Abstract— The number of doubly fed induction generators (DFIG) connected to the existing network has increased significantly worldwide during the last two decades. This triggers manufacturers to improve the performance of DFIG through robust and reliable design. The stator in DFIG is directly connected to the grid whereas the rotor is interfaced to the grid through two voltage source converters; rotor side converter (RSC) and grid side converter (GSC), which are considered as the crux of the DFIG system. The converter stations determine the ability of wind turbine to operate optimally during wind speed fluctuation and it can provide reactive power support to the grid during grid disturbance events. The DC capacitor link between the two converters allows optimum and smooth power exchange between DFIG and the grid. Therefore, any faults within the DC link will affect the overall performance of the DFIG. This paper investigates the impact of open circuit and short circuit faults in the DC link capacitor on the dynamic performance of the DFIG. The compliance of the wind energy conversion (WEC) system with different grid codes such as those of Denmark, Spain, Nordic and Sweden under such faults is also investigated.

Index Terms—DFIG, Grid side converter, Rotor side converter, Fault ride through

I. INTRODUCTION

Wind energy is one of the most promising renewable energy resources in the world. The global wind energy installed capacity has been increased from 2 GW at the end of year 1990 to 94 GW by the end of year 2007. In 2008, electricity generation using wind power has reached to 1% of the global electricity generation and by the year 2020, it is expected that wind power to supply about 10% of the global electricity [1]. Doubly fed induction generator (DFIG) is one of the popular variable speed wind turbine generators, where about 46.8% of the global installed wind turbines in 2002 were of this type [2]. The popularity of DFIG is based on its several advantages over the fixed speed wind turbine. These advantages include, more optimal power capture, improved power quality, reduced mechanical stress imposed on the turbine during wind fluctuation and decoupled control of active and reactive power. The DFIG is more economic than wind turbine equipped with full scale converter [2, 3]. DFIG stator winding is directly connected to a transformer low voltage side while its rotor winding is connected to a bidirectional back to back IGBT voltage source converter as shown in Fig. 1. The converter helps in decoupling the mechanical and electrical frequencies and making variable speed operation possible. Because of the fact that DFIG is equipped with partial scale converter, the turbine cannot operate in full range from zero to the rated speed, however the speed range is quite sufficient [4]. The detailed system under study (Fig. 1) along with the DFIG model used in this paper is given in [5].

II. FAULT RIDE THROUGH OF INTERNATIONAL GRID CODES

Most of literatures studies about DFIG considered its performance during various grid disturbances [6-9]. Although, there are few studies in the literatures about the effect of converter station faults on the overall performance of HVDC systems [10-12], no attention was given to the performance of the DFIG and its compliance to the grid code during possible converter station faults. This paper investigates the impact of open circuit (OC) and short circuit (SC) of the DC link capacitor on the dynamic performance of the DFIG. The DFIG fault ride through (FRT) compliance with some international grid codes such as Denmark, Spain, Sweden and Nordic (interconnected system of Denmark, Sweden, Norway and Finland) is also investigated. The simulation is carried out using Matlab / Simulink software and the results will be useful for designing appropriate DC link converter protection of DFIG.
requirement codes. These grid codes require the wind turbine generators (WTGs) to support the grid during various grid disturbances. One parameter that has been given special attention in most of the worldwide grid codes is the voltage profile at the point of common coupling (PCC) where the wind turbine is required to withstand certain level of voltage sag for certain duration during grid disturbance events. This requirement is known as fault ride through (FRT) or low voltage ride through (LVRT). The typical FRT of some countries is shown in Fig. 2.

![Image](image_url)

Fig. 2. Various FRT of recent grid codes [13, 14]

The voltage level at the point of common coupling that fall below the lines shown in Fig. 2 will cause a disconnection of the wind turbine from the grid to avoid any damages that may occur to the WTG. Upon a grid disturbance, the minimum voltage drop allowed in the FRT code of Denmark is 0.25 pu for a duration of 0.1 s and the minimum voltage recovery level is 0.75 pu after 0.5 s from the fault occurrence. The same minimum voltage level of 0.25 pu is allowed for Sweden FRT grid code however, it can last for a duration of 0.25 s after which the voltage must be recovered to a level not less than 0.9 pu. On the other hand, WTGs are remaining connected to the PCC during voltage drop to zero for duration of 0.15 s and 0.25 s in the Nordic and Spain FRT grid codes respectively. Nordic FRT grid code requires the voltage to be recovered to 0.9 pu after 0.7 s of fault occurrence. However, FRT of Spain allows the voltage level of 0.2 pu for a duration of 0.35 s after which it should be recovered to a minimum level of 0.85 pu in 1 s of the fault occurrence. These grid codes are summarized in Table I.

### Table I
LVRT REQUIREMENTS OF SOME INTERNATIONAL GRID CODES

<table>
<thead>
<tr>
<th>Country</th>
<th>During Fault</th>
<th>Fault Clearance</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>V\text{min} (pu)</td>
<td>T\text{max} (s)</td>
</tr>
<tr>
<td>Denmark</td>
<td>0.25</td>
<td>0.1</td>
</tr>
<tr>
<td>Sweden</td>
<td>0.25</td>
<td>0.25</td>
</tr>
<tr>
<td>Nordic</td>
<td>0</td>
<td>0.25</td>
</tr>
<tr>
<td>Spain</td>
<td>0</td>
<td>0.15</td>
</tr>
</tbody>
</table>

![Image](image_url)

Fig. 3. (a) Capacitor voltage, (b) DFIG active power and (c) Reactive power

### III. SIMULATION RESULTS

The dynamic performance of the DFIG under the DC link capacitor open and short circuit faults is examined. For both faults, two scenarios are assumed; the first scenario assumes that the fault starts at 0.2 s and cleared out at 0.25 s while in the second scenario, the fault is assumed to start at 0.2 s and is failed to be cleared out.

#### A. Open and Short circuit faults with successful clearance

In this fault, the DC capacitor is assumed to experience open circuit and short circuit faults at 0.2 s and the fault is cleared out after two and half cycles (0.05 s) as can be shown in Fig. 3 (a). Figs. 3 (b), (c) shows the active and reactive power of the DFIG. During normal operating conditions, the active and reactive powers delivered to the grid are respectively 1 pu and 0 pu. Upon the occurrence of OC and SC capacitor faults, the generated active power will significantly reduced and the DFIG will absorb reactive power from the grid which is more significant in case of SC fault as can be shown in Fig. 3 (b). After clearing the fault at 0.25 s, power restoration will take place in about 0.05 s. it is worth to notice that active and reactive power will experience some transient fluctuations before settling down in both types of faults.
The DC capacitor voltage safety margin is set up by the manufacturer. In [15], this margin is set between 0.25 pu and 1.25 pu. If this requirement is applied, the converter protection will be energized for SC case as the voltage across the DC capacitor will drop to 0 V of the nominal value.

Due to the power reduction during capacitor OC and SC faults, the rotor shaft will accelerate and the electromagnetic torque will be reduced as can be shown in Figs. 4 (a), (b) respectively. The pitch control will not be able to stabilize the shaft speed as in most of grid disturbance and in some occasions this may lead to rotor instability. The voltage at the PCC will drop to 0.2 pu in case of capacitor SC fault while the drop in the voltage due to capacitor OC fault is insignificant. When compared with different grid codes, the voltage at the PCC in case of capacitor SC fault will violate the LVRT code of both Denmark and Sweden however; it will be in the safe margin boundary of Nordic and Spain grid codes as shown in Fig. 4 (c). The rotor current (Fig 4(d)) will drop to a level close zero during capacitor OC fault while it reaches a crest value of 2.4 pu in case of capacitor SC fault.

Fig. 4. Impact of capacitor OC and SC on (a) Shaft speed (b) electromagnetic torque (c) Voltage at PCC and (d) Rotor current at phase-a

Fig. 5. Impact of capacitor OC fault on (a) Stator voltages (b) Stator currents

Fig. 6. Impact of capacitor SC fault on (a) Stator voltages (b) Stator currents
Fig. 5 and Fig. 6 show the impact of capacitor OC and SC faults on the stator 3-phase voltages and currents respectively. In case of capacitor OC fault, the stator voltages will experience some spikes during the fault and normal operation voltage waveform will be restored once the fault is cleared. On the other side, the stator 3-phase currents will drop to almost zero level during the fault as is shown in Fig. 5. Fig. 6 shows that during capacitor SC fault, the stator voltages will significantly reduced while the stator currents will increase to a significant level of 3. It can be noticed that capacitor short circuit fault will introduce more transient oscillations in all the parameters mentioned above.

B. Open and Short circuit faults with unsuccessful clearance

In this fault, the DC capacitor is assumed to experience open circuit and short circuit faults at 0.2 s and the fault is sustained because unsuccessful clearance as can be observed in the capacitor DC voltage (Fig. 7 (a)) which shows that the DC voltage drops to zero at the instant of fault occurrence in case of SC fault while the OC fault has no impact on the DC voltage.

Similar to the successful clearance scenario, the DFIG generated active power (Figs. 7 (b)) will significantly reduced with more reduction in case of SC fault. However, as the fault is not cleared in this case, power restoration is not possible. The reactive power is slightly affected at the instant of applying OC fault however, the DFIG will require a significant reactive power from the grid in case of SC fault (Fig. 7 (c)).

As mentioned in the previous case study, the shaft speed (Fig. 8 (a)) will accelerate due to the reduction in output power however, because fault is not cleared, the shaft speed will increase without limitation and will lead to rotor instability and other severe shaft damages if not controlled.
electromagnetic torque will be reduced and maintained at zero level as shown in 8. 9(b). When compared with LVRT grid codes of Denmark, Sweden, Spain and Nordic, the voltage at the PCC will violate all safety boundaries in case of SC fault as it will be maintained at 0.4 pu and will not be recovered (Fig. 8 (c)). This will lead to the disconnection of the wind turbine from the grid to avoid any damages. Fig. 8 (d) shows that the rotor current will drop to zero in case of OC fault while it will experience a maximum overshooting of 2.5 pu at the instant of fault occurrence in case of SC fault.

The stator 3-phase voltages and currents during OC and SC faults are respectively shown in Fig. 10 and Fig. 11. The same parameters trend as the previous case study of successful fault clearance can be observed here however, non of these parameters will be recovered to the nominal values as far as the fault is sustained.

IV. CONCLUSION

This paper investigates the impact of open circuit and short circuit faults of the DC capacitor link on the dynamic performance of DFIG. Two fault scenarios (successful and unsuccessful fault clearance) were simulated and investigated. In both scenarios, the impact of short circuit fault is more pronounced and the LVRT compliance with different grid codes is failed. Results of this study will open the door for further developments of protection systems for wind energy converter stations to avoid any damages to the wind turbine during such faults.

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VI. REFERENCES


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