to the combined tensile and compressive
 547 stress states or multi-axial tensile stress states. The modifications of DIFs in these stress states
 548 are beyond the scope of the present study because of lack of corresponding data. When $p > 1/3$
 549 f_c , i.e., the material is under multi-axial compressive stress, instead of using the DIF derived
 550 from uniaxial testing data, it is suggested to model the DIF according to the simulation results
 551 given in **Table 3**. **Fig. 16** shows the reduction factor of DIF as function of nominal pressure
 552 p^* , defined as the ratio of the pressure p to the uniaxial compressive strength of concrete f_c . In
 553 the current cases, $\sigma_2 = \sigma_3$ is the cylindrical symmetric confining pressure p_c , σ_1 is the static
 554 strength of concrete with confinement pressure p_c , then $p^* = (\sigma_1 + 2 p_c) / (3 f_c)$. The best fitted
 555 curve as shown in **Fig. 16** can be expressed by **Eq. (12)**

$$556 \quad r(p^*) = \begin{cases} 1 & p^* \leq \frac{1}{3} \\ \exp[-2.1(p^* - \frac{1}{3})] & p^* > \frac{1}{3} \end{cases} \quad R^2 = 0.94 \quad (12)$$



557
558 **Fig. 16** The reduction of DIF corresponding to the pressures
559

560 Therefore, the uniaxial dynamic increase factor DIF is correlated to pressure by,

$$561 \quad \text{DIF}(p^*) = r(p^*) (\text{DIF} - 1) + 1 \quad (13)$$

562 It should be noted that the contribution of viscosity and other factors to the strain rate effect
563 under complex stress states is still unaware. Strain rate effects under multi-axial stress states
564 are extremely complex and more intensive studies should be carried out. Developing reliable
565 tri-axial dynamic test devices is the best way to study the concrete properties under dynamic
566 multi-axial stress states. The above proposed empirical formula can be used to approximately
567 model the concrete material DIF under multi-axial stress states, which provides more accurate
568 predictions of concrete materials at high strain rates.

569 **4. Conclusion**

570 This paper built a mesoscale model of concrete specimen to simulate SHPB tests. The
571 accuracy of the model was verified with testing data. Intensive numerical simulations of SHPB
572 tests of concrete specimens without or with lateral pressure confinement at different strain rates
573 were carried out. The numerical results demonstrated that the current modified SHPB test
574 technique with pressure confinement on concrete specimen over predicted the concrete
575 dynamic strength because the confinement pressure would increase with the specimen

576 deformation under high-speed impacts. Pressure vessel filled with pressurized water used in
577 the modified SHPB tests led to more significant over prediction of dynamic concrete strength
578 than that filled with pressurized air because water is less compressive and has higher density
579 than air. The results provided explanations on experimental observations that SHPB tests on
580 specimens with pressure confinement led to different results if the confinement medium was
581 different. It was also found and explained that under lateral pressure confinement the concrete
582 material was less strain rate sensitive as compared to the specimens tested without confinement
583 because the high-rate impact and pressure confinement led to the similar failure mode of
584 concrete specimens and pressure confinement also reduced the lateral inertial confinement
585 effect. Based on the numerical simulation results, an empirical relation was proposed to modify
586 the unconfined concrete strength DIF obtained from uniaxial impact tests for concrete material
587 with pressure confinement. The proposed empirical formula can be used to more accurately
588 model the dynamic strength increment of concrete material under pressure confinement.

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593

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