

Understanding the association between climate variability and the Nile's water level fluctuations and water storage changes during 1992-2016

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Abstract

1 With the construction of the largest dam in Africa, the Grand Ethiopian Renaissance Dam
2 (GERD) along the Blue Nile, the Nile is back in the news. This, combined with Bujagali dam
3 on the White Nile are expected to bring ramification to the downstream countries. A com-
4 prehensive analysis of the Nile's waters (surface, soil moisture and groundwater) is, therefore,
5 essential to inform its management. Owing to its shear size, however, obtaining in-situ data
6 from "boots on the ground" is practically impossible, paving way to the use of satellite remotely
7 sensed and models' products. The present study employs multi-mission satellites and surface
8 models' products to provide, for the first time, a comprehensive analysis of the changes in Nile's
9 stored waters' compartments; surface, soil moisture and groundwater, and their association to
10 climate variability (El Niño Southern Oscillation (ENSO) and Indian Ocean Dipole (IOD)) over
11 the period 1992-2016. In this regard, remotely sensed altimetry data from TOPEX/Poseidon
12 (T/P), Jason-1, and Jason-2 satellites along with the Gravity Recovery And Climate Experi-
13 ment (GRACE) mission, and the Tropical Rainfall Measuring Mission Project (TRMM) rainfall
14 products are applied to analyze the compartmental changes over the Nile River Basin (NRB).
15 This is achieved through the creation of 62 virtual gauge stations distributed throughout the
16 Nile River that generate water levels, which are used to compute surface water storage changes.
17 Using GRACE total water storage (TWS), soil moisture data from multi-models based on the
18 Triple Collocation Analysis (TCA) method, and altimetry derived surface water storage, Nile
19 basin's groundwater variations are estimated. The impacts of climate variability on the com-
20 partmental changes are examined using TRMM precipitation and large-scale ocean-atmosphere
21 ENSO and IOD indices. The results indicate a strong correlation between the river level varia-

22 tions and precipitation changes in the central part of the basin (0.77 on average) in comparison
23 to the northern (0.64 on average) and southern parts (0.72 on average). Larger water storages
24 and rainfall variations are observed in the Upper Nile in contrast to the Lower Nile. A negative
25 groundwater trend is also found over the Lower Nile, which could be attributed to a significantly
26 lower amount of rainfall in the last decade and extensive irrigation over the region.

Keywords: Climate variability, Satellite altimetry, River Nile, Groundwater, Water level,
GRACE .

27 **1. Introduction**

28 The Nile River, arguably the longest river in the world (6800 km), has a major impact
29 on the livelihood of over 300 million people of 11 countries within the region. This population
30 is expected to double in the next twenty-five years (Nunzio, 2013) thereby putting extreme
31 pressure on its water resources. Already now, this pressure is building up with the upper
32 stream countries damming the Nile to exploit on its resources. On the White Nile, Uganda
33 has constructed the Bujagali dam while along the Blue Nile, Ethiopia is constructing the con-
34 tinent's largest dam; the Grand Ethiopian Renaissance Dam (GERD) that is expected to take
35 several years to fill. These human-induced impacts on the Nile, coupled with those of climate
36 variability are expected to exacerbate tension with the low stream countries fearing the cut in
37 the Nile's total volume. Its fresh water in the region that covers approximately 10% of the
38 entire African continent is expected to continue sustaining the livelihood of the growing popu-
39 lation thus making a large part of the African continent extremely vulnerable in aspects such
40 as water supplies, agriculture, and industry (Woodward et al., 2007; Awulachew et al., 2012;
41 Multsch et al., 2017). An understanding of changes in its stored water (surface, soil moisture
42 and groundwater), and their association to climate variability/change, is, therefore, crucial for
43 environmental assessments and provides useful information useful for water resources manage-
44 ment and climate impact studies. Owing to its size however, the Nile predisposes itself to
45 remotely sensed approaches with the vast spatial and temporal coverage as opposed to ground
46 based in-situ networks.

47 Remote sensing has provided useful observations for studying water resources around the
48 world, especially over areas with insufficient in situ measurements (e.g., Alsdorf et al., 2007;
49 Zakharova et al., 2006; Papa et al., 2010; Khaki et al., 2017a,b). Over the Nile River Basin

50 (NRB), [Muala et al. \(2014\)](#) estimated reservoir discharges of Lake Nasser and Roseires Reservoir
51 while flood monitoring using altimetry data was carried out by [Birkett et al. \(1999\)](#) over Lake
52 Victoria. [Ayana et al. \(2008\)](#) reviewed the application of satellite radar altimetry data in
53 the water resource management in Ethiopia, while [Uebbing et al. \(2015\)](#) introduced a post-
54 processing approach to improve the accuracy of radar altimetry measurements over African lakes
55 such as Lake Naivasha and Lake Victoria (see also [Awange et al., 2013a](#); [Aboulela, 2012](#)). A
56 number of hydroclimate variability studies over the basin using various satellite remotely sensed
57 products have been documented, e.g., the Gravity Recovery And Climate Experiment (GRACE)
58 for studying the Nile basin's total water storage changes (e.g., [Awange et al., 2008, 2014a](#);
59 [Hassan and Jin, 2014](#)), satellite precipitation data for studying the basin's rainfall (e.g., [Kizza](#)
60 [et al., 2009](#); [Awange et al., 2013b](#)), and a combination of both ground-based and remotely sensed
61 observations for studying the lake's water balance (e.g., [Yin and Nicholson, 1998](#); [Swenson et](#)
62 [al., 2009](#)).

63 Despite the efforts above, a comprehensive long-term study of climate variability and its
64 association with various water storages (TWS, groundwater, surface water storage, and soil
65 moisture) separately, as well as water level fluctuations over the entire NRB is missing. For
66 example, [Awange et al. \(2014b\)](#) studied water storage changes within the Nile's main sub-basins
67 and the related impacts of climate variability by employing Independent Component Analysis
68 (ICA; see also [Frootan and Kusche, 2012, 2013](#)) to extract statistically independent TWS
69 patterns over the sub-basins from GRACE and the Global Land Data Assimilation System
70 (GLDAS) for the period 2002-2011. Their study, however, does not address variability of in-
71 dividual water storage compartments (surface, soil moisture and groundwater). Rather, they
72 treated them as a combined entity and did not compute the fluctuations of the water level
73 within the NRB. Fluctuations of surface water levels, which can be derived from satellite radar
74 altimetry, are important as they can be related to seasonal variations of precipitation, evapora-
75 tion, and anthropogenic use ([Goita et al., 2012](#)). Surface water storages and their variations are
76 also important to study the interactions between land and the atmosphere and oceans ([Papa](#)
77 [et al., 2015](#)).

78 The present study addresses these missing gaps by exploiting multi-satellites and surface
79 models' products to study changes in the various Nile basin's water storage compartments (sur-
80 face, soil moisture, and groundwater) it relates their changes to climate variability. Specifically,

81 the study aims at (i) analyzing the long-term (1992-2016) water level fluctuations through 62
82 virtual altimetry-derived tide gauges along the Nile River, (ii) deriving surface water storage
83 from level variations in (i) above, and (iii) studying compartmental water storage changes sep-
84 arately; surface, soil moisture, and groundwater and their association with El Niño Southern
85 Oscillation (ENSO) and Indian Ocean Dipole (IOD) climate variability.

86 To provide the 62 virtual stations over the entire Nile River, TOPEX/Poseidon (T/P),
87 Jason-1 and -2 satellite altimetry products are applied to the Nile Basin divided into Lower
88 Nile, Central Nile, and Upper Nile (see Fig. 1). The obtained water level fluctuations from these
89 62 virtual stations are improved using the Extremum Retracking (ExtR) algorithm (Khaki et al.,
90 2014, 2018a) and used to generate time series that are employed to derive surface water storage
91 following the approach proposed by Frappart et al. (2008). Furthermore, multiple models are
92 used to estimate soil moisture variations, while their uncertainty is estimated using the Triple
93 Collocation Analysis (TCA; Gruber et al., 2017) within the basin. These data together with
94 TWS changes from GRACE are applied to estimate Nile Basin's groundwater storage. The
95 impact of climate variability (e.g., ENSO and IOD phenomena) and precipitation from the
96 Tropical Rainfall Measuring Mission Project (TRMM) on surface water variations and water
97 storage components are thereafter explored.

98 The remainder of this study is organized as follow; the study area, datasets, and methods
99 are presented in Sect. 2 and 3, respectively. The results are discussed in Sect. 4 and the study
100 is concluded in Sect. 5.

101 **2. The study area and data**

102 *2.1. The Nile River Basin*

103 Climatic conditions in the NRB vary over different parts and include different climate
104 zones (e.g., Mediterranean climate), with an average temperature of about 30°C in summers
105 and ranging between 5°C - 10°C during winters (FAO, 1997). The arid region starts from Sudan
106 and extends north to Egypt with average precipitation rates of 50 mm and 20 mm per year,
107 respectively, representing almost rainless conditions during a given year (FAO, 1997; Agrawal
108 et al., 2003). In contrast, the southern parts of the basin from the equatorial region of south-
109 western Sudan to most of the Lake Victoria basin and the Ethiopian Highlands experience heavy

110 rainfall of about 1520 mm per year (Camberlin, 2009; Awange et al., 2016). A modest increase
111 in rainfall and stored water over Lake Victoria from 2007 to 2013 after the 2002-2006 decline
112 (Awange et al., 2008) is captured by Awange et al. (2013b) while water loss in the north-eastern
113 lowland of Ethiopia between 2003 and 2011, in contrast to the western parts, has been observed
114 by Awange et al. (2014a,b). Becker et al. (2010) studied the 2003-2008 water level changes in
115 major lakes of East Africa and concluded that for Lake Victoria and Lake Turkana, changes
116 were mainly due to individual lake's storages.

117 The difference between climatic conditions and water availability along the Nile has become
118 increasingly important especially for the northern areas facing increased water scarcity, i.e.,
119 Sudan and Egypt (see, e.g., Conway, 2002; Elshamy et al., 2009; Taye et al., 2011). A number
120 of studies have investigated the interactions between different areas along the NRB and various
121 issues, e.g., sediments (Ahmed et al., 2008) and residents income inequality (Ahmed et al., 2014).
122 To better study the entire basin in regard to fluctuations and the impacts of climate, the present
123 study divides the entire NRB into three different regions; the Upper Nile, Central Nile, and
124 Lower Nile (Fig. 1) approximated according to the provenance of the water as suggested in
125 Ahmed et al. (2004).

126 *2.2. Satellite radar altimetry*

127 Satellite radar altimetry is an effective tool for monitoring surface water level fluctuations
128 and has been employed for a wide range of applications (e.g., Sandwell, 1990; Fu et al., 1994;
129 Lee et al., 2009; Hwang et al., 2010; Becker et al., 2010; Khaki et al., 2015). Application of
130 altimetry for monitoring inland water lakes (see, e.g., Birkett, 1995) and rivers (e.g., Birkett et
131 al., 2002; Berry et al., 2005; Yang et al., 2012; Tseng et al., 2013) is growing because of its vast
132 coverage contrary to ground-based measurements (Calmant et al., 2008). In this study, we use
133 TOPEX/Poseidon (T/P), Jason-1, and Jason-2 data of the Sensor Geographic Data Records
134 (SGDR), which contains 20-Hz waveform data. This includes 360 cycles of T/P covering 1992–
135 2002, 260 cycles of Jason-1 from 2002 to 2008, and 277 cycles of Jason-2 covering 2008 to
136 2016. The temporal resolution of these observations is ~ 9.915 days and their ground cross-
137 track resolution is ~ 280 km (Benada, 1997). T/P and Jason-1 data are both derived from
138 the Physical Oceanography Distributed Active Archive Center (PO.DAAC) and Jason-2 data
139 is provided by AVISO (see, e.g., Table 1). Here, we apply geophysical corrections, including
140 solid earth tide, pole tide, and dry tropospheric (Birkett, 1995). Importantly, the waveform

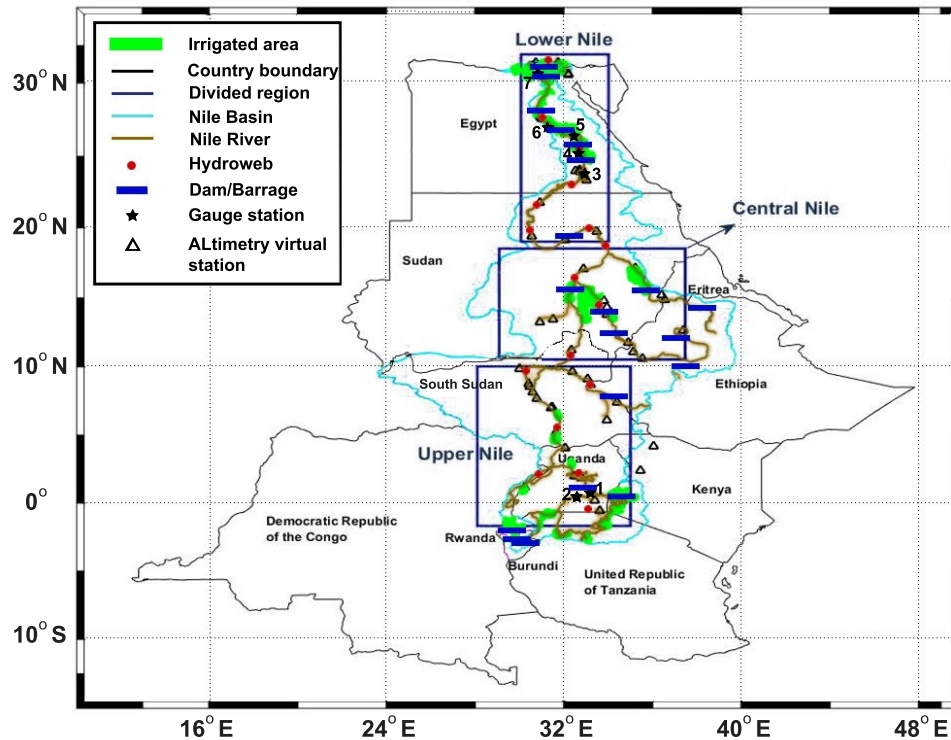


Figure 1: Location of the 62 virtual altimetry stations and the three study regions; Upper Nile (Kenya, Uganda, Tanzania, Rwanda, Burundi, Ethiopia and South Sudan), Central Nile (Sudan, Ethiopia and Eritrea), and Lower Nile (Egypt) in the Nile Basin. This figure also contains the positions of the gauge stations and Hydroweb (Cretaux et al., 2011) for measuring water levels, as well as major infrastructures (e.g., dams) and irrigation areas (following The Food and Agriculture Organization (FAO) and Multsch et al., 2017).

141 retracking, which refers to the re-analysis of the waveforms, a time-series of returned power in
 142 the satellite antenna (Davis et al., 1995; Gomez-Enri et al., 2009), is required to improve the
 143 accuracy of measured ranges (Brown, 1977). Here, in order to retrack satellite radar altimetry
 144 data, a developed Extrema Retracking (ExtR) algorithm proposed by Khaki et al. (2014) is
 145 applied. Our motivation to select the ExtR is due to its processing speed and its promising
 146 results while various types of waveforms are observed (see, e.g., Khaki et al., 2015, 2018b).
 147 ExtR has already been compared to the Off Center of Gravity (OCOG, Wingham et al., 1986),
 148 the NASA β -Parameter Retracking (Martin et al., 1983), and Threshold Retracking (Davis,
 149 1997) techniques.

150 The datasets are then used to build virtual time series for 62 different points (see black
 151 triangles in Fig. 1) located on the satellite ground tracks and distributed throughout the NRB.
 152 At each virtual point, several points belonging to the same satellite cycle are considered and

153 the median value of the retracked altimetry-based water level is computed in order to address
154 the hooking effects (Frappart et al., 2006). This effect is derived from off-nadir measurements
155 when a satellite locks over a water body before or after passing above it (Seyler et al., 2008;
156 Boergens et al., 2016). Afterward, the water level variations time series derived from T/P,
157 Jason-1, and Jason-2 (covering the period from 1992 to 2016) are merged and the combined
158 time series converted into a monthly scale. Note that the maximum number of stations available
159 from satellite radar altimetry over the area is used to better represent the water level variations
160 over the NRB. Nevertheless, when comparing with other datasets (e.g., precipitation), provided
161 at $1^\circ \times 1^\circ$ spatial resolution, altimetry-derived data from stations located in a grid point (i.e.,
162 $1^\circ \times 1^\circ$) are averaged. The average of water level data in the grid point is then compared with
163 other data from the same point. Details of altimetry data sources and pass numbers used in
164 this study are presented in Table 1.

165 2.3. GRACE

166 Monthly GRACE level 2 (L2) potential coefficients products up to degree and order
167 (d/o) 90 from the ITSG-Grace2014 gravity field model (Mayer-Gürr et al., 2014) are obtained
168 and used to generate total water storage (TWS) within the NRB. Following Swenson et al.
169 (2008), degree 1 coefficients (<http://grace.jpl.nasa.gov/data/get-data/geocenter/>) are replaced
170 to account for the movement of the Earth's centre of mass. Degree 2 and order 0 (C_{20}) co-
171 efficients (<http://grace.jpl.nasa.gov/data/get-data/oblateness/>) are not well determined, and
172 therefore, they are replaced by those from Cheng and Tapley (2004). Colored/correlated noise
173 and leakage errors are reduced using the Kernel Fourier Integration (KeFIn) filter (Khaki et al.,
174 2018c) in a two-step post-processing scheme. The first step accounts for the measurement noise
175 and the aliasing of unmodelled high-frequency mass variations, and the second step reduces the
176 leakage errors (see also Khaki et al., 2018d).

177 2.4. Land hydrological models

178 Soil moisture data is provided from three sources; the Famine Early Warning Systems
179 Network (FEWS NET) Land Data Assimilation System, (FLDAS-NOAH; McNally et al., 2017),
180 the WaterGAP Global Hydrology Model (WGHM; see more details in Döll et al., 2003), and
181 ERA-Interim (Dee et al., 2011). Soil moisture outputs from these models are acquired on
182 a monthly scale and rescaled into $1^\circ \times 1^\circ$ spatial grid and merged into a single soil moisture

183 product (using TCA, see Sect. 3.2.2) to study the soil moisture variations within the NRB, as
184 well as extracting groundwater from GRACE's TWS and surface water storage estimates (see
185 Sect. 3.2).

186 2.5. Precipitation

187 The Tropical Rainfall Measuring Mission (TRMM-3B43; version 7) products (TRMM,
188 2011) covering the period 1998 to 2016 are used to estimate how much water enters the NRB.
189 The data is available on a 0.25° degree resolution, which is averaged to generate $1^\circ \times 1^\circ$ grids
190 before being extended to 1992 (same starting period as the altimetry data) using the Global
191 Precipitation Climatology Center (GPCC) reanalysis version 7.0 (Schneider et al., 2015).

192 2.5.1. ENSO and IOD

193 Two major climate variability indices associated with the dominant SST variability;
194 El Niño Southern Oscillation (ENSO; Barnston et al., 1987) and Indian Ocean Dipole (IOD;
195 Rao et al., 2002) are used to assess the association of climate variability and NRB's stored
196 water changes. ENSO, provided by the NOAA National Centers for Environmental Information
197 (NCEI) between 1992 and 2016, is the largest inter-annual climate variability phenomenon in
198 the Tropical Pacific, which affects the climate of many regions of the Earth (Trenberth et
199 al., 1990; Forootan et al., 2016). El Niño refers to the positive phase of ENSO that brings
200 warm water towards the east of the Americas causing a climate shift over the Pacific. The
201 opposite phase La Niña causes less than normal precipitation variability (Nazemosadat et al.,
202 2000) in the western Pacific, and to the north of Australia. An ocean-atmosphere phenomenon
203 measure that indicates changes in sea surface temperature in the Indian Ocean is IOD (Indian
204 Ocean Dipole). Its data is acquired from NASA's Global Change Master Directory (GCMD). A
205 positive IOD (often associated with El Niño) causes cooler waters (and droughts) near Australia
206 and Southeast Asia and brings warmer than normal water and heavy rains in East Africa
207 and India. A negative IOD, on the other hand, brings the opposite conditions, e.g., larger
208 precipitation in the eastern Indian Ocean, and cooler conditions in the west. These indices
209 are the result of interactions between the oceans and atmosphere on each corresponding area
210 and their impact can be seen directly on rainfall that occurs around the world (Nurutami et
211 al., 2016). Here, the interest is to understand their influences on the Nile River fluctuations,

212 thereby, further indicating the impact of climate variability. A summary of the datasets used
 213 in the present study are presented in Table 1.

Table 1: A summary of the datasets used in this study.

Description	Source	Data resolution		Detail	Data access
		Spatial	Temporal		
Altimetry-derived level height	T/P, Jason-1	~280 km	~9.915	Pass numbers 18, 31, 44, 57, 83, 94, 107, 120, 133, 159, 170, 196, 209, 222, 235	http://podaac.jpl.nasa.gov
	Jason-2	~280 km	~9.915	Pass numbers similar to T/P, Jason-1	http://avisoftp.cnes.fr/
Precipitation	GPCC	1°	Monthly	Global precipitation climatology center (GPCC) reanalysis version 7.0	https://www.esrl.noaa.gov/psd/data/gridded/data.gpcc.html#detail
	TRMM-3B43	0.25°	Monthly	Tropical Rainfall Measuring Mission Project (TRMM) version 7.0	https://disc.gsfc.nasa.gov/datacollection/TRMM_3B43_7.html
Terrestrial water storage (TWS)	GRACE	~300 km	Monthly		https://www.tugraz.at/institute/ifg/downloads/gravity-field-models/itsg-grace2014/
Soil moisture	WGHM	0.5°	Monthly		https://www.uni-frankfurt.de/45218093/Global_Water_Modeling
Soil moisture	ERA-Interim	0.5°	Monthly		https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim
Soil moisture	FLDAS	0.5°	Monthly	Famine Early Warning Systems Network (FEWS NET) Land Data Assimilation System (first soil moisture layer)	https://disc.sci.gsfc.nasa.gov/uu/datasets/FLDAS_VIC025_C_SA_M_V001/summary?keywords=FLDAS
ENSO		~280 km	Monthly		https://www.ncdc.noaa.gov/teleconnections/enso/
IOD		~280 km	Monthly		http://gcmd.nasa.gov/records/GCMD_Indian_Ocean_Dipole.html
Water level measurements		–	–	Ministry of Energy & Mineral Development Kampala, Uganda	http://www.energyandminerals.go.ug/

214 3. Method

215 3.1. Extrema Retracking (ExtR) and the validation of its output

216 In order to retrack satellite radar altimetry data over the NRB, the Extrema Retracking
 217 (ExtR) post-processing technique of [Khaki et al. \(2014\)](#) is employed. It is applied to the
 218 altimetry-derived waveforms to retrack datasets, what is vital for inland applications of satellite
 219 radar altimetry. The algorithm operates in three steps (1) a moving average filter is applied to
 220 reduce the random noise of the waveforms, (2) extremum points of the filtered waveforms are
 221 identified, and (3) the leading edges among all detected extremum points are explored. Range
 222 corrections are applied using the offsets between the positions of the leading edges and their
 223 on-board values. To assess the performance of the ExtR filter, its results are evaluated against
 224 those of in-situ (see Fig. 1) height variations. To this end, use is made of (i) monthly water level

225 measurements from two gauge stations (Jinja 1992-1995 and Entebbe 1992-2009) obtained from
 226 the Ministry of Energy & Mineral Development (Kampala, Uganda), (ii) in-situ data obtained
 227 from [Ismail and Samuel \(2011\)](#). These are; old Aswan 1996-2009, Esna Barrage 1996-2009,
 228 Naga Hammadi Barrage 1996-2007, and Assiut Barrage 1996-2009, and (iii), Nubaria (1997-
 229 2007) in-situ data obtained from [Samuel \(2014\)](#). The Root-Mean-Squared Errors (RMSE) and
 230 the correlation coefficient between the variations of altimetry-derived height time series (with
 231 and without the application of the ExtR) at the closest virtual stations to the gauge locations
 232 and in-situ time series measurements are presented in Table 2.

233 The Results indicate that applying retracking method increases the correlation coefficients
 234 between altimetry results and the gauge levels (0.33 on average) and improves the RMSE by
 235 37.56% (on average). Due to a limited number of validating gauge stations in the area, water
 236 level time series from the Hydroweb project by LEGOS (Laboratoire d’Etude en Geophysique
 237 et Oceanographie Spatiale; [Cretaux et al., 2011](#)) and DAHITI (Database for Hydrological Time
 238 Series of Inland Waters; [Schwatke et al., 2015](#)) were further used. Fig. 2 shows a sample time
 239 series over Lake Victoria within the Upper Nile derived from the ExtR filter compared to the
 240 Hydroweb and DAHITI time series. It can be seen from the figure that the ExtR time series
 241 are close to the retracked time series of DAHITI (i.e., 0.94 average correlation coefficient) and
 242 to a lesser degree to Hydroweb (i.e., 0.92 on average). Overall, the correlation coefficients
 243 from both Hydroweb and DAHITI are high (i.e., > 0.90) and are statistically significant at
 244 95% confidence level thus indicating a good performance of ExtR. More virtual stations are
 245 provided by Hydroweb along the Nile River (see Fig. 1), which are used for comparison with
 246 the ExtR results. The average estimated RMSE and correlation coefficients are presented in
 247 Table 2. The ExtR results are 37.44% (on average at 95% confidence level) more correlated to
 248 Hydroweb data. Based on these in-situ validations, the ExtR algorithm is further justified and
 249 thus employed in this study to retrack satellite radar altimetry data.

250 3.2. Water storage changes

251 Assuming the contribution of ice/snow and vegetation to be negligible over the NRB,
 252 changes in TWS (ΔTWS) can be sufficiently taken to be the summation of changes in surface
 253 water (ΔSr), soil moisture (ΔSm), and groundwater (ΔGr) storages (see, e.g., [Khaki et al.,](#)
 254 [2017c, 2018d](#)) as,

$$\Delta TWS = \Delta Sr + \Delta Sm + \Delta Gr. \quad (1)$$

Table 2: A comparison between satellite altimetry values derived from the ExtR retracking method and those from in-situ water level measurements. The improvements in the RMSE are calculated using the in-situ measurements in comparison to the raw altimetry data.

Stations	Raw altimetry data		ExtR retracking		Improvement (%)
	RMSE (cm)	Correlation coefficient	RMSE (cm)	Correlation coefficient	
(1) Jinja	48.49	0.52	31.45	0.78	35.14
(2) Entebbe	61.14	0.66	36.04	0.95	41.05
(3) Old Aswan	36.77	0.54	22.44	0.88	38.97
(4) Esna	27.27	0.52	15.36	0.91	43.67
(5) Naga Hammadi	38.73	0.61	28.12	0.82	27.40
(6) Assiut	42.62	0.57	25.89	0.93	39.26
(7) Nubaria	25.87	0.62	16.19	0.92	37.44
(8) Hydroweb	56.13	0.59	29.28	0.94	47.83

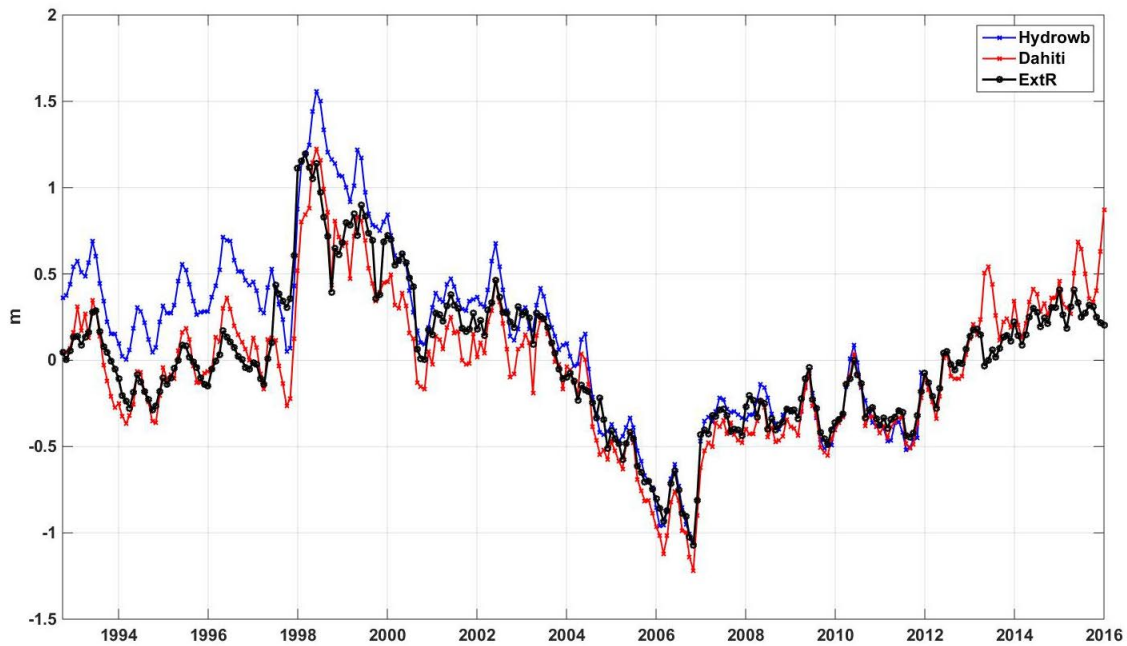


Figure 2: A comparison between monthly height time series (after removing the long-term mean) of Lake Victoria obtained from the ExtR retracking method (black), DAHITI (red), and HYDROWEB (blue). The results of ExtR retracking method are highly correlated with those of HYDROWEB and DAHITI (i.e., > 0.90 ; significant at 95% confidence level). This justifies the usage of the ExtR retracking method to obtain the surface heights of the 62 virtual stations along the NRB.

255 GRACE products provide the total water storage changes ΔTWS while ΔSr are calculated
 256 from water level measurements as discussed in Sect. 3.2.1. The changes in soil moisture ΔSm
 257 are estimated from multi-models' outputs as discussed in Sect. 3.2.2. Using these estimates of
 258 ΔSr and ΔSm in Eq.1, the estimates of groundwater ΔGr within the NRB are derived.

259 *3.2.1. Surface water storage changes*

260 To calculate changes in surface water storage from water level data, the approach pro-
 261 posed in [Frappart et al. \(2008\)](#) is used. The process begins by generating water level maps at
 262 monthly scales using altimetry-derived in-situ and Hydroweb time series across the NRB. These
 263 maps are constructed at $1^\circ \times 1^\circ$ (similar to those of GRACE TWS) using point-wise water level
 264 time series and a bilinear interpolation scheme to estimate water levels at each grid point. Af-
 265 terwards, the surface water volume changes (ΔSr) between two consecutive months i and $i - 1$
 266 within the basin S , corresponding to the difference of surface water level maps, is estimated by
 267 (see, e.g., [Frappart et al., 2008, 2011](#)),

$$\Delta Sr(i, i - 1) = R_e^2 \delta \lambda \delta \theta \sum_{j \in S} P_j \delta h_j(\lambda, \theta, i, i - 1) \sin(\theta_j), \quad (2)$$

268 where $\delta \lambda$ and $\delta \theta$ are the sampling grid steps in longitude (λ) and latitude (θ) directions, respec-
 269 tively. R_e is the mean radius of the Earth ($\sim 6378 \text{ km}$), δh represents the difference of surface
 270 water levels, and $R_e^2 \sin(\theta_j) \delta \lambda \delta \theta$ corresponds to the surface of grid element j . The percentage
 271 of inundation P_j is acquired from Multisatellite Inundation Data Set approach ([Prigent et al.,](#)
 272 [2001, 2007](#)).

273 *3.2.2. Soil moisture changes*

274 In order to achieve more reliable estimates of soil moisture changes over the NRB, data
 275 from three different sources (FLDAS-NOAH, WGHM, and ERA-Interim) are merged using the
 276 Triple Collocation Analysis (TCA; [Awange et al., 2016](#); [Gruber et al., 2017](#)) following [Stoffelen](#)
 277 ([1998](#)). TCA is chosen since in the absence of ground reference data, it offers an alternative
 278 method for estimating random error variances ([Gruber et al., 2017](#)). TCA is applied here to
 279 merge soil moisture outputs;

$$S_1 = \alpha_1 S_t + e_1, \quad (3)$$

$$S_2 = \alpha_2 S_t + e_2, \quad (4)$$

$$S_3 = \alpha_3 S_t + e_3, \quad (5)$$

280 with S_t being the true soil moisture variation, S_1 , S_2 , and S_3 represents three soil moisture
 281 anomalies related to S_t with α_1 , α_2 , and α_3 being the coefficients that correspond to the errors

282 of e_1 , e_2 , and e_3 , respectively. The objective is to estimate error variances associated with e_1 ,
 283 e_2 , and e_3 to be used in the weighting process. On the one hand, TCA solves this by considering
 284 the errors of the products to be independent of each other while on the other hand, it arbitrarily
 285 assumes any of the products as a reference (see [Stoffelen, 1998](#); [Yilmaz et al., 2012](#), for more
 286 details regarding TCA implementation). By selecting any of the products as the reference, no
 287 impact is imposed on the merged time series ([Gruber et al., 2017](#)). Once the error variances
 288 are calculated, they are used in Eq. 6-8 to estimate weights of each merged product through

$$w_1 = \frac{\sigma S_2^2 \sigma S_3^2}{\sigma S_1^2 \sigma S_2^2 + \sigma S_1^2 \sigma S_3^2 + \sigma S_2^2 \sigma S_3^2}, \quad (6)$$

$$w_2 = \frac{\sigma S_1^2 \sigma S_3^2}{\sigma S_1^2 \sigma S_2^2 + \sigma S_1^2 \sigma S_3^2 + \sigma S_2^2 \sigma S_3^2}, \quad (7)$$

$$w_3 = \frac{\sigma S_1^2 \sigma S_2^2}{\sigma S_1^2 \sigma S_2^2 + \sigma S_1^2 \sigma S_3^2 + \sigma S_2^2 \sigma S_3^2}, \quad (8)$$

289 where σS_1^2 , σS_2^2 , and σS_3^2 are error variances of S_1 , S_2 , and S_3 , respectively, with the corre-
 290 sponding weights of w_1 , w_2 , and w_3 . The final merged soil moisture estimate (Sm) is obtained
 291 by,

$$Sm = w_1 S_1 + w_2 S_2 + w_3 S_3. \quad (9)$$

292 A schematic illustration of the applied processing steps in this study, i.e., data integration
 293 procedure, retracking, and water storage estimations, is provided in Fig. 3.

294 4. Results and discussion

295 4.1. River height variations

296 First, a review of the altimetry-derived river level height time series (Fig. 4) is un-
 297 dertaken to understand the river's fluctuations with time. Thus, water level time series is
 298 calculated for each virtual station within the three study regions of Fig. 1. The average of the
 299 stations' level height variations after removing the long-term mean in each region is presented
 300 in Fig. 4. Also, the trend for different parts of time series are plotted (cf. black solid lines in
 301 Fig. 4). As can be seen from Fig. 4, despite some similar behaviours such as positive trends
 302 after 2007 for all regions, the river height fluctuations differ from region to region. Between
 303 1992-2002, water levels rose in the Upper and Central Nile regions, while in contrast, over the
 304 same period of time, water level fell at a rate of 0.01 m/year in the Lower Nile region. Moreover,

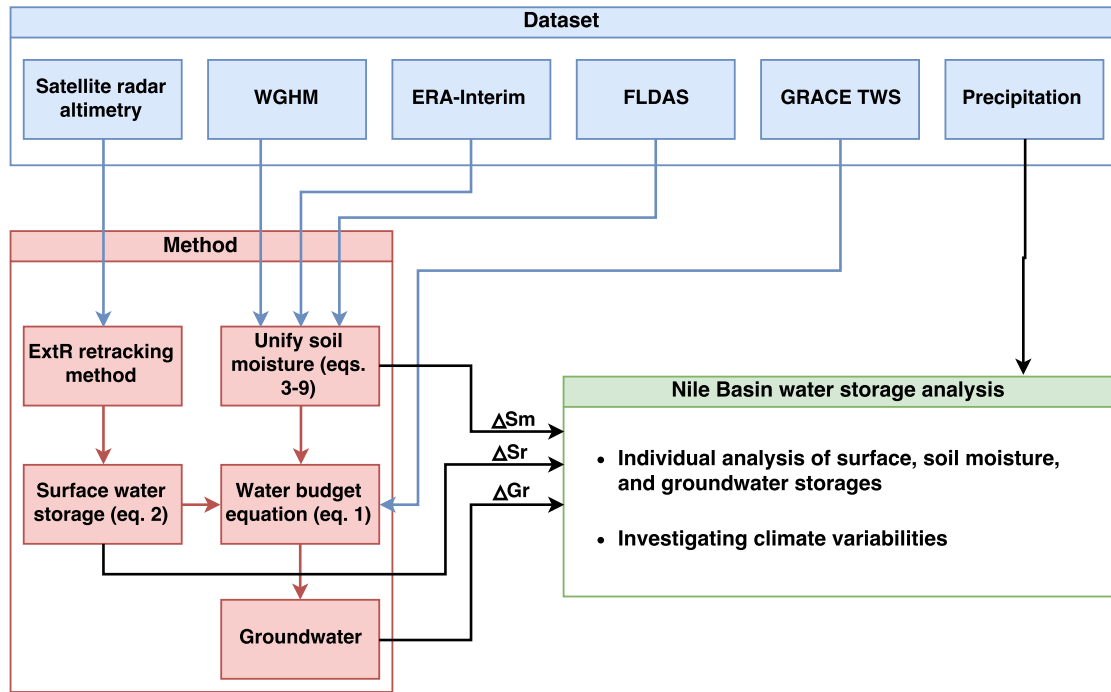


Figure 3: A schematic illustration of the applied methodology. The figure shows how the various stored water compartments; surface water, soil moisture and groundwater of the NRB are generated from multi-satellite and multi-models.

305 unlike the Upper and Central Nile regions, the Lower Nile region experienced water level fall in
 306 2002. The Upper Nile region shows remarkably larger variations possibly due to higher rainfall
 307 in the region.

308 A decrease in the Upper Nile’s water levels between 2002 and 2006 is consistent with the
 309 findings of [Awange et al. \(2008\)](#) and [Swenson et al. \(2009\)](#), where excessive dam construction
 310 (e.g., expansion of the Nalubaale Dam to include Kiira Dam) contributed to the fall. A similar
 311 negative trend is observed in the Lower Nile (0.76 average correlation coefficient between water
 312 levels of the Upper and Lower Nile) and Central Nile (0.68 on average). These correlation coeffi-
 313 cients depicts the effects of Upper Nile’s water management policies (e.g., dam constructions) on
 314 the other regions. The small change in the Central Nile during the period 2002-2006 compared
 315 to the Upper Nile could indicate other factors (e.g., climatical) since the Blue Nile comes from
 316 the Ethiopian highlands and as such was not impacted by the expansion of the dam in Uganda.
 317 This can explain the lower correlation coefficient between water level variations in Upper Nile
 318 and the Central Nile in comparison to the Lower Nile. The rate of fall in 2002-2006 in the lower

319 Nile is higher than the upper and Central Nile as a result of the possible combined effects of
 320 anthropogenic (Upper Nile; for example irrigation, see, e.g., [Sultan et al., 2013](#); [Awange et al.,](#)
 321 [2014b](#)) and climatic (Central Nile) origins.

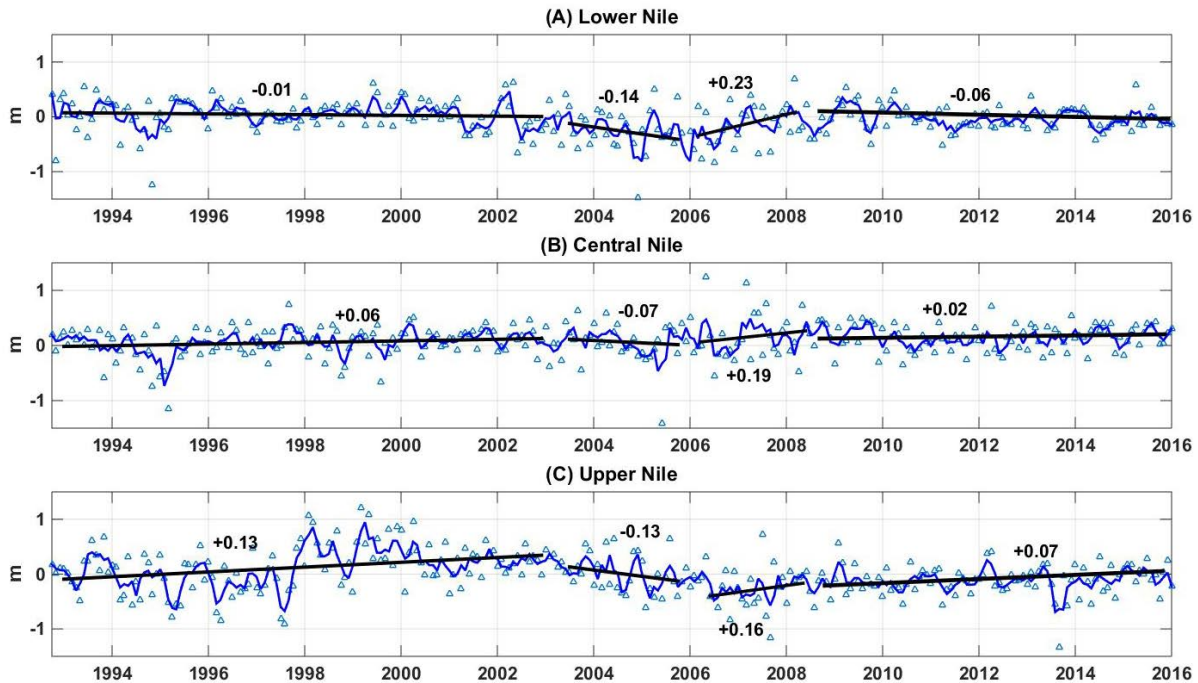


Figure 4: Monthly river level height variations (blue triangles) and their 60-day smoothed (for a better presentation) time series (blue lines) for each region. Variation rate (m/year) are reported above the fitted lines (black lines). Note that long-term average height levels are removed from each time series.

322 After 2006, water levels rose in all the three regions until 2008 at different rates (i.e., 0.16
 323 m/year for Upper Nile region, 0.19 m/year for Central Nile and 0.23 m/year for the Lower Nile
 324 region). The main reasons for this are positive precipitation rates (cf. Fig. 5), and the fact that
 325 the excessive exploitation of the White Nile for hydroelectric purposes by Uganda reduced (see,
 326 e.g., [Awange et al., 2008](#); [Swenson et al., 2009](#)). The differences between water level rise rates
 327 over the three regions could be attributed to climatic impacts. For example, the ENSO rainfall
 328 of 2007 ([Omondi et al., 2013](#)) brought heavy rainfall to the East African region and caused
 329 water level increase in the Nile region. In addition, La Niña impacts, which caused drought in
 330 Ethiopia led to a smaller water level increase in the Central Nile compared to the Lower Nile,
 331 where the Blue Nile contribution resulted in a larger increase. Same positive trends can also be
 332 seen after 2008 over Upper and Central Niles. The positive trend is smaller over Central Nile

333 possibly due to the fact that the White Nile’s waters are lost in the Sudd-wetland ([Awange](#)
334 [et al., 2014a](#)), hence, the diminishing effect of the increase can be seen from the Upper Nile
335 to the Central Nile. Lower Nile, on the other hand, depicts a negative water level variation
336 rate for the same period. The Lower Nile reflects already reduced water level variation rate of
337 the Central Nile plus withdrawal effects for irrigation purposes (cf. [Fig. 1](#) for irrigated areas,
338 see also [Sultan et al., 2013](#); [Awange et al., 2014b](#)). This could also explain larger negative
339 trends between 2002 and 2006, where water use aggravates the excessive dam construction in
340 Upper and Central Niles (see, e.g., [Fig. 1](#)). Moreover, as it will be shown in [Fig. 5](#), negative
341 precipitation rates for the periods of 1992–2002 and 2002–2006 result in surface water decline
342 over the same periods in Lower Nile.

343 *4.2. Water storages: surface, soil moisture and groundwater*

344 Following [Frappart et al. \(2008\)](#), surface water storage is derived from water level fluctu-
345 ations over the NRB. The average surface storage time series for the Upper, Central, and Lower
346 Nile regions are shown in [Fig. 5](#). The average time series of precipitation and GRACE TWS
347 are also shown in the figure. It can be seen from the figure that the time series generally follow
348 water level variation patterns of [Fig. 4](#). The Upper Nile’s time series depicts larger variations
349 with various trends (see also the Lower Nile with a negative trend) unlike the Central Nile.
350 Similar to the water level variation time series in [Fig. 4](#), various smaller (short-term) trends
351 can be seen, particularly for the Upper and Lower Nile regions. The negative trend in surface
352 water seen before 2002 in the Lower Nile does not exist in the Upper or Central Nile regions
353 possibly due to extensive usage in activities such as irrigations (see, e.g., [Sultan et al., 2013](#)).

354 Overall, the largest fluctuations are observed in the Upper Nile mainly due to high precipi-
355 tation. TWS changes naturally follow the precipitation and hence a similar pattern can also be
356 seen. This is followed by the Central Nile, which shows larger variations in both precipitation
357 and TWS time series compared to the Lower Nile (a region with the least precipitation). As
358 with water level variations (cf. [Fig. 4](#)), negative surface water storage trends exist in all the
359 three regions (see [Fig. 5](#)) between 2002 and 2006 due to similar reasons discussed in [Sect. 4.1](#).
360 This, however, is followed by positive trends in all the regions thanks to the two strong rainfall
361 anomalies in 2006–2007 and 2010–2011 ([Awange et al., 2014b](#)). These trends are also evident
362 in TWS variations over the Central and Upper Nile. Nevertheless, it can be seen that the TWS
363 variation over the Lower Nile is generally negative, which can be attributed to larger water

364 usages in the region as discussed in Sect. 4.1. Low precipitation during this period can also be
 365 responsible for some parts of this TWS negative changes.

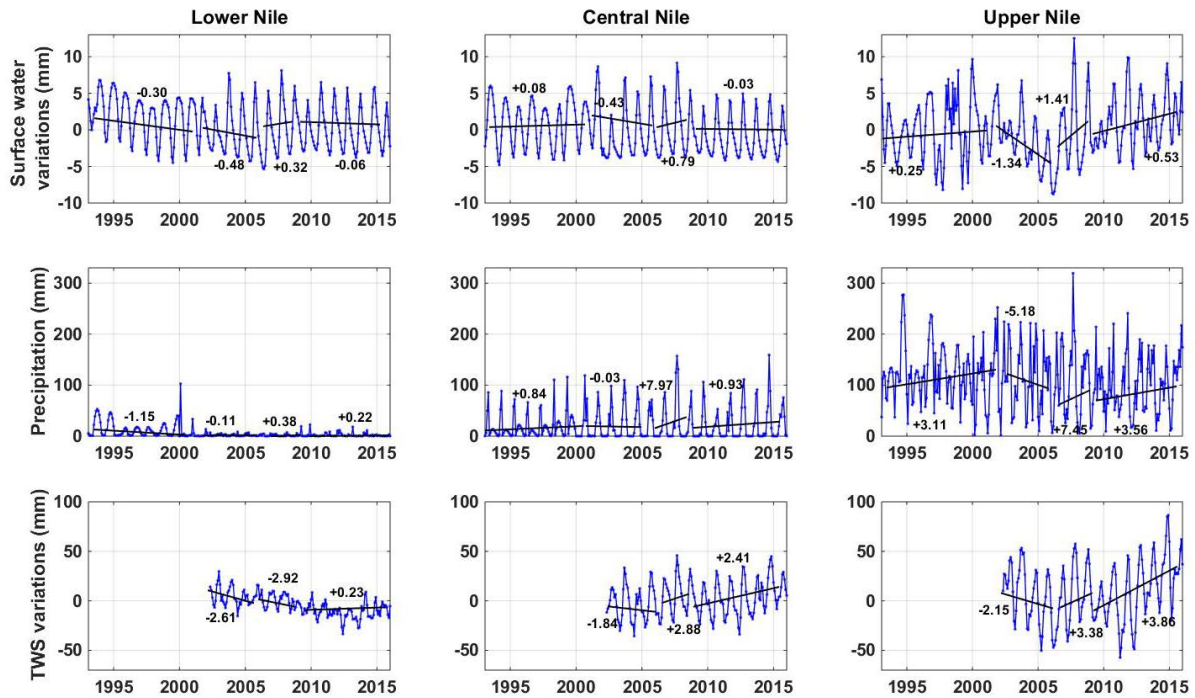


Figure 5: Average monthly surface water storage, precipitation, and TWS variations (after removing the long-term mean) over the Lower, Central, and Upper Nile regions. Variation rate (mm/year) are reported above the fitted lines (black lines). The temporal coverage of TWS is limited to 2002 to 2016 subject to the availability of GRACE data.

366 The impacts of precipitation on TWS variations and also surface storage changes can be
 367 seen in Fig. 5. This is evident from strong rise and fall in both surface water storage and
 368 TWS variations' time series followed by rainfall pattern. For example, 1997–1998 El Niño
 369 event (Lyon and Mason, 2007) contributed to more seasonal rainfall over Upper Nile, and
 370 correspondingly surface storage increase as indicated in Fig. 5. This, along with positive IOD
 371 event, led to highly unstable air and catastrophic floods over Equatorial East Africa (Goddard
 372 and Graham, 1999), which also caused water level increase of Equatorial Lakes (see also Birkett
 373 et al., 1999; Mistry and Conway, 2003). Strong precipitation in 2000 largely affected surface
 374 storage, especially over Upper and Central Niles. McSweeney et al. (2010) revealed the annual
 375 precipitation increases during the 1990s and 2000s, as well as a drought period of 2002–2003.
 376 The impact of this drought can clearly be seen in TWS and surface water variations (cf. Fig.
 377 5). The 2007 ENSO rainfall (Omondi et al., 2013) has the same impact on both surface water

378 and TWS time series. The 2011 drought over the basin ([AMCEN et al., 2011](#); [Awange et al.,](#)
379 [2014b](#)) is found to remarkably impacts on TWS and surface water storage. Drought conditions
380 in Central and Lower Niles during 2015 can be attributed to an intense El Niño year (suggested
381 by [Massachusetts Institute of Technology, 2017](#)).

382 The results of TCA are presented in Fig. 6, which shows the average estimated soil moisture
383 variation from FLDAS-NOAH, WGHM, and ERA-Interim over the Lower, Upper, and Central
384 Nile regions. Furthermore, using the surface water storage, soil moisture, and TWS changes,
385 groundwater changes calculated based on Eq. 1 are also presented in Fig. 6. Following the
386 patterns of precipitation and TWS time series in Fig. 5, smaller soil moisture and groundwater
387 variations exist over the Lower Nile and to a lesser degree over the Central Nile compared
388 to the Upper Nile while no considerable trend is observed in soil moisture variations. It is
389 worth mentioning that seasonal variabilities for Lower Nile time series have considerably lower
390 magnitudes in comparison to other regions. This small variability, which has also recently been
391 shown by [Ahmed and Abdelmohsen \(2018\)](#) and [Bonsor et al. \(2018\)](#) can largely be explained by
392 lower precipitation rate (cf. Fig. 5) over Lower Nile. Groundwater changes exhibit short-term
393 and long-term trends over different regions. Negative trends can be seen between 2002 and
394 2006 generally over the Upper and Central Nile, followed by remarkable increases, likely due to
395 the same reasons explained earlier (see also [Awange et al., 2008](#)). More importantly, a negative
396 groundwater trend is dominant over the Lower Nile. This trend exists over the entire study
397 period regardless of precipitation trends, which shows considerable groundwater depletion over
398 the region. The rate of this decline, however, is found to be larger after 2008. As explained,
399 reducing water controls in the Upper Nile after 2006 and the impact of the 2007 ENSO likely
400 caused groundwater increase over the Central and Upper Nile and smaller negative trend over
401 the Lower Nile. Nevertheless, this effect is found to be degraded by 2008 resulting in negative
402 trends (with a higher rate for the Lower Nile) in all groundwater time series between 2008 and
403 2012. Increasing amount of precipitation after 2012 caused groundwater to rise in both Upper
404 and Central Niles. This high rainfall has the same impact on soil moisture variation between
405 2012 and 2016.

406 For each water storage compartment (surface water storage, groundwater, and soil moisture
407 variations), the time series are averaged over each grid point for the period of 2002 to 2016 to
408 generate the spatial pattern maps displayed in Fig. 7. It can clearly be seen that the Lower

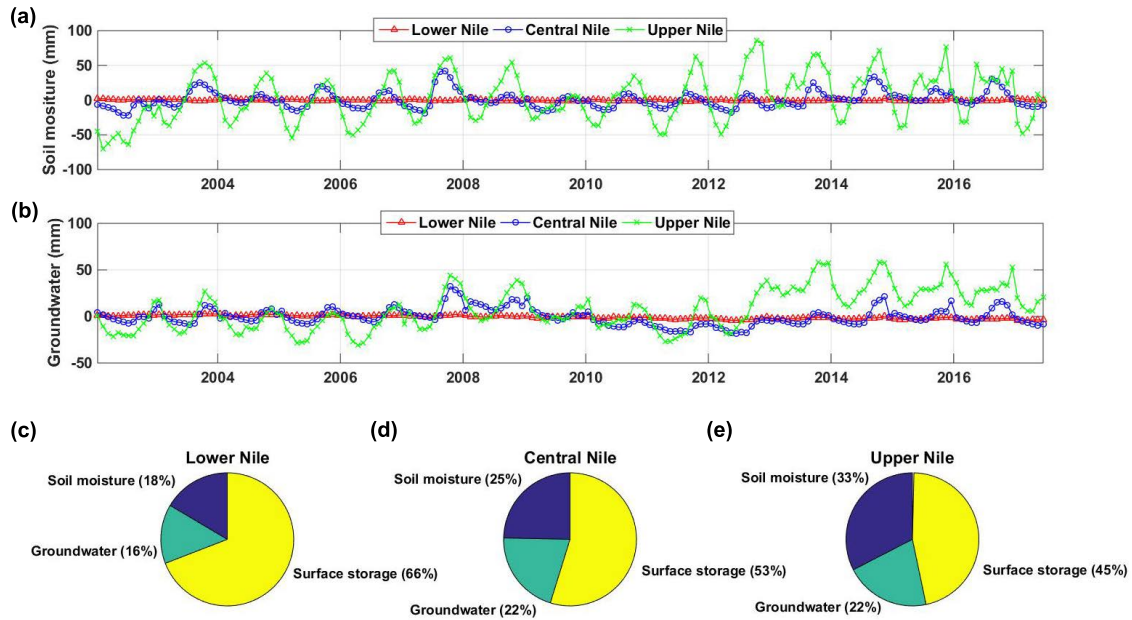


Figure 6: Average monthly soil moisture (a) and groundwater (b) variations (after removing the long-term mean) over the Lower, Central, and Upper Nile. The contributions of each water compartment in TWS (cf. Eq.1) are presented in (c) Lower Nile, (d) Central Nile, and (e) Upper Nile.

409 Nile depicts negative variations in the surface storage and groundwater. The Central Nile on
 410 the other hand does not show considerable change in most of the cases. Larger variations in
 411 terms of amplitude are found in the Upper Nile, thus confirming the previous findings. Besides
 412 negative groundwater changes in Egypt indicating huge usage (cf. Sultan et al., 2013; Awange
 413 et al., 2014b), Sudan and South Sudan also show considerable decline. In terms of surface water
 414 storage, the Upper Nile generally depicts positive variations.

415 To better compare the water storage changes within the various compartments, Fig. 8
 416 show surface storage and groundwater trends neglecting those of soil moisture that indicated
 417 no considerable change in Fig. 6. Negative trends are observed for both surface water and
 418 groundwater storages over the Lower Nile, with the latter being more prominent thus corroborating
 419 the conclusions of Sultan et al. (2013) and Awange et al. (2014b). No considerable
 420 surface storage trend is found for the Central Nile while the Upper Nile depicts positive values.
 421 Negative trends can also be seen in some parts of the Central Nile (e.g., in some parts of Sudan
 422 and Ethiopia) and in the Lower Nile (mostly in Egypt). In contrast, most parts of the Upper
 423 Nile shows positive groundwater trends.

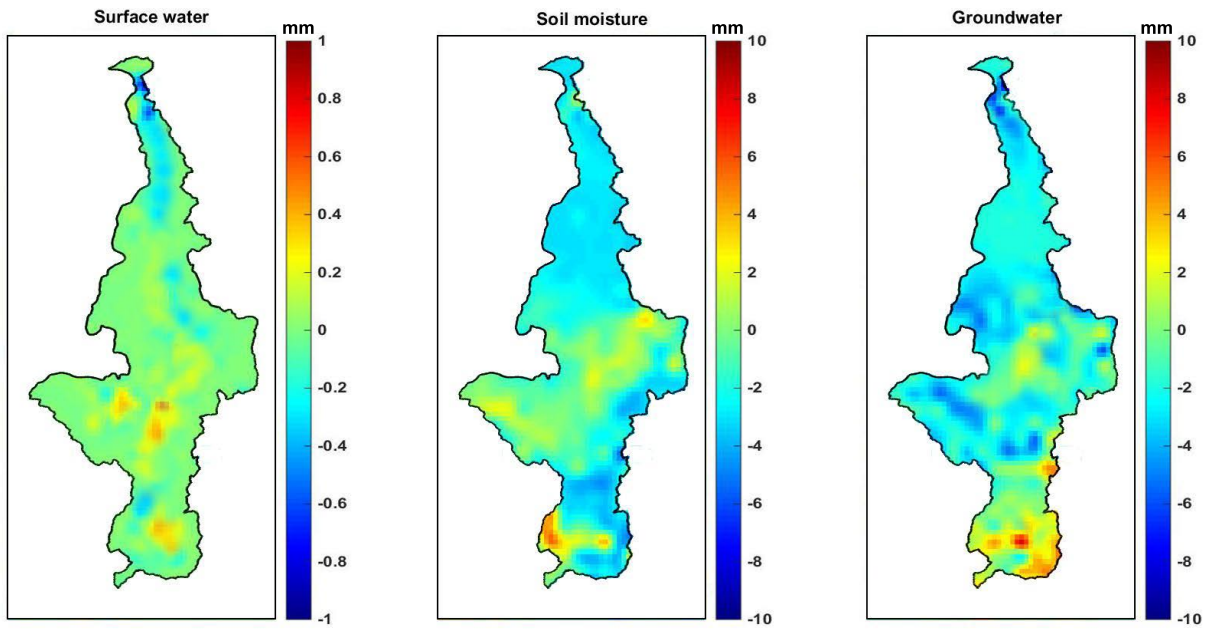


Figure 7: Temporally averaged surface water storage, soil moisture, and groundwater over the Nile Basin for the period 2002-2016.

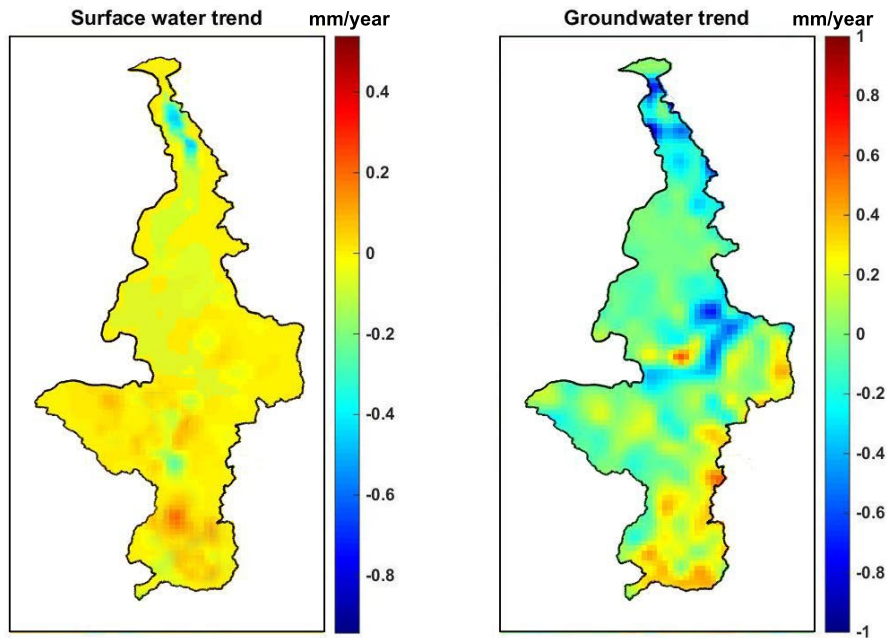


Figure 8: Surface water storage and groundwater trend over the Nile Basin for the period of 2002 to 2016. Trends of soil moisture that indicated no considerable change in Fig. 6 are neglected here.

Table 3: Correlation coefficients (at 0.05 significant level) between the river level heights, precipitation, and TWS time series for each region and climate variabilities of ENSO and IOD. Note that cross-correlation is applied to account for lag differences between the time series.

Climate index	Variable	Lower Nile	Central Nile	Upper Nile
ENSO	Water level	0.47	0.52	0.58
	Precipitation	0.61	0.68	0.72
	TWS	0.58	0.64	0.69
IOD	Water Level	0.41	0.44	0.45
	Precipitation	0.52	0.54	0.59
	TWS	0.43	0.48	0.55

424 4.3. Global teleconnections

425 In order to further understand the interactions of precipitation, river level heights,
 426 and TWS with climate variabilities, their correlation coefficients with ENSO and IOD climate
 427 variability indices are calculated for each region and presented in Table 3. Those of IOD are
 428 found to be generally lower than ENSO's. Table 3 show the highest correlation coefficient
 429 to be between ENSO and precipitation especially for the Upper Nile. For the correlations
 430 between ENSO and TWS, the highest value is also achieved in the Upper Nile probably due
 431 to the strong connection between precipitation and TWS over the region (see e.g., [Awange et](#)
 432 [al., 2014a](#)). For all variables (water level, precipitation, and TWS), the smallest correlation
 433 coefficients are achieved in the Lower Nile, a factor which can be attributed to very limited
 434 precipitation on the one hand, and high human impacts on water storages on the other hand
 435 (e.g., [Sultan et al., 2013](#)). Comparing ENSO's correlation coefficients between water levels and
 436 TWS, larger values are obtained with TWS. This can be explained by the fact that contrary to
 437 the water level fluctuations, non-climatic impacts on TWS are smaller. Considering the entire
 438 NRB, a higher correlation coefficient between the river level heights and climate indexes are
 439 found in the Central Nile (0.52 for ENSO). The addition of the Blue Nile in this area could
 440 possibly explain this higher correlation coefficient in comparison to the other regions.

441 5. Conclusion

442 This study analyzed the Nile River water level fluctuations and total water storage
 443 (TWS) compartments (surface water, soil moisture, and groundwater storage) using multi-
 444 mission satellite products, as well as land surface models. The association between these vari-
 445 ables and climate variabilities are also investigated using precipitation and ENSO time series.

446 This is crucial for water management policies of the Nile Basin Authority that manages the
447 water resources on behalf of the eleven countries whose livelihood is highly dependent on the
448 Nile for various aspects, e.g., water supply, agriculture, and industry. Importantly, we showed
449 that water usages over the Upper Nile can largely impact the Nile's water variations in Central
450 Nile and particularly Lower Nile, as seen e.g., between 2002–2006 ([Awange et al., 2008](#)). Ex-
451 cessive dam and barrage constructions, e.g., by Uganda, Rwanda, Kenya, and Ethiopia should
452 be controlled due to their immediate influence on water availability on other parts, e.g., Egypt,
453 which relies on the Nile. It was also found that climatic impacts can also be very important
454 over the entire basin, such as frequent droughts over Central and Lower Nile, and flood events
455 over the Upper Nile. The following summarizes the major outcomes of the study.

- 456 • A considerable long-term (2002-2016) negative groundwater trend is found in the Lower
457 Nile (Egypt) signifying a potential depletion. The rate of decline is seen to increase
458 rapidly from 2008 despite increase in precipitation and TWS time series, thus signifying
459 the possibility of human usage, e.g., for irrigation purposes. Smaller soil moisture and
460 groundwater variations exist over the Lower Nile and to a lesser degree over the Central
461 Nile compared to the Upper Nile. While no considerable trend is observed for soil moisture
462 variations, groundwater changes exhibit short-term and long-term trends over different
463 regions. Negative trends are found between 2002 and 2006 over the Upper and Central
464 Nile.
- 465 • The Upper Nile, the headwaters of the White Nile, depicts large water level variations
466 compared to the Central (region covering the Blue Nile) and the Lower Nile (Egypt and
467 Sudan). In general, a negative trend is found for water level variation in the Lower Nile
468 (with the highest for the period 2002 – 2006) in contrast to the Central and Upper Nile.
- 469 • Stronger correlation between the river level variations and precipitation exist in the Cen-
470 tral Nile compared to the Upper and Lower Nile regions over the study period. The
471 contribution of the Blue Nile (originating from the Ethiopian highlands) appears to cush-
472 ion the Central Nile.
- 473 • Larger precipitation and TWS variations exist in the Upper Nile and to a lesser degree
474 over the Central Nile, which can explain larger water storage fluctuation in these regions
475 compared to the Lower Nile. Contrary to the Upper and Central Niles, negative trends

476 are found for TWS variations over the Lower Nile.

- 477 • In addition to the trends, several strong impacts of precipitation, e.g., the 2007 ENSO
478 rains, are also observed leading to strong rise and fall in both surface water storage and
479 TWS variations time series.
- 480 • Large correlation coefficient between the precipitation and ENSO is found with an average
481 of 0.67 indicating that precipitation and correspondingly surface water in the Nile Basin
482 follows the global climate variability. ENSO had the highest correlation coefficients with
483 the three variables over the Central and Upper Nile in comparison to IOD.

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