#### © 2020. This manuscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ 1 Geochemical behaviour and risk assessment of trace elements in a

2	tropical river, Northwest Borneo
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#### 13 Abstract

By convention, dissolved trace elements in the river water are considered to be the 14 15 fraction that passes through a 0.45 µm filter. However, several researchers have considered filtration cut-off other than 0.45 µm for the separation of dissolved trace 16 17 elements from particulate fraction. Recent research indicated that trace elements 18 could exist in particulate form as colloids and natural nanoparticles. Moreover, the 19 trace elements in the continental dust (aerosols) constitute a significant component in 20 their geochemical cycling. Due to their high mobility, the trace elements in the 21 micron and sub-micron scale have biogeochemical significance in the coastal zone. 22 In this context, this study focuses on the highly mobile fraction of trace elements in 23 particulates (< 11 µm) and dissolved form in the Lower Baram River. A factor model utilizing trace elements in the dissolved and mobile phase in the particulates (< 11 24 μm) along with water column characteristics and the partition coefficient (K<sub>d</sub>) of the 25

- trace element transport. Perhaps, from oxynydroxides play a secondary role. The
  factor model further illustrated the dissolution of aluminium and authigenic clay
  formation. Except for Fe and Al, the contamination risk of mobile trace elements in
  particulates (< 11 μm) together with dissolved form are within the permissible limits</li>
  as per Malaysian water quality standards during monsoon (MON) and post-monsoon
  (POM) seasons.
  Keywords: River; Estuary; Trace Elements; Particulates; Partition Coefficient; Iron-
- 34 Manganese Oxides.

#### 35 **1. Introduction**

36 The riverine network across the globe play a vital role in weathering the rocks 37 on the continent and in transferring them to the world oceans in dissolved and 38 particulate form (Chester and Jickells, 2012; Gaillardet et al., 2014; Qu et al., 2019). 39 The warm and humid climate of the tropics with intense rainfall enhances weathering 40 in the river basins (Dessert et al., 2003; Godderis et al., 2009; Goudie and Viles, 41 2012; Hartmann et al., 2014; Macdonald et al., 2019). The prevailing high energy 42 conditions in the tropical river basins can rapidly remove the products of weathering 43 as solutes and particulates from the source area to the sea in a relatively shorter residence time compared to a more temperate climate (Sultan et al., 2011). Thus, the 44 45 contribution of trace elements from tropical river systems to the coastal oceans is significant, and estuarine regions of such river systems are ideal places to explore the 46 47 trace element geochemistry (Sultan et al., 2011; Kilunga et al., 2017; Borah et al., 2018; Prabakaran et al., 2019; Wu et al., 2019; Zhang et al., 2019; Zhou et al., 2019). 48 49 Asian rivers with less anthropogenically influenced basins may present an insight into the weathering and erosion control over aquatic chemistry (Zhang and Huang, 50 51 1993).

52 The estuaries are the delivery points of weathered products, and they also 53 serve as an interface between the river and the ocean with gradational changes in 54 their water column characteristics that render a unique environment (Price et al., 55 1999; Bianchi, 2007; Fernandes et al., 2011; Samanta et al., 2018). The geochemical processes occurring within the estuarine regions are unique and essential to 56 57 understand the fate of trace elements transported through the rivers. These trace elements influence the coastal water quality and the health of the coastal ecosystem 58 59 (Looi et al., 2013; Gopal et al., 2018).

© 2020. This manuscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ 60 River induced changes to the marine environment associated with increased

61 sediment load, nutrients and pollutants are a severe threat to coral ecosystems. Asia 62 is renowned for its global hotspot status for coral biodiversity especially in the South China Sea and the Coral Triangle, supporting a diverse population of marine life 63 including coral, algae, reef fishes and other invertebrates (Roberts, 1993; Bellwood 64 65 and Hughes, 2001; Hoeksema, 2007; Huang et al., 2015; Heery et al., 2018). Despite 66 being resilienet, the Miri-Sibuti Coral Ecosystem in the sub-aqueous Baram delta region exhibits signs of adverse effects owing to poor water quality and sediment 67 68 load that endangered the coral health (Browne et al., 2019). Moreover, fishes in the 69 coastal Miri exhibits trace metals accumulation but within the permissible limits 70 (Anandkumar et al., 2017, 2018, 2019). However, long-term trends are not known. 71 Therefore, knowledge of the behaviour of trace elements in the tropical river 72 systems, especially in their estuarine region, becomes essential. This work, carried 73 out in the Lower Baram River of Malaysian Borneo, is one such attempt to 74 understand the geochemical processes that govern the behaviour of trace elements in 75 the water column.

#### 76 1.1 Partitioning of trace elements between dissolved and particulate form

The Baram River originates in the Kelabit Highlands of Borneo and flows through a dense rainforest before reaching the South China Sea. The river water pH is slightly acidic that can be ascribed to the presence of dissolved organics (Oliver et al., 1983) which enhances weathering (Walther, 1996) and results in the formation of dissolved, particulate and metal-organic complexes that finally reaches the estuary (Tipping and Heaton, 1983; Guo and Macdonald, 2006; Gaillardet et al., 2014).

The partitioning of trace elements between dissolved and particulate form in the estuaries has ramifications for their transport to the ocean, interaction with biota, biogeochemical cycling, and elemental budgeting (Huang et al., 2012; Jin et al.,

© 2020. This man <b>86</b>	uscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ 2010; Wang and Liu, 2003, 2008; Xiao et al., 2014). Chemically both the dissolved
87	and particulate form of trace elements exists in their different speciation state. While
88	dissolved trace elements form organic and inorganic complexes, the particulate form
89	of the trace elements exists mainly as adsorbed species on clay particles, colloids and
90	natural nanoparticles that serve as a host for their transport (Dai et al., 1995;
91	Kretzschmar and Schafer, 2005; Plathe et al., 2013; Tepe and Bau, 2014). There
92	exists a dynamic equilibrium between dissolved and particulate forms of trace
93	elements. The prevailing water column characteristics namely pH and redox potential
94	govern such an equilibrium. This dynamic equilibrium results in a continuous
95	exchange of the trace elements between dissolved and particulate form. The
96	equilibrium constant that is unique to the prevailing environmental conditions of the
97	concerned trace element is defined as the "partition coefficient" (O'Connor and
98	Connolly, 1980; Shi et al., 1998; Lu and Allen, 2001). Theoretically, the partition
99	coefficient is expected to be a constant for the given environmental conditions. Thus,
100	it has been used as a probe to ascertain whether a given trace element has more
101	affinity to remain in dissolved or particulate form. Such a piece of knowledge has
102	helped us to understand the behaviour of trace elements in the coastal regions (Tang
103	et al., 2002; Oursel et al., 2014; Wang et al., 2017). However, practical observations
104	have always yielded a range of values for the partition coefficient due to the
105	involvement of various influencing factors (Boyer et al., 2018; Tomczak et al., 2019)
106	in the water column. Even then the fact remains that if the chemical composition of
107	the water column, the particle nature and the environmental conditions are identical,
108	the partition coefficient of a trace element is expected to remain within a very narrow
109	range in all estuarine systems. On the other hand, the partition coefficient can be
110	related to the water column characteristics to infer the factors or geochemical
111	processes that influence the trace elements concentration. This work revolves around
112	these concepts for the interpretation of geochemical characteristics of the trace

114 definition of the "partition coefficient" to include reactive trace elements in the 115 particulates along with the dissolved form. That, we believe, is essential to the 116 understanding of the role of nanoparticles, colloids and particulates on the trace 117 elements behaviour in the estuaries (Supplement –I). Therefore, for trace elements 118 analysis, the samples were filtered through 11  $\mu$ m filter (Whatman No.1) using a 119 polycarbonate filtration assembly and acidified to pH < 2 (refer Section-3.1 for more 120 details).

# 121 2. Study Area

122 Borneo is the third-largest island in the world situated along the equator, 123 comprising of the two Malaysian states along with the nation of Brunei and 124 Indonesia. Sarawak is one of two Malaysian states situated on the northwest coast of 125 the island of Borneo, bordering the Malaysian state of Sabah to the northeast, 126 Indonesia to the south, and surrounding Brunei. Extending to the length of around 127 466 km stretch, the Baram River is the second-longest river in Sarawak next to the 128 Rajang River (Anandkumar, 2016). Originating in the Kelabit Highlands, the Baram 129 River initially flows westwards through tropical rainforests and then turns northward 130 to drain into the South China Sea at Miri (near Kuala Baram). The Baram River covers a catchment area of about 22,800 km<sup>2</sup> and delivers an estimated freshwater of 131 1590 m<sup>3</sup>/s and 2.4  $\times$  10<sup>10</sup> kg of sediment/year to the South China Sea (Sandal, 1996; 132 133 Straub and Mohrig, 2009). The study area (Figure-1) covers the lower stretch of the 134 Baram River, extending to a length of 111 km from Baram River mouth to Marudi. A 135 transitioning water column with a gradational salinity increase from Marudi to Kuala 136 Baram characterises the study area. The geology of the Baram River Basin consists 137 predominantly of meta-sedimentary to sedimentary rocks aged from Paleogene to 138 Recent. Oligocene, Miocene and Eocene meta-sedimentary to sedimentary rocks 139 primarily cover the upstream region. In contrast, the downstream region primarily

initially located near Marudi but has extended towards the north over the past 5000
years (Caline and Huong, 1992). For more details on regional geology, climate,
tectonics, weathering and coastal processes, please refer earlier works (Calvert et al.,
1991; Caline and Huong, 1992; Hiscott, 2001; Hutchison, 2005; Wang et al., 2011;
Nagarajan et al., 2014, 2015a, b, 2017a, 2019; Yan et al., 2015; Ramkumar et al.,
2018; Prabakaran et al., 2019; Wurster et al., 2019).

#### 147 **3.Methodology**

#### 148 **3.1**. Choosing the filtration cut-off

149 In the coastal areas, earlier studies have taken the nominal size of particulates 150 as 0.2 to 10 µm (Hurst and Bruland, 2007), 0.4 to 10 µm (Weinstein and Moran, 151 2004) or, greater than 0.4 µm (Lambert et al., 1984; Helmers, 1996; Weinstein and 152 Moran, 2004) though custom made filters that approximate the 0.45 µm filter has 153 also been used for large volume sampling in Atlantic and Pacific oceans for 154 separating particulates (Krishnaswami and Sarin, 1976; Krishnaswami et al., 1976). 155 Forest fires in Borneo and surrounding other Southeast Asian regions are a good 156 source of organic aerosols apart from the continental dust (Durkee et al., 1991; Rasch 157 et al., 2001; Idris et al., 2005; I-I Lin et al., 2007; Langner et al., 2007; Gabey et al., 2010; 2011; Lee et al., 2017; Bikkina and Sarin, 2019). Thus, atmospheric fallout is 158 159 one of the sources of trace elements in the Baram River Basin. The global 160 atmospheric dust range in size from 100 nm to 100 µm (Pye, 1987; Formenti et al., 161 2011 and the references cited therein) and the long transported aerosol particles 162 deposited in the ocean is finer than 10 µm (Pye, 1987; Bikkina and Sarin, 2019). 163 Gabey et al. (2011) have shown that in Borneo the non-fluorescent aerosols peaked 164 at 0.8 to 1.2  $\mu$ m while the fluorescent primary biological aerosol peaked at 3 to 4  $\mu$ m. 165 Ohta et al. (2006) have found that the nominal size of aerosol in the Asian region is 166 11  $\mu$ m. To take into account the trace elements associated with the atmospheric dust

© 2020	. This manu 167	ascript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ fallout and the primary biological aerosol, a filtration cut-off of 11 $\mu$ m is considered
	168	optimal. Apart from the aerosols, the flocs are another carrier of trace elements in the
	169	estuarine region of the Baram River. There is an increasing salinity in the river water
	170	from Marudi to Kuala Baram and the dissolved iron and manganese are expected to
	171	flocculate and adsorb the dissolved trace elements (Sholkovitz, 1978). Moreover, in
	172	the forested soil mobilisation of Al has been reported by several earlier studies
	173	(Evans, 1986; Mulder and Stein, 1994; Van Hees et al., 2004). The aluminium is
	174	amphoteric (Pacioglu et al., 2016) and exists as $Al(OH)_3$ and polynuclear complexes
	175	(Palleiro et al., 2018) that serve as a potential adsorbent for many trace elements akin
	176	to iron and manganese oxyhydroxides (Singh et al., 1984). Thus, most of the riverine
	177	dissolved trace elements are expected to remain as adsorbed species with a dynamic
	178	equilibrium between their dissolved and particulate forms (Hurst and Bruland, 2007).
	179	By filtering the river water through 11 $\mu$ m filters, the trace elements associated with
	180	Fe, Mn oxyhydroxides and Al hydroxides could be extracted.
	181	Several studies documented the role of colloids in the bioavailability of trace
	182	elements to aquatic organisms (Ferreira et al. 2008: Zhang and Davison, 2000: Pan

atic organisms (Ferreira et al., 2008; Zhang and Davison, 2000; Pan 182 183 and Wang, 2002; Wang and Guo, 2000, Guo et al., 2002; Seah et al., 2017). Moreover, the colloidal-sized organic matter, are known to adhere firmly with certain 184 185 trace metals and trace organics, thereby influencing the bioavailability of trace 186 metals to aquatic organisms (Sigleo and Means, 1990; Santschi et al., 1997, 1999; Wen et al., 1999; Carvalho et al., 1999; Doblin et al., 1999; Wang and Guo, 2000). 187 188 Therefore, filtering of water samples through a 0.45 µm filter will not serve the 189 purpose of estimating the quantum of available trace elements to aquatic biota, 190 because colloids play a significant role in the pathway of metals into the food chain 191 (Farag et al., 2007). Hence, while considering bioavailability, the quantum of metals 192 associated with the colloidal phase must also be considered as aquatic organisms are 193 likely to consume colloidal particles. Therefore taking a filtration cut-off of 11 µm

© 2020. This manuscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ 194 will provide additional knowledge towards trace elements bioavailability to aquatic

### 195 populations.

196 Thus, the trace elements are measured in 11 µm filtered (Whatman No.1) 197 river water instead of using the 0.45 µm as in many earlier studies (Karbassi et al., 198 2008; Stolpe and Hassellöv, 2010). After filtration, all water samples were acidified 199 to pH < 2 to release labile forms of trace elements from the particulates. 200 Acidification of the water samples shall release trace elements transported by the 201 river in the form of colloids (Duarte and Cacador, 2012) natural nanoparticles 202 (Wigginton et al., 2007) and particulates of size  $< 11 \mu m$ . While the results do not 203 represent the truly dissolved form of the trace elements, it should be noted that the 204 methodology is designed to desorb the highly labile fraction of trace elements from 205 the particulates.

#### **3.2.** Sample collection, analysis and data processing

207 Water samples were collected during January and April of 2015, representing 208 monsoon and postmonsoon seasons, respectively. Thirty two river water samples 209 were collected in acid cleaned 1000 mL polyethylene containers from the Lower 210 Baram River (Kuala Baram to Marudi) using an acid-cleaned non-metallic aqua-trap 211 water sampler. All the samples were brought to the laboratory within 12 hours after 212 collection. For trace analysis, the samples were filtered through an 11 µm filter 213 (Whatman No.1) using a polycarbonate filtration assembly and acidified to pH < 2. The acidified filtrates were stored under refrigeration ( $< 4^{\circ}$  C) until analysis for the 214 215 trace elements. The water column properties such as temperature, pH, salinity, total 216 dissolved solids (TDS), electrical conductivity (EC) and redox potential (Eh) were 217 measured in the field using necessary probes (Thermoscientific Orion Star Plus, 218 USA). Dissolved oxygen was measured in the field by using a DO meter (YSI Pro) 219 and turbidity was measured using Hach-2100Q portable turbidimeter. The major ions

### 225 **3.3 Statistical analysis**

226 In order to reveal the significant variations of the analysed parameters 227 between seasons, a paired t-test was performed (Supplementary Table-2). The 228 partition coefficient  $(K_d)$  values were calculated from the reported concentration of 229 trace elements in the sediment (Prabakaran et al., 2019) and water column. The data, 230 subjected to Principal Component Analysis (PCA) and varimax rotation using SPSS 231 software version 17, provided factor loadings for further interpretation. Because the 232 units of measured parameters were not the same, the entire data set was standardised 233 before carrying out the factor analysis.

#### 234 **3.4 Risk assessment indices**

The Contamination Index (C<sub>d</sub>) and Heavy metal Evaluation Index (HEI) were used to evaluate the water quality of the Lower Baram River. The Contamination Index (C<sub>d</sub>) of Backman et al. (1998) evaluated the degree of contamination of Fe, Mn, Al, Cu, Zn, Pb, Ni, Cr, Cd, Co and Hg by using the following equation.

239

$$C_{d} = \sum_{i=1}^{n} C_{fi}$$

240

241

$$Cfi = \frac{CAi}{CNi} - 1$$

244	Where,
245	$C_{fi}$ = contamination factor for the i <sup>th</sup> component
246	$C_{Ai}$ = analytical value for the i <sup>th</sup> component
247	$C_{Ni}$ = upper permissible concentration of the i <sup>th</sup> component (N denotes the
248	normative value).
249	The resultant $C_d$ values comprise three categories as low ( $C_d < 1$ ), medium ( $C_d = 1$ to
250	3) and high ( $C_d > 3$ ).
251	The HEI revealed the overall water quality with respect to heavy metals (Edet
252	and Offiong, 2002). This index was calculated using the following equation:
253	
	$\sum^{n}$

$$\text{HEI} = \sum_{i=1}^{n} \text{Hc/Hmac}$$

255 Where,  $H_c$  is the monitored value of the i<sup>th</sup> parameter, and  $H_{mac}$  is the maximum 256 admissible concentration of the i<sup>th</sup> parameter.

## 257 4. Results and discussion

258 The including descriptive statistics of the analysed parameters 259 physicochemical parameters, major and minor ions, nutrients and trace element 260 concentrations are given in Table-1. From the results of the paired t-test, all the 261 physicochemical parameters vary significantly (P < 0.01) between seasons except resistivity. Similarly, all the major and minor ions such as chloride, carbonate, 262 bicarbonate, sodium, potassium, calcium and magnesium varied significantly (P < 263 264 0.01; < 0.05 for bicarbonate) between the seasons. Nitrate also showed a significant 265 difference (P < 0.01), between seasons. Among the trace elements, Fe, Mn, Al, Cr, B, 266 Zn and Pb varied significantly (P < 0.01; P < 0.05 for Al) between seasons while Cu, 267 Co, Ni, Cd and Hg were not significantly different (P < 0.05).

#### 269 MON season

Factor analysis was carried out to understand the geochemical processes occurring in the water column. As the ionic composition of the water column, pH, dissolved oxygen and temperature always have interaction with trace elements (Gundersen and Steinnes, 2003; de Souza Machado et al., 2016), they have also been included in this factor model to elucidate the geochemical processes operating within the Lower Baram River. The component matrix with six factors (Supplementary Table-3) has eigenvalue > 1 and reveals 81.32% of the variance in the data.

277 Factor-1 is loaded with Pb, Zn, Mn, Ni, Cu, Co, Hg and Al and explains 278 28.16% variance in this factor model. The association of these elements suggests the 279 dissolution of particulate trace elements adsorbed onto manganese oxyhydroxides. 280 (Sholkovitz et al., 1978; Means et al., 1978; Johnson, 1986; Dong et al., 2000; Li et 281 al., 2001; Kay et al., 2001; Feng et al., 2007; Zhong and Wang, 2008; Suda and 282 Makino, 2016). As observed in Supplementary Table-4, a high factor score of 4.96 283 for the sample BES-26 and significantly lower values for all other samples implies 284 that such a dissolution is predominant at the confluence of Sungai Bakong (low end 285 tributary) with the Baram River. The positive loading of Al under factor-1 and its high concentration in the water column at the confluence of Sungai Bakong (Sample 286 287 BES-26) indicates the dissolution of aluminium. Such dissolution is possible by the 288 low pH of organic-rich water entering the Baram River from Sungai Bakong. 289 Moreover, during the fieldwork, a strong swirling was observed at this confluence 290 point, which might aid in thorough mixing and promote dissolution. While it has 291 been well established that iron and manganese oxyhydroxides are primary carriers of 292 trace elements, this factor model does not exhibit such a role for iron. Indeed, it is the 293 strong interaction of Mn-oxyhydroxide surface with Al in the presence of adsorbed 294 organics (Tipping and Heaton, 1983), which is thought to play a significant role in © 2020. This manuscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ 295 the formation of metal-organic complexes that serve as major carriers of trace

elements.

297 Factor-2 explained 16.57% variance with the loading of sulphate, B, Fe, K, 298 Al and temperature. Of these, temperature, sulphate and boron have negative loading 299 and Fe, K and Al have a positive loading. Though the loading of temperature, 300 sulphate, boron and Al are on the lower side, they have been taken into account 301 because only their association provides a meaningful geochemical interpretation. The 302 association of temperature with sulphate implies the temperature-controlled jarosite 303 dissolution. The jarosite is a alunite series mineral with the formula 304 K.Fe<sub>3</sub>(SO<sub>4</sub>)<sub>2</sub>(OH)<sub>6</sub> and is a member of the aluminium-phosphate-sulphate (APS) minerals with the general formula AB<sub>3</sub>(XO<sub>4</sub>)<sub>2</sub>(OH)<sub>6</sub>, where A is a large cation (Na, 305 U, K, Ag, NH<sub>4</sub><sup>+</sup>, Pb, Ca, Ba, Sr, REE's), and B is one of the cations in the group Al, 306 307 Fe, Cu and Zn (Dill, 2001). Alunite can form at a pH higher than 3.3. The primary 308 source for Al is the dissolution of aluminium silicates during microbially mediated pyrite oxidation, which releases sulphuric acid (Sánchez-España et al., 2016). The 309 310 tropical climatic conditions are most suitable for the formation of APS minerals (Dill 311 et al., 2002), and peraluminous parent rocks enriched in sulphur and phosphorus are 312 a prerequisite (For a review, refer Dill, 2001). However, the Baram river basin 313 consists of turbidites and the occurrence of peraluminous rocks is not reported.

314 The acid sulphate soils are a source of jarosite. Acid sulphate conditions 315 occur in sand, peat, and more extensively in clays (Dent, 1992). The acid sulphate 316 soils are the source of jarosite. It is a common observation that in peat and organic-317 rich mangrove sediments, pyrite oxidation results in the formation of jarosite 318 (Furukawa, 1988; Shamshuddin et al., 2004; Mohamad et al., 2016). Of the 13 319 million ha of acid sulphate soil worldwide, around 6.7 million ha is in the Southeast 320 Asian region (Shamshuddin et al., 2004). In Malaysia, the acid sulphate soil covers 321 0.5 million ha (500,000 ha) of which Peninsular Malaysia accounts for 110,000 ha

© 2020.	. This manu 322	iscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ (Kanapathy, 1973; Abdul Halim et al., 2018). Of this, the very acidic soil occurs in
	323	25,000 ha of swamps, where Nipa fruticans grows (Kanapathy, 1973). Some of the
	324	plant species that thrive in acidic soils include Meluleuca leucadendron, Rhizophora
	325	mucronata and Nipa fruticans (Rahman et al., 2018). The Nipa fruticans also occurs
	326	in the Lower Baram River apart from Sonneratia caseolaris, and no other mangrove
	327	species thrive here. Andriesse et al. (1973) report the occurrence of acid sulphate
	328	soils in the mangrove swamps along the Sarawak river, near Kuching, in the State of
	329	Sarawak. They also occur along the coastal plains of Sarawak (Teng, 2005), the
	330	Liang Formation of Brunei basin (Wu, 1994), Kota Samarahan-Asajaya area of West
	331	Sarawak, Malaysia (Mohamad et al., 2016), and Tukau Formation adjacent to the
	332	study area (Nagarajan et al., 2017b).

333 Though the mineralogical studies were not carried out for the identification of 334 aluminium-phosphate-sulphate (APS) minerals, this cannot preclude the chances for its formation in a terrain where volcanic, sedimentary, and metamorphic processes 335 336 have taken place, and alunite has an excellent chance of formation in all these 337 environments (Dill, 2001). Given the fact that the Baram River basin mainly consists 338 of turbidites and shale, which are a rich source for the sedimentary pyrite, its dissolution might release sulphuric acid into the environment resulting in the 339 340 formation of alunite group of minerals.

341 The river carries the aluminium bearing minerals towards downstream in 342 suspension. The negative loading of sulphate and boron under factor-2 indicates the 343 dissolution of alunite, whereas the positively loaded Fe, K and Al under the same 344 factor imply the formation of authigenic clay minerals. Another factor model using 345 the partition coefficient (K<sub>d</sub>) of the elements (Supplementary Table-5) confirms this 346 proposition. The positively loaded K<sub>d</sub> values of Fe and Al, and SO<sub>4</sub> points out that in 347 the absence of sulphate reduction (suboxic conditions) higher dissolved concentrations of Fe and Al are favoured (Rahman, 2016). Then authigenic clay 348

© 2020. This manuscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ minerals form by consuming the dissolved potassium in the water column which is 349 implied by its negative factor loading (Mackin and Aller, 1986; Mackenzie and 350 351 Kump, 1995; Rahman, 2016; Church, 2016). Such a formation of authigenic clay 352 minerals is rapid in tropical and sub-tropical deltas (Michalopoulos and Aller, 2004; 353 Presti and Michalopoulos, 2008; Loucaides et al., 2010; Rahman, 2016) possibly by 354 enhanced forward reaction under increasing temperature (endothermic). The 355 formation of authigenic minerals in estuarine and coastal areas is a widely disputed topic. Therefore, further investigation is necessary. 356

Factor-3 accounted for 11.08% variance. The EC, Na<sup>+</sup> and Cl<sup>-</sup> exhibit 357 358 positive loading, implying the influence of seawater. Eventhough there is no 359 measurable salinity during MON season due to flooding of the river, the loading of 360 these parameters on factor-3 demonstrates seawater incursion in the river. The Baram 361 River has no distributaries and behaves like a salt-wedge estuary. The density 362 difference drives the seawater underneath the freshwater of the river. The sinuosity of the Baram River is lesser downstream Marudi and seawater incursion shall be 363 facilitated in this stretch. This salinity incursion is also observable in the factor 364 365 model given in Supplementary Table-5. Moreover, authigenic clay mineral formation 366 occurs only in the presence of saline water (Mackenzie and Kump, 1995), confirming the validity of our interpretation. Another source of sodium and chloride ions is sea 367 salt. Worldwide, the annual sea salt aerosols production is  $10 \times 10^{15}$  to  $11.7 \times 10^{15}$ 368 369 g/yr [Mæller, 1990; Gong et al., 1997] but estimates for the Baram River basin is not 370 available. However, sea salt can also form a significant contribution to the dissolved load of this river. The distinction between the contribution of Na<sup>+</sup> and Cl<sup>-</sup> from the 371 372 sea salt and saline water could not be made due to lack of available data.

Factor-4 explained 9.20% variance and shows the loading of pH and DO,
illustrating the role of water column productivity on the pH and dissolved oxygen
(Feely et al., 2010). The water column productivity increases the pH and releases

377 in tropical river systems (Lee, 1990; Davies et al., 2008). Despite their moderate 378 contribution to overall production, algae (periphyton and phytoplankton) appear to be the prominent drivers of aquatic food webs in tropical river systems (Lewis et al., 379 380 2001; Winemiller, 2004; Douglas et al., 2005). Factor-5 accounted for 8.80 % variance and loaded with Ca<sup>2+</sup>, Mg<sup>2+</sup>, Cl<sup>-</sup> and HCO<sub>3</sub><sup>-</sup>. This implies ion exchange 381 reactions involving  $Na^+$ ,  $Ca^{2+}$  and  $Mg^{2+}$  (Cerling et al., 1989). The majority of the 382 water samples are not showing up an excess of Na<sup>+</sup> over Cl<sup>-</sup> expected to result from 383 384 the weathering of shale terrains (Cerling et al., 1989) (Supplementary Figure-1). Moreover, due to lack of excess  $(Ca^{2+} + Mg^{2+})$  over  $(SO_4^{2-} + alkalinity)$ , it is inferred 385 that silicate weathering might contribute to excess alkalinity and Na<sup>+</sup> and K<sup>+</sup> ions 386 387 (Cerling et al., 1989) (Supplementary Figure-2).

Factor-6 accounted for 7.59% variance in the factor and loaded with 388 389 orthophosphate and nitrate, indicating the remobilisation of the nutrients from the sediments to the water column (Zhang et al., 1997). Such a remobilisation is possible 390 391 upon the degradation of sedimentary organic matter (Emerson et al., 1980; Nedwell 392 et al., 1994; Morford et al., 2005). Such degradation is likely to release low 393 molecular weight organics into the water column, (Nedwell et al., 1994; Beck et al., 394 2008), which may form stable metal-organic complexes (Beck et al., 2008) and serve 395 as an essential repository for some of the elements like Cu and Zn (Widerlund, 1996). The factor model in Supplementary Table-5 indicates such an association. The 396 397 other possible source of orthophosphate and nitrate is agricultural activities in the 398 river catchment area (Sim et al., 2017).

### 400 POM season

401 Supplementary Table-6 presents the factor model for the POM season. Six factors accounted for 82.61% of the variance. Factor-1 exhibits loading of salinity, 402 Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, B, Pb, Co, Cd, Na<sup>+</sup>, Ca<sup>2+</sup> and Mg<sup>2+</sup>. The Na<sup>+</sup>/Cl<sup>-</sup> < 1 implies possible ion 403 exchange reactions involving  $Na^+$ ,  $Ca^{2+}$  and  $Mg^{2+}$  (Cerling et al., 1989) 404 (Supplementary Figure-3). Further, an excess of  $(Ca^{2+} + Mg^{2+})$  over  $(SO_4^{2-} + HCO_3^{-})$ 405 indicates ion exchange reactions where  $Na^+$  replaces  $Ca^{2+}$  and  $Mg^{2+}$  in the clay 406 407 minerals (Cerling et al., 1987; El-Sayed et al., 2012) (Supplementary Figure-4). Correlation between  $(Na^+ + K^+)$  and  $SO_4^{2-}$  indicates sulphide oxidation through the 408 409 dissolution of jarosite (Mermut and Arshad, 1987) (Supplementary Figure-5). Factor-410 2 is accounted for 16.43% of the variance with positive loadings of pH and DO, and 411 negative loading by orthophosphate and ammoniacal-nitrogen. Orthophosphate is 412 remobilized from the sediments only under reducing conditions (Sundby et al., 1986) 413 whereas ammonia is a by-product of anaerobic bacterial degradation of organic 414 matter (Canfield et al., 1993; Baric et al., 2002). With increasing seawater influence, 415 the pH and DO increase and the production of orthophosphate and ammonical 416 nitrogen diminishes.

417 Factor-3 shows positive loading of K and negative loading of Fe that explains 9.20% of the variance indicating either loss of K from Fe bearing clay minerals 418 419 (Craw, 1981) or loss of iron from biotite (Acker and Bricker, 1992) that exists in 420 particulate form in the  $< 11 \,\mu m$  fraction. Factor-4 accounts for 6.27% of the variance 421 and is explained by the positive loading of Hg and negative loading of Cu which 422 indicates two different sources. Factor-5 is loaded with nitrate and accounts for 423 5.27% variance, indicating nitrification in the water column (Scott and Abumoghli, 424 1995; Cébron et al., 2003; Strauss et al., 2004). Factor-6 has accounted for 4.99% of 425 the variance with Zn and Al exhibiting positive and negative loadings, respectively.

427 nitrate is negatively loaded, indicating Zn toxicity to water column nitrification (Hu
428 et al., 2004; Zarcinas and Rogers, 2002).

#### 429 4.3 Risk assessment indices

430 The Contamination Index  $(C_d)$  for the Baram River water during the MON 431 and POM seasons is presented in Supplementary Table-8. The river water quality falls into the high contamination category ( $C_d > 3$ ) for both seasons. The  $C_d$  values 432 433 range from 28.49 to 643.36, with a mean of 176.19 during the MON. During POM, the  $C_d$  varies from 36.29 to 92.60 with a mean of 47.26 during the POM season. The 434 435 calculated HEI values (Supplementary Table-8) ranged from 39.49 to 654.36 with a 436 mean of 187.19 during the MON season and 47.29 to 103.60 with a mean of 58.26 437 during the POM season. The river water samples were filtered using 11 µm filters 438 that contained both purely dissolved and particulate trace elements. Thus, the water 439 samples showed a high contamination category as revealed from the risk assessment 440 indices. Moreover, when compared with the Malaysian water quality standards 441 (Supplementary Table-9), the majority of the elements fall between the Class I and 442 IV, however, Fe and Al fall under Class V.

#### 443 **5.** Conclusions

444 Seasonally significant (P < 0.05) difference exists for temperature, pH, DO, 445 EC, TDS, salinity, turbidity, redox potential, Cl<sup>-</sup>, HCO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, 446 Mg<sup>2+</sup>, B, Pb, Zn, Mn, Fe, Cr and Al. Whereas, the water column concentrations of 447 PO<sub>4</sub><sup>3-</sup>, NH<sub>3</sub>-N, Ni, Cu, Cd, Co and Hg do not show any significant variation between 448 seasons. During the monsoon, the desorption of Pb, Zn, Ni, Cu, Co, Hg and Al from 449 manganese oxyhydroxides carried in the particulate form by the Baram River is 450 dominant. Jarosoite dissolution, authigenic clay mineral formation, water column © 2020. This manuscript version is made available under the CC-BY-NC-ND 4.0 license http://creativecommons.org/licenses/by-nc-nd/4.0/ 451 productivity, ion exchange and remobilisation of the nutrients are other inferred 452 geochemical processes. During post monsoon, ion exchange is the primary 453 geochemical process followed by the remobilization of orthophosphate and the 454 production of ammonical nitrogen. The Contamination Index (C<sub>d</sub>) shows that water 455 samples are highly contaminated. However, such a result needs careful inspection 456 since the particulate-bound trace elements in  $< 11 \mu m$  fraction is taken up for the 457 calculation. The water quality is within the safer limits as per the Malaysian water 458 quality standards, except for Fe and Al.

#### 459 Acknowledgement

460 This study was supported by Curtin University, Malaysia through the Curtin 461 Malaysia Postgraduate Research Scholarship (CMPRS) awarded to the first author. 462 The valuable help by Dr.Vijith Hamza in this study is highly acknowledged. The 463 authors extend their sincere thanks to the Editor and the anonymous reviewers for 464 their valuable comments to improve the quality of the manuscript.

# 465 **Conflict of Interest**

466 The authors declare that there are no conflicts of interest.

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Figure 1 Study area map showing sampling locations (after Prabakaran et al. 2019)

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# Table 1 Descriptive statistics of the physicochemical parameters, nutrient, major and minor ions and trace elements levels of surface water during MON and POM seasons (n=32 for all parameters; turbidity (MON) – n=28

Paramatars	Range (Mean with Std.	Range (Mean with Std.		
	Deviation) MON Season	Deviation) POM Season		
Temp. °C	25.6-27.8 (26.7±0.6)	28.7-32.0 (30.13±0.72)		
рН	4.3-6.1 (5.8±0.3)	4.6-7.5 (6.82±0.59)		
$DO (mg L^{-1})$	1.3-5.8 (4.1±0.7)	0.4-5.6 (4.53±0.87)		
EC ( $\mu$ S cm <sup>-1</sup> )	0.0-112.9 (43.7±22.0)	39.5-9060.0 (3178.16±3392.73)		
TDS (mg $L^{-1}$ )	0.0-55.0 (21.31±10.7)	19.0-4440.0 (1557.22±1662.40)		
Salinity (ppt)	BDL	0.0-5.1 (1.64±1.88)		
Resistivity ( $\Omega \cdot cm$ )	0.01-100.0 (3.15±17.7)	0.0-0.03 (0.01±0.01)		
Turbidity (NTU)	77.3-993.0 (627.76±209.7)	45.5-284.0 (114.77±53.63)		
Redox (mV)	45.2-154.4 (63.6±19.0)	-22.2-138.0 (13.68±32.15)		
$PO_4^{-3}$	BDL-1.7 (0.24±0.3)	0.2-0.9 (0.2±0.1)		
$SO_4^{2-}$	BDL-5.0 (1.31±1.5)	BDL-410.0 (131.1±145.1)		
(NH <sub>3</sub> -N <sup>-</sup> )	BDL	BDL-300.0 (9.4±53.0)		
$NO_3^-$ (µg L <sup>-1</sup> )	BDL-50.0 (2.50±9.5)	BDL-0.3 (0.04±0.05)		
$\operatorname{Cl-}(\operatorname{mg} \operatorname{L}^{-1})$	4.0-26.0 (12.25±6.0)	BDL-2940.0 (966.6±1096.1)		
$CO_3$ - (mg L <sup>-1</sup> )	BDL	BDL		
$HCO_3- (mg L^{-1})$	18.3-42.7 (32.79±6.9)	12.2-54.9 (27.7±9.2)		
$\operatorname{Na}^{+}(\operatorname{mg} L^{-1})$	2.3-14.2 (5.54±2.5)	2.6-1317.0 (377.0±437.6)		
$K^+$ (mg L <sup>-1</sup> )	1.8-10.7 (4.67±2.2)	1.6-279.2 (61.5±77.3)		
$Ca^{2+}$ (mg L <sup>-1</sup> )	0.1-4.3 (1.87±1.1)	0.4-301.0 (34.4±60.853)		
$Mg^{2+}$ (mg L <sup>-1</sup> )	2.3-6.9 (4.00±0.1)	1.9-445.2 (77.3±101.5)		
$Cu (mg L^{-1})$	0.04-0.2 (0.06±0.02)	0.04-0.1 (0.06±0.02)		
$Zn (mg L^{-1})$	0.03-0.4 (0.09±0.08)	0.02-0.2 (0.04±0.02)		
Pb (µg L <sup>-1</sup> )	BDL-78.0 (3.8±14.8)	BDL-0.04 (0.01±0.02)		
$Co (mg L^{-1})$	0.02-0.1 (0.03±0.02)	0.02-0.06 (0.03±0.01)		
Ni (mg $L^{-1}$ )	0.1-0.3 (0.2±0.03)	0.1-0.2 (0.2±0.01)		
$Cd (\mu g L^{-1})$	BDL-5.0 (1.8±1.5)	BDL-7.00 (2.6±2.0)		
$Mn (mg L^{-1})$	0.1-3.1 (0.4±0.5)	0.06-0.1 (0.08±0.01)		
$Fe (mg L^{-1})$	3.4-43.3 (15.6±12.6)	2.3-10.8 (4. 9±1.7)		
Al (mg $L^{-1}$ )	0.7-88.2 (17.1±24.1)	0.4-6.2 (2.3±1.0)		
$\operatorname{Cr}(\operatorname{mg} \operatorname{L}^{-1})$	0.2-0.4 (0.3±0.06)	0.1-0.3 (0.2±0.05)		
Hg ( $\mu$ g L <sup>-1</sup> )	BDL-1.7 (0.3±0.3)	BDL-0.5 (0.2±0.1)		
$B (mg L^{-1})$	BDL-2.2 (0.3±0.4)	BDL-1.6 (0.8±0.4)		