

1 Rock Climbing Injuries Treated in US Emergency Departments 2008-
2 2016

Running Head: Rock Climbing Injuries

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4

5 **Abstract**

6 **Introduction:** Previous research identified a trend for increasing numbers of injuries sustained
7 while rock climbing. This study investigates if that trend continued, and describes characteristics
8 of climbing injuries. **Methods:** The National Electronic Injury Surveillance System registry was
9 searched for rock climbing injuries in US emergency departments (ED) 2008-2016, among
10 patients aged ≥ 7 y. Variables included each patient's age, diagnosis, injured body part,
11 mechanism of injury and disposition. Injuries were graded using International Mountaineering
12 and Climbing Federation injury grades. National estimates were generated using sample
13 weighting. **Results:** There were an estimated 34,785 rock climbing injuries seen in ED
14 nationally, a mean of 3,816 per year (SD 854). Median age of injured climbers was 24 y (range
15 7-77), with those aged 20-39 accounting for 60%, and males for 66 %, respectively. Fractures
16 (27%), then sprains and strains (26%) were the most common types of injuries. The most
17 frequently injured body parts were lower extremities (47%), followed by upper extremities
18 (25%). The most commonly fractured body part (27%) was the ankle. The knee and lower leg
19 accounted for 42% of all lacerations and were 5.8 times as likely as lacerations to other body
20 parts. Falls were the most common mechanism, accounting for 60% of all injuries. **Conclusions:**
21 This study reports continued increase in annual numbers of climbing injuries. Whether this is
22 based on a higher injury rate or on a higher number of climbers overall cannot be stated with
23 certainty as no denominator is presented to estimate the injury rate among climbers.

24

25 **Keywords: Trauma severity indices, accidental falls, fracture dislocation, lacerations**

26 **Introduction**

27 Rock climbing, and especially indoor climbing, is an increasingly popular sport world-wide.¹
28 With climbing's inclusion into the Olympic program for Tokyo in 2020 this trend will likely
29 continue.² With the increased popularity of competitive sport climbing, an increase in injury rate
30 and severity may be expected.³ While the sport of rock climbing originated from mountain
31 climbing, it was developed into a sport in itself within the 1980s and early 1990s, based on the
32 free climbing scene in Yosemite Valley. A parallel development occurred in the Elbsandstein, in
33 former East Germany.^{4,5} An analysis of the separate disciplines of climbing shows that overall,
34 alpine (traditional) climbing has higher injury risk than sport and indoor climbing.⁶⁻¹⁰ Alpine and
35 ice climbing have more objective dangers that affect climber safety.⁵ In alpine climbing, injuries
36 mostly occur through falls and affect the lower extremity.^{1, 5, 11, 12} Most injuries in sport climbing
37 are overstrain injuries of the upper extremity while performing a strenuous move.^{5, 11, 12} In
38 bouldering many injuries are related to the foot and ankle, resulting from falls.³ Objective
39 reporting of injury site and severity vary between studies according to injury definition and
40 methodology used.^{5, 12} This creates differences in injury/fatality metrics and conclusions which,
41 in turn, make inter-study comparisons difficult.^{5, 13} To minimize these differences, in 2011 the
42 International Mountaineering and Climbing Federation (UIAA) Medical Commission developed
43 an injury grading system which was proposed to be used in future climbing studies.¹³ The six
44 UIAA grades of injury severity are shown in Table 1.

45

46 Modern belay and safety equipment evolved and studies in the 1990s on rock climbing injuries
47 showed a higher injury rate and severity than more recent analyses^{5, 11, 14-16} With improved belay
48 and safety equipment, injury rates may be expected to decline, while on the other hand new

49 techniques and dynamics (e.g. high indoor climbing walls) may increase injury rates.^{2,3} Thus,
50 regular re-evaluation of injuries associated with climbing is necessary. This may be through
51 studies of climbing populations,^{7, 12, 17-24} patients in certain centers which focus on climbing
52 injuries,^{25, 26} injuries at certain climbing walls over time,⁸ competition,^{10, 27} a competition circle,²¹
53 web based questionnaire,¹⁷ or analysis of national data banks.¹ National datasets, in particular,
54 offer the chance for longitudinal research.

55
56 Nelson et al.¹ evaluated the National Electronic Injury Surveillance System (NEISS) registry for
57 rock climbing injuries treated in US emergency departments (ED) in the years 1990 to 2007.
58 Within this period there was a rise in annual ED presentations for rock climbing injuries, from an
59 estimated 1617 cases in 1990 to an estimated 2,637 cases in 2007.¹ Following the same criteria
60 as Nelson et al.,¹ this study aimed both to establish if growth in rock climbing injuries continued
61 after 2007, and if the demographic/distribution of the injuries differed between the previous
62 study and 2008-2016. In addition, injuries were graded with the UIAA score,¹³ to enable
63 comparison with other studies presenting or reviewing injury severity.^{5, 11, 28}

64

65 **Methods**

66 The Consumer Product Safety Commission (CPSC) maintain the NEISS, a national register of
67 ED presentations collected from around 100 hospitals in US and US Territories. Probability
68 weighting enables the sample to extrapolate national estimates for the ~5000 EDs in the wider
69 US and US territories. In essence, the NEISS sampling frame consists of five strata; four
70 according to hospital size and the fifth being children's hospitals. Hospital weightings are
71 initially equal to the inverse of the probability of selection at the stratum level, which are then

72 adjusted for non-response or hospital mergers. The total number of ED visits each year is used to
73 generate a ratio adjustment to the weighting of each hospital, based on the anticipated number of
74 hospital visits for the NEISS sample of hospitals. In this way the weightings are adjusted each
75 year to match the actual number of ED visits to hospitals in the NEISS sampling frame, which
76 are a known quantity suitable for calibrating the weights.²⁹ Whenever a hospital is removed from
77 the sampling frame the highest ranked hospital within the same stratum is invited to replace the
78 departing hospital. Since weights are recalibrated each year, longitudinal analyses of national
79 estimates are possible even with a dynamic sampling frame and, each year, the previous year's
80 de-identified data are made available through the CPSC website.

81

82 NEISS data for 2008-2016 were imported into Windows Notebook as tab-delineated text.

83 Product code 1258 identifies injuries related to “climbing gear/equipment” in the NEISS

84 dataset.¹ Initially 1,089 cases were identified as involving product code 1258. Each case

85 narrative was read and cases involving children aged six y or less (n=27) were excluded, as were

86 cases not involving rock climbing (n=178), such as injuries from ice climbing, mountaineering or

87 other activities not associated with rock climbing. The remaining dataset included 884

88 presentations to US ED for rock climbing injuries in persons aged 7 y or older. A human

89 research ethics application was submitted to the institutional review board of the Divers Alert

90 Network but this analysis of publicly available de-identified data was ruled exempt from

91 requiring approval.

92

93 **Variables**

94 As with the Nelson study,¹ data regarding each patient's age, diagnosis, injured body part and
95 disposition were classified into categorical variables. Three age groups were formed: 7-19 y, 20-
96 39 y and ≥ 40 y. Diagnoses were classed as soft tissue (including abrasions, contusions,
97 hematomas and crush injuries), lacerations (including punctures and avulsions), sprains and/or
98 strains, dislocations, fractures and amputations, concussions and other. All injuries were graded
99 using the UIAA score for injury severity.¹³ Injured body parts were classed as involving the head
100 (including the neck, face, ears, eyes and mouth), torso (including the upper and lower trunk, hips
101 and pubic region), upper extremities (including the shoulders, arms, hands and fingers), lower
102 extremities (including the legs, ankles, feet and toes) or other (including injury codes for other,
103 internal injuries, 25-50% of the body and all parts of the body). Disposition was classed as not
104 hospitalized (left without being seen, treated and released, or held for observation for < 24 h) or
105 hospitalized (admitted or transferred to another hospital). Each case narrative was read and,
106 where noted, fall height was classed as ≤ 6 m (20ft), or > 6 m (20ft). The mechanism of injury was
107 classed as an overexertion (e.g. felt pain while performing a move), struck by an object, a hit or
108 strike, a fall, or other.

109

110 **Analysis**

111 Data were imported into SAS version 9.4 (SAS, Cary, NC) for analysis. With the exception of
112 the total number of NEISS cases, reported data represent national estimates and all statistical
113 tests were performed on national estimates. The dataset met CPSC criteria for reliability, I.E. > 20
114 actual cases in any one cell, $> 1,200$ estimated cases nationally and a coefficient of variation
115 < 0.3 .³⁰ Parametric bootstrapping was performed to estimate the mean number of rock climbing
116 injuries seen nationally each year in US EDs, with a 95% confidence interval and standard

117 deviation. Linear regression was performed to assess any trend in the annual estimated number
118 of injuries. Variables of interest were compared between binary variables using chi-square tests
119 with Cochran-Mantel-Haenszal odds ratios and 95% confidence intervals. No tests for significant
120 differences were performed in this study however the number of odds ratios presented requires
121 that readers exercise caution when interpreting 95% confidence intervals that approach zero at
122 either limit.

123

124

125 **Results**

126 Between 2008 and 2016 there were 3,441,545 ED presentations recorded, representing a national
127 estimate of 127,206,510 injuries. Of these, 884 (0.03%) were attributed to rock climbing,
128 representing 34,785 nationally (0.03%), a mean of 3,816 per year (95% CI 2,107, 5,525, SD
129 854). The estimated annual number of cases are presented in Figure 1, with linear trendline. The
130 gradient of the trend for the increasing number of cases per year is given in Equation 1, where
131 year = the number of years after 2007 and n = the national estimate of cases.

$$132 \quad n = 2541 + 265(\textit{year}) \quad (1)$$

133

134 The median age of the injured climbers was 24 y (range 7-77), with those aged 20-39 accounting
135 for 60% of the ED presentations. Males accounted for two thirds of injured climbers (Table 2).

136

137 **Injury Diagnosis and Injured Body Part**

138 Fractures, then sprains and strains were the two most common types of injuries, at 27% and 26%
139 respectively, followed by soft tissue injuries, lacerations, and dislocations (Table 2). Other

140 injuries (21%) made up the remainder. The most frequently injured body parts were lower
141 extremities (47%), and upper extremities (25%), followed by the torso and the head (Table 2). Of
142 the fractures, the most commonly injured body part (27%) was the ankle (n=2,533, OR=1.48,
143 95% CI 1.40, 1.56). The ankle also accounted for 48% of the sprains and strains (n=4,435,
144 OR=9.98, 95% CI 6.60, 7.38). The knee and lower leg accounted for 42% of all lacerations
145 (n=1,583) and were 5.8 times as likely as lacerations to other parts of the body (95% CI 5.4, 6.2).
146 The shoulder accounted for 27% of all upper extremity injuries (n=2,400), the elbow 16%
147 (n=1,425), and wrist 15% (n=1,276). Among lower extremity injuries, the ankle was again the
148 most commonly injured (n=7,527, 46%), followed by the foot (n=3,135, 19%), and lower leg
149 (n=2,978, 18%). Table 2 presents injured body parts and diagnosis by age group.

150

151 **Mechanism of Injury and Fall Height**

152 Falls accounted for 60% of all rock climbing injuries, followed by hitting or striking,
153 overexertion and being hit or struck by an object. Compared with other causes of injury, the odds
154 of falling as the cause decreased with age (Table 3). Of the 20,802 falls, 8,262 (40%) resulted in
155 a fracture (OR 6.8, 95% 6.4, 7.3), and 4,930 (24%) resulted in a sprain or strain. Among climbers
156 who did not suffer a fracture, the risk of a sprain or strain was 1.3 times as likely as suffering
157 another type of injury (95% CI 1.3, 1.4). Climbers injured by hitting or striking (n=1,800, 26%)
158 were 4.7 times as likely to suffer a laceration as another type of injury (95% CI 4.4, 5.0). Among
159 injuries resulting from overexertion, sprains and strains were the most common consequence
160 (n=2,467, 48%, OR 3.2, 95% CI 3.0, 3.4).

161 Fall height was identified from case narratives in 10,140 cases, (29%). Among those, falling
162 from a height >6m (20ft) (n=2,711, 27%) increased the odds of a fracture by a factor of 2.5 (95%

163 CI 2.3, 2.8). Falling from a height $\leq 6\text{m}$ (20ft) ($n=7,428$, 73%) increased the odds of a sprain or
164 strain by a ratio of 3.9 (95% CI 3.3, 4.5). Fractures (21%) were 8.3 times as likely as other types
165 of injuries to result in hospitalization ($n=2,040$, 95% CI 7.6, 9.0). There were also an estimated
166 1,418 lower leg injuries that resulted in hospitalization, which were 1.1 times as likely to result
167 in hospitalization as other injuries (95% CI 1.0, 1.2).

168

169 **Injury grading**

170 There were $<1,200$ estimated cases with a UIAA grading of 1, 30,922 with grade 2, 3,485 with
171 grade 3 and $<1,200$ with grade 4. Therefore, only grades 2 and 3 were further investigated (Table
172 4). Compared with other grades of injury, grade 2 injuries were 1.4 times (95% CI 1.2, 1.5) as
173 likely to involve the ankle as the injured body part and grade 3 injuries were 5.5 times (95% CI
174 5.0, 6.1) as likely to result from falling.

175

176 **Disposition**

177 An estimated 2,851 patients (8%) were hospitalized. Of the 1,953 of those for whom the fall
178 height was determinable from the case notes, 50% fell 6m (20ft) or less and 50% fell $>6\text{m}$ (20ft).
179 Among those hospitalized, the odds of the injured being male were 1.6 times that of being female
180 (95% CI 1.5, 1.7).

181

182 **Discussion**

183 Our study is a follow up analysis of NEISS data to be compared with a prior analysis of these
184 data from 1990–2007.¹ Since 2007 there has been an accumulation of an additional 265 cases per
185 year (Eq. 1), almost doubling the number seen in ED over the study period from around 2,500 to

186 nearly 5,000. This may be due to the ever increasing popularity in climbing overall,^{3,31} or to an
187 increase in relative difficulty, or to some combination of both. This trend will likely continue
188 with the inclusion of climbing into the Olympic program for Tokyo 2020.² It must be noted that
189 the Nelson study included children under 7 years of age, while we considered these as
190 “playground injuries” since children so young are not considered sport climbers.²⁸ The mean age
191 in the Nelson study was 26 y and, while the distribution of age was not normal in our sample, the
192 mean age in this study was 26.7 y (SD 76.1).

193

194 From 1990–2007 the lower extremities were the most frequently injured body parts, accounting
195 for 46% of all injuries; ankle injuries accounted for 19%.¹ In our follow up analysis a similar
196 47% of all injuries also involved the lower extremity. The ankle was also leading in numbers of
197 fractures and sprains, as well as in UIAA grade 3 injuries. Falls accounted for 60% of all rock
198 climbing injuries, followed by hitting or striking an object and overexertion (15%). In the prior
199 study, falls were the mechanism of injury in more than three quarters of all rock climbing
200 injuries (77.5%) and overexertion was the cause in only 3.1%.¹

201

202 The proportion of injuries caused by hitting or striking an object increased from about 7% in the
203 prior study,¹ to about 20% nowadays. Classifying case narratives can be relatively subjective,
204 e.g. when “hitting or striking an object” is implied but not explicitly stated. Falling and hitting
205 the wall through the pull of the rope, which produces a so called “rock hit” trauma³², is
206 technically both, a fall and collision with an object. Thus, any difference in studies may be, at
207 least in part, due to different injury mechanism classifications.

208

209 In the present analysis, we classified injuries in accordance with the UIAA grades (Table 1).¹³
210 Given these data were from US ED, UIAA grade 1 injuries were almost not found in the data.
211 Other studies even exclude grade 1 injuries completely from the injury analysis,^{5, 8, 24} as they
212 mostly receive self-therapy. Grade 2 injuries were the most common and, by definition, were 106
213 times as likely to involve sprains or strains than other UIAA grade injuries. Similarly, compared
214 with other UIAA grade injuries, UIAA grade 3 injuries were 12 times as likely to involve
215 fractures. The ankle was more likely injured among grade 2 injuries than among other grades,
216 and the mechanism was nearly four times as likely due to a hit or strike than in other UIAA
217 grade injuries. In UIAA grade 3 injuries the mechanism was 5.5 times as likely the result of a fall
218 than in other UIAA grade injuries, meaning that falls resulted in more serious injuries. Grade 4
219 injuries were rare, and grade 5 and 6 not reported (as grade 6 injuries are defined as immediate
220 death they could not enter this study).¹³ Also grade 5 injuries, which are defined as: “Acute
221 mortal danger, polytrauma, immediate prehospital doctor or experienced trauma paramedic
222 attendance if possible, acute surgical intervention, outcome: death”,¹³ were not detected in this
223 analysis as there were no such outcomes reported. In a recent analysis of data from the National
224 Emergency Department Sample it was reported that less than 1% of climbing-related ED visits
225 resulted in death.³³

226
227 In comparison with other analyses of climbing injuries,^{5, 8-12, 15, 17-19, 21-25, 27, 34-42} the NEISS data
228 unfortunately do not give any information about the specific type of rock climbing being
229 performed during the act of getting injured. It is well known in the climbing literature that
230 various types of climbing, e.g. alpine or traditional climbing, versus indoor climbing and
231 bouldering result in different injury incidence rates, severity, grading and injury types.^{5, 28} Also,

232 they present with different injury causes. While in traditional and alpine climbing the most
233 frequent injury cause is a fall, and the injury is based on the lower extremity, in sport climbing
234 the most frequent cause is performing a strenuous move and the injury is to an upper extremity.⁵

235 ¹¹ Concerning sex distribution, studies in general show no influence of sex.^{5, 12, 22, 43} Our present
236 study showed 66% were male, but no information about sex distribution among the US climbing
237 population is known, thus these numbers may just represent the distribution among climbers.

238 Nelson et al.¹ report a mean age for rock climbing injuries of 26 y (95% CI 25-27) and Schöffl et
239 al.⁴⁴ of 28 y (13-52), which is similar to our findings. Concerning the injury location, so far most

240 research indicates the upper extremity to be the most injured body regions in non-alpine rock-

241 climbing.^{35, 38, 39, 43-47} Schöffl et al.⁴⁸ reported 247 of 604 (41%) climbing injuries (sport

242 climbing, indoor climbing) treated in a climbing injury specialised unit involved the hand, a

243 finding which was reproduced in a more recent analysis,²⁵ although that clinic specializes in the

244 diagnoses of hand and finger injuries.⁴⁹ Two studies that analysed climbing injuries treated in

245 American hospitals or ED reported most climbing injuries involved the lower extremities and

246 resulted from big swings into a wall or big falls.^{1, 15} In another recent study on rock climbing

247 injuries, trauma involved the lower extremities (foot, toe and ankle) in 50% of injuries, while

248 upper extremities accounted for 36% of the injuries.⁴⁶ Neuron et al.⁴³ found an even injury

249 distribution between the upper (43%) and lower extremities (41%) for sport climbing injuries.

250

251 Chief among the limitations of this study are that national estimates may not accurately reflect

252 the true occurrence of rock climbing injuries. While climbing gyms may be founded in any

253 location, the geographical distribution of natural cliffs may not match the distribution of

254 hospitals in the NEISS sample. Even so, since the weightings are adjusted annually to allow time

255 series analyses, the main finding still stands that climbing injuries are on the rise and have been
256 since the Nelson study, which used the same sampling frame. Another limitation is that it is
257 likely not all rock climbing injuries present at an ED and many are likely treated by other
258 facilities, e.g. at urgent care facilities. Fatalities are also not routinely recorded in NEISS,
259 because, post mortem, they are often not taken to an ED. Therefore, the true burden of rock
260 climbing injuries is likely greater than reported in this study. It should also be acknowledged that
261 without reliable participation denominators such as the number of climbers in each year, or the
262 number of hours spent climbing, the incidence rate of rock climbing injuries cannot be estimated.
263 Such estimates were beyond the scope of this study. In addition, because NEISS data are de-
264 identified multiple presentations cannot be accounted for when describing injured climbers.

265

266 **Conclusion**

267 Our present analysis of US ED patients treated due to rock climbing injuries confirms a
268 continued increase in overall numbers of climbing injuries, as predicted in a prior analysis.¹
269 Whether this is based on a higher injury rate or on a higher number of climbers overall cannot be
270 answered by this study. Late reports are finding an increasing number of climbers and increasing
271 severity of rock climbing injuries, based on the so called “newbie” syndrome (non-sportive
272 beginners climbing, falling and getting injured because of a lack of overall muscular status and
273 coordination) and increased dynamic movements with greater heights in “new age” commercial
274 bouldering gyms.^{3,50} Only time will tell if this trend will continue, given climbing’s addition as
275 an Olympic sport.⁵¹

276

277 **Author contributions**

278 PB, IS, JC and VS conceived and designed the study as a team.

279 PB collected the data from the CPSC and analyzed the data.

280 PB, IS, JC and VS interpreted the results of the analysis, wrote and/or revised the

281 manuscript and approved the final submission.

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283

284 **Financial material support**

285 None.

286

287 **Disclosures**

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411 **Figure Legends**

412 Figure 1: Estimated number of rock climbing injuries by year, 2008-2016 (range 2,426 to 4,983)

413

414 Tables

415 **Table 1:** International Mountaineering and Climbing Federation injury classifications¹⁶

416 **Table 2:** Characteristics of rock climbers presenting at US Emergency Departments

417 **Table 3:** Injured body parts, diagnosis and mechanism by disposition, age and sex, among rock
418 climbing injuries

419 **Table 4:** Analysis of injury grading

420