

## Relationship between BIM implementation and Performance of OSM projects

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### Abstract:

Building information modeling (BIM) and off-site manufacturing (OSM) approaches have been recognized as revolutionary methods in the construction industry. However, limited empirical studies have investigated on the relations and effects of BIM in OSM projects. Hence, this study aims to explore the direct relationship between BIM implementation and the performance of OSM projects through their key influential factors. The empirical data were collected through questionnaire surveys and analyzed using the partial least squares technique. The results show there is a strong relationship between BIM implementation and the performance of OSM projects through the integration management and cooperation behavior. Contract management plays a negative moderating effect on the relationship between integration management and the performance of OSM projects. These findings provide new insights into the detailed implementation strategies for BIM in improving OSM projects' performance through their correlated relations. This research also contributes to the effective promotion and use of BIM and OSM in construction industry.

**Keywords:** Building information model; Performance of off-site manufacturing; Integration management; Contract management; Partial least squares technique.

## 23 **Introduction**

24 The issues of low productivity and efficiency have been reported during the lifecycle of a construction project  
25 (Sawhney et al. 2014). Building information modeling (BIM) is one of the most promising recent innovations  
26 in the architecture, engineering, and construction (AEC) industry (Azhar 2011). Meanwhile, off-site  
27 manufacturing (OSM) has been confirmed as an efficient alteration to the traditional on-site construction method  
28 in the building sector (Jayasena et al. 2017). OSM enables manufacturing off-site and on-site construction to  
29 occur concurrently (Ibidapo et al. 2017). The integration of BIM and OSM has promoted mass customization  
30 in the construction sector (Huang 2007). The attributes of BIM have a significant impact on OSM  
31 implementation, which could promote an early collaboration between different OSM stakeholders and  
32 eventually enhance the productivity of the industry (Ezcan et al. 2013).

33

34 Most of the recent studies have focused on qualitative analyses of the integration of BIM and OSM for certain  
35 areas of improvement, such as the increased efficiency of construction activities through graph-based  
36 methodology (Isaac et al. 2016), improved energy consumption through operational performance (Gerrish et al.  
37 2017), a simulation game for the improved learning process (Li et al. 2018), a framework for information  
38 delivery in modular buildings (Ramaji et al. 2017), improved visibility and traceability in prefabricated  
39 construction (Zhong et al. 2017), improved productivity from BIM-based multitrade prefabrication through a  
40 case study analysis of a complex building (Jang and Lee 2018), improved collaboration and information

41 exchange through a BIM-based interdisciplinary approach (Jin et al. 2018), the optimal assembly sequence in a  
42 concrete building (Wang et al. 2018), and a preliminary framework to integrate the last planner system with  
43 BIM and OSM (Goyal and Gao 2018). These previous studies mainly emphasized the technological aspects of  
44 the integration of BIM and OSM. A recent quantitative study has also been conducted on the identified benefit  
45 of coordination and communication from the perspective of construction organizations (Hwang et al. 2018).  
46 However, the findings seem rather unspecific for the integration of BIM and OSM. There is still a lack of  
47 empirical explorations regarding the relations and effects of BIM in improving the performance of OSM projects.  
48 In particular, an in-depth analysis is required to determine the relationship path between BIM and the  
49 performance of OSM projects from a quantitative approach.

50

51 To address the research gap and questions above, this research aims to explore the direct relationship between  
52 BIM implementation and the performance of OSM projects through their key influential factors. A questionnaire  
53 survey was adopted to collect the empirical data, which were subsequently analyzed using the partial least  
54 squares (PLS) technique. The scope of this research was based in China, as various policies have been enacted  
55 by the Chinese government for driving the use of BIM and OSM in the construction industry. For instance, in  
56 September 2016, the General Office of the State Council of China released a policy, which is to suggest the  
57 proportion of OSM use should reach at least 30% of the new buildings within 10 years (General Office of the  
58 State Council of the PRC 2016). Ultimately, the research findings would help stakeholders of OSM projects

59 to understand how BIM can affect the performance of OSM projects in detail, and subsequently formulate  
60 relevant strategies for improving the project performance. They would also help to promote the effective  
61 adoption and use of these emerging approaches of BIM and OSM in the industry.

## 62 **Literature Review**

### 63 *Theoretical Backgrounds of BIM and OSM*

64 The use of BIM in construction projects has been a major focus in recent years. Most of research works  
65 emphasize BIM's abilities of information sharing and data exchange. Based on these nature, BIM could address  
66 some traditional construction constrains (disintegrated approach and inefficient delivery) and change the  
67 organizational workflow in construction projects. Therefore, leveraging BIM capabilities could effectively  
68 facilitate the degree of integration and collaboration across the various disciplines from the perspective of the  
69 whole project lifecycle (Ma et al. 2018).

70

71 On the other hand, OSM can be an alternative to the traditional construction method. Scholars have explored  
72 OSM's advantages, which can increase buildability, save labor requirements, improve health and safety  
73 performance, and reduce waste, noise, and dust (Pons and Wadel 2011; Lu et al. 2012). In developed countries,  
74 this construction approach has been used frequently to improve the speed and quality of construction delivery  
75 (Nadim and Goulding 2010).

76

77 Successful off-site manufacturing is based on effective information exchange between supply chains. This  
78 requires efficient information management systems such as BIM. The integration of BIM and OSM could  
79 promote mass customization in the construction sector (Huang 2007). For instance, BIM is available to obtain  
80 accurate geometrical data of building components and detailed management information in an integrated data  
81 environment (CRC Construction Innovation 2007). This helps to offer a more accurate design data to  
82 manufacturers in the manufacturing process. Moreover, BIM's visual system can simulate the assembly process  
83 and conduct the complicated site and related logistics management. These attributes of BIM could promote an  
84 early integration of information from design to construction, operation, and maintenance of the OSM project  
85 (Singh et al. 2011), establish close collaborative relationships among different stakeholders working in cross-  
86 enterprise environments (Rezgui et al. 2013; Nath et al. 2015), and finally enhance the productivity of the  
87 industry.

#### 88 *Related Works*

89 The related works on the integration of BIM and OSM show that this research area is still in its infancy. For  
90 instance, Nath et al. (2016) proposed that a BIM-based technologically enhanced workflow does lead to  
91 increased productivity in current off-site manufacturing industry; Liu et al. (2016) found that the key drivers of  
92 the business environment and entrepreneurial cognition can improve the technological shift toward modular  
93 prefabrication; Hwang et al. (2018) surveyed and confirmed that BIM is able to improve coordination and  
94 communication for prefabricated approaches in construction organizations in Singapore. Apart from that, many

95 technological advances have been innovated and proposed from the integration of BIM and OSM through case  
96 studies (Issac et al. 2016; Ramaji et al. 2017; Zhong et al. 2017; Jang and Lee 2018; Wang et al. 2018). Overall,  
97 the previous studies above have rendered fundamental knowledge and certain foundations for the integration of  
98 BIM and OSM. However, no prior research has investigated the interrelation between these new initiatives in  
99 depth. Hence, this study intends to fill this gap in the research by determining the relationship between BIM and  
100 OSM, particularly with respect to their implementation and key influential factors for improving the project  
101 performance.

102

### 103 **Hypotheses development**

104 BIM describes an object-oriented technology that revolutionizes projects' design and construction management.  
105 By employing BIM, a synthetic platform is digitally constructed to integrate key stakeholders during the  
106 lifecycle of a project (Emmitt et al. 2008; Attarzadeh et al. 2015). It has been used to manage projects from  
107 intra-firm orders toward inter-firm cooperation to enhance interoperability and effectiveness (Eadie et al. 2013).  
108 An early integration of information can be promoted from design to construction, operation, and maintenance  
109 of OSM projects (Singh et al. 2011; Goedert and Meadati 2008), which could coordinate the sequence of all  
110 activities in an appropriate manner and with well-developed logical relationships among processes (Allison  
111 2010), and establish close collaborative relationships among different stakeholders working in cross-enterprise  
112 environments (Rezgui et al. 2013). Moreover, advanced three-dimensional (3D) design, effective collaboration,

113 and enriched information are useful advantages of BIM to bridge OSM application gaps, which could help avoid  
114 long-winded lead-in times, high cost, and space clash (Ezcan et al. 2013). Integration management occupies an  
115 important position of project management, which refers to arranging a set of processes needed by a project  
116 orderly and economically (Demirkesen and Ozorhon 2017). Therefore, BIM implementation could provide an  
117 integration paradigm to achieve efficient management among OSM stakeholders. Thus, the following  
118 hypothesis is proposed:

119 **H1: BIM implementation relates positively to integration management**

120

121 The processes of OSM require highly continuous collaboration among all stakeholders to achieve project  
122 success. The building products are well organized, manufactured, labeled, and then delivered on time with a  
123 proper logistic arrangement to follow the building assembly process. This is in step with the theory of lean  
124 construction, which focuses on partners' mutual assistance, optimal arrangement, and integrated project  
125 management for higher productivity (Excellence Constructing 2004). The powerful function of the BIM  
126 platform can capture precise information provided for different participants and standardize the format of digital  
127 exchange for coordination and interaction with different parties across trades. The organizational integration in  
128 the project is vital, and involves experience, strategy, process, knowledge, and innovation. Therefore, it has  
129 great potential to promote the performance of OSM and enables unified organization to remain competitive  
130 (Berteaux and Javernick-Will 2015). Egan (2002) indicated that integration is conducive to team effectiveness.

131 Additionally, supply chain integration can develop an enriched and mature database of the OSM industry,  
132 including information of various types of OSM technology. This facilitates the exploration of partnerships and  
133 mitigation of market risks (Pan et al. 2012). Some studies stressed that flexible internal change has an important  
134 effect on better performance in the OSM projects management process (Söderlund 2010). It seems that  
135 integration management could reduce opportunistic behavior and enhance project management performance.  
136 Thus, the following hypothesis is proposed:

137 **H2: Integration management relates positively to the performance of OSM projects.**

138

139 The integration of BIM and OSM may need a new contract. Su (2013) pointed out that BIM should be used as  
140 an addendum or attachment in the existing contract system. Azhar (2011) and Chong et al. (2017) stated that it  
141 is necessary to create a new BIM legal protocol or framework covering legal issues, which could be viewed as  
142 a collateral contract to the main contract. These settings will allow participants to retain a familiar contractual  
143 framework when adopting BIM. Lee et al. (2018) proposed an integrative trust-based functional contracting  
144 model to explain how trust can improve BIM performance in EPC projects, which emphasized that BIM  
145 contracts can effectively build trust and collaboration among EPC parties and then achieve integration and  
146 contingency adaptability for project success. Chang et al. (2017) considered that a new type of contract should  
147 be signed between key project stakeholders to realize co-management and promote multilateral collaboration.  
148 Thus, contract management with respect to the BIM protocol is necessary for OSM projects. Because BIM



149 contains accurate quantities of building components and materials (Irizarry et al. 2013), and can be used to store,  
150 record and generate a series of documents (such as the volume of statistical tables, bill of materials, or schedule),  
151 which are the basis information for contract management. Utilizing BIM not only offers real-time document  
152 records and visualization capabilities but also supports multiparty integral design and collaborative teamwork.  
153 This could effectively avoid claims and improve the project integration level. Therefore, the following  
154 hypothesis is proposed:

155 **H3: Integration management is mediated positively by contract management.**

156

157 BIM offers all participants an effective communication and information exchange through the interoperable  
158 BIM components (Redmond et al. 2012). BIM is not only a simple software package but also a series of  
159 integrating processes and a platform cultivating collaborative relationships and patterns among project  
160 stakeholders (Liao and Teo 2018). Kimmance (2002) argued that there is an imperative demand for BIM  
161 technology to serve as an integral tool of information flow and workflow. This could enhance participants'  
162 flexibility in responding to requests for changes. Liu et al. (2015) designed a detailed construction schedule with  
163 a BIM-integrated function, which achieves an in-depth collaboration of BIM models with a simulated  
164 optimization scheme. Terreno et al. (2015) stated that BIM should be deployed beyond the design and  
165 construction phases of a project and the effective cooperation of BIM could result in more efficient and better-  
166 managed buildings. Therefore, BIM presents some significant changes in the traditional construction and

167 delivery processes. This technology enables the integration of all stakeholders' needs on the project and the  
168 solution of problems with joint efforts, and finally promotes greater coordination efficiency and harmonious  
169 relationships among players who saw each other as opponents in the past (Azhar et al. 2008). Implementing  
170 BIM would make electronic document data become available resources for all parties and foster cooperative  
171 behavior. Therefore, the following hypothesis is proposed:

172 **H4: BIM implementation relates positively to cooperation behavior**

173

174 Cooperation is the basic condition for projects' success (Bennett and Jayes 1998). Many studies pointed out that  
175 cooperation is closely related to project performance (Chen 1998). Cooperation facilitates different  
176 organizations from different backgrounds exchanging knowledge and information frequently (Pearce 2010;  
177 Mahama 2006). Consequently, cooperative players are able to amplify their individual efforts, which finally  
178 leads to an increase in performance. Additionally, frequent information exchange is the basic element of  
179 cooperation and a key characteristic to solve problems and realize joint optimal outcomes (Cannon and Perreault  
180 1999). Different organizations should develop adaptability to changes, which enables participants to fit in the  
181 exchange environment quickly and obtain mutual benefits, and then improve their overall performance (Heide  
182 and Miner 1992). Finally, cooperation behavior is conducive to fostering trust relationships and inspiring the  
183 diffusion of valuable knowledge and innovations, which lessens opportunism and contributes to project  
184 performance. Based on the above statements, cooperation behavior performed in OSM projects could have a

185 positive impact on partnership and performance. Therefore, the following hypothesis is proposed:

186 **H5: Cooperation behavior relates positively to performance of off-site manufacturing.**

187

188 One of the functions of a contract is to minimize transaction costs. The costs accrue from opportunism and

189 information asymmetry under an integral system. In order to avoid opportunism, a correct and matching contract

190 should be constructed, as proper contract management will positively influence project performance (Gong et

191 al. 2005). Therefore, when BIM is used in the OSM industry, a new contract system will be formed. Contracts

192 have always highlighted a formal, legalistic agreement to link different organizations by business partnerships

193 (Ferguson et al. 2005). Contract management is identified as a way to prevent ex ante and ex post risks of

194 opportunism and secure partner investments. Signing contracts represents the specific obligation and right of

195 conducting projects in the future. Roehrich and Lewis (2010) concluded that constructing clear and detailed

196 contract terms could reduce uncertainties to a certain extent. Moreover, it helps to restrain opportunism through

197 a compulsory legal effect. Luo (2002) indicated that a project contract is closely related to the interests of the

198 company, and thus it drives stakeholders to complete tasks mutually and achieve better cooperation and

199 performance. Dong and Chiara (2010) reported that interdependent flexibilities of contracts can equilibrate the

200 risks between stakeholders and increase partners' outcomes in an uncertain environment. It can be explained

201 that a certain degree of freedom within a contract structure could provide the essential "adaptability" to deal

202 with changes (economic, technological, legal, etc.). In the OSM industry, projects are usually confronted with

203 complex, inaccurate, and uncertain workflows, and flexible changes need to be supported by each stakeholder.

204 The shared expectation of outcomes and good relationship would drive stakeholders to support each other. Based

205 on the above perceptions, contract management is not restricted to transaction affairs, but is also used to maintain

206 partnerships from long-term development, and finally achieve the cooperation probability. Therefore, the

207 following hypothesis is proposed:

208 **H6: Cooperation behavior is mediated positively by contract management**

209

210 In sum, six hypotheses have been formulated and organized in a conceptual model, as illustrated in Figure 1. It

211 consists of two major paths, namely, the technical path (H1, H2, and H3) of integration management from BIM

212 implementation toward the performance of OSM projects and the soft or behavioral path (H4, H5, and H6) of

213 cooperation behavior from BIM implementation toward the performance of OSM projects. Both paths are

214 mediated by contract management. As the scope of BIM implementation in a project is determined at the outset,

215 it is treated as the only independent and exogenous variable (represented by an oval). BIM implementation is

216 also one of key elements of the “push model” of the performance of OSM projects.

217 *Insert fig.1.*

218

## 219 **Research Approach**

220 Figure 2 depicts the overall research design and flowchart. The research includes six major stages. In Stages 1

221 and 2, the conceptual model and six hypotheses were formulated, which were the results of the “hypotheses

222 development.” In Stage 3, 26 items were identified to measure the variables. In Stage 4, an industry-wide  
223 questionnaire survey was conducted to collect the primary data. In Stage 5, the structure equation modeling  
224 (SEM)-PLS technique was used to analyze the survey data.

225 *Insert fig.2.*

226 A questionnaire survey approach was adopted to collect the data, and SEM was used to analyze the relationship  
227 among the variables through the PLS technique. This technique is tested by the cross-validation method of Stone  
228 (1974) and Geisser (1974) and used to detect the causal relationships and measure small data samples and  
229 skewed distributions (Hulland 2015). Therefore, the PLS method was an appropriate approach to analyze  
230 individuals’ attitudes that were measured on a five-point Likert scale.

231 The questionnaire was designed based on the constructs in the conceptual model, and they were distributed to  
232 industry participants who are acquainted with BIM technology and OSM. Initially, the key variables are  
233 identified by a sequence of relative literature, and then the preliminary questionnaire was checked by three  
234 industry experts to confirm the clarity of the measurement items as per the context. They serve as the managing  
235 director, general manager, and senior project manager of local publicly listed companies, with an average 30  
236 years of working experience. Then, a validity evaluation of the scale was conducted and nine items were deleted  
237 as they did not satisfy the convergent validity. Finally, the remaining 26 items were formulated as shown in  
238 Table 1. In this research, the conceptual model (Fig. 1) contains five variables, of which four are latent variables  
239 (expressed by a rectangle). As the scope of BIM implementation in a project is determined at the outset, it is

240 treated as the independent and only exogenous variable (expressed by a circle). This construct relates to the  
241 extent to which BIM is applied in OSM projects. Two dimensions, BIM LOD and project phases, can be used  
242 to measure the BIM implementation. A multiplicative index provides a more reliable measure than a simple  
243 additive index for the extent to which BIM has affected a project. The level of information contained in a BIM  
244 model, and its corresponding available data, is described by the common term of “level of development” (LOD).  
245 This type of BIM maturity model had been developed by Bew and Richards (2008) and the U.K. Government  
246 Construction Client Group (2011). Furthermore, Chang et al. (2017) proposed to use BIM LOD and the project  
247 phase to reflect the BIM degree, and also provide a calculation method to capture the combined effect of the  
248 dimensions. This study used the same method to measure the construct of “BIM implementation”, which is  
249 calculated by taking the multiplication of each dimension as shown in Table 2. Furthermore, all measures of the  
250 constructs were generated from the literature review and were verified by the industry experts via interviews in  
251 this research context.

## 252 **Data Analysis and Findings**

### 253 *Data collection*

254 The data were collected via the snowballing approach in China: sending the survey link to BIM and OSM  
255 professionals as well as distributing 40 questionnaires in person. In total, 205 sets of questionnaires were  
256 received, but only 177 of them were fully completed and valid for the data analysis. The background of the  
257 respondents spans five professions (owner, contractor, designer, manufacturer, and supervisor) with the

258 proportions of 38.4%, 26%, 18.1%, 9.6%, and 7.9%, respectively. Among the 177 respondents, 35.6% have  
259 been working in their current position for 1–5 years, 34.5 % for 6–10 years, 14.7% for 11–15 years, 7.9% for  
260 16–20 years, and 7.3% for more than 20 years. Most of the respondents were from Chinese top-50 real estate  
261 companies, architecture design institutes, prefabrication manufacture companies, and construction contractor  
262 organizations. The majority of the projects were relatively large, of mixed-type development. For example,  
263 Beijing Haidian Yongfeng City Project of Vanke (268000 m<sup>2</sup>), New Industrial Park Service Center Project in  
264 Chengdu (90000 m<sup>2</sup>), Chongqing prefabrication building R & D center Project (40000 m<sup>2</sup>), Chongqing Jiangbei  
265 mouth Financial City (No.4) Project (270000 m<sup>2</sup>), Vanke jinyu south county garden in Kunming (64900 m<sup>2</sup>),  
266 and so on.

267  
268 Moreover, the majority of projects have reached LOD 200 (31.1%) and LOD400 (27.2%) with similar  
269 proportions, meaning that a managed 3D environment has been built up using BIM, but the rate of BIM LOD500  
270 features [e.g., four-dimensional (4D) construction sequencing, five-dimensional (5D) (cost information), and  
271 even six-dimensional (6D) (lifecycle information)] is still relatively low. As shown in Figure 3, BIM has been  
272 applied to various functions in OSM projects, and more than 50% of them have used BIM for the design and  
273 construction. Alternatively, the proportion of production and manufacturing is up to 21.3%.

274 *Insert fig.3.*

275 *Reliability and Validity Test*

276 A thorough measurement analysis is conducted in all constructs to reduce measurement error before  
277 implementing the hypothesis testing. The analysis includes an evaluation of the reliability and validity for this  
278 study. Generally, the internal consistency reliability is estimated through Cronbach's alpha in the research model.  
279 The value of Cronbach's alpha should be greater than 0.7 for an acceptable level of reliability (Hair et al. 2006).  
280 The validity is reflected by the composite reliability (CR) and average variance extracted (AVE). The threshold  
281 values of CR and AVE are suggested to be greater than 0.7 and 0.5, respectively (Fornell and Larcker 1981).  
282 Table 3 shows that the Cronbach's alpha values range from 0.868 to 0.899, the CR values range from 0.905 to  
283 0.919, and the AVE values range from 0.585 to 0.656. All of them are above the required threshold values. Thus,  
284 this research demonstrates high internal consistency and convergent validity

285

286 Next, the discriminant validity should be considered, which is to distinguish the constructs. This observed  
287 dimension can be obtained from the comparison of the square root of an AVE with the absolute value of the  
288 correlative coefficients of the other latent variables. As shown in Table 4, the minimum of the square root of  
289 AVE is greater than the maximum of the absolute values of the correlative coefficients. Thus, the discriminant  
290 validity is satisfied.

291

### 292 *Hypothesis testing*

293 Table 5 shows the relationship between the BIM implementation and the performance of OSM projects through



294 the six proposed hypotheses. To analyze the direct relationship between BIM and the performance of OSM  
295 projects, the two direct paths of H1, H2, H4, and H5 (Model 1) need to be analyzed first, and all results are  
296 positively significant. This means that the BIM implementation can trigger integration management ( $b = 0.185$ ,  
297  $p < 0.01$ ) and cooperation behavior ( $b = 0.164$ ,  $p < 0.05$ ) in the OSM industry. Moreover, integration  
298 management is more effective than cooperation behavior. The hypothesized relationship between IM and POSM  
299 (H2) and that between CB and POSM (H5) are significant and positive as well ( $b = 0.282$ ,  $p < 0.01$ ;  $b = 0.205$ ,  
300  $p < 0.01$ ). As for the moderating effect of contract management, H3 and H6 (Model 2) have been analyzed  
301 accordingly. The results show that H3 is negatively significant ( $b = -0.223$ ,  $p < 0.05$ ) and H6 is positively  
302 significant ( $b = 0.280$ ,  $p < 0.05$ ). Therefore, H3 is not accepted per the original hypothesis. This suggests that  
303 only cooperation behavior is positively mediated by contract management in improving the performance of  
304 OSM projects.

305

## 306 **Discussions**

307 This study has determined the relationship between BIM implementation and the performance of OSM projects  
308 through testing the proposed hypotheses. Utilizing BIM in OSM projects can effectively increase the project  
309 performance. The first contribution of this study is the discovery of a strong relationship between BIM  
310 implementation and the performance of OSM through integration management and cooperation behavior. The  
311 result is supported by the task technology fit theory. It suggests that Information Technology (IT) and

312 Information Communication Technology (ICT) have a positive effect on work performance (Goodhue and  
313 Thompson 1995) when the technological abilities are suitable for the demanded tasks. This effect will influence  
314 the user attitude toward deploying this technology, especially under the organization environment. From  
315 previous literature, it verified that BIM functions could be used widely in OSM projects and help to solve a  
316 series of realistic questions, such as digital manufacturing and logistics information tracking. With the  
317 background of modernization and development of construction industrialization, it is necessary to adopt new  
318 innovative technologies to effect a paradigm shift in the AEC industry. BIM and OSM have gained popularity  
319 amongst key players in the construction industry. Exploring how BIM technology affects OSM performance is  
320 meaningful and essential.

321

322 The second contribution of this research is the coordination of integration management and cooperation  
323 behavior for improving the performance of OSM projects. Despite of BIM's LOD and uses in different phases  
324 of the project, contract management affects the project stakeholders' behavior and practice in OSM projects. In  
325 which, contract management has different moderating effects on the two direct paths, which can weaken or  
326 enhance the performance of OSM projects. The weakening effect can be explained through the structure of  
327 formal contracts, which would limit the integration process to some extent. Contracts represent a legal bond and  
328 set a series of strict clauses to constrain partners' behavior and achieve self-interests (Hope and Fraser 2003), in  
329 this case, it would hurt the mutual trust, and the partnership and integration practice could be negatively affected

330 in the OSM process. This extends and clarifies the need for a clearly defined and well-connected relationship  
331 among the project stakeholders in the BIM contractual framework (Chong et al. 2017) via coordinating it  
332 through the flexible contract management in the soft or behavioral path of the SEM model. This helps to reduce  
333 the barriers during the integral process, especially the recognition of contract management as an institutional  
334 framework for rigidly governing all the transactions in the project.

335

### 336 **Conclusion**

337 This research has explored the relationship between BIM implementation and the performance of OSM projects  
338 through the direct technical and soft/behavioral paths. The SEM approach was adopted and analyzed using PLS  
339 to test the paths based on 177 responded questionnaires. The results show that BIM is able to improve the  
340 performance of OSM projects through its integration management and cooperation behavior. Contract  
341 management serves as a moderating effect, which can negatively affect the relationship between integration  
342 management and the performance of OSM. This research could be the first empirical study on determining the  
343 relations and effects of BIM in improving the performance of OSM projects from a quantitative approach. It  
344 extends the related body of knowledge by providing a new approach and strategy for improving the performance  
345 of OSM projects through BIM implementation. The identified relationships between BIM implementation and  
346 the performance of OSM projects are generalizable, as per the neutral sources of the literature review for its  
347 SEM constructs. The study also yields useful implications for industrial stakeholders and policy makers.

348 Utilizing BIM technology, construction practitioners may better carry out OSM projects. Through this study,  
349 policy makers can rethink current technological support with the focus on promoting inter-firm integration and  
350 setting up cooperative arrangements.

351

352 Certain limitations need to be considered in this study. One limitation pertains to the sample size. Although the  
353 177 valid responses meet the requirement for the SEM-PLS analysis, a larger-sized sample may strengthen the  
354 validity of the model. Other key influential factors could be investigated, such as trust and organizational  
355 behaviors. They may play critical roles in the model, especially for the moderating effects of contract  
356 management. Furthermore, the research has not considered and calculated the actual improved performance  
357 from the BIM implementation. Although the hypotheses have been confirmed and tested for their relationships  
358 and effects of BIM in OSM projects, the direct measurement of BIM implementation towards the performance  
359 of OSM projects should be considered in future studies either through a case study approach or a rigorous  
360 simulation approach such as agent-based modelling from the key stakeholders' perspective.

361

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539 **Figure Caption List**

540 ●**Fig.1. Conceptual model between BIM implementation and performance of**

541 **OSM projects**

542 ●**Fig.2. Research design and flowchart**

543 ●**Fig.3. Project phases assisted by BIM**

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554 **Tables**

555 **Table 1.** Constructs and Items

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Constructs	Items	Sources
Integration management (IM)	A1 BIM can manage project document effectively and provide access to stakeholders to download.	Singh et al. (2011) Goedert, J. D., and Meadati, P. (2008)

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	A2 Using BIM platform to exchange knowledge and information with all parties is faster and more effective.	Bryde et al. (2013)
	A3 Building information model can be effectively used to coordinate sequence of all activities in an appropriate manner and well-developed logical relationships among processes.	Allison (2010)
	A4 The application of BIM can achieve the integration of project staff into the current project processes and increase teamwork effectiveness at the project level.	Demirkesen and Ozorhon (2017) Emmitt et al. (2008)
	A5 BIM represents a new paradigm, can integrate customers, suppliers, contractors, and operators into the whole processes and development of knowledge sharing mechanisms among customers, suppliers, and project teams.	Demirkesen and Ozorhon (2017) Rezgui et al. (2013)
	A6 The team use BIM to manage and record all change requests in the project, making modifications, updates in project management plan and project documents, and integration of all changes into project deliverable.	Demirkesen and Ozorhon (2017) Egan (2002)
Cooperation behavior(CB)	B1 The interoperable BIM components underpin effective communication and information exchange between stakeholders.	Liao and Teo (2018) Redmond et al. (2012)
	B2 Flexibility in response to requests for changes is a characteristic of our relationship.	Pearce (2001) Faerman et al. (2001)
	B3 When an unexpected situation arises, the partners would rather work out a new deal	Pearce (2001) Bello and Gilliland

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	than hold each other to the original terms.	(1997)
	B4 Exchange of information in our relationship takes place frequently, informally, and openly.	Pearce (2001) Mahama (2006)
	B5 The partners keep one another informed of changes and events that might affect them.	Pearce (2001)
	B6 In most aspects of our relationship, the parties are jointly responsible for getting things done.	Pearce (2001) Cannon and Perreault (1999)
	B7 Problems are treated as joint rather than individual responsibilities in the cooperation process.	Pearce (2001) Cannon and Perreault (1999)
Contract management(CM)	C1 The contract has a clear expression of the default.	Lu et al. (2015)
	C2 The contract has specified the procedures and methods for disputes.	Lu et al. (2015)
	C3 A new type of contract should be signed between key project stakeholders to realize co-management and promote multilateral collaboration.	Azhar et al. (2008) Chang et al. (2017) Chong et al.(2017)
	C4 Our relationship with the partner is governed primarily by written contracts and agreements.	Lui et al. (2009)
	C5 We have formal agreements that detail the obligations and rights of both parties.	Azhar et al. (2008) Lui et al. (2009)
	C6 The contract has specified major principles or guidelines for handling unanticipated contingencies as they arise.	Ferguson et al. (2005) Lu et al. (2015)
	C7 The contract has allowed us to respond	Lu et al. (2014)

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	quickly to match evolving client requirements.	
	C8 The contract has provided alternative solutions for potential contingencies.	Lu et al. (2014)
Performance of off-site manufacturing (POSM)	E1 This project has come in on schedule.	Pinto et al. (2009)
	E2 This project has come in on budget.	Pinto et al. (2009)
	E3 This project can realize the timeliness of components transportation.	Pasquire and Connolly (2002)
	E4 This project can achieve safe construction.	Pinto et al. (2009)
	E5 The construction quality and the deliverable quality accord with the standard.	Pinto et al. (2009)

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**Table 2.** Measurement of Degree of BIM Implementation

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BIM Level	Phases of project
LOD100: The element is represented with a generic representation	Operation and maintenance
LOD200: The element is represented with a generic object	Design

LOD300: The element is represented as a specific object with a specific assembly      Logistics and transportation

LOD400: The element is represented as a specific object with a specific assembly and with the installation details      Construction

LOD500: The element is a field verified representation      Operation and maintenance

Normalized score = number of level/5.      Normalized score = number of phases assisted by BIM/5

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**Table 3.** Measurement Properties

Constructs	Items	Outer Loading	Cronbach's alpha	Composite Reliability	Average Variance Extracted
IM	A1	0.714	0.885	0.913	0.636
	A2	0.835			
	A3	0.815			
	A4	0.808			
	A5	0.829			
	A6	0.776			
CB	B1	0.748	0.882	0.908	0.585
	B2	0.716			

	B3	0.747			
	B4	0.803			
	B5	0.783			
	B6	0.787			
	B7	0.769			
CM	C1	0.803	0.899	0.919	0.587
	C2	0.796			
	C3	0.753			
	C4	0.765			
	C5	0.712			
	C6	0.780			
	C7	0.772			
	C8	0.747			
POSM	E1	0.860	0.868	0.905	0.656
	E2	0.743			
	E3	0.837			
	E4	0.803			
	E5	0.802			

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**Table 4.** Correlations of Latent Variables and the Values of Discriminant Validity

Variables	BI	CB	CM	IM	POSM
BI	1.000				
CB	0.164	0.765			
CM	0.179	0.759	0.766		
IM	0.185	0.752	0.716	0.797	
POSM	0.236	0.664	0.677	0.694	0.810

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Note: BI=BIM implementation; CB=Cooperation Behavior; CM=Contract Management;  
IM=Integration Management; POSM=Performance of Off-site Manufacturing.

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**Table 5.** Results of Hypothesis Testing

Hypothesis	Path	Model 1		Model 2		Results
		Coefficients	T values	Coefficients	T values	
H1(+)	BI→ IM	0.185**	2.724	0.185**	2.702	YES
H2(+)	IM→ POSM	0.445***	5.344	0.282**	2.814	YES
H4(-)	BI→ CB	0.164*	2.076	0.164*	2.117	YES
H5(+)	CB→ POSM	0.330***	4.277	0.205**	2.581	YES
	Moderating effect					
H3(+)	IM-CM→ POSM			-0.223*	2.003	NO



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H6(+)	CB-CM → POSM	0.280*	2.792	YES
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