

Improved Remotely Sensed Satellite Products for Studying Lake Victoria's Water Storage Changes

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Abstract

1 Lake Victoria (LV), the world's second largest freshwater lake, supports a livelihood of more
2 than 42 million people and modulates the regional climate. Studying its changes resulting from
3 impacts of climate variation/change and anthropogenic is, therefore, vital for its sustainable
4 use. Owing to its sheer size, however, it is a daunting task to undertake such study relying
5 solely on in-situ measurements, which are sparse, either missing, inconsistent or restricted by
6 governmental red tapes. Remotely sensed products provide a valuable alternative but come
7 with a penalty of being mostly incoherent with each other as they originate from different
8 sources, have different underlying assumptions and models. This study pioneers a procedure
9 that uses a Simple Weighting approach to merge LV's multi-mission satellite precipitation and
10 evaporation data from various sources and then improves them through a Postprocessing Fil-
11 tering (PF) scheme to provide coherent datasets of precipitation (**p**), evaporation (**e**), water
12 storage changes ($\Delta\mathbf{s}$), and discharge (**q**) that accounts for its water budget closure. Princi-
13 pal component analysis (PCA) is then applied to the merged-improved products to analyze
14 LV's spatio-temporal changes resulting from impacts of climate variation/change. Compared
15 to the original unmerged data (0.62 and 0.37 average correlation for two samples), the merged-
16 improved products are largely in agreement (0.91 average correlation). Furthermore, smaller
17 imbalances between the merged-improved products are obtained with precipitation (37%) and
18 water storage changes (35%) being the largest contributors to LV's water budget. This data
19 improvement scheme could be applicable to any inland lake of a size similar to LV.

Keywords: Remote sensing, Lake Victoria, Water balance, Water storage variation,
Hydroclimate

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20 1. Introduction

21 Lake Victoria, spanning an area of $68,800 \text{ km}^2$ with a basin size of more than $252,000 \text{ km}^2$
22 (e.g., [Onganga and Awange, 2005](#)), is the largest lake in the developing world, and the world’s
23 second largest freshwater lake after Lake Superior in the US. The lake, which is shared by
24 Kenya, Tanzania, and Uganda directly supports the livelihood of more than 42 million people,
25 with the population projected to triple by 2050, (see e.g., [Okungu et al., 2005](#); [Bremner et al.,](#)
26 [2013](#)). Furthermore, being the source of the White Nile, i.e., one of the main streams of the
27 Nile river, the lake supports the livelihood of Egypt, Sudan and South Sudan (e.g., [Awange](#)
28 [et al., 2014](#)). Moreover, it is known to modulate the regional climate (e.g., [Nicholson et al.,](#)
29 [2003](#); [Awange et al., 2013a](#)). Any significant change in the lake water storage, triggered e.g.,
30 by climatic impacts (e.g., [Yin and Nicholson, 1998](#); [Conway, 2002](#); [Omondi et al., 2014](#)) or
31 anthropogenic factors such as dam expansion (e.g., [Consulate, 2012](#); [Aman, 2014](#)), therefore,
32 is likely to affect millions of people who directly depend on it for livelihood plus others the
33 world over who indirectly depend on it. Therefore, it is essential to continuously monitor its
34 behaviour in terms of water storage changes and effective climate parameters as undertaken,
35 e.g., by [Awange et al. \(2008\)](#) and [Swenson and Wahr \(2009\)](#) among others.

36 Its monitoring has often taken on various forms, e.g., use of ground-based in-situ mea-
37 surements (e.g., [Njuru, 2002](#); [Mugidde et al., 2003](#)), land surface models (LSM; e.g., [Khan et](#)
38 [al., 2011](#); [Chamberlain et al., 2014](#)), and satellite remote sensing products (see, e.g., [Piper et](#)
39 [al., 1986](#); [Woodward and Warren, 2007](#); [Song et al., 2015](#); [Crataux et al., 2016](#); [Sichangi and](#)
40 [Makokha, 2017](#); [Anyah et al., 2018](#)). Due to its wide area and limited number of in-situ stations
41 (e.g., rain and water level gauges), monitoring of the lake solely based on ground-based mea-
42 surements, i.e., “boots on the ground”, becomes practically impossible. Moreover, there is no
43 reliable regional land hydrological model in the area for undertaking such monitoring. Satellite
44 remote sensing, on the other hand, due to their vast coverage, high spatio-temporal resolutions,
45 and easier access provide better tools for analyzing the hydroclimate variations within Lake
46 Victoria Basin (LVB).

47 A number of literature have studied Lake Victoria using various satellite remotely sensed
48 products, e.g., satellite radar altimetry to observe the lake’s water level variations (e.g., [Awange](#)
49 [et al., 2013b](#); [Uebbing et al., 2015](#); [Sichangi and Makokha, 2017](#)) and their importance for flood
50 monitoring ([Birkett et al., 1999](#)), the Gravity Recovery and Climate Experiment (GRACE)
51 for studying the lake’s total water storage (TWS) changes (e.g., [Awange et al., 2008, 2014](#);

52 Hassan and Jin, 2004), satellite precipitation data for studying the lake’s rainfall (e.g., Kizza
53 et al., 2009; Awange et al., 2013b), a combination of both ground-based and remotely sensed
54 observations for studying the lake’s water balance (e.g., Yin and Nicholson, 1998; Swenson and
55 Wahr, 2009). Despite this plethora of studies, a precise study of the hydrological processes
56 of Lake Victoria using merged and improved coherent datasets from multiple sources, what
57 would also benefit other inland lake waters the world over, is still missing. For example,
58 although Khaki et al. (2018a) used a multi-mission satellite data to study various water storage
59 including surface and sub-surface water components over the Nile basin, their study does not
60 account for the discrepancy between different datasets from various sources. It is also important
61 to further study the water storage changes within the water balance equation to analyze the
62 interrelationship between the different water components.

63 Due to the fast emerging satellite platforms, especially in the last two decades, there are
64 different data sources for various data types, making the extraction of the most reliable datasets
65 from the available products, e.g., a merged and improved rainfall data from various precipitation
66 sources, a necessity for providing improved datasets. Moreover, the balance between different
67 data types (i.e., precipitation, evaporation, water storage changes, and water discharge) that is
68 normally addressed using the water balance equation stands to benefit from using such merged
69 and improved datasets. Traditionally, hydrological model and data assimilation are used to
70 establish the balance between different components (e.g., Pan and Wood, 2006; Sahoo et al.,
71 2011; Pan et al., 2012; Khaki et al., 2017a, 2018b). Here, however, in the absence of an accurate
72 model over the LVB, use is made of a data combination strategy to obtain a coherent data set
73 of four water cycle components, i.e., precipitation (\mathbf{p}), evaporation (\mathbf{e}), water storage changes
74 ($\Delta\mathbf{s}$), and discharge (\mathbf{q}). This could enable one to accurately analyze the lake’s hydrology
75 and the associated climatic variation/change impacts. The main objectives of the present
76 contribution, therefore, are (i) generate improved coherent water cycle components (\mathbf{p} , \mathbf{e} , $\Delta\mathbf{s}$
77 and \mathbf{q}) from different sources over lake Victoria, (ii) explore the changes in the lake’s water
78 storage and its water level using these improved coherent datasets, and (iii) investigate climatic
79 impacts on the lake’s water storage changes based on the improved datasets in (i). To achieve
80 these goals, this study aims at using a proposed two-step filtering step by Aires (2014). The
81 filter applies a Simple Weighted (SW) approach to merge remotely sensed products over Lake
82 Victoria from multi-mission satellites and filter them employing a Postprocessing Filter (PF)
83 to generate improved coherent products of precipitation (\mathbf{p}), evaporation (\mathbf{e}), water storage

84 changes ($\Delta\mathbf{s}$), and discharge (\mathbf{q}) by accounting for balance between them. These improved
85 products are useful in not only analysing changes of the lake as a consequence of climate and
86 anthropogenic impacts but also in correcting for the imbalance in the components of the water
87 balance model ($\Delta\mathbf{s} = \mathbf{p} - \mathbf{e} - \mathbf{q}$). This procedure for improving remotely sensed data could
88 potentially be applied to any inland lake of basin scale around the world.

89 Multi-mission satellite and ground-based products used include three precipitation prod-
90 ucts of Tropical Rainfall Measuring Mission Project (TRMM; [TRMM, 2011](#)), Global Precip-
91 itation Climatology Centre (GPCC; [Schneider et al., 2008](#)), and Climate Prediction Center
92 (CPC) unified gauge dataset ([Chen et al., 2002](#)). For evaporation, three data products of
93 MODIS (Moderate Resolution Imaging Spectroradiometer) Global Evapotranspiration Project
94 (MOD16; [Mu et al., 2007](#)), Global Land Evaporation Amsterdam Model (GLEAM; [Miralles et](#)
95 [al., 2011](#)), and ERA-interim ([Simmons et al., 2007](#)) are employed. Water storage changes from
96 GRACE and water discharge time series from two ground stations (Jinja and Entebbe) are also
97 used. In addition, satellite altimetry data from TOPEX/Poseidon (T/P) and its follow-on mis-
98 sions Jason-1 and -2, as well as ENVISAT (Environmental Satellite) are applied for the analysis
99 of surface water variations. The altimetry data are used to build virtual stations covering the
100 period from 1992 to 2016 over Lake Victoria (Figure 1). Lake level variations at virtual sta-
101 tions, and associated precipitation and TWS time series are used to analyze the Lake Victoria
102 behaviors during the study period. To improve on the satellite altimetry range estimations,
103 which are erroneous when used over an inland body of waters and rivers ([Birkett et al., 1999](#);
104 [Calmant et al., 2008](#); [Khaki et al., 2015](#)), the Extrema Retracking (ExtR) algorithm of [Khaki](#)
105 [et al. \(2014\)](#) is employed to retrack satellite waveform data. Furthermore, principal component
106 analysis (PCA [Lorenz, 1956](#); [Preisendorfer, 1988](#)) is used to better investigate spatio-temporal
107 variations of Lake Victoria water storage and its relationship to climatic impacts.

108 The remainder of the study is organised as follows. In Section 2 datasets are presented while
109 Section 3 provides the method. The results and discussion are presented in Section 4, and the
110 study concluded in Section 5

111 **2. Data set**

112 *2.1. GRACE*

113 Monthly GRACE level 2 (L2) potential coefficients products up to degree and order
114 (d/o) 90 are obtained for the period 2002-2016 from the ITSG-Grace2014 gravity field model

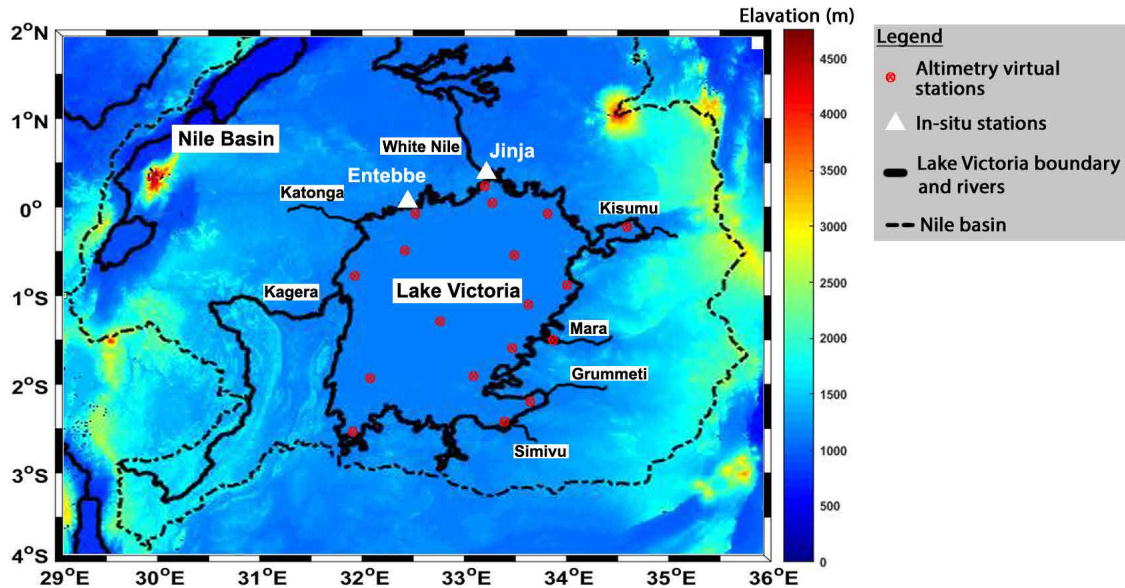


Figure 1: Location of virtual altimetry stations and the two water level gauge stations in Uganda.

115 (Mayer-Gürr et al., 2014) to estimate TWS changes (see also Khaki et al., 2017b,c). Lower
 116 spherical harmonic degrees components are replaced with more accurate estimates of Swen-
 117 son et al. (2008) (degree 1 coefficients) and Cheng and Tapley (2004) (Degree 2 and order
 118 0 coefficients). The L2 gravity fields are then converted into $1^\circ \times 1^\circ$ TWS fields, see (Wahr
 119 et al., 1998). Colored/correlated noises in the products are reduced using the Kernel Fourier
 120 Integration (KeFIn) filter proposed by Khaki et al. (2018c), which also accounts for signal at-
 121 tenuations and leakage effects caused by smoothing. The KeFIn filter works through a two-step
 122 post-processing algorithm. The first step mitigates the measurement noise and the aliasing of
 123 unmodelled high-frequency mass variations, while the second step contains an efficient kernel
 124 to decrease the leakage errors. Details of this filter can be found in Khaki et al. (2018c).

125 2.2. Precipitation, Evaporation, and Discharge

126 Precipitation datasets from the Tropical Rainfall Measuring Mission Project (TRMM;-
 127 3B43 version 7) products (TRMM, 2011), Global Precipitation Climatology Centre (GPCC;
 128 Schneider et al., 2008), and CPC unified gauge dataset (Chen et al., 2002) covering the period
 129 from 1998 to 2016 at monthly $1^\circ \times 1^\circ$ spatial resolution are employed.

130 Evaporation datasets are acquired from MODIS Global Evapotranspiration Project (MOD16;

131 [Mu et al., 2007](#)), Global Land Evaporation Amsterdam Model (GLEAM; [Miralles et al., 2011](#)),
132 and ERA-interim ([Simmons et al., 2007](#)) for the same temporal period. These evaporation
133 products are then converted to monthly $1^\circ \times 1^\circ$ spatial resolution similar to those of precipita-
134 tion.

135 Water discharge time series are obtained from (i) the Jinja and Entebbe stations in
136 Uganda, and (ii), from different sources including the Global Runoff Data Centre (GRDC;
137 <http://www.bafg.de/>), a report produced by Power Planning Associates (PPA, 2007), and River
138 Watch project (<http://floodobservatory.colorado.edu/>). Similar to precipitation and evapora-
139 tion datasets, all these discharge products are resampled to monthly average height variations.
140 Figure 1 shows the locations of discharge stations.

141 *2.3. Satellite Radar Altimetry*

142 TOPEX/Poseidon (T/P), Jason-1, and Jason-2 data (~ 9.915 day temporal resolution)
143 of the Sensor Geographic Data Records (SGDR), which contains 20-Hz waveform data as well as
144 ENVISAT 18-Hz SGDR product (35-day temporal resolution) from RA-2/MWR are used. This
145 includes 360 cycles of T/P covering 1992–2002, 260 cycles of Jason-1 from 2002 to 2008, 277
146 cycles of Jason-2 covering 2008 to 2016, and 112 cycles of ENVISAT. T/P and Jason-1 data are
147 both derived from the Physical Oceanography Distributed Active Archive Center (PO.DAAC),
148 Jason-2 data is provided by AVISO, and ENVISAT data is obtained from European Space
149 Agency (ESA). Before using these datasets geophysical corrections that include solid earth tide,
150 pole tide, and dry tropospheric ([Birkett, 1995](#)) are applied. The data sets are then converted
151 to a monthly scale and used to build virtual time series over different points (see Figure 1)
152 located on the satellite ground tracks over Lake Victoria. At each virtual point, several points
153 belonging to the same satellite cycle are considered, and the median value of the retracked
154 altimetry-based water levels computed to address the hooking effects ([Frappart et al., 2006](#)).
155 This effect is derived from off-nadir measurements when a satellite locks over a water body
156 before or after passing above it ([Seyler et al., 2008](#); [Boergens et al., 2016](#)). A summary of the
157 datasets used in the present study is presented in Table 1.

Table 1: A summary of the datasets used in this study.

Product	Platform	Reference	Source
Terrestrial water storage (TWS)	GRACE	Mayer-Gürr et al. (2014)	Satellite remote sensing
Precipitation	TRMM-3B43	TRMM (2011)	Satellite remote sensing
Precipitation	GPCC	Schneider et al. (2008)	Based on in-situ data
Precipitation	CPC	Chen et al. (2002)	Gauge-based
Evapotranspiration	MOD16	Mu et al. (2007)	Satellite remote sensing
Evapotranspiration	GLEAM	Miralles et al. (2011)	Model and satellite remote sensing
Evapotranspiration	ERA-interim	Simmons et al. (2007)	Reanalysis dataset
Altimetry water height	T/P, Jason-1	http://podaac.jpl.nasa.gov	Satellite remote sensing
Altimetry water height	Jason-2	http://avisoftp.cnes.fr/	Satellite remote sensing
Water discharge	Jinja and Entebbe stations	Ministry of Energy & Mineral Development Kampala (Uganda)	In-situ
Water discharge	GRDC	http://www.bafg.de/GRDC/EN/Home/homepage_node.html	In-situ
Water discharge	PPA	Power Planning Associates (PPA, 2007)	In-situ
Water discharge	River Watch	http://floodobservatory.colorado.edu/	In-situ

158 3. Method

159 3.1. Data integration

160 A two-step data combination approach proposed by [Aires \(2014\)](#) is applied, where first,
161 a Simple Weighting (SW) approach is employed to merge different precipitation and evapora-
162 tion data sets leading to new merged (precipitation and evaporation) products. These merged
163 precipitation and evaporation products together with those of GRACE TWS and discharge
164 are passed through a Postprocessing Filtering (PF) procedure to generate improved precipi-
165 tation (**p**), evaporation (**e**), water storage changes ($\Delta\mathbf{s}$), and discharge (**q**) that accounts for
166 water budget closure. Compared to other techniques, the SW approach has been shown to

167 perform better e.g., Aires (2014). During the SW step, the filter assigns a weight to each water
 168 component (e.g., for each precipitation and evaporation product). The PF step that is based
 169 on the water balance equation then checks the water budget closure (Eqs. 1 and 2) using a
 170 Kalman-based scheme.

$$\mathbf{p} - \Delta\mathbf{s} - \mathbf{e} - \mathbf{q} = \mathbf{0}, \quad (1)$$

$$\mathbf{X}^T \cdot \mathbf{G} = \mathbf{0}, \quad (2)$$

171 with $\mathbf{X}^T = (\mathbf{p}, \Delta\mathbf{s}, \mathbf{e}, \mathbf{q})$ (T indicates matrix transpose), calculated from the first step (SW),
 172 and $\mathbf{G}^T = (\mathbf{1}, -\mathbf{1}, -\mathbf{1}, -\mathbf{1})$. A Kalman-like solution (following Pan and Wood, 2006) is then
 173 applied by,

$$\mathbf{X}_a = \mathbf{K} \cdot \mathbf{X}, \quad (3)$$

$$\mathbf{K} = (\mathbf{I} - \mathbf{B}\mathbf{G}(\mathbf{G}^T\mathbf{B}\mathbf{G})^{-1}\mathbf{G}^T), \quad (4)$$

174 where \mathbf{I} is identity matrix and \mathbf{B} is the error covariance matrix of \mathbf{X} from SW. The application
 175 of PF guarantees that the estimated flux nets in \mathbf{X}_a are balanced (see details in Aires, 2014;
 176 Munier et al., 2015).

177 3.2. Extrema Retracking (ExtR)

178 Satellite radar altimetry, originally designed to monitor sea level changes, nowadays are
 179 also used to monitor inland water bodies (see, e.g., Birkett, 1995) and rivers (see e.g., Birkett
 180 et al., 2002; Berry et al., 2005; Tseng et al., 2013). Nevertheless, the waveform retracking,
 181 which refers to the re-analysis of the waveforms, a time-series of returned power in the satellite
 182 antenna (Davis et al., 1995; Gomez-Enri et al., 2009), is required to improve the accuracy of
 183 measured ranges (or sea surface height; SSH) over inland waters (Brown, 1977). Here, to retrack
 184 satellite radar altimetry data, a developed Extrema Retracking (ExtR) algorithm proposed by
 185 Khaki et al. (2014) is applied to generate refined virtual lake level heights that are used in
 186 the water storage analysis step. It should be pointed out that this water analysis step uses
 187 improved water storage changes obtained from the SW and PF steps (see Figure 3). Our
 188 motivation for selecting the ExtR algorithm is due to its processing speed and its promising
 189 results that were obtained over the Caspian Sea when compared to the Off Center of Gravity
 190 (OCOg, Wingham et al., 1986), the NASA β -Parameter Retracking (Martin et al., 1983), and

191 Threshold Retracking (Davis, 1997). The ExtR is applied to the altimetry-derived waveforms
192 to retrack datasets, which is necessary for inland applications of satellite radar altimetry. The
193 Khaki et al. (2014) algorithm includes three steps; (i) applying a moving average filter to reduce
194 the random noise of the waveforms, (ii) identifying extremum points of the filtered waveforms,
195 and (iii), exploring the leading edges among all detected extremum points. Range corrections
196 are applied using the offset between the position of the leading edges and their on-board values.

197 Two gauge stations around Lake Victoria (see Figure 1) located at Jinja (1992-1995) and
198 Entebbe (1992-2009) from the Ministry of Energy & Mineral Development Kampala (Uganda),
199 Old Aswan (1996-2009), Esna Barrage (1996-2009), and Naga Hammadi Barrage (1996-2007),
200 and Assiut Barrage (1996-2009) from Ismail and Samuel (2011), and Nubarria (1997-2007) from
201 Samuel (2014) are used to examine the performance of the ExtR filter. Retracked time series
202 of two closest virtual stations to the in-situ stations are compared to the in-situ water level
203 measurements. The average bias and standard deviation (STD) of average errors for both
204 stations are presented in Figure 2. Significant decreases in both bias and STD are found after
205 applying the filtering process (cf. Figure 2). The figure shows the capability of the ExtR filter
206 for reducing errors and justifying its usage in this study.

207 3.2.1. Climate Variability Impacts

208 In order to investigate the impacts of climate variability/change on LV's water storage
209 changes, correlation analysis is used. Hereafter, reported correlation values between any two
210 variables are calculated as the average of correlation between their time series at all grid points.
211 Furthermore, principal component analysis (PCA; Lorenz, 1956; Preisendorfer, 1988) is applied
212 on the improved precipitation, evaporation, and water storage time series (after filtering in
213 Section Section 3.1) to better analyze the spatio-temporal changes of water storages and climatic
214 indicators. This is done to examine the climate patterns within the LV area and to investigate
215 their connections to water storage changes. Since precipitation and evaporation are the major
216 effective parameters on water storage recharge, the process helps to study the role of climate
217 variability on water storage variations. A schematic illustration of the applied processing steps
218 in this study, i.e., data integration procedure, retracking, and climatic impacts exploration, is
219 provided in Figure 3.

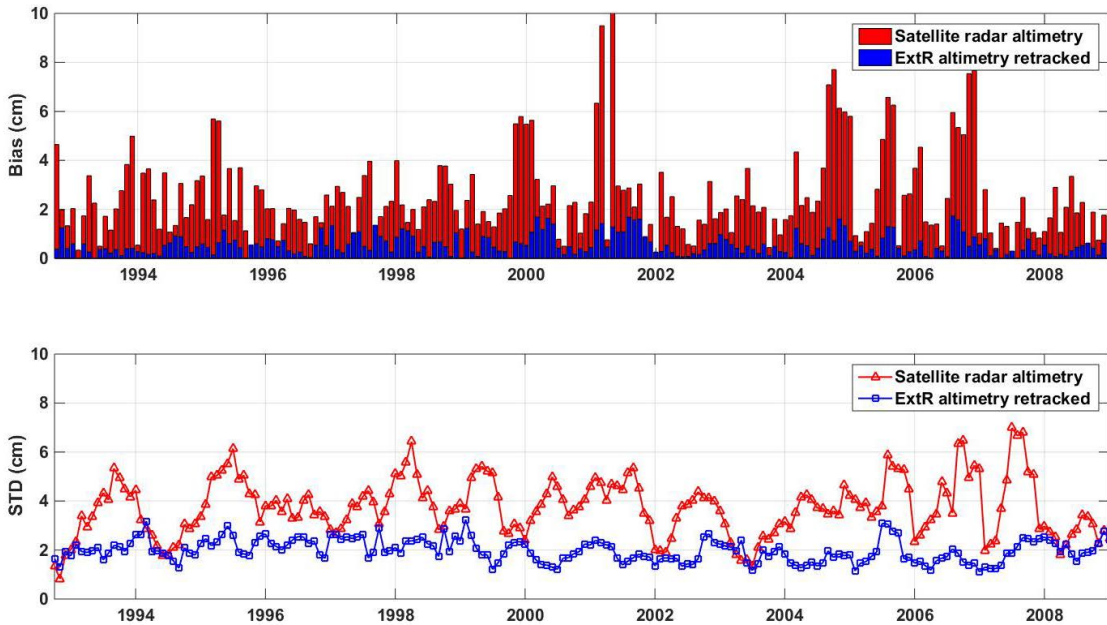


Figure 2: Average bias and STD of the error time series, i.e., the difference between altimetry data and in-situ measurements. These graphs are generated using retracted time series of two closest virtual stations to the in-situ stations and corresponding in-situ water level measurements. The figure shows that the ExtR filter (blue graphs) reduces retracted errors and thus improves the satellite altimetry products employed in this study.

220 4. Results and Discussion

221 First, we present the two-step (SW+PF) filtering results and the impact of the process
 222 on individual data type, as well as on the balance between them. Afterwards, spatio-temporal
 223 variations of precipitation and TWS and their interactions as major components of water fluxes
 224 are investigated.

225 4.1. Coherent filtered products

226 To demonstrate the usefulness of the SW+PF for filtering remotely sensed datasets for
 227 Lake Victoria, correlations between the original and filtered time series of each water cycle
 228 component and other filtered components are calculated in order to assess how the filtering
 229 process increases the agreement between different water cycle components. Table 2 shows the
 230 average improvements in the correlations between every two products, i.e., how each estimated
 231 water flux is correlated with other flux observations. Note that this is done to assess the effect
 232 of the applied method to produce a more coherent data, which does not necessarily lead to a
 233 higher accuracy. Correlation values between the original and filtered water fluxes, e.g., original

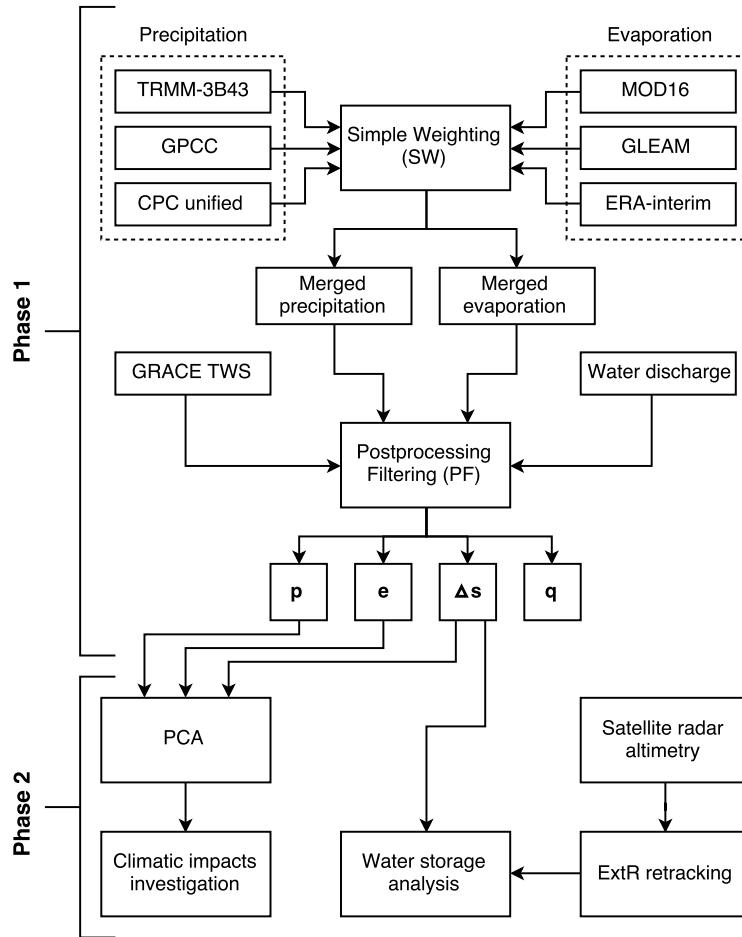


Figure 3: A schematic illustration of the applied methodology. The algorithm operates in two steps. First, the SW approach is employed to merge the precipitation and evaporation data sets. The merged products together with those of GRACE TWS and discharge are then subjected to the PF filter in the second step to produce improved water budget parameters.

234 and filtered \mathbf{p} and all data products of \mathbf{e} , $\Delta\mathbf{s}$, and \mathbf{q} are calculated to allow for estimation of
 235 achieved improvement in the filtered data. It is evident that in all the cases, improvements
 236 are achieved between any two filtered datasets. For example, between the original GPCCC
 237 products and filtered precipitation time series, the later is 13.48% more correlated to filtered
 238 water storage changes. It can also be seen that the obtained improvements are different for
 239 various products. In general, for precipitation, higher increase in correlation is achieved from
 240 GPCCC while less improvements are found in CPC, which is gauge-based. A similar correlation
 241 improvement can also be seen for evaporation, where different products (e.g., MOD16, GLEAM,
 242 and ERA-interim) receive various weights in the process, which correspondingly lead to various

243 levels of improvements. Based on the results in Table 2, it can be concluded that the filtered
 244 **p**, **e**, $\Delta\mathbf{s}$, and **q** products are largely in agreements.

Table 2: Average correlation improvements (%) between different variables. Note that **p**, **e**, $\Delta\mathbf{s}$, and **q** refer to the filtered water cycle components of precipitation, evaporation, water storage changes, and discharge. Improvements in the correlation (r) values are calculated as $[(r_{filtered\ results} - r_{original\ data})/r_{original\ data}] \times 100(\%)$.

	p	e	$\Delta\mathbf{s}$	q
p (compared to TRMM-3B43)	0	8.51	12.93	9.81
p (compared to GPCC)	0	13.48	16.75	11.32
p (compared to CPC)	0	2.73	6.14	5.73
e (compared to MOD16)	9.50	0	11.65	6.69
e (compared to GLEAM)	8.36	0	8.01	7.17
e (compared to ERA-interim)	18.18	0	14.44	9.20
$\Delta\mathbf{s}$ (compared to GRACE TWS)	16.56	12.94	0	15.58
q (compared to initial discharge)	11.11	07.08	9.52	0

245 In addition to correlation improvements above, based on water balance equation, the SW+PF
 246 filtering algorithms also corrects for imbalance between the water cycle components. The post-
 247 processed water fluxes from the application of the SW+PF filter is displayed in Figure 4. Four
 248 filtered water budget components of precipitation, evaporation, TWS changes and discharge
 249 show different performance in the water balance equation (Figure 4a). Precipitation and water
 250 storage changes are seen to have the largest contributions, i.e., 37% and 35%, respectively.
 251 Evaporation shows 19% contribution while runoff depicts the least contribution of 9%. The
 252 large value of the evaporation contribution, corroborated also by the findings of (Mohamed
 253 et al., 2005), indicates that a big part of precipitation over the lake area cannot recharge the
 254 outflow river (e.g., White Nile).

255 Figure 4b illustrates the imbalance error before and after using the SW+PF filtering, show-
 256 casing the capability of the filters to reduce the imbalance between water cycle components in
 257 order to provide a more coherent data sets. This is also evident from the correlations between
 258 altimetry level variations and the fluxes before and after filtering. The average correlation
 259 improvement of 12% is obtained between lake height variations and all the four components
 260 after applying the SW+PF filtering algorithm. In spite of this improvement after applying the
 261 filter, the imbalance between component can still be seen. This can be attributed to various
 262 factors such as observation errors, the contribution of groundwater in- and out-flows and its
 263 interaction with surface storage, and also the impact of extreme climatic impacts, which can be
 264 underestimated in reanalysis and remote sensing observations contrary to the in-situ discharge

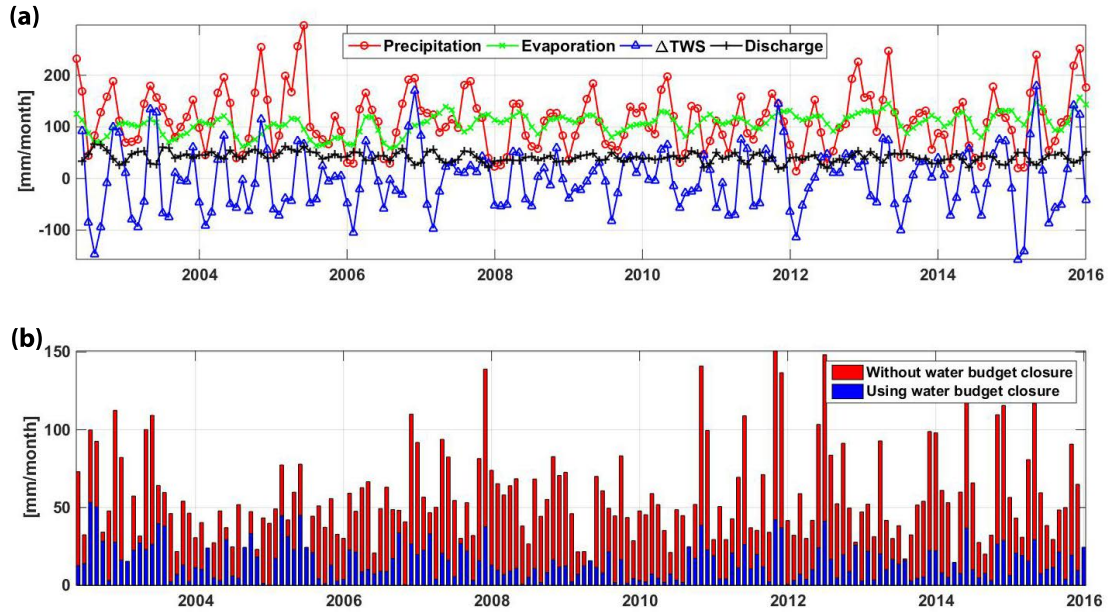


Figure 4: Water components variations after filtering using SW+PF (a). The imbalance errors before and after applying the filter are shown in (b).

265 measurements. In what follows, these improved (merged and filtered) products are used to
 266 analyze trends in Lake Victoria’s water in the face of climate variation/change impacts.

267 4.2. Climatic variation/change impacts

268 To investigate the impacts of climatic variation/change on the Lake Victoria water
 269 storage, first a comparison of the average variations of precipitation, evaporation, and water
 270 storage changes within the lake is made. Figure 5 shows the average time series of three
 271 data types from various sources including filtered and unfiltered products over the entire LVB.
 272 Note that 6 months running mean is applied to filter out high-frequency variations leading
 273 to better representations of variations and trends. As can be clearly seen, the filtered results
 274 are in a large agreement (0.91 average correlation between each two time series) compared
 275 to the two other samples from original (unfiltered) datasets, thus indicating the capability of
 276 the applied filtering method for achieving coherent data. Water storage changes largely follow
 277 the precipitation and evaporation patterns in Figure 5 top panel. This shows that climate
 278 is the most effective factor in the lake’s water storage changes. As expected, there is also a
 279 large agreement between precipitation and water discharge, especially after the filtering process.
 280 This agreement is better discussed by comparing Figures 5 top and bottom panels, in which

281 the original datasets are plotted. In addition to the time series' patterns, it can also be seen
282 that the filtering approach affects the time series' magnitudes. For example, the magnitude of
283 precipitation after the filtering is different from the original data in Figure 5 middle and bottom
284 panels. From Figure 5, several significant positive and negative variations can be seen. Increases
285 in rainfall in 2005, 2007, 2013, and 2016 cause similar rise in water storages. On the contrary,
286 declines are observed in all time series during 2006 and 2014. Furthermore, it can be seen in
287 Figure 5 (bottom and middle panels) that a larger discrepancy exists between precipitation
288 and two other flux observations. Due to the large evaporation rate over Lake Victoria, this
289 larger interaction with water storage is expected. Such a connection, which can be absent on
290 other water bodies depend on their characteristics, and can affect the water flux covariance
291 matrix and violate the assumption of independence observation made on water budget closure.
292 Here, however, the impact of this partial dependency between water storage and evaporation
293 is neglected mainly due to the fact that no information is available in this regard.

294 To better understand water storage changes and the associated climatic impacts over the
295 lake, PCA method is employed to the merged filtered precipitation, evaporation, and water
296 storage changes. Figure 6 shows the spatial variations of these datasets within LVB corre-
297 sponding to the first three empirical orthogonal functions (EOFs) of the PCA analysis. The
298 spatial variability of water storage changes matches those of precipitation and evaporation in
299 most of the areas proving that they are the dominant indicator of the impacts of climate indi-
300 cators on LVB water storage. It can be seen that the main rainfall pattern exists in the central
301 (EOF1) parts of the lake corresponding to a similar pattern in evaporation and water storage.
302 The main water storage patterns, as expected, are observed in the central parts of the Lake
303 Victoria (EOF1) as a result of recharge from rainfall in this area. EOF2 shows considerable
304 positive signals in the western parts. This could be attributed to the contribution of Kagera
305 river to the lake's water changes. Kagera river, which originates from Burundi, is the largest
306 inflow into Lake Victoria. To a lesser degree, larger rainfall, evaporation and water storage can
307 be observed in the eastern parts (EOF3) of the lake resulting from the effect of the south east
308 monsoon trade winds (e.g., [Awange et al., 2008, 2013a](#)) and possible recharge from the Grum-
309 meti, Simivu, and Mara rivers. Major rainfall spatial variabilities in the central (EOF1) and
310 western (EOF2) parts corroborate the findings in ([Awange et al., 2008, 2013a](#)), which shows
311 that these parts are responsible for most of the rainfalls occurring over Lake Victoria and its
312 water recharge thereby resulting in a larger evaporation and water storage changes.

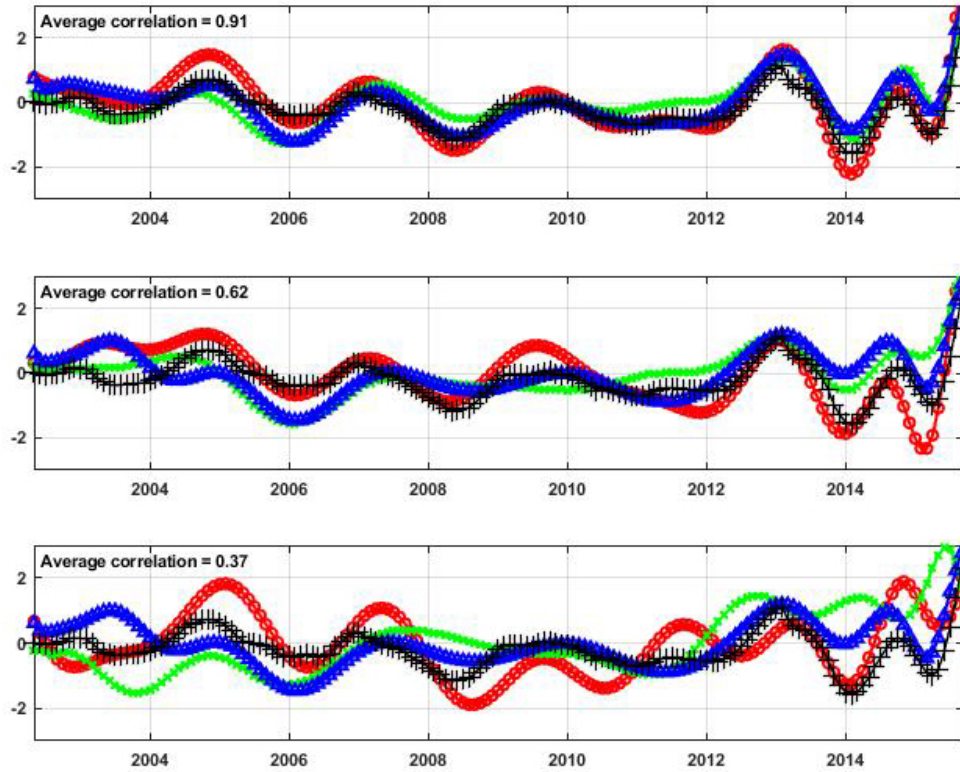


Figure 5: Average (6 months running mean) precipitation (red), evaporation (green), water discharge (black), and water storage variations (blue) from different sources including filtered products (top), original TRMM, MOD16, and GRACE (middle), and original GPCC, GLEAM, and GRACE (bottom). Note that normalized values (based on the time series STD) are represented for a better visual comparison. Note that precipitation and evaporation data in the middle and bottom panels are selected randomly to show how different they can act.

313 Figure 7 shows the corresponding first three principal components (PCs) time series. The
 314 dominant seasonal (PC1) and annual (PC2) rainfall patterns can be seen, which are in agree-
 315 ments with those of evaporation and water storage changes. Some significant anomalies can
 316 also be observed in precipitation, e.g., the large negative anomalies observed in 2006 (PC2) and
 317 2014 (PC1 and PC3), and significant positive variations observed, e.g., in 2005 and 2007 (PC1,
 318 PC2, and PC3), 2010 (PC1), 2013 (PC1 and PC2), and 2016 (PC1). These variations can also
 319 be seen in evaporation and water storage changes time series, especially the rises in 2005, 2007,
 320 and 2013. The 2007 ENSO rainfall effect (e.g., [Omondi et al., 2013](#); [Awange et al., 2014](#)) is
 321 evident in PC1 for all three datasets. A negative trend is found between 2003 and 2005 for
 322 water storage time series similar to those of evaporation (PC1). This could be attributed to
 323 excessive water usages reported in the works of [Awange et al. \(2008\)](#) and [Swenson and Wahr](#)
 324 [\(2009\)](#) while such a negative trend is absent in rainfall time series. Large rainfalls, generally

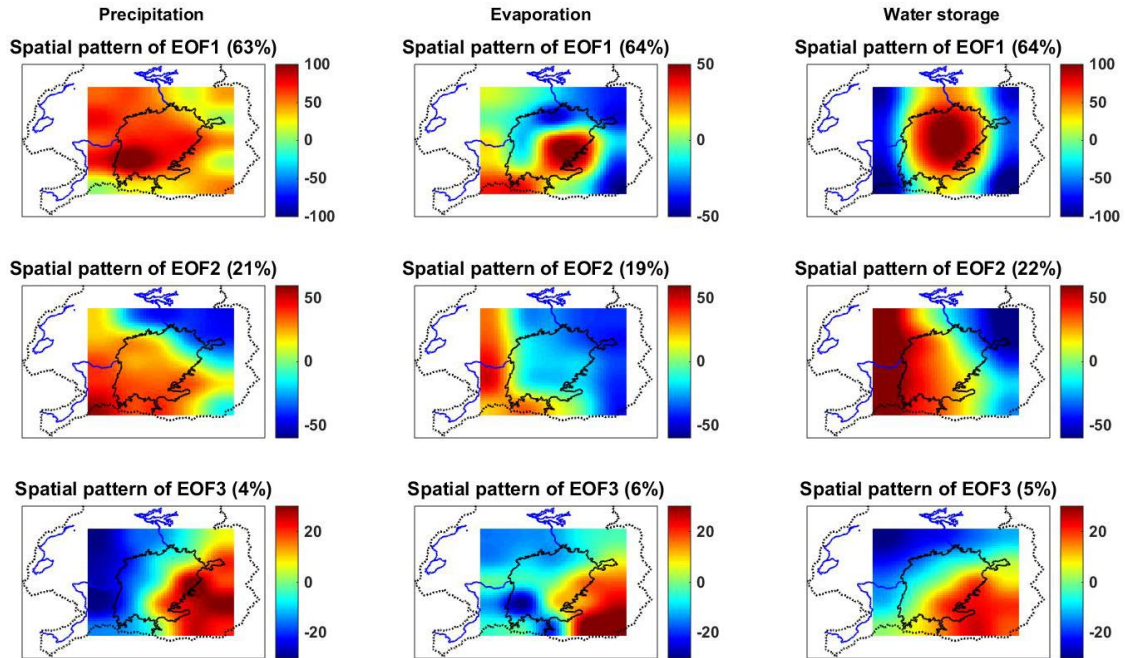


Figure 6: Spatial variations of precipitation, evaporation, and water storage changes from the first three empirical orthogonal functions (EOFs) of PCA (units are mm). The central (EOF1), western (EOF2) and the eastern parts (EOF3) show large amount of variations.

325 after 2013 result in positive water storage and evaporation trends. A decrease in rainfall is cap-
 326 tured after 2011 due to the drought that affected the region (see, e.g., [Awange et al., 2013b](#)),
 327 which causes a decline in water storage variations. These similar patterns suggest a close tie
 328 between water storage variabilities and climatic impacts.

329 These similar patterns in climatic indicators and water storage changes, in terms of spatial
 330 (cf. Figure 6) and temporal (cf. Figure 7) variabilities, suggest a close tie between water storage
 331 variabilities and climatic impacts. This can also be seen in Figures 8 and 9, which show annual
 332 spatial variations and trends, and temporal variations of precipitation and water storage over
 333 the area, respectively.

334 It can be seen in Figure 8 that the major variations exist in the south-eastern parts, for both
 335 precipitation and water storage. A similar pattern is found for trends (right panels in Figure
 336 8). This means that precipitation plays the main role in the lake's water storage variations as
 337 already reported in other studies (e.g., [Nicholson et al., 2003](#)). One can see the same effects from
 338 the time series in Figure 9, where the agreement between rainfall changes (top panel) and the
 339 lake's water storage changes (bottom panel) emphasizes the impact of rainfall on Lake Victoria.

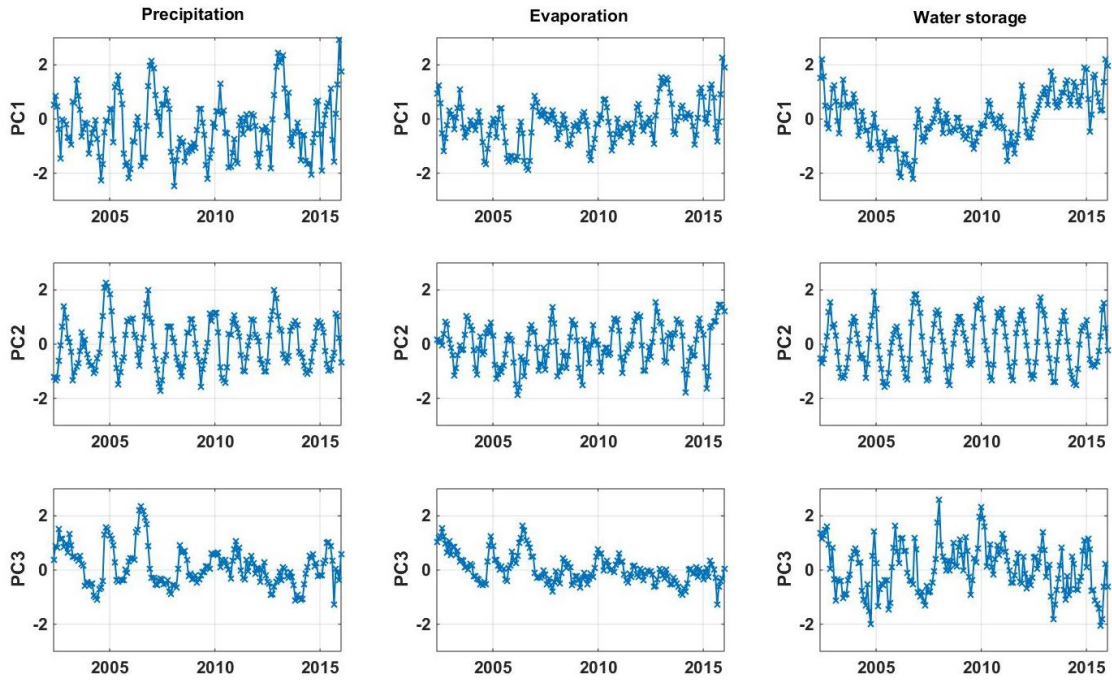


Figure 7: The first six principal components (PCs) time series of precipitation, evaporation, and water storage changes from PCA. While the seasonal pattern (PC1) and annual (PC2) are dominant, several considerable positive and negative variations (e.g., in 2005 and 2007 (PC1 and PC2), and 2014 (PC3)) can also be seen in PCs.

340 Above-average rainfalls during 2007 El'niño significantly affected water storage variations corre-
 341 sponding to the large anomalies. Nevertheless, as previously mentioned, the large contribution
 342 of evaporation does not allow water storage changes to perfectly match precipitation time series
 343 variations, e.g., in 2012 and after 2014. The impact of climate variability influences the balance
 344 between precipitation and evaporation and subsequently impacts the lake's depth and arguably
 345 its areal extent and correspondingly water storage changes (e.g., [Owor et al., 2011](#)). Another
 346 effective factor on water storage changes is groundwater within the area, which has been under
 347 larger influences by the growing population in recent years. Nevertheless, there is not much
 348 strong evidence of interaction between groundwater and surface water mainly due to the lack
 349 of ground-based groundwater measurements.

350 To better study Lake Victoria's stored water changes, analyzing its surface water variations
 351 is essential. To this end, altimetry-derived surface water changes are plotted in Figure 10 and
 352 compared with TWS changes from GRACE. The water level height variations in Figure 10
 353 depicts a large agreement to water storage variations. A large negative trend is found between

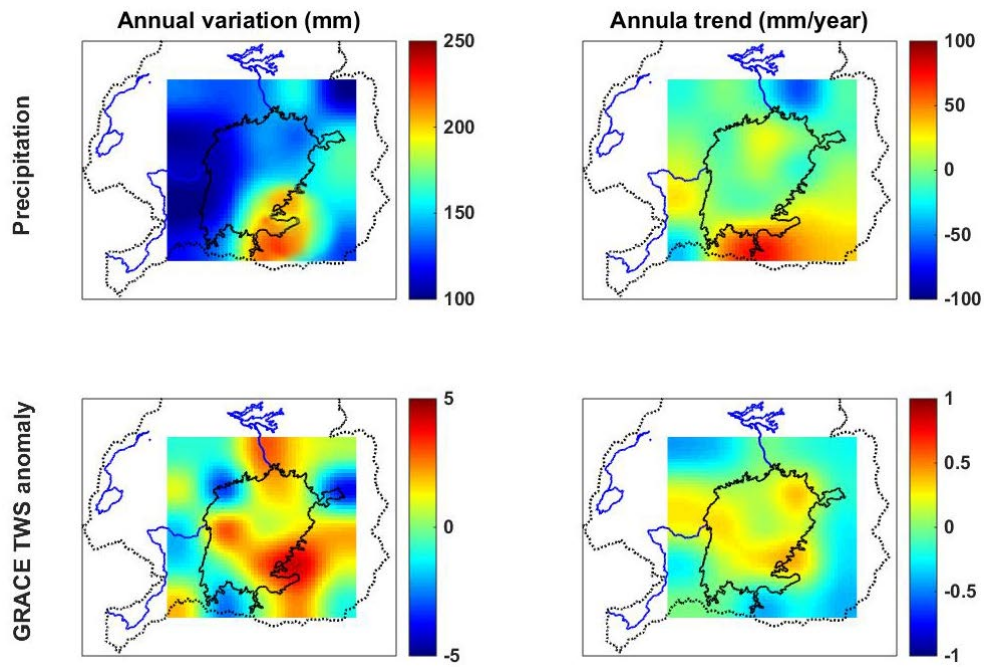


Figure 8: Average annual variations and trends of precipitation and the filtered water storage time series at each grid point.

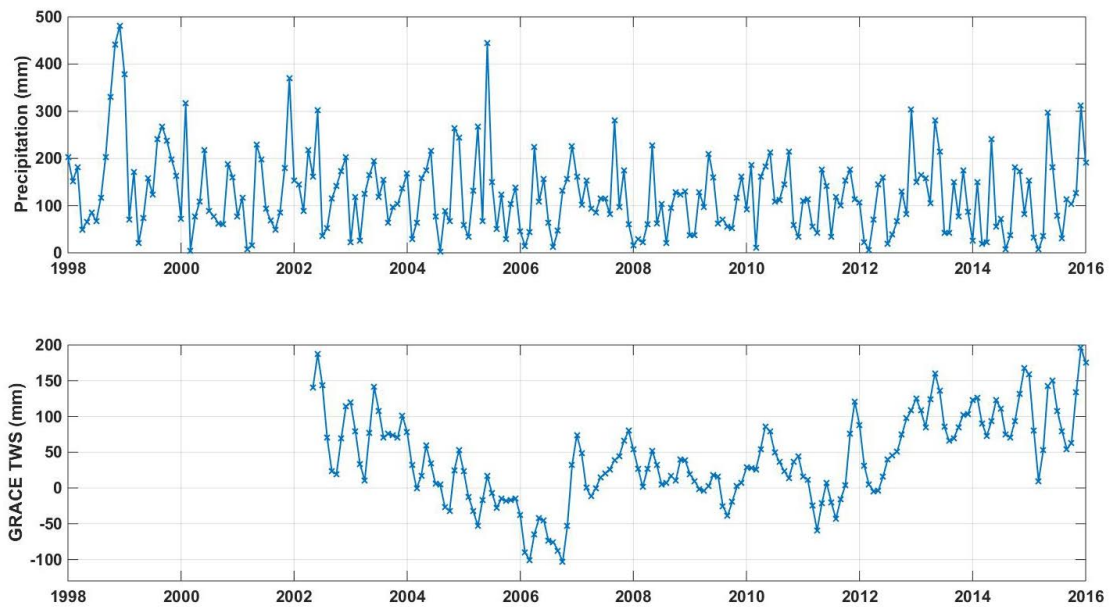


Figure 9: Spatially averaged time series of precipitation and the filtered water storage changes within the Lake Victoria.

354 1998 and 2006 before a remarkable positive anomaly due to the effects of 2007 ENSO. The
 355 lake's water level variation also closely follows rainfall pattern. Larger rainfalls before 1998
 356 result in a water level increase in the same period, which ends with a remarkable positive
 357 anomaly in 1998 due to an excessive ENSO rainfall in 1997. Decreases in water level are also
 358 observed for the period of 2002 to 2004 and after 2007 similar to water storage variations. This
 359 large agreement between GRACE-derived TWS variations and altimetry-derived surface water
 360 changes suggests that the impact of groundwater and its interaction with surface storage is
 361 minimal. This justifies the common assumption that has been made by a number of previous
 362 studies (see, e.g., [Kite, 1982](#); [Sene and Plintson, 1994](#); [Nicholson and Yin, 2001](#)), in which they
 363 ignore the groundwater contribution to the Lake Victoria.

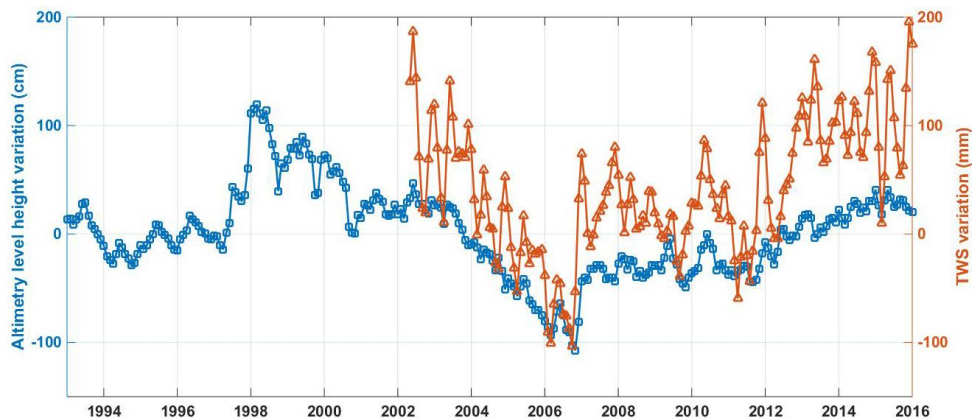


Figure 10: Comparison between average time series of altimetry-derived lake level variation (after applying ExtR retracking) and the filtered water storage variations.

364 5. Conclusion

365 The present study (i) investigated the capability of the two-step data-driven approach of
 366 Simple Weighting (SW) and Postprocessing Filtering (PF) to improve remotely sensed datasets
 367 through merging and filtering to obtain four water cycle key components; precipitation, evap-
 368 oration, discharge, and water storage variations, and (ii), explored the impacts of climate vari-
 369 abilities on water storage changes using the improved datasets in (i). The application of this
 370 approach, for the first time for LVB, results in a more efficient analysis of water fluxes that
 371 preserve water balance. The filtered water fluxes were largely in agreement compared to the
 372 original unfiltered datasets. This shows that SW+PF merging and filtering approach can effec-

373 tively reduce imbalances between different observations over a limited scale inland water bodies.
374 It was also found that there is a remarkably smaller imbalance between the post-processed time
375 series with various rates of contribution for each water component, e.g., 37% and 35% for pre-
376 cipitation and water storage changes, respectively, as the largest contributions. The achieved
377 coherent datasets allowed for a better analysis of the lake's water changes. Based on these,
378 major rainfall spatial variabilities were observed in the central and western parts of the lake
379 corresponding to the similar pattern in water storage changes. In addition, various strong
380 anomalies were found in the filtered time series, e.g., in 2006 and 2014 (being negative), and
381 2005, 2007, and 2010 (being positive). The study showed that the climatic variation/change
382 through the precipitation and evaporation (as indicators) are the main sources of the water
383 storage changes within the lake. Moreover, an average correlation of 0.93 was found between
384 water storage changes and the lake's water level variations, which suggests that the main part of
385 water storage changes within the lake refers to the variation of surface storages. These findings
386 suggest the possible application of the applied algorithm to any inland lake that permits the
387 use of satellite remote sensing, especially GRACE for studying water storage changes.

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