



**Economic development, cargo handling methods and labour process change: The place of the Vietnamese dock worker in the 'global' history of dock work**

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3 **‘Economic development, cargo handling methods and labour process change:**  
4 **The place of the Vietnamese dock worker in the ‘global’ history of dock work’**  
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8 **Abstract**  
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10 *The advent of containerised cargo completely revolutionised shipping and world trade, also*  
11 *transforming the way that dock workers did their jobs. As a result, the docks are one of the*  
12 *most academically scrutinised industries. However, much of the research in this stream is*  
13 *limited to developed countries. Just as the economies of South East Asia are expanding*  
14 *rapidly and gaining increased attention, so more emphasis needs to be placed on the way*  
15 *economic growth and modernisation affect management, employment and administration of*  
16 *key industries in these countries. To these ends, this article will explore the modernisation of*  
17 *the Vietnamese dock industry and examine whether workers there have experienced similar*  
18 *challenges as their counterparts elsewhere, despite the country’s very different path and*  
19 *timing of economic development.*  
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31 **Keywords: Dock workers; ports; cargo handling; containerisation; Vietnam.**  
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## Introduction

Historically, international trade has been reliant on moving goods and commodities via sea, while the relatively new innovation of mass transportation of container-borne cargo has contributed to the emergence of a global marketplace and the creeping dominance of multinational companies and global capital. It is no surprise then that the dock industry, as the nodal point where sea meets land and cargoes are forwarded to market, has been the subject of a varied and substantial body of academic research. Alongside practical studies relating to port performance, throughput and logistics, significant focus has been placed on management and employment at ports through historical and sociological examinations of workplace relationships in the context of the structural and technological progress of the shipping and dock industries.

One of the key concepts to emerge from this literature is that there are certain features of the dock industry that are universal, regardless of location. Precisely because of the uniformity of cargo handling, that is that the loading and unloading of a ship is strikingly similar in every country and region of the world, studies that consider employment in the dock industry usually cluster around several common themes. Fundamentally, until the container revolution, the process and methods of cargo handling had remained relatively unchanged for centuries. The complexities of handling break-bulk (non-containerised) cargo and the cyclical and market contingent nature of ship-borne trade traditionally lent themselves to temporary and casual engagement of a dock labour force (Turnbull & Sapsford, 1991; Phillips & Whiteside, 1985; Mangan, 1979). Reciprocally, this had an influence over hiring practices, payment systems and the way that work was organised and supervised (Davis, 2003; Green, 2000; Turnbull et al, 1992; Wilson, 1972; Jensen, 1964). It is argued that these structural characteristics of the shipping and dock industries, and their impact on the nature and location of dockland communities, had a major impact in shaping employment relationships, industrial relations and dock worker occupational culture (Panjwani, 2000; Turnbull, 1992a; Miller, 1969; Kerr & Siegel, 1964).

In the 1960s and 70s, advances in cargo handling technology revolutionised the shipping industry in developed economies, spurred on global trade and completely changed the way that dock workers did their jobs (Lindop, 1998; Herod, 1998; Turnbull & Wass, 1994; Phillips & Whiteside, 1985). Dock industries around the world had hitherto typically been labour intensive and often prone to industrial conflict (Mah, 2014; Davis 2003; McIlroy,

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3 1996; Sheridan 1994; Lindop, 1983). The transition to container-borne cargo demanded  
4 significant capital investment in machinery and equipment and therefore also forced  
5 rationalisation of the way that docks were regulated and administered. In Britain, for  
6 example, greater state intervention and regulation became a feature of the industry, at least in  
7 the initial and intermediate stages of modernisation, as the government sought to increase  
8 stability and improve industrial harmony (Joint Special Committee on the Ports Industry,  
9 1972; Devlin 1965). Several other countries such as the US, the Netherlands and Australia  
10 had already moved to regulate employment in the industry to improve labour relations even  
11 prior to containerisation (Turnbull & Sapsford, 2001). Increasingly, more permanent  
12 engagement and enhanced terms and conditions for workers were traded-off against the  
13 prospect of workforce downsizing, the standardisation of work practices and the  
14 formalisation of the employment relationship to facilitate the introduction of container traffic  
15 to ports, although this was often an uneven and volatile process (Taylor, 2017; Green, 2000;  
16 Lindop 1998; Oram, 1970).

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28 Many of the major ports in developed economies have been the subject of  
29 examination from some of these thematic points of departure. From London and New York  
30 (Davis, 2003; Lindop, 1983; Hill, 1976) to San Francisco and Hamburg (Cherny, 2000;  
31 Weinbauer, 1997) and Antwerp and Le Havre (Vanfraechem, 2002; Barzman, 2000) to  
32 Auckland and Montreal (Green, 2001; Bellamy-Foster, 1986), the nature of dock work and  
33 the changes and challenges those employed in it have faced have been examined within  
34 various national contexts. Several historically illustrious shipping hubs outside of the  
35 Western sphere such as Shanghai, Bombay, Lagos and Mombasa have also been the subject  
36 of analysis (Johnson, 2000; Panjwani, 2000; Cooper, 1987; Waterman, 1982). In turn, this  
37 has encouraged comparative studies of ports in different countries (see, for example: Davis,  
38 2003; Turnbull & Sapsford, 2001; Saundry & Turnbull, 1999; Weinbauer, 1997; Knight &  
39 Liss, 1991; Jensen, 1964).

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51 International comparative approaches serve to underline the commonalities of the  
52 dock industry that transcend national boundaries and highlight how these have manifested  
53 themselves in the history of work processes and employment relations. Usually, studies of  
54 this nature compare the system of employment in two different countries, or two particular  
55 ports, to draw conclusions on shared challenges for dock workers. However, Davies et al's  
56 (2000) two volume book *Dock Workers*, provided a fairly comprehensive attempt to draw  
57 together some of the global themes of dock work by combining individual port surveys with  
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3 transnational thematic analyses of employment in dock industries. This collection of essays  
4 by historians and sociologists in the field covered twenty-two different ports from around the  
5 globe. It addressed many of the clustered themes outlined above and sought to identify some  
6 continuities and idiosyncrasies, in order to construct an international framework in which to  
7 conceptualise dock work, dock workers and the 'global' dock industry.  
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12 Despite the admirable scope and endeavour of the *Dock Workers* project, there were  
13 nevertheless a number of shortcomings. Firstly, the parameters of the study were limited to  
14 the years 1790 to 1970. Since containerisation was introduced on a wide scale to most  
15 developed economy ports in the late sixties and early seventies, the project was principally  
16 concerned with how employment in the industry developed in the break-bulk, general cargo  
17 era. The containerisation of cargo handling wrought radical and tumultuous changes to work  
18 processes, hiring practices, employment relations and manning levels. The result was a  
19 period of much instability as dock work was completely reconfigured. Although the project  
20 pointedly restricted its remit to the pre-container era, it arguably missed an opportunity to  
21 chart how containerisation affected dockers and dock work in countries around the world and  
22 the global industry more broadly.<sup>1</sup> Another (acknowledged) limitation of *Dock Workers* is  
23 that 'a more complete assessment of dock labour in its world historical context would benefit  
24 especially from fuller coverage of ports under communist regimes, ports in Latin America,  
25 and a wider sample of ports in Asia and Africa' (Cooper 2000: 523). With a few exceptions,  
26 the historiography more generally is also skewed towards ports in developed economies and  
27 therefore provides only a partial picture of the global features of dock work and the  
28 challenges faced by those employed in the industry (Davis, 2003; Barton & Turnbull, 2002;  
29 Turnbull & Sapsford, 2001).  
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44 The following analysis therefore attempts to address some of the limitations  
45 identified in the literature on the global dock industry. Little emphasis has been placed on the  
46 experience of dock workers in emerging economies.<sup>2</sup> There is doubtless value in charting  
47 whether the historic continuities found in many ports around the world extend into the dock  
48 industries of countries influenced by different historical, political, economic and cultural  
49 forces, or those that have had a belated or alternative path of economic development. There  
50 is also value in exploring the experience of the mechanisation and containerisation of cargo  
51 handling in such countries, particularly as this often occurred much later than in developed  
52 economies and in quite different political and economic climates, potentially resulting in  
53 divergent outcomes. To these ends, this study will seek to apply some of the common themes  
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3 outlined to a previously undocumented dock industry to explore the concept of universality in  
4 dock worker experiences. Vietnam offers an exemplar in this respect. Although its political  
5 system is nominally communist/socialist, since the mid-1980s its government has embarked  
6 on a market liberalisation program that has gradually culminated in one of the fastest-  
7 growing GDPs per capita in the world (World Bank, 2016).<sup>3</sup> This was later accompanied by  
8 rapid modernisation and expansion of its ports and role in regional and world trade.  
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14 While modernisation of dock facilities in Vietnam has attracted academic attention  
15 related to the practical aspects of port administration, such as port capacity, efficiency,  
16 throughput, ship turnaround etc. (for example, see: Kutin, Nguyen & Vallee, 2017; Thai, Yeo  
17 & Park, 2016; Thai & Grewal, 2005), there has been no attempt to explore the development  
18 of the industry in relation to the dynamics of employment on the country's docks. In fact, the  
19 study of the sociology of work or labour history in all sectors of the Vietnamese economy is  
20 still very much in its infancy, with limited exceptions (Siu & Chan, 2015; Vo, 2009; Clarke,  
21 Lee & Do Quynh, 2007; Chan & Wang, 2004; Beresford & Nyland, 1998). A narrative  
22 approach, focusing on the development of the industry and the experiences of those engaged  
23 therein, will help to elucidate the challenges Vietnamese dock workers have faced in terms of  
24 regularity of work and earnings, job security and manning levels and how these have altered  
25 with technological advance and the 'commercialisation' of the global dock industry  
26 (Turnbull, 2012).  
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37 Vietnam has 49 seaports of various size. In terms of cargo handled, the largest in the  
38 south of the country is Ho Chi Minh City, while in the north is Hai Phong (Vietnam Ports  
39 Association, 2016). For the purposes of this study, Hai Phong will be the focus.<sup>4</sup> It has been  
40 the subject of considerable state and private investment in facilities and equipment, now  
41 handling 55 to 65% of all cargo throughput in the north of the country (Hai Phong  
42 Government Portal, 2016). Hai Phong Port consists of three main port areas subsumed under  
43 the authority of the joint stock company – Hoang Dieu Port (Main Port Area), Chua Ve  
44 Terminal and Tan Vu Terminal. A recent World Bank report (2016, p. 238) described Hai  
45 Phong as 'Hanoi's gateway to the world', while ongoing construction of the adjacent Lach  
46 Huyen deep-water container port promises to elevate its position to a key regional logistics  
47 hub.  
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57 The Port of Hai Phong dates back to 1874 and the city's economy has historically  
58 been largely port orientated. This is another primary reason for selecting it as the focus of  
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3 this study. In this context, many of the inhabitants will have a family history relating to  
4 employment on the docks and workers at the port were more likely to identify with dock  
5 work as their permanent occupation. The belated modernisation of Vietnam's ports, and Hai  
6 Phong in particular, has meant that many workers still engaged in the industry have  
7 experienced the impact of technological change first-hand. They have witnessed a revolution  
8 in cargo handling techniques and major changes to the way the industry is administered and,  
9 as a result, are more likely to be able to reflect on changes to employment conditions, work  
10 groups, work tasks etc.

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18 This study is principally concerned with the similarities and differences between the  
19 experiences of Vietnamese dock workers and their counterparts elsewhere in the pre- and  
20 post-containerisation eras, particularly what this articulates about the universality of  
21 employment in dock industries across the world. The existing literature emphasises the  
22 almost-uniformly negative effect of containerisation on workers' employment opportunities,  
23 labour process control, and long-term job security. However, dockers in Vietnam saw these  
24 revolutionary changes in a much more positive light because the introduction of container  
25 handling was gradual and not accompanied by wholesale rationalisation of the industry, as it  
26 had been elsewhere in the developed world. Also important was the development of the dock  
27 labour market in Western economies compared with Vietnam, particularly historical  
28 disparities in the way that workers were engaged by companies and the effect this had on how  
29 they identified with the industry, their colleagues and employers. The centralised nature of  
30 Vietnamese politics and aspects of its culture also influenced how workers were employed  
31 and how container work was administered once the technology was introduced widely to the  
32 country in the mid-2000s. The concluding section argues that the study is significant  
33 because, while acknowledging continuities in workers' experience of pre-container cargo  
34 handling regardless of time, place or prevailing economic model, it stresses that perceptions  
35 of containerisation outside of the Western sphere should be regarded as inherently contextual  
36 and contingent on local developmental and historical forces.

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51 The paper will proceed as follows: 1) A more detailed exploration of the common  
52 perspectives and themes surrounding dock work and the impact of containerisation; 2) A  
53 narrative of Vietnamese dock workers' experiences of work and technological change,  
54 supported by interpretation of interview data, the analysis of official government statistics  
55 and other related resources;<sup>5</sup> 3) A comparison and assessment of where and how the  
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3 experience of Vietnamese dock workers conforms to, or conflicts with, broader assumptions  
4 regarding the universality of elements of the global dock industry.  
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### 10 **Work and employment in the pre-container era**

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12 As previously noted, the existing literature on dock work in the pre-container era identifies  
13 some common themes. Primarily, the nature of shipping and port transport activities made  
14 the dock industry unique in terms of the employment relationship and the manner in which  
15 workers were engaged. Prior to regulatory intervention in the second half of the twentieth  
16 century, many dockers across the world worked in an industry where casualism prevailed and  
17 employment opportunities were at best uneven. It was commonplace for workers to be  
18 recruited on a daily, or half-daily, basis or, in some extreme cases, even hourly.  
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21 Traditionally, dockers gathered at their local docks or hiring hall for the 'call' where any  
22 number of shipping, stevedore and dock companies would occupy a stand or 'pen'. Most  
23 dockers assembled in their chosen 'gangs', which would then be selected by company  
24 foremen to work in the loading or unloading of ships. This process was obviously open to  
25 subjectivity and bias through favouritism, bribery, ethnicity and religious allegiance (Towers,  
26 2011; Nelson, 2000; Panjwani 2000; Phillips & Whiteside, 1985; Jensen, 1964). Those who  
27 were not selected for employment went without a wage for that particular day and, dependent  
28 on port traffic, might struggle to earn for considerably longer. Dockers often did not work for  
29 the shipping lines directly and were instead usually employed by a plethora of small  
30 stevedoring contractors, exacerbating the temporaneous nature of engagement in the industry  
31 (Taylor, 2017; Davies, 2000). These were inherent traits of the industry and generations of  
32 dockers across the world faced the same relentless challenges 'most notably poverty,  
33 unemployment, underemployment, unequal job opportunities, low average earnings,  
34 favouritism... and demoralisation' (Turnbull et al, 1992: 7).  
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49 Another common feature of pre-container dock industries was the composition of  
50 work groups. Dockers' gangs normally comprised family members and/or close friends and  
51 work colleagues. Manning for gangs typically numbered in the mid-teens, although this  
52 varied slightly dependent on the type of ship, cargo or location. There were skill and  
53 experience divisions within each gang, between competing gangs and between the various  
54 dock grades such as stevedores, dockers, porters etc.<sup>6</sup> Stowing and handling of break-bulk  
55 cargoes, essentially loose or boxed/sacked goods painstakingly hand-loaded into a sling and  
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3 winched into the ship's hold, required considerable skill to execute proficiently and maximise  
4 available space. Since ship turnaround time was paramount, the more experienced or skilled  
5 gangs often enjoyed prevalence at the call.  
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9 The gang format, and the dockland communities built around ports, bestowed a strong  
10 sense of occupational identity on dock workers and inversely this was emboldened further by  
11 the casual nature of the industry (Turnbull, 1992a; Miller, 1969). Casualism did not just  
12 affect the format of employment and engagement, but also the labour process and the way  
13 that work was planned and executed. It encouraged a *laissez-faire* approach from employers,  
14 insofar as responsibility for the organisation and management of specific tasks was largely  
15 placed in the hands of stevedores and dockers. This direct or hands-on control of the labour  
16 process reinforced dock worker identity and culture. Whereas scientific management and the  
17 division of labour had progressively de-skilled and divested workers of control in other  
18 industries, the unique format of dock work did not lend itself to these innovations (Green,  
19 2000; Davies 2000).  
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29 The structure of work groups undoubtedly cultivated a sense of camaraderie,  
30 accentuated by the hazardous nature of dock work itself and hence an 'emotional  
31 involvement' with work tasks and colleagues (Turnbull, 1992a; Magden, 1991). The industry  
32 was entirely male-dominated and the physically demanding nature of work encouraged an  
33 element of machismo in dock workers' self-image (Dubbeld, 2003; De Vries, 2000).  
34 Working conditions were often medieval prior to regulation, and even thereafter. The  
35 decentralised system of employment bred a lack of managerial responsibility, hence affording  
36 little provision for health and safety or welfare facilities. As a result of the tight-knit  
37 community, nepotistic work groups, peculiar extent of control over the labour process and  
38 hazardous nature of tasks, dock work was perceived from within 'like it wasn't a job to us,  
39 basically it was a way of life' (Author's interview, 2009; see also, Turnbull, 1992a; Miller,  
40 1969).  
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50 Wages and payment systems were also affected by casualism and the short-termism it  
51 engendered. The piecework system of payment, essentially a 'by the job' or tonnage rate  
52 plus bonuses and allowances (for unexpected stoppages, quick turnaround, high value  
53 commodities etc.), was often preferred by employers (and also some dockers) as, in principle,  
54 it encouraged productivity and satisfied the desire to turn ships around as quickly as possible  
55 (Green, 2000; Wilson, 1972). However, workers used their unusual degree of control over  
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3 the labour process to manipulate piecework to their own ends. Since the execution of work  
4 tasks were largely left in their hands, workers participated in the ‘welt’ (elsewhere called  
5 ‘spelling’), which involved one or more of the gang taking a break whilst the rest cover for  
6 him/them. Similarly, if there was anything abnormal about a particular load or hatch to be  
7 worked, manning requirements and piece rates would often be exaggerated. The ‘welt’,  
8 playing the piecework game and occasional selective pilferage were seen by dockers as a  
9 means to improve their lot, demonstrate independence in the workplace and exercise control  
10 over their portion of the labour process (Johnson 2000; Turnbull, 1992a).

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18 So, there were structural pressures on the dock industry that were common across  
19 borders. These created similar conditions and challenges for dock workers in different  
20 countries. Casual work and underemployment, indirect and subjective employment and  
21 recruitment practices, the gang work group format, hazardous conditions, a strong  
22 occupational culture and an unusual degree of control over the labour process all feature,  
23 albeit to different degrees, in the history of dock labour around the world. One of the aims of  
24 this study is to examine whether Vietnam’s dock workers faced similar issues or whether the  
25 belated expansion of the industry there, the alternative path of national economic/political  
26 development, and different stage of global capitalism in which these occurred, led to  
27 divergent experiences where and when break-bulk cargoes were prevalent.

### 38 **Containerisation and dock work**

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41 The introduction of ‘the box that changed the world’ (Donovan & Bonney, 2006) required  
42 extensive port renovations and huge investment in equipment, encouraging shipping lines,  
43 port employers/authorities and governments to seek to overhaul the way that ports were  
44 organised and administered.<sup>7</sup> Primarily, the labour process, work tasks and centuries of  
45 custom and practice would need to be phased out and replaced with a much more formalised  
46 approach. However, while in principle containerisation and modernisation appeared poised  
47 to redress some of the traditionally more pernicious aspects of employment in the industry,  
48 namely the prevalence of casualism or semi-casualism, it also implied even more daunting  
49 alternatives.

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57 Firstly, and most obviously, comprehensive mechanisation of cargo handling  
58 suggested a significantly reduced workforce. Whereas loading or unloading one break-bulk  
59 cargo ship was relatively labour intensive, the number of workers required is dramatically  
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3 reduced for containers, replacing the perennial threat of casual underemployment with that of  
4 permanent unemployment (Turnbull & Sapsford, 2001). Secondly, dock worker occupational  
5 culture and 'way of life' would be upended by containerisation through the sweeping away of  
6 old skills and dilution of control over the labour process, articulating a juxtaposition to the  
7 flexible elements of the casual system that dockers valued. Finally, the hereditary traditions  
8 of dock work, maintained by nepotistic recruitment norms (i.e. that sons often followed their  
9 fathers onto the docks), were also threatened as a result of reduced manning requirements.

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16 Governments and employers at many European and North American ports had  
17 already made efforts to at least partially eliminate casualism prior to the advent of containers,  
18 as the unusual employment relationship on the docks was frequently blamed for inefficiency  
19 and industrial unrest.<sup>8</sup> In order to address the emerging concerns of dock workers and their  
20 unions, and to formalise manning arrangements to allow for wholesale changes in cargo  
21 handling techniques, the state and/or port authorities typically moved to regulate employment  
22 through one or more of these means: the introduction of a dock labour register to which all  
23 employees must be signed up in order to be considered for work; permanent employment  
24 with a single port employer (or, failing this, centralisation of hiring procedures); the  
25 rationalisation of employers to create larger companies able to provide a stable flow of work  
26 for men. In Britain, the Devlin reforms, which took place between 1967 and 1972, built on  
27 the National Dock Labour Scheme (1947) to implement all of these mechanisms to regulate  
28 employment. Other European countries such as the Netherlands, France, Italy and Spain  
29 maintained a register of dock workers, while in the US, Belgium and Australasia there was  
30 either a closed shop or joint union-employer control of employment and manning negotiated  
31 in order to prepare the path for containerisation (Turnbull, 2012).

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As a result of these developments, dockers across the developed world generally  
enjoyed an increase in wages and greater permanency of employment, at least in the medium  
term. Despite being described as 'the biggest bribe ever made to labour' (Oram, 1965: 52)  
and 'the most unusual and most controversial labor arrangements in the history of American  
business' (Levinson, 2006: 124), formalisation could be regarded as a trade-off in the context  
of the advance of containerisation, as the need to reduce the size of the dock labour force was  
inexorable (Davies, 2000). This was achieved as far as possible through natural wastage, no  
new recruitment and either the reduction of retirement age or the provision of state-sponsored  
voluntary lump sum severance schemes (International Labour Organisation, 1973).

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3 So, from the workers' perspective, the perceived threat from containerisation was  
4 partially addressed by the introduction or strengthening of employment regulation, a higher  
5 degree of permanency and/or more favourable terms and conditions. Nevertheless, it was a  
6 far from smooth process with many incidents of industrial unrest relating to the wholesale  
7 adjustment of the industry, often surrounding jurisdiction over container 'stuffing and  
8 stripping' (filling/emptying), worker discontent with labour schemes or administering boards,  
9 interpretation of the rulebook etc.<sup>9</sup> Some European ports such as Antwerp and Rotterdam  
10 witnessed major disputes in the aftermath of the introduction of containers (Weber, 2013;  
11 Vanfraechem, 2002; Kagen, 1990). More persistently, both the British and Antipodean dock  
12 industries endured sustained industrial action in the early seventies, while New York suffered  
13 the most tumultuous period of labour unrest in its history (Taylor, 2017; Turnbull, 2012;  
14 Lindop 1998; Turnbull, 1992b; Jensen, 1974). However, the introduction of containerisation  
15 did not always necessarily result in industrial strife. The extent to which this was the case  
16 depended largely on how successful individual ports were in introducing measures and  
17 grievance procedures that both reassured dockers *and* satisfied employers that the necessary  
18 gains in efficiency and productivity would be realised (Turnbull, 2012; Turnbull & Sapsford,  
19 2001).

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22 In those major ports where industrial peace proved elusive in the containerised era,  
23 clamour for change amongst global shipping lines and port employers was reaching fever  
24 pitch and coincided with the neoliberal turn of the 1980s. Reorientation of Western political  
25 economy towards market supremacy resulted in escalating privatisation of ports. As well as a  
26 commitment to monetarist economics, this paradigmatic shift also sought to remove barriers  
27 to the free market. Two such perceived anachronisms were government intervention and the  
28 influence of organised labour. Whereas the status quo had previously been that the state had  
29 a role in either administering employment regulation schemes or ports themselves, the new  
30 orthodoxy in some Western economies was for governments to turn over responsibility to the  
31 private sector (Thomas, 1994). In 1989, the schemes regulating employment in New Zealand  
32 and Britain were abolished, followed by those in France and Australia in 1992 (Tull &  
33 Reveley, 2008). Ports in other countries, such as the US, where employment was jointly  
34 managed by unions and employers, faced similar challenges relating to the primacy of market  
35 forces and greater global competition. Dock workers in many developed economies, now  
36 shorn of the protection of government-administered schemes or ports, faced escalating  
37 redundancies, an employer offensive on terms and conditions and, in the case of those ports  
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3 where workers had a reputation for industrial militancy, an assault on trade union  
4 organisation (Taylor, 2018; Weber 2013; Turnbull & Wass, 2007; Reveley, 1999).  
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7 Britain proved a particularly extreme example of the commercialisation model, where  
8 ports were transferred entirely into private hands. Elsewhere, concerns surrounding  
9 monopolisation, foreign ownership and national security often encouraged a hybrid approach,  
10 where the state remained the port landowner but port operations are privatised, allowing for  
11 private investment in facilities and equipment (Tull & Reveley, 2008; Song, 2008; Baird,  
12 2000). Nevertheless, dockers now joined workers in other sectors as being subject to market  
13 forces and the standard employment relationship. The control over portions of the labour  
14 process that dock workers enjoyed in the casualised era, and the influence they managed to  
15 maintain through joint consultative or administrative mechanisms even after containerisation,  
16 were significantly eroded by privatisation and the undermining of organised labour. Their  
17 once privileged position had evaporated and by the 1990s dock work was ‘the greatest game  
18 no more’ (Turnbull & Wass, 1994).  
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### 31 **The Vietnamese docker and dock work under general cargo**

32 Although the provenance of maritime trade in Vietnam can be traced back to the 1<sup>st</sup> century  
33 BC, in the contemporary era port facilities and investment remained distinctly rudimentary  
34 until the United States introduced container-handling equipment to the country as part of its  
35 war effort in the 1960s and 70s. Since the government-led transformation of the Vietnamese  
36 economy in the mid-1980s, the country has enjoyed impressive growth and trade with other  
37 nations, particularly exportation of raw commodities such as coffee and rice, and  
38 manufacturing activities at the low end of the global value chain, such as apparel.  
39 Nevertheless, by the mid-1990s, Vietnam’s ports only handled around 500,000 Twenty Foot  
40 Equivalent Units (TEUs) of container traffic per year (Vietnam Ports Association, 2016).  
41 Compare this, say, to the Port of Hamburg (Germany) which handled approximately 2.7  
42 million TEUs in 1995, some five times more than all Vietnamese ports combined (Port of  
43 Hamburg, 2017). In acknowledgement of the vital role that Vietnam’s ports will play in  
44 future economic prosperity, the government introduced two long-term ‘Master Plans’ in 1999  
45 and 2009, the latter adjusted in 2014, to develop the ocean shipping and dock industries  
46 (*Decision No.202/1999/QĐ-TTg, 1999; Decision No.2190/QĐ-TTg, 2009*). These initiatives  
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3 placed an emphasis on building new deep-water ports to receive large container ships,  
4 improving existing dock systems and upgrading obsolete equipment and infrastructure.  
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7 As Turnbull (2012) notes, dock workers in the Western world encountered three  
8 distinct stages of cargo handling/port administration – the break-bulk casual era, the  
9 introduction of containers accompanied by decasualisation and/or regulation, and finally the  
10 encroachment of private capital, free market economics and global competition, or the  
11 ‘commercialised’ era. However, in many rapidly developing economies that have come to  
12 the fore since the turn of the century, the process of commercialisation and containerisation  
13 often occurred in parallel (Turnbull, 2012; Tull & Reveley, 2008). In fact, the break-bulk  
14 cargo era persisted to such an extent in Vietnam that partial commercialisation of its ports  
15 under the government-sponsored Master Plans of 1999 and 2009 actually took place *prior* to  
16 extensive containerisation. Break-bulk cargo remained dominant in Vietnamese ports well  
17 into the twenty-first century, meaning dock workers did not face the onset of containerisation  
18 until much later than their peers in other countries. This is an important temporal difference  
19 when compared with the chronology of the Western-centric history of dock work.  
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30 Vietnamese dock workers faced some of the same challenges as their counterparts  
31 elsewhere when handling break-bulk cargo. The nature of ship-borne trade, at the mercy of  
32 weather and economic pressures, meant that the issue of under-engagement and inconsistent  
33 earnings featured as strongly in the experiences of workers at the Port of Hai Phong and  
34 others in Vietnam as it did in the ‘global’ history of dock work. Similar to general cargo  
35 handling at ports across the world, workers were paid using a piecework system that  
36 compounded irregularity of income and resulted in high intensity bouts of labour, offset by  
37 extended periods of idleness when port traffic was slack (Author’s interviews, 2017).  
38 However, whereas dockers in developed economies were often subject to the ‘turn’, ‘call’  
39 and casualism until the post-war era, their counterparts in Vietnam have always been directly  
40 employed by the port, enjoying a degree of permanency. They were paid a basic, albeit  
41 paltry, day wage which was bolstered by piecework rates when a ship was worked (Author’s  
42 interviews, 2017). So, although dockers in Vietnam did not face the inhumanity and  
43 uncertainty of the ‘pen’ every day, they still endured challenges relating to inconsistent  
44 engagement and income, in effect many of the trappings of casualism despite being  
45 permanently employed.  
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3 The structure of work groups appears as another universal element of dock work.  
4 Efficient loading and unloading of cargo demands that workers are arranged in a team format,  
5 regardless of location. In the casualised era of *laissez-faire* management, dockers often chose  
6 who they worked with by arranging themselves in their own ‘gangs’, usually comprised of  
7 friends and family, encouraging identification with, and loyalty towards, the work group.  
8 However, in Vietnam, this arrangement did not exist. Historically, because Vietnamese dock  
9 workers were direct, permanent employees of state-owned port companies, employers  
10 controlled how work gangs were organised. This is not to say that there was no tradition of  
11 hereditary recruitment at Vietnam’s ports, particularly in a port city like Hai Phong, rather  
12 that family members did not usually work together in the same gangs (Author’s interviews,  
13 2017). Vietnamese dockers were subject to a much more standard and formalised  
14 employment relationship when handling break-bulk cargoes than their casualised  
15 counterparts in the Western sphere and this is indicative of Vietnam’s history as a centrally  
16 controlled socialist economy, where major industries are typically state controlled and the  
17 government is responsible for providing permanent jobs for its citizens.  
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30 Another area of shared experience relates to the hazards of handling non-  
31 containerised cargoes. Dockers in Vietnam were subject to dangerous conditions working on  
32 general cargo ships, often forced to handle ‘toxic’ cargoes with little room for recourse with  
33 management (Author’s interview, 2017; *Tuoi Tre News*, 2015; *Bao Binh Dinh News*, 2014).  
34 As was the case elsewhere, experience and physical strength were important attributes  
35 required for dock work. From the employers’ perspective, this ensured expedient turnaround  
36 times, for the worker it translates into maximisation of earnings in the piecework context. At  
37 the Port of Hai Phong, ‘workers who lacked adequate skills to do the job or have limit in their  
38 physical strength’ were moved to ‘back operations’, that is less time-pressurised but also  
39 lower-earning potential warehousing duties within the dock estate (Author’s interviews,  
40 2017). So, unsurprisingly, pre-containerised cargo handling tended to be a dirty, inconsistent  
41 and physically demanding job. However, whereas dockers in the developed world used  
42 industrial activism or their influence over the labour process to ameliorate some of the more  
43 challenging aspects of working general cargo, these mechanisms were not usually available  
44 to workers in Vietnam.<sup>10</sup>  
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56 During interviews, dock workers at the Port of Hai Phong recounted in depth how  
57 jobs were allocated and the typical work process of loading and unloading both general and  
58 containerised cargoes. Just as recruitment and the composition of work gangs was controlled  
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3 by port employers, so scope for autonomy in the execution of tasks was severely limited.  
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5 Micromanagement of employees' work is commonplace in Confucian cultures, resulting in  
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7 'group leaders' closely monitoring and directing tasks and the work process more broadly  
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9 (see, for example: Hofstede, 2010; House et al, 2004). The group leader is essentially a  
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11 company foreman in charge of a team of workers from that particular shift, a stark contrast to  
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13 the role and provenance of the gang leader during the era of pre-containerised dock work at  
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15 many ports in the developed world.<sup>11</sup> This meant that Vietnamese dock workers had far less  
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17 freedom over how they did their jobs and little scope to control the pace and timing of their  
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19 work. The team members change on a shift to shift and ship to ship basis, breeding less  
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21 familiarity and social identity between workers. In this context, and in contrast to their  
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23 casualised counterparts elsewhere during the general cargo era, Vietnamese dockers' affinity  
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25 is to their employer, rather than the gang. Several workers expressed their 'pride' at working  
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27 for Hai Phong port (Author's interviews, 2017), demonstrating that the camaraderie  
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29 surrounding the gang was much less tangible for Vietnamese dockers, although some of the  
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31 occupational machismo encouraged by the hazardous and physical nature of the job  
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33 remained.

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35 Societies influenced by Confucian traditions also emphasise the importance of  
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37 hierarchy, respecting authority and loyalty to the boss, stressing the importance of 'face  
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39 saving' and the avoidance of conflict. These ideals mean that workplace relationships in  
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41 some Eastern cultures are far removed from those elsewhere, particularly in relation to the  
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43 dock industries of developed economies where worker-employer bonds were casualised and  
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45 adversarial industrial relations were often in the ascendancy (Laulusa, 2008; de Silva, 1998).  
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47 In terms of occupational identity, these cultural influences and the centralised nature of the  
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49 dock industry's labour market in Vietnam have a deleterious effect, as social kinship  
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51 develops from a clear perception of belonging to a group/team over a period of time. The  
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53 transient composition of the gang, respect for hierarchy, and the limitations placed on  
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55 workplace autonomy indicate a less defined and widely shared occupational culture on the  
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57 docks in Vietnam, where loyalty to the firm is much more prevalent.

### 58 **The Vietnamese docker and dock work in the containerised era**

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60 Despite efforts to liberalise the economy, Vietnam remains a heavily bureaucratic country  
with strong centralised control over economic restructuring. The dock industry in particular

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3 was slow to encourage private investment and went through several protracted phases of  
4 evolution.<sup>12</sup> This held back meaningful containerisation of Vietnam's ports and its  
5 integration into the global supply chain. The 1999 state-steered Master Plan included the  
6 ambitious goal of transforming Vietnam into a global hub for shipping. To these ends, from  
7 2000 onwards, foreign companies were allowed to invest in equipment and facilities and  
8 administer operations at new joint investment ports, however berths and quays were still built  
9 and owned by the government, while the land also remained state property. These highly  
10 conditional terms and onerous bureaucracy inspired only cautious initial investment,  
11 illustrated by the relatively gradual increase in throughput in the immediate preceding years,  
12 rising from approximately 1 million to 1.5 million TEUs from 2000 to 2003.<sup>13</sup>

21 It was not until the late-2000s that modernisation began to increase momentum, with  
22 accompanying gains in container traffic. One of the primary drivers for this was the new  
23 2009 Ports Master Plan introduced by the government. Under the 2009 plan, wholly foreign  
24 owned, constructed and administered terminals were now permitted on land rented from the  
25 state adjacent to existing port facilities, subject to licensing by regional government. This  
26 encouraged major port operators such as Hutchison Port Holdings and DP World, to build  
27 terminals and over the next five years container volume passing through Vietnamese ports  
28 doubled from approximately five to ten million TEUs.<sup>14</sup> Despite 2009 heralding the true  
29 liberalisation of the industry and marked gains in container traffic, problems persisted. Hai  
30 Phong's falling share of container traffic (see Appendix 1) is symptomatic of some of the  
31 structural issues the ports system, and country more broadly, is facing. Although the Master  
32 Plans imply a centralised strategy, development of new facilities has been a relatively  
33 haphazard and ad hoc process. Regional government has a large degree of autonomy in terms  
34 of granting licences for new terminals and this has created 'the most fragmented container  
35 terminal market in the world... the only market where each of the top four global port  
36 operators – PSA, Hutchison Port Holdings, DP World, and APM Terminals – are engaged in  
37 direct competition' (Blancas et al. 2014, p. 42). This rivalry has led to overcapacity in some  
38 parts of the country, forcing down prices and increasing inter-port competition, with historic  
39 major ports most acutely affected.

54 Just as containerisation took a very different path of timing and development in  
55 Vietnam, predictably workers' experiences of changing cargo handling methods have also  
56 diverged significantly from those of their Western counterparts. Fundamentally, Vietnamese  
57 dock workers did not face the looming threat of unemployment because of the growth of  
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3 containerised cargo. The expansionist strategy engendered in the Master Plans ensured that  
4 port size and capacity has grown alongside the transition to containerised cargo. Even more  
5 importantly, container groupage work, that is ‘stuffing and stripping’ of containers, is still  
6 mainly carried out on port complexes using dock workers in Vietnam. This was a principal,  
7 but largely unrealised, demand of many groups of dockers around the world during the  
8 proliferation period of containerisation, conceived with the intention of safeguarding jobs in  
9 the face of workforce rationalisation (Taylor 2017; Turnbull & Sapsford, 2001; Lindop 1998;  
10 Kagen, 1990). These two factors – the expansion strategy for Vietnamese ports and the  
11 preservation of ‘stuffing and stripping’ duties for dock workers inside the dock estate – meant  
12 that employment levels in Hai Phong and other large ports in Vietnam remained consistent,  
13 even after containerised cargo became dominant. For example, between 1995 and 2016,  
14 container throughput in Vietnamese ports increased from 500,000 to approximately 14  
15 million TEUs. Over the same period, the total national workforce plateaued with only very  
16 minor fluctuations at around 18,200 workers.<sup>15</sup> A similar trend is apparent at Hai Phong  
17 also.<sup>16</sup> This is a key distinguishing feature of the introduction of container traffic to ports in  
18 Vietnam compared with Europe, North America and Australasia, contributing to divergent  
19 experiences and perceptions of containerisation amongst Vietnamese dockers compared with  
20 their counterparts elsewhere.  
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35 There were other factors that led to a more positive reception of containerisation from  
36 the Vietnamese workers’ perspective. When questioned about the differences between  
37 handling break-bulk cargo and containers, those interviewed emphasised stability of work  
38 and wages when working containerised cargoes (Author’s interviews, 2017). Although  
39 nominally permanently engaged, Vietnamese dock workers faced some of the vagaries  
40 associated with casualism when handling break-bulk cargoes, particularly irregular  
41 engagement and income. While still paid via a similar basic rate plus tonnage system under  
42 containers as they were under break-bulk, the country’s integration into the global economy  
43 and the more predictable flow of work that characterises containerised cargoes meant that  
44 workers enjoyed more reliable engagement. This resulted in more consistency in their wage  
45 packets, although not necessarily higher wage rates as increased competition for traffic forced  
46 down profit margins for terminal operators. Crucially also, the work they performed was far  
47 less physically demanding than before, giving the impression of less work for more consistent  
48 salary, in better conditions.  
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Containerisation brought formalisation of work practices to the docks. However, where this was viewed with suspicion and animosity by dock workers in developed economies, it was in fact welcomed by their counterparts in Vietnam. Part of the remit of the second state-sponsored Master Plan was to encourage greater private sector involvement in the industry in order to provide investment for modernisation. As previously noted, private entities, particularly global terminal operators, were eventually invited to invest in the operational side by providing equipment on leased quays, purchasing shares in joint stock companies, and later building and operating their own terminals. This has led to an increasingly professional operational approach at Vietnamese ports, in which handling and safety procedures have been standardised through the use of global industry benchmarks. Dock workers are provided with approximately five months training on operations and health and safety procedures before they are permitted to work on the quayside handling containers, as well as annual refresher courses. As a result, workers feel like containerisation has upskilled and professionalised their occupation (Author's interviews, 2017).

Wholesale changes to methods of cargo handling was the cause of significant industrial conflict when containerisation was introduced to the ports of developed economies in the 1960s and 70s. Centuries of tradition, skill specialism, flexibility and custom were challenged by increasingly formalised work practices which threatened to divest dock workers of the degree of control they held over the labour process. It was as much these intangible menaces as the threat of redundancy that provoked resistance amongst those dockers affected. In its entirety, containerisation was an existential threat to the historic practice of dock work, dock worker occupational identity *and* employment in the industry. However, as noted earlier, occupational culture was not as conspicuous amongst Vietnamese dock workers. This was because workers in the industry had no experience of a casual system and were employed under a much more typical and formalised employment relationship. A cultural predisposition towards hierarchy and authority also mitigated against orientation towards the 'gang'. As a result, the historic hands-off managerial approach that casualism encouraged and the effect this had on gang-level identification and worker control over the labour process never materialised. Of course, the machismo attached to the physicality of break-bulk cargo handling remained, but the sense of ownership of the industry and the perception of dock work as a birth right did not form part of their outlook. As far as Vietnamese dockers were concerned, containerisation did not articulate a threat to skill distinctions, jobs, or way of life and this is a key difference between these workers and their

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3 peers in other parts of the world. Instead, containerisation was broadly viewed by workers as  
4 a positive development for both their occupation and the dock industry in Vietnam.  
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### 10 **Conclusions: The Vietnamese docker and the ‘global’ history of dock work**

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12 The last fifty years have witnessed a revolution in cargo handling in the dock industry, which  
13 has played a major role in the progress of world trade and the inexorable trend towards  
14 globalisation. There is a considerable body of literature that focuses on labour process, work  
15 culture and the employment relationship in the industry, particularly in relation to the long  
16 history of pre-container cargo handling. This is accompanied by cross-disciplinary analyses  
17 of how the introduction of containerisation transformed every aspect of port transport. While  
18 ports in the developing world, such as Vietnam, have received some attention relating to port  
19 performance, logistics etc., the bulk of academic endeavour relating to employment relations,  
20 port administration and workforce management in the industry has been focused on those in  
21 the Western sphere.  
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30 The transnational turn in the study of labour in the dock industry has led to an  
31 emphasis on shared challenges that arise from the structural features of ship-borne trade and  
32 the issues faced as a result of the containerised revolution of handling methods. Analyses of  
33 ports in developed economies have highlighted universal features of the break-bulk era such  
34 as underemployment and short-term and irregular engagement, that in turn led to certain  
35 attitudes, behaviours and social identities to develop amongst dock workers. Similarly, the  
36 ways in which the industry has changed since the introduction of containers has also  
37 encouraged the identification of some common themes. Firstly, during the initial stage of  
38 containerisation, worker anxieties surrounding reduced manpower requirements were  
39 addressed by regulating employment and improving terms and conditions. Later, the  
40 commercialisation of ports and the rise of the ‘free’ market in the 1980s witnessed the  
41 rationalisation of workforces that was initially feared, accompanied by an employer re-  
42 offensive and the retrenchment of dock labour schemes.  
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53 The aim of this study has been to examine some existing assumptions about the  
54 shared experiences of dock workers in the break-bulk and containerised handling eras,  
55 specifically whether these are common across the global industry and can be attributed to the  
56 way that it is organised. Clearly, in the case of Vietnam, with its alternative political system  
57 and belated economic development, it would be expected that there is a degree of variance  
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3 between the evolution of ports there when compared to those elsewhere and, as a result,  
4 divergence in experiences of dock workers. Nevertheless, if some similarities and  
5 continuities could be discerned in the somewhat atypical case of the Vietnamese dock  
6 industry, then this would support the idea that universal structural features present similar  
7 conditions and challenges for dock workers, regardless of location.  
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12 To summarise then, where and when break-bulk cargo was prevalent there were  
13 indeed certain structural features of the shipping and dock industries that resulted in shared  
14 challenges and experiences for dock workers, even allowing for significant temporal and  
15 developmental differences. Underemployment and irregular income, sometimes dangerous,  
16 sometimes unhealthy work, and team-based cargo handling appear as universals in the global  
17 history of pre-container dock work and were also identified in Vietnam. However, because  
18 of the centrally-managed nature of the Vietnamese economy prior to, and even after,  
19 liberalisation, there was also a number of divergent features surrounding the dock labour  
20 market and the manner in which workers were engaged by port employers and, hence, the  
21 way that work groups and dock work were organised and supervised. The very different path  
22 of political and economic development that Vietnam took and the orientation towards  
23 authority and hierarchy in Confucian cultures also meant that union organisation and activism  
24 did not play a distinctive part in the history of dock work there, as it did in many Western  
25 countries.  
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37 While dockers in Vietnam faced some similar challenges to their counterparts in  
38 developed economies in the break-bulk era, the introduction of containerisation to  
39 Vietnamese ports presents a different narrative. There are two principal reasons for the  
40 marked divergence in experience between dockers in Vietnam and their counterparts  
41 elsewhere. Fundamentally, the broader context and the timing of containerisation in  
42 Vietnam's ports played a part in its relatively seamless implementation. In many developed  
43 countries, containerisation took place against the backdrop of the 'high tide of trade  
44 unionism' (McIlroy et al, 1999), where nascent global capitalism was locked in a wider  
45 struggle against worker control and the influence of organised labour. While this was being  
46 fought out, the government of North Vietnam was locked in an entirely different battle of its  
47 own with the United States. By the time the country had begun to emerge from the ravages  
48 of war and made meaningful attempts to join the world economy, the zeitgeist had changed  
49 significantly and free market neoliberalism was in the ascendancy. Containerised cargo,  
50 commercialised ports and an increasingly interlinked and internationalised dock industry  
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3 were standard and moving towards this model was perceived as ‘catching up’, part and parcel  
4 of modernisation rather than a threat to jobs, the status quo or privilege. A combination of an  
5 alternative trajectory of economic development, completely different culture and tradition of  
6 workplace relationships and the prevailing global political economy of the day meant that  
7 containerisation was viewed as a logical progression by those workers affected.  
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12 Even more significant than the broader macro developmental features of the industry  
13 and contemporary economic paradigm, the absence of a tradition of casual engagement is  
14 central to the alternative experience and outlook of the Vietnamese dock worker towards  
15 containerisation. Occupational culture and identity underpinned by centuries of custom and  
16 practice did not develop as it did in many ports in the Western sphere. Casualism was not a  
17 feature of the employment relationship in Vietnam because workers on the docks were  
18 directly and permanently employed by state-owned dock companies and this owed its  
19 provenance to the centrally planned socialist economy that had been instituted in North  
20 Vietnam since 1956. In turn, this led to variation in the way that dockers in Vietnam  
21 perceived their role in the industry, the relationship to their colleagues and their occupation  
22 more broadly. When containerisation was introduced on a wide scale to Vietnamese ports, it  
23 was largely welcomed by workers as it did not signify a watershed break with the past or  
24 dilution of control over the labour process, because this was something that they had never  
25 enjoyed. Of course, it implied a complete change to the way that cargo would be handled,  
26 but it did not threaten their jobs or their way of life. If anything, containerisation improved  
27 their lot.  
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41 To conclude, although the structural features of handling break-bulk cargoes  
42 presented challenges for workers employed in the dock industry regardless of location, mode  
43 and timing of economic development, the experience of containerisation for Vietnamese dock  
44 workers was significantly different. The belated modernisation and expansion of the port  
45 system in Vietnam, which has occurred alongside the country’s rapid economic development  
46 since the turn of the century, ensured the maintenance of existing employment levels, in stark  
47 contrast to the experience of dock workers elsewhere. The gradual proliferation of  
48 containerised cargo at Vietnamese ports, over a period spanning two decades, might have  
49 also mitigated against the resistance that technological change faced when it was swiftly  
50 introduced to the dock industries of developed economies. Moreover, containerisation did  
51 not impinge upon an occupational sub-culture founded on centuries of casualism and custom  
52 practice, nor was it accompanied by the looming spectre of redundancy. Instead, the  
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3 standardisation to global benchmarks that Vietnam's ports experienced as a result of  
4 modernisation and private investment meant that its dock workers regarded containerisation  
5 as a broadly positive development in relation to their occupation and livelihoods. The case  
6 presented here invites further study of the historical experience of dockworkers in other  
7 rapidly-developing countries around the world to provide meso-contextual accounts of the  
8 impact of containerisation on job opportunities, work culture, and labour process control in  
9 specific locations.  
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Appendix 1

	1994-95	1996-97	1998-99	2000-2001	2002-03	2004-05
<b>Employees at Vietnamese ports<sup>1</sup></b>	18,000 - 18,200	18,500 - 18,900	19,570 - 19,850	18,800 - 18,620	18,545 - 18,430	18,100 - 18,060
<b>Employees at Hai Phong port<sup>2</sup></b>	2,860 - 2,985	2,880 - 2,945	2,985 - 2,990	2,846 - 2,850	2,720 - 2,700	2,725 - 2,720
<b>Container TEUs/yr at Vietnamese ports<sup>3</sup></b>	488,347 - 519,387	653,412 - 730,375	816,401 - 919,264	1,027,341 - 1,027,362	1,235,262 - 1,521,039	1,922,908 - 2,293,548
<b>Container TEUs/yr at Hai Phong ports<sup>4</sup></b>	102,500 - 117,600	149,100 - 166,400	184,000 - 200,000	219,000 - 228,000	335,000 - 377,000	398,300 - 421, 500
	2006-07	2008-09	2010-2011	2012-13	2014-15	2016
<b>Employees at Vietnamese ports</b>	17,980 - 17,850	17,800 - 17,650	17,630 - 17,520	17,630 - 17,710	18,260 - 18,350	18,500
<b>Employees at Hai Phong port</b>	2,675 - 2,682	2,650 - 2,635	2,625 - 2,610	2,580 - 2,565	2,540 - 2,500	2,496
<b>Container TEUs/yr at Vietnamese ports</b>	2,777,219 - 4,287,430	4,964,046 - 5,399,102	6,429,897 - 6,902,630	7,587,836 - 8,452,250	10,009,364 - 11,089,557	13,745,809
<b>Container TEUs/yr at Hai Phong ports</b>	464,000 - 683,689	808,000 - 816,000	953,646 - 1,018,794	964,000 - 1,040,000	1,002,987 - 1,019,767	1,086,550

<sup>1</sup> Source: Vietnam Maritime Administration Department archives, Hanoi, Vietnam (Dock workers and admin staff).

<sup>2</sup> Source: Hai Phong Port database (Dock workers and admin staff).

<sup>3</sup> Source: Vietnam Ports Association database.

<sup>4</sup> Source: Hai Phong Port database.

<sup>1</sup> To be fair to those involved in the *Dock Workers* project, the two volumes contained thirty-five essays and stretched to 863 pages, arguably ambitious enough without addressing the complex effect containerisation had on the docks.

<sup>2</sup> While there are an increasing number of studies that focus on port economics and administration in rapidly developing economies, this trend is not repeated in relation to worker perspectives. See, for example: Reveley & Tull (2008), Thai et al (2016), Kutin (2017).

<sup>3</sup> The 1986 *Đổi Mới*, or ‘renovation’, program sought to transition the Vietnamese economy from centrally controlled socialist to market oriented. Before the *Đổi Mới*, the national economy relied mainly on two forms of ownership, SOEs (State-Owned Enterprise) and COEs (Collective-Owned Enterprise). Since then it has shifted to a multi-sectoral economy operating with market mechanisms. Correspondingly, the number of these two types of organisation have decreased sharply throughout the reform process. Two newly emerging-types, Domestic-Private Enterprises (DPEs) and foreign-invested enterprises, have risen substantially in number thanks to changes in government policy. These sectors play a crucial role in employment and job creation and, as of 2010, have helped provide jobs to over one-third of the total workforce. By 2018, the number of 100% state-owned companies has decreased from 588 companies since 1992 to 13 companies by 2012, with the vast majority becoming joint-stock companies. For more detail on the *Đổi Mới* see, for example: Collins (2009); Beresford (2008); Irvin (1995).

<sup>4</sup> Accessibility was one of the other principal reasons for the selection of Hai Phong as the focus for the project. Foreigners would usually not be allowed to visit port complexes or interview workers, but permission was granted because of personal contacts.

<sup>5</sup> In addition to an interview with the Deputy General Director of Tan Vu area of Hai Phong Port, twenty-five semi-structured interviews were conducted with dock workers from two of the main terminals at the port. Interviews were conducted in Vietnamese and audio recorded, then transcribed and translated into English for analysis. Interview data was supplemented by operational information on the cargo handling process and how Vietnamese ports are organised and administered from the ex-Head of the Division of Logistics & Supply Chain Management, Vietnam Maritime University (Hai Phong) and Director of the Japan-Mekong Logistics Training Center, Hai Phong. Statistical data was also collected on employment numbers and container Twenty-foot Equivalent Units (TEUs) throughput at Vietnamese ports from various institutional and online sources, see Appendix 1 for more details.

<sup>6</sup> The broad difference between the duties of stevedores and dockers was simple: stevedores worked on the ship handling and organising cargoes which dockers on the quayside sent aboard, usually by sling. Both categories of worker are often subsumed under the generic title of ‘dockers’. Porters were responsible for the transportation of cargoes to/from warehouses on the dock estate. There were also many other grades of dock worker operating on the quayside and docks more broadly in the pre-containerised era.

<sup>7</sup> Many ports around the world had already been subject to regulation in the immediate post-war era. Nevertheless, containerisation increased urgency and broadened implementation of efforts to formalise the labour process and workplace relationships, in order to remove casual practices completely from ports. For more detail on regulation and administration in UK, Europe, US, Australia, New Zealand, see for example: Taylor (2017); Turnbull (2012); Davis (2003); Sapsford & Turnbull (2001); Reveley (1999); Sheridan (1994).

<sup>8</sup> Just as employers preferred the flexibility that a casual workforce offered, so some dockers valued the autonomy to pick and choose when and whom to work for, particularly those with specialist skills or experience. This corresponded with their sense of autonomy and control over the way that they did their jobs. Such was the affinity for casual engagement in some ports and countries, that not all dispensed with the casual system of employment in the preamble to containerisation, instead regulating their industry through dock labour schemes or joint union-employer control of work allocation. For example, the casual arrangement persisted in both France and Belgium (Source: Turnbull, 2012).

<sup>9</sup> Directly attributable to the advent of containerisation was the growing trend of outsourcing of container-stripping duties, whereby cargo would pass through a port in a container and be loaded or unloaded (‘stuffed and stripped’) at depots outside the dockland area. These inland depots were often not in the vicinity of the docks and hence were not governed by dock labour schemes. In effect this meant that a growing amount of dockers’ work was being done by road haulage companies at these groupage centres, using cheaper labour.

<sup>10</sup> In particular, because of the one-party system, the functions of trade unions in Vietnam are markedly different from those in the West. Similar to the situation in China, the Vietnam General Confederation of Labour (VGCL) is directly overseen by the Communist Party of Vietnam and all trade unions must be affiliated to it (see Chen, 2010). Although strikes are not unheard of in Vietnam (see Siu & Chan, 2015), they are nevertheless relatively rare and labour activism is not conspicuous like it was on the docks in many Western countries in the post-war era. Questions surrounding labour activism, organisation and industrial conflict can be politically

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4 sensitive and, as a result, were not broached during interviews. For more detail on the VGCL and strikes in  
5 Vietnam, see, for example: Siu & Chan (2015); Kerkvliet (2011); Beresford & Nyland (1998).

6 <sup>11</sup> Dockers at the Port of Hai Phong work three six hour shifts across a two-day (48 hour) period. Each shift  
7 must be separated by 12 hours down time.

8 <sup>12</sup> The proceeding chronology of the development of the Vietnamese dock industry 1990-present is based on the  
9 Vietnamese government's 2009 Master Plan document (see reference list), an interview with a member of the  
10 Board of Directors at Hai Phong Port in 2018 and a 2014 report by Ms. Nguyen Thi Phuong Hien, Vietnamese  
11 Ministry of Transport, presented at the *Logistics and transport conference to exchange best practices on*  
12 *regulations and experiences in the ASEAN region*, 10-11 December 2014, Hanoi, Vietnam.

13 <sup>13</sup> See Appendix 1 for more information.

14 <sup>14</sup> See Appendix 1 for more information.

15 <sup>15</sup> See Appendix 1 for more information.

16 <sup>16</sup> In 1995, Hai Phong port handled approximately 117,000 TEUs, rising to around 1.1 million by 2016. Over  
17 the same period employment remained relatively constant, from 2850 workers in 1996 to 2,500 in 2016, a 14%  
18 decrease (Source: Hai Phong Port database, 2017). See Appendix 1 for more detail.