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Synthesis, Characteristics and Application of Single Atom Catalysts

Shiyong Zhao

This thesis is presented for the Degree of
Doctor of Philosophy
of
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Declaration

To the best of my knowledge and belief this thesis contains no material previously published by any other person except where due acknowledgement has been made.

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17/03/2020
Abstract

During the catalysis process, the size effect of metal catalyst will dramatically influence the catalytic performance. It has been becoming increasingly important to decrease the size of catalysts in order to maximize their utilization efficiency. The minimum of the catalyst size can be achieved is single atoms in the chemistry reaction. It is an ideal approach to maximize the efficiency through reducing the size of the metal nanocluster to single atoms, which can boost the electrocatalytic performance. Single-atom catalysts (SACs), consist of atomically dispersed metal atoms on different supports with high surface area, have been confirmed to exhibit high efficiency and good selectivity in energy-related chemical catalysis, surpassing those of metal nanoparticles-based catalysts. The maximized utilization and special coordination environments of metal atoms in SACs contribute to the excellent catalytic efficiency. Nevertheless, the great challenge in the practical application and commercialization of SACs is the development of facile synthesis methods of SACs with high mass loading of single atom and prevention of aggregation of the single atoms on the supports. Thus, the objective of the PhD project is on the synthesis, characterization and application of SACs.

Herein, a feasible one-pot pyrolysis approach has been developed to achieve high metallic single atoms embedded in nitrogen-doped carbon nanotube (MSA-N-CNT, M=Ni, Co, Fe, Pt). The X-ray absorption spectroscopy (XAS) and aberration-corrected scanning transmission electron microscopy (AC-STEM) were conducted to investigate the structure of single atoms in MSA-N-CNT. Among them, NiSA-N-CNT achieved single atom loading of 20.3 wt%, one of the highest SAC loadings reported in the literature. In addition, NiSA-N-CNT shows an excellent activity and selectivity for CO$_2$ reduction reaction (CO$_2$RR) for the production of CO. A synthesis mechanism based on the solid-to-solid rolling process has been proposed for the one-pot synthesis of the structurally stable MSA-N-CNT catalysts with high SAC loading.

In order to increase the utilization efficiency of SACs, the one-pot synthesis method has been expanded to the SAC supported on 2D materials. In this case, nitrogen-doped microwave exfoliated graphene oxide (MEGO) was used as support to synthesize the Ni single atoms with SAC loading of ~6.9 wt% (Ni-N-MEGO). The atomically dispersed Ni atoms, coordinated with nitrogen, were demonstrated predominantly anchored along the edges of nanopores (<6 nm), as
showing by XAS and AC-STEM techniques. The Ni-N-MEGO indicates an onset overpotential of 0.18 V with a current density of 53.6 mA mg\(^{-1}\) under overpotential of 0.59 V for CO2RR. Density functional theory (DFT) simulations indicate that the electrochemical CO2RR occurs preferentially around the edge-anchored unsaturated nitrogen coordinated Ni single atoms, leading to improved activity toward CO2RR.

For practical application of SACs, the development of controllable, scalable and efficient synthesis method is most critical. To meet this challenge, a versatile seeding technique has been developed to synthesize SACs on various two-dimensional (2D) support materials such as graphene, boron nitride (BN) and molybdenum disulfide (MoS\(_2\)). This method has been demonstrated on the synthesis of Ni, Co, Fe, Cu, Ag, Pd single atoms as well as binary atoms of NiCu on 2D support materials with the single atomic loading in the range of 2.8-7.9 wt%. In particular, nickel SACs on graphene oxide (SANi-GO) exhibits excellent catalytic performance for CO2RR with a low overpotential of 0.63V and high selectivity of 96.5% for CO production.

In addition to CO2RR, the as-synthesized SACs were also applied to improve lithium–sulfur (Li–S) batteries performance. Li-S batteries have attracted much attention due to their high theoretical energy density, environmental friendliness, low cost and the promises as the next-generation energy storage technologies. However, the poor reversible conversion of Li polysulfides (LiPSs) to Li\(_2\)S in discharge hinders practical application. Herein, SACs synthesized based on the seeding approach have been applied to improve the performance of Li-S batteries. Theoretical calculation was employed to consider the forward and reverse catalytic reaction in the design of the efficient catalyst. The results show the significantly improved Li-S battery performance, demonstrating the effectiveness of the theoretical approach in the development of high-performance Li–S batteries.

Finally, the controlled synthesis of SACs was conducted on Ni single atoms on nitrogen doped carbon nanotube (NiSA-N-CNT) and graphene (NiSA-N-G). The formation of NiSA-N-CNT is due to the solid-to-solid rolling up mechanism during the high temperature pyrolysis from the stacked and layered g-C\(_3\)N\(_4\)-Ni structure to a bamboo-shaped tubular NiSA-N-CNT structure. Addition of citric acid interrupts the solid-to-solid rolling process, and result in NiSA-N-G. The electrochemical performance of NiSA-N-CNT and NiSA-N-G for CO2RR reveals that more exposed active sites owned in graphene structure achieves higher catalytic performance. The results demonstrate the feasibility in the control of the synthesis of single atom metal catalyst. This
PhD project produced 11 publications with 6 of them as first authored papers.

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List of Publications


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Chapter 1: Introduction

1.1 Background

The size effect of the metal nanoparticles significantly influences the catalytic performance and it has been becoming increasingly important to reduce the size of catalysis in order to maximize the atom utilization efficiency [1-5]. Single-atom catalysts (SACs) [6-8], comprised of atomically dispersed single atoms supported on different substrates, have exhibited high efficiency and distinctive selectivity in energy catalysis, far exceeding conventional metal nanoparticles catalysts. The single site catalysis is not a new concept which can be date back to 1925 [9-11], when Taylor proposed the surface of a heterogeneous catalyst has certain catalytically active centers. The understanding of single site catalysis has been endowed especially the advanced development of atomic level investigation technique. The massive efforts have been devoted to the synthesis of SACs, which can be mainly divided into two approaches: the physical and chemical methods. The former methods have been proved to be feasible for synthesizing metal clusters and atoms such as the laser evaporation, electron beam evaporation, atomic layer deposition, thermal transport. However, the physical approaches cannot overcome the demerits such as low yield, limited yield, high technical requirements. The recent vast developments of chemical approaches promote the SACs research. The impregnation method has been applied to achieve SACs due to the feasible technique, low cost on the supports including g-C3N4, metal oxides, carbon materials and so on. Nevertheless, the method relies on the limited absorption sites, which restrains the SACs loading less than 2wt.% [9, 12-15]. The nitrogen atom coordination approaches have been developed well to synthesize the SACs, utilizing the strong nitrogen coordination in the substrate, which can achieve up high loading of 20 wt%. This approach also owns its drawbacks such as uncontrollable loading and limited metal atom variety. SACs have been studied as electrocatalysts for photocatalytic H2 evolution, oxygen reduction reaction and CO2 reduction owing to their high selectivity and superior activity.

Prior to the practical application and commercialization of SACs, there is a great challenge that how to achieve a feasible method for the controllable synthesis of SACs with appropriate mass loading of single atom. How to effectively prevent the single atom aggregation is the key problem. In this regard, the aim of this project is to develop the synthesis, characterization and application
of SACs. The overall objective is to achieve high loading and efficient catalyst for the catalysis application including carbon dioxide reduction reaction and lithium sulfur batteries.

1.2 Objectives and outline of the thesis

Based on the above-mentioned objectives, this thesis has 9 chapters. The first chapter gives an introduction of the background and the outline of this thesis. Chapter 2 focuses on the literature review of the state-of-the-art study in the field. Chapter 3 mainly introduces the materials characterization techniques involved in this thesis. The experiment results and discussion are presented in chapter 4-8. In Chapter 9, conclusions are made and the suggestions for future work are proposed.

Chapter 1: Introduction

The chapter briefly introduces the challenges of the efficient catalysis and SACs. Then the objectives and outlines of the thesis are presented.

Chapter 2: Literature Review

One comprehensive review of the state-of-the-art research progress of SACs is given, followed by the detailed analysis and discussion of the developments in the synthesis, characterization and application of SACs in different areas.

Chapter 3: Experimental

This chapter introduces the details of the experimental methods used in the thesis, including the materials, structural investigation techniques and the electrochemical methods.

Chapter 4: One-pot Pyrolysis Method to Fabricate Carbon Nanotube Supported Ni Single Atom Catalysts with Ultrahigh Loading

This chapter presents a novel approach to nickel SACs stabilized on a nitrogen-doped carbon nanotube structure (NiSA-N-CNT) with ultrahigh Ni atomic loading up to 20.3 wt% through one-pot pyrolysis method employing Ni acetylacetonate (Ni(acac)$_2$) and dicyandiamide (DCD) as precursors. This approach is different from the traditional CNT catalysis growth process. NiSA-
N-CNT structure most likely occurs via a solid-to-solid curling or rolling-up mechanism. The NiSA-N-CNT catalysts show an excellent activity and selectivity for the electrochemical reduction of CO₂.

Chapter 5: Unsaturated Edge-anchored Ni Single Atoms on Porous Microwave Exfoliated Graphene Oxide for Electrochemical CO₂ Reduction

In this chapter, the new approach is continued to explore to achieve SACs through tailoring the edge structures of porous carbon. The porous structure with a high surface area (2649 m² g⁻¹) provided large number of anchor sites for single Ni atoms and the nanopores (<6 nm) also help prevent aggregation and stabilize the single atom Ni-N species during high temperature annealing.

Chapter 6: A universal seeding strategy to synthesis single atom catalysts on 2D materials

The controllable method to synthesize a series of single metal atom catalysts on two-dimensional materials was achieved. The seeding strategy is versatile and has been demonstrated on Ni, Co, Fe, Cu, Ag, Pd single atoms as well as binary NiCu atoms supported on 2D materials including GO, MoS₂ and BN nanosheets. The applicability of the synthesized SA-2D catalysts has been illustrated on the high activity and selectivity of SANi-GO for CO₂RR.

Chapter 7: Theoretical calculation guided design of single-atom catalysts towards fast kinetic and long-life Li-S batteries

Based on our seeding approach for SACs, the application of for Li-S batteries was achieved under the guidance of theoretical calculation. The dual between forward and reverse catalytic reaction were firstly taken into consideration to design the efficient catalyst, based on the guidance of experiments and DFT calculations. More importantly, the significantly improved battery performance has been obtained benefited from the great catalytic effects, consistent with the DFT theoretical calculations. The ability of single metal atoms to effectively trap the dissolved lithium polysulfides (LiPSs) and catalytically convert the LiPSs/Li₂S during cycling, significantly improved sulfur utilization, rate capability and cycling life.

Chapter 8: Facile methods to synthesize single nickel atoms embedded in carbon nanotube and graphene

In this chapter, two feasible closed associated approaches are reported to synthesize nickel single
and nitrogen atom doped carbon nanotube (NiSA-N-CNT) and graphene oxide (NiSA-N-G) to stabilize single nickel atom separately. The synthesis of NiSA-N-CNT and NiSA-N-G follows different mechanisms. One self-rolling up mechanism has been proposed to explain the formation of NiSA-N-CNT. The synthesis of NiSA-N-G is achieved by the addition of citric acid to interrupt the rolling-up mechanism. The CO$_2$ reduction reaction performance also have been compared for the two structures, which reveal that more exposed active sites owned in graphene structure achieves higher catalytic performance. The novel synthesis approaches and mechanism provides new understanding of single atom metal catalyst.

Chapter 9: Conclusions and recommendations for future work

The main achievements in my thesis were summarized and the recommendations for future work were also listed in this chapter.

1.3 References


Chapter 2: Literature Review

2.1 Introduction

Around 80% of industrial reactions make use of catalysts to improve the rate for the desired reaction and product [1][2]. The use of the catalysts will help to promote the main reaction and inhibit the side reactions, therefore, the superior selectivity is also necessary for the whole consideration[3-7]. Supported metal nanoparticles (NPs) are among the most significant catalysts that promote many heterogeneous catalysis reactions. In heterogeneous catalysis by NPs, enormous efforts have been conducted for advanced catalytic process, which closely related to contributions for triggering the related chemical reactions through the whole production chain with more main product, fewer unexpected byproduct and lower energy inputs [8-11].

The catalysis performance was adjusted through changing the catalyst size and was conducted like the following aspects: (1) surface confinement effects and the dramatic increase of unsaturated coordination bonds of a metal NP increases, which will enhance the strong bond between the metal and support surface[12-16]; (2) quantum size effects, where confinement of electrons results in the changing energy levels and the widening of the HOMO−LUMO gap [17-20]; (3) catalyst structural configurations, where the specific arrangement of metal atom positions and the amount of atoms in a cluster can vastly change their physicochemical activities[21-26]. Therefore, small metal NPs indicates a distinct and complicated size effects during the reaction process.

Since the size of the metal nanoparticles has a significant effect on the catalytic performance, it is expected that tuning the structure at an atomic level and minimizing the size of the metal nanocluster to single atoms could provide an appropriate approach to maximize the efficiency of atom utilization and enhance the electrocatalytic performance [4, 27-29]. Thus, the key to reduce cost is how to maximize the acquisition of surface atoms of noble metals. Currently, it has been becoming increasingly urgent to reduce the size of catalysts for maximizing the atom utilization. The minimum of the catalyst size is atomic level and cannot downsize anymore in the chemistry reaction [30-34]. SACs not only increase the atom efficiency but also supply an alternative method to adjust the activity and selectivity of a catalysis process [35-39]. Therefore, in this chapter, the recent development of synthesis and the applications focused on the SACs will be elaborated and
finally the most key issues, challenges for controllable synthesis and related specific applications will be discussed and summarized.

![Figure 2.1](image)

**Figure 2.1** the relationship between the changes of surface free energy and specific activity. Reproduced with permission[40].Copyright Nature Publishing group.

Whilst the bulk materials are shrunk into nanoparticles, sub-nano clusters, and even single metal atoms, the surface free energy and specific activity will dramatically change as shown in Figure 2.1[40]. Through the size reduction, the amount of unsaturated coordination chemical environment will increase in the metal species. Therefore, the surface free energy of the metal also significantly increases, which will make metal sites to be more active during the chemical reaction process. The enhanced chemical interactions between the metal and the support relates to the size of metal nano catalysts. When the metal catalysts are reduced to extreme SACs, the quantum effects of electrons, the surface free energy will achieve the maximum due to the highly active valence electrons, which then results in the enhanced chemical activities of SACs for the catalysis process.

### 2.2. The approaches for the synthesis of SACs

Since SACs consist of only isolated single metal atoms dispersed onto the surfaces of a support material, the dispersion of a metal SAC, by definition, is almost 100%[41]. The key point to
achieve SACs is to hinder the aggregation of metal atoms into the cluster or nanoparticles. The general approaches can be divided into physical and chemical methods [42-46].

2.2.1 Definitions and Classifications
There is a long history for people to realize the development of SACs. The solution with solvable salts could be treated as the first SACs but in liquid states. The initial concept of single catalysis site can be traced back to the pioneering studies in 1960s[4], when scientists discussed the function of surface metals in the hydrocarbon reaction from molecular metal–olefin complexes[47]. The deep understanding of single site catalysis has been endowed especially from the advanced development of atomic chemical environmental technique[48-54], atomic-resolution characterization techniques[55-59] and theoretical modelling[60-62]. In 2000, Abbet et al. [63] reported the cyclotrimerization of acetylene on size-selected (Pd)n clusters (1≤n≤30) supported on MgO (100) film substrate and found that a single palladium atom was enough to produce benzene at 300 K, whereas for larger clusters (7≤n≤30) benzene was produced at the higher temperature of 430 K.

In 2005, Thomas et al.[32] briefly reported the efforts to the synthesis of SACs, which can be roughly divided into two approaches: the physical and chemical strategies. The former method has been proved to be feasible for synthesizing metal clusters and atoms including the laser evaporation, electron beam evaporation, atomic layer deposition, thermal transport, etc. The chemical methods are mainly related to the enzyme mimics, organometallic complexes and metal oxide surface absorption.

More and more advanced technology especially in recent years have given people a clear concept of single atom catalysis process. For better controllable synthesis of SACs, many efforts have been devoted to the dispersion of metal on different supports to achieve the single metal atoms.

2.2.2 The metal and metallic compound anchoring method
The metal surface is one of the candidates to disperse the single atom served as the support subtract due to the advantages of stability and rigidity. Kyriakou et al. [64] achieved isolated Pd atoms on the copper surface with low energy barrier for the hydrogen uptake on and desorption from the Cu foil. This facile hydrogen dissociation at Pd atom sites and weak binding to Cu allow for good selective hydrogenation of styrene and acetylene as compared with only Cu or Pd metal alone.
Besides the metal surface, the metal oxide also can be used as the support to disperse the SACs because of the effective oxygen defects and vacancies. Kwak et al. [39] utilized one series of approaches including ultrahigh magnetic field, solid-state magic-angle spinning nuclear magnetic resonance spectroscopy to achieve the platinum single atoms on Al₂O₃. The density functional theory and calculations indicated that the catalytically active phase of platinum on the surface of Al₂O₃ catalyst support material. The results reveal that coordinatively unsaturated pentacoordinate Al³⁺ centers occur on the (100) facets of Al₂O₃. This work verifies the feasibility of defective metal oxide can anchor the SACs. However, the limited active defective sites hinder the number of SACs loading and the practical application of SACs.

Hackett et al. [65] have obtained mesoporous catalysts that anchor atomically dispersed Pd atoms through a wet impregnation method. Furthermore, these materials indicate exceptional catalytic performance in the aerobic selox of allylic alcohols under mild condition. The dramatic dependence of cinnamaldehyde production on electron-deficient palladium highlighted the relationship between homogeneous and heterogeneous alcohol selox process and the significance of isolated Pd sites in the catalytic cycling process. The loading of Pd in this work was only 0.03 wt%, which indicates the limitation of wet impregnation method.
**Figure 2.2** HAADF-STEM images of Pt single atoms and nanocluster uniformly dispersed on the FeOx support. Reproduced with permission[9]. Copyright Nature Publishing group.

Based on the previous study of nano clusters on catalysis, Qiao et al.[9] reported a new wet chemistry approach to synthesize isolated single Pt atoms with 0.17 wt% anchored to the surfaces of iron oxide nano-crystallites as shown in Figure 2.2. The extremely high atom efficiency has been achieved for both CO oxidation and preferential oxidation of CO in hydrogen environment. Furthermore, the authors also used the density functional theory approaches to confirm that the high catalytic activity attributes with the partially vacant 5d orbitals of the positively charged, high-valent Pt atoms, which contributes the decrease of the CO adsorption energy and the activation barriers for CO oxidation.

Besides the conventional wet approach, Liu et al.[66] synthesized the atomically dispersed palladium–titanium oxide catalyst (Pd1/TiO2) through a photochemical method on ethylene glycolate (EG)–modified ultrathin TiO2 nanosheets. The loading of Pd is up to 1.5wt.% and the catalyst revealed high catalytic activity in hydrogenation of C=C bonds. The activity of single atom Pd exhibited than commercial Pd catalysts by a factor of 9, which further demonstrated the high efficiency of SACs than the traditional catalyst.

### 2.2.3 The Metal–Organic Frameworks and their derived structure

Zhao et al.[67] reported metal–organic frameworks (MOFs) to assist the fabrication of single Ni sites with loading of 1.53 wt% for efficient CO2RR. The synthesis utilized ionic exchange between Zn nodes and adsorbed Ni ions inside the cavities of the MOF. This single-atom catalyst exhibited a turnover frequency for electro-reduction of CO2 (5273 h−1), with a Faradaic efficiency for CO production up to 71.9% and with a current density of 10.48 mA cm−2 at an overpotential of 0.89 V. However, this approach will scarify the expensive MOF and is not fit for the large-scale application. Furthermore, the annealing process is difficult to prevent the appearance of the nanoparticle due to the aggregate of metal.

### 2.2.4 The carbon-based materials method

Carbon materials including graphene, carbon nanotubes and carbon porous structure have drawn significant attentions[68-71]. Furthermore, besides above well-known carbon materials, other carbon materials also have been studied for the SACs synthesis, such as carbon fiber, porous carbon spheres and so on.
2.2.4.1 Graphene based SACS by ALD

ALD was renowned because of the precise control of decomposition of metal on the targeted supports. Therefore, it is possible to achieve the synthesis of SACs on the surface of substrate. Sun et al.[72] showed the study of SACs on graphene by ALD in Figure 2.3, through comparing a series of catalysts including platinum sub-nanoclusters, and nanoparticles deposited on the surface of graphene supports. The ALD techniques can roughly control of morphology, particle size, and density as well as loading of Pt by controlling adjusting ALD cycle numbers. The SACs catalysts revealed a highly efficient activity for the methanol oxidation and great CO tolerance than the commercial Pt/C catalyst. The study confirmed that the low coordinated single Pt atoms from 5d orbitals contributed to the great electrochemical performance. However, besides the high requirements of costly instruments, ALD process will inevitably result in the SACs aggregation with the loading increase less than 0.5 wt% not fit for practical application.
Furthermore, Yan et al.\cite{73} also showed that uniformly dispersed Pd on atoms graphene can be synthesized through the ALD method. Then the durability against deactivation by either aggregation of metal atoms or carbonaceous deposits during a total 100 h of reaction time on stream was obtained. Pd SACs show good activity and durability in following selective hydrogenation reactions.

Based on this work, Yan et al.\cite{74} confirmed that Pt\textsubscript{2} dimers can be achieved through a bottom–up method on graphene by ALD, by proper nucleation sites creation, Pt\textsubscript{1} atom deposition and attaching a secondary Pt atom on the first one. The major reason of higher activity is considered as the lower adsorption energy of SAC and H\textsubscript{2} on the Pt\textsubscript{2} dimers than that on Pt\textsubscript{1} single atoms or Pt NPs. It is interesting that dimeric Pt\textsubscript{2}/graphene catalyst exhibited a high stability under the inert
environment at below 300 °C, which bridged the inherent relationship between SAC and nanoparticles.

However, the ALD method requires highly advanced techniques and expensive instruments, which is not suitable for practical application. Besides the high requirements of costly instruments, ALD process inevitably results in the SACs aggregation and the catalyst loading is generally less than 0.5wt% not fit for practical application.

2.2.4.2 The porous nitrogen doping carbon materials
Figure 2.4 (a) Schematic illustration, (b) SEM, (c) TEM, (d) HAADF-STEM and EDS images, C (blue), N (green) and Co (red). (e, f) ACHAADF-STEM and magnified images. [70]Copyright 2018, American Chemical Society.

Among the transition metal, cobalt is very interesting one, which can achieve high catalysis but with low cost. Pan et al.[70] achieved one N-coordination strategy to obtain a robust CO2 reduction reaction (CO2RR) electrocatalyst with individually dispersed Co–N5 with loading of 3.54 wt% loaded on hollow N-doped porous carbon spheres as shown in Figure 2.4. The catalyst showed a good dispersion of Co active sites and indicates one high selectivity for CO2RR with CO Faradaic efficiency more than 90% with a wide potential range from −0.57 to −0.88 V. The 10 h test confirmed that CO current density and FECO remained nearly unchanged, which revealed the good stability. More importantly, the authors also carried out the density functional theory calculations shows that single-atom Co–N5 site is the main active sites mainly for CO2 activation, consistent with the experimental results.

Figure 2.5 The structural investigation of Pt-CN. (a) HAADF-STEM image of Pt-CN. Inset is the size distribution of the bright spots. (b) Fourier transforms of the Pt L3-edge EXAFS oscillations of Pt-CN, K2PtCl6, and Pt foil. (c) Comparison of FT-EXAFS curves between the experimental
data and the fit of the Pt-CN. (d) Schematic models of Pt-CN. Reproduced with permission [75]. Copyright 2016, American Chemical Society.

The graphited carbon nitride especially, g-C₃N₄ as one common photocatalyst is well renown by researchers. The g-C₃N₄ can provide sufficient active sites for the SACs as the supports. Li et al.[75] produced the isolated single Pt atoms as one co-catalyst with 0.16 wt% through embedding them in sub-nanoporosity on g-C₃N₄ indicated in Figure 2.5. The Pt atoms increased the atom efficiency of the noble metal and reveals one greatly efficient photocatalytic system for H₂ evolution. The combination of single-atom co-catalyst in g-C₃N₄ indicates one novel method to modulate the electronic structure, achieving one longer lifetime of the photogenerated electrons because of the isolated single Pt atoms induced, intrinsic change of the g-C₃N₄ surface trap states. More importantly, the SAC Pt atoms as co-catalyst finally produced greatly enhanced photocatalytic H₂ generation performance, almost 8.6 times higher than that of normal Pt nanoparticles based on Pt atoms. Besides the performance is nearly 50 times higher than the pure g-C₃N₄, which further confirmed that single-atom co-catalyst strategy provides one promising approach for the highly efficient catalysts. However, the impregnation approach is difficult to control the uniformity and loading of SACs. The loading of SACs anchored on the surface absorption is generally less than 1 wt%.
In order to maximize the active sites dispersion, Zheng et al.[8] developed an approach to fix the transition metals on the surface of g–C₃N₄ as a new generation of Me–N/C catalysts for the application of oxygen electrode reactions, as shown in Figure 2.6. The electrocatalytic activity of Co–g-C₃N₄ with the loading of ~1wt% was comparable to that of noble metal references for the ORR and OER in alkaline media. The computational results indicate that the highly efficient activity sites are originated from the Co–N₂ coordination in the g–C₃N₄ matrix.

Besides the g–C₃N₄, the carbon nanofiber was also chosen as support to disperse the SACs. Bulushev et al.[76] synthesized the Pt-group SACs with 1 wt% on carbon nanofiber through the N-doped coordination method. The Pt-group SACs can provide a high rate of formic acid decomposition. At the same time, the SACs also achieved good selectivity to H₂ and CO₂ and only using low loadings of Pt-group metals. Differently from the conventional nanoparticles and nanoclusters, the atomically dispersed metal atoms indicate quite low activity in ethylene hydrogenation. The SACs Pd shows poor chemical absorption of CO irreversibly room
temperature, therefore showing a strongly electron-deficient or ionic state of the metal atoms, which further confirmed the high selectivity for specific reaction.

Figure 2.7 Scheme of the transformation of nanoparticles to single atoms and structural characterizations of Pd single atoms. a–d, HAADF-STEM images and high-resolution HAADF-STEM images (insets) of Pd-nanoparticles@ZIF-8 (a), intermediate I (b), intermediate II (c) and Pd single atoms (d). e, Energy dispersive X-ray spectroscopy elemental mapping images. f, High-resolution HAADF-STEM image. g, Fourier transforms of k3-weighted Pd K-edge EXAFS. Reproduced with permission[77]. Copyright 2013, Nature Publishing Group.

In order to better understand the SACs, some work was carried out to investigate the synthesis process of SACs. Wei et al.[77] shows the result that the noble metal nanoparticles (Pd, Pt, Au-NPs) could be transformed into stable single atoms through the thermal treatment at high temperature above 900 °C under the protection of inert atmosphere, see Figure 2.7. The aberration-corrected scanning transmission electron microscopy and X-ray absorption fine structures were used to confirm the atomically dispersed metal single atoms. The in situ environmental transmission electron microscopy revealed the competing sintering and atomization processes during the conversion from nano particles to single atoms. The DFT calculations confirmed that
the conversion from nanoparticles to single atom was achieved through the formation of Pd-N4 structure at high temperature, which was more thermodynamically stable than the anno particles. Under the high temperature, the Pd atoms were anchored on the defects of nitrogen-doped carbon and formed into single atom structure.

2.3. The applications of SACs

2.3.1 Carbon Dioxide Reduction Reaction

Over the last several centuries, the rapid industrial development and booming population growth resulted in a dramatic increase of the excessive usage of fossil fuels including natural gas, petroleum, coal, which emitted massive carbon dioxide in the atmosphere[78]. It is urgent to find efficient solution to reduce the CO2 emission[79-82]. There are many attractive solutions to cut the CO2 emission such as restoring existing forests, carbon capture and storage and CO2 reduction as energy source. Among of them, the reduction of CO2 is one significant way to deal with the global warming, simultaneously producing fuels and building a low carbon emission economy. Nevertheless, one of the challenges for the CO2 reduction reaction (CO2RR) is the lack of efficient catalyst. CO2 is a linear molecule and needs high energy to break the bond because of the high energy barrier. Therefore, it is necessary to produce efficient and thermally stable catalyst for the catalysis process.

The CO2RR process[42, 81-83] consist of complicated process including proton coupled multi-electron transfer and involving two-, four-, six-, eight-, or twelve-electron reaction path. The following reaction equations (1–6) reveal the related typical process of CO2 during different electron pathways. It is worth noting that reversible hydrogen electrode (RHE) especially in aqueous electrolyte with PH equal to 7.

\[
\text{CO}_2 + 2(\text{H}^+ + e^-) \rightarrow \text{HCOOH(aq)} \ U=-0.20 \text{ V}_{\text{RHE}} (1)
\]
\[
\text{CO}_2 + 2(\text{H}^+ + e^-) \rightarrow \text{CO} + \text{H}_2\text{O} \ U=-0.12 \text{ V}_{\text{RHE}} (2)
\]
\[
\text{CO}_2 + 4(\text{H}^+ + e^-) \rightarrow \text{CH}_2\text{O(aq)} + \text{H}_2\text{O} \ U=-0.07 \text{ V}_{\text{RHE}} (3)
\]
\[
\text{CO}_2 + 6 \ (\text{H}^+ + e^-) \rightarrow \text{CH}_3\text{OH(aq)} + \text{H}_2\text{O} \ U= +0.03 \text{ V}_{\text{RHE}} (4)
\]
\[
\text{CO}_2 + 8 (\text{H}^+ + e^-) \rightarrow \text{CH}_4 + 2\text{H}_2\text{O} \quad \text{U}= +0.17 \text{ V}_\text{RHE} \quad (5)
\]
\[
\text{CO}_2 + 12(\text{H}^+ + e^-) \rightarrow \text{C}_2\text{H}_4 + \text{H}_2\text{O} \quad \text{U}= +0.08 \text{ V}_\text{RHE} \quad (6)
\]

The final products from CO2RR rely on the utilization of electrocatalysts, the electrolyte types and related potential applied. The specific control of the products consists of various compounds, such as low carbon product CO, CO, HCOO\(^-\) or HCOOH, CH\(_4\), CH\(_3\)OH, and high value-added fuel like C\(_2\)H\(_4\), C\(_2\)H\(_5\)OH, C\(_3\)H\(_7\)OH, C\(_3\)H\(_7\)O\(_2\) etc. Recently, many studies have shown outstanding properties for the electro-chemical reduction of CO\(_2\) to CO by Ag, Au and Ni-based catalysts [42, 79-85]. Even though, it is still highly difficult to produce high carbon hydrocarbon fuels with great activity and selectivity. Then, there are many problems left to obtain highly selective reduction of CO\(_2\) to high carbon hydrocarbon fuels through the optimization of the right support and the coordination elements[80-85].

2.3.2 Energy storage and application

Because of the advantages of atomically single-atom dispersion and well-defined configuration, SACs provide promising potentials for high selectivity and activity catalysis during the catalysis process. As for the energy storage application, there are various steps related to the catalysis and conversion like lithium sulfur battery, sodium sulfur battery, air battery and so on. Therefore, the SACs could be used in this area for the enhancement of the catalysis process.

As for the sodium sulfur battery application, Zhang et al. [86] used the atomic cobalt-decorated hollow carbon nanospheres as sulfur host, which is efficient to improve sulfur reactivity and utilization ratio. At the same time, it also achieved the polysulfide into the final product sodium sulfide with the good electrocatalytically reducing effects. The sulfur cathode with atomic catalyst could deliver an initial capacity of about 1081mA h g\(^-1\) with up to 64.7% sulfur utilization ratio. Finally, the constructed cell achieved a good reversible capacity around of 508mA h g\(^-1\) at 100mA g\(^-1\) even after 600 cycles.

The lithium-sulfur (Li-S) battery, one promising candidate for next higher energy storage technology, has attracted tremendous research interest because of its merits of high energy density, low cost, and environmental friendliness[87]. Nevertheless, the technological challenges arising from the low sulfur utilization, fast capacity decay and poor Coulombic efficiency have depressed Li-S battery extending to commercial application. The sluggish kinetics of this process results in
the accumulation of soluble LiPSs near the cathode area and further diffusing to anode which is renowned as shuttle effects in Li-S battery. To overcome the shuttle effects, tremendous strategies have been taken to accelerate the reversible conversion rates between soluble LiPSs and Li$_2$S through introducing active catalysis centers to enhance the S utilization such as metal and metal oxides [88], sulfide [89], nitride [90] and so on. However, there are still massive improvement space for the practical application of Li-S batteries. The dual catalysis process should be carefully considered to accelerate the sulfur utilization between LiPSs and Li$_2$S [91-95]. Only the dual conversion catalysis improved, the detrimental effects due to the sluggish kinetics may be dealt well. In order to achieve the catalysis efficiency between LiPSs and Li$_2$S, it has been required to push the further development of highly active and durable electrocatalysts, which possess a well-designed activation centers capable of facilitating desired the electrochemical reactions. The theoretical calculation is benefited to accelerate the lock of promising candidates [96-100]. Based on the above-mentioned discussion, it is promising to synthesize efficient appropriate SACs combined with theoretical principles, fit for Li-S batteries composed of good LiPSs absorption capacity, abundant LiPSs conversion catalysis centers, appropriate loading capacity and superior conductivity. The prerequisite for targeted synthesize SACs for certain application is to develop one versatile controllable synthesis method.

For better catalysis process in the Li-S battery, Du et al.[38] introduced the monodisperse cobalt atoms embedded in nitrogen-doped graphene (Co−N/G) as the sulfur host electrode to improve the transfer reaction of Li polysulfides. The utilization of SACs could trigger the fast dynamics of Li polysulfides from the S8 molecular. The characterization of Co SACs has been conducted by X-ray absorption spectroscopy. The first-principles calculation reveals that the Co−N−C coordination active center works as the bifunctional electrocatalyst to accelerate not only the formation but the decomposition of Li$_2$S during the process of discharge and charge separately. The composite electrode shows a gravimetric capacity of 1210 mAh g$^{-1}$, with a high S mass ratio up to 90 wt %, which also achieved the high areal capacity of 5.1 mAh cm$^{-2}$. Finally, the good electrochemical performance has been obtained, with capacity fading speed of 0.029% every cycle more than 100 cycles at 0.2 C with the sulfur loading of 6.0 mg cm$^{-2}$. The different SACs also need to be tested for higher performance Li-S battery.
Besides the Co single atoms, Wang et al.[101] synthesized the Fe SACs through the impregnation following the treatment at 700°C for the Li-S batteries. The results showed that the single atom catalyst played a compelling role to boost the related electrochemical conversion kinetics, also achieving good performance at high rate cycling. The theoretical results confirmed that individual single iron atoms enhance the delithiation of relatively inert Li$_2$S cathode, which really improve the reversible conversion reactions during the charge and discharge process. The high rate test has been done up to 588 mAh g$^{-1}$ at 12 C and with capacity fading rate low to 0.06% per cycle for 1000 cycles at 5 C. This Fe SACs also introduced one new possible approach for the high rate cycling Li-S batteries. The SACs can play vital role on the reverse catalysis process inside Li-S battery like other electrochemical reduction reaction. However, there is a capacity attenuation in this work. In order to achieve higher loading with better cycling performance, more feasible synthesis and different SACs are left to be finished. Therefore, it is worth to exploring the efficient SACs to enhance the performance of Li-S battery.

2.3.3 Oxygen reduction reaction

The oxygen reduction reaction (ORR) is one important step determining the performance of a variety of energy applications including the metal-air devices and fuel cells. Among all the promising catalysts, Pt-based catalysts have been considered the state-of-the-art efficient catalysts for ORR. However, the high cost, inferior long-time cycling stability, scarcity and poisoning effect have limited the large-scale applications. Therefore, it is urgently to find the Pt-free alternative electrocatalysts with high activity, low cost and excellent stability as well as feasible synthesis approaches[102-104].

ORR process involves multiple steps through either one four-electron pathway to one step transfer O$_2$ into H$_2$O as follows: O$_2$+ 4H$^+$+4e$^-$=2H$_2$O. ORR can also proceed through a two-electron pathway to produce the intermediate H$_2$O$_2$ (O$_2$+2H$^+$+2e$^-$=H$_2$O$_2$).

Some effects [103-106] have been made to verify the structure of the active SACs with non-noble metals like Fe-Nx , Co-Nx, Zn-Nx Cu-Nx pieces for the application as ORR electrocatalysts with low cost. Li et al.[107] synthesized the Fe SACs on the CNTs utilizing the modified CNTs with polypyrrole to absorb the iron ions. This method is called atomic isolation method, in which the metal isolation agents like Zn, Li were introduced to isolate Fe atoms and was then evaporated to produce abundant micropores. The abundant micropores are hosts for the Fe single atoms and
helps the electrolyte transport. The Fe loading in CNT@Fe-N-PC catalyst is around 0.3 at% and 1.70 wt%, which has exhibited good ORR performance with the half-wave potential of 0.82 V, closed to that of Pt/C in an acidic medium. This approach was also carried out on the CNT film and carbon cloth with Fe SACs doped showing ORR performance comparable to Pt/C catalyst. However, the loading of the SACs is still quite low less than 2 wt%.

Cheng et al. [108] synthesized the single Co atom with nitrogen doping modified carbon nanofibers (Co−N/CNFs) for ORR catalysis and showed that the Co SACs own high durability and desirable ORR efficiency not only in acidic and but alkaline environment. The electrode test revealed a good stability even after 10000 cycle durability tests. The structural stability of the atomically dispersed Co SACs on Co-N/CNFs is confirmed by the experimental characterization and density functional theory calculations. Different from the Pt-based catalyst, the Co SACs also owns good tolerance of methanol.

Till now, it is clear that the nitrogen coordination is very vital to stabilize the metal atoms on the surface of the supports. Besides the surface modification and solid nitrogen resource method, the nitrogen could be introduced into the supports by gas treatment techniques. Zhang et al. [109] prepared the Ru single atom on the surface of GO through annealing in the atmosphere of NH3 and the as-synthesized Ru SACs exhibited a good four-electron ORR activity. The onset and half-wave potentials are 0.89 and 0.75 V, respectively, comparable to the Pt/C in the acidic medium. The DFT study of the ORR mechanism suggested that a Ru-Nx structure is responsible for the ORR catalytic activity in the acidic performance.

Besides the center metal atoms influenced the activity [106, 110-112], the research also finds that the chemical environment also will change the ORR performance dramatically. Xiao et al. [113] synthesized an interesting binuclear site structure to stabilize Co single atoms with the form Co2N5 and CoN4, through a bimetall-organic-framework-self-adjusted approach with different chemical environment. The intrinsic activity followed consequence of Co2N5 > >CoN4 > >Co@C, indicating that the different nitrogen coordination number influence the ORR activity. Co2N5 catalysts show almost more than 12 times higher activity than CoN4.

In addition to the characteristics including high activity, long term stability, feasible synthesis, the scalable fabrication should also be considered for the catalyst design for the practical application [104, 114-117]. Zhang et al. [118] demonstrated a new strategy to utilize the biomass resource to
obtain the N-doped porous carbon materials as supports for the Fe SACs for ORR application. Fe-SACs revealed a good catalytic activity with the half wave potential of 0.87V due to the good dispersion of Fe. Based on the abovementioned examples, good performance in the alkline solution have been achieved, there are few good results in the acid solution due to the inactivation of active sites. Therefore, in the following research work, more dimetallic or even triple metal SACs should be tried to deal with this problem.

2.3.4 Oxygen Evolution reaction

The oxygen evolution reaction (OER) is one significant process, which plays a prime role in water splitting, rechargeable metal-air batteries[119, 120]. OER involves several steps of multiple proton-coupled electron and determines the reaction rate of water splitting. The OER is closely dependent on PH value. Under the condition of 1 atm and 25 °C, the equilibrium half-cell formula is as follows:

$$4\text{OH}^- \leftrightarrow 2\text{H}_2\text{O} + \text{O}_2 + 4\text{e}^- \quad \text{E}^\circ = 0.404 \text{ V alkaline solution (1)}$$

$$2\text{H}_2\text{O} \leftrightarrow 4\text{H}^+ + \text{O}_2 + 4\text{e}^- \quad \text{E}^\circ = 1.23 \text{ V acidic solution (2)}$$

The OER catalyst should equip the characteristics including low overpotential and high stability and low cost[121]. As for the successful OER application, it is urgent to explore highly efficient electrocatalysts.

Chen et al. [122] studied the Co-SAC for OER through DFT calculation, which involves two types of nitrogen on the substrates of graphene. The two types nitrogen includes graphitic nitrogen and pyridine-like nitrogen. The two nitrogen performs dramatically different and pyridine-like nitrogen is better than graphic nitrogen in OER performance. The pyridine-like nitrogen coordinated Co atom achieved high OER activity, which is consistent with experiments. Meng et al.[123] synthesized Co$_4$N structure through absorbing Co on the modified surface of carbon fiber clothing followed by high temperature annealing. The one integrated electrode shows one superior OER activity in terms of very low overpotential 310 mV at 10 mA cm$^{-2}$.

In addition to acting as efficient ORR catalysts [104, 110, 114], FeN$_x$ supported on carbon material could be used for OER applications. Chen et al.[124] achieved Fe-Nx species on N and S co-decorated hierarchical carbon surface of CNTs as a bifunctional catalyst. The obtained Fe-Nx
electrocatalyst exhibited good electrocatalytic activity towards both ORR and OER under alkaline conditions. Through introducing different atoms like sulfur into the system, it may increase the activity of SACs as bifunctional catalyst.

2.3.5 Hydrogen Evolution Reaction

Hydrogen Evolution Reaction (HER) is an important process during electrochemical splitting of water to achieve the clean and renewable energy hydrogen. If we can achieve scalable synthesis of hydrogen by splitting water, thus, it will provide one efficient way of energy storage from clean energy like solar, wind, hydroelectric energy. The process of HER is as follows: \( 2H^+ + 2e^- = H_2 \)

Herein, the catalysts influenced the reaction speed thermodynamic value. \( H^* \) is seen as the intermediate and plays a significant role in HER. It could be explained through two mechanism for the process. First, the Volmer–Heyrovsky process is like \( H^+ + e^- + * = H^*; H^* + H^+ + e^- = H_2 + * \). Then, according to the Volmer–Tafel process, it will carry on like \( H^+ + e^- + * = H^*; 2H^* = H_2 + 2* \) [125]. The recent research confirms the free energy of \( H^* \) is the dominant process of the HER, which could be controlled by the high performance catalysts [126-128].

Despite enormous efforts[129-132] have been done to explore cost-saving, highly efficient and long-term stable electrocatalyst, there are still challenges for the practical applications. The related research [133] revealed that Pt-based material is most efficient catalyst for fast reaction kinetics. However, the Pt-based catalyst suffers from the expensive cost and could not be used in large scale. Therefore, it is meaningful to study the earth-abundant catalysts to take place the Pt catalysts in order to reduce the cost, enhance the activity.

How to achieve automatically great dispersion of transition-metal atoms for HER is still one challenge. Wang et al.[134] revealed the Co-Nx with 0.22 at% bonded on the porous carbon structure. The electrode shows a good catalytic activity with low overpotential of 212 mV and current density 100 mA cm\(^{-2}\), which is better than other traditional metals. Besides the experiments, the DFT results confirmed that C and N hybrid coordination around the Co improved the charge distribution and electron transfer during the HER process.

Fan et al. [135] obtained Ni-SACs through carbonization of metal-organic frameworks, with good HER performance with current density of 1.2 mA cm\(^{-2}\) and good durability. In addition to the Ni-SACs for HER, different metallic SACs also have been compared. Xue et al. [136] synthesized the
isolated nickel and iron atoms respectively, stabilized on the surface of graphdiyne. The Fe-SACs on graphdiyne showed better performance than that of Ni-SACs different from the bulk metal results. The work shown abovementioned provide us new ideas to design and tune the properties of electrocatalysts through the single atom techniques, which is very important for the scalable water electrochemical splitting.

2.3.6 Photocatalytic H2 production

Photocatalytic water splitting into H2 fuel has been a research focus for its key role in solving the energy crisis and environment problem [137-140]. Photocatalysis, in which the inexhaustibly abundant, safe and clean energy from the sun can be used for nonhazardous, sustainable and economically viable technologies, is a major advance in this direction[141, 142]. SACs provide an effective way to enhance the atom efficiency. Li et al.[75] reported the Pt-SACs with 0.16 wt% dispersed on the surface of porous g-C3N4, which provided abundant space and sites for the Pt and increase the utilization of Pt atoms. The results further confirmed the Pt was in the form by bonding with the tri-s-triazine units in g-C3N4 even at low temperature. It is worth noting that this Pt-CN structure indicates high stability and excellent activity.

Furthermore, Liu et al.[143] introduced another noble metal palladium on the surface of g-C3N4 with loading mass of 0.1 wt%. The HER activity of Pd-g-C3N4 increased from 1.4 to 728 µmol g⁻¹ h⁻¹ under visible light irradiation, which was almost 10 times higher than that of Pd nanoparticles with 3 wt% mass loading. The dramatic performance enhancement was attributed to the electronic structure of graphitic carbon nitride due to the isolated palladium introducing. The combination contributed to the increase of photoinduced electrons fast charge separation and provided appropriate sites for the adsorption of hydrogen, which resulted in efficient charge separation, active sites for adsorption for hydrogen.

Based on the present SACs research on the photocatalytic reaction, the noble metal SACs show superior activity [144, 145]. It will be more interesting to obtain earth-abundant metal SACs like conventional transition metal for high performance photocatalytic reaction. There is still one big challenge before this target, including the proper tuning of transition metal electron structure, surrounding atomic environment and active sites density.
2.3.7 *Other Reactions*

Besides the above examples of the electrochemical applications, some other applications of SACs also have been proceeded [146-148].

Gu et al.[148] reported the single Pt1 and Au1 atoms stabilized by lattice oxygen on the surface of ZnO, which was used for high-performance methanol steam reforming. DFT calculations indicated that the catalysis of the Pt/Au atoms closed with coordinated lattice oxygen results in strong binding with the intermediates, and further lowering process barriers, optimizing the reaction pathway, sequentially enhancing the activity. The chemical environment confinement effects provide one new way when designing the new SACs.

Tao et al. [149] synthesized the Ru single atoms on the surface of ZrO$_2$ by hydrothermal treatment followed washing and annealing. They further revealed that Ru-SACs supported on nitrogen coordinated porous carbon owing high activity for electroreduction of aqueous N$_2$ to ammonia. The addition of ZrO$_2$ support could suppress HER, which is very easy occurring during N$_2$ reduction. The DFT calculation indicated Ru-SAC with O vacancies have high catalytic activity due to the good N$_2$ adsorption and selectivity.

Based on the above discussion, the SACs have been utilized for some applications and shown good catalysis performance. It can be concluded that the novel selectivity of SACs plays very significant role on specific catalysis process. Every catalysis process requires some certain active center to accelerate the reaction, which should be designed by scientific principles but not only repeated amount of experimental trials. In order to achieve versatile applications of SACs, it is also taken into consideration how to design different active sits in one system. Although the current researches have shown some good results of SACs application, there is still some problems unsettled for SACs, including the low loading, complex synthesis method, low uniformity and so on.

<table>
<thead>
<tr>
<th>Methods</th>
<th>Catalyst</th>
<th>Support</th>
<th>Stability</th>
<th>Scalable</th>
<th>Loading (wt%)</th>
<th>Application</th>
<th>Year</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Impregnation method</td>
<td>Pt</td>
<td>FeO$_x$</td>
<td>High</td>
<td>Y</td>
<td>0.17</td>
<td>CO oxidation</td>
<td>2011</td>
<td>9</td>
</tr>
<tr>
<td></td>
<td>Pd</td>
<td>Al$_2$O$_3$</td>
<td>High</td>
<td>Y</td>
<td>0.03</td>
<td>Allylic alcohols oxidation</td>
<td>2007</td>
<td>65</td>
</tr>
</tbody>
</table>
Table 2.1 lists the typical approaches to obtain the SACs.

As shown above, it can be concluded that there is still large space to further explore better methods in order to controllable synthesize the SACs. The most challenging problems left are how to simplify the fabrication, increase the SACs loading, maximize the application areas. Therefore, it is very meaningful to further carry out the related study focused on the controllable synthesis, characterization and application of SACs.

### 2.4. Conclusion and Perspective

SACs provide many possibilities for the new generation of low-cost, high efficiency, multifunctional catalysts, which are of tremendous interest and importance, due to the novel quantum size effect, maximum active sites utilization, excellent selectivity and high activity. The SACs provide a new approach for us to enhance the catalysis efficiency and reduce the catalyst cost, which is very promising to be used in the practical application. Prior to the real commercial application, there are still significant research efforts required to push forward the development of SACs. The possible points are left to be conducted as follows:
(a) How to achieve high bonding sites to obtain more coordinated isolated atom centers. Because the more active sites, the higher efficiency. (b) How to reduce the cost and simplify the synthesis process of SACs. Before any practical application, the price of an advanced technique is always the highest priority. Therefore, the more feasible approaches are left to explore to achieve the synthesis of SACs with lower cost and higher environmental friendliness. (c) How to achieve multifunctional SACs such as bifunctional or even tri-functional application. As for this application, we should combine different types SACs on one support to explore the possibilities of multifunctional applications. (d) How to carry out the new application based on the SACs. There are some unknow boundaries of SACs left to study. Therefore, it is worth paying great effort to carry on the new research areas for SACs.

2.5 References


Chapter 3: Experimental

3.1 Materials and preparation

The chemicals used in this thesis were purchased from Sigma Aldrich unless other specified. They are listed as follows: nickel(II) acetylacetonate, cobalt (II) acetylacetonate, iron (III) acetylacetonate, silver acetylacetonate, copper (II) acetylacetonate and palladium (II) acetylacetonate, dicyandiamide (C\textsubscript{2}H\textsubscript{4}N\textsubscript{4}, DCD), nickel phthalocyanine (NiPc), Ni foil, Ni(OH)\textsubscript{2}, NiO, Pt/C (20 wt%), poly ethyleneimine (PEI) solution (50% (w/v) in H\textsubscript{2}O,) and polyvinylpyrrolid (PVP, average mol 40000).

The annealing treatments in this project was done under argon or hydrogen atmosphere in the closed horizontal furnace.

3.2 Structure Characterization

In order to get one complete analysis of the materials in this thesis, the characterization was carried out including macro and micro morphology, chemical components, spectrum techniques. The sample morphology was obtained by scanning the surface of the sample via focusing the electron beam and collecting the secondary electrons. Scanning electron microscopy (SEM, Zeiss Neon 40EsB) was used to observe the initial microstructure of the as-synthesized samples.

Transmission electron microscopy is a technique using an electron beam to pass through an ultrathin area and interact with the sample to get the microscopic morphology. High-resolution transmission electron microscopy (HRTEM, FEI Titan G2 80-200 TEM/STEM) was done to further analysis the microstructure. The TEM samples were made through dropping the sample ethanol solution on the surface of copper grid. Before observation the grids were dry in the 80\textdegree C oven for 10h. High angle annular dark field scanning transmission electron microscopy (HAADF-STEM) together with imaging and elemental mapping were conducted with a ChemiSTEM Technology instrument operating at 200 kV. The elemental mapping test was done by energy dispersive X-ray spectroscopy using the Super-X detector on the Titan with a probe size ~1 nm and a current of ~0.4 nA. As for the higher resolution even to the atomic level, high-resolution aberration-corrected scanning transmission electron microscopy annular dark field images (AC-
STEM-ADF, Nion UltraSTEM100 microscope) was carried out by operating at 60 kV at a beam current of 60 pA. The recorded images were filtered using a Gaussian function with a full width half maximum of 0.12 nm to reduce the high frequency noise. The convergence half angle of the electron beam was set to 30 mrad and the inner collection half angle of the ADF images was 51 mrad. The samples were dried at 160 °C overnight before investigation by STEM.

Each crystal has a unique diffraction pattern because of its novel crystal lattice and structure, therefore by comparing the XRD spectrum of the synthesized sample with the standard XRD diffraction data to analyze the sample phase. X-ray Diffraction (XRD) data was collected with a Bruker D8 Advance diffractometer operated at 40 kV and 40 mA with Cu Kα (λ = 1.5406 Å) in the range of 10-80° with a scan rate of 3 °/min. X-ray photoelectron spectroscopy (XPS) was collected in Kratos AXIS Ultra DLD system to analyze the element valence state.

Raman spectroscopy measurements were carried using a Jobin Yvon Lab RAM HR800 instrument with a 632.8 nm He–Ne laser and the alpha300 RA Correlative Raman-AFM Microscope with a 532 nm He–Ne laser. FT-IR spectrum of the catalysts were conducted using a Perkin-Elmer Spectrum GX FT-IR/Raman spectrometer at a spectral resolution of 4 cm⁻¹, with an average of 10 scans utilized in the recording of spectra. Elemental loadings of Metal, C, N, O, and H were investigated by elemental analysis (Elementar, vario MICRO cube) at 950 °C. For a comprehensive component analysis, the loading of the metal was also investigated by Thermogravimetric Analysis (TGA). To specifically determine the mass content of metal, the inductively coupled plasma atomic emission spectroscopy (TJA RADIAL IRIS 1000, ICP-AES) was used. Nitrogen absorption and desorption experiment was conducted on an ASAP 2020 (Micromeritics) to analyze the specific surface area and pores size distribution.

Near edge x-ray absorption structure (NEXAFS) spectroscopy measurements were performed at the Soft X-Ray beamline of the Australian Synchrotron. All spectra were done in partial electron yield (TEY) mode. All NEXAFS spectra were processed and normalized through the QANT software program at the Australian Synchrotron. X-ray absorption spectroscopy (XAS) measurements were collected at the XAS Beamline (12ID) at the Australian Synchrotron in Melbourne. With the beamline optics employed (Si-coated collimating mirror and Rh-coated focusing mirror) the harmonic content of the incident X-ray beam was negligible. The powder samples were pressed into pellets following mechanical grinding with a cellulose binder. Both
fluorescence and transmission spectra were collected based on the concentration of vanadium and cobalt in each sample. As for the XAS measurements, the samples were fabricated as pellets through pressing samples that were mechanically ground in a mortar/pestle to get one uniform mixture. The validity of this approach was confirmed by comparing the fluorescence and transmission spectra of one sample in which both methods yielded a comparable signal-to-noise data.

3.3 Electrochemical performance tests
The electrochemical measurements including carbon dioxide reduction, oxygen reduction reaction and oxygen evolution were conducted in one three-electrode cell with a Luggin capillary, using a Gamery Reference 3000 Potentiostat. As for the Lithium sulfur batteries test, 2025-type coin cells were assembled inside one Ar-filled glove box to keep oxygen out. A LAND galvanostatic charge–discharge instrument was used to perform electrochemical measurements. Electrochemical impedance spectroscopy measurements were conducted with a Solartron Impedance Analyzer (Solartron 1260A) in the frequency range from 1MHz to 0.1Hz with one AC voltage amplitude of 5 mV at the open-circuit potential. For the parameter details, it can be seen in the specific chapter.
Chapter 4 One-pot Pyrolysis Method to Fabricate Carbon Nanotube Supported Ni Single Atom Catalysts with Ultrahigh Loading

Abstract
The practical application of single atom catalysts (SACs) is constrained by the low achievable loading of single metal atoms. Here, nickel SACs stabilized on a nitrogen-doped carbon nanotube structure (NiSA-N-CNT) with ultrahigh Ni atomic loading up to 20.3 wt% have been successfully synthesized using a new one-pot pyrolysis method employing Ni acetylacetonate (Ni(acac)$_2$) and dicyandiamide (DCD) as precursors. The yield and formation of NiSA-N-CNT depends strongly on the Ni(acac)$_2$/DCD ratio and annealing temperature. Pyrolysis at 350 and 650 $^\circ$C led to the formation of Ni single atom dispersed melem and graphitic carbon nitride (Ni-melem and Ni-g-C$_3$N$_4$). Transition from a stacked and layered Ni-g-C$_3$N$_4$ structure to a bamboo-shaped tubular NiSA-N-CNT structure most likely occurs via a solid-to-solid curling or rolling-up mechanism, thermally activated at temperatures of 700-900 $^\circ$C. Extended X-ray absorption fine structure (EXAFS) experiments and simulations show that Ni single atoms are stabilized in the N-CNT structure through nitrogen coordination, forming a structure with four nearest N coordination surrounded by two carbon shells, Ni-N$_4$. The NiSA-N-CNT catalysts show an excellent activity and selectivity for the electrochemical reduction of CO$_2$, achieving a turnover frequency (TOF) of 11.7 s$^{-1}$ at -0.55 V (vs. RHE), but a low activity for the O$_2$ reduction and O$_2$ evolution reactions, as compared to Ni nanoparticles supported on N-CNTs.

4.1 Introduction
The supported single atom catalysts (SACs) contain isolated atoms dispersed on and/or coordinated with the surface atoms of an appropriate support[1, 2]. Characterized by high catalytic activity, selectivity and high atomic efficiency, SACs have been attracting increasing attention in recent years[3][4]. Because the single atoms are highly active and tend to form aggregates to reduce the Gibbs free energy, the atomic loading of SACs is generally very low, less than 3 wt%[3, 5-8]. Various techniques have been employed and developed to synthesize SACs. Atomic layer
deposition (ALD) has been adapted to fabricate SACs through controlling the ALD cycle number to deposit the targeted metal on the support\[7, 9, 10\]. Sun et al.\[7\] synthesized a Pt single atom catalyst supported on graphene using ALD method and the Pt single atoms showed significantly improved activity for methanol oxidation reaction as compared to that of commercial Pt/C catalysts. The Pt single atoms are stabilized on the graphene support through the oxygen or nitrogen ligands, making it difficult to increase the Pt loading due to the high tendency of aggregation. Metal-organic frameworks (MOFs), compounds consisting of metal ions or clusters coordinated to organic ligands to form one-, two-, or three-dimensional structures, have been used as templates for the single atom synthesis. Li et al. synthesized Ni SACs for the electrochemical reduction of CO\(_2\) through annealing MOFs-Ni precursor and reported a turnover frequency of 5273/h with a Faradaic efficiency for CO production of 71.9\%, achieving a current density of 10.48 mA cm\(^{-2}\) at an overpotential of 0.89 V\[11\]. The Ni loading anchored within MOFs by ionic exchange was 1.53 wt\%.

Chemical wet techniques such as impregnation, deposition-precipitation and co-precipitation method have been used to directly immobilize metallic ions onto the surface of metal oxide or nitride (e.g., FeO\(_x\)[3], Al\(_2\)O\(_3\)[2, 12], ZnO[13] , CuO[14] , TiO\(_2\)[15], TiN[16].) via the surface charging effects. This method highly depends on the distribution and number of defects such as oxygen or nitrogen vacancies to anchor single atoms. To avoid the agglomeration, highly diluted metal salt solution is generally used. Zhang et al.[4] synthesized Pt SACs anchored on the surface defects of iron oxide nanocrystals by co-precipitation method and reported high atomic efficiency, good stability and activity for CO oxidation reaction. However, when the loading of Pt atoms increased from 0.17 wt\% to 2.5 wt\%, significant agglomeration and cluster formation were observed. The low loading of single Pt atoms is due to the limited oxygen vacancies on the surface of FeO\(_x\) nanocrystals. Carbon-based materials have been explored as effective supports for the synthesis of SACs due to the high surface area and abundant defects to trap single atoms, including porous carbon[10], CNTs[17, 18], graphene[19-22], graphitic carbon nitride (g-C\(_3\)N\(_4\)) [17, 23-25], and carbon nanofiber.[26] Qiao et al.[17] designed a class of g-C\(_3\)N\(_4\) coordinated transition metals (Fe, Co, Ni) supported on the surface of CNTs and observed good performance of Co-C\(_3\)N\(_4\)/CNT with Co loading ~1 wt\% for the oxygen reduction reaction (ORR) and oxygen evolution reaction (OER) in alkaline media, comparable with Pt(111) surfaces. The single atom loading on carbon materials is low due to the limited defects on the outmost surface of carbon to stabilize the single
atoms. Thus, in general, the fundamental reason for the very low loading of SACs synthesized by the substrate support or the template-based synthesis methods is the limited number of defects or trapping sites for SACs, as shown in Table 1. Despite the significant advantage and benefit of SAC in terms of high activity and selectivity, the practical application of SACs is hindered by the very low atomic loading.

Thus, in general, the fundamental reason for the very low loading of SACs synthesized by the substrate support or the template-based synthesis methods is that they possess a limited number of defects or trapping sites for SACs, as shown in Table 4.1.

Table 4.1 List of selected synthesis methods for single atom catalysts reported in the literature.

<table>
<thead>
<tr>
<th>SACs</th>
<th>Synthesis method</th>
<th>Loading</th>
<th>Application</th>
<th>Ref</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pt</td>
<td>Co-precipitation on FeO_x support</td>
<td>0.17wt%</td>
<td>CO oxidation</td>
<td>[4]</td>
</tr>
<tr>
<td>Ir</td>
<td>Co-precipitation on FeO_x support</td>
<td>0.01wt%</td>
<td>Water gas shift reaction</td>
<td>[3]</td>
</tr>
<tr>
<td>Pt</td>
<td>ALD on graphene support</td>
<td>&lt;1.52wt%</td>
<td>Methanol oxidation</td>
<td>[7]</td>
</tr>
<tr>
<td>Co</td>
<td>Pyrolysis on graphene support</td>
<td>0.57 at%</td>
<td>Hydrogen generation</td>
<td>[22]</td>
</tr>
<tr>
<td>Pd</td>
<td>Impregnation on g-C_3N_4 support</td>
<td>0.5 wt%</td>
<td>Hydrogenations</td>
<td>[24]</td>
</tr>
<tr>
<td>Pd</td>
<td>ALD on graphene support</td>
<td>0.25wt%</td>
<td>Hydrogenation of 1,3-butadiene</td>
<td>[21]</td>
</tr>
<tr>
<td>Pt</td>
<td>Impregnation on TiN nanoparticles support</td>
<td>0.35 wt%</td>
<td>Oxygen reduction, formic acid oxidation, and methanol oxidation</td>
<td>[16]</td>
</tr>
<tr>
<td>Pd</td>
<td>Impregnation on N-doped carbon nanofibers</td>
<td>1 wt %</td>
<td>Hydrogen production from formic acid decomposition</td>
<td>[26]</td>
</tr>
</tbody>
</table>
This work presents a detailed study of the mechanism of formation of Ni SACs on nitrogen-doped CNT structure. XANES simulations and EXAFS fitting indicate that Ni single atoms are confined within the carbon lattice in the form of Ni-N₄, which contributes to the very high Ni single atom loading of 20.3 wt%. A new rolling-up mechanism is proposed to explain the transition from Ni single atoms dispersed g-C₃N₄ layered structures to tubular structured Ni SACs, NiSA-N-CNT at annealing temperatures of 700-900 °C.

### 4.2. Experimental section

#### 4.2.1 Materials and synthesis

Nickel(II) acetylacetonate (Ni(acac)₂, Sigma Aldrich), dicyandiamide (C₂H₄N₄, DCD, Sigma Aldrich), nickel phthalocyanine (NiPc, SigmaAldrich), Ni foil (SigmaAldrich), Ni(OH)₂ (Sigma Aldrich), NiO (Sigma Aldrich), Pt/C (20 wt%, from Sigma-Aldrich) were purchased and used without further treatment. DCD with 66.67 wt% nitrogen and 28.6 wt% carbon was used as the nitrogen and carbon sources and was also the dispersant agent for the Ni(acac)₂. Ni(acac)₂ is a coordination complex and can be dispersed atomically in DCD due to weak Van der Waals' force.
Ni(acac)\textsubscript{2} and DCD with different ratios from 1:50 to 1:800 were prepared, stirred for 10 h and dried for 24 h followed by uniformly grinding. Subsequently, the mixture was heated at 350 °C for 3 h and then at 650 °C for 3 h under Ar with a flow rate of 50 mL min\textsuperscript{-1}. The as-prepared powder was subsequently annealed in a selected temperature in the range of 700-900 °C. The final samples were denoted as NiSA-N-CNT-T (T=700, 800 and 900 °C). Figure 4.1 shows the procedure of one-pot pyrolysis synthesis method.

In order to study the formation mechanism, the intermediate products of the mixture with Ni(acac)\textsubscript{2}:DCD ratio of 1:200 and treated at 350 °C and 650 °C under Ar, denoted as Ni(acac)\textsubscript{2}-DCD-350°C and Ni(acac)\textsubscript{2}-DCD-650°C, respectively, were obtained and examined. For the purpose of comparison, nitrogen-doped CNTs (N-CNTs), Ni nanoparticles (NPs) supported on N-CNTs (Ni-N-CNTs) were prepared. In the case of N-CNTs, CNTs (30 mg, Nanostructured@Amorphous Materials, Inc., USA) was oxidized by treatment in a concentrated H\textsubscript{2}SO\textsubscript{4} and HNO\textsubscript{3} solution with volume ratio of 3:1[29]. The treated CNTs were then placed into a tubular furnace and heated to 800 °C at a heating rate of 5 °C min\textsuperscript{-1} and maintained for 1 h under NH\textsubscript{3} (10 vol % NH\textsubscript{3} in He) at a flow rate of 100 mL min\textsuperscript{-1}[30]. For Ni-CNTs and Ni-N-CNTs, treated CNTs or N-CNTs (30 mg) were dispersed in ethanol glycol and with addition of 23 mg Ni(acac)\textsubscript{2}, then the dispersion was stirred at room temperature for 3 h before being placed in the microwave oven and heated for 3 min. The dispersion was then filtered and dried at 71 °C. The as-prepared solid was placed in a furnace and heated to 400 °C at a heating rate of 5 °C min\textsuperscript{-1} for 1 h under H\textsubscript{2} flow (50 mL min\textsuperscript{-1}) to reduce NiO\textsubscript{x} NPs to Ni.
Figure 4.1 Synthesis steps of NiSA-N-CNT electrocatalysts via a one-pot pyrolysis method.

4.2.2 Microstructure and phase characterization

The mass change during the one-pot pyrolysis process was monitored by thermogravimetric analysis (TGA, Q5000, TA Instruments). Ni(acac)$_2$:DCD mixture with weight ratio of 1:200 was put into an alumina crucible and heated to 350 $^\circ$C for 3 h in N$_2$ with the temperature ramped up to 650 $^\circ$C and held at this temperature for 3 h in a N$_2$ flow at a rate of 20 mL min$^{-1}$. The yellowish powder was subsequently heated to 800 $^\circ$C for 1 h in a N$_2$ atmosphere to obtain the final product. Microstructural and morphological features of as-synthesized powder samples were observed using scanning electron microscopy (SEM, Zeiss Neon 40EsB) and high-resolution transmission electron microscopy (HRTEM, FEI Titan G2 80-200 TEM/STEM). High angle annular dark field scanning transmission electron microscopy (HAADF-STEM) together with imaging and elemental mapping were carried out using a ChemiSTEM Technology instrument operating at 200 kV. The elemental mapping was obtained by energy dispersive X-ray spectroscopy using the Super-X detector on the Titan with a probe size ~1 nm and a probe current of ~0.4 nA. The powdered sample was dispersed using an ethanol solution onto TEM sample grids. High resolution aberration-corrected scanning transmission electron microscopy annular dark field images (AC-STEM-ADF) and annular bright field images (AC-STEM-ABF) were collected using a Nion
UltraSTEM100 microscope operating at an acceleration voltage of 60 kV and a beam current of 60 pA. The recorded images were filtered using a Gaussian function (full width half maximum = 0.12 nm) to remove the high frequency noise. The convergence half angle of the electron beam was set to 30 mrad and the inner collection half angle of the ADF images was 51 mrad. The samples were baked at 160 °C overnight before examination by STEM. X-ray Diffraction (XRD) data was collected with a Bruker D8 Advance diffractometer operated at 40 kV and 40 mA with Cu Kα (λ = 1.5406 Å) in the range of 10-80°. The loading of the metal was also investigated by TGA.

Elemental loadings of Ni, C, N, O, and H were probed by elemental analysis (Elementar, vario MICRO cube) at 950 °C. Raman spectroscopy measurements were performed using a Jobin Yvon Lab RAM HR800 instrument with a 632.8 nm He–Ne laser. FT-IR spectra of the catalysts were measured using a Perkin-Elmer Spectrum GX FT-IR/Raman spectrometer at a spectral resolution of 4 cm\(^{-1}\), with an average of 10 scans utilized in the recording of spectra.

X-ray absorption spectroscopy (XAS) measurements were performed at the wiggler XAS Beamline (12ID) at the Australian Synchrotron in Melbourne, Australia using a set of liquid nitrogen cooled Si(111) monochromator crystals. With the associated beamline optics (Si-coated collimating mirror and Rh-coated focussing mirror), the harmonic content of the incident X-ray beam was negligible. XAS measurements were performed at the Ni K-edge (8.3 keV) at < 10 K to minimize thermal disorder and to ensure that the samples were not subjected to radiation damage as confirmed via quick scans of the absorption edge for 2 h comprising 12 repetitive scans. Note that a single XAS scan took ~1 hour. With the XAS measurements, the samples were prepared as pellets after pressing samples that were mechanically ground in a mortar/pestle using a cellulose binder. The measurement of fluorescence and transmission spectra were dependent on the concentration of Ni in each sample. The validity of this approach was confirmed by comparing the fluorescence and transmission spectra of one sample in which both methods yielded a comparable signal-to-noise data.

Data processing and analysis were performed following standard methods.[31] The extended X-ray absorption fine structure (EXAFS) data was isolated using the Athena software.[32] The normalised EXAFS was then Fourier transformed over a photoelectron momentum (k) range of 2.0-12.5 Å\(^{-1}\). The coordination shell(s) to be analysed were isolated by inverse transforming over a non-phase-corrected radial distance (R) range of 0.7-2.7Å. Structural parameters were
determined by nonlinear least squares fitting using IFEFFIT,[33] with phases and backscattering amplitudes calculated \textit{ab initio} with the FEFF8.1 code[34]. The amplitude reduction factor ($S_0^2$) was determined from a bulk metallic Ni standard and held constant for the analysis of the samples.

X-ray absorption near edge structure spectroscopy (XANES) measurements below photon energies of 2,500 eV were conducted at the Soft X-Ray beamline of the Australian Synchrotron[35]. These measurements were carried out at room temperature under ultra-high vacuum (UHV) conditions with a base pressure of 5 x $10^{-10}$ mbar or better. All spectra were obtained in total electron yield (TEY) mode. The XANES spectra were recorded at the Ni L-edge (850-875 eV), C K-edges (280-320 eV) and N K-edges (395-420 eV). All XANES spectra were processed and normalized using the QANT software program developed at the Australian Synchrotron[36]. X-ray energy calibrations were achieved by applying the offset required to shift the simultaneously measured reference spectra of nickel foil and boron nitride powder to its known energy. Intensities have been normalized with respect to impinging photon flux. Standard chemicals including nickel phthalocyanine (NiPc, Sigma Aldrich), NiO (99.8%, Sigma Aldrich) and Ni(OH)$_2$ (99.8% Sigma Aldrich) were used as reference materials.

\textbf{4.2.3. Simulation of XANES}

The structures containing different Ni-N configurations were first relaxed using projected augmented wave method (PAW) [37, 38], as implemented in Vienna ab-initio simulation package (VASP) [39, 40]. For the structural relaxation and electronic structure calculations generalized gradient approximation (GGA) method was used with Perdew-Burke-Ernzerhof (PBE) exchange-correlation function[41]. The electron wave function was expanded in a plane-wave basis set with an energy cutoff of 520 eV. The molecule structure was placed in a cube box with dimension of 20 Å. A single K point at (0,0,0) was chosen. The convergence criteria for structural optimization and energy on each atom were set to 0.01 eV/Å and $10^{-4}$ eV. X-ray near edge spectra were then simulated using the FDMNES package. Ni K edge spectra were simulated through multiple scattering on a muffin-tin potential with a cluster radius of 4 Å.

\textbf{4.2.4 Electrochemical characterization}

Electrocatalytic activity of the as-synthesized catalysts was evaluated via the electrochemical CO$_2$ reduction reaction (CO2RR) in N$_2$ and CO$_2$ saturated 0.5 M KHCO$_3$ solution using linear scan
voltammetry (LSV). The electrochemical cell comprised the electrocatalyst as the working electrode, a saturated calomel electrode (SCE) as the reference electrode, and Pt as the counter electrode. The electrolyte was purged initially by N₂ for 5 min and subsequently by CO₂ for 15 min before the conduct of the CO₂RR experiments. A catalyst loading of 0.2 mg cm⁻² was used in the LSV experiments. The electrodes used in the CO₂ electrolysis experiments were prepared by casting a slurry of the catalyst in an ethanol-Nafion solution (6 mg mL catalysts, 1% Nafion) onto carbon paper (1 cm⁻²) at a catalyst loading of 1 mg cm⁻². The CO₂RR was conducted in a gas-tight electrochemical cell at different applied potentials over a period of 2 h at each potential. The outlet gas was collected using a gas bag for analysis by double channel gas chromatography (Shimadzu 2014) analysis. The amount of CO was calibrated using standard gas (BOC) at CO concentration of 0.059%, 0.0738%, 0.0983%, 0.1475% and 0.295%, and the H₂ was calibrated using standard gas (BOC) at H₂ concentration of 0.0534%, 0.06675%, 0.089%, 0.1335% and 0.267%. The chromatographic peak areas were determined using the EZChrom SI software. The collected solutions after CO₂ electrolysis (0.200 g) were mixed with 0.100 g of 2 M HCl, so as to eliminate the KHCO₃ and convert the possible existing format to formic acid. The formic acid content was determined using tetrahydrofuran as the solvent and methanol was analysed using the dimethylformamide as the solvent, using gas chromatography-mass spectrometry (Agilent GC-MS, 6890 series GC with a 5973 MS detector). The rate of product yield was based on the average rate over the 2 h electrolysis period. The Faradaic efficiency (FE) of CO production and turnover frequency (TOF, mol CO mol⁻¹ Ni h⁻¹) were deduced from the cyclic voltammetry (CV) curves using the transition of Ni²⁺ to Ni³⁺. The electrochemical activity of NiSA-N-CNT catalysts for oxygen reduction and evolution reactions (ORR and OER) was also measured in 0.1 M KOH solution using LSV with a catalyst loading of 0.2 mg cm⁻².

4.3. Results and discussion

4.4.1 Effect of precursor ratios and annealing temperatures

Figure 4.2 shows the optical and TEM images of as-synthesized powder samples as function of Ni(acac)₂:DCD ratios that have been annealed at 800 °C. The yield of final products of precursors with Ni(acac)₂:DCD ratios of 1:50, 1:100, 1:200, 1:400 and 1:800 was 35, 18, 11, 4 and 1.5 mg, respectively (see Figure4.2A). This indicates that the yield of the powder depends strongly on the
Ni content in the Ni(acac)$_2$ and DCD mixture. The morphology of the products also varies significantly with the Ni(acac)$_2$:DCD ratio (Figure 4.2B). For samples synthesized with a Ni(acac)$_2$:DCD ratio of 1:50, a large proportion of NPs were observed with particle sizes in the range of 2 to 150 nm. As the Ni(acac)$_2$:DCD ratio increased to 1:200, the number of NPs decreased significantly, coinciding with a clear formation of CNTs. However, with a further increase in the ratio to 1:400 and 1:800, there is a resurgence in the proportion of NPs. However, with the increase of DCD, Ni content was reduced in the precursor. During the annealing process, more ammonia would be produced, which could etch the carbon or CNTs (DCD contains more N as compared to C). This could result in the instability of the Ni single atoms, leading to the aggregation and formation of Ni NPs. On the other hand, as Ni is a catalyst for CNT formation, the lower the Ni(acac)$_2$:DCD ratio would have a higher Ni content, thus produce a higher carbon yield (Figure 4.2A). Thus, there is an optimum ratio of Ni(acac)$_2$:DCD for the formation of CNT structures possessing a low number of NPs as shown in Figure 4.2B. The optimum ratio of the Ni(acac)$_2$:DCD precursors is around 1:200 under the conditions of this study.

![Figure 4.2](image)

**Figure 4.2** (A) Optical and (B) TEM micrographs showing the yield of catalyst produced after the pyrolysis of 2 g precursors with different Ni(acac)$_2$:DCD ratios. The final annealing temperature was 800°C. The scale bar in (B) is 200 nm.

Figure 4.3 presents TEM micrographs, XRD diffraction patterns and Raman spectra for catalysts synthesized using a Ni(acac)$_2$:DCD ratio of 1:200 following annealing at different temperatures. At an annealing temperature of 700°C, a CNT structure was prevalent with a preponderance of amorphous carbon (see Figure 4.3A). The corresponding XRD diffraction pattern shows a broad
and weak peak at ~26°, indicating a poor crystallinity of the catalyst (see Figure 4.3D). Similarly, Raman spectra (Figure 4.3E) also possess poorly resolved peaks. When the annealing temperature is increased to 800 °C, the microstructure of the synthesized powder is characterized by well-formed CNTs with a substantially reduced amorphous content (see Figure 4.3B). The intensity of the XRD peak at ~26° also increases, indicating an enhancement in the crystallinity of carbon (Figure 4.3D) [45]. Similar CNT formation was also observed with catalysts annealed at 900 °C (Figure 4.3C). Raman spectra for the samples annealed at 800 °C and 900 °C also revealed an intensity enhancement in the peak at 1580 cm⁻¹, which again confirms an increase in the degree of crystallinity. For catalysts annealed at 900 °C, a weak peak at 44.8° was observed, can be attributed to the formation of Ni NPs [42]. However, compared to the XRD patterns observed on Ni-N-CNTs, the intensity of the XRD peak at 44.8° is very low, indicating that the majority of Ni in the catalyst samples does not exist as Ni NPs.

Based on this preliminary analysis, the best microstructure was obtained with samples comprising a Ni(acac)₂:DCD ratio of 1:200 at an annealing temperature of 800°C. As such, the microstructure and phase analyses will be performed on catalyst samples annealed at 800 °C, i.e., NiSA-N-CNT-800.

Figure 4.3 TEM micrographs of as-synthesized catalysts at different annealing temperatures of (A) 700 °C, (B) 800 °C and (C) 900 °C, with the corresponding XRD patterns and Raman spectral data
presented in (D) and (E), respectively. The Ni(acac)$_2$:DCD ratio of the precursor was 1:200, with XRD and Raman curves of Ni-N-CNTs presented for comparison.

### 4.4.2 Characterization of NiSA-N-CNT-800

Figure 4.4 presents the structural characterization of NiSA-N-CNT-800 samples. Here, bamboo-like CNTs with lengths of up to 5 µm and average tubular diameters of 20-50 nm were observed, with no obvious NPs observed in this catalyst sample (see Figures 4.4A, B and C). Elemental mapping of a typical tubal structure revealed a uniform distribution of N and Ni across the tubes (see Figure 4.4D). Bamboo-like CNTs are multi-walled, possessing an average of ~11 layers (Figure 4.4E). Here, the individual Ni atoms were incorporated within the carbon layers, but not confined between carbon layers (see Figure 4.4F). White dots represent Ni atoms distributed on CNTs with an average diameter of 0.15±0.01 nm, close to the diameter of Ni atom, which is 0.144 nm (see Figure 4.4F, G and H). There is a formation of defective non-C$_6$ carbon rings such as C$_5$ and C$_7$ with incorporation of nitrogen atoms (indicated by red dots in Figure 4G, and characterized by electron energy loss spectroscopy, EELS).

![Figure 4.4](image)

**Figure 4.4** (A) SEM, (B) TEM, (C) STEM, (D) STEM-EDS mapping (Ni and N elements), (E) AC-STEM-ABF and (F) AC-STEM-ADF micrographs showing the atomic dispersion of Ni in NiSA-N-CNT-800. AC-STEM-ADF micrographs (G,H) show the non-C6 carbon environmental in NiSA-N-CNT, with the red and white dots depicting N and Ni atoms, respectively.
The chemical environment of nickel, nitrogen and carbon in NiSA-N-CNT-800 was investigated by NEXAFS spectroscopy and the results are shown in Figure 4.5. The typical sp2 carbon from the C 1s peak at 285.2 eV for pure CNTs and N-CNTs was not observed in the C K-edge NEXAFS spectra of NiSA-N-CNT-800 (see Figure 4.5A). Instead, the spectra shifted positively, forming a predominant peak at 286.9 eV. This indicates that majority of carbons in NiSA-N-CNT-800 may not be present as C=\text{C}. The N K-edge spectrum possesses a number of peaks in the range of 397 to 403 eV, which can be assigned to Ni-N (399.5 eV), pyridinic N (398.4 eV) and graphitic N (401.5 eV) (see Figure 4.5B). Analysis of the Ni L-edge NEXAFS spectra of NiSA-N-CNT-800 reveals that the Ni L\text{3} and L\text{2}-edeges are located at 854.2 and 871.1 eV, similar to those of NiPc which possesses a Ni-N\text{4} center [43], as depicted in Figure 5C. In the case of Ni(OH)\text{2} and Ni foil, the Ni L\text{3} and L\text{2}-edeges are located at 853 eV and 871 eV as well as 852.5 eV and 869 eV, respectively, corresponding to Ni-O, Ni-Ni bond[20]. This demonstrates that Ni in NiSA-N-CNT-800 is most likely bonded to N. The Fourier transform of the extended X-ray absorption fine structure (EXAFS) Ni K-edge spectra shows that the NiSA-N-CNT-800 is similar with NiPc, but very different from the Ni metal (Figure 4.5D). Furthermore, the linear combination analysis (LCA) of NiSA-N-CNT-800 using NiPc, Ni(OH)\text{2} and Ni foil as standards, indicates that the material has similar bonding characteristics to a material consisting of 88±2% Ni-N, 5.8±0.7% Ni(OH)\text{2} and 6.3±1.8% Ni\text{0} [44, 45]. The high nitrogen content of NiSA-N-CNT-800 also favored the formation of defective non-C\text{6} rings including C\text{5} and C\text{7}, consistent with the AC-STEM-ADF analysis (see Figure 4.4G).
Figure 4.5 Chemical environment of NiSA-N-CNT as probed by X-ray absorption spectroscopy (XAS). (A) C K-edge. (B) N K-edge. (C) Linear combination analysis of the Ni L-edge spectra fitted using standards of NiPc, Ni(OH)$_2$, NiO and Ni foil, with the embedded structure representing the molecular model for NiPc. (D) Fourier transforms of the EXAFS spectra for Ni foil, NiPc, and NiSA-N-CNT-800.

Figures 4.6A-E present a comparison of experimental and simulated X-ray absorption near edge spectroscopy (XANES) spectra of the inserted Ni-core structures with varying Ni-N-C configurations associated with a possible molecular structure model. The structural parameters are carried out by nonlinear least squares fitting using IFEFFIT. The molecular structures were relaxed to the lowest energy configuration using DFT simulations. The molecular structures were relaxed to the lowest energy configuration using density functional theory simulations. The $x$ values of 1, 2, 3 and 4 for Ni-N$_x$ complex embedded in perfect graphene layer were tested. Ni-N$_4$ was found to be the best configuration. To get better agreement between experimental spectra, different configurations were conceived for Ni-N$_4$ complex. Those configurations lead to pronounced different at the pre-edge. Perfect C$_6$ rings surrounding the Ni-N complex (Figs. 4.6A,B) do not agree with experimental results. The Ni-N$_4$ structures with defective non-C$_6$ carbon rings were
found to have better resemblance with experimental results. Two typical configurations (Fig. 4.6C,D) show similarities to the experimental XANES spectra. It should be noted that the experimental spectrum is the average signal of different possible configurations. The high nitrogen content in the NiSA-N-CNTs also favors the formation of defective non-C₆ rings, which are further confirmed by atomic resolution STEM images. Here, Ni-N₄ was found to be the best Ni-Nₓ complex associated with a perfect graphene layer configuration. To achieve a closer convergence between the experimental and fitted data, a variety of configurations were conceived for the Ni-N₄ complex, which led to pronounced differences at the pre-edge of Ni. The most suitable fitting result for the EXAFS data was obtained for a structure with four nearest N neighbors in a coordination shell followed by two carbon shells (1ˢᵗ carbon shell in red, 2ⁿᵈ carbon shell in green, as shown in Figure 4.6E). This structural model simulates satisfactorily the EXAFS data despite the presence of different defect structures in the carbon rings (see Figure 4.4G).
Figure 4.6 (A-E) Comparison of a simulated XANES spectra of the inserted Ni-core structures with experimental results, and (F) fitted Fourier transforms of the EXAFS spectra with NiSA-N-CNT-800.

The loading of Ni single atoms was calculated using TGA and XANES data. Here, the NiSA-N-CNT-800 material begins to decompose at 381 °C in air (Figure 4.7), while the decomposition of multiwalled CNTs (MWCNTs) starts at 422 °C. The NiSA-N-CNT-800 material is fully decomposed at around 480 °C, significantly lower than that of 640 °C for MWCNTs. This may be
attributed to a highly efficient self-catalysis of thermal decomposition resulting from the nickel single atoms.

Figure 4.7 The thermogravimetric analysis of NiSA-N-CNT as compared with pure carbon nanotubes.

The final decomposition product of NiSA-N-CNT-800 in air is NiO, which accounts for 29.4% of the total mass from TGA, validating that the Ni species in the sample is 24.1%. The LCA of the Ni L-edge XANES spectra (see Figure 4.5C) shows that 87.9% of the Ni signals coincide with a Ni-N chemical environment. Based on the aforementioned analyses, the total content of Ni single atom in NiSA-N-CNT-800 was found to be 20.3%.

Thus, Ni-N-C bonding and confinement effects within the carbon nanotubes are responsible for the high Ni single atom loading in the present study. While at a temperature of 700 °C, amorphous carbons mixed with CNTs were formed. With an increase in temperature from 800 °C to 900 °C, there is a significant loss in nitrogen from 18.7 wt% to 11.3 wt%(Table 4.1).
Table 4.1 The nickel content is calculated based on the elemental analyser (EA) data by subtraction of the content of C, N, O and H. And the metal content also calculated by the TGA curve. *the O content could be affected by the metal elements existed in the sample.

<table>
<thead>
<tr>
<th>Sample</th>
<th>C/wt%</th>
<th>N/wt%</th>
<th>Ni (wt%)</th>
<th>Ni (wt%)</th>
<th>*O (wt%)</th>
<th>H (wt%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ni/S-N-CNT (Ni(acac)$_2$; DCD=1:50, 800°C)</td>
<td>43.2±4.3</td>
<td>11.3±4</td>
<td>42±3.5</td>
<td>41±1.2</td>
<td>2.4±0.5</td>
<td>1.1±0.2</td>
</tr>
<tr>
<td>Ni/S-N-CNT (Ni(acac)$_2$; DCD=1:100, 800°C)</td>
<td>50.3±3.9</td>
<td>16.3±3.7</td>
<td>30.8±3.6</td>
<td>33±2.3</td>
<td>1.8±0.6</td>
<td>0.8±0.2</td>
</tr>
<tr>
<td>Ni/S-N-CNT (Ni(acac)$_2$; DCD=1:200, 800°C)</td>
<td>56.5±5.1</td>
<td>18.7±3.5</td>
<td>22.7±3.5</td>
<td>23±4</td>
<td>1.6±0.9</td>
<td>0.5±0.2</td>
</tr>
<tr>
<td>Ni/S-N-CNT (Ni(acac)$_2$; DCD=1:400, 800°C)</td>
<td>53.2±5.2</td>
<td>14.7±3.1</td>
<td>29.2±3.6</td>
<td>31.2±3</td>
<td>3.6±0.9</td>
<td>0.7±0.2</td>
</tr>
<tr>
<td>Ni/S-N-CNT (Ni(acac)$_2$; DCD=1:800, 800°C)</td>
<td>51.2±4.9</td>
<td>11.7±2.2</td>
<td>33.3±3.5</td>
<td>33.5±4</td>
<td>3.1±0.5</td>
<td>0.7±0.3</td>
</tr>
<tr>
<td>Ni/S-N-CNT (Ni(acac)$_2$; DCD=1:200, 700°C)</td>
<td>59.3±3.8</td>
<td>17.3±3.6</td>
<td>21.1±3.6</td>
<td>23±2.1</td>
<td>1.5±0.5</td>
<td>0.8±0.2</td>
</tr>
<tr>
<td>Ni/S-N-CNT (Ni(acac)$_2$; DCD=1:200, 900°C)</td>
<td>52.3±3.9</td>
<td>11.3±3.7</td>
<td>33.8±3.6</td>
<td>35.2±2.5</td>
<td>1.7±0.6</td>
<td>0.9±0.2</td>
</tr>
</tbody>
</table>

4.3.3 Microstructure of intermediate products, Ni(acac)$_2$-DCD-350 and Ni(acac)$_2$-DCD-650

Figure 4.8A presents the TGA decomposition and carbonization results for the precursor mixture with Ni(acac)$_2$: DCD=1:200. After the first heat treatment at 350°C for 3 h in Ar, the original white powder changed to yellow proceeding to dark yellow after a second heat treatment at 650 °C in Ar. Final annealing at 800°C led to the formation of a black powder. TGA analysis shows a dramatic weight loss of about 40 wt% at 350 °C and 75 wt% at 650 °C, while the final weight of the residual after annealing at 800°C for 1 h is 1.7 wt%.

In the case of Ni(acac)$_2$-DCD heat treated at 350°C, Ni(acac)$_2$-DCD-350, XRD peaks centered at 12.5, 14.6, 16.9, 18.4, 19.4, 19.8, 25.4, 26.1, 27.2, 28.7, 30.7 and 31.2° were observed, which is in good agreement with a melem structure (2,5,8-Triamino-tri-s-triazine, C$_6$N$_7$(NH$_2$)$_3$), as reported in the literature[46]. After the second heat treatment at 650 °C in Ar (i.e., Ni(acac)$_2$-DCD-650), two main XRD peaks at 13.0° and 27.4° were observed and ascribable to the (100) and (002) planes of graphitic carbon nitride, g-C$_3$N$_4$(shown as in Figure 4.8B)[47]. This indicates that DCD was condensed into a melem phase at 350 °C, with further heating to 650 °C resulting in the formation of polymeric g-C$_3$N$_4$ via rearrangement of the melem units. The characteristic Ni XRD peaks at 44.8° and 51.7° were not observed in both of these intermediate samples. This suggests strongly that Ni is most probably present as single atoms dispersed within the melem and g-C$_3$N$_4$ structures.
The incorporation of Ni single atoms in melem and g-C₃N₄ intermediates, i.e., Ni-melem and Ni-g-C₃N₄, was further confirmed by FTIR analysis (see Figure 4.8C). In the case of Ni(acac)₂-DCD-350, there are three characteristic bands at 801, 1443 and 1601 cm⁻¹, corresponding to the bending vibrations of C–N in the C₆N₇ ring of the melem structural units, which are similar to those for DCD heat-treated at 350°C[48]. This provides evidence for the incorporation of Ni single atoms into the melem structure. The presence of broad bands at 2600–3400 cm⁻¹, which are typical of N–H vibrational stretching modes with Ni(acac)₂-DCD-650 and DCD heat-treated at 650°C (DCD-650°C) are indicative of the retention of amino functional groups in Ni(acac)₂-DCD-650°C, which might provide bridges for Ni-N bonding, again suggesting Ni single atom incorporation in g-C₃N₄. Several strong bands in the 1200-1650 cm⁻¹ region were found in Ni(acac)₂-DCD-650 and DCD-650°C, which are ascribable to the typical stretching modes of CNT heterocycles[49].

![Figure 4.8](image)

**Figure 4.8** (A) TGA of annealing process in a nitrogen atmosphere of Ni(acac)₂:DCD at a weight ratio of 1:200, (B) XRD of the Ni(acac)₂:DCD mixture annealed at 350 °C and 650 °C, (C) FTIR of the Ni(acac)₂-DCD and DCD materials that had been heat-treated at 350°C and 650°C, and (D) Fourier transforms of the EXAFS spectra of Ni(acac)₂-DCD-350, Ni(acac)₂-DCD-650, NiPc and Ni foil.

EXAFS spectroscopy indicates that the Ni K-edge of Ni in Ni-melem and Ni-g-C₃N₄ is very close
to that of Ni in NiPc, but very different from Ni in Ni foil (see Figure 4.8D). This suggests that Ni is likely to be in the form of Ni(II) similar to Ni in NiPc rather than Ni in metallic Ni foil (i.e., Ni-Ni). The EXAFS spectra reveal that the Ni in Ni(acac)$_2$-DCD-350 °C is coordinated with 4.8 O with a bond length of 1.88 Å, which is consistent with the Ni L-edge spectrum (Figure 4.5C). In the case of Ni-g-C$_3$N$_4$, the first shell shows a broad peak at 1.88 Å and a shoulder at 1.45 Å, which are indicative of a complex coordination environment that results from interaction of Ni in the voids of g-C$_3$N$_4$ that bonds to O and also coordinated with the pyridinic-N from the separate triazine units[43].

Figure 4.9 presents TEM micrographs for Ni(acac)$_2$-DCD-350 and Ni(acac)$_2$-DCD-650. In both cases, there was no evidence of Ni NPs (see Figures 4.9B and D). AC-ADF analysis shows a uniform distribution and high density of bright dots, represented of Ni single atoms in the melem and g-C$_3$N$_4$ structures. The uniform distribution of Ni single atoms is indicative of Ni single atoms within these structures, also showing that the incorporated Ni single atoms have induced a structural transformation from melem to g-C$_3$N$_4$.

**Figure 4.9** HRTEM and AC-STEM-ADF micrographs of (A,B) Ni(acac)$_2$:DCD-350 and (C,D) Ni(acac)$_2$:DCD-650. The presence of Ni single atoms is indicated by circles.
4.4.4. Formation mechanism of NiSA-N-CNT tubular structure

We have already shown that the formation of bamboo-shaped tubular structure supported Ni single atom catalysts, NiSA-N-CNT, occurs during the annealing of Ni(acac)$_2$-DCD-650 (i.e., Ni-g-C$_3$N$_4$ intermediates) at temperatures of 700-900 °C. We also found that there was no residual carbon in DCD-650°C that was heat treated at a temperature of 700 °C for 1 h. This is consistent with a report by Cui et al.[50] showing that pure g-C$_3$N$_4$ decomposes into nitrogen and cyano fragments at temperatures above 650 °C. The observation of the formation of CNTs from Ni(acac)$_2$-DCD-650 after annealing at temperatures of 700-900 °C (Figures 4.2 and 4.3) effectively demonstrates that the presence of Ni single atoms enhances the thermal stability of the g-C$_3$N$_4$ structure. Accordingly, the carbonization and transformation of the Ni-g-C$_3$N$_4$ layered structures into a NiSA-N-CNT tubular structure are clearly due to the catalytic effects of Ni single atoms during annealing at high temperatures of 700-900 °C. However, the mechanism of the formation of CNT stabilized Ni SAC is likely to be very different from conventional CNTs formation based on Ni NPs as the catalyst cap[51]. Although there is still considerable debate around the exact mechanism of CNT growth, the most generally-accepted mechanism may be outlined as follows: hydrocarbon decomposes into carbon and hydrogen species at the outer surface of metal nanoparticles at elevated temperatures; hydrogen is evolved; and carbon diffuses/dissolves into the metal[52, 53]. After achieving saturation of carbon in the metal, the dissolved carbon precipitates out and crystalizes in the form of a seamless graphitic cylinders having no dangling bonds and hence are energetically stable.

In the case of the transformation of Ni-g-C$_3$N$_4$ to NiSA-N-CNT, there is no hydrocarbon vapor phase, so the diffusion and dissolution carbon species was not possible under these conditions. The precursor for the CNT formation, g-C$_3$N$_4$, is a layered carbon nitride compound with a structure similar to graphite, which is composed of two tectonic units: s-triazine unites and tri-s-triazine units. As shown above, the presence of Ni single atoms increases the thermal stability of the g-C$_3$N$_4$ structure. During annealing at high temperatures of 700-900 °C, Ni single atoms within the g-C$_3$N$_4$ structure are thermally activated, resulting in the accelerated mobility of Ni single atoms. The thermal movement of Ni single atoms is expected to create internal stresses, which can cause a curling of the layered Ni-g-C$_3$N$_4$, thereby forming a seamless graphitic cylindrical network. This rolling-up phenomenon will occur if the internal stresses are high enough, which in turn would depend on the density of Ni single atoms in the g-C$_3$N$_4$ material. This is also supported by the fact that the morphology of NiSA-N-CNT depends strongly on the content of Ni (i.e., Ni(acac)$_2$) rather
than the carbon and nitrogen contents of the source materials, i.e., DCD) (see Figures 4.2 and 4.3). Accordingly, the transformation of the stacked Ni-g-C$_3$N$_4$ layered configuration into the tubular NiSA-N-CNT structure takes place through a solid-to-solid rolling-up mechanism. The driving force behind this rolling or curling behavior is attributable to a minimization of the surface free energy. Figure 4.10 presents a scheme for the formation of Ni single atoms supported on a carbon nanotube structure via a one-step pyrolysis and rolling-up process from the layered Ni-g-C$_3$N$_4$ structures. The observed bamboo-shaped CNTs may be related to the fact that the NiSA-N-CNTs were rolled from the layered Ni-g-C$_3$N$_4$ structure, which has limited dimension and length. Thus conventional formation and continuous growth of CNTs based on Ni NPs as the catalyst cap would not be possible in the solid-to-solid rolling-up process. Nevertheless, more extensive studies are needed to understand the fundamentals of such rolling-up mechanisms.

![Diagram of NiSA-N-CNT formation](image)

**Figure 4.10** Scheme for the formation of tubular structured Ni single atom catalysts, NiSA-N-CNT. The red and blue dots are Ni and N atoms, respectively.

The mobility of CNT supported Ni single atoms was also investigated by *in situ* TEM measurements (see Figure 4.11). Here, the experiments were performed on NiSA-N-CNT at room temperature with continuous beam scanning. During these TEM observations, the Ni single atoms were shown to migrate out of the outer layer first, with the single Ni atoms from the inner layer hopping to the adjacent outer layer as a consequence of continuous thermal and electron beam irradiation. This implies that Ni single atoms are capable of jumping across the inner and outer
layers under thermal and electron radiation effects without agglomeration of the Ni single atoms. Furthermore, there is a clearly observable movement in Ni atoms from P1 to P1’ (see Figure 4.11A and B); along with a previous vacancy at P2, a new atom appearing (P2’ position, Figure 4.11B), and the disappearance of the Ni atom at P3 (see Figure 4.11A) and formation of a vacancy at P3’ (Figure 4.11B) following thermal electron beam irradiation treatment. The structural change before and after heat treatment at 900 °C was also recorded. The structures of the cylindrical tubes were observed to change significantly after the 1 hour \textit{in situ} treatment, which is indicative of electron beam irradiation damage. Compared with that before \textit{in-situ} heat treatment at 900 °C, the number of bright dots, which reflect the presence of single Ni atoms, was dramatically reduced (cf., Figures 4.11C and 4.11D). This indicates that nitrogen atoms in the Ni-N-C tubular structure are removed due to the thermal and/or electron beam irradiation effects, resulting in the instability of the Ni-N-C complex structure. Here, Ni atoms without nitrogen coordination are expected to be released from the structure. This is confirmed by the reduced density of Ni single atoms as shown in Figure 4.11D. The \textit{in situ} TEM results reveal the importance of nitrogen in the stabilization of Ni SACs in the NiSA-N-CNT structure. This also explains why, when the annealing temperature increased to 900 °C, there was a presence of Ni NPs with NiSA-N-CNT structures due to agglomeration of some of the Ni single atoms (see Figure 4.3). By contrast, at 700 °C, amorphous carbons were formed together with CNTs, as amorphous carbon is commonly obtained at low carbonization temperatures [52, 53].
Figure 4.11 In situ AC-STEM-ADF micrographs of (A,B) revealing a dynamic movement of atoms during the recording images of NiSA-N-CNT-800 and (C,D) before and after in situ 900 °C treatment for 1h on NiSA-N-CNT-800.

4.4.5 Electrochemical activity

Figure 4.12 presents the electrocatalytic activity data for NiSA-N-CNT on the electrochemical reduction reaction of CO₂ (CO2RR), the oxygen reduction reaction (ORR) and the oxygen evolution reaction (OER). The NiSA-N-CNT shows a much higher activity in CO₂-saturated KHCO₃ solution with a low onset potential of -0.275 V vs RHE. Carbon monoxide was confirmed as the only detectable product from the CO2RR on NiSA-N-CNT catalysts, demonstrating a much higher activity and selectivity for the CO2RR, as compared to Ni NPs supported on N-CNT, Ni-N-CNTs (see Figure 4.12A). The CO2RR activity was gauged using the current density for CO production (j_CO), with j_CO showing a significant elevation in magnitude with increases in the cathodic potential with NiSA-N-CNT, as compared to a much smaller increase with Ni-N-CNT. For example, at -0.7 V (vs RHE), j_CO values of NiSA-N-CNT-700, NiSA-N-CNT-800 and NiSA-N-CNT-900 are 20.4, 24.5 and 20.2 mA cm⁻², respectively, which are approximately 3-to-4-fold higher than those with Ni-N-CNTs (see Figure 4.12A). The NiSA-N-CNT-800 shows a higher activity for the CO2RR, which is most ascribable to an increased crystallinity of the CNTs and the lower amount of amorphous carbons as compared to NiSA-N-CNT-700. In the case of NiSA-N-CNT-900, the increased number of Ni NPs is likely to be the root cause of a slight decrease in the catalytic activity. The activity of the NiSA-N-CNT-800 is significantly higher than that of noble-metal-free catalysts such as Zn dendrite[54] in a KHCO₃ electrolyte, and better and/or comparable to most noble-metal-based catalysts reported to date [55]. It is also comparable to or even higher than the latest reported results on Ni single atoms catalysts, such as the metal-organic frameworks derived Ni single atom catalysts (10.48 mA cm⁻² at -1.0 V)¹³ and Ni single atoms in a graphene shell (4 mA cm⁻² at -0.81 V vs RHE, or a mass loading 0.2 mg cm⁻²)[20]. The advantages of Ni single atom catalysts are further exemplified by the high Faraday efficiency (FE) of the CO2RR as compared with a Ni NP benchmark system. The FE increases significantly with the polarization potential, noting that at -0.55 and -0.7 V, the FE is as high as 86.7-89.1% and 90.3-91.3%, respectively, which is significantly higher than that of Ni NPs supported on N doped CNTs (see
At -0.55 V, the turnover frequency of the NiSA-N-CNT-800 was calculated to be 11.7±0.2 s⁻¹, which is almost 3 higher than that of Ni-N-CNTs, demonstrating the high intrinsic activity of the Ni SAC system. It is also comparable and better than the TOF values reported recently for the CO2RR on Ni SACs, such as 1.46 s⁻¹,[13] and 4.11 s⁻¹ [43].

**Figure 4.12** (A) jco and (B) Faradaic efficiency of the electrochemical reduction of CO₂ to CO on NiSA-N-CNT-T and Ni-N-CNTs electrocatalysts at different applied potentials, measured in CO₂ saturated 0.5 M KHCO₃ solution. The catalyst loadings were 1 mg cm⁻² and the potentials were IR corrected. Linear scan voltammetry (LSV) curves of (C) the oxygen evolution reaction (OER) and (D) the oxygen reduction reaction (ORR) on NiSA-N-CNT-T and Ni-N-CNTs electrocatalysts, measured in O₂-saturated 0.1 M KOH solution. The catalyst loading was 0.2 mg cm⁻².

The NiSA-N-CNT-700, NiSA-N-CNT-800, NiSA-N-CNT-900 were also evaluated for their selectivity against the OER and ORR in 0.1 M KOH solution. The NiSA-N-CNT materials displayed a poor activity for OER with an onset potential of 1.6 V and a current density of 5 mA cm⁻² at 1.75 V, which is significantly lower than that for the reaction on Ni-N-CNTs (Figure 4.12C). The NiSA-N-CNT materials exhibited an onset potential of 0.88 V (vs. RHE) with a half-wave potential of 0.75V, which is 30 and 25 mV lower than that of Ni-N-CNTs and 60 and 100 mV lower than those for Pt/C (Figure 4.12D). The poor activity of the NiSA-N-CNT for the ORR and
OER is probably due to the significant change in the electron and chemical environments of Ni single atoms in NiSA-N-CNT as compared to that of Ni NPs.

4.4. Conclusion

Carbon nanotube supported Ni single atom catalysts, NiSA-N-CNT, with Ni single atom loadings as high as 20.3 wt% have been successfully synthesized using a new one-pot pyrolysis approach. During the initial pyrolysis processes at relatively low temperatures of 350 and 650°C, Ni single atoms were confined and atomically dispersed in melem and graphitic carbon nitride (g-C₃N₄) structures. The presence of Ni atoms was shown to stabilize the g-C₃N₄ structure. At high annealing temperatures in the range of 700-900 °C, the stacked and layered Ni-g-C₃N₄ sheets transformed into nanotube supported Ni single atom catalysts, NiSA-N-CNT, proposed to occur via a rolling-up mechanism. This solid-to-solid rolling-up of stacked and layered Ni-g-C₃N₄ sheets to NiSA-N-CNT bamboo-shaped tubular structure is most likely activated by the high kinetic energy of confined Ni single atoms, which is supported by the high mobility of Ni single atoms under high temperature and electron beam irradiation conditions via in situ TEM observations. The Ni single atoms were stabilized within the tubular structure via nitrogen coordination, forming a structure with four nearest N coordination shell followed by two carbon shells, viz., Ni-N₄. The synthesized NiSA-N-CNT catalysts displayed an improved electrochemical efficiency for the CO2RR, but a diminished activity for ORR and OER, as compared to carbon nanotube supported Ni NPs. The CNT stabilized Ni SACs formed by the rolling-up of layered Ni-g-C₃N₄ sheets represent an attractive path to realize the SACs with high catalysts loadings for a wide range of practical applications.

4.5 References


[50] Y. Cui, J. Zhang, G. Zhang, J. Huang, P. Liu, M. Antonietti, X. Wang, Synthesis of bulk and nanoporous carbon nitride polymers from ammonium thiocyanate for photocatalytic hydrogen


Chapter 5: Unsaturated Edge-anchored Ni Single Atoms on Porous Microwave Exfoliated Graphene Oxide for Electrochemical CO₂ Reduction

Abstract

Supported single atom catalysts (SACs), emerging as a new class of catalytic materials, have been attracting increasing interests. Here we developed a Ni SAC on microwave exfoliated graphene oxide (Ni-N-MEGO) to achieve single atom loading of ~6.9 wt%. The atomically dispersed Ni atoms, stabilized by coordination with nitrogen, were found to be predominantly anchored along the edges of nanopores (<6 nm) using a combination of X-ray absorption spectroscopy (XAS) and aberration-corrected scanning transmission electron microscopy (AC-STEM). The Ni-N-MEGO exhibits an onset overpotential of 0.18 V, and a current density of 53.6 mA mg⁻¹ at overpotential of 0.59 V for CO₂ reduction reaction (CO₂RR), representing one of the best non-precious metal SACs reported so far in the literature. Density functional theory (DFT) calculations suggest that the electrochemical CO₂-to-CO conversion occurs more readily on the edge-anchored unsaturated nitrogen coordinated Ni single atoms that lead to enhanced activity toward CO₂RR.

5.1. Introduction

Electrochemical reduction of CO₂ (CO₂RR) to C1 or C2 chemicals (e.g., CO, HCOOH, CH₄, CH₃OH, C₂H₄, etc) coupled with renewable energy is the most attractive technology in both CO₂ utilization and renewable energy storage[1]. However, directly reducing CO₂ to liquid fuels is hampered by the limited number of electrocatalysts available to selectively produce hydrocarbons, as well as difficulties in the separation and purification of liquid fuels. An alternative strategy is to reduce CO₂ to CO because CO production is kinetically favorable compared with other C1 and C2 products, and CO is a key feedstock for the production of liquid fuels via the well-established Fischer-Tropsch process[2]. Precious metal catalysts such as Au[3, 4], Ag[5, 6], and Pd[7, 8] have been extensively investigated for electrochemical CO production from CO₂ due to their high activities and low overpotentials, unfortunately their applications are constrained by high cost. Non-precious metal catalysts such as Zn[9, 10], Bi[11] and Sn[12] and their alloys[13] also show
activities for electrochemical CO₂-to-CO conversion, while they suffer from high overpotential (\(\eta\)) and slow kinetics.

Single-atom catalysts (SACs) are an emerging class of electrocatalysts that possess electronic structures different from their bulk counterparts. They have demonstrated outstanding activities and selectivities for catalytic reactions such as CO oxidation[14, 15], oxygen reduction[16, 17], hydrogen evolution[18, 19] and organic synthesis[20-22]. Density functional theory (DFT) calculations showed that single transition metal atoms would be highly selective for the CO₂RR due to its favorable adsorption of carboxyl (*COOH) or formate (*OCHO) over hydrogen (*H)[23, 24]. Most recently, Jiang et al. reported that single Ni atomic sites in graphene vacancies can dramatically lower the CO₂ activation barrier, weakening the binding with CO for facile product release[25]. Zhao et al. reported that metal-organic framework (MOF)-derived Ni SAC exhibits a current density of 10.5 mA cm⁻² at an overpotential of 0.89 V for CO₂RR with a Faradaic efficiency of 71.9 %[26].

The challenge in the development of efficient SAC-based electrocatalyst is the very low atomic loading (normally less than 2 wt%) due to the limited number of anchoring sites based on conventional physical or chemical synthesis methods[27, 28]. The defects created not only provide the anchoring sites for isolated atoms, but also benefit the catalytic activity[29-31]. Dispersion of single-metal atoms on carbon materials with high surface area provides a high volume of anchoring sites, as well as a highly conductive matrix that facilitates the electrochemical catalytic processes[32-35]. Typically, these SACs are prepared through top-down methods that involve annealing metal precursors with carbon materials or precursors to form a metal-carbon composite followed by a subsequent dealloying[17, 33, 34]. The process generates not only atomically dispersed single atoms, but also a significant amount of metal nanoparticles (NPs) [33, 36]. Thus, the fraction of active atomically dispersed metal atoms is still very low because of the inherent tendency of aggregation of metal atoms during the high temperature annealing process[17, 33, 34]. Li et al. used topo-chemical transformation by carbon layer coating to preserve the Ni-N₄ structures and to avoid agglomeration of Ni atoms, but the Ni single atom loading obtained is only 1.41 wt %[37]. Recently, our group developed a new one-pot pyrolysis soft-template method to synthesize SACs based on atomically-dispersed transition-metals on nitrogen-doped carbon nanotubes (MSA-N-CNTs, where M = Ni, Co, NiCo, CoFe, NiPt) with outstanding metal loading, e.g., 20 wt % in the case of NiSA-N-CNTs[38]. The high Ni single atom loading is attributed to the high
concentration of N dopants, which create defects to anchor the atomically dispersed Ni atoms. Nevertheless, due to the formation of multi-walled carbon nanotubes, significant amounts of metal single atoms are trapped in the inner tubes and only a fraction of single atoms anchored at the outmost walls would be active for CO2RR, which could limit the overall activity[38].

Herein, we employed a highly porous and high surface defect-rich microwave exfoliated graphene oxide, MEGO, as supports to atomically dispersed Ni single atoms with high activity and efficiency for CO2RR. The results indicate that MEGO provides large surface area and abundant defects on the pore edge as anchoring sites for single atoms, achieving Ni single atom loading as high as 6.9% for electrochemical CO2RR. The Ni SACs exhibit a mass activity of 53.6 mA mg\(^{-1}\) and a high selectivity of 92.1% at an overpotential (\(\eta\)) of 0.59 V for CO2RR. DFT calculations suggested that the experimental growth conditions cause the formation of edge-anchored coordinative unsaturated Ni-N active structures, which exhibit higher catalytic activity for CO2RR compared with the in-plane Ni-N species.

5.2 Experimental Methods

5.2.1 Preparation of Ni-N-MEGO

Nitric acid (65%) was purchased from Fluka. Potassium hydroxide, ethanol, nickel(II) nitrite (Ni(NO\(_3\))\(_2\)), nickel oxide (NiO), nickel hydroxide (Ni(OH)\(_2\)), anhydrous propylene carbonate (PC, 99.7%), Nafion solution (5% in isopropanol and water), potassium hydroxide and potassium bicarbonate were all purchased from Sigma-Aldrich and used without further purification.

The porous three dimensional microwave exfoliated graphene oxide (3D-MEGO) structure was synthesized following a previously reported procedure[39]. Briefly, graphene oxide (GO) powders prepared by the modified Hummers method was dispersed in anhydrous propylene carbonate with the aid of sonication for 30 mins in a corn-horn sonicator (900 W, with 20% power output and a tip radius of 6 mm, SCIENTZ-II D). The GO dispersion was then treated with microwave irradiation at 750 W power setting for 8 seconds, yielding MEGO. The as-prepared MEGO powder was further treated with KOH to form MEGO/KOH mixtures for chemical activation. The MEGO/KOH mixture was put in a tube furnace under a 200 sccm Ar flow at normal atmosphere and heated at 800 °C for 1 hour to create pores[40]. Finally, the samples were annealed at 1100 °C in Ar of 300 sccm to improve the conductivity of the MEGO.
The Ni-N-MEGO were prepared by mixing 3 ml 0.1 mol L⁻¹ nickel nitrate ethanol solution with 100 mg MEGO powder through grinding before being dried at 80 °C. The resulting black powder was ground with 2g urea to obtain a homogenous grey powder. The as-prepared mixture was put into a tube furnace under an NH₃ (100sccm) atmosphere at 800 °C for 30 min, yielding a black powder, Ni-N-MEGO. Then the Ni-N-MEGO was further treated with 2 M HNO₃ to eliminate nickel nanoparticles, yielding Ni-N-MEGO. The Ni-MEGO is prepared following the same procedure of that of Ni-N-MEGO without grinding with urea and annealed under a Ar flow (100sccm) instead of NH₃. And the N-MEGO is prepared following the same procedure of that of Ni-N-MEGO under a NH₃ flow (100sccm) without nickel nitrate.

For comparison, nickel phthalocynine (NiPc) supported on was prepared as follow: Graphene (50 mg) was sonicated in 100 mL ethanol in the presence of 100 mg NiPc for 2 h, then the dispersion was stirred for 8 h, filtered and then dried in a vacuum oven at 71 °C. The product was denoted as NiPc-G.

5.2.2 Catalyst Characterization

The morphology of Ni-N-MEGO was studied by transmission electron microscopy (TEM) and high angle annular dark field (HAADF) scanning TEM (STEM) with elemental mapping on a Titan G2 60-300 at 80 kV. The synthesized materials were dispersed using ethanol solution onto TEM grids. The annular dark field images (ADF) were collected using a Nion UltraSTEM100 microscope operated at 60 kV at a beam current of 60 pA. The recorded images were filtered using a Gaussian function (full width half maximum = 0.12 nm) to remove high frequency noise. The convergence half angle of the electron beam was set to be 30 mrad and the inner collection half angle of the ADF images was 51 mrad. The samples were baked at 160 °C overnight before STEM observation. Diffraction data was collected with a Bruker D8 Advance diffractometer operated at 40 kV and 40 mA with Cu Kα (2Θ = 1.5406 Å) in the range of 20-90°[41].

X-ray absorption spectroscopy (XAS) measurements were performed at the wiggler XAS Beamline (12ID) at the Australian Synchrotron in Melbourne, Australia using a set of liquid nitrogen cooled Si(111) monochromator crystals. With the beamline optics employed (Si-coated collimating mirror and Rh-coated focusing mirror) the harmonic content of the incident X-ray beam was negligible. XAS measurements were performed at the Ni K-edge (8.3 keV) at < 10 K to minimize thermal disorder and to ensure that the samples were not radiation-damaged This was
confirmed via repetitive quick scanning of the absorption edge for up to 2 hours (12 scans, note that a single complete XAS scan took ~1 hour). For these measurements, samples were prepared as pellets via mechanical grinding in a cellulose binder using a mortar/pestle. Both fluorescence and transmission spectra were recorded depending on the concentration of Ni in each sample (the validity of this approach was confirmed by comparing the fluorescence and transmission spectrum for one of the samples for which both methods yielded comparable signal-to-noise data).

5.2.3 Electrochemical performance

The electrocatalytic activity of Ni-N-MEGO catalysts was evaluated for electrochemical CO$_2$RR in N$_2$ and CO$_2$ saturated 0.5 M KHCO$_3$ solution using linear scan voltammetry (LSV). Electrolyte was first bubbled with N$_2$ for 5 min and then bubbled with CO$_2$ for 15 min before experiments. The catalysts loading for LSV was 0.2 mg cm$^{-2}$. The electrodes for CO$_2$ electrolysis were prepared by casting the catalysts-ethanol-Nafion solution (6 mg mL$^{-1}$ catalysts, 1% Nafion) on carbon paper (1 cm$^2$) with a gas diffusion layer and a catalyst loading of 0.5 mg cm$^{-2}$. Ir black on carbon paper (1 cm$^2$) with a loading of 1 mg cm$^{-2}$ was used as the counter electrode (The aim of using Ir black as the counter electrode is because Pt will be oxidized, dissolved and precipitated on the working electrode under the electrolysis condition, which may affect the property and performance of the working electrode). The CO$_2$ electrolysis was conducted in a gas tight electrochemical cell at different potentials for 2 h. The outlet gas was collected with a gas bag for double channel gas chromatography (Shimadzu, GC-2014 Series) analysis. The electrocatalytic activity of Ni-N-MEGO catalysts was evaluated for electrochemical CO$_2$ reduction reaction (CO$_2$RR) in N$_2$ and CO$_2$ saturated 0.5 M KHCO$_3$ solution using linear scan voltammetry (LSV). Electrolyte was first bubbled with N$_2$ for 5 min and then bubbled with CO$_2$ for 15 min before experiments. The catalysts loading for LSV was 0.2 mg cm$^{-2}$. The electrodes for CO$_2$ electrolysis were prepared by casting the catalysts-ethanol-Nafion solution (6 mg mL$^{-1}$ catalysts, 1% Nafion) on carbon paper (1 cm$^2$) with a gas diffusion layer and a catalyst loading of 0.5 mg cm$^{-2}$. Ir black on carbon paper (1 cm$^2$) with a loading of 1 mg cm$^{-2}$ was used as the counter electrode (The aim of using Ir black as the counter electrode is because Pt will be oxidized, dissolved and precipitated on the working electrode under the electrolysis condition, which will affect the study of the working electrode). The CO$_2$ electrolysis was conducted in a gas tight electrochemical cell at different potentials for 2 h. The outlet gas was collected with a gas bag for double channel gas chromatography (Shimadzu,
The amount of CO is calibrated using standard gas (BOC) with CO concentration of 0.059%, 0.0738%, 0.0983%, 0.1475% and 0.295%, and the H₂ is calibrated using standard gas (BOC) with H₂ concentration of 0.0534%, 0.06675%, 0.089%, 0.1335% and 0.267%. And the peak area is obtained using the EZChrom SI software. The solutions after CO₂ electrolysis were collected, 0.200 g of the solution were mixed with 0.100 g 2 M HCl to eliminate the KHCO₃ with the aim to convert the possible existed formate to formic acid. The formic acid was analyzed using tetrahydrofuran as a solvent, and methanol was analyzed using dimethylformamide as a solvent using Agilent gas chromatography-mass spectrometry (GC-MS, 6890 series GC with a 5973 MS detector).

The rate of product yield is based on the average rate in 2 h. The Faradaic efficiency of CO production is calculated based on [42]:

\[
FE\% = \frac{Q_{CO}}{Q_{tot}} \times 100 \tag{1}
\]

And the \( j_{CO} \) is calculated by

\[
j_{tot} = \frac{Q_{tot}}{At} \times 1000 \tag{2}
\]

\[
j_{CO} = FE\% \times j_{tot} \tag{3}
\]

where \( Q_{CO} \) is the total number of electrons for CO production in the range of 2 hours, \( Q_{tot} \) the total number of electrons pass the electrode in 2 hours, \( j_{tot} \) (mA cm\(^{-2}\)) is the total average current density pass the electrode in 2 hours, \( A \) is the area of the electrode (1 cm\(^2\)), and \( j_{CO} \) (mA cm\(^{-2}\)) is the current density contribute to the CO₂-to-CO reduction, and \( t \) (s) is sampling time.

The turn over frequency (TOF, mol CO mol⁻¹ Ni h⁻¹) was calculated based on:[26]

\[
TOF = \frac{M j_{CO}}{N F m \omega} \tag{4}
\]

\( j_{CO} \) is partial current for CO,

\( N \): the number of electron transferred for product formation, which is 2 for CO,

\( F \): Faradaic constant, 96485 C mol⁻¹,

\( m \): catalyst mass in the electrode, g,

\( \omega \): Ni single atom loading in the catalyst, g,

\( M \): atomic mass of Ni, 58.69 g mol⁻¹.
5.2.3 Computational details

DFT calculations were performed using Vienna \textit{ab} initio simulation packages (VASP)[43] with the projector-augmented wave (PAW) pseudopotentials[44]. All calculations were based on the same generalized gradient approximation method with the Perdew-Burke- Ernzerhof (PBE)[45] functional for the exchange-correlation term. The plane wave cutoff was set to 400 eV. The convergence of energy and forces were set to $1 \times 10^{-4}$ eV and 0.05 eV·Å$^{-1}$, respectively.

The model of graphene sheet edge was simulated with a periodically repeated graphene nanoribbon in rectangular supercells, with the carbon atoms on the edge saturated with hydrogen atoms. The vacuum thickness in the direction perpendicular and parallel to the ribbon plane was \~12 and \~20 Å, respectively. The Brillouin zone was sampled by a 2×1×1 \textit{k}-point grid with the Monkhorst-Pack[46] scheme. The model of graphene plane was simulated with a periodically repeated graphene layer with a vacuum slab height of 17 Å using a 2×2×1 Monkhorst-Pack \textit{k}-point sampling. The model of NiPc was based on a cubic cell of 18 Å with gamma point calculations.

The formation energy for Ni-N was calculated as: $E_{\text{tot}} - n_{C} \mu_{C} - n_{N} \mu_{N} - n_{H} \mu_{H} - n_{Ni} \mu_{Ni}$, where $E_{\text{tot}}$ is the total energy of the graphene nanoribbon embedded with Ni-N structures, $n_{C}$, $n_{N}$, $n_{H}$ and $n_{Ni}$ represent the total number of C, N, H, Ni atoms, and $\mu_{C}$, $\mu_{N}$, $\mu_{H}$ and $\mu_{Ni}$ represent their chemical potentials, respectively. $\mu_{C}$ is reference to pristine graphene nanoribbon, $\mu_{H}$ is reference to H$_{2}$: $\mu_{H} = 1/2 \mu$(H$_{2}$), $\mu_{Ni}$ is reference to a Ni atom. The vertical dashed line for $\mu_{N}$ in reference to NH$_{3}$ was calculated by: $\mu_{N} = \mu$(NH$_{3}$) - 1/2 $\mu$(N$_{2}$) - 3/2 $\mu$(H$_{2}$).

The adsorption energy of *CO is calculated as: $E_{\text{tot}} - E_{\text{surface}} - \mu_{\text{CO}}$, where $E_{\text{tot}}$ is the total energy of the surface with adsorption species, $E_{\text{surface}}$ is the energy of bare surface, $\mu_{\text{CO}}$ is the chemical potential of CO molecule. The free energy of each species is calculated by: $G = E_{\text{DFT}} + ZPE + \int Cv dT - T\Delta S$, where $E_{\text{DFT}}$ is the DFT-optimized total energy, ZPE is the zero-point vibrational energy, $\int Cv dT$ is the heat capacity, T is the temperature (298 K) and $\Delta S$ is the entropy change. The simplified solvent stabilization has been applied as: 0.25 eV for *COOH and 0.1 eV for *CO. The reaction energy is defined as the free energy difference between different reaction steps.
5.3. Results and Discussions

5.3.1 Synthesis and characterization of Ni SAC

The highly porous MEGO support was prepared using microwave treated graphene oxide with a subsequent pore creation (Figure 5.1A, supporting information)[39]. The as prepared MEGO possesses a very high surface area of 2649 m² g⁻¹ and a pore volume of 1.79 cm³ g⁻¹ with an average pore size of 2.7 nm (Figure 5.2). Raman spectrum shows a high I_D/I_G (2.57) ratio, revealing the large number of defects formed during the pore creation process (Figure 5.2D) [47]. The Ni single atom catalyst was prepared by mixing 3 mL 0.1 mol L⁻¹ nickel nitrate in ethanol solution with 100 mg MEGO powder (~18 wt% Ni in MEGO) through vacuum filtration to allow Ni²⁺ infiltrating into the pores. The material was then processed by mixing and grinding with urea before being heated in a tube furnace under an NH₃ atmosphere at 800 ºC. The resultant powder was thoroughly treated with acid to remove the Ni NPs, forming Ni-N-MEGO.

Figure 5.1 (A) Scheme showing the synthesis of Ni-N-MEGO; (B) TEM images of Ni-N-MEGO; (C) STEM-EDS mapping of the Ni-N-MEGO; (D) AC-STEM image of Ni-N-MEGO showing the porous structure; (E) local defects of N dopants and Ni-N_x single atom sites were identified by the atomic column intensity.
Ni-N-MEGO exhibits a surface area of 2380 m² g⁻¹ and a pore volume of 1.68 m³ with a mean size of 2.6 nm, which is slightly lower than that of MEGO (Figure 5.2C). Transmission electron microscope (TEM) images reveal that Ni-N-MEGO exhibits a typical 3D structure connected with bamboo like carbon nanotubes (CNTs) with an outer diameter of ~30 nm (Figure 5.1B). The formation of CNTs may be due to catalytic effect of Ni NPs formed on the MEGO surface, which is confirmed by the TEM image of the catalysts before acid treatment (Figure 5.3). Scanning TEM with energy-dispersive X-ray spectroscopy (STEM-EDS) of Ni-N-MEGO shows homogeneously dispersed Ni and N species (Figure 5.1C). Ni NPs are not observed, revealing that the Ni NPs have been removed by acid treatment.
The atomic dispersion of Ni single atoms was confirmed by aberration-corrected scanning TEM (AC-STEM). The bright dots (Ni atoms) are evenly distributed across the MEGO structure (Figure 5.1D and Figure 5.4). The imperfect stacking of graphene layers creates high volume micropores with sizes less than 6 nm, consistent with the cryo-nitrogen adsorption measurements. AC-STEM images show imperfect stacking structure with clear Morrie patterns, i.e., the surface atomic layers have a different crystal structure than that in the bulk (Figure 5.4A). Those imperfectly stacked graphene layers should produce more grain boundary edges with anchor sites for single atoms. The AC-STEM images clearly show Ni single atoms are predominately anchored on the edge of the pores, exposed basal-plane edges and the steps of graphene sheets (Figure 5.1E and Figure 5.4B). Two elements with intensities higher than carbon were observed, which could be assigned to Ni and N by considering the synthesis environment and the following XAS results. Most N dopants adjacent to the Ni single atoms were found near the edges of pores, revealing that the unsaturated Ni at the edge coordinated with N species.
Figure 5.4 AC-STEM image shows (A) distorted stacking of graphene sheets, (B) the unsaturated Ni single atoms anchored on the edge of the pores in Ni-N-MEGO.

Cross-sections of the Ni-N-MEGO structure were obtained using a focused ion beam and scanning electron microscope (FIB-SEM, Figure 5.5). Before acid treatment, Ni NPs with sizes in the range of 15-80 nm were formed on the surface and in the bulk of MEGO structure (Figure 5.3 and Figure 5.5B). Apart from the large Ni NPs, the cross section of MEGO shows a highly patterned flake-like structure. The flakes with sizes less than 15 nm are typically rich in Ni and N in contrast to the bright NPs, which are simply rich in Ni (Figure 5.5B, white circle). The formation of large Ni NPs is related to the high concentration of Ni\(^{2+}\) precursor absorbed in large pores (>50 nm) in the MEGO, which result in preferential formation of Ni NPs. Ni\(^{2+}\) is encapsulated in the small sized nanopores (less than 6 nm) that lead to a preferential formation of Ni-N single atom on the edge of pores. The cross section of Ni-N-MEGO further confirms that all surface NPs and the majority of the larger NPs inside the MEGO are removed by an acid treatment (Figure 5.5C), as indicated by the disappearance of the flake structures and large NPs. The C, N and Ni elements are uniformly distributed across the structure, revealing the atomically dispersed Ni species both inside and on the surface. The AC-STEM images clearly show that the Ni single sites are predominately anchored on the edge of the pores, exposed basal-plane edges and steps of graphene sheets inside the MEGO (Figure 5.5D).
Figure 5.5 (A) A scheme showing the cross-sections of Ni-N-MEGO structure obtained using a focused ion beam scanning electron microscope (FIB-SEM). STEM-EDS mapping of the cross section of (B) Ni-N-MEGO before acid treatment and (C) Ni-N-MEGO, (D) AC-STEM images showing the single atom Ni sites are predominately anchored on the edges of nanopores inside Ni-N-MEGO.

The chemical environments of Ni-N-MEGO were examined by X-ray absorption spectroscopy (X-ray absorption near edge structure, XANES, and X-ray absorption fine structure, XAFS, Figure 5.6). The Ni L-edge shows typically two groups of peaks around 850-860 eV (L$_3$-edge) and 868-876 eV (L$_2$-edge) due to the splitting of the Ni 2p orbitals (Figure 5.6A). The Ni L$_3$-edge of the Ni-N-MEGO shows a major peak at 855.4 eV and a small shoulder at 852.9 eV. The intense peak at ~855.4 eV for Ni-N-MEGO is very close to that of nickel phthalocyanine (NiPc, ~854.2 eV), but very different from Ni in Ni foil (Ni$^0$, 852.8 eV), NiO (852.9 eV) or Ni(OH)$_2$ (853.1 eV). This evidently indicates that the Ni in N-MEGO is mainly coordinated with N rather than Ni-Ni, Ni-O or Ni-OH. The Ni-N-MEGO exhibits a broad peak (Figure 5.6A and Figure 5.7A), indicating that the Ni single atoms exhibit a more complex coordination environment compared to NiPc which is purely Ni-N$_4$. The N K-edge of Ni-N-MEGO (Figure 5.6B) shows two peaks at 398.6 eV and 401.5 eV, assigned to pyridinic and graphitic N, respectively, consistent with that of N-MEGO[48].
Different from that of N-MEGO, the new feature of Ni-N-MEGO centered at 399.4 eV can be assigned to Ni-N-C species,[38] and the new peak at 403.7 eV is likely attributed to N-O[49]. Compared to a Ni foil (Ni⁰), the rising-edge of Ni K-edge XANES spectra shift to higher energies and indicate an increase in the oxidation state of Ni in the case of Ni-N-MEGO (Figure 5.7B). The Fourier-transformed extended X-ray absorption fine structure reveals that NiPc shows a sharp peak centering at 1.43 Å (Figure 5.6C), consistent with the well-defined Ni-N₄ species.[50] However, in the case of Ni-N-MEGO, a broader peak around 1.42 Å is observed, which is consistent with Ni-N coordination[26]. However, the intensity of the peak at 1.42 Å is lower than that of NiPc, likely due to the formation of unsaturated Ni-N₂, Ni-N₃ and well-defined Ni-N₄ species. Further, the broad peaks at 2.30 Å is likely contributed by the presence trace amount of Ni NPs (Ni-Ni at 2.15 Å).

![Figure 5.6](image)

**Figure 5.6** Chemical environment of Ni-N-MEGO as probed via X-ray absorption spectroscopy. (A) Ni L-edge spectra of Ni-N-MEGO compared with NiPc, Ni(OH)₂, NiO and Ni foil (Ni⁰); (B) N K-edge spectra of EXAFS spectra of Ni-N-MEGO compared with N-MEGO; (C) Fourier transform of the EXAFS spectra of Ni-N-MEGO and nickel foil (Ni⁰); and (D) The scheme shows the distribution of the Ni single atoms on the edges of the pores in Ni-N-MEGO.

The content of the atomically dispersed Ni atoms was estimated by the combination of inductively...
coupled plasma (ICP) and linear combination analysis (LCA) of the Ni L-edge. The ICP results reveal that the Ni species in the Ni-N-MEGO are estimated to be ~8.3 wt %. LCA of the Ni L-edge reveals that Ni-N-MEGO is mainly composed of Ni-N (83.8 %) species with a small contribution of Ni⁰ (8.6 %) and Ni(OH)₂ (7.7 %) (Table 5.1). Thus, the total Ni single atoms in the Ni-N-MEGO is estimated be to ~6.9 wt %, significantly higher than the metal-organic framework (MOF) derived SACs (1.53 wt %),[26] Ni(I)-NSG (5.6 wt %)[51] (Table 5.2).

**Figure 5.7** (A) Comparison of Ni L₃-edge spectra of Ni-N-MEGO with NiPc, NiO, Ni(OH)₂, and Ni foil (Ni⁰). (B) comparison of XANES spectrum of Ni K-edge for Ni-N-MEGO and Ni foil (Ni⁰).

<table>
<thead>
<tr>
<th>Samples</th>
<th>Ni-N</th>
<th>Ni Foil</th>
<th>Ni(OH)₂</th>
<th>NiO</th>
</tr>
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<tr>
<td>Ni-N-MEGO before acid treatment</td>
<td>55.1±1.2</td>
<td>26.4±0.6</td>
<td>18.2±1.3</td>
<td>0.3±3.8</td>
</tr>
<tr>
<td>Ni-N-MEGO</td>
<td>83.8±1.6</td>
<td>8.6±0.9</td>
<td>7.7±1.2</td>
<td>0±1.3</td>
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</table>

**Table 5.1** Summarized Ni species in Ni-N-MEGO based on the LCA of Ni L-edge spectra.
<table>
<thead>
<tr>
<th>Materials</th>
<th>Preparation method</th>
<th>Loading and comments</th>
<th>Application</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pt on θ-Alumina</td>
<td>Sol-gel</td>
<td>0.18 wt% Pt</td>
<td>CO oxidation</td>
<td>[52]</td>
</tr>
<tr>
<td>Pd on γ-alumina</td>
<td>Impregnation-calcination</td>
<td>&lt;0.5 wt%</td>
<td>CO oxidation</td>
<td>[53]</td>
</tr>
<tr>
<td>Pd/meso-Al$_2$O$_3$</td>
<td>surfactant-templated route</td>
<td>0.03 wt %</td>
<td>Selective aerobic oxidation of allylic alcohols</td>
<td>[54]</td>
</tr>
<tr>
<td>Pd/N-Doped Carbon</td>
<td>incipient wetness impregnation</td>
<td>1 wt %</td>
<td>Hydrogen production from formic acid decomposition</td>
<td>[55]</td>
</tr>
<tr>
<td>Rh$_x$/TiO$_2$</td>
<td>deposition precipitation method</td>
<td>0.37 wt %</td>
<td>Water-gas shift reaction</td>
<td>[56]</td>
</tr>
<tr>
<td>Pt on g-C$_3$N$_4$</td>
<td>impregnation</td>
<td>0.16 wt%</td>
<td>Photocatalytic H$_2$ Evolution</td>
<td>[57]</td>
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<tr>
<td>Pt/TiN</td>
<td>incipient wetness impregnation</td>
<td>0.35 wt %</td>
<td>Oxygen reduction, formic acid oxidation, and methanol oxidation</td>
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</tr>
<tr>
<td>Fe-Nx-C</td>
<td>Pyrolysis-leaching</td>
<td>0.8 at% (XPS)</td>
<td>Oxygen reduction, oxygen evolution</td>
<td>[17]</td>
</tr>
<tr>
<td>Co nitrogen-doped porous carbon</td>
<td>Pyrolysis of metal-organic frames</td>
<td>4 wt %</td>
<td>Oxygen reduction reaction</td>
<td>[58]</td>
</tr>
<tr>
<td>Co-N-C</td>
<td>temperate-sacrificial approach</td>
<td>3.6 wt%</td>
<td>Chemoselective hydrogenation of nitroarenes to produce azo compounds</td>
<td>[59]</td>
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<tr>
<td>Co-NG</td>
<td>Pyrolysis</td>
<td>0.57 at% Co</td>
<td>Hydrogen generation</td>
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<td>Pt-g-C$_3$N$_4$</td>
<td>impregnation</td>
<td>0.5 wt%</td>
<td>Semihydrogenation of 1-hexyne</td>
<td>[61]</td>
</tr>
<tr>
<td>Ir-g-C$_3$N$_4$</td>
<td>impregnation</td>
<td>0.5 wt%</td>
<td>Semihydrogenation of 1-hexyne</td>
<td>[61]</td>
</tr>
<tr>
<td>Catalyst</td>
<td>Method</td>
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<td>-----------------</td>
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<td>0.5 wt%</td>
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<td>[61]</td>
</tr>
<tr>
<td>Ag-g-C$_3$N$_4$</td>
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<td>0.5 wt%</td>
<td>Semihydrogenation of 1-hexyne</td>
<td>[61]</td>
</tr>
<tr>
<td>Fe-N/C</td>
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<td>2.9 wt%</td>
<td>Oxygen reduction reaction</td>
<td>[33]</td>
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<td>Co-C$_3$N$_4$/CNT</td>
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<td>0.2 wt%</td>
<td>Oxygen reduction reaction and oxygen evolution reaction</td>
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<td>Fe-N/graphene</td>
<td>Ball milling</td>
<td>1.5 wt%</td>
<td>Catalytic oxidation of benzene to phenol</td>
<td>[34]</td>
</tr>
<tr>
<td>Ni SAs/N-C</td>
<td>Metal-organic frameworks derived</td>
<td>1.5 wt%</td>
<td>Electro-reduction of CO$_2$</td>
<td>[26]</td>
</tr>
<tr>
<td>NiN-GS</td>
<td>Electrospinning</td>
<td>~1.6 wt%</td>
<td>Electro-reduction of CO$_2$</td>
<td>[25]</td>
</tr>
<tr>
<td>Ni-N4-C</td>
<td>Topo-chemical transformation</td>
<td>~1.41 wt%</td>
<td>Electro-reduction of CO$_2$</td>
<td>[37]</td>
</tr>
<tr>
<td>A-Ni-NG</td>
<td>Soft-template Pyrolysis</td>
<td>~5.6 wt%</td>
<td>Electro-reduction of CO$_2$</td>
<td>[51]</td>
</tr>
<tr>
<td>NiSA-N-CNT</td>
<td>Soft-template Pyrolysis</td>
<td>20.3%</td>
<td>Electro-reduction of CO$_2$</td>
<td>[62]</td>
</tr>
<tr>
<td>Ni-N-MEGO</td>
<td>Bottom-up approach</td>
<td>6.9 wt%</td>
<td>CO$_2$ reduction</td>
<td>This study</td>
</tr>
</tbody>
</table>

**Table 5.2.** A summary of loading content of single atom catalysts from literature.
5.3.2 Electrochemical CO₂ reduction

**Figure 5.8** CO₂ reduction performance. Linear scan voltammetry (LSV) curves of A) Ni-N-MEGO in N₂ and CO₂ saturated 0.5 M KHCO₃ solution, and B) Ni-N-MEGO, NiPc, N-MEGO and MEGO in CO₂ saturated 0.5 M KHCO₃ solution. Plots of C) product yields and D) j_co and E) Faradaic efficiency of CO at -0.30, -0.40, -0.55 and -0.7 V on Ni-N-MEG, NiPc, N-MEGO and/or Ni-MEGO electrodes. F) Preliminary stability of Ni-N-MEGO electrodes. The CO2RR was conducted in CO₂ saturated 0.5 M KHCO₃ solution with catalysts loading of 0.5 mg cm⁻². The potential is IR corrected.

The electrochemical activity of Ni-N-MEGO for CO2RR was investigated in N₂- and CO₂-saturated 0.5 M KHCO₃ solution (Figure 5.8A). A significantly higher current density was obtained in CO₂-saturated solution as compared to that in N₂-saturated solution for Ni-N-MEGO with an onset potential of -0.29 V vs RHE (reversible hydrogen electrode), i.e., \( \eta = 0.18 \) V. Ni-N-MEGO exhibits a better activity for CO2RR with suppressed H₂ evolution as compared to NiPc supported on graphene, NiPc-G, and N-MEGO (Figure 5.8B-D). The CO yield increases with the increase of
cathodic potentials (Figure 5.8C). On Ni-N-MEGO catalysts, the CO yield is as high as \(5.66 \times 10^{-3}\) mmol cm\(^{-2}\) min\(^{-1}\) at potential of -0.55 V (\(\eta = 0.44\) V) with suppressed H\(_2\) yield (\(5.6 \times 10^{-4}\) mmol cm\(^{-2}\) min\(^{-1}\)). The CO yield of Ni-N-MEGO is 4 and 2 times higher than that of N-MEGO and NiPc-G, respectively. The corresponding current density, \(j_{\text{CO}}\), for CO2RR on Ni-N-MEGO is 5.6, 16.3 and 26.8 mA cm\(^{-2}\) (9.2, 32.6 and 53.6 mA mg\(^{-1}\)) at \(\eta = 0.29, 0.44\) and 0.59 V, respectively (Figure 5.8D). This is significantly higher than non-precious metal catalysts such as Zn (4 mA cm\(^{-2}\) at \(\eta = 0.69\) V)[10] measured under similar test conditions, and also comparable or even better than noble metal catalysts such as Au,[3, 63, 64] and Ag [5, 6] (Table S3). For example, Zhu et al. reported that gold NPs achieves a \(j_{\text{CO}}\) value of 3.1 mA mg\(^{-1}\) at \(\eta = 0.44\) V[4]. Our Ni-N-MEGO outperforms the single Ni atom counterparts due to its high loading of Ni single atoms (Table 5.3). For example, the MOF-derived Ni SACs with a Ni single atom loading of 1.53 wt% show a current density of 2.94 mA mg\(^{-1}\) (7.35 mA cm\(^{-2}\)) at \(\eta = 0.89\) V,[26] the Ni and N doped nanofiber produced by electrosprinning with a Ni single atom loading of 1.6 wt% shows a \(j_{\text{CO}}\) of 20 mA mg\(^{-1}\) at \(\eta = 0.7\) V,[25] and Ni single atoms coordinated with four N on carbon with a single atom loading of 1.41 wt % exhibits a current density of 28.6 mA cm\(^{-2}\) at \(\eta = 0.771\) V[37]. The turnover frequency (TOF) of Ni-N-MEGO was calculated to be 0.04, 0.14 and 0.24 s\(^{-1}\) at \(\eta = 0.29, 0.44\) and 0.59 V, respectively. The TOF of Ni-N-MEGO is comparable to the best noble metal based catalysts for electrochemical CO\(_2\)-to-CO conversion such as nanoporous Ag (0.002 s\(^{-1}\) @\(\eta = 0.39\) V), ultrathin Au NWs (0.02 s\(^{-1}\) @\(\eta = 0.24\) V) and other protoporphyrin-based homogeneous catalysts (<0.1 s\(^{-1}\))[65, 66] and similar to that of 0.22 s\(^{-1}\) at \(\eta = 0.59\) V of MOF derived Ni SAC [26] (Table 5.4). The Faraday efficiency (FE, Figure 5.8E) of Ni-N-MEGO is 72.5%, 78.4%, 89.0% and 92.1% at -0.3, -0.4, -0.55 and -0.7 V, respectively, significantly higher than those of NiPc-G and N-MEGO with a FE only around 40-71%, further revealing the outstanding performance of Ni-N-MEGO. The preliminary stability of Ni-N-MEGO for CO2RR was tested at -0.55 V (Figure 5.8F). The initial current density was \(\sim 19.3\) mA cm\(^{-2}\), and decreased by 29.1% to \(\sim 13.7\) mA cm\(^{-2}\) after polarization for 21 h. Nevertheless, the FE of electrochemical CO\(_2\)-to-CO conversion remains at \(\sim 89\)%, showing that the Ni-N-MEGO is reasonably stable for the electrochemical reduction CO\(_2\) to CO.
Figure 5.9 Plots of A) $j_{\text{CO}}$ and B) Faradaic efficiency of CO at -0.30, -0.40, -0.55 and -0.7 V on Ni-N-MEG, Ni-N-MEGO-BA (Ni-N-MEGO before acid treatment) electrodes.

In the Ni-N-MEGO, there are four types of species could be the active sites for electrochemical CO$_2$ reduction, Ni single atoms coordinated with nitrogen (Ni-N), nitrogen doped carbon (N-C, eg, pyridinic and graphitic N), Ni nanoparticles, Ni single atoms coordinated with carbon (Ni-C). N-C exhibits activity for CO$_2$ to CO conversion, but the current density is very low (Figure 4B-D), which is consistent with the reported results[67, 68]. A comparison of the Ni-N-MEGO and Ni-N-MEGO before acid treatment has been revealed with similar $j_{\text{CO}}$, but the FE for Ni-N-MEGO before acid treatment is only 60-83%, which is lower than that of 72-92% for Ni-N-MEGO (Figure 5.9). The results indicate the Ni nanoparticles are not contributed to CO2RR but hydrogen evolution, consistent with the reported results[69, 70].
Figure 5.10 A) STEM images of a whole Ni-MEGO structure, and B) an enlarged part of Ni-MEGO showing the porous structure; C) AC-STEM image of Ni-MEGO showing the single Ni atoms; and D) the current density for CO production and H₂ production in CO₂ saturated 0.5 M KHCO₃ solution.

In order to investigate the activity of Ni-C, a Ni-MEGO has been prepared with a similar procedure of preparation of Ni-N-MEGO without nitrogen source, and the Ni single atoms with mass loading less than 2% coordinated with the defects of the carbon matrix has been obtained on the edge of the pores (Figure 5.10A-C), while the electrochemical study show that the Ni-C species are not active for electrochemical CO₂ reduction but with preferentially activity for hydrogen evolution reaction (Figure 5.8D and E and Figure 5.10D). These results all confirm that the Ni-N species are the major active species that contributed to CO₂ electrochemical reduction.
5.3.3 Density functional theory simulation

**Figure 5.11** DFT calculation results. A) different Ni-N active site structures on the edges of graphene sheets, B) formation energies as function of chemical potential for nitrogen ($\mu_N$) for different Ni-N active site structures. A lower formation energy indicates better stability. The vertical dashed line locates where the N source is referenced to NH$_3$. C) The reaction energy is in linear relationship with the adsorption free energy of *CO ($G^*_{\text{CO}}$) on the active sites. A lower reaction energy indicates higher reactivity. Different Ni-N structures were located in the lines. A lower $G^*_{\text{CO}}$ indicates weaker adsorption. The region around the insection point of the higher adsorption and desorption steps (shown in yellow) indicates the optimal reactivity. D) Reaction pathway on the NiN$_2$(NH$_2$) and NiN$_3$ site, with the free energy shown on top. (C: grey; N: blue; Ni: yellow; O: red; H: white).

DFT calculations were performed in order to understand the structure of Ni-N active sites. A model of graphene nanoribbon with the edge substituted with N atoms and embedded with Ni atoms has been built to simulate the single Ni atoms anchored on graphene edge, while a graphene plane embedded with Ni-N structures was used to simulate the in-plane Ni-N sites for comparison.
Considering that various adsorption structures on the single Ni atoms is possible under the reaction environment of catalyst synthesis, several different structures with Ni-N coordination number of 2-4 have been considered and their formation energies were calculated to evaluate the stability (Figure 5.11A, we define Ni coordinated with 4 nitrogen is saturated Ni-N_4, and the coordination number less than 4 is unsaturated Ni-N species). As shown in Figure 5.11B, under N-rich (e.g. N_2) conditions (right side) the edge-anchored 4-coordinate structures (NiN_4, NiN_2(NH_2)_2) are more stable, while the Ni-N structures with lower coordination numbers (NiN_2, NiN_2(NH_2)) become more stable as the chemical potential of N (μ_N) decreases under H-rich conditions (left side). In our case, the materials were synthesized in NH_3 reducing atmosphere (vertical dashed line), the edge-anchored Ni-N_4 edge species and the coordinatively edge-anchored unsaturated Ni-N species such as NiN_2, NiN_2(NH_2), NiN_2(NH_2)_2 and NiN_3 should be preferentially generated in NH_3 conditions. These calculations are consistent with our AC-STEM image, Ni L-edge and Ni K-edge results, which indicate that the unsaturated Ni-N are generated at the edge of the pores of MEGO structure.
Figure 5.12 (A) Reaction pathway on different Ni-N sites corresponding to the points in Figure 4c, with the reaction free energy shown on top (C: grey; N: blue; Ni: yellow; O: red; H: white). (B) Reaction energy profile of different pathways.

The mechanism for CO2RR to CO has been considered with three steps: an adsorption step (CO2→*COOH), a conversion step on the surface (*COOH→*CO) and a desorption step (*CO→CO). The binding free energy of CO (G\text{*CO}) is a good descriptor for the reactivity on metal sites [34]. As shown in Figure 5.11C, the reaction energy shows a linear correlation with G\text{*CO}, exhibiting straight lines for both the adsorption and desorption steps. According to the Sabatier principle [35], the optimal activity is achieved at an intermediate adsorption strength, that is, the
intersection points of the steps (shown in yellow). Among the different Ni-N active sites studied, 4-coordinate structures (NiN4 plane and NiN4 edge) are unfavorable for the adsorption step (red) and 2-coordinate structures (NiN2) is unfavorable for the desorption step (blue), 3-coordinate edge-anchored structures (NiN3 and NiN2(NH2)) exhibit highest reactivity due to the favorable energy for both the adsorption of CO2 and the desorption of CO (Figure 5.12), while the in-plane NiN3 is unfavorable for desorption of CO. Note that NiN2(NH2) and NiN3 are also quite stable under experimental conditions (Figure 5.11B), suggesting that they are the major active sites in the Ni-N-MEGO for electrochemical CO2RR. The reaction pathway with free energy on edge-anchored unsaturated NiN3 and NiN2(NH2) was then shown in Figure 5.11D, which show the intermediate energy of 0.7 and 1.02 eV for CO2 activation (CO2→*COOH), and 0.63 and 0.63 eV for CO desorption (*CO→CO), respectively.

Single atom catalysts are strongly constrained by the loading mainly due to the low surface area and limited number of defects that can stabilize the single atoms. Our method creates a high surface area (2649 m² g⁻¹) MEGO structure with high volume of pores with an average size of 2.7 nm. The defect-rich small sized pores provide multi-function roles: a) Since only limited amount of Ni precursors are absorbed in the small sized pores through vacuum filtration, the precursors could efficiently contact with the nitrogen sources such as NH3 and urea during the high temperature annealing process resulting in a preferential formation of the Ni-N species[33], which is evidenced by the high density of Ni and N elements in the small pores and the formation of Ni particles in the large pores (Figure 5.5B). b) The small size pores provide a confinement effect that prevents the aggregation of Ni atoms during the high temperature annealing process[26, 71]. c) The pores expose graphene edges with abundant defects that could anchor single Ni atoms. Due to these reasons the single atom Ni is distributed in the entire MEGO structure with loading estimated to be ~6.9 wt %. The loading is significantly higher than the metal-organic framework (MOF) derived SACs (1.53 wt %)[26] Ni(I)-NSG (5.6 wt %)[51] and also higher than the atomically dispersed Fe-N (2.9 wt %) supported on carbon materials prepared by template-induced methods[33]. The loading achieved in the current work is among the highest single atom loading reported to date in the area of non-precious metal-based SACs (Table 5.2). Our DFT calculations reveal that the edge-sites of NiN4, NiN2(NH2), NiN2(NH2)2 and NiN2 are energetically favorable to form under the NH3 atmosphere (formation energy lower than 0 eV), then followed by NiN3 (Figure 5.11B). This is consistent with the EXAFS fitting with the Ni-N coordination number of 3.3±0.6, which suggests
the formation of unsaturated NiN₃ species or probably a combination of unsaturated NiN₂, NiN₃ and NiN₄. These results are consistent with previous observations that edge-anchored Fe-N structures show lower formation energies and increased stability than in-plane FeN₄ structures.[72, 73] Thus, the high loading of single Ni atoms in the high surface area MEGO results from a large number of edges and defects around the pores that could stabilize Ni single atoms.

Ni single atoms anchored with nitrogen have attracted enormous attention recently[37, 51],[25, 74]. Normally, the in-plane NiN₄ is considered as the active center for CO2RR[37, 51, 74]. However, Zhao et al. proposed that the in-plane NiN₃ could be responsible for CO2RR.[26] Our DFT results reveal that the in-plane NiN₄ exhibits significantly higher energy for CO₂ activation (2.03 eV, CO₂→*COOH) compared with edge NiN₄, while in-plane NiN₃ is quite unfavorable for CO desorption (1.52 eV, *CO→CO) (Figure 5.11 and Figure 5.12), disclosing that these in-plane Ni-N structures should be less active for CO2RR compared with edge-anchored structures. The edge-anchored saturated NiN₄ is better than the corresponding in-plane NiN₄, but still exhibits high energy for CO₂ activation (1.71 eV, CO₂→*COOH). In contrast, the edge-anchored unsaturated NiN₂ center turns out not favorable for CO desorption due to the high binding energy (1.82 eV) of the CO on NiN₂, even though it shows favorable energy for CO₂→*COOH and *COOH→*CO. The edge-anchored NiN₃, NiN₃(NH₂) structures with moderate degree of unsaturation exhibits optimized energies for CO₂ activation and CO desorption, as the intermediates binding to these edge-anchored unsaturated moistures are neither too strong nor too week. Bear in mind that NiN₄, NiN₂(NH₂), NiN₂(NH₂)₂, NiN₂ and NiN₃ are preferentially formed under the NH₃ atmosphere, we could propose that the edge-anchored unsaturated NiN₂(NH₂) and NiN₃ sites could be the best active sites for CO₂ reduction to CO, followed by the edged NiN₂(NH₂)₂ and NiN₄, while NiN₂ should be less active due to the strong binding energy of CO.

<table>
<thead>
<tr>
<th>Materials</th>
<th>Test condition</th>
<th>CO₂RR</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>Electrolyte</td>
<td>Loading</td>
<td>E_{onset} [V vs RHE]</td>
<td>j_{CO} [mA cm⁻²]</td>
</tr>
<tr>
<td>Ni-N-MEGO</td>
<td>0.5 M KHCO₃</td>
<td>6.7 wt%</td>
<td>-0.29</td>
</tr>
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</tr>
<tr>
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<td>0.5 M KHCO₃</td>
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</tr>
<tr>
<td>NiN-GS</td>
<td>0.1 M KHCO₃</td>
<td>-</td>
<td>-0.35</td>
</tr>
<tr>
<td>Ni-NG</td>
<td>0.5 M KHCO₃</td>
<td>0.44 at%</td>
<td>-0.31</td>
</tr>
<tr>
<td>Ni-N₄-C</td>
<td>0.5 M KHCO₃</td>
<td>1.41 wt%</td>
<td>-0.40</td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Materials</th>
<th>Electrolyte</th>
<th>Loading and comments</th>
<th>CO₂RR</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>C-Zn₂Ni₇ ZIF-8</td>
<td>1 M KHCO₃</td>
<td>5.44 wt% -0.40</td>
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</tr>
<tr>
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<td>4.0 wt% -0.20</td>
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<td></td>
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<tr>
<td>A-Ni-NSG</td>
<td>0.5 M KHCO₃</td>
<td>2.5 wt% -0.15</td>
<td>22.5 mA cm² @ -0.72 V</td>
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</tr>
<tr>
<td>NiSA-N-CNT</td>
<td>0.5 M KHCO₃</td>
<td>20.7 wt% -0.27</td>
<td>4.0 mA/cm² @ -0.4 V</td>
<td>[74]</td>
</tr>
</tbody>
</table>

**Table 5.3** Summarized CO₂RR electrochemical activity of NiSA reported so far with the results in this work.
<table>
<thead>
<tr>
<th>Material/Composite</th>
<th>Solution/Solvent</th>
<th>pH</th>
<th>TOF</th>
<th>Current Density @</th>
<th>Potential</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Zn dendrite</td>
<td>0.5 M NaHCO₃</td>
<td>-</td>
<td>-</td>
<td>4 mA cm⁻² @-0.9 V</td>
<td>3.77 ± 0.7 mA</td>
<td>[10]</td>
</tr>
<tr>
<td></td>
<td>MeCN containing</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bismuth</td>
<td>20 mM [EMIM]BF₄</td>
<td>-</td>
<td>-</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Electropolymerized</td>
<td>0.1 M K₂SO₄</td>
<td>-</td>
<td>-0.33</td>
<td>TOF: 0.05 s⁻¹</td>
<td></td>
<td>[65]</td>
</tr>
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<td>cobalt protoporphyrin</td>
<td>DMF + 0.1 M n-Bu₄NPF₆</td>
<td>1 mM</td>
<td>-</td>
<td>TOF: 10⁻⁵.6 s⁻¹</td>
<td>@-0.73 V</td>
<td>[66]</td>
</tr>
<tr>
<td>FeTDHPP</td>
<td>1 M TBAPF₆ in DMF</td>
<td>-</td>
<td>-</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fe-Porphyrin-Based</td>
<td>CoPc on carbon</td>
<td>0.5 M NaHCO₃</td>
<td>-</td>
<td>-0.50</td>
<td>1.85 mA cm⁻² @-0.6 V</td>
<td>[83]</td>
</tr>
<tr>
<td>Metal-Organic Framework</td>
<td>CoPc-P4VP</td>
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<td>-</td>
<td>-0.23</td>
<td>2.4 mA cm⁻² @-0.73 V</td>
<td>[84]</td>
</tr>
<tr>
<td></td>
<td>NaH₂PO₄ (pH 5.7)</td>
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<td>-</td>
<td></td>
<td></td>
<td></td>
</tr>
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<td>0.4 mg cm⁻²</td>
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<td>5.6 mA cm⁻² @-0.59 V</td>
<td></td>
<td>[85]</td>
</tr>
<tr>
<td></td>
<td>acetonitrile</td>
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</tr>
<tr>
<td></td>
<td>solution and 0.1 M TBAPF₆</td>
<td>1 mM</td>
<td>-</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Re(R-2,2'-bipyridine)(CO)₃Cl</td>
<td>NiN-GS</td>
<td>0.1 M KHCO₃</td>
<td>0.2 mg cm⁻²</td>
<td>-0.35</td>
<td>4.0 mA/cm² @-0.81 V</td>
<td>[25]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>7.37 mA cm⁻² @-1.0 V TOF:</td>
<td></td>
</tr>
<tr>
<td>NiSAs/N-C</td>
<td>0.5 M KHCO₃</td>
<td>0.22 mg cm⁻²</td>
<td>-0.57</td>
<td>1.46 s⁻¹ @-1.0 V; 0.22 s⁻¹ @-0.7 V; 0.02 s⁻¹ @-0.7 V; 0.04 s⁻¹ @-0.4 V; TOF: 0.23 s⁻¹</td>
<td>[26]</td>
<td></td>
</tr>
<tr>
<td>Ni-N-MEGO</td>
<td>0.5 M KHCO₃</td>
<td>0.5 mg cm⁻²</td>
<td>-0.27</td>
<td>0.139 s⁻¹ @-0.55 V; 0.04 s⁻¹ @-0.4 V; 0.02 s⁻¹ @-0.29 V</td>
<td>This study</td>
<td></td>
</tr>
</tbody>
</table>

* Eonset is obtained based on the linear scan voltammetry results from literature. The potential is
based on SHE except specified.

Table 5.4 Summarized CO$_2$RR electrochemical activity of typical catalysts.

5.4 Conclusion
Here we successfully tailored the edge structures of porous carbon with Ni single atoms. The porous structure with a high surface area (2649 m$^2$ g$^{-1}$) provided large number of anchor sites for single Ni atoms and the nanopores (<6 nm) also help prevent aggregation and stabilize the single atom Ni-N species during high temperature annealing. The unsaturated edge anchored Ni single sites with loading as high as 6.9 % show a mass activity of 53.6 mA mg$^{-1}$ and a high selectivity of 92.1% at an overpotential of 0.59 V for CO2RR. The DFT results identify that the edge-anchored unsaturated three nitrogen coordinated Ni single atoms exhibit better activity for CO2RR compared with in-plane structures, and the high CO2RR activity originates from the high loading of unsaturated Ni single atoms on edges. Our results provide a new avenue to develop highly efficient noble-metal-free SACs with high loading for electrocatalysis.

5.5 References
[5] M. Ma, B.J. Trześniewski, J. Xie, W.A. Smith, Selective and Efficient Reduction of Carbon Dioxide to Carbon Monoxide on Oxide-Derived Nanostructured Silver Electro catalysts,


[29] Y. Wang, M. Qiao, Y. Li, S. Wang, Tuning Surface Electronic Configuration of NiFe LDHs Nanosheets by Introducing Cation Vacancies (Fe or Ni) as Highly Efficient Electrocatalysts for Oxygen Evolution Reaction, Small, 14 (2018) 1800136.


Chapter 6: A universal seeding strategy to synthesis single atom catalysts on 2D materials

Abstract
Single-atom catalysts (SACs) are attracting significant attention due to their exceptional catalytic performance and stability. However, the controllable, scalable and efficient synthesis of SACs remains a significant challenge for practical application of SACs. Herein, we report a novel and versatile seeding approach to synthesize SACs with kinds of single metal atoms on two-dimensional (2D) materials such as graphene, boron nitride (BN) and Molybdenum Disulfide (MoS$_2$). This method has been demonstrated on the synthesis of Ni, Co, Fe, Cu, Ag, Pd single atoms as well as binary atoms of Ni and Cu co-doped on 2D support materials with the mass loading of single atom in the range of 2.8-7.9 wt%. In particular, we demonstrate that nickel-based SACs on graphene oxide (SANi-GO) exhibits excellent catalytic performance for electrochemical CO$_2$ reduction reaction, with a low overpotential of 0.63V and high selectivity of 96.5% for CO production. The facile, controllable and scalable nature of this approach in the synthesis of SACs is expected to open new research avenues for the applications of SACs.

6.1 Introduction
Single-atom catalysts (SACs), comprised of monodispersed metal atoms on various supports with large surface area, have been demonstrated to exhibit high efficiency and excellent selectivity in energy-related or environmental catalysis, far exceeding those of metal nanoparticles catalysts[1-3]. The high catalytic efficiency of SACs originates from the maximized atom utilization and unique coordination environments of metal atoms. However, the great challenge in the practical application and commercialization of SACs is how to develop a facile method for the synthesis of SACs with high mass loading of single atom and to prevent the aggregation of the single atoms on the supports[4, 5]. Therefore, much effort has been devoted to the synthesis of SACs, ranging from noble metals to non-noble metals[6-8]. Owing to the relative ease of preparation by the impregnation method, metal surface[7-9], metal oxides[10] and metal nitrides[11] have been studied as the potential supports to anchor SACs. However, the large mass ratio and limited surface
area of the supports give rise to a restrained mass loading of SACs in the range of 0.5-3 wt.% [3, 4]. Physical deposition methods such as atomic layer deposition (ALD) [12-14] have also been applied to synthesize SACs, but the catalyst loading is generally less than 1 wt% and agglomeration of the single atom to nanoclusters and particles is inevitable after a certain number of deposition cycles. Carbon materials possessing high levels of nitrogen doping with a suitable chemical coordination environment have also been utilized for the support of SACs, including carbon nanotubes (CNTs) [15-18], graphene [19-24], carbon nanosheet [25-27], g-C₃N₄ [28, 29], porous carbon and so on. However, the widespread applicability of the reported methods is also restricted by the limited catalyst loading. Recently, a facile one-pot pyrolysis method has been reported to possess advantages in achieving high loadings for SACs [20, 22, 30]. Despite the ease of use, the one-pot pyrolysis approach has hitherto been limited to some of transition metals (i.e., Fe, Co and Ni). Moreover, the loading cannot be controllably adjusted, due to multiple high-temperature annealing processes involving in the complex chemical reaction pathway. The mass-selected soft-landing method, a physical deposition method well-known for synthesizing metal clusters with precisely controlled number of atoms, has also been utilized to prepare SACs [31]. But the general conditions required for a soft landing are difficult to achieve in view of the precise control of single atom on the material, as well as the substrate properties including surface energy, hardness, polarizability and temperature, which are difficult to control for the practical applications [32]. Therefore, it is highly desirable to develop a synthetic approach that is easy, controllable, and generally applicable while achieving high mass loading of single metal atoms. Such an approach is highly attractive and would open up possibilities to selectively design on-demand active sites for targeted applications [5, 33].

In this work, we have developed a general strategy, referred to as a seeding approach, to synthesize wide range of SAs on various 2D materials (including Graphene, MoS₂, and BN) by utilizing single metal atom dispersed carbon nitride (CNx) as the seed. The synergistic combination of 2D materials and single metal atom seeds makes it possible to achieve SACs with tunable mass loading and superior catalytic performance that cannot be otherwise achieved by using conventional synthesis method. In contrast to previously reported methods, the seeding strategy reported herein exhibits distinct advantages with respect to the compatibility of different metal elements and different substrates. To some extent, the loading can also be adjusted to satisfy the needs of various applications by simply controlling the seed concentration and content. The
synthesized SACs exhibit high dispersion and uniformity, void of any aggregation, opening up prospects for further studies into their electrochemical, photochemical and thermal properties. For example, nickel-based SACs-GO exhibits excellent catalytic performance for electrochemical CO\(_2\) reduction reaction, with a low overpotential of 0.63V and high selectivity of 96.5% for CO production.

6.2 Experimental

6.2.1 Materials synthesis

Synthesis of single atom seed on CNx (SA-Seed). All chemicals were purchased from Sigma-Aldrich unless otherwise specified. All the annealing process is done at Ar atmosphere. Dicyandiamide (C\(_2\)H\(_4\)N\(_4\), DCD) was heated at a ramping rate of 7 °C per min and held at 350 °C for 1 h, noted as DCD-350. Metal acetylacetonate(Me(acac)\(_x\)) encompassed nickel(II) acetylacetonate, cobalt (II) acetylacetonate, iron (III) acetylacetonate, copper (II) acetylacetonate, silver acetylacetonate and palladium (II) acetylacetonate. The Me(acac), ethanol solution (50% distilled water with 10 mg/ml citric acid) was added dropwise to DCD-350(with Metal content of 1wt% vs DCD-350) whilst grinding. The mixture was subsequently annealed at 650-700 °C for 2 h, noted as SA-Seed (Me = Ni, Co, Fe, Cu, Ag, Pd). SA-Seed with two different metal atoms was also prepared in similar way.

Synthesis of single atom on 2D material (SA-2D material). The 2D material was dispersed with poly ethyleneimine (PEI) solution (50% (w/v) in H\(_2\)O,\(_2\)) and polyvinylpyrrolid (PVP, average mol 40000) (with mass ratio=1:1; 50 wt % in total) in ethanol and sonicated for 20 mins. SA-Seed was subsequently added to the above-mentioned solution and sonicated by tip sonication for 1 h. Afterwards, the mixture was stirred for 5 h, dried and grounded to fine powder. The as-prepared powder was subsequently heated in a horizontal furnace at 800 °C and held for 1h. During the heating stage, the temperature was held constant for 2 h at 650-700 °C prior to final ramping to 800 °C. Finally, the SA-2D material was obtained. All other single or binary metal atoms were prepared using the same procedure as described above.

Thermally reduced graphene oxide (GO) was synthesized based on one method reported previously\(^47\). Here, g-C\(_3\)N\(_4\) was synthesized via an annealing of DCD at 650 °C for 1 h. Nitrogen-
doped graphene was synthesized by mixing DCD-350 with graphene oxide and annealing at 800 °C for 1 h. Ni-GO was synthesized through our previously reported microwave method. MoS$_2$ nanosheets (ACS Materials, USA) were used as received, without further purification. BN nanosheets were synthesized based on the approach of a previous study.

6.2.2 Structural characterizations

X-Ray Diffraction (XRD) data were collected with a Bruker D8 Advance diffractometer operated at 40 kV and 40 mA with Cu Kα ($\lambda = 1.5406$ Å) in the range of 10-80°. The specific surface area was calculated by the Brunauer–Emmett–Teller (BET) method. The inductively coupled plasma atomic emission spectroscopy (TJA RADIAL IRIS 1000, ICP-AES) was used to determine the mass content of each metal. Nitrogen adsorption/desorption characteristics were determined using a Micromeritics ASAP 2020 instrument at 77 K. The Microstructure and morphology of SA-2D material samples were obtained using scanning electron microscopy (SEM, Zeiss Neon 40 EsB) and high-resolution transmission electron microscopy (HRTEM, FEI Titan G2 80-200 TEM/STEM). High angle annular dark field scanning transmission electron microscopy (HAADF-STEM) imaging and element mapping were performed through ChemiSTEM Technology operating at 200 kV. The elemental mapping was obtained via energy dispersive X-ray spectroscopy using the Super-X detector on the Titan instrument with a probe size ~1 nm and a probe current of ~0.4 nA. The SA-2D material samples were dispersed and deposited onto TEM sample grids using a high purity anhydrous ethanol solution. High-resolution aberration-corrected scanning transmission electron microscopy annular dark field images (AC-STEM-ADF) and annular bright field images (AC-STEM-ABF) were carried out by a Nion UltraSTEM100 microscope operating at 60 kV at a beam current of 60 pA. The recorded images were filtered through a Gaussian function (full width half maximum = 0.12 nm) to reduce high frequency noise. The convergence half angle of the electron beam was set to 30 mrad and the inner collection half angle of the ADF images was 51 mrad. The samples were dried at 160 °C overnight prior performing STEM. XPS measurements were carried out using a Kratos AXIS Ultra DLD system with monochromated Al Kα X-rays (1486.7 eV) operating at 225 W. The vacuum pressure of 1 x 10$^{-9}$ mbar or better was kept throughout the duration of the experiment. The high-resolution spectra were collected with a pass energy of 160 eV for survey and 40 eV. The spectra were analyzed using CasaXPS software and further calibrated by shifting the main peak in the C 1s spectrum to 284.5 eV associated with SP2 carbon. Element loading of C, N, O, H was obtained by the elemental
analyzer (Elementar, vario MICRO cube) at 950 °C. Raman patterns tests were performed using one alpha300 RA Correlative Raman-AFM Microscope with a 532 nm He–Ne laser. The spectrum represents the average of 20 scans. Near edge x-ray absorption structure (NEXAFS) spectroscopy measurements were performed at the Soft X-Ray beamline of the Australian Synchrotron[35]. These measurements were carried out under ultra-high vacuum (UHV) conditions with a base pressure of 5 x 10^{-10} mbar or better. All spectra were obtained in partial electron yield (TEY) mode. All NEXAFS spectra were processed and normalized using the QANT software program developed at the Australian Synchrotron[36]. X-ray absorption spectroscopy (XAS) measurements were carried out at the XAS Beamline (12ID) at the Australian Synchrotron in Melbourne. With the beamline optics employed (Si-coated collimating mirror and Rh-coated focusing mirror) the harmonic content of the incident X-ray beam was negligible. The powder samples were made into pellets via mechanical grinding with cellulose binder using a mortar/pestle for at least 30 mins. Both fluorescence and transmission spectra were recorded based on the concentration of Ni in each sample (the validity of this method was confirmed through comparing the fluorescence and transmission spectra for one of the samples for which both methods yielded comparable signal-to-noise data). All XAS data were processed on Athena software.

6.2.3 Computational methods.
In this work, the density functional theory (DFT) calculations were performed by using the Vienna Ab-initio Simulation Package[37] with the projector augmented wave method[38] to describe the electron-ion interaction. The Perdew-Burke-Ernzerhof functional was used for the exchange-correlation term[39]. The plane-wave cutoff was set to be 400 eV. A 2×2×1 g-C_{3}N_{4} and a 6×6×1 graphene supercell with the vacuum thickness of 15 Å were used to calculate the binding energy of metal atom in the g-C_{3}N_{4} and N-doped graphene, respectively. The Monkhorst-Pack (3×3×1) k-point was used to sample the Brillouin zone. For 3d metal atoms, we have considered the V, Cr, Mn, Fe, Co, Ni, and Cu atoms. For 4d metal atoms, we only considered the Pd, Ag, and Cd atoms in this work.

6.2.4 Electrochemical measurements
Electrochemical CO₂ reduction reaction (CO₂RR) experiments were performed using a BioLogic VMP3 workstation with a customized gastight H-type glass cell that was separated thorough Nafion cation-exchange membrane (Nafion-117, Fuel Cell Store). Before assembling the device,
all of the glass components of the H-type cell were immersed in 1M nitric acid solution overnight to remove the trace amount of contaminated metals, with subsequent rinsing with deionized water and complete drying in an oven. The tests were performed in a typical three-electrode test system with a saturated calomel electrode (SCE) and a platinum foil as the reference and counter electrodes, respectively. To prepare the working electrode, the catalyst ink was prepared by ultrasonically mixing 10 mg of catalysts with 1.85 ml of anhydrous ethanol and 0.15 ml of 5 weight % (wt %) Nafion solution for 1 hour. The suspension of 10 µl was deposited on glassy carbon electrode (GCE) (5 mm in diameter) and dried naturally under ambient condition. Catalyst loading was controlled about 0.52 mg/cm$^2$. The electrolyte was 0.5 M KHCO$_3$, which was purified by electrolysis between two graphite rods at -0.15 mA for 24 h under CO$_2$ flow to remove any trace amount of metal ions. Furthermore, the electrolyte was obtained after filtering through a polyethersulfone membrane (0.22 micron). Before testing, the average flow rate of CO$_2$ was controlled at 20.0 standard cubic centimetres per minute (sccm), monitored by Alicat Scientific mass flow controller, for 30 minutes to make the electrolyte saturated with CO$_2$. During electrolysis, CO$_2$ gas was also kept delivering into the electrolyte (stirring at 400 r.p.m.) in the working area with a rate of 20.0 sccm and the outlet gas containing gas products was introduced into an on-line gas chromatography (GC, SRI 8610). All electrochemical measurements were tested versus the SCE reference electrode and converted into the reversible hydrogen electrode (RHE) from the following equations: $E$ (vs RHE) = $E$ (vs SCE) + 0.244V + 0.0591 × pH, where pH values of electrolytes were obtained through one pH Meter (Thermo Scientific). The prereduction of the catalyst was performed by holding the working potential at −0.23 V versus RHE in CO$_2$-saturated electrolyte until the current reached a stable value. The stability test was done on the carbon fiber paper (Toray) electrode through drop-painting catalyst ink(1mg/ml). The area of the electrode was fixed as 1 cm$^2$ and loading of 0.5 mg/cm$^2$.

The gas products were quantified by a gas chromatograph (GC), which was equipped with three columns (MS 13X, Hayese D and MS 5A) and thermal conductivity detector (TCD) and two detectors (flame ionization detector, FID). The TCD detector was mainly used for the H$_2$ quantification, while FID combined with a methanizer was used for the analysis of carbon monoxide (CO) and hydrocarbons. All the detectors were calibrated using standard gas mixtures with different concentrations. The liquid products were analyzed by NMR spectroscopy (Varian Inova 600 MHz) with one water suppression technique. In a typical case, 0.5 ml electrolyte was
uniformly mixed with 0.1 ml deuterated water (D$_2$O, Sigma-Aldrich, 99.9 atom % deuterium) as well as 0.05 μl dimethyl sulfoxide (DMSO, Sigma, 99.99%) as an internal standard reference. The NMR spectroscopy was also calibrated using standard liquid products with different concentrations before tests.

6.3 Result and discussion

6.3.1 SACs preparation and characterization

**Figure 6.1** The schematic of synthesis of SA-2D material through hard loading process. (A) 2D material. (B) the modified 2D material, where the red inserted area shows the PVP/PEI layer on the 2D material. (C) the uniform mixing between SA-Seed and 2D material. (D) the SA-2D material obtained through hard landing approach.

To load the SAs onto the 2D materials with large surface area, we have developed a seeding approach which mainly included three steps of the SA-seed preparation, surface modification of the 2D materials and final high temperature annealing (Figure 6.1). The preparation of SA-Seed involves a two-step process, including metal absorption followed by annealing process (Figure
Figure 6.2 the synthesis mechanism schematic of SA-Seed.

The strategy was illustrated by making the nickel single atom catalysts by using graphene oxide as the support. SANi-Seed possesses a nanosheet-type structure after annealing at 660 °C for 2h (Figure 6.3). The aberration-corrected high-angle annular dark-field (AC-HAADF) image (Figure 6.3h) confirms that single Ni atoms are trapped within the g-\(\text{C}_3\text{N}_4\) layer structure, being anchored in the six-fold interstices between tri-s-triazine units[40].
Figure 6.3 Structural investigation of SANi-Seed. (A) XRD patterns of DCD-350, bulk g-C₃N₄ and SANi-Seed. (B) Nitrogen isotherms and corresponding Barrett-Joyner-Halenda mesopore size distributions (inset) of SANi-Seed and bulk C₃N₄. (C) the TGA of SA-Seed. (D) SEM image of SANi-Seed. (E) TEM image of SANi-Seed. (F) STEM image of SANi-Seed. (G) EDS patterns of elements Carbon, nitrogen, and nickel, the scale bars are 100 nm. (H) AC-HAADF image, the red cycled stands for Ni atoms.

To increase the dispersibility and absorption capacity, GO was first modified or functionalized with PVP and PEI, which serves as an essential step to anchor the single atoms. SANi-Seed is similar to that g-C₃N₄ without peaks associated with Ni nanoparticles. The XRD pattern for SANi-Seed is close to g-C₃N₄, different from the DCD-350 which is consistent with melem structure (Figure 6.3A). The SANi-Seed shows a much higher surface area of 235 m²/g than the C₃N₄ bulk of only 8 m²/g(Figure 6.3B). TGA analysis indicates that the content of Ni in the SANi-Seed is about 1.3 wt%, consistent with the ICP test (Figure 6.3C). The EDS reveals the N and Ni elements uniformly dispersed on the surface of nanosheet structure(Figure 6.3G). The STEM resluts in Figure 6.3H confirm the good dispersion of Ni atoms.
Figure 6.4 (A) Nitrogen adsorption–desorption isotherms of NGO and SANi-GO. (B) the DFT pore distribution. (C) TEM image of SANi-GO, scale bar: 10 nm. (D) HRTEM image in the selected area in c, scale bar: 1 nm.

The surface area of SANi-GO is 816 m$^2$g$^{-1}$ with a pore volume of 3.4 cm$^3$ g$^{-1}$, close to that of N-doped GO (3.8 cm$^3$ g$^{-1}$) (Figures 6.4A, B). There is a clear formation of abundant defects, which provides abundant coordination positions for Ni. The micro pore increases in SANi-GO around 1 nm, which is consistent with abundant defects (Figures 6.4CD).
Figure 6.5 (A) the TEM images of Ni-GO, the average particle size of Ni is about 8 nm, with the loading around 6 wt%. (B) XRD patterns of Ni-GO, NGO and SANi-GO. the TEM images of GO-Ni without PEI and PVP modification. (C) low magnification. (D) higher magnification. Only the characteristic carbon peak (200) at 26.2° was observed for SANi-GO. It confirms that without PVP and PEI, metal carbide aggregation occurs on the surface of graphene. The PVP and PEI modification plays one vital role for the synthesis of SACs.

If without surface modification, the metal carbide aggregation occurs on the support (Figures 6.5C and D). The uniform deposition of SANi-Seed on GO is most likely through the non-covalent interaction between PVP/PEI functionalized GO and SANi-Seed, similar to the self-assembly process. The slow annealing process caused the gradual decomposition of SANi-Seed, leading to the formation of single atoms anchored uniformly onto GO, namely SANi-GO.
Figure 6.6 Structural Characterization of SANi-GO. (A) SEM image of SANi-GO, scale bar: 2 μm, the inserted optical image is 0.63g SANi-GO. (B) typical TEM image of SANi-GO, scale bar: 200 nm, insert shows the HRTEM image, scale bar: 10 nm. (C) the element maps showing the distribution of carbon(green), nickel(red) and nitrogen(blue)scale bar: 30 nm. (D) the AC-STEM image, the scale bar is 4 nm. (E) NEXAFS spectrum of Ni. (F) Ni K-edge XANES spectra of NiPc, Ni foil, and SA-GO-Ni. (G) Fourier transformation of the EXAFS spectra, in which the NiPc and Ni foil spectrum has been reduced in size. (H) comparison of a simulated XANES spectrum of the inserted Ni-core structure with experimental results, the grey, blue and red spheres represent the C, N, Ni, respectively.

The SANi-GO exhibits smooth 2D structure and inserted optical image indicates the fluffy black product with a mass of 630 mg, indicating this method is scalable (Figure 6.6A). The SANi-GO was then characterized by high-resolution transmission electron microscopy and no nanoparticles were observed (Figure 6.6B). The XRD patterns confirm that the SANi-GO is alike to that of the GO without any signals of metallic nickel or Ni nanoparticles detectable (Figure 6.7B), consistent with the TEM results.

Importantly, the energy dispersive spectrum (EDS) elemental mapping images indicated that the C, Ni, N elements are uniformly distributed throughout the entire structure (Figure 6.6C). Isolated single atoms were atomically dispersed on the substrate as shown by the AC-STEM image (Figure 6.6D). XPS pattern of Ni 2p confirm the Ni is Ni$^{2+}$ (Figure 6.7A), and the N 1s is also dominated
by pyrrolic nitrogen which is consistent with Ni-N structure[23,43].

Figure 6.7 XPS patterns of SA-GO. (A) Ni 2p of SANi-GO. (B)Co 2p of SACo-GO. (C) Fe 2p of SAFe-GO. (D)Cu 2p of SACu-GO. (E) Pd 3d of SAPd-GO. (F) Ag 3d of SAAg-GO. (G) and (H) Ni, Cu 2p of SACuNi-GO. The XPS results confirm the metal atoms are in the form of ion not particles.

X-ray absorption spectroscopy (XAS) measurements were performed to further characterize the local coordination environment of Ni. As shown in Figure 6.6E, the Ni K-edge NEXAFS spectra for the SANi-GO exhibit strong similarities to that of nickel phthalocyanine (NiPc), suggestive of the existence of Ni-N bonds in SANi-GO. By contrast, the spectra are dissimilar to Ni metal, which compliments XPS observations that pointed to a lack of Ni (0). The Ni K-edge is shown in Figure 6.7b, where the pre-edge at around 8333 eV in position A is attributed to the dipole-forbidden but quadrupole-allowed transition (1s→3d), which is corresponding to 3d and 4p orbital hybridization of the Ni center atom. The integrated pre-edge peak intensity of NiPc is inconspicuous, which can be explained by its high D4h centrosymmetry[22]. The increase in the pre-edge peak intensity in SANi-GO is attributed to increased dipole-allowed transitions (1s→4p), which occur through mixing of the 3d and 4p orbitals because of the distorted D4h symmetry. In contrast with that found from NiPc, the intensities of the 1s→4pz transition (peak B) in SANi-GO are reduced. These transitions act as an evidence for square-planar M-N4 moieties, and this result further confirms the distorted D4h symmetry of the Ni atoms from SANi-GO, contrary to that
observed in NiPc. The Fourier-transform of the extended X-ray absorption fine structure (EXAFS) spectra is presented in Figure 6.6G. A dominant peak at 1.34 Å consistent with NiPc and assigned to the Ni-N coordination is evident, which demonstrates the bonding environment of Ni in SANi-GO. The simulated near edge curve (Figure 6.7H) matches well with the experimental result, which confirms the existence of Ni-N4 structure in SANi-GO[41, 42].

In order to obtain a more comprehensive understanding of the synthesis process of Me-N4 atomistic structure, DFT calculations were performed to explore the thermodynamic stability of different metal atoms in g-C3N4 and Me-N4 (Figure 6.8A). After a full relaxation, the metal atoms preferred to locate in the six-fold interstices between tri-s-triazine units of g-C3N4[43]. The Ni was stabilized by the surrounding carbon and nitrogen, which prevented the Ni from aggregation. Pure DCD-350 completely decomposed at 660 °C after 2 h, which further confirms the critical role of metal atoms in stabilizing the g-C3N4 structure. Nevertheless, binding energy calculations show that it is energetically unstable for the single metal atoms in the g-C3N4 environment, due to weak interactions between metal atoms and g-C3N4. When the temperature increases up to 800 °C, the six-fold interstices structure transforms into a strong Me-N4 stable structure anchored on the surface of substrate. Among the series of single atom metals explored in this study, the Me-N4 structure on graphene is considered to be energetically stable (Table 6.1).
Table 6.1 Summary of the binding energy based on DFT calculations for metal atom in \( \text{g-C}_3\text{N}_4 \) and metal atom in 4 Nitrogen graphene(Me-4N-Gr), \( E_{\text{binding1}} = E_{\text{total}}(\text{g-C}_3\text{N}_4) + E_{\text{atom}} - E_{\text{total}}(\text{metal-g-C}_3\text{N}_4) \) \( E_{\text{binding2}} = E_{\text{total}}(4\text{N-Gr}) + E_{\text{atom}} - E_{\text{total}}(\text{Me-4N-Gr}) \). \( E_{\text{atom}} \) is the energy of metal atom in the corresponding bulk metal. According to the definition of binding energy, negative value of the \( E_{\text{binding1}} \) and/or \( E_{\text{binding2}} \) means an energetically unstable structure.

Consequently, it is reasonable to speculate that, at high temperatures, metal atoms prefer to take the Me-N4 structure in graphene rather than the Me-g-C3N4 structures. It should be noted that, among the metal atoms studied in this work, the Ni-N4 structure on graphene possesses the highest positive binding energy, indicative of its high stability, which is also consistent with our experimental results\[22\]. The methods to achieve SAMe-Seed are significantly versatile, which
establishes the premise of dispersing wide range of metal single atoms (Figures 6.8).

**Figure 6.8** DFT calculation of SA synthesis and structural investigations of various SAMe-2D materials. (A) Schematic structures of g-C₃N₄-Me and Graphene-N4, the grey, green, blue balls denote the C, N, and metal atoms, respectively. High-angle annular dark field (HAADF)-STEM-EDS mapping and AC-STEM images. (B) SAFe-GO. (C) SACo-GO. (D) SACu-GO. (E) SAPd-GO. (F) SAAg-GO. (G) SACuNi-GO. (H) SAFe-MoSx. (I) SANi-MoSx. (J) SANi-BN.

Through seeding approach, the synthesis of SACs is not only applicable to transition metal (Fe, Co, Ni, Cu), but also can be easily extended to noble metals like Ag and Pd (Figures 6.8B-F). Besides single metal elements, binary metal SACs can also be achieved through careful preparation of the SAMe-Seed using two metal sources together, such as but not limited to Cu and
Ni, dispersed within CNx (Figure 6.8F). After the seeding process, the Cu and Ni atoms were separately loaded on the surface of graphene (Figure 6.8G). Furthermore, the structure of SAMe-GO has been investigated by Raman and XRD, which indicates the basic graphene sheet structure with typical carbon peaks (Figures 6.9 A,B).

Figure 6.9 Investigation of SA-GO. (A) XRD. (B) Raman patterns.

XPS initially shows a high nitrogen content in SAMe-GO of more than 9.5 at. % (Table 6.2) and Me-N elemental environment, where sufficient nitrogen could confine the metal single atom. The electronic structure of SAFe-GO were also characterized by XAS measurements (Figure 6.10), where the Me-N4 structure is consistent with recent reports[22, 42].
Figure 6.0 The Electronic state of Fe in SAFe-GO. (A) NEXAFS spectrum of Fe. (B) Fe K-edge XANES spectra of Fe foil and SAFe-GO. (C) Fourier transformation of the EXAFS spectra, in which the Fe foil spectrum has been reduced in size. (D) comparison of a simulated XANES spectrum of the inserted Fe-core structure with experimental results, the grey, blue and purple spheres represent the C, N, Fe, respectively.
Table 6.2 Summary of the compositions in SA-GO. The C, N and O contents are determined by XPS and Element Analysis while the Me content was determined by ICP-AES.

The single atom loading achieved was in the range of 2.8 wt% for SAAg-GO to 7.9 wt% in the case of SANi-GO (Table 6.2). Most importantly, the loading of single metals could be controllable through adjusting the content of SA-Seed. In addition to GO substrates, other 2D materials such as MoS$_2$, BN were also used in the synthesis of SACs. To demonstrate the feasibility of this approach, Ni and Fe atoms were successfully loaded on the surface of MoS$_2$ nanosheet using the abovementioned seeding approach (Figures 6.8 H,I).

The PVP/PEI functionalization immobilizes the SAMe-Seed on MoS$_2$ and BN, similar to that on GO. The SAMe-Seed nanosheets landed uniformly on the surface, which is crucial for the formation of the final SACs on the 2D materials. The gradual integration of the single atom dispersed g-$C_3N_4$ structure on the 2D substrates during the final pyrolysis steps may effectively stabilize single atoms on 2D materials, preventing them from forming metal clusters or nanoparticles. The seeding strategy presented in this study is essentially different from the conventional approaches which mainly relies on the anchoring the SACs by defects on the
substrate surface. The SACs catalyst we made with controllable structure would exhibit good performance for electrochemical catalysis.

6.3.2 Electrochemical CO$_2$ reduction performance

For example, the electrochemical activity of SANi-GO catalysts was studied for the electrochemical reduction of CO$_2$ (CO$_2$RR) in CO$_2$-saturated 0.5 M KHCO$_3$ solution and the results are given in Figure 6.11. Linear sweep voltammetry (LSV) was firstly performed over SANi-GO catalysts under CO$_2$ atmosphere and N$_2$ curves showed one cathodic peak at approximately -0.7 V (versus RHE) observed in the CV curve, compared with no obvious peak present in N$_2$ (Figure 6.11A). The gaseous products were analyzed by on-line gas chromatography and only carbon monoxide (CO) and hydrogen (H$_2$) products were identified. The Faradaic efficiency (FE) of CO formation was tested at several applied potentials. Among them, the electrode exhibits FE (CO) of 91%, 94.7% and 96.6% at -0.83, -0.73 and -0.63 V (versus RHE) respectively.

![Figure 6.11](image_url)

**Figure 6.11** Electrochemical CO$_2$RR performance (A) LSV curves acquired in CO$_2$-saturated 0.5 M KHCO$_3$ solution. (B) CO Faradaic efficiency at various applied potentials. (C) TOF of SANi-GO at different over potential, the insert image shows the total and partial current density. (D)
Current–time response of for CO\textsubscript{2} reduction on carbon paper at an overpotential of -0.63 V.

Further reduction of CO to methane or other liquid products was not found, which was confirmed by mass spectrometry. The much higher selectivity of CO\textsubscript{2} by SANi-GO was achieved, compared with Ni-GO and NGO (Figure 6.11B). The high current density 8.3 mAcm\textsuperscript{-2} for CO production was achieved at -0.63 V. The turnover frequency (TOF) of CO in Figure 6.11C increased with the overpotential and 325.9 h\textsuperscript{-1} at -0.63 V. (Figure 11C). The excellent selectivity and activity of SANi-GO were further investigated by \textit{in situ} monitoring of the products formed at different potentials collected over 2 h of electrolysis using gas chromatographic analysis of gas products and gas chromatographic-mass spectroscopic analysis of liquid products. CO and H\textsubscript{2} were confirmed as the only products for the CO\textsubscript{2}RR on SANi-GO. The cycling stability of the CO\textsubscript{2}RR was investigated at -0.63 V, which exhibited high CO\textsubscript{2} selectivity even after 50 h at a 91% FE(Figure 6.11D). The performance of SANi-GO is comparable with reported results, which is due to the single atom structure[19, 44-46].

6.4 Conclusion

In summary, we have developed a highly controllable method to synthesize a series of single metal atom catalysts supported on two-dimensional materials via a new seeding strategy. Th seeding strategy is versatile and has been demonstrated on Ni, Co, Fe, Cu, Ag, Pd single atoms as well as binary NiCu atoms supported on 2D materials including GO, MoS\textsubscript{2} and BN nanosheets. The applicability of the synthesized SA-2D catalysts has been illustrated on the high activity and selectivity of SANi-GO for CO\textsubscript{2}RR. The seeding approach described in this paper serves as an enabling technology for multiple applications including heterogeneous catalysis, electrode materials for energy storage and conversion, etc. The method represents a generic strategy for the fabrication of SACs sites on 2D support. This paper is expected to prompt further research on surface modification of 2D materials in a search for reliable materials for multiple applications.

6.5 References


Chapter 7: Theoretical calculation guided design of single-atom catalysts towards fast kinetic and long-life Li-S batteries

Abstract

Lithium-sulfur (Li-S) batteries are promising next-generation energy storage technologies due to their high theoretical energy density, environmental friendliness and low cost. However, low conductivity of sulfur species, dissolution of polysulfides, poor conversion from sulfur reduction and lithium sulfide (Li$_2$S) oxidation reactions during discharge-charge processes hinder their practical applications. Herein, under the guidance of density functional theory calculations, we have successfully synthesized large-scale single atom vanadium catalysts seeded on graphene to achieve high sulfur content (80 wt% sulfur), fast kinetic (a capacity of 645 mAh g$^{-1}$ at 3 C rate) and long-life Li-S batteries. Both forward (sulfur reduction) and reverse reactions (Li$_2$S oxidation) are significantly improved by the single atom catalysts. This finding is confirmed by experimental results and consistent with theoretical calculations. The ability of single metal atoms to effectively trap the dissolved lithium polysulfides (LiPSs) and catalytically convert the LiPSs/Li$_2$S during cycling, significantly improved sulfur utilization, rate capability and cycling life. Our work demonstrates an efficient design pathway for single atom catalysts and provides solutions for the development of high energy/power density Li-S batteries.

7.1 Introduction

Lithium-sulfur (Li-S) battery is regarded as a promising candidate for energy storage due to its high energy density, low cost, and environmental friendliness[1-3]. Nevertheless, technological challenges arising from the low electronic/ionic conductivity of sulfur species, the sluggish reaction kinetics with accumulated sulfur species and dissolved polysulfides, lead to large internal resistance, low sulfur utilization, and fast capacity decay[4-6]. These challenges have prevented the commercialization of Li-S batteries. To overcome these drawbacks, introducing active adsorption and catalysis centers is required for cathode to enhance the sulfur utilization and accelerate the reversible conversion between lithium polysulfides (LiPSs) and Li$_2$S. Therefore, much effort has been devoted to developing highly active and durable catalysts, which possess
well-designed activation centers capable of adsorbing active species and facilitating desired redox reactions[7-10]. Our previous work identified metal sulfides as effective catalysts in lowering the overpotential and the Li$_2$S decomposition energy barrier compared with the more commonly used carbon materials in Li-S batteries[11]. Several other bulk and nanosized catalysts including TiO$_{2-x}$ nanosheets[10], MnO$_2$ nanosheets[12], Fe$_2$O$_3$ nanoparticles[13], mesoporous TiN[14], amorphous CoS$_3$ film[15] VN nanoribbon[16], and phosphorene[17] have also been synthesized to promote the transformation of sulfur, LiPSs, and Li$_2$S, resulting in a significant increase in utilization of active materials and enhancing the reaction kinetics of Li-S batteries[8]. However, attention should be paid to control the weight percentages of these inactive additives without sacrificing the overall energy density of Li-S batteries.

Since catalytic performance is correlated to catalytic particle size, it is natural to hypothesize that maximum catalytic efficiency is achieved at the single atomic level[18-20]. Single-atom catalysts (SACs), comprised of monodispersed single atoms supported on various substrates, have recently been demonstrated to exhibit high efficiency and distinctive selectivity in various energy, environment, and chemical related devices, far exceeding conventional metal nanoparticle catalysts[21-23]. SACs not only maximize the atomic efficiency, making every atom contribute, but also supply an alternative strategy to adjust the activity and selectivity of a catalytic process by introducing lowest amount of catalyst[19, 21, 24]. Most recently, SACs have been studied and applied as electrocatalysts for Li-S batteries with significantly improved electrochemical performance[25-28]. However, in those studies, SACs were randomly selected, and fabricated via an impregnation method (relying on absorption sites of the substrates), which inherently limits variety and yield. To efficiently meet the requirements of Li-S batteries, both enhanced selection and synthesis sophistication are required.

Theoretical modelling represents a powerful tool to accelerate the search for promising catalyst candidates, which can significantly reduce the number of unsuccessful trials[29, 30]. Taking the above discussion into consideration, herein we first screen SAC materials for the catalytic decomposition of Li$_2$S using theoretical simulation. Considering the cost issue and the diversity of metal atoms, ten materials comprised of graphene, N-doped graphene (NG), single atom Fe, Mn, Ru, Zn, Co, Cu, V and Ag on NG were chosen. Among all the materials screened, we discover that vanadium single atoms on NG (SAV@NG) show the smallest decomposition barrier (1.10 eV). To
apply SAV@NG as effective and practical cathodes for Li-S batteries, we develop one universal strategy, referred to as one seeding approach, to synthesize single atoms coated on graphene with scalable quantities, controllable loading, and adjustable components. The results demonstrate a dramatic enhancement of capacity, kinetics, and cycling performance for SAV@NG based electrodes in Li-S batteries, which is consistent with the simulation results.

7.2 Experimental section

7.2.1 Preparation of Seed-SACo and Seed-SAV
All chemicals purchased from Sigma-Aldrich were used without further purifying unless otherwise specified in this study. All the annealing treatments are processed at Ar of 50 sccm. Dicyandiamide (C₂H₄N₄, DCD) was annealed at 350 °C for 1 h, noted as DCD-350 after finely grinded. Cobalt (II) acetylacetonate, vanadyl acetylacetonate were chosen as the metal resource. The metal salts were resolved into ethanol solution (50% acetone, with 10 mg mL⁻¹ citric acid) and sonicated for 1 h. The above solution was dropped dropwise to DCD-350 powder (with metal content of 1wt% vs. DCD-350) when grinding. The powder was annealed at 665 °C for 2 h and then grinded for 30 min. The brown product was noted as Seed-SAME (Me = Co, V), prior to leaching in the HCl (2 mol L⁻¹) for 2 h following dry at 80 °C for 5 h.

7.2.2 Preparation of SACo@NG and SAV@NG
The graphene was mixed with poly ethyleneimine (PEI) solution (50% (w/v) in H₂O₂) and polyvinylpyrrolid (PVP, average mol 40000) (with mass ratio=1:1; 50 wt% in total) in ethanol and sonicated for 20 min into uniform solution. Seed-SACo or Seed-SAV was subsequently added to the above PEI and PVP solution and sonicated by tip sonication for 1 h. Furthermore, the mixture was stirred for 5 h, dried and grounded to fine powder. The as-prepared sample was then heated at 800 °C and held for 1h. During the heating stage, the temperature was held constant for another 2 h at 680 °C before final ramping to 800 °C. Herein, the PVP and PEI adhering to the surface of graphene oxide (GO) and seeds will enhance the uniformity and dispersion of seeds on GO. During the following annealing process, the PVP and PEI will be pyrolyzed into amorphous carbon, which enables the good contact between GO and single metal atoms. Finally, the samples were denoted as SACo@NG and SAV@NG.

The reference g-C₃N₄ was synthesized through an annealing of DCD at 665 °C for 1 h. Nitrogen-
doped graphene (NG) was synthesized by mixing DCD-350 with GO and annealing at 800 °C for 1 h. GO was reduced into graphene (G) at 800 °C for 1 h under Ar flow.

7.2.3 Structural characterizations

The microstructure and morphology of SACo@NG and SAV@NG samples were obtained using scanning electron microscopy (SEM, Zeiss Neon 40 EsB) and high-resolution transmission electron microscopy (HRTEM, FEI Titan G2 80-200 TEM/STEM). High-resolution aberration-corrected scanning transmission electron microscopy annular dark field images (AC-STEM-ADF, Nion UltraSTEM100 microscope) was carried out by operating at 60 kV at a beam current of 60 pA. The inductively coupled plasma atomic emission spectroscopy (TJA RADIAL IRIS 1000, ICP-AES) was used to determine the mass content of vanadium and cobalt. X-ray diffraction (XRD, Bruker D8 Advance diffractometer) was operated at 40 kV and 40 mA with Cu Ka (λ = 1.5406 Å).

X-ray photoelectron spectroscopy (XPS) was collected in Kratos AXIS Ultra DLD system. Nitrogen absorption and desorption experiment was conducted on an ASAP 2020 (Micromeritics) to analyze the specific surface area and pores size distribution. Raman patterns were performed using one alpha300 RA Correlative Raman-AFM Microscope with a 532 nm He–Ne laser. Near edge x-ray absorption structure (NEXAFS) spectroscopy measurements were performed at the Soft X-Ray beamline of the Australian Synchrotron. All spectra were obtained in partial electron yield (TEY) mode. All NEXAFS spectra were processed and normalized using the QANT software program developed at the Australian Synchrotron. X-ray absorption spectroscopy (XAS) measurements were collected at the XAS Beamline (12ID) at the Australian Synchrotron in Melbourne. With the beamline optics employed (Si-coated collimating mirror and Rh-coated focusing mirror) the harmonic content of the incident X-ray beam was negligible. The powder samples were pressed into pellets following mechanical grinding with a cellulose binder. Both fluorescence and transmission spectra were collected based on the concentration of vanadium and cobalt in each sample (the validity of this method was confirmed via comparing the fluorescence and transmission spectra intensity for one of the samples based on which both methods yielded comparable quantitative data). All XAS data were processed and analyzed using Demeter software[31].

7.2.4 Electrochemical measurements

The blank electrolyte was prepared by dissolving an appropriate amount of lithium tri-
fluoromethanesulfonate (LiCF$_3$SO$_3$, 98%, Acros Organics, 1M) and LiNO$_3$ (99.9%, Acros Organics, 0.1M) in DME (99%, Acros Organics) and DOL (99.5%, Acros Organics) (1:1 by volume). The Li$_2$S$_6$ catholyte was mixing stoichiometric amounts of sublimed sulfur (99.5%, Fisher Scientific) and an appropriate amount of Li$_2$S (99.9%, Acros Organics) in the blank electrolyte to form Li$_2$S$_6$ in the solution. LiNO$_3$ was added to passivate the Li metal surface and suppress its reaction with polysulfide.[32] The solution was then magnetic stirred vigorously at 60 °C in an Ar-filled glove box overnight to produce a brownish-red Li$_2$S$_6$ catholyte solution.

As-prepared graphen (G), NG, SACo@NG, SAV@NG and sulfur powder (99.5%, Alfa Aesar) in a mass ratio of 1:4 were ground together for 30 minutes. The mixture was then transfered to a sealed stainless steel vessel and heated to 155 °C for 15 h to obtain the S-G, S-NG, S-SACo@NG and S-SAV@NG. A well-mixed slurry (85 wt% S-G, S-NG, S-SACo@NG and S-SAV@NG, 5wt% Super P as conductive additive and 10 wt% polyvinylidene fluoride as binder in N-methyl-2-pyrrolidone) was coated onto the Al foil current collector followed by drying under vacuum at 60°C for 24 h. The S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes were shaped into a circular pellet with areal sulfur mass loading of around 2 mg cm$^{-2}$. 20 μL electrolyte was added to wet the sulfur cathode. The Celgard 2400 separator was then placed on top of the electrodes. Finally, the lithium-metal foil anode was placed on the separator as the anode. Galvanostatic charge-discharge cycles were performed on a CT2001A cell test instrument (LAND Electronic Co.) The sulfur cathode-based cells were measured with the potential range of 1.5-2.8 V (vs. Li/Li$^+$). The C-rate for tests was referred to the mass of sulfur in the cathode and was varied from 0.2 C to 3 C rate.

### 7.2.5 Theoretical calculations

The projector augmented wave (PAW) formalism of density functional theory as implemented in the Vienna Ab-initio Simulation Package (VASP) was used in the system energy and electronic structure calculations.[33] The Gaussian smearing method[34] was used and the width of smearing was chosen as 0.05 eV. The energy cutoff for plane-wave expansion of the PAWs is 500 eV. In the vertical direction, a vacuum layer of about 20 Å in thickness was introduced for all the surfaces. For the binding energy and adsorption conformation simulations, we used the vdW-DF2 functional to include the physical van der Waals interaction, which was demonstrated to be very important in the simulation of Li$_2$S adsorption.[35] The Brillouin zone was sampled using Monkhorst-Pack scheme with a k-point mesh of 3×3×1 in the Gamma-centered grids for the structural relaxation.[36] The structure relaxation was continued until the forces on all the atoms were converged to less
than 0.02 eV/Å. The decomposition barrier for Li$_2$S was determined by climbing image-nudged elastic band (CI-NEB)[37].

7.3 Results and discussion

![Figure 7.1 Optimized atomic configuration of LiS cluster adsorption on the (a) SACu@NG and (b) SAAg@NG. (c-i) Detailed decomposition path for Li$_2$S cluster on graphene, NG, SACo@NG, SAFe@NG, SAMn@NG, SARu@NG and SAZn@NG, respectively.]

Firstly, the stability of these ten materials (graphene, NG, SAFe@NG, SAMn@NG, SARu@NG, SAZn@NG, SACo@NG, SAV@NG, SACu@NG and SAAg@NG) were considered. According to the optimized structure results, graphene, NG and single atom substrates (Fe, Mn, Ru, Zn, Co and V) can maintain pristine atomic configuration after the Li$_2$S and LiS adsorption, while the pristine substrates show a deformed atomic lattice after LiS cluster adsorption for the SACu@NG and SAAg@NG materials, i.e. the pristine bonds between the Cu atom and adjacent coordinated N atoms break and Ag is replaced by the Li atom of LiS cluster (Figure 7.1a-b). The implication of this finding is that the single atom substrates (Fe, Mn, Ru, Zn, Co and V) materials can maintain the structure stability during repeated charge-discharge cycles while the SACu@NG and
SAAg@NG are unstable. We also calculate out that the decomposition energy barrier of Li$_2$S on these stable substrates was highly related to the kinetic property of Li$_2$S oxidation during the charging process. Reducing the decomposition barrier of Li$_2$S can greatly increase the utilization of active materials, decrease the formation of dead Li$_2$S and achieve a long cycling life.

**Figure 7.2** Theoretical understanding for Li$_2$S decomposition, Li ion diffusion and anchoring effect. a, Decomposition barriers of Li$_2$S and b, lithium ion diffusion barriers on different substrates
including graphene, NG, SAFe@NG, SAMn@NG, SARu@NG, SAZn@NG, SACo@NG and SAV@NG. The inset images in a and b are the detailed decomposition path of Li$_2$S and diffusion pathway of lithium atom on SAV@NG, respectively. c, Bond angle (Li-S-Li) of Li$_2$S, bond length (Li-S) of Li$_2$S and d, side view for charge density difference of Li$_2$S adsorption on the graphene, NG, SACo@NG, SAV@NG, SAFe@NG, SAMn@NG, SARu@NG and SAZn@NG, respectively. The yellow and blue sections represent the electron accumulate and lose region. The iso-surface is set to 0.003 eV/Å$^3$. e, Binding energy of Li$_2$S$_6$ on the graphene, NG, SACo@NG, SAV@NG, SAFe@NG, SAMn@NG, SARu@NG and SAZn@NG, respectively. The inset image is the side view for the Li$_2$S$_6$ cluster adsorption configurations on SAV@NG.

We considered the decomposition process from an intact Li$_2$S molecule into a LiS cluster and a single Li ion, which corresponds to the breaking of the Li-S bond. The decomposition pathway of Li$_2$S on different substrates are shown in Figure 7.2a (inset for SAV@NG). The results show that graphene possesses the greatest decomposition barrier (2.12 eV), much larger than the other seven cases. The decomposition barrier for SAV@NG, SACo@NG, SAFe@NG, SAMn@NG, SARu@NG, and SAZn@NG are 1.10, 1.76, 1.66, 1.38, 1.64 and 1.81 eV, respectively. Meanwhile, the lithium ion diffusion properties on the substrates were also important for studying the Li$_2$S decomposition performance. Fast lithium ion diffusion behavior facilitates the subsequent steps after the decomposition of Li$_2$S on the charging process and sulfur transformation chemistry on the discharging process. As shown in Figure 7.2b, the calculation results show that the diffusion barriers of lithium ion on all the substrates are around 0.23 eV. The detailed lithium diffusion pathway on these substrates are shown in the inset of Figure 7.2b (SAV@NG). Combining the decomposition energy barriers of Li$_2$S with lithium ion diffusion barriers on these substrates, it can be clearly seen that the dominant step for the decomposition process of Li$_2$S on the charging process is the bond breaking step between the Li and S atoms. SAV@NG exhibits the smallest decomposition barrier (1.10 eV) of Li$_2$S and can maintain the small lithium diffusion barrier, which shows the best potential for catalyzing the decomposition of Li$_2$S.

To further have an in-deep investigation for the different catalytic effect on decomposition of Li$_2$S on these substrates, the electronic structure and thermodynamic configuration analysis were performed. Considering that the bond length (Li-S) and bond angle (Li-S-Li) can reflect the bonding strength of the Li-S bond in the Li$_2$S, we measured the bond length and angle of Li$_2$S after
it was adsorbed on the substrates. As shown in Figure 7.2c, the bond length of Li-S on the graphene is the shortest with 2.19 Å and the bond angle of Li-S-Li on the NG is the smallest with 84.80°, while SAV@NG substrate possesses both a maximum bond length (2.28 Å, Li-S) and a maximum bond angle (145.83 °, Li-S-Li) among all substrates. The longer bond length and bigger bond angle of Li₂S on relative substrates mean the greater weakening of Li-S bond in the Li₂S molecules, which facilitate the decomposition of Li₂S. These results are agreement with our decomposition barrier calculation. Moreover, electronic transformation behavior between the Li₂S and substrates were also considered. As shown in Figure 7.2d, the charge density differences show the electron migration mainly accumulates between the Li atom and substrates for the graphene and NG materials. For the single atom substrates, in addition to the electron transfer between the Li atom and substrates, it can be clearly seen that there are also more electron transfer between the S atom and the metal atoms, which can further weaken the Li-S bond by forming the S-metal bond and thus, decrease the decomposition barriers of Li₂S.

Besides, the interaction between the polysulfides and substrates was also considered. Previous works have identified that the stronger chemical interaction between the substrates and polysulfides can effectively decrease the shuttle effect[35]. As shown in Figure 7.2e, the binding energy, E_b is computed to measure the binding strength between Li₂S₆ on these substrates. The binding energy is defined as E_b = E_{Li₂S₆} (pure Li₂S₆ cluster) + E_{Sub} (pure substrate) – E_{Li₂S₆+Sub} (adsorbed system), while the positive and the larger value means the stronger anchoring effect of Li₂S₆ on the substrates. Based on the calculation results, the binding energy of Li₂S₆ on graphene, NG, SACo@NG, SAV@NG, SAFe@NG, SAMn@NG, SARu@NG and SAZn@NG are 0.76, 1.19, 1.67, 3.38, 0.95, 0.84, 1.69 and 1.02 eV respectively. The graphene exhibits the weakest chemical binding energy of 0.76 eV to Li₂S₆, while the NG and all of the single atom substrates can induce the bonding effect by N-Li or metal-S to increase the binding strength. Due to the directly bonding effect between the two S atoms of Li₂S₆ cluster and V atoms (inset of Figure 7.2e), the SAV@NG substrate possesses the biggest binding energy of 3.38 eV. This indicates that the SAV@NG material exhibits the best potential on mitigating polysulfide dissolution and suppressing shuttle effect in Li-S batteries. Combining the decomposition barrier, lithium ion diffusion barrier, structure stability performance and anchoring effect of the ten materials, the SAV@NG shows the best potential and was thus selected as an optimum catalyst for this study. Moreover, according to the different decomposition energy barrier gradients of Li₂S, similar
lithium ion diffusion barrier and different anchor effect level for Li$_2$S$_6$ on these substrates, graphene, NG and SACo@NG were also selected as the control electrodes.

**Figure 7.3** a, Schematics showing the seeding approach of single atom on graphene, the conversion process on b, graphene, and c, single atom seeded graphene for Li-S batteries.

Guided by the theoretical results, a seeding approach was proposed to synthesize the SACo@NG and SAV@NG samples, as shown in Figure 7.3a. Briefly, the seeding approach involves three steps, *i.e.* the seed of single atom metal (Seed-SAME) preparation, graphene surface modification and final seed. The surface modification layer was introduced on the surface of graphene oxide (GO), which bridged the connection between the GO and single atoms. The loading of seeds will be synthesized through absorbing and stabilizing the metal salts on the carbon nitrides. The components of the single atoms could be adjusted by changing the metal salts. Besides this, the content and loading are also controlled through changing the ratio between the seeds and supports. Finally, the specific loading of single atom seeds is testified by inductively coupled plasma-optical emission spectroscopy (ICP-OES) and then was added into the mixture based on the targeted design. The seeds will be almost decomposed completely during the annealing process and then single atom metal was bonded with the GO support. For instance, Seed-SACo and Seed-SAV were successfully synthesized through changing appropriate metal salts. Furthermore, they were
uniformly mixed with GO separately, followed by annealing treatments prior to the final single atom samples. GO hinders the aggregation of Seed-SAMe into nanoparticles and provides abundant sites, for the seed landing on the surface and then strongly bonded with GO. Benefiting from advantages of the single atom in the aspects of absorption, catalysis, and diffusion, the SAMe@NG based electrodes show great promise in Li-S batteries during charge-discharge processes in Figure 7.3a. Generally, due to weak interaction between graphene and LiPSs along with a slow conversion process, the shuttle effects cannot be refrained and dead Li$_2$S agglomerates during the cycling (Figure 7.3b), resulting in a rapid capacity fading and low sulfur utilization. On the contrary, the multifunctional SACs can integrate the advantages of strong chemical adsorption of LiPSs, facilitated conversion among sulfur/lithium polysulfides/Li$_2$S, and controllable Li$_2$S deposition sites (Figure 7.3c), which promote the realization of high capacity, fast kinetics and long-life Li-S batteries.

**Figure 7.4** Structural characterizations of SAV@NG. (a), SEM image of SAV@NG, inset
image: 1.05 g SAV@NG. (b), TEM image and (c), High-angle annular dark-field (HAADF)-STEM image of SAV@NG. (d), HAADF image and corresponding EDS mappings of SAV@NG. (e), (f), AC-STEM-ADF images of SAV@NG. (g), NEXAFS N K-edge of g-C₃N₄, NG, Seed-SAV and SAV@NG. (h), Vanadium K-edge X-ray absorption near edge structure (XANES) spectra of SAV@NG, V foil, VOPc, VO₂, and V₂O₅. (i), Fourier transform of vanadium K-edge EXAFS spectra of SAV@NG, V foil, VOPc, VO₂, and V₂O₅.

The SAV@NG and SACo@NG were prepared and scaled up as shown in the inset of Figure 7.4a, allowing for significant commercial potential. The mass loading of vanadium and cobalt of SAV@NG and SACo@NG prepared was characterized by Thermogravimetric Analysis (TGA) and further confirmed by ICP-OES with values of around 4.3 wt% and 3.9 wt%, respectively (Figures 7.5a, b).

![Figure 7.5 TG curves of (a) SAV@NG, (b) SACo@NG, and (c) NG.](image)

The oxidation resistance temperature of NG, SACo@NG, and SAV@NG are 558 °C, 500 °C, and 450 °C, respectively, and the reduced temperature indicates the catalytic effect of single atoms on the decomposition of NG in Figure 7.6a, b. Scanning electron microscopy (SEM) and transmission electron microscopy (TEM) images of SAV@NG and SACo@NG demonstrate curved graphene without nanoparticles observed on the surface (Figures 7.4a, b and Figures 7.6a, b).
Figure 7.6 (a) SEM image of SACo@NG. (b) TEM image and (c) High-angle annular dark-field (HAADF)-STEM image of SACo@NG. (d) HAADF image and corresponding EDS mappings of SACo@NG. (e) HRTEM image and (f) AC-STEM-ADF image of SACo@NG.

SEM and TEM images of SACo@NG indicate the smooth morphology without the presence of nanoclusters (Figures 7.6a and b). Furthermore, EDS elemental mapping demonstrates that the C, N, Co elements are uniformly distributed throughout the SACo@NG (Figures 7.6c and d). HRTEM further indicates that there is no Co-derived nanoparticles or clusters detected on the surface (Figure 7.6e). AC-STEM-ADF image confirms individual Co atoms randomly dispersed on the graphene substrate (Figure 7.6f).

Figure 7.7 (a) XRD patterns and (b) Raman spectra of GO, G, NG, SACo@NG, and SAV@NG.

X-ray diffraction (XRD) patterns further confirm that there is no metallic vanadium or oxide
nanoparticles detectable in the products (Figure 7.7a), consistent with the TEM observations. Raman spectra indicate similar ratio of D and G band, which means the amorphous carbon derived from polyvinylpyrrolid (PVP) and poly ethyleneimine (PEI) does not change the basic \( sp^2 \) configuration of graphene (Figure 7.7b). Furthermore, the energy dispersive spectrum (EDS) elemental mapping images indicated that the C, N, V elements are uniformly distributed throughout the structure (Figure 7.5c, d). Isolated single atoms were atomically dispersed on the substrate as shown by the aberration-corrected scanning transmission electron microscopy annular dark field (AC-STEM-ADF) images (Figure 7.4e and f).

In order to understand the chemical environment of SAV@NG and SACo@NG, low energy X-ray photoelectron spectroscopy (XPS) and high energy X-ray absorption spectroscopy (XAS) were used to analyze the carbon, nitrogen and vanadium oxidation states (see more detail in Supplementary information). The nitrogen K-edge spectra reveal four characteristic resonances occurring at around 399.2, 400.4, 401.4 and 402.2 eV (Figure 7.4g), corresponding to aromatic C–N–C coordination in one tri-s-triazine heteroring (N1), terminal C–N–H (N2) bond, graphitic three-fold nitrogen atom N–3C (N3), and \( sp^3 \) N–3C bridging among the three tri-s-triazine moieties (N4), respectively[38]. By comparing with pure g-C\(_3\)N\(_4\) of 399.5 eV, the peak of Seed-SAV shifts to lower energy of 399.0 eV, due to the introduction of V atoms in the g-C\(_3\)N\(_4\) structure. The N near edge X-ray absorption fine structure (NEXAFS) indicates Seed-SAV largely maintaining the g-C\(_3\)N\(_4\) structure (Figure 7.4g). In comparison, the SAV@NG and NG show an obvious peak at 398.4 eV, which can be assigned to the pyridinic N[39], and consistent with the XPS results (Figure 7.8).
The whole and fine spectrum of XPS confirm the presence of V and Co in SAV@NG and SACo@NG, respectively (Figure 7.8 a-f). Nitrogen atoms are present in the form of pyridinic, pyrrolic, graphitic, and oxidized N groups [40], as indicated in the high-resolution N 1s XPS spectra of SAV@NG, SACo@NG and NG. It is interesting to note that the strongest N 1s peak corresponds to pyridinic N (Figures 7.8 b and e), indicating that Co and V atoms in the SACo@NG and SAV@NG are primarily bound to pyridinic N. The V 2p spectra was fitted assuming a single doublet for the V 2p$_{3/2}$ and V 2p$_{1/2}$ components, bringing about a spin–orbit splitting of 7.2 eV (Figure 7.8c). Satellite lines due to the multiple structure can be described by one single broad peak at around 520.2 eV, which is closed to the V$^{4+}$ position in the VOPc [41]. The Co 2p spectrum reveals that all the Co species in the presence of Co$^{2+}$ around 780.4 eV other than metallic Co (0), [42] further confirming the isolated coordination configuration in SACo@NG.

In the Seed-SAV, the V form is predominant by V-N and slight low part of V-O, which may be due to the massive N protection in g-C$_3$N$_4$. Different from the rigorous inversion symmetry in VO, V$_2$O$_5$ and V metal, the pre-edge of SAV@NG shifts to higher energy, suggesting that the coordination symmetry is more distorted (Figure 7.4h) [43]. Turning to XAS, the extended X-ray absorption fine structure (EXAFS) (Figure 7.4i) profiles indicate the local atomic structure in
SAV@NG. The V in SAV@NG shows a lack of V-V bonding (2.24 Å) and is instead dominated by light element bonding, such as V-N (1.30 Å) and V-O (1.56 Å). SAV@NG shows similar structure with that of V in vanadium (IV) oxide phthalocyanine (VOPc), coordinating with two nitrogen atoms and one oxygen. The SAV@NG is dominated by V-N bonding with a smaller V-O contribution and consistent with XPS results (Figure 7.8c). Similarly, the detailed results in Figure 7.8f reveal that the Co in SACo@NG is also in the form of single atoms.

**Figure 7.9** Polysulfide adsorption capability and structural characterizations of S-SAV@NG. (a), UV–vis spectra of the Li$_2$S$_6$ solution after exposure to graphene (G), NG, SACo@NG and SAV@NG and the inserted digital image of Li$_2$S$_6$ absorption test in 1,2-dimethoxyethane and 1,3-dioxolane solution (DOL/DME, 1:1 ratio, by volume). (b), Nitrogen adsorption–desorption isotherms of graphene, NG, SACo@NG, and SAV@NG. (c), SEM image of S-SAV@NG. (d), TEM and (e), HRTEM images of S-SAV@NG. (f), EDS spectroscopy of the red plotted area in
(g). EDS mappings of S-SAV@NG. (h), Fourier transform of vanadium K-edge EXAFS spectra of S-SAV@NG and V$_2$S$_3$. (i), XRD patterns of pure S, S-G, S-NG, S-SACo@NG, and S-SAV@NG. (j), TGA curves of pure S, S-G, S-NG, S-SACo@NG, and S-SAV@NG.

To understand the LiPSs adsorption capability of these materials, Ultraviolet–visible (UV–vis) absorption spectroscopy was used to compare the concentration change of Li$_2$S$_6$ solution after adding graphene, NG, SACo@NG and SA V@NG (Figure 7.9). The characteristic UV–vis peaks of the polysulfide solution located at 260, 280, 300 and 340 nm are assigned to the S$_6^{2−}$ species.[40, 44] After the absorption for 1.5 h, it can be obviously observed that the absorption characteristic peaks of Li$_2$S$_6$ decrease for both graphene and NG, and almost disappear for SACo@NG and SA V@NG (inset of Figure 7.9a), which confirms better absorption capability for S$_6^{2−}$ in SACo@NG and SA V@NG. Nitrogen adsorption/desorption isotherms were used to obtain the information of porous structure and surface area of the graphene, NG, SACo@NG and SA V@NG samples (Figure 7.9b), and the results indicate that there is a decrease in specific surface area of SACo@NG (841.8 m$^2$ g$^{-1}$) and SA V@NG (781.9 m$^2$ g$^{-1}$) compared with NG with a value of 863.5 m$^2$ g$^{-1}$, which may be attributed to the added metal that dilutes the value of surface area.
Figure 7.10 (a) C K-edge and (b) N K-edge of NEXAFS for SACo@NG, Seed-SACo, NG, and g-C$_3$N$_4$. (c) Fine Co L-edge of XANES for SACo@NG, Co foil, CoPc, CoO, and Co$_3$O$_4$. (d) Fourier transform of Co K-edge EXAFS spectra of SACo@NG, Co foil, CoPc, CoO, and Co$_3$O$_4$.

The C K-edge and N K-edge results of SACo@NG are similar to the NG and SAV@NG (Figures 7.10a and b). The pre-edge XANES of Co in SACo@NG is close to that for CoPc rather than the Co foil (Figure 7.10c). As shown in the Fourier transforms of Co K-edge EXAFS, the peak at 1.42 Å in SACo@NG, corresponding to Co−N/C scattering paths, which is different from the peaks at 1.59 and 2.33 Å that corresponding to Co−O and Co−Co (Figure 7.10d), respectively. Comparing this with the spectra of Co$_3$O$_4$, CoO and Co foil references, no Co−O and Co−Co scattering paths are detected in SACo@NG, revealing the presence of the Co−N local structure in the sample.

The strong chemical adsorption of SAC with LiPSs and large surface area of the composite are beneficial for constructing high performance sulfur electrodes in Li-S batteries. Therefore, four electrodes were produced through sulfur infiltration methods. Sulfur is uniformly dispersed on the surface of SAV@NG (S-SAV@NG, Figure7.9) and SACo@NG without obvious sulfur particle aggregates, which are different from S-G and S-NG.
Figure 7.11 (a) SEM image of S-SACo@NG. (b) TEM image and (c) HAADF-STEM image of S-SACo@NG. (d) EDS mappings of S-SACo@NG. (e) EDS spectra of S-SACo@NG. (f, g) HRTEM images of S-SACo@NG.

Sulfur uniformly disperses on the surface of SACo@NG (Figure 7.11a) without obvious sulfur particles. Furthermore, the TEM and corresponding HAADF images (Figures 7.11b and c) confirm the absence of obvious sulfur clusters. The uniform elemental distribution of C, N, Co, S reveals the good sulfur dispersion on SACo@NG (Figure 7.11d). The strong signals of 2.30 and 7.92 eV are attributed to the Kα of S and Co (Figure 7.11e). The HRTEM images (Figures 7.11f, g) confirm there are no sulfur particles, demonstrating good dispersion of sulfur on the S-SACo@NG.

In addition, HRTEM images in Figure 7.9e, e also confirms the absence of obvious sulfur clusters. The EDS signals at 2.30 and 4.95 KeV in Figure 7.10f indicate the presence of S and V in the S-SAV@NG. The uniform elemental distribution of C, N, V, and S reveals the good sulfur dispersion on the SAV@NG (Figure 7.9g). In addition, the EXAFS of S-SAV@NG and V_{2}S_{3} (Figure 7.9h) were conducted to analyze the V state after sulfur infiltration. The first shell of V-S in V_{2}S_{3} is very close to V-O around 1.53 Å, with a second shell of about 2.02 Å. It proves that the dextral bonding
near to V-S formed in the S-SAV@NG (Figure 7.9h). XRD patterns of S-G, S-NG, S-SACo@NG, S-SAV@NG and pristine sulfur exhibit similar characteristic diffraction peaks (Figure 7.10i), which can be indexed as a typical crystal structure of orthorhombic sulfur ((JCPDS) No. 08-0247). TGA is used to determine the sulfur content in the composite and the results indicate a high loading of sulfur in the above-mentioned samples with values up to 80 wt% (Figure 7.9j). All of these characteristics show great potential for applying single atom seeded graphene in Li-S batteries towards desirable electrochemical performance.

**Figure 7.12** Electrochemical performance and mechanism understanding. (a), Charge–discharge
voltage profiles of S-SAV@NG electrode at current rates of 0.2, 0.3, 0.5, 1.0, 2.0, and 3.0 C. (b), Rate performance of the S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes. (c), Comparison of the potential difference between the charge and discharge plateaus at different current densities for the S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes. (d) and (e), High and low plateau capacity at different current densities for the S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes. (f), CV curves of symmetric cells with the S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes from −0.8 to 0.8 V. (g), Cycling performance and Coulombic efficiency of the S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes at 0.2 C for 100 cycles. (h), Long-term cycling stability of the S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes at 0.5 C for 400 cycles. (i), Energy profiles for the reduction of polysulfides on graphene, NG, SACo@NG and SAV@NG.

To substantiate the effective S/LiPSs/Li2S catalysis conversion by single atoms in improving the performance of Li-S batteries, a series of electrochemical measurements of S-G, S-NG, S-SACo@NG and S-SAV@NG electrodes were conducted. From the charge/discharge profiles of the S-SAV@NG electrode (Figure 7.12), it is clearly observed that two discharge/charge plateaus are well-retained even at a high rate of 3 C, indicating the excellent reaction kinetics. The S-SAV@NG electrode has an obvious higher discharge plateau at ~2.32 V (reduction of sulfur to long-chain LiPSs) and a longer plateau at ~2.10 V (formation of short-chain LiPSs) with corresponding charge plateaus between 2.20 and 2.40 V (transformation from Li2S2/Li2S to long-chain LiPSs and then to sulfur)[45]. The efficient catalysis conversion of SAV enables the battery to deliver the highest capacity of 1230 mAh g⁻¹ at 0.2 C rate, and the reversible discharge capacity could reach 645 mAh g⁻¹ at a high current density of 3 C rate (Figure 7.13b). When the current rate was abruptly changed back to 0.5 C rate again, the electrode was able to recover to the original capacity, indicating the robustness and stable structure of the S-SAV@NG electrode.

![Figure 7.13](image) Galvanostatic charge–discharge curves of (a) S-G, (b) S-NG, and (c) S-SACo@NG electrodes at different rates.
Likewise, the S-SACo@NG electrode also exhibits good rate performance with well-defined charge/discharge plateaus, much better than S-G and S-NG electrodes with large polarization especially at high rates (Figure 7.13 a-c). The polarization for the S-SAV@NG and S-SACo@NG electrodes is much lower compared to the S-G and S-NG electrodes at different current densities, e.g. the overpotential of S-SAV@NG and S-SACo@NG at 3.0 C is 380 and 460 mV respectively, much lower than that of S-NG (710 mV) and S-G (1060 mV) electrodes, demonstrating better redox reaction kinetics and good reversibility of single atom seeded sulfur electrodes (Figure 7.12c). Moreover, the plateaus of the S-SAV@NG electrode are long and flat and are well-retained from 0.3 to 3 C rates between the charge/discharge processes. When comparing the capacity contribution between high and low plateau capacity at different current densities, it is worth noting that the S-SAV@NG has a larger capacity contribution from high and low plateau range especially at high current densities compared with the S-NG and S-SACo@NG electrodes (Figure 7.12d, e). In sharp contrast, the capacity in high plateau of S-G electrode is only about one third of SAV@NG electrode at 3 C. Cyclic voltammetry (CV) tests in symmetric cells using an electrolyte containing 0.5 mol L$^{-1}$ Li$_2$S$_6$ and 1 mol L$^{-1}$ LiTFSI dissolved in DOL/DME (v/v = 1/1) were carried out to study the catalytic activity of G, NG, SACo@NG and SAV@NG electrodes within a potential window from −0.8 to 0.8 V (Figure 7.12f). The SAV@NG and SACo@NG electrodes exhibit higher current under identical test conditions, indicating rapid polysulfide redox conversion reactions of polysulfides on the electrolyte/electrode surface compared to the other electrodes. The accelerated polysulfide redox reaction kinetics can be ascribed to the catalytic activity of SAV and SACo seeded on the graphene promoting the polysulfide conversion.

The cycling performance of these electrodes was measured at 0.2 C between 1.5 and 2.8 V for 100 cycles, as shown in Figure 7.12g. The S-SACo@NG and S-SAV@NG electrodes exhibit good cycling stability with nearly 100% Coulombic efficiency and reversible specific capacities of 675 and 770 mAh g$^{-1}$ after 100 cycles, much higher than those of the S-G and S-NG with values of 388 and 560 mAh g$^{-1}$, demonstrating the effectiveness of the single atom structural design in confining sulfur species through a combined physical and chemical interaction. In addition, the long-term cyclic test at 0.5 C rate was carried out and the initial specific capacity is 780 and 749 mAh g$^{-1}$ for S-SAV@NG and S-SACo@NG electrodes, respectively, and they stabilized at ~551 and 513 mAh g$^{-1}$ after 400 cycles, respectively (Figure 7.12h).
Figure 7.14 (a) Galvanostatic charge–discharge curves of S-SAV@NG electrode at different current densities. (b) Rate capability of S-SAV@NG electrode at 5.0 mg cm$^{-2}$. (c) Cycling stability and Coulombic efficiency of S-SAV@NG electrode at 0.5 C for 200 cycles.

The capacity decay is 0.073% and 0.079% per cycle for the S-SAV@NG and S-SACo@NG electrodes, much better than those of S-NG and S-G electrodes with decay rates of 0.101% and 0.165% per cycle, respectively. To further satisfy the requirements of high-energy batteries, the active sulfur loading of S-SAV@NG was increased to 5 mg cm$^{-2}$. It is obviously observed that the discharging/charging plateaus are still well-retained even at a high rate of 2.0 C (Figure 7.14a), demonstrating that the reaction kinetic is not influenced by high sulfur loading. The single atom active site catalyst enables the battery to deliver a high initial capacity of 1143 mAh g$^{-1}$ at 0.2 C, and 701, 580 and 430 mAh g$^{-1}$ at higher cycling rates of 0.5, 1.0, and 2.0 C, respectively (Figure 7.14b). In addition, a long-term cyclic test at 0.5 C rate was conducted and the initial specific capacity is 645 mAh g$^{-1}$, which stabilizes at ~485 mAh g$^{-1}$ after 200 cycles (Figure 7.14c). The significantly improved battery performance can be attributed to the merits of single atom seeded electrode configuration design including: (i) the single atom V effectively traps the dissolved LiPSs and provides more active sites for Li$_2$S deposition; (ii) the graphene substrate can
improve conductivity of the electrode and accommodate the volume change of the active sulfur and intermediate materials during cycling; (iii) the efficient catalytic conversion of Li$_2$S/LiPSs accelerates the reaction kinetics and prevents the loss of active material, thus realizing a high capacity, fast charging, and long cycling life Li-S battery. To attain an in-depth understanding of the reasons for the improved discharge reaction kinetics of the S-SACo@NG and S-SAV@NG cathodes, the overall reactions based on the reversible formation of Li$_2$S from S$_8$ and Li bulk were considered as shown in Figure 7.12i. The Gibbs free energies for all of the reaction steps were calculated and the evolution profile from S$_8$ to Li$_2$S species on all the substrates are exhibited.

![Diagram](image)

**Figure 7.15** Detailed adsorption atomic configuration for the polysulfides on the G, NG, and SACo@NG.

The detailed optimized structures of the intermediates on the SAV@NG substrate were shown in the inset, while other atomic configurations can be seen in Figure 7.15. It can be seen that the reduction step of S$_8$ to the Li$_2$S$_8$ shows a spontaneous exothermic reaction on all the substrates. The following four reduction steps, from Li$_2$S$_6$ to Li$_2$S, were an endothermic reaction, while the last two steps from Li$_2$S$_4$ to Li$_2$S$_2$ and Li$_2$S$_2$ to Li$_2$S exhibit the big positive Gibbs energy barrier comparing to other steps. The rate-limiting step in the graphene is the step from Li$_2$S$_4$ to Li$_2$S$_2$ with the value of 1.07 eV, but the rate-limiting step for the NG, SACo@NG and SAV@NG is the step from Li$_2$S$_2$ to the Li$_2$S. Considering the low positive Gibbs energy barrier of rate-limiting step on SACo@NG (0.72 eV) and SAV@NG (0.84 eV), the reduction process of sulfur is more convenient on these substrates during discharging and thus improves the electrochemical performance of Li-S batteries.
7.4 Conclusion
In summary, we have demonstrated a well-characterized and customizable method to synthesize SACs supported on graphene via a seeding strategy with scalable amount, controllable loading, and adjustable components. Based on the guidance of theoretical simulations, vanadium atom catalysts were chosen and prepared for high-performance Li-S batteries. The great improvement in the battery capacity, kinetics, and cycling life confirms the merits of SACs. The single vanadium active catalysis sites facilitate both the formation and decomposition of solid Li$_2$S in discharging and charging processes, which guarantee a high utilization of sulfur species. The precise synthesis of commercial quantities of SACs directly guided by theoretical calculations and our seeding fabrication approach provide tremendous opportunities for developing high energy/power density and long-life rechargeable batteries.

7.5 References


Chapter 8: Controlled synthesis of nickel single atoms embedded in carbon nanotube and graphene supports

Abstract
Single atom catalysts (SACs) have attracted much attentions due to the advantages of high catalysis efficiency and selectivity. However, the controllable, scalable and efficient synthesis of SACs remains a significant challenge. Herein, we report two feasible closely associated approaches to synthesize nickel single atoms on nitrogen doped carbon nanotube (NiSA-N-CNT) and nitrogen-doped graphene (NiSA-N-G). The formation of NiSA-N-CNT is due to the solid-to-solid rolling up mechanism during the high temperature pyrolysis from the stacked and layered g-C3N4-Ni structure to a bamboo-shaped tubular NiSA-N-CNT structure. Addition of citric acid interrupts the solid-to-solid rolling process, and result in NiSA-N-G. As for the synthesis of NiSA-N-G, the defective carbon anchoring mechanism was proposed to synthesize the single nickel atom on graphene. Finally, the CO2 reduction reaction performance also have been compared for the two structures, which reveal that more exposed active sites owned in graphene structure achieves higher catalytic performance. The novel synthesis approaches and mechanism provides new understanding of single atom metal catalyst.

8.1. Introduction
Single atom catalysts (SACs) consist of individual atoms dispersed on and/or coordinated with the surface atoms of an appropriate support with high catalytic activity, selectivity and high atomic efficiency, and have been attracting increasing attention in recent years[1-6]. Because the single atoms are highly active and tend to form aggregates to reduce the Gibbs free energy, the atomic loading is generally very low, less than 3 wt%[1, 7]. Thus the development of facile and scalable synthesis method for the high SAC loading is critical for the practical application of SACs[8, 9]. The synthesized SACs should have a high loading and efficiently high active sites as the SACs embedded within the carbon supports may not be active for the electrocatalytic processes[10, 11]. To this end, many efforts have been explored to the synthesis of SACs with various approaches.
Due to the feasible preparation associated with the impregnation method, metal oxides,[12, 13] and metal or carbon nitride [14, 15] have been extensively studied as potential supports to achieve SACs. However, the large mass ratio and limited surface area of the support results in a restrained loading of SACs to generally less than 2-3 wt.%. Physical methods including atomic layer deposition (ALD) were applied to synthesize SACs[16, 17]. However, agglomeration of the single atom nanoclusters and particles is almost inevitable with loading lower than 2wt%. The theoretical calculation confirms that the nitrogen coordination to form the Me-pyridine/pyrrole-N4 is one powerful way to stabilize SACs. The relative research works have been reported in the series of carbon materials including carbon nanotubes(CNTs),[18-20] graphene,[7, 21-23] g-C₃N₄,[24] porous carbon,[25, 26] carbon fibers,[27] carbon spheres,[28] as well as MOF derived porous carbon[29-31]. The challenges still remain to control the synthesis process and to increase the SAC loading significantly. It is vital to open up possibilities to selectively design characteristic active sites for electrocatalysis and catalysis applications[32].

Most recently, we developed a facile one-pot pyrolysis method to synthesize carbon nanotube supported Ni SACs (NiSA-N-CNT) with loading as high as 20.3wt%[33]. The formation of NiSA-N-CNT is most likely through a rolling-up mechanism of the stacked and layered Ni single atom embedded g-C₃N₄ (g-C₃N₄-Ni) sheets to NiSA-N-CNT bamboo-shaped tubular structure activated by the high kinetic energy of embedded Ni single atoms[11]. The as-synthesized NiSA-N-CNT shows a high activity and selectivity for electrochemical CO₂ reduction (CO₂RR) to CO with depressed activity for H₂ evolution reaction (HER). However, we also found that the Ni SACs embedded with the inner tubes of CNTs are not active for the CO₂RR due to the shielding effect of the outer walls of CNTs. To avoid the embedded Ni SAC in CNTs, we introduce defective carbon species to restrain the solid-to-solid rolling-up process of g-C₃N₄-Ni sheets into CNTs structure. Instead, Ni single atoms embedded in graphene, NiSA-N-G is formed. The results indicate that NiSA-N-G has a lower Ni SAC loading but higher catalytic performance for CO₂RR, evidently due to the highly exposed active sites as compared with the Ni SACs enclosed CNTs. The current study demonstrates a facile and controllable synthesis method for SACs, an important aspect in the practical application of SACs.
8.2. Experimental section

8.2.1 Chemicals and synthesis of g-C$_3$N$_4$

Urea (CON$_2$H$_4$, Sigma Aldrich), nickel (II) acetylacetonate (Ni(acac)$_2$, Sigma Aldrich), KHCO$_3$, (Ni(acac)$_2$, Sigma Aldrich), citric Acid (C$_6$H$_8$O$_7$, Sigma Aldrich), nickel phthalocyanine (NiPc, Sigma Aldrich), CNTs (multi-walled CNTs, Shenzhen Nanotech Port Co. Ltd) were purchased and used without further treatment. The g-C$_3$N$_4$ was synthesized according to method reported[34]. Urea 100g was heated at 600 °C (ramp rate, 5 °C min$^{-1}$) for 2 h in a covered alumina crucible placed inside muffle furnace, forming g-C$_3$N$_4$.

8.2.2 Synthesis of CNT and G supported Ni SACs

Ni doped CNT (Ni-CNT) was synthesized through our previously reported microwave assistant method[35]. Briefly, CNTs was functionalized with PEI. Subsequently, PEI functionalized CNTs (100 mg) was ultrasonicated and dispersed in 100 mL ethylene glycol solution for 1 h, followed by the addition of 50 mg Ni(acac)$_2$. The dispersion was ultrasonicated for 20 min and then stirred for 1 h before being placed in a lab-use microwave oven (1000 W) in the fume cupboard and continuously heated for 6 min, followed by stirring for 10 h. The solution was then filtered using the membrane film and washed using the ethanol for 5 times. Thermally reduced graphene oxide (G) was prepared according to the reported methods previously[36]. The synthesis of Ni-G also follows the above procedure. The final Ni loading of Ni-CNT and Ni-G was 10 wt% and 7 wt%, assessed by TGA.

Ni(acac)$_2$ was dissolved into ethanol and acetone (with volume ratio of 4:1) solution, which was dropped into g-C$_3$N$_4$ and grinding to complete drying. The yellowish powder was heated to 660 °C at a ramp rate of 7 °C per min and kept for 1 h under argon Ar at a flow rate of 50 mL min$^{-1}$. After cooling down to room temperature, the product was successively leached at 70 °C in 2 M HCl for 12 h to remove nickel cluster species. The intermediate product was denoted as g-C$_3$N$_4$-Ni after drying for 10 h at 80 °C. The powder was heated again at 800 °C in Ar for 1 h at a ramp rate of 10 °C per min. During the annealing process, there is amount of gas and substance decomposed from g-C$_3$N$_4$-Ni. Therefore, the sample should be put into one inner tube to prevent the gas circuit blocking. The final product was denoted as NiSA-N-CNT.
Figure 8.1 The synthesis procedure steps of NiSA-N-CNT and NiSA-N-G.

The Ni(acac)_2 was resolved into citric acid, ethanol and acetone solution (with Ni and citric acid molar ratio 1:10, 1:50, 1:100, 1:200), which was then dropped into g-C_3N_4 and grinding to complete drying. The mixture was annealing at 660 °C for 1 h and followed by washing via HCl for 12h. The as-annealed intermediate product after drying at 80 °C for 24 h was denoted as g-C_3N_4-Ni-C. After dying at 80 °C, the powder was heated again at 800 °C in Ar for 1 h at a ramp rate of 10 °C per min. The final product was denoted as NiSA-N-G. The synthesis procedure is shown in Fig 8.1.

8.2.3 Structure characterization

X-Ray Diffraction (XRD) data was collected with a Bruker D8 Advance diffractometer operated at 40 kV and 40 mA with Cu Kα (λ = 1.5406 Å) in the range of 10-80°. The specific surface area was calculated by the Brunauer–Emmett–Teller (BET) method. The inductively coupled plasma atomic emission spectroscopy (TJA RADIAL IRIS 1000, ICP-AES) was used to determine the mass content of Ni metal. Nitrogen adsorption/desorption characteristics were determined using a Micromeritics ASAP 2020 instrument at 77 K. The square resistance of the NiSA-N-CNT and NiSA-N-G was measured by a standard four-point-probe resistivity measurement system (RTS-9, Guangzhou, China). Before tests, the NiSA-N-CNT and NiSA-N-G were dispersed and filtered into film with mass of 0.1mg/cm^2. Five measurements were carried out at different positions on each sample, and the average resistivity value was calculated. Microstructure and morphology were obtained using scanning electron microscopy (SEM, Zeiss Neon 40 EsB) and high-resolution
transmission electron microscopy (HRTEM, FEI Titan G2 80-200 TEM/STEM). High angle annular dark field scanning transmission electron microscopy (HAADF-STEM) imaging and element mapping were performed through ChemiSTEM Technology operating at 200 kV. The NiSA-N-CNT and NiSA-N-G were dispersed and deposited onto TEM sample grids using a high purity anhydrous ethanol solution. High-resolution aberration-corrected scanning transmission electron microscopy annular dark field images (AC-STEM-ADF) and annular bright field images (AC-STEM-ABF) were carried out by a Nion UltraSTEM100 microscope operating at 60 kV at a beam current of 60 pA. The recorded images were filtered through a Gaussian function (full width half maximum = 0.12 nm) to reduce high frequency noise. The convergence half angle of the electron beam was set to 30 mrad and the inner collection half angle of the ADF images was 51 mrad. The samples were dried at 160 °C overnight before performing STEM. XPS patterns were conducted using a Kratos AXIS Ultra DLD system with monochromated Al Kα X-rays (1486.7 eV) operating at 225 W. The vacuum pressure of 1 x 10⁻⁹ mbar or better was kept throughout the experiment. The high-resolution spectra were collected with a pass energy of 160 eV for survey and 40 eV. The spectra were analyzed via CasaXPS software and further calibrated by shifting the main peak in the C 1s spectrum to 284.5 eV associated with SP2 carbon. Element loading of C, N, O, H was obtained by the elemental analyzer (Elementar, vario MICRO cube) at 950 °C. Raman patterns tests were performed using one alpha300 RA Correlative Raman-AFM Microscope with a 532 nm He–Ne laser. The spectrum represents the average of 20 scans. Near edge x-ray absorption structure (NEXAFS) spectroscopy measurements were performed at the Soft X-ray beamline of the Australian Synchrotron[37].These measurements were conducted under ultra-high vacuum (UHV) conditions with a base pressure of 5 x 10⁻¹⁰ mbar or better. All spectra were obtained in partial electron yield (TEY) mode. All NEXAFS spectra were analyzed and normalized using the QANT software program developed at the Australian Synchrotron[38].X-ray absorption spectroscopy (XAS) experiments were carried out at the XAS Beamline (12ID) at the Australian Synchrotron. With the beamline optics employed (Si-coated collimating mirror and Rh-coated focusing mirror) the harmonic content of the incident X-ray beam was negligible. The samples were pressed into pellets via mechanical grinding with cellulose binder through a mortar/pestle for about 30 mins. Both fluorescence and transmission spectra were recorded based on the concentration of Ni in each sample. All XAS data were processed through the Athena software.
8.2.4 **Computational methods**

In this work, the density functional theory (DFT) calculations were performed by using the Vienna Ab-initio Simulation Package with the projector augmented wave[39] to describe the electron-ion interaction. The Perdew-Burke-Ernzerhof functional was used for the exchange-correlation term. The plane-wave cutoff was set to be 400 eV. A $2\times2\times1$ g-C$_3$N$_4$ and a $6\times6\times1$ graphene supercell with the vacuum thickness of 15 Å were used to calculate the binding energy of metal atom in the g-C$_3$N$_4$ and N-doped graphene, respectively. The Monkhorst-Pack (3×3×1) k-point was used to sample the Brillouin zone.

8.2.5 **Electrochemical measurements**

Electrochemical CO$_2$ reduction reaction (CO$_2$RR) experiments of NiSA-N-CNT and NiSA-N-G catalysts were performed in N$_2$ and CO$_2$ saturated 0.5 M KHCO$_3$ solution using linear scan voltammetry (LSV). The electrolyte was purified by electrolysis between two graphite rods at -0.15 mA for 24 h under CO$_2$ flow to remove any metal residual. LSV test was conducted with the catalysts loading of 0.2 mg cm$^{-2}$. The electrodes for CO$_2$RR were made via casting the catalysts-ethanol-Nafion solution (5 mg mL$^{-1}$ catalysts, 1% Nafion) on carbon paper (1 cm$^2$, Toray, Japan) with a gas diffusion layer and loading of 0.5 mg cm$^{-2}$. The CO$_2$RR was carried out in one gas tight electrochemical quartz cell under a series of potentials for 2 h (versus RHE). The final product was collected in the outlet with a gas bag for double channel gas chromatography (Shimadzu, GC-2014) analysis.

The rate of product yield is based on the average rate in 2 h. The Faradaic efficiency of CO production is calculated based on [40]:

\[
FE\% = \frac{Q_{CO}}{Q_{tot}} \times 100
\]

(1)

And the $j_{CO}$ is calculated by

\[
\frac{Q_{tot}}{At} \times 1000
\]

(2)

\[
j_{CO} = FE\% \times j_{tot}
\]

(3)

where $Q_{CO}$ is the total number of electrons for CO production in the range of 2 hours, $Q_{tot}$ the total number of electrons pass the electrode in 2 hours. $j_{tot}$ (mA cm$^{-2}$) is the total average current density pass the electrode in 2 hours, $A$ is the area of the electrode (1 cm$^2$), and $j_{CO}$ (mA cm$^{-2}$) is the current density contribute to the CO$_2$-to-CO reduction, and $t$ (s) is sampling time.
The turn over frequency (TOF, mol CO mol\(^{-1}\) Ni h\(^{-1}\)) was calculated based on:[41]

\[
TOF = \frac{Mj_{CO}}{NFm\omega}
\]  

\(j_{CO}\) is partial current for CO,
\(N\): the number of electrons transferred for product formation, which is 2 for CO,
\(F\): Faradaic constant, 96485 C mol\(^{-1}\),
\(m\): catalyst mass in the electrode, g,
\(\omega\): Ni single atom loading in the catalyst, g,
\(M\): atomic mass of Ni, 58.69 g mol\(^{-1}\).

### 8.3. Results and discussion

#### 8.3.1. Microstructure of NiSA-N-CNT and NiSA-N-G

Figure 8.2 shows the microstructure of g-C\(_3\)N\(_4\) prepared from urea and heated at 600 °C. The morphology of g-C\(_3\)N\(_4\) synthesized in 600 °C was characterized by irregular structure (stacked and layered structure). The size of g-C\(_3\)N\(_4\) was around 2 μm. The morphology of g-C\(_3\)N\(_4\) is consistent with that reported in the literature[42].

![Figure 8.2](image)

**Figure 8.2** a) SEM and b) TEM micrographs of g-C\(_3\)N\(_4\), synthesized from urea and heated at 600 °C.

Pristine g-C\(_3\)N\(_4\) is not stable at high temperatures and will be decomposed completely at 660 °C[11]. However, with the introduction of Ni(acac)\(_2\) (the process A, Figure1), the microstructure of g-C\(_3\)N\(_4\) remains intact after the heat treatment at 660°C, forming g-C\(_3\)N\(_4\)-Ni. With further annealing at 800 °C in Ar for 1 h, NiSA-N-CNt was obtained. Figure 8.3 shows microstructure and element distribution of g-C\(_3\)N\(_4\)-Ni before and after annealing at 800 °C in Ar. g-C\(_3\)N\(_4\)-Ni is characterized
by irregular and layered nanosheets (Figure 8.3A). This indicates the presence of Ni stabilizes the g-C₃N₄ structure at high temperatures, consistent with previous study[11, 33]. The EDS results show the uniform distribution of Ni, N on the surface of g-C₃N₄ (Figure 8.3Ad). The high resolution TEM image confirmed there is no cluster or nanoparticles present in the structure after the HCl washing treatment (Figure 8.3Ae). Isolated single atoms were atomically dispersed on the substrate as shown by the AC-STEM image (Figure 8.3Af). This indicates the formation and uniform distribution of Ni single atoms in the layered g-C₃N₄ nanosheets.

After annealing at 800 °C in Ar, the layered nanosheets disappear and bamboo-like CNTs were obtained (Figure 8.3B). The TEM confirms the formation of multi-walled CNTs with number of walls of 8 shown in Figure 8.3Be. The diameter of CNTs is 20-60 nm and the length of the individual section of the bamboo-like CNTs is 5-10 μm. The EDS mapping results confirm the uniform dispersion of Ni and N on the CNTs structure (Figure 8.3Bd). The AC-STEM images further indicate the presence of Ni single atoms and uniformly dispersed in the CNTs structure (Figure 8.3Bf). The density of distributed Ni single atoms appears very high, indicating the high loading of the NiSA-N-CNT, consistent with previous study[33]. The transformation from stacked g-C₃N₄-Ni layered structure to Ni single atoms embedded CNTs occurs via the solid-to-solid rolling-up mechanism, activated by the kinetically active Ni single atoms embedded, as explained in our early papers[11].
Figure 8.3 Microstructure and element distribution of (A) g-C\textsubscript{3}N\textsubscript{4}-Ni and (B) NiSA-N-CNT after annealing of g-C\textsubscript{3}N\textsubscript{4}-Ni at 800 °C in Ar for 1 h. (a) SEM, (b) TEM, (c) AC-HAADF, (d) EDS patterns of the selected area in (c), (e) HRTEM, and (f) AC-STEM. In A), scale bar=50 nm and in B), scale bar=60 nm.

In the synthesis step B, citric acid was introduced in the synthesis of the Ni single atoms doped g-C\textsubscript{3}N\textsubscript{4} stacked structure, g-C\textsubscript{3}N\textsubscript{4}-Ni-C (see Figure 8.1). Most interesting, with further annealing at 800 °C in Ar for 1 h, identical conditions to g-C\textsubscript{3}N\textsubscript{4}-Ni, NiSA-N-G instead of NiSA-N-CNT was obtained. Figure 8.4 shows microstructure and element distribution of g-C\textsubscript{3}N\textsubscript{4}-Ni-C before and after annealing at 800 °C in Ar. After introduction of citric acid, the intermediate product g-C\textsubscript{3}N\textsubscript{4}-
Ni-C shows similar nano-sheet layered structure like g-C₃N₄-Ni but the size of the stacked layer is 5 μm, larger than 2 μm measured on g-C₃N₄-Ni (Figure 8.4Aa-d). Similar to g-C₃N₄-Ni, the EDS results show the uniform distribution of Ni, N on the surface of g-C₃N₄-C (Figure 8.4Ad). The uniformly dispersed single Ni atoms were also obtained (Figure 8.4Bf). Most importantly, after annealing at the same high temperature of 800 °C as that used in g-C₃N₄-Ni, the planar graphene-like structure was formed rather than tubular structure (see Figure 8.5). The TEM image shows the number of layers of NiSA-N-G is about 3-5 with massive wrinkles (Figure 8.5e). The bright dots in Figure 8.5f indicate the atomically dispersed single Ni atoms embedded within the graphene structure.
Figure 8.4 Microstructure and element mapping of (A) g-C₃N₄-Ni-C and (B) NiSA-N-G after annealing of g-C₃N₄-Ni at 800 °C in Ar for 1 h. (a) SEM, (b) TEM, (c) AC-HAADF, (d) EDS element mapping of the selected area in (c), (e) HRTEM, and (f) AC-STEM. In A) scale bar=200 nm and in B) scale bar=40 nm.

The g-C₃N₄ is yellowish in color and with the presence of Ni single atoms, the color of g-C₃N₄-Ni changed into brown (Figure 8.5a). With the addition of citric acid, the g-C₃N₄-Ni-C formed after calcination at 660 °C is black in color. This may indicate formation of the carbon film on the surface of g-C₃N₄-Ni due to the decomposition of citric acid. The XRD patterns confirm that g-C₃N₄-Ni and g-C₃N₄-Ni-C still basically remain the structure of g-C₃N₄. The two main peaks (100)
at 12.8° and (002) at 27.6° can be attributed to g-C₃N₄ (Figure 8.5b). The presence of Ni atoms could play a role in connecting the g-C₃N₄ into layered structure to reduce the surface energy due to strong Ni-N coordination. During the annealing process at 660 °C, the layer thickness of g-C₃N₄-Ni-C was reduced to obtain the graphene-like structure. This is supported by the fact that the surface area of g-C₃N₄-Ni and g-C₃N₄-Ni-C powder is 188 and 255 m² g⁻¹, respectively, much higher than 36 m² g⁻¹ obtained on pristine g-C₃N₄ (see Table 8.1). This demonstrates that Ni single atoms in the g-C₃N₄ structure not only stabilize the carbide structure but also increase the surface area significantly. There is no characteristics associated with metallic Ni as shown in Ni-CNT and Ni-G for g-C₃N₄-Ni and g-C₃N₄-Ni-C (Figure 8.5c), consistent with the AC-STEM results (Figures 8.3B and 8.4B).

<table>
<thead>
<tr>
<th>Sample</th>
<th>C%</th>
<th>N%</th>
<th>Ni%</th>
<th>O%</th>
<th>H%</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>XPS</td>
<td>Elementar</td>
<td>XPS</td>
<td>TGA/ICP</td>
<td>XPS</td>
</tr>
<tr>
<td>SANi-N-CNT</td>
<td>61.9</td>
<td>60.4</td>
<td>21</td>
<td>19.5</td>
<td>14.5</td>
</tr>
<tr>
<td>SANi-N-GO</td>
<td>75.5</td>
<td>77</td>
<td>16</td>
<td>17</td>
<td>4.5</td>
</tr>
</tbody>
</table>

Table 8.1 the elemental content analysis in the NiSA-N-CNT and NiSA-N-G.

The FTIR spectra of g-C₃N₄, g-C₃N₄-Ni, g-C₃N₄-Ni-C show a characteristic peak at around 820 cm⁻¹ related to C–N heterocycles due to the triazine ring mode (Figure 8.5d). Another peak in the range of 1200–1600 cm⁻¹, attributed to the specific aromatic skeleton vibration of carbon nitride [43], was also observed. A broad band was evident in the range of 3000–3700 cm⁻¹ corresponding to the stretching mode of –NH₂, which are uncondensed amine groups, or to N–H group vibrations present at the surface of carbon nitride [43]. The related peaks confirmed that the basic structure of g-C₃N₄ was retained in g-C₃N₄-Ni. However, FTIR results confirm that the introduction of citric acid during the formation of g-C₃N₄-Ni-C removed the characteristic peaks from 1200-1600 cm⁻¹ in g-C₃N₄. This may indicate the presence of amorphous carbon on the surface of g-C₃N₄-Ni derived from citric acid at 660°C. The presence of an amorphous carbon derived from citric acid decomposition is also supported by the color change from brownish for g-C₃N₄-Ni to black for g-C₃N₄-Ni-C. The presence of such carbon film could increase the stability of the layered structure, which physically suppresses the solid-to-solid g-C₃N₄ rolling-up process into the tubular structure, leading to the formation of layered graphene structure.
The Raman patterns from NiSA-N-G shows I₆/I₄ ratio of 0.7 higher than 0.4 obtained on NiSA-N-CNT. In the case of NiSA-N-CNT, D and G peaks are not clearly separated, which confirms the existence of abundant defects and poor carbon crystallinity. Based on the N₂ absorption spectrum curves, the surface area of NiSA-N-CNT and NiSA-N-G is 130 and 308 m² g⁻¹, respectively (Figure 8.5f). The transformation from layered structure to tubular CNT supports reduces the surface area, while the formation of graphene supports increases the surface area (Table 8.2). This indicates that the NiSA-N-G owns more exposed active sites than NiSA-N-CNT. The loading of Ni in the NiSA-N-CNT and NiSA-N-G are 15.1 wt% and 6.1 wt%, respectively, as evaluated by TGA (Figure 8.5g), consistent with the ICP results (Table 8.1). The oxidation resistance temperature of NiSA-N-G is 470 °C, higher than 400 °C observed on NiSA-N-CNT, which is lower than traditional CNT and G of 800 °C[36, 44]. This indicates the higher graphitic structure of NiSA-N-G with the presence of amorphous carbon film, consistent with the Raman results. The square resistance of NiSA-N-CNT and NiSA-N-G are 106 and 35Ω/□ at room temperature which further indicates the higher conductivity of NiSA-N-G than NiSA-N-CNT.

<table>
<thead>
<tr>
<th>Samples</th>
<th>g-C₃N₄</th>
<th>g-C₃N₄-Ni</th>
<th>g-C₃N₄-Ni-C</th>
<th>NiSA-N-CNT</th>
<th>NiSA-N-G</th>
</tr>
</thead>
<tbody>
<tr>
<td>Surface area (m²/g)</td>
<td>36</td>
<td>188</td>
<td>255</td>
<td>130</td>
<td>308</td>
</tr>
</tbody>
</table>

Table 8.2 the surface area comparison of the g-C₃N₄, g-C₃N₄-Ni, g-C₃N₄-Ni-C, NiSA-N-CNT, NiSA-N-G.
Figure 8.5 Color and structural Characterization of g-C$_3$N$_4$, g-C$_3$N$_4$-Ni, g-C$_3$N$_4$-Ni-C, NiSA-N-CNT and NiSA-N-G. (a) optical image, (b) and (c) XRD pattern, (d) FTIR spectrum of different intermediates, (e) Raman spectrum, (f) N$_2$ absorption and desorption curves, and (g) TGA curves.

The transformation of layered g-C$_3$N$_4$ to planar graphene structure rather than tubular CNT depends on the ratio of Ni (or g-C$_3$N$_4$) to citric acid. Figure 6 shows microstructure of the powder product after the final annealing at 800 °C of g-C$_3$N$_4$-Ni-C as a function of Ni/citric acid ratio. When the citric acid content is not high enough, both CNTs and graphene are formed (see Figure 8.6a-c). However, the relative content of graphene structure increases with the increase of citric acid content. When the Ni/citric acid mass ratio reaches 1:1, the transformation of layered g-C$_3$N$_4$-Ni-C to graphene structure is complete, indicating the restrained formation of tubular CNT (Figure 8.6d). The change in the distribution of CNT and graphene supports in the final powder after annealing at 800 °C confirms the important role of citric acid in the control of the microstructure and morphology of the supports for the embedded Ni single atoms. The results indicate the feasibility in the control of the microstructure of the supports for SACs.
Figure 8.6 SEM micrographs of the powder products after the final annealing at 800 °C of g-C₃N₄-Ni-C as a function of Ni/citric acid ratio. (a) 1:0 (i.e., NiSA-N-CNT), (b) 1:0.25, (c) 1:0.5, (d) 1:1, (e) 1:2 and (f) higher magnification of (e).

8.3.2 Ni SACs on CNT and G
The chemical environments of NiSA-N-CNT and NiSA-N-G were investigated through X-ray absorption spectroscopy (Figure 8.7). The Ni L-edge shows typically two groups of peaks around 850-855 eV (L3-edge) and 868-874 eV (L2-edge) corresponding to the splitting of the Ni 2p orbitals (Figure 8.7a). The Ni L3-edge of the NiSA-N-CNT and NiSA-N-G own a major peak at around 854.7 eV. The main peaks in NiSA-N-CNT and NiSA-N-G are at ~854.1 eV and 854.1 eV respectively, which are very close to that of NiPc, ~854.3 eV, different from Ni in Ni foil (852.7 eV), NiO (853.0 eV) and Ni (OH)₂ (853.2 eV).
Figure 8.7 Chemical environment of NiSA-N-CNT and NiSA-N-G as invested via X-ray absorption spectroscopy. (a) Ni L-edge spectra. (b) N K-edge spectra of NEXAFS spectra. (c) pre-edge of Ni in EXAFS. (d) Fourier transform of the EXAFS spectra from NiPc, NiSA-N-CNT, NiSA-N-G, Ni(acac)$_2$, Ni Foil, NiO.

Furthermore, the Ni 2p XPS spectrum (Figure 8.8) indicates the Ni is in the form of 2$^+$ but not the metallic Ni$^0$. This evidently proves that the Ni in NiSA-N-CNT and NiSA-N-G is mainly coordinated with N but not in the form of Ni-Ni, Ni-O or Ni-OH [23]. The N K-edge of NiSA-N-CNT shows two main peaks at 398.6 eV and 401.5 eV (Figure 8.7b), assigned to pyridinic and graphitic N, respectively. Different from NiSA-N-CNT, the notable peak at 402.5 ev appears in NiSA-N-G which is consistent with the graphitic N. It confirms that the introduction of defective carbon has influenced the N structure in the carbon lattice. The peaks centered at 399.4 eV can be assigned to Ni-N-C species [45]. Compared to a Ni foil, the pre-edge of Ni K-edge XANES spectra shift to higher energies (Figure 8.7c). The Fourier-transformed extended X-ray absorption fine structure reveals that NiPc shows a sharp peak centering at 1.43 Å (Figure 8.7d), consistent with the well-defined Ni-N4 species [46]. However, the intensity of the peak at 1.42 Å is lower than that of NiPc, likely because of the formation of unsaturated Ni-N2, Ni-N3 and well-defined Ni-N4
species. Further, the broad peaks at 2.30 Å is likely contributed by the presence trace amount of Ni NPs in NiSA-N-CNT, but the peak was not found in NiSA-N-G.

![Figure 8.8](image)

**Figure 8.8** the XPS spectrum of Ni2p in SANi-N-CNT and SANi-N-GO.

### 3.3 Formation mechanism of CNT and graphene supports for Ni SACs

Before the evaluation of the transformation mechanism of the layered g-C3N4 structure to tubular CNT or planar graphene structure during the high temperature annealing, computational first-principle calculations were performed. For the purpose of calculation, the structure of pure g-C3N4 with Ni single atom was constructed and optimized. The results indicate that the two-dimensional (2D) structure of the g-C3N4 suffers a deformation after the introduction of Ni atoms (Figure 8.9a-b). The original planar structure of g-C3N4 has undergone a large structure fluctuation of 1.48 Å along the z direction. This deformation of the structure may lead to the crimp or rolling-up of the layered g-C3N4 during the high temperature annealing process. However, the situation is different with the introduction of a graphene carbon source to g-C3N4.
In this case, a heterojunction structure of Graphene/g-C₃N₄ was constructed to simulate the effect of carbon source formed by decomposition of citric acid on g-C₃N₄-Ni. As shown in Figure 8.10, the heterojunction structure of Graphene/g-C₃N₄ possesses the well structure stability after the structure is optimized that both graphene and g-C₃N₄ are in planar. Based on this heterojunction materials, the structure stability was investigated when the Ni atom was introduced. As shown in Figs. 8.9d-e, the Graphene-g-C₃N₄ heterojunction structure maintains the planar properties with the presence of Ni atoms. The structure fluctuation of g-C₃N₄-Ni in this case is within 0.06 Å, substantially smaller than 1.48 Å along the z direction calculated for the g-C₃N₄-Ni. The theoretical calculation indicates that the presence of additional carbon source has a significant influence on the structure fluctuation of g-C₃N₄-Ni intermediates, which in turn has important implication to the evaluation and transformation of the microstructure of carbon-based supports for the Ni SACs.
Moreover, the electronic structure of these two structures were also calculated. As shown in Figure 8.9c and 8.9e, there are more states near the Fermi-level in the heterojunction structure of Graphene/g-C$_3$N$_4$-Ni which represents the better electronic conduction. The $d$-orbit of the Ni atom in the heterojunction structure is closer to the Fermi level compare to the pure g-C$_3$N$_4$-Ni, which means that the higher catalytic activity. In summary, the defect carbon introduction not only maintains the planar structure stability of the g-C$_3$N$_4$-Ni in synthesis process, but also improve the catalytic activity of the single Ni atoms, which is beneficial for the electrochemical activity of the Ni SACs.

Based on the experimental and theoretical calculations, a controlled synthesis of Ni SACs embedded in CNT and graphene carbon supports can be proposed, as shown in Figure 8.11. The presence of Ni single atoms stabilizes the layered g-C$_3$N$_4$ structure at a high temperature of 660 °C. However, without the introduction of carbon source or layer, significant fluctuation of the layered g-C$_3$N$_4$-Ni structure occurs. Such structural fluctuation would lead the curving and eventually rolling-up mechanism during the high temperature annealing process, forming tubular CNT supports for Ni SACs. The fundamental reason is due to the high mobility and activation energy of Ni single atoms. With the introduction of citric acid, amorphous carbon layers are formed on the surface of g-C$_3$N$_4$-Ni due to the decomposition of citric acid at low temperature annealing at 660 °C, as showing by the FTIR (Figure 8.5d). The presence of amorphous carbon source forms graphene/g-C$_3$N$_4$-Ni-like heterojunction structure, substantially reducing the structure fluctuation and preventing the rolling-up mechanism during the high temperature annealing for the precursor with Ni/citric acid ratio of 1:1 or higher.
Figure 8.11 Controlled synthesis of NiSA-N-CNT and NiSA-N-G.

3.4 CO2RR electrochemical performance

The electrochemical performance of NiSA-N-CNT and NiSA-N-G for CO2RR were conducted in N2- and CO2-saturated 0.5 M KHCO3 solution and the results are shown in Figure 10. A significantly higher current density was obtained in CO2-saturated solution as compared to that in N2-saturated solution for NiSA-N-CNT and NiSA-N-G with an onset potential of -0.27 and -0.25V vs RHE. Glassy carbon showed negligible CO2RR activity (Figure 8.12a).
Figure 8.12 CO2RR performance. (a) Linear scan voltammetry (LSV) curves, (b) plots of $j$ of Ni-CNT, Ni-G, NiSA-N-CNT, NiSA-N-G, (c) Faradaic efficiency, and (d) initial stability of NiSA-N-CNT and NiSA-N-G electrodes, measured in CO2 saturated 0.5 m KHCO$_3$ solution.

The Ni-G and Ni-CNT were synthesized as shown in Figure 8.13 for performance comparison. Ni-G and Ni-CNT showed the improved activity, but the performance is much less than NiSA-N-CNT and NiSA-N-G. The NiSA-N-G and NiSA-N-CNT show a much better activity for CO2RR with suppressed H$_2$ evolution as compared to Ni-CNT and Ni-G (Figs.8.12b, 12c). The CO yield increases with the increase of cathodic potentials (Figure 8.12b). The NiSA-N-G and NiSA-N-CNT have obvious advantages of the selective reduction of CO$_2$ to CO as compared to Ni-G and Ni-CNT. Furthermore, the NiSA-N-G and NiSA-N-CNT have outperformed the counterparts shown in Table 8.3. The Faraday efficiency (FE, Figure 8.12c) of NiSA-N-CNT is 94% at -0.63V slightly lower than 96% of NiSA-N-G.
<table>
<thead>
<tr>
<th>Catalyst</th>
<th>Medium and Electrolyte</th>
<th>Product</th>
<th>Overpotential (V vs RHE)</th>
<th>Current density (mA/cm²)</th>
<th>FE (%) (product CO)</th>
<th>Stability</th>
<th>Refs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Au NP</td>
<td>Aq. 0.5 M KHCO3</td>
<td>CO</td>
<td>-0.55</td>
<td>N/A</td>
<td>97</td>
<td>N/A</td>
<td>[47]</td>
</tr>
<tr>
<td>Nano Cu</td>
<td>Aq. 0.5 M KHCO3</td>
<td>CO, HCO₂H</td>
<td>-0.38</td>
<td>2.7</td>
<td>40</td>
<td>7 h, 74%</td>
<td>[48]</td>
</tr>
<tr>
<td>Nano porous Ag</td>
<td>Aq. 0.5 M KHCO3</td>
<td>CO</td>
<td>-0.6</td>
<td>19.5</td>
<td>92</td>
<td>N/A</td>
<td>[49]</td>
</tr>
<tr>
<td>Au nanotip</td>
<td>Aq. 0.5 M KHCO4</td>
<td>CO</td>
<td>-0.35</td>
<td>15</td>
<td>95</td>
<td>N/A</td>
<td>[50]</td>
</tr>
<tr>
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<td>CO</td>
<td>-0.46</td>
<td>3</td>
<td>83</td>
<td>2 h, N/A</td>
<td>[51]</td>
</tr>
<tr>
<td>Nano Ag</td>
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<td>1.02</td>
<td>89</td>
<td>2 h, 95%</td>
<td>[52]</td>
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<tr>
<td>NiN-GS</td>
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<td>CO</td>
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<td>90</td>
<td>20 h, 98%</td>
<td>[53]</td>
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<td>36.5</td>
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<td>100 h, 98%</td>
<td>[23]</td>
</tr>
<tr>
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<td>CO</td>
<td>-0.52</td>
<td>15</td>
<td>95</td>
<td>1 h, 95%</td>
<td>[54]</td>
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<tr>
<td>Co-MOF</td>
<td>Aq. 0.1 M KHCO₃</td>
<td>CO</td>
<td>-0.6</td>
<td>1</td>
<td>76</td>
<td>7 h, 1 mA/cm²</td>
<td>[55]</td>
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<tr>
<td>NiSA-N-CNT</td>
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<td>CO</td>
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<td>94</td>
<td>25 h, 90%</td>
<td>This Study</td>
</tr>
<tr>
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<td>CO</td>
<td>-0.63</td>
<td>16.3</td>
<td>96</td>
<td>25 h, 97%</td>
<td>This Study</td>
</tr>
</tbody>
</table>
Table 8.3 Summarized data for the reported CO₂RR performance of catalysts.

Figure 8.13 the SEM and TEM images of Ni-CNTs (a,c) and Ni-GO(b,d). The diameter of Ni nanoparticles on Ni-GO and Ni-CNTs are 2 nm and 3 nm respectively. The loadings of Ni in Ni-CNTs and Ni-GO are 10 wt% and 7 wt% assessed by TGA.

The preliminary stability of NiSA-N-CNT and NiSA-N-G for CO₂RR was tested at -0.63 V (Figure 8.12d). The initial current density for CO₂RR was ~19.1 and 16.2 mA cm⁻² for NiSA-N-G and NiSA-N-CNT respectively, and decreased slightly by 3% and 10% after polarization for 25 h. After the polarization the FE for electrochemical CO₂-to-CO conversion was 87% on NiSA-N-CNT and 93% on NiSA-N-G. These results furthermore confirm that the stability of NiSA-N-G is higher than NiSA-N-CNT due to more efficient active sites and higher structural durability. The remarkable CO₂RR performance of NiSA-N-G shows the significance and superiority of the planar graphene supports for Ni SACs.

8.4. Conclusion

In conclusion, we have demonstrated a well-characterized and controlled method to synthesize
NiSA-N-CNT and NiSA-N-G through introducing citric acid to stabilize the layered g-C₃N₄-Ni and interrupt the solid-to-solid rolling process. The DFT results identify the introduction of defective carbon in g-C₃N₄ plays important role on restraining rolling up into CNT but obtaining G structure, which is consistent with the experimental data. The higher CO2RR performance of NiSA-N-G than NiSA-N-CNT even with lower SACs loading, which confirms that the exposed active sites are more significant in the electrochemical reduction process. Our results provide the feasibility to achieve controllable synthesis of carbon-based SACs catalysts.

8.5 References


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Chapter 9 Conclusions and Recommendations for Future Work

9.1 Conclusions and achievements

The aim of this thesis is to develop novel synthesis methods of SACs for electrochemical applications. It has been successfully achieved by the development of one-pot approach and seeding methods to synthesize highly controllable SACs with high atomic loading. The main achievements from the present study are listed below and Table 9.1.

1), Carbon nanotube supported Ni single atom catalysts, NiSA-N-CNT, with Ni single atom loadings as high as 20.3 wt% have been successfully synthesized using a new one-pot pyrolysis approach. The synthesized NiSA-N-CNT catalysts displayed a high electrochemical efficiency for the CO2RR with suppressed activity for HER as compared to carbon nanotube supported Ni NPs. The CNT stabilized Ni SACs formed by the rolling-up of layered Ni-g-C3N4 sheets represent an attractive path to realize the SACs with high catalysts loadings for a wide range of practical applications.

2), We successfully tailored the edge structures of porous carbon with Ni single atoms. The porous structure provided large number of anchor sites for single Ni atoms and the nanopores (<6 nm) also help prevent aggregation and stabilize the single atom Ni-N species during high temperature annealing. The unsaturated edge anchored Ni single sites with loading as high as 6.9 % show a mass activity of 53.6 mA mg⁻¹ and a high selectivity of 92.1% at an overpotential of 0.59 V for CO2RR. The DFT results identify that the edge-anchored unsataured three nitrogen coordinated Ni single atoms exhibit better activity for CO2RR compared with in-plane structures, and the high CO2RR activity originates from the high loading of unsaturated Ni single atoms on edges.

3), The controllable method to synthesize a series of single metal atom catalysts on two-dimensional materials was achieved. The seeding strategy is versatile and has been demonstrated on Ni, Co, Fe, Cu, Ag, Pd single atoms as well as binary NiCu atoms supported on 2D materials including GO, MoS2 and BN nanosheets. The applicability of the synthesized SA-2D catalysts has been illustrated on the high activity and selectivity of SANi-GO for CO2RR. The seeding approach described in this paper may serve as an enabling technology for multiple applications.

4), The application of SACs for Li-S batteries was obtained under the guidance of theoretical
calculation. The dual between forward and reverse catalytic reaction were firstly taken into consideration to design the efficient catalyst vanadium and cobalt, based on the guidance of experiments and DFT calculations. The significantly improved battery performance has been obtained benefited from the great catalytic effects, consistent with the DFT theoretical calculations.

5) The two feasible closely associated approaches to synthesize nickel single atoms on nitrogen doped carbon nanotube (NiSA-N-CNT) and graphene (NiSA-N-G). The formation of NiSA-N-CNT is due to the solid-to-solid rolling up mechanism to form a bamboo-like tubular structure. Addition of citric acid interrupts the solid-to-solid rolling process, and result in NiSA-N-G. The CO2RR performance reveals that more exposed active sites owned in graphene structure achieves higher catalytic performance. The novel synthesis approaches and mechanism provides new understanding of single atom metal catalyst.

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**Table 9.1** The list of the work done in this thesis.

### 9.2 Recommendations for future work

The development of SACs embedded in 1D and 2D supports opens new opportunities in the development of new electrocatalysts for energy conversion and storage applications. Based on the current synthesis approaches, future work should be carried out in areas including ORR, HER and OER and so on. In addition, further studies are required in areas as follows.

(a) How to achieve highly dense coordinated sites to obtain more isolated atom centers. The more active sites, the higher efficiency. In order to improve the loading of SACs, the different strategies could be combined for integral design to overcome the limitations of solo approach.
(b) How to reduce the cost and simplify the synthesis process of SACs. Prior to any practical application, the price of an advanced technique is always the highest priority. Therefore, the more feasible approaches are left to explore to achieve the synthesis of SACs with lower cost and higher environmental friendliness, for example employing the environmental rich biomass, natural waste as SACs supports.

(c) How to explore different atoms coordinated with center metal atom. For instance, the catalytic activity could be tunable through changing the most used coordination nitrogen atom into oxygen, sulfur, phosphorous and so on. These researches also help us to design specific catalyst for target reaction and further get one deep understanding of the intrinsic properties of SACs.

(d) How to achieve multifunctional SACs such as bifunctional or even tri-functional application. As for this application, we should combine different types SACs on one support to explore the possibilities of multifunctional applications.
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I acknowledge that these represent my contribution to the above result output. Signed.