

1 Impacts of Extreme Climate on Australia's Green Cover (2003-2018): A 2 MODIS and Mascon Probe

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6 Abstract

7 Australia as a continent represents a semi-arid environment that is generally water-limited.
8 Changes in rainfall pattern will inevitably occur due to rising temperatures caused by climate
9 change, which has a direct impact on the distribution of Australia's vegetation (green cover).
10 As variability in rainfall continues to increase, i.e., in frequency and/or magnitude, due to cli-
11 mate change, extreme climate events such as droughts are predicted to become more pervasive
12 and severe that will have an adverse effect on vegetation. This study investigates the effects
13 of extreme climate on Australia's green cover during 2003-2018 for the end of rainy seasons of
14 April and October in the northern and southern parts, respectively, to (i) determine the state
15 of vegetation and its changes, (ii) identify "hotspots", i.e., regions that constantly experienced
16 statistically significant decrease in NDVI, and (iii), relate changes in the identified hotspots to
17 GRACE-hydrological changes. These are achieved through the exploitation of the statistical
18 tools of Principal Component Analysis (PCA) and Mann-Kendel Test on Gravity Recovery
19 and Climate Experiment (GRACE) hydrological products on the one hand, and the utilization
20 of Australia's rainfall product and Moderate Resolution Imaging Spectroradiometer Normal-
21 ized Difference Vegetation Index (MODIS-NDVI) used here with its native spatial resolution
22 of $0.002413^\circ \times 0.002413^\circ$ on the other hand. Differences between 3-year intervals from 2003 to
23 2018 for both April and October datasets are used to quantify vegetation variations. Through
24 area change analysis, the vegetation differences (2003-2018) indicate that April exhibited larger
25 increase (13.77% of total vegetation area) than decrease (7.83%) compared to October, which
26 experienced slightly larger decrease (9.41%) than increase (8.71%). South Australia and West-
27 ern Australia emerge as "hotspots" in which vegetation statistically decreased in October, with
28 no noticeable change in April. GRACE-based hydrological changes in both hotspots reflect a
29 decreasing trend (2003-2009) and increasing trend (2009-2012) that peaks in 2011, which then

30 transitions towards a gradually decreasing trend after 2012. Australia-wide climate variability
31 (ENSO and IOD) influenced vegetation variations during the data period 2003 to 2018.

32 *Keywords:* Extreme climate; Vegetation change; NDVI; Australia; Climate variability

33 1. Introduction

34 Extreme climate events, such as droughts and floods, are predicted to become more frequent
35 and severe because of changes in climate variability (Ma et al., 2015). Drought events alone
36 are projected to increase within most African nations, southern Europe, the Middle East, the
37 Americas, Australia, and South East Asia (Ponce-Campos et al., 2013). Rainfall patterns
38 affected by climate variability exacerbate drought and flood events (Ma et al., 2015; Van Dijk
39 et al., 2013; Murthy et al., 2016, 2017), which is significant towards vegetation dynamics and
40 productivity, as approximately 50% of the terrestrial vegetation productivity across the world
41 is dependent directly upon the availability of water (Yang et al., 2014). Previous studies have
42 demonstrated that extreme climate events have a profound effect towards vegetation dynamics
43 and productivity. For example, large scale vegetation losses were recorded during the aftermath
44 of the 2005 and 2010 droughts within the Amazon basin (Lewis et al., 2011). In Africa, mass
45 agricultural drought from 1981 to 2010 caused famine emergencies across the nations that
46 resulted in more than half a million deaths (Rojas et al., 2011; Mpelasoka et al., 2018; Awange
47 et al., 2016). In Australia, the “Millennium Drought”, which affected agriculture, lasted from
48 2001 to 2009, and is estimated to have resulted in a loss of 1.6% of Australian GDP (Gross
49 Domestic Product) between 2002 and 2003 (Van Dijk et al., 2013). Future projected drought
50 events are likely to have an adverse effect on vegetation (Pan et al., 2018). Monitoring of
51 vegetation productivity, therefore, is essential for understanding climate variability’s impacts on
52 terrestrial ecosystem (Chen et al., 2014), thereby contributing towards informing governmental
53 policies on managing resources to alleviate negative effects.

54 Satellite remote sensing provides an alternate, quicker and more cost-effective method as
55 opposed to on-ground surveys to obtain data regarding vegetation productivity over time and
56 space at a regional and global scale through the measure of vegetation indices (VI) over ter-
57 restrial ecosystems (Chen & Gillieson, 2009; Lu et al., 2003; Zhang et al., 2003). Vegetation
58 indices provide integrated information regarding the measure of green leaf area, structure and
59 vegetation chlorophyll content (Ma et al., 2015). Remotely sensed VI data can come from the

60 Moderate Resolution Imaging Spectroradiometer (MODIS) sensor that is able to provide data
61 with very little influence from other variables such as land conditions, water vapour and lower-
62 cloud contamination (Zhang et al., 2003; Broich et al., 2014; Huete et al., 2010). Waring et al.
63 (2006) determined that MODIS enhanced vegetation index (EVI) values at a spatial resolution
64 of 1-km provide similar information to values derived from localized field sampling, i.e., areal
65 averages of in-situ data were comparable with the 1-km MODIS resolution as measured by cor-
66 relations between MODIS EVI and field survey data of tree richness in eco-regions across the
67 contiguous U.S.A. In other examples, Tomar et al. (2014) and Pandey et al. (2015) employed
68 MODIS NDVI products in the study of rice equivalent yield, while Zhang et al. (2003) utilized
69 them to monitor vegetation phenology on an area located in New England, USA, and concluded
70 that the results obtained from their investigation were both geographically and ecologically con-
71 sistent with the pattern of vegetation transition behaviour in the region determined by previous
72 field-based studies. These studies demonstrate that the MODIS sensors are able to provide an
73 adequate and meaningful measure of vegetation across a large surface area. However, MODIS
74 NDVI data is taken at a rather coarse resolution (e.g. 250 m, 500 m, and 1000 m), in which each
75 pixel within the remotely sensed data represents a combined response of diverse species that
76 may have different vegetation activities (Zhang et al., 2017). In terms of analysing vegetation
77 productivity at a continental scale, this weakness can be disregarded as the overall vegetation
78 is the variable of interest as opposed to specific species.

79 Australia as a continent represents a semi-arid environment that is generally water-limited
80 (Donohue et al., 2009; Hu et al., 2019), as dryland is estimated to encompass approximately
81 80% of Australia’s land surface (Broich et al., 2014). Australia’s vegetation is depended upon a
82 series of factors such as rainfall, topography, soil type and fertility, and climate (Hughes , 2011).
83 Remote sensing study of vegetation dynamics over time and its relation to climate variability
84 and extreme climate events have been extensively documented within Australia. For example,
85 in a study to understand vegetation response to altered hydro-climatic conditions over time,
86 Yang et al. (2014) examined the effects of hydrological controls on the variability in surface
87 vegetation greenness over the periods of 1982-2010 and discovered a strong association between
88 remotely sensed NDVI anomalies and monthly total water storage anomalies, concluding that
89 total water storage data is a superior indicator for variability of surface vegetation than precip-
90 itation. In a separate study that measured vegetation growth over time, Donohue et al. (2009)
91 examined the response of different vegetation functional types, i.e., non-deciduous perennial

92 vegetation types, deciduous, annual and ephemeral vegetation types, towards changing climatic
93 conditions using vegetation data sourced from the Advanced Very High-Resolution Radiometer
94 (AVHRR) instrument and discovered an 8% increase in vegetation growth of primarily persis-
95 tent woody species across the north and north-east of Australia for 1981-2006. During a period
96 that included extreme drought and wet years, [Broich et al. \(2014\)](#) investigated the relationship
97 between surface vegetation phenology and climate variability for the period 2000-2013 by utiliz-
98 ing MODIS NDVI data and found results consistent with [Donohue et al. \(2009\)](#) in which areas
99 of vegetation productivity affected by long term drought increased over time in most of eastern
100 Australia. On a regional scale, [Ma et al. \(2015\)](#) studied vegetation dynamics and phenology
101 using MODIS NDVI in south-eastern Australia between 2000 and 2014 to examine the impact
102 of the Millennium Drought that lasted from 2001 to 2009. Their study revealed that there are
103 dramatic impacts of drought and wet extremes on vegetation dynamics for south-eastern Aus-
104 tralia, and furthermore, drought resulted in widespread reductions and to some extent collapse
105 in the normal patterns of seasonality. Finally, in many cases during the drought years, there
106 was no detectable phenological cycle, which significantly affects Australia's role as a prominent
107 global carbon sink source.

108 The studies discussed above demonstrate the efficiency and adequacy of remotely sensed data
109 to monitor vegetation productivity over a large area through time (see e.g., [Jiao et al. \(2020\)](#)).
110 However, studies of vegetation productivity over time have been performed with altered spatial
111 resolution by re-scaling and re-sampling raw data of higher spatial resolutions. For exam-
112 ple, [Andrew et al. \(2017\)](#) re-sampled their Global Inventory Modelling and Mapping Studies
113 (GIMMS)-3g NDVI data (0.25° by 0.25°) to a larger scale of 0.9° by 0.9° in order to match with
114 Gravity Recovery and Climate Experiment (GRACE) data resolution of the same time period.
115 [Yang et al. \(2014\)](#) also used GIMMS 3g NDVI data in their study that was re-sampled to $1^\circ \times 1^\circ$
116 in accordance to the GRACE data resolution. [Donohue et al. \(2009\)](#) re-sampled vegetation data
117 from $0.01^\circ \times 0.01^\circ$ to 0.08° . [Ma et al. \(2015\)](#) re-sampled MODIS EVI resolution of 0.05° to 0.5°
118 to match the resolution of the Standardized Precipitation and Evapotranspiration Index (SPEI)
119 drought severity data. The process of re-sampling remotely sensed vegetation data results in
120 increased errors in land and vegetation cover ([Andrew et al., 2017](#)). Maintaining the native
121 resolution of used data ensures that the integrity of the vegetation status is not compromised.
122 To this end, this study employs the Earth Resources Observation and Science (EROS) Moder-
123 ate Resolution Imaging Spectroradiometer (eMODIS) Normalized Difference Vegetation Index

124 (NDVI) V6 data at its native spatial resolution ($0.002413^\circ \times 0.002413^\circ$) rarely used before to
125 investigate the effects of extreme climate on Australia's green cover during 2003-2018 for the
126 end of rainy season months of April and October for northern and southern regions of Australia,
127 respectively. These months are chosen due to the fact that vegetation is considered to have
128 utilised the rain water, and as such, the green cover are at their maximum. In particular, the
129 study aims to (i) determine the state of vegetation and its changes, (ii) identify "hotspots", i.e.,
130 regions that constantly experienced statistically significant decrease in NDVI, and (iii), relate
131 the changes in these hotspots to Gravity Recovery and Climate Experiment (GRACE) derived
132 hydrological changes and Australia-wide rainfall. These aims are achieved using statistical tools
133 of Principal Component Analysis (PCA) and Mann-Kendel Test.

134 The remainder of the study is presented as follows. In section 2, Australia-wide vegetation
135 variability and its primary drivers in response to rainfall are briefly discussed. Description
136 of the different data that are utilized in this study is also included within section 2. Section
137 3 provides an overview of the pre-processing and analysis methods that are employed while
138 Section 4 presents and discusses the results. Section 5 summaries the main outcomes of the
139 study.

140 2. Study area and Data

141 2.1. Australia's vegetation in relation to rainfall

142 Australia comprises of an area of approximately 7.6 million km² (Figure 1) and is exposed to
143 a wide range of climate varying over different regions (Broich et al., 2014; Fleming et al., 2010;
144 Fleming & Awange, 2013). As it is a semi-arid environment with most of its area classified as
145 drylands (i.e., 80%) (Broich et al., 2014), the amount of precipitation received in Australia is
146 stated to be lower than other inhabited continents (Forootan et al., 2016). 80% of Australia's
147 land surface receive an average annual precipitation that rarely exceeds 600 mm while 50%
148 of the land surface experience less than 300 mm on average (Broich et al., 2014). The north
149 of Australia is recorded to have the majority of precipitation occurring during the summer
150 season (December-February) while the south and southwest of the country encounters major
151 rainfall during the winter season (June-August) (King et al., 2014; Awange et al., 2009, 2011;
152 Rieser et al., 2010). The El Niño-Southern Oscillation (ENSO) and the Indian Ocean Dipole
153 (IOD) are known to be the primary drivers in Australia's inter- and intra-annual variability of
154 rainfall (Forootan et al., 2016; King et al., 2014). During formulation of ENSO events, La Niña
155 events are associated with increased rainfall particularly in the northern and eastern regions
156 of Australia whereas El Niño events cause the opposite to occur, promoting reduced rainfall
157 within these regions as well as drought occurrences within the interior of Australia. For the
158 western and southern regions of Australia, IOD is known to be the primary driver of rainfall as
159 negative IOD events are recorded to induce increased precipitation over these areas, which in
160 conjunction with La Niña events, causes considerable precipitation to occur (Forootan et al.,
161 2016). These drivers of rainfall influence the vegetation distribution Australia-wide (Hughes ,
162 2011). Forest species occur primarily in high rainfall areas of the country, whereas the arid and
163 semi-arid interior that receive scarce precipitation is dominated by shrubs, desert vegetation,
164 and grassland. Vegetation in the northern region of Australia is dominated by a savanna
165 ecosystem that consist of mixed woodland and grassland (Broich et al., 2014).

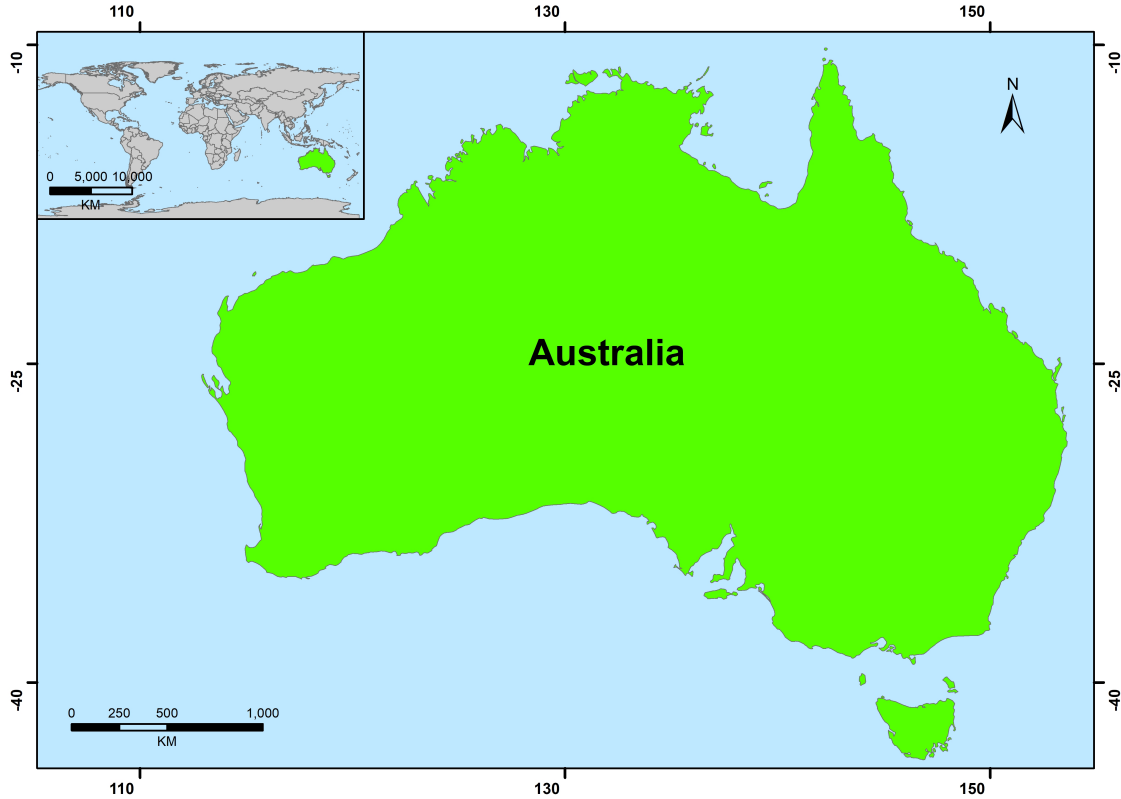


Figure 1: Inset: Location map of Australia in relation to entire world. The main map is the boundary of study area, i.e., Australia-wide.

166 *2.2. MODIS Normalized Difference Vegetation Index (NDVI) data*

167 The Earth Resources Observation and Science (EROS) Data Archive for vegetation monitoring - EROS Moderate Resolution Imaging Spectroradiometer (eMODIS) collection is used
 168 for this study. This collection is based on MODIS data acquired by the National Aeronautics
 169 and Space Administration (NASA) Earth Observing System (EOS). The eMODIS data are
 170 generally provided in two forms; 7 as well as 10 days composites for NDVI and reflectance
 171 using the AQUA MODIS sensor. As the 7 days eMODIS products and reflectance are available
 172 only over continental US, for this study, the 10 days NDVI (know as eMODIS NDVI V6)
 173 products are downloaded from the United States Geological Survey (USGS) Earth Explorer
 174 database (<https://earthexplorer.usgs.gov/>) at it's highest (native) spatial resolution of
 175 $0.002413^{\circ} \times 0.002413^{\circ}$ (250 m x 250 m). These products are developed by eMODIS specifically
 176 to overcome issues of re-projection, file format, and sub-setting of MODIS data, i.e., MOD13A1-
 177 NDVI, MOD13Q1-NDVI, and other available products for vegetation studies. The downloaded
 178

179 eMODIS NDVI V6 datasets (2003-2018) used for this study include the calendar periods of
180 the first 10 days, i.e., 1-10, for April and October months, respectively, representing the end of
181 rainy seasons for Australia’s southern and northern regions.

182 *2.3. GRACE-Mascon’s Total Water Storage Anomaly data*

183 Gravity Recovery and Climate Experiment (GRACE) satellites launched in 2002 (Tapley et
184 al., 2004) operated until 2017 where a follow-on mission was launched. It measures changes
185 in total water storage (TWS; surface water, groundwater, soil moisture, ice/snow and vege-
186 tation water). Here, the GRACE-mascon (mass concentration) TWS data from NASA’s Jet
187 Propulsion Laboratory (JPL) available through the Colorado Centre for Astrodynamics Re-
188 search (CCAR; <https://podaac.jpl.nasa.gov/GRACE>) is employed to infer changes in soil
189 moisture relevant to vegetation growth with the assumption that changes in surface, ground-
190 water, ice/snow, and vegetation water over the hotspots are negligible, and as such, the major
191 changes noticeable in TWS are due to soil moisture. Indeed, the potential of GRACE-TWS to
192 indicate vegetation variability has been demonstrated, e.g., in (Andrew et al., 2017; Ndehedehe
193 et al., 2019; Tao et al., 2020). This study, therefore, utilizes gridded Total Water Storage
194 Anomaly (TWSA) data at a spatial resolution of $3^\circ \times 3^\circ$ retrieved from the online mascon visu-
195 alization tool developed by the University of Colorado, Boulder. Compared to other vegetation
196 indicators such as those from MODIS and GLDAS, GRACE’s TWS for the period 2003-2018
197 are readily obtained from the mascon visualization tool at spatial resolution targeting hotspot
198 areas over Australia without further calculations. A Coastline Resolution Improvement (CRI)
199 filter has been applied to the mascons cells during post-processing to separate land signals
200 from ocean signals within the same cells. The monthly TWS data examined for this study are
201 mascon cells that coincides with the identified hotspot areas.

202 *2.4. Bureau of Meteorology (BoM) Rainfall Data*

203 Australia-wide rainfall data is sourced from the Australia government’s Bureau of Meteorol-
204 ogy (BoM) website (www.bom.gov.au) at a $0.05^\circ \times 0.05^\circ$ monthly spatio-temporal resolution.
205 The BoM rainfall product is stated to be the most reliable rainfall product in Australia that
206 is generated by interpolating rain-gauge stations across Australia (Awange et al., 2019). For
207 the purpose of this study, a principal component analysis (PCA) of Australia-wide rainfall data

208 over the study period 2003-2018 is undertaken and used for evaluating the rainfall variability
209 over the identified hotspots.

210 **3. Methods**

211 *3.1. MODIS NDVI Data Pre-Processing*

212 The eMODIS NDVI V6 datasets are clipped using a continental Australia shapefile sourced
213 from the Department of Agriculture and Water Resources to restrict the area extent to mainland
214 Australia and the island of Tasmania (Figure 1). A study by [Xiao et al. \(2002\)](#) stated that a
215 threshold of ≥ 0.25 of NDVI values is appropriate to define healthy vegetation coverage in the
216 northern latitudes. Although this threshold is specified for the northern latitudes, [Newnham
217 et al. \(2011\)](#) found changes in NDVI values of ≥ 0.25 to be indicative of healthy vegetation
218 during their monitoring of the curing of grasslands in Australia. As such, further pre-processing,
219 include image co-registration of pixels undertaken for both April and October periods where a
220 base image, i.e., the latest image of 2018 is used to subset the remaining images. The purpose
221 of co-registration is to correct all pixel shifts between different years to ensure pixel alignment
222 and spatial consistency by snapping pixels according to the pixel placements in the base image.
223 Finally, a threshold value of ≥ 0.25 is applied to NDVI satellite images in order to obtain binary
224 vegetation cover images for all evaluated years for both months, i.e., April and October.

225 *3.2. Area Change Analyses*

226 After performing image co-registration, changes in vegetation area are obtained through
227 direct comparison, e.g. the difference between binary images of NDVI data. Here, the changes
228 in binary values demonstrate whether vegetation within a pixel and its base value has decreased,
229 remained unchanged, or increased. Two different types of area change analyses are applied on
230 the April and October datasets, respectively; (i) comparing vegetation status between base year
231 (2003) and the subsequent 3-years intervals (i.e., 2006, 2009, . . . 2018) to measure the variation
232 in vegetation over time relative to vegetation of the base year, and (ii), calculating the difference
233 between every 3-year interval (e.g., 2006-2009) to quantify and isolate changes within shorter
234 time frames (Section 4.1).

235 3.3. Trend Analysis

236 Trend analyses are carried out using the Mann-Kendall (MK) test, which represents a non-
237 parametric test to statistically assess monotonic trends (positive, negative, or no trend) of
238 a variable within a predetermined significance level over time (Vousoughi et al., 2013). The
239 MK test does not require data to follow any specific probability distribution and is able to
240 be completed under most circumstances as it is insensitive to outliers (e.g., extreme climate)
241 or data gaps as long as the number is not too large, i.e., $\sim >40\%$ (Yadav et al., 2014). Also,
242 since the input NDVI datasets of both April and October months for each year are independent
243 observations with the respective time series for April or October from 2003 to 2018 having
244 only 16 values, tests for autocorrelation and normality in each data series will not be very
245 significant. For this investigation, the MK test is used to produce a Z-score map that displays
246 linear trends (a single trend direction assumed), which are unique to each individual pixel for
247 both April and October NDVI datasets from 2003 to 2018, respectively. A hotspot map is
248 thereafter generated using the p-values obtained from MK test, respectively, for both NDVI
249 datasets that identifies pixels that continuously experienced statistically significant decreasing
250 trends for all the evaluated years, i.e., 2003 to 2018.

251 3.4. Anomaly Analysis

252 The purpose of an anomaly analysis is to detect the change of NDVI in the data by calculating
253 the deviation from the overall mean (Andrew et al., 2017). In order to calculate anomalies for
254 both areas' evaluated months, i.e., April and October, NDVI mean is calculated for the entire
255 period (2003-2018) for April as well as October months. For our purpose, only NDVI values that
256 are ≥ 0.25 are used and NDVI anomalies are calculated for each year by subtracting each pixel
257 value from the overall mean. This process results in NDVI anomaly maps of each year from
258 2003 to 2018 for both April and October datasets, respectively. The status of vegetation cover
259 expressed as anomalies maps with vegetation cover areas that are above the mean (increased),
260 close to mean (no change), and below the mean (declined) in Section 4.2.1. Following this
261 principle, the NDVI anomalies are calculated for each pixel using (Yang et al., 2014)

$$X_{Anomaly}(i, j) = X(i, j) - \frac{1}{n} \sum_{j=1}^n X(i, j), \quad (1)$$

262 where X represents the NDVI values, i is the month (e.g. April or October), j is the year, and n
263 is the total number of years. In this case, two sets of NDVI anomalies are calculated for April and
264 October months to obtain area of vegetation that have changed in regards to the overall mean.
265 The Colorado Centre for Astrodynamics Research (CCAR) mascon visualization tool offers a
266 ‘deseason’ option that presents the gridded Jet Propulsion Laboratory (JPL) GRACE total
267 water storage (TWS) data as TWS anomalies, which signifies readily present TWS anomaly
268 data that requires no further calculations and can be used with the calculated NDVI anomalies
269 for further analyses, i.e., horspot areas evaluation.

270 *3.5. Principal Component Analysis (PCA)*

271 The principal component analysis (PCA) represents a statistical method that is able to
272 reduce the dimensionality of BoM Australia-wide rainfall data but still retain the most dominant
273 spatio-temporal variations of the original dataset ([Awange et al., 2019, 2020](#)). Here, PCA
274 expresses the original dataset by modes each represented by, i.e., empirical orthogonal functions
275 (EOFs) representing spatial patterns and principal components (PCs time series) representing
276 temporal variabilities. In this study the number of modes required to adequately represent
277 the dimensionality of the original dataset is selected so that the cumulative variance reflects at
278 least 95% of the variability of the original dataset ([Preisendorfer., 1988](#)). While the original
279 dataset can be recovered through the sum of all EOFs multiplied by their respective PCs, for
280 a single PCA mode, the multiplication of the respective pair of EOF and PC represents the
281 spatio-tempoiral variability contained by that mode. The study of the most dominant PCA
282 modes can help in a better understanding of the relationship between rainfall and vegetation
283 changes.

284 4. Results & Discussion

285 4.1. Temporal evolution of vegetation anomalies

286 4.1.1. Vegetation change of different year intervals from 2003

287 The vegetation area for both April and October datasets are derived for 2003, respectively,
288 and are used as references (e.g., base year) for comparison with every three year's data up
289 to 2018, i.e., 2003-2006, 2003-2009, 2003-2012, 2003-2015, and 2003-2018, as shown in Figure
290 2. For April, the highest total vegetation area decline is for the 2003-2006 period, with an
291 overall 13.85% decline of the total vegetation areas during that period. According to Figures
292 2A, C, and E, areas of vegetation decline occur primarily within the northern, eastern, central
293 and south-eastern parts of Australia. Furthermore, April in this period (2003-2006) has also
294 experienced an increase of 14.71% of total vegetation areas. For October, the period 2003-
295 2006 has an increase by 11.02% of total vegetation coverage, with areas of vegetation increase
296 primarily being in the northern and western to central parts of Australia (Figures 2B, D and
297 F), some of which are the same areas of vegetation increase during the month of April within
298 the same period (Figure 2E). This possibly indicates a period of consistent growth within April
299 and October during the period 2003-2006. As a general interpretation, the results indicate that
300 the wet period had mostly impacted on Western Australia while little to no impact is noticeable
301 in northern, central and eastern Australia, where vegetation is largely decreasing (Ma et al.,
302 2016).

303 Vegetation cover in April appears to have experienced considerable decline by 12.11% over
304 almost the entire Australia for the interval 2003-2009 in comparison to 2003. In October within
305 the same period, the total areas of vegetation show a decrease of 8.32%, while the total area
306 of vegetation for period 2003-2009 increased by 6.37%. This period (2003-2009) coincided with
307 the Millennium Drought (Van Dijk et al., 2013), which may justify vegetation cover decline as
308 the most prominent reason.

309 For the 2003-2012 period, the total area of vegetation increased significantly in the month
310 of April as demonstrate by a highly positive deviation from the overall mean while during the
311 same period, some areas experienced decline, i.e., negative deviation from the overall mean.
312 Areas of vegetation cover increased by 24.26% compared to a decline of only 4.28%. This could
313 indicate that the impact of the Millennium Drought was compensated by noticeable increase

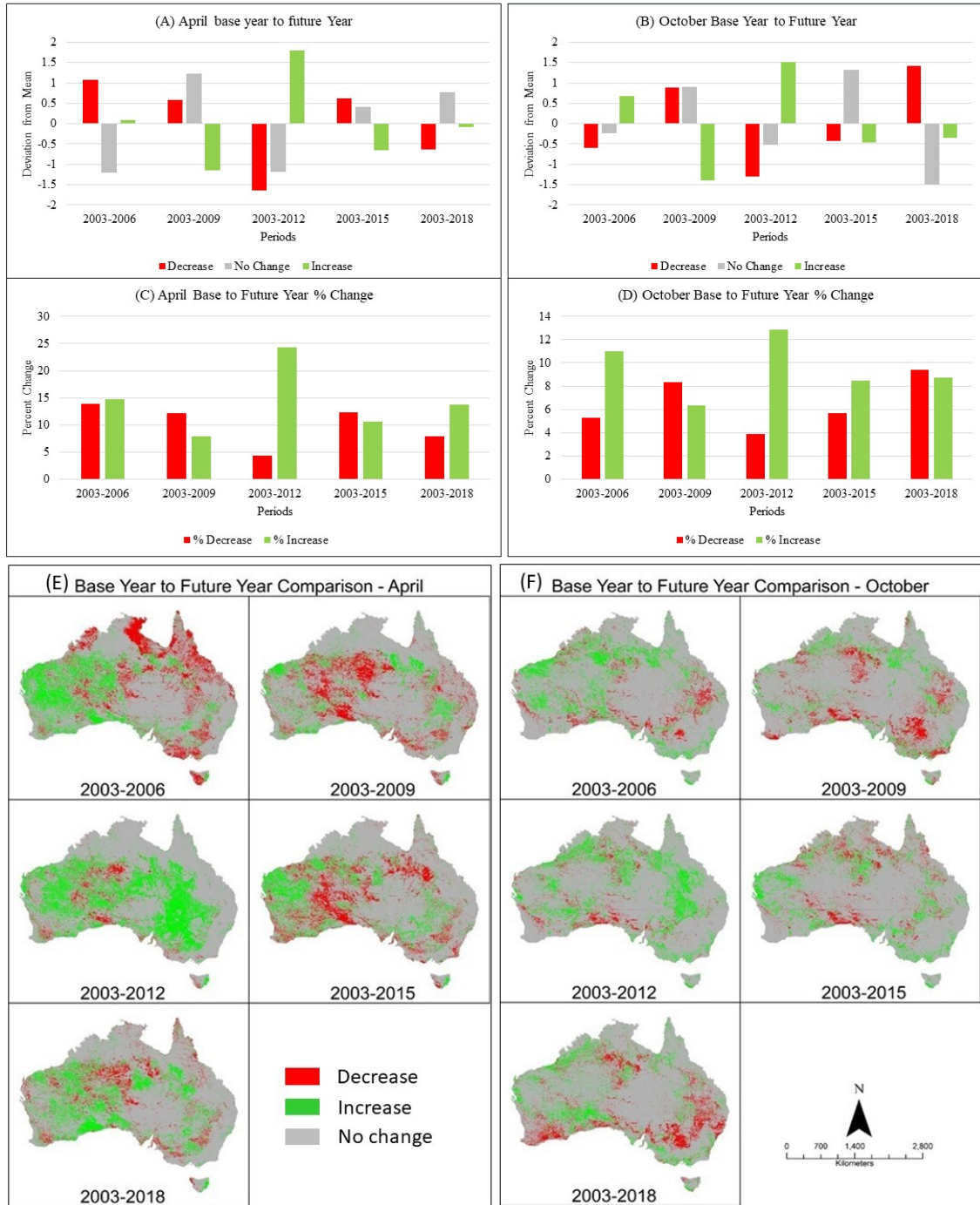


Figure 2: The Figure represents three-year changes in vegetation areas for April and October compared to 2003. Figures A, C, and E (left panels) represent April 2003 (Base year) compared to 2006, 2009, 2012, 2015, and 2018 (Future Years) while B, D, and F (right panels) represent October 2003 (Base year) compared to 2006, 2009, 2012, 2015, and 2018 (Future Years) for temporal vegetation change analyses.

314 in vegetation cover, e.g., between 2009-2012, where the net vegetation cover increase was much
315 larger than the net decrease between 2003-2009. Similarly, areas of vegetation cover with
316 increase in October is measured to be above the overall mean with an increase by 12.86% of the
317 total vegetation coverage. Meanwhile, the areas of vegetation cover decreased by 3.88%, which
318 is below the overall mean. This indicates a period of vegetation increase for both April and
319 October for the 2003-2012 period, and in particular, a significant increase can be observed over
320 the Murray-Darling Basin (Figures 2E and F) as a result of 2011-2012 floods. The occurrence
321 of vegetation increase can be explained by a strong La Niña event in early 2010 that brought
322 high precipitation that affected mainly areas within eastern Australia, which signified the end
323 of the Millennium Drought (King et al., 2014; Ma et al., 2015; Van Dijk et al., 2013). The
324 strong La Niña event can be observed from the Principal Component Analysis (i.e., PC1 and
325 PC2; Figure 7 in Section 4.2.4), which shows a large positive deviation that indicates above
326 average rainfall in eastern Australia (strongly along the east coast) in the 2010-2011 period,
327 and below average rainfall for other parts, i.e., central, southern and Western Australia in early
328 2010. This is followed by another positive deviation at the end of 2010 that is reflected by a
329 significant increase of total water storage as displayed in Figure 6 that recorded a maximum in
330 December 2010.

331 The 2003-2015 April period shows larger areas of vegetation cover decrease in comparison
332 to other periods, i.e., 2003-2012, with a total of 12.25% compared to areas of vegetation cover
333 that increased by 10.63% for same month. For October, areas of vegetation cover declined
334 by 5.65%, which is lower than the total area of vegetation cover that experienced an increase
335 of 8.44%. Areas of increased vegetation cover largely reduced from 24.26% to 10.63% for the
336 month of April between the periods of 2003-2012 and 2003-2015. The reduction of vegetation
337 areas after April 2012 corroborates the findings of Ma et al. (2016) that recorded a transition
338 towards drier conditions after the 2010-2011 wet period, which reduced the availability of water
339 vital for vegetation growth. Effectively, the positive impact from the strong La Niña event
340 lasted only for 1-2 years, e.g., may be interpreted as a short wet-period within the Millennium
341 Drought that seemed to have lasted beyond 2012 (King et al., 2014; Ma et al., 2015; Van Dijk
342 et al., 2013).

343 Finally, for the 2003-2018 period, which represents a long-term period when compared with
344 other periods, i.e., 16 years of temporal vegetation changes, for the month of April, areas

345 of vegetation cover a larger overall increase than decline. This behavior is quantified by the
346 percentage change in which overall areas of vegetation increased by 13.77% throughout the
347 study period compared to a decrease of 7.82%, indicating that overall, Australia experienced
348 an increase in vegetation cover for the month of April over the 2003-2018 period. For the
349 month of October, areas of vegetation cover decreased by 9.41%, i.e., slightly larger than areas
350 of vegetation cover increase of 8.71%, signifying that vegetation cover slightly decreased within
351 the study period of 2003-2018.

352 *4.1.2. Vegetation change between 3-year interval epochs*

353 Vegetation area changes for each 3-year interval within the period 2003-2118, i.e., 2003-2006,
354 2006-2009, 2009-2012, 2012-2015, and 2015-2018, are calculated for both April and October
355 datasets, respectively. Area change analysis between epochs enables vegetation activities to
356 be measured during a specific 3-year period. As mentioned in section 4.1.1 in regards to
357 2003-2006 period during April, total area of vegetation cover decreased by 13.85%, while the
358 areas of vegetation cover increased by 14.71% during the same period (Figure 3C). As already
359 identified in section 4.1.1, areas of vegetation decline during this period occur primarily within
360 the northern, eastern, central and south-eastern parts of Australia (Figure 3E). Within the
361 same epoch, most areas that showed a decrease in vegetation cover in April are showing no
362 change in October along with some other areas experiencing vegetation increase (Figure 3),
363 indicating that these areas experience continued vegetation decrease during this period.

364 Changes in April during the 2006-2009 epoch generally show an opposite behavior to the
365 changes during the 2003-2006 epoch (Figures 2E and 3E). Areas that previously showed a
366 decrease/increase now show an increase/decrease, e.g., indicating that vegetation area loss/gain
367 during 2003-2006 has been reversed during 2006-2009. Areas of vegetation declined in April by
368 16.83%, while areas of vegetation cover increased by 11.74%. Vegetation in October visualized
369 in Figure 3F also displays an inverse behaviour in which previous areas of vegetation increase
370 in 2003-2006 are transformed to areas of vegetation decrease and vice versa during 2006-2009.
371 For October, the 2006-2009 epoch measured 11.51% of overall vegetation decline in comparison
372 to areas of vegetation increase by 3.84% (Figures 3B and D). The vegetation cover areas status
373 between April and October indicate that the decrease of vegetation is more prominent within
374 2006-2009 compared to 2003-2006 period, which experienced a wet period during 2005-2006
375 (Ma et al., 2016).

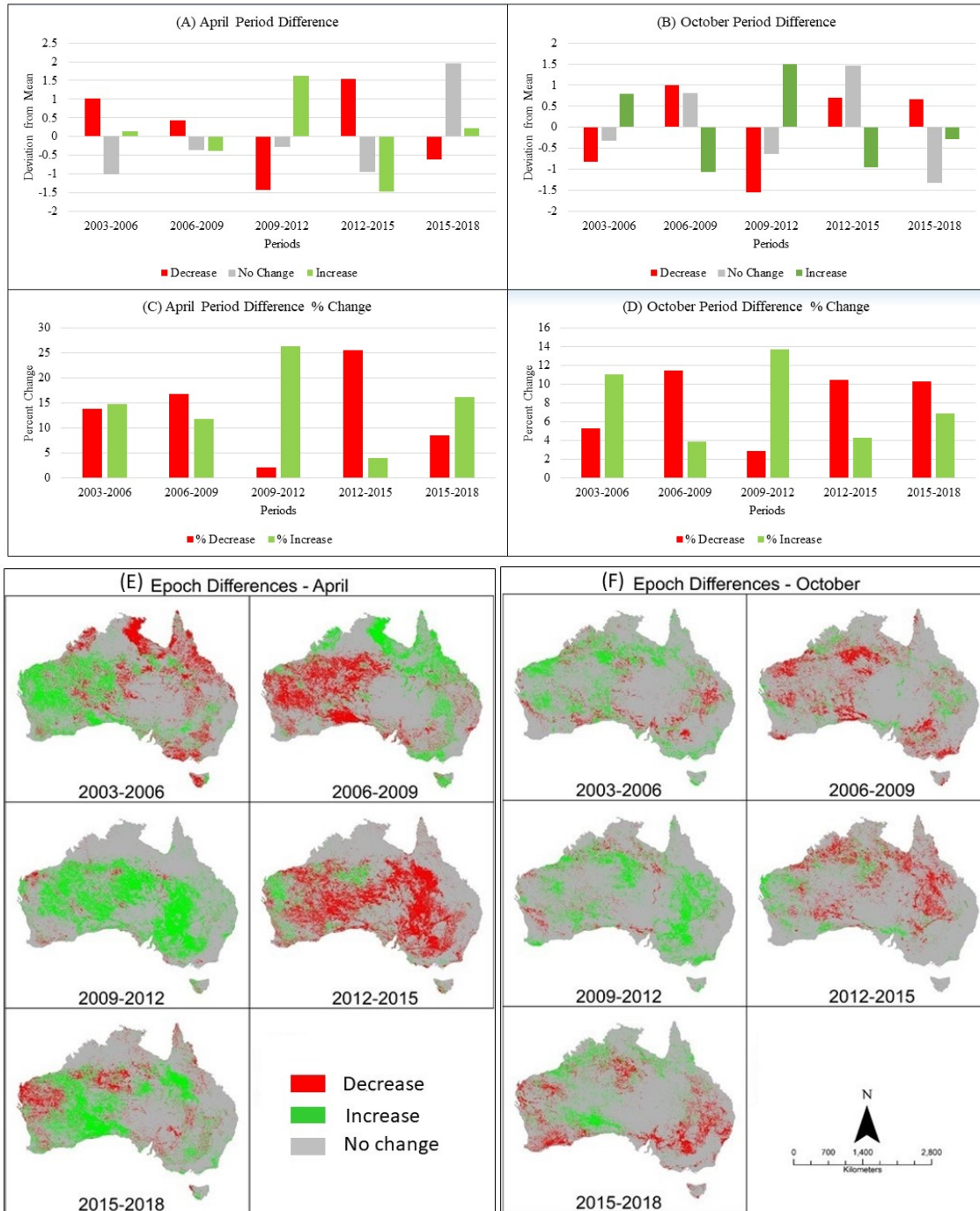


Figure 3: Figures A, C, and E represent April Epochs, i.e., 2003-2006, 2006-2009, 2009-2012, 2012-2015, 2015-2018, and B, D, and F represent October Epochs, i.e., 2003-2006, 2006-2009, 2009-2012, 2012-2015, 2015-2018 for temporal analysis for vegetation variation.

376 The 2009-2012 epoch displayed considerable increase in the area of vegetation coverage across
 377 Australia for the month of April (Figures 3C and E), with a total area increase of 26.37% and

378 loss of vegetated areas of only 2.11%. During the month of October, however, areas of increased
379 vegetation that have in April reduced from 26.37% to 13.76%, while vegetation areas decreased
380 in October, remained at 2.83% (i.e., a similar low level as in April). The growth of vegetation
381 over eastern Australia shown in Figure 3F remained as areas of increase vegetation as observed
382 in April. The high increase of vegetation areas can be attributed to a strong La Niña event that
383 resulted in high amounts of rainfall greatly affecting the eastern Australia (King et al., 2014;
384 Ma et al., 2015; Van Dijk et al., 2013), which can also be seen in Figure 7 during 2010-2011
385 as previously explained. Vegetation increase during this epoch (2009-2012) corroborates the
386 results of Ma et al. (2015), which reported Australia as being one of the strongest global land
387 carbon sinks in 2011.

388 Vegetation cover areas that increased during 2009-2012 do not appear to be present within
389 the 2012-2015 epoch as areas of declined vegetation can be observed in Figures 3A and 3B
390 to have encompassed most of the areas of vegetation increase that were present during 2009-
391 2012 epoch. For April, areas of decreased vegetation were 25.58% of total vegetation area in
392 2012-2015 compared to the 2009-2012 epoch, which recorded areas of decreased vegetation to
393 be 2.11%. Areas of increased vegetation before suffered a large reduction from 26.33% in 2009-
394 2012 to 3.98% in 2012-2015. Vegetation area recorded for the month of October in 2012-2015
395 also follow a similar pattern to that of April in which vegetation showed opposite behaviour to
396 what was recorded in 2009-2012, in which areas of vegetation that decreased before in 2009-2012
397 period rose from 2.83% to 10.49%, while previously areas of increased vegetation now reduced
398 from 13.76% to 4.3%. Vegetation decrease and increase show inverse pattern between the 2009-
399 2012 and 2012-2015 epochs for both April and October, which can be explained by a transition
400 from anomalously high rainfall in 2010-2011 to drought conditions in 2012 that exacerbate dry
401 conditions detrimental for vegetation growth (Ma et al., 2015).

402 The final epoch of 2015-2018 experienced an increase in vegetation areas of 16.13% whereas
403 areas of vegetation reduced by 8.58%, indicating that the 2015-2018 epoch represents a period of
404 climatic conditions favouring vegetation growth for the month of April. These multi-year vari-
405 ations are demonstrated in Figure 3E in which various areas that suffered vegetation decrease
406 during the previous 2012-2015 epoch now transitioned towards areas of vegetation increase. In
407 the month of October, areas of vegetation that declined remained higher than areas of vegetation
408 that increased, i.e., 10.33% and 6.85%, respectively. Areas of decreases vegetation in October

409 did not experience large changes, i.e., 10.49% in 2012-2015 and 10.33% in 2015-2018, while areas
410 of increased vegetation experienced a growth from 4.3% to 6.85%. The overall vegetation area
411 changes for the epochs in the month of April show areas of vegetation that decreased before are
412 further declining, indicating that the decreases in vegetation areas are lessening whereas the
413 overall trend of areas of vegetation that decreased for the epochs in October suggests otherwise,
414 in which the trend for areas of vegetation cover decrease is rising.

415 *4.2. Evaluation of hotspot areas*

416 *4.2.1. Mann-Kendall Trend Analysis for MODIS NDVI Anomalies*

417 The Mann-Kendall trend analysis detects linear trend of pixel behaviour, taking into account
418 whether there have been more occurrences of NDVI anomaly below the mean versus above
419 the mean and vice versa. In order to evaluate pixel values during a specific year, maps that
420 represent anomalous NDVI values of every year between 2003-2018 are generated for both April
421 and October NDVI datasets (Figures 4A and B). These figures show the temporal variation
422 for NDVI anomalies values for both months covering every consecutive year from 2003 to 2018.
423 Examining these figures, they often show a north-south pattern that relates to the north-south
424 rainfall seasonality (see also PCA analysis of rainfall in Section 4.2.4). For example, April maps
425 show that northern regions experienced some areas with NDVI values below average. This is
426 most likely related to below average rainfall as the rainy season ends in April for the northern
427 region except 2011 (flood events). The same pattern is observed for southern regions in the
428 October which coincides with the end of rainy season.

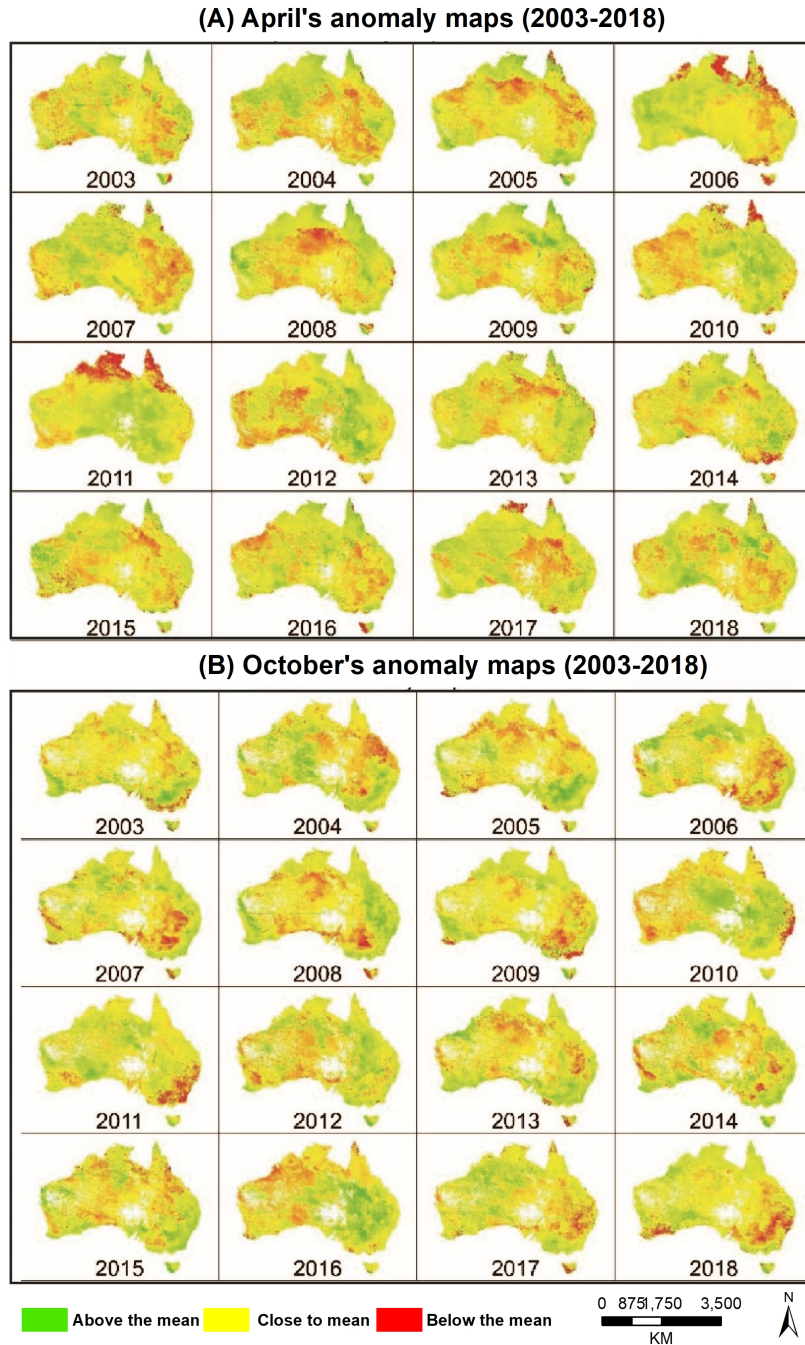


Figure 4: Anomaly maps for (a) April and (b) October, for 2003-2018 period. NDVI values smaller/larger than mean for each year are shown as red/green while yellow represents NDVI values that are close to the long-term mean (regarded as similar to mean).

429 *4.2.2. Identification of hotspot areas*

430 Figure 5 presents the results of the Mann-Kendall trend analysis of all individual pixels
431 with a p-value < 0.05 for both the month of April and October, respectively. Each pixel is
432 represented by its own unique linear trend classified as either to be an increase in vegetation,
433 no change in vegetation, or a decrease in vegetation. Figure 5A displays vegetation changes for
434 the month of April during the period (2003-2018), where vegetation is shown to be increasing
435 in the south-west and south-east areas. Figure 5B shows the vegetation status for the month
436 of October and how it has behaved over every year within the study period in which there
437 are discernible areas of vegetation decrease that can be identified unlike the month of April in
438 Figure 5A. Within Figure 5B, pixels that display negative trends of vegetation growth can be
439 visualized to be situated along the coastline of South Australia, and mid to south of Western
440 Australia.

441 Areas with decreasing trend in October are highlighted and labelled A2 and B2 in Figure
442 5B. The same areas are also identified in Figure 5A (labeled A1 and B1) for the month of April
443 for the purpose of comparison of vegetation behaviour in the identified areas between April and
444 October. Area A2 (hotspot regions that have constantly experienced statistically significant
445 decrease in NDVI) in Figure 5B and area A1 in Figure 5A display pixels located within south
446 Western Australia in which vegetation in October indicated by area A2 is largely recorded to
447 have a decreasing trend in the middle while increasing along the east and south-east. Area
448 A1 for the month of April display some increase in the north, east, and south-east (within the
449 highlighted area), while experiencing no change in the middle. For both area A1 and A2 within
450 the highlighted area, pixels along the east and south-east of the highlighted area boundary show
451 a trend of vegetation increase for both April and October throughout the study period. Within
452 the middle of the highlighted area boundary, area A2 displays pixels with a trend of vegetation
453 decrease whereas area A1 displays largely no change, indicating a lack of vegetation growth in
454 that area for April and October over the study period.

455 Area B2 (hotspot regions that have constantly experienced statistically significant decrease
456 in NDVI) labelled in Figure 5B represent pixels along the coastline of South Australia in October
457 and is comparable to area labelled B1 in Figure 5A for the month of April. Pixels within area
458 B2 measured a trend of vegetation decrease along the South Australian coastline whereas area
459 B1 shows no change, signifying that the pixels have not experienced vegetation growth for either

460 months.

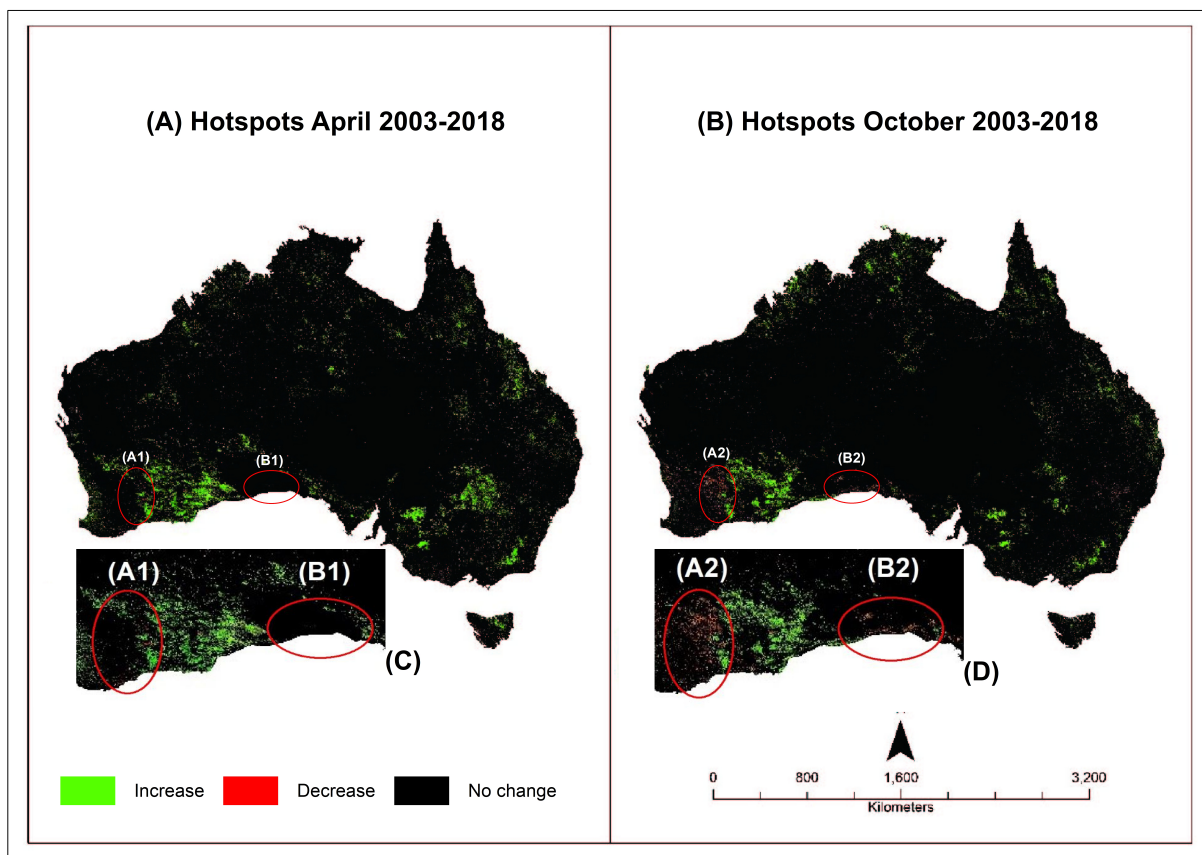


Figure 5: Results of the Mann-Kendall trend analysis, (A) April vegetation with A1 and B1 representing areas in the month of April that correspond with identified hotspots for Western Australia and South Australia, respectively, and (B), October vegetation and hotspots identified, i.e., A2 and B2, in the month of October 2003-2018 for same locations. Only pixels with p -values < 0.05 are shown for both months, i.e., April and October. The black color indicate non-significant trends of vegetation. (c) and (D) are zoomed hotspot inset maps for April and October, respectively.

4.2.3. Hotspot evaluation with corresponding GRACE Mascon Data

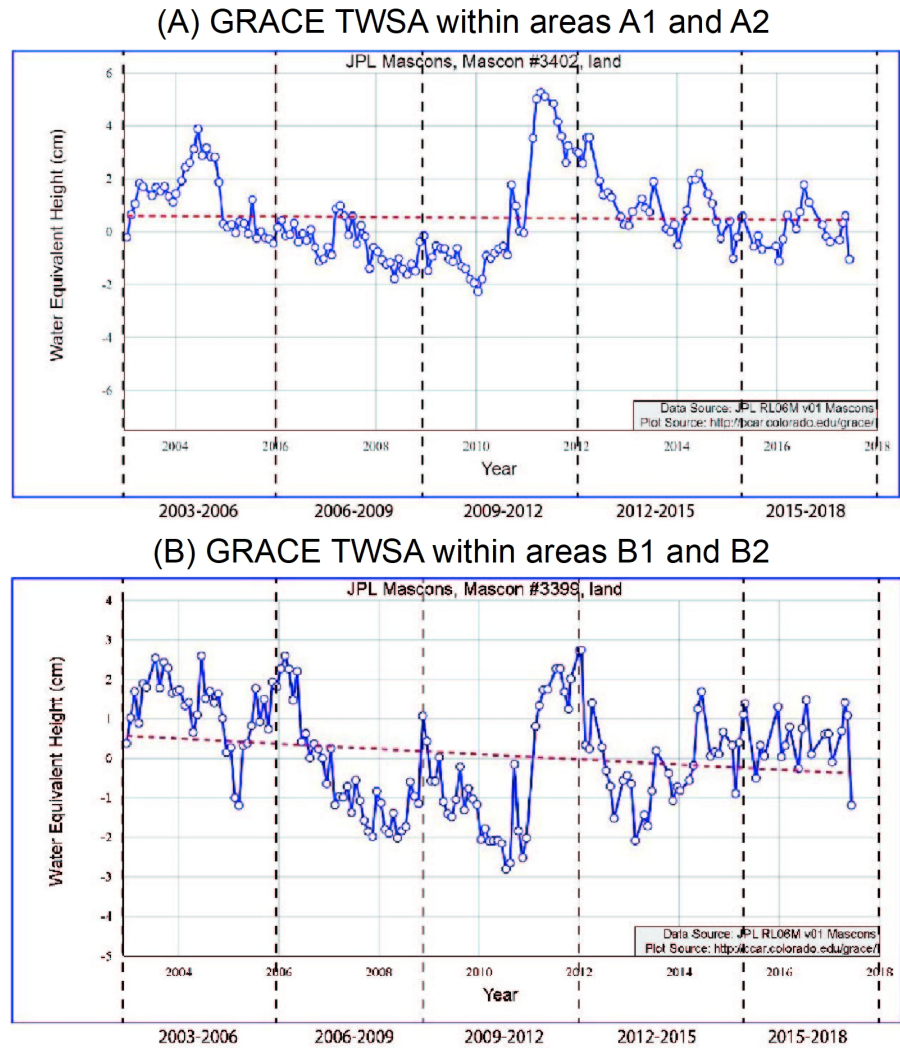
Soil moisture represents an important hydrological component towards vegetation growth as it is where the vegetation root zone lies (Agutu et al., 2017; Khaki et al., 2019). Previous studies have discovered a strong positive relationship between soil moisture and NDVI across mainland Australia when soil moisture precedes NDVI by one month (Chen et al., 2014; Yang et al., 2014). It should be noted that this was a general assessment of soil moisture and NDVI, as soil moisture may vary regionally and locally across Australia. GRACE TWSA data derived from the mascon visualization tool represents the sum of surface water, groundwater, soil moisture,

469 vegetation water, ice and snow (Awange et al., 2011; Jiang et al., 2014). For reasons explained
470 in Section 2.3, GRACE TWSA data is used to represent the soil moisture changes throughout
471 the study period and compared here through visualization to assess relative changes between
472 vegetation and soil moisture within the selected hotspot areas (Section 4.2.2). Changes in
473 soil moisture are assumed to be dominant as within the hotspots, surface water changes are
474 negligible in terms of impacts on vegetation growth and so are groundwater changes. The
475 hotspot areas experience no snow nor ice and as such, changes associated with them do not
476 exist.

477 Figure 6 shows relative hydrological changes for the two hotspot areas, i.e., A1/A2 and
478 B1/B2, throughout the study period. As mentioned earlier, the Millennium drought had adverse
479 effects on Australia-wide vegetation from 2001-2009 (Ma et al., 2016). The effects of this can be
480 observed in Figures 6A and 6B from 2003-2010, in which the TWSA of both mascons display
481 a decreasing trend. GRACE TWSA in Figure 6B is shown to experience a decreasing trend of
482 larger magnitude during this period compared to Figure 6A, which may be due to the fact that
483 area B1/B2 lies closer to the coast than area A1/A2, as being situated near the coastline may
484 have seepage effects from the ocean (see e.g., Awange et al. (2009)).

485 As mentioned earlier in Section 4.1.2, vegetation increase was observed in both April and
486 October during the epoch 2009-2012, which can be explained by a strong La Niña event in 2010-
487 2011 that brought high precipitation that affected mainly areas within eastern Australia (King
488 et al., 2014; Ma et al., 2015; Van Dijk et al., 2013). Although the La Niña event affected eastern
489 Australia greatly, mascon TWSA illustrate large increase between December 2010 - February
490 2011 in both hotspot areas that are located within south Western Australia and along the
491 coastline of South Australia, respectively. The Indian Ocean Dipole (IOD) has been found to
492 affect rainfall patterns of western and southern Australia during the winter and spring (King et
493 al., 2014). The steep and large increase of the mascon TWSA during December 2010 - February
494 2011 could possibly be due to a negative IOD event that co-evolved with the La Niña conditions
495 in 2010 (Forootan et al., 2016), bringing high precipitation towards the hotspot areas that may
496 have recharged the TWS of the areas. The steep increase in TWSA within both hotspot areas
497 were not long lasting as TWSA can be observed to experience a large reduction after 2012. This
498 may be due to a transition towards anomalously dry conditions recorded in 2012-2013 (Ma et
499 al., 2015). After 2013, TWSA for both areas can be observed to exhibit stabilizing behavior

500 (e.g., no significant increase or decrease) for the remainder of the study period.



501 4.2.4. Principal Component Analysis (PCA) evaluation of BoM rainfall data over hotspots

502 Results of the PCA calculations on monthly BoM rainfall data are displayed in Figure 7,
503 which consist of the three most dominant PCA ranked from higher to lower variance; total
504 variance accounts for more than 99% of the total variance) that collectively represent the total
505 Australia-wide rainfall from 2003-2018. PC1 shows variation of annual rainfall pattern that

506 accounts for 84.3% of the total rainfall variance, PC2 and PC3 represent anomalous extreme
507 climate events accounting, respectively, 9.0% and 6.6% of the total variance. In terms of PC1,
508 rainfall exhibits regular annual variation across Australia throughout the study period, in which
509 rainfall for north and northeast of Australia occurs mostly during the summer whereas rainfall
510 south and southwest occurs during the winter (see also [Awange et al. \(2009, 2011\)](#); [Rieser et
511 al. \(2010\)](#)).

512 Rainfall variability are more distinguishable within PC2 and PC3. During the millennium
513 drought that coincide within 2003-2009 period of the study, PC2's time series shows increasingly
514 positive values that, together with the corresponding EOF, indicate an increasing lack of rainfall
515 for both hotspot areas A and B. This corroborates with Figure 6A and B that displays negative
516 TWSA trends during 2003-2009 for both hotspots, which may be due to the lack of rainfall
517 within the hotspot areas, i.e., A1/A2, and B1/B2 in Figure 7. Rainfall anomalies of the PC3
518 time series also illustrate similar behaviour during 2003-2009, in which the driest event occurred
519 in 2008. The impact on vegetation of the extreme dry conditions in 2008 resulted in a decrease
520 of vegetation that can be observed in Figures 3E and 3F during the 2006-2009 epoch for both
521 April and October, respectively.

522 Both hotspots' TWSA in Figure 6A and B showed a steep and large positive trend between
523 December 2010 - February 2011. The hydrological changes of TWSA can be explained by
524 rainfall anomalies as illustrated in the time series of PC1 and PC3, by which a considerable
525 increase in rainfall can be observed to have occurred within both hotspot areas towards the end
526 of 2010 and the beginning of 2011. The impact of the precipitation event on NDVI anomalies
527 is displayed within Figures 4A and B, whereby both April and October 2011 anomalies map
528 showed signs of greening within the hotspots.

529 However, the greening event was short-lived, as anomalously dry conditions became again
530 more pervasive after 2012 ([Ma et al., 2016](#)). The decline in rainfall is predominantly seen PC1
531 showing considerably reduced rainfall during the summers 2011-12, 2012-13 and 2015-2016. To
532 a much lesser extent this can be seen in PC3. Vegetation after 2012 is shown to be decreasing
533 Australia-wide, particularly for the eastern states as outlined in Figures 3E and 3F in 2012-
534 2015 for both April and October, respectively. In general, rainfall variability over hotspot
535 A1/A2 during 2012-2015 is shown to be decreasing whereas rainfall variability over hotspot
536 B1/B2, although low, does exhibit positive rainfall anomalies but may not be enough to sustain

537 vegetation increase due to possible runoff and evapotranspiration or anthropogenic activities.

538 In summary, changes in both hotspots relative to hydrological changes based on GRACE
539 TWSA reflect a similar decreasing trend from 2003-2009, which can be attributed to the mil-
540 lennium drought that occurred within this period. For the same period, rainfall as shown in the
541 PCA results illustrate negative anomalies for both hotspots in Western Australia and in South
542 Australia, thus contributing towards the low level in TWSA as a lack of rainfall may prevent
543 water storage recharge. Mascon TWSA is shown to exhibit an increasing trend in 2009-2012
544 that peaked in 2011. This increase is likely due to a negative IOD event that co-evolved with
545 La Niña conditions in 2010-2011, causing high precipitation towards the hotspot areas. This
546 increased precipitation event is evident in the PC1 and PC3 time series as an increase in rainfall
547 can be observed to have occurred within both hotspot areas towards the end of 2010 and the
548 beginning of 2011. Both mascon TWSA then exhibit a steep negative trend after 2012, which
549 may be due to a transition towards dry conditions recorded in 2012-2013. Rainfall within 2012-
550 2015 differs for both hotspots as rainfall anomalies over hotspot A1/A2 (in Western Australia)
551 are found to indicate below average rainfall and over hotspot B1/B2 to show above average
552 rainfall. However, vegetation after 2012 is found to be decreasing Australia-wide, indicating
553 that the positive anomalies of rainfall over hotspot B1/B2 (in South Australia) may not have
554 sustained the total water storage of that area due to possible runoff and evapotranspiration or
555 anthropogenic activities. The decrease in TWSA seemed to have stopped after 2012 indicating
556 some stabilizing behavior for the remainder of the study period.

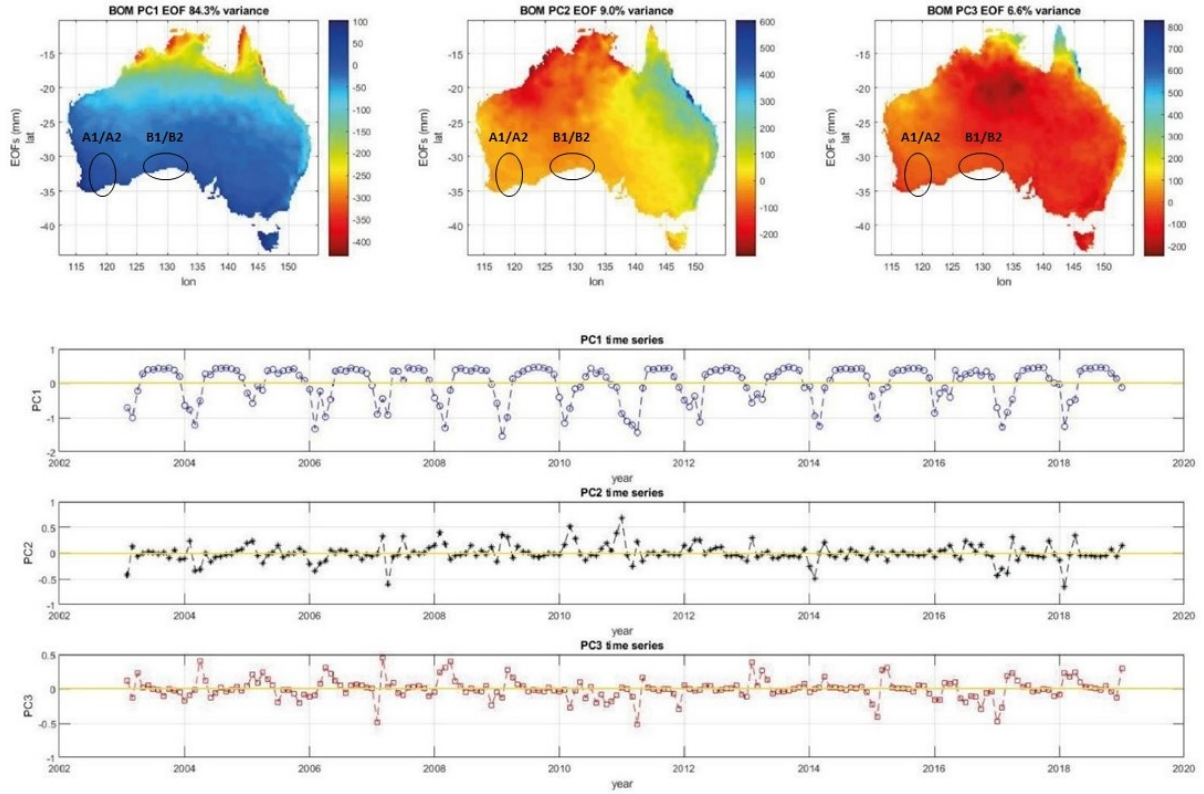


Figure 7: Spatial Pattern and time series of the first three most dominant PCA modes of Australia-wide rainfall 2003-2018. PC1 indicates the annual rainfall variability while PC2 and PC3 capture some extreme climatic events. A1 and B1 represent areas in the month of April that correspond with identified hotspot areas A2 and B2 in the month of October for Western Australia and South Australia, respectively.

557 **5. Conclusion**

558 This study employed MODIS data at its native spatial resolution ($0.002413^\circ \times 0.002413^\circ$)
559 rarely used before to investigate the effects of extreme climate on Australia’s green cover dur-
560 ing 2003-2018 for the months of April and October with the aim of determining the state of
561 vegetation and its changes. Also, the identification of the NDVI change “hotspots”, and relat-
562 ing these changes to GRACE total water storage changes and Australia-wide rainfall have not
563 been previously undertaken. This study found that Australia’s vegetation cover experienced
564 considerable temporal variation throughout the study period 2003-2018. In particular:

- 565 1. Both April and October show the same vegetation increasing pattern when the rainy
566 season ended in northern and southern regions, respectively. In April, the vegetation ex-
567 hibited more increase in northern Australia and more decrease in south while for October,
568 it experienced more decrease in north and more increase in south throughout 2003-2018
569 period.
- 570 2. Analysing 3-year interval changes during the period 2003-2018 indicated that for April,
571 vegetation decrease was very high for the 2006-2009 and 2012-2015 periods while 2009-
572 2012 and 2015-2018 showed increase in vegetation. Within these epochs, October shows
573 similar behaviour with the exception of the 2015-2018 period, in which vegetation decrease
574 was shown to be higher. The variation in rainfall (wet and dry seasons) during the
575 evaluated years might explain the increase and decrease in vegetation cover changes for
576 Australia-wide indicating some multi-year variation.
- 577 3. Two hotspot regions that constantly experienced statistically significant decrease in NDVI
578 are identified in Western Australia and South Australia, where vegetation decrease is
579 noticed in October and no change in April during 2003-2018.
- 580 4. Both hotspots above experienced hydrological decrease and increase based on GRACE
581 TWSA for the periods 2003-2009 and 2009-2012, respectively. These hydrological vari-
582 ations for both hotspot areas might be attributed to the millennium drought for the
583 2003-2009 period and a negative IOD event that co-evolved with La Niña conditions
584 leading to increased rainfall in 2010-2011 for 2009-2012 period.

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