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## Title page

### **Antecedents of safety behavior in construction: A literature review and an integrated conceptual framework**

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2 **conceptual framework**

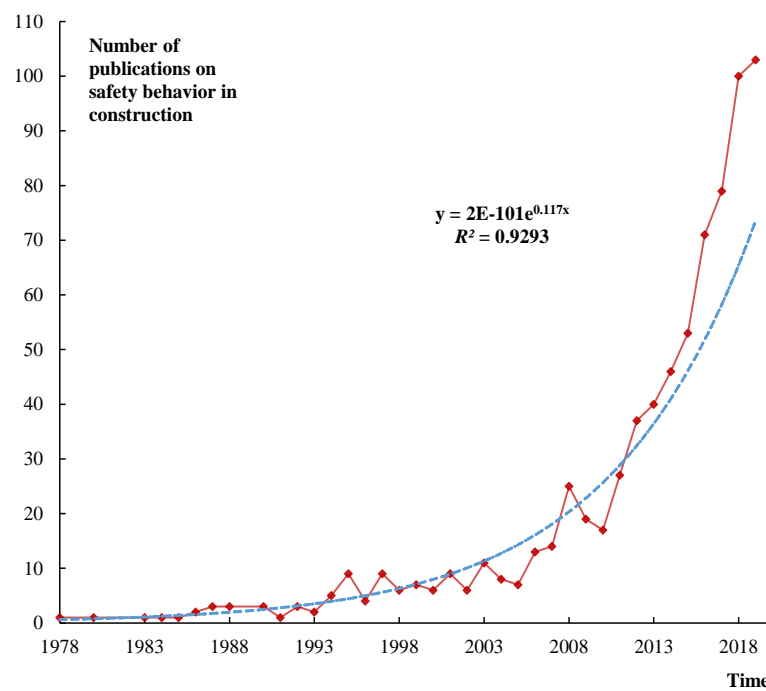
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4 **Abstract:** There has been no scarcity in the literature of suggested antecedents of employee  
5 safety behavior, and this paper brings together the disaggregated antecedents of safety behavior  
6 in the construction field. In total, 101 eligible empirical articles are obtained. Bibliometric and  
7 context analyses are combined to identify the influential journals, scholars, keywords, use of  
8 theory, research methods, and countries or regions of the empirical samples. The 83 factors that  
9 are identified are divided into five groups, namely (a) individual characteristics, (b) workgroup  
10 interactions, (c) work and workplace design, (d) project management and organization, and (e)  
11 family, industry, and society. This indicates that the causes of safety behavior are manifold.  
12 Various factors from different systems likely work in concert to create situations in which an  
13 individual chooses to comply with safety rules and participate voluntarily in safety activities.  
14 Given this, we propose that safety behavior is only an ostensible symptom of more complex  
15 “The Self–Work–Home–Industry/Society” systems and establish a safety behavior antecedent  
16 analysis and classification model. Based on this model, we develop a resource flow model,  
17 illustrating why, how, and when the flow of resources between the five systems—namely the  
18 self system, work system, home system, work–home interface system, and industry/society  
19 system—either promotes or inhibits safety behavior. The safety behavior antecedent analysis  
20 and classification model and resource flow model are based mainly on bioecological system  
21 theory and resources theories. Avenues for future theoretical development and method designs  
22 are suggested based on the reviewed findings and the two conceptual models. The intention  
23 with this systematic review together with the two integrated conceptual models is to advance  
24 theoretical thinking on how safety behavior can be promoted, or instead, inhibited.

25 **Keywords:** Construction safety; Safety behavior; Antecedent factor; Conceptual model;  
26 Literature review; Bibliometric analysis

## 27 1. Introduction

28 Safety remains a major challenge for the construction industry worldwide (Gao et al., 2020;  
 29 Lee et al., 2020). Reductions in workplace accidents and injuries have plateaued following first  
 30 from improvements in legal frameworks (e.g., rules and enforcement) and then from improved  
 31 engineering controls (e.g., designing out safety risks as early as possible). Pybus (1996) and  
 32 Hudson (2007) identified a third stage for workplace safety improvement that focused on  
 33 people and their actions. The importance of safety behavior is underscored by meta-analyses  
 34 that find a generalizable association between safety behavior and accidents and injuries  
 35 (Christian et al., 2009; Clarke, 2006). In the construction field, academics have also paid  
 36 increasing attention to safety behavior, resulting in a body of research that is growing  
 37 exponentially (Fig. 1). However, despite this large and burgeoning research interest in  
 38 construction safety behavior, there are limited reviews of this research domain.



39

40 **Fig. 1.** Growth of literature on employee safety behavior in construction by year of publication from  
 41 1978 to 2019.

42 Notes: 1) This figure plots the accumulation of 753 articles that were identified as relating to safety  
 43 behavior in the construction industry (see details in Section 3). 2) The first equation ( $y = 2E-101e^{0.117x}$ )  
 44 is that of the exponential trend line fitting the data series, namely the number of articles on employee

45 safety behavior in construction by year of publication from 1978 to 2019. The second equation ( $R^2 =$   
46 0.9293) gives the goodness of fit of the fitting model.

47 (column fitting: single)

48 Dadoo and Al-Samarraie (2019) reviewed 70 empirical studies to identify factors  
49 contributing to workplace unsafe behavior. Their review covered eight work domains including  
50 the construction industry, but only 14 construction-specific papers published from 2007 to 2018  
51 were included. Focusing on construction sites, Khosravi et al. (2014) and Mohammadi et al.  
52 (2018) reviewed the literature to identify factors influencing unsafe and safety behavior, but  
53 also accidents and injuries. However, these two outcomes might have different antecedents  
54 (Christian et al., 2009). Thus, we still lack a thorough and specific understanding of the  
55 antecedents that contribute to safety behavior of construction employees. Research addressing  
56 this will help organizations and managers to design systematic and beneficial interventions  
57 aimed at increasing the likelihood of safety behavior and subsequently reducing costly  
58 accidents and injuries in the future.

59 To address this gap, the present study has five goals: (a) to conceptualize safety behavior;  
60 (b) to analyze the influential journals, scholars, keywords, use of theory, research methods, and  
61 countries or regions of the empirical samples in the current literature; (c) to identify and group  
62 antecedents of construction safety behavior; and (d) to propose an integrated framework  
63 comprising one conceptual model integrating different groups of antecedents of employee  
64 safety behavior, and another conceptual model illustrating the underlying theoretical  
65 mechanisms relating safety behavior and its antecedents in a unified theoretical perspective;  
66 and (e) to suggest theoretical development and method designs for future research on  
67 consideration of the previous four parts of endeavors.

68 This study complements existing construction safety literature by providing a systematic  
69 review specifically of the antecedents of the safety behavior of construction employees. Zhou  
70 et al. (2015) conducted a wide-ranging review of various aspects of safety in construction. In  
71 their study, however, antecedents of behavior and accidents were mixed, and future directions

72 were not specific to behavior and its antecedents. Other reviews have targeted particular aspects  
73 of construction safety research, such as that by Alruqi et al. (2018) of safety climate dimensions  
74 and their relations to construction safety performance, that by Jin et al. (2019) of construction  
75 safety research within the scope of human-centered safety management, and that by Swuste et  
76 al. (2012) of the possibility of influencing safety in the building sector. However, despite these  
77 reviews, our review is unique in focusing on the antecedents of safety behavior. Specifically,  
78 the present study intends to make contributions in the following ways.

79 First, the present study clarifies the definition of safety behavior. Much of the research on  
80 construction safety is plagued by a lack of clear conceptualizations of constructs, thereby  
81 hindering the accumulation of knowledge (Osigweh, 1989). The present study provides concept  
82 foundation for the theoretical development and empirical investigations of safety behavior.

83 Second, the present study introduces a bibliometric approach into the domain of  
84 construction safety behavior, further combined with traditional content analysis. Previous  
85 construction safety reviews have used only a bibliometric (Jin et al., 2019) or a content  
86 (Mohammadi et al., 2018) analysis approach, and their combination of them remains limited.  
87 Bibliometric analysis can discover masses of hidden quantitative information in a cluster of  
88 studies, such as the most productive scholar (Bamel et al., 2020; Jin et al., 2019). Content  
89 analysis employs both qualitative and quantitative techniques and can provide in-depth  
90 information (Fellows and Liu, 2008) that bibliometric analysis cannot, such as the use of  
91 research methods. Thus, a combination of both approaches can produce rich information about  
92 the literature on safety behavior of construction employees.

93 Third, the present study synthesizes what is currently known about the causes of safety  
94 behavior in construction to further establish a safety behavior antecedent analysis and  
95 classification model comprising “The Self–Work–Home–Industry/Society” systems. Based on  
96 this model, a resource flow model is developed to elucidate the underlying mechanisms through  
97 which safety behavior occurs. Safety behavior is only an ostensible symptom of a more complex  
98 system (Hon et al., 2014), and these two models would advance a broader and deeper  
99 understanding of what causes it and how it is promoted or prevented. Potential directions for

100 future research—based on the reviewed findings and the two models—would provide guides  
101 for scholars interested in safety behavior antecedents.

102 These contributions can also shed lights on safety behavior research in the generic  
103 workplace and other specific sectors, given that the construction industry ranks among one of  
104 the most dangerous sectors worldwide and research on safety behavior in construction seems  
105 to be in the majority (Dodoo and Al-Samarraie, 2019), and that our two conceptual models are  
106 based mainly on general theories, namely bioecological system theory (Bronfenbrenner, 1994)  
107 and resources theories (Demerouti et al., 2001; Hobfoll, 1989; ten Brummelhuis and Bakker,  
108 2012).

## 109 **2. Conceptualizing safety behavior in generic occupations and in construction**

110 Clear delineation of concepts is a critical step to facilitate not only the organization of  
111 accumulated knowledge, but also the development of theory. The absence of a clear  
112 conceptualization of safety behavior in much of the safety literature necessitates a unified  
113 definition (Beus et al., 2016; Christian et al., 2009). Consequently, we begin by conceptualizing  
114 safety behavior both in generic occupational safety literature and in the construction safety field.

115 In generic occupational safety literature, Beus et al. (2015) defined safety-related behavior  
116 as “workplace behaviors that affect the extent to which individuals or the workplace in general  
117 are free from physical threat or harm. This includes behaviors that (a) mitigate physical threat  
118 or harm (i.e., safe behavior), whether rule prescribed or discretionary ..., and also behaviors  
119 that (b) subject individuals or the workplace to greater physical threat or harm (i.e., unsafe  
120 behavior), whether intentional or unintentional” (p. 482). Safe behavior, which was often  
121 termed as safety behavior (Burke et al., 2002; Griffin and Neal, 2000), refers to “actions or  
122 behaviors that individuals exhibit in almost all jobs to promote the health and safety of workers,  
123 clients, the public, and the environment” (Burke et al., 2002, p. 432). Based on work  
124 performance theory (Borman and Motowidlo, 1993), Griffin and Neal (2000) further made  
125 distinctions between two types of safety behavior: compliance and participation. Safety  
126 compliance is rule prescribed and corresponds to task performance, defined as “the core

127 activities that individuals need to carry out to maintain workplace safety” (Neal et al., 2000, p.  
128 349). One sample indicator for measuring safety compliance is “I use all necessary safety  
129 equipment to do my job.” On the other hand, safety participation is discretionary and  
130 corresponds to contextual performance, defined as “behaviors that do not directly contribute to  
131 an individual’s personal safety but that do help to develop an environment that supports safety”  
132 (Neal et al., 2000, p. 349). One sample indicator for measuring safety participation is “I put in  
133 extra effort to improve the safety of workplace.” As such, safety behavior is a type of individual  
134 work performance and often termed as “safety performance” (Burke et al., 2002; Griffin and  
135 Neal, 2000). Individual work performance is about observable behaviors rather than cognitive,  
136 motivational, or other psychological states (Schmitt et al., 2003) such as intention to behave  
137 safely or the outcomes of behavior (Campbell and Wiernik, 2015) such as safety outcomes (e.g.,  
138 accidents and injuries). Both safety compliance and safety participation behaviors can be  
139 measured at the individual level by assessing the frequency with which an individual engages  
140 in those behaviors (Burke et al., 2002). The behaviors can also be aggregated from the  
141 individual to the group level (Neal and Griffin, 2006) on the basis of an acceptable measure of  
142 agreement (e.g.,  $r_{wg} \geq .70$ ; Glick, 1985).

143 Table 1 shows that safety behavior research in the construction field has mainly  
144 conceptualized and distinguished safety behavior into safety participation and safety  
145 compliance (Griffin and Neal, 2000; Neal et al., 2000) (see details in the supplementary material  
146 titled “Definition, measure, and theory”). To measure safety behavior on the part of construction  
147 employees, the scales of safety compliance and safety participation proposed by Griffin and  
148 colleagues have also been used the most. The practice of conceptualizing and measuring safety  
149 behavior as two dimensions of compliance and participation is consistent with that in generic  
150 occupational safety research (Neal and Griffin, 2006; Beus et al., 2016). Compared to injuries  
151 and accidents, which are lagging indicators of workplace safety, researchers in either generic  
152 occupational safety or construction safety have acknowledged safety-related behavior as a  
153 leading indicator of workplace safety (Beus et al., 2016; Guo et al., 2016; Hon et al., 2014).

154 To summarize, for construction safety behavior, we suggest following the definitions and

155 measures of safety behavior proposed by Burke et al. (2002) or safety participation and safety  
 156 compliance proposed by Griffin and Neal (2000). Please note that our review includes studies  
 157 that focused on both safety and unsafe behavior; this decision was made because studies  
 158 assessed unsafe behavior as opposed to safety behavior (Beus et al., 2015).

159 **Table 1**

160 Definition and measure of safety behavior in the reviewed construction research.

| <b>Category</b>  | <b>Number</b>       |
|--|---------------------|
| <b>Definition</b>  | <b>(percentage)</b> |
| Unspecified  | 57 (55.88%)         |
| Safety compliance and safety participation: Griffin and colleagues e.g., (Griffin and Neal, 2000; Neal et al., 2000) | 25 (24.51%)         |
| Safety behavior: Burke et al. (2002)   | 10 (9.80%)          |
| Unsafe behavior  | 6 (5.88%)           |
| Other  | 3 (2.94%)           |
| Safety citizenship behavior: Hofmann and Morgeson (1999)   | 1 (.98%)            |
| <b>Total</b>   | <b>102</b>          |
| <b>Measure</b>   |                     |
| Griffin and colleagues/Griffin and colleagues combined with other questionnaires                                     | 27 (26.73%)         |
| Other  | 20 (19.80%)         |
| Unspecified  | 21 (20.79%)         |
| Self-developed questionnaire   | 13 (12.87%)         |
| Specific behavior(s)   | 15 (14.85%)         |
| Burke and Hofmann/ Burke/ Hofmann questionnaire  | 5 (4.95%)           |
| <b>Total</b>   | <b>101</b>          |

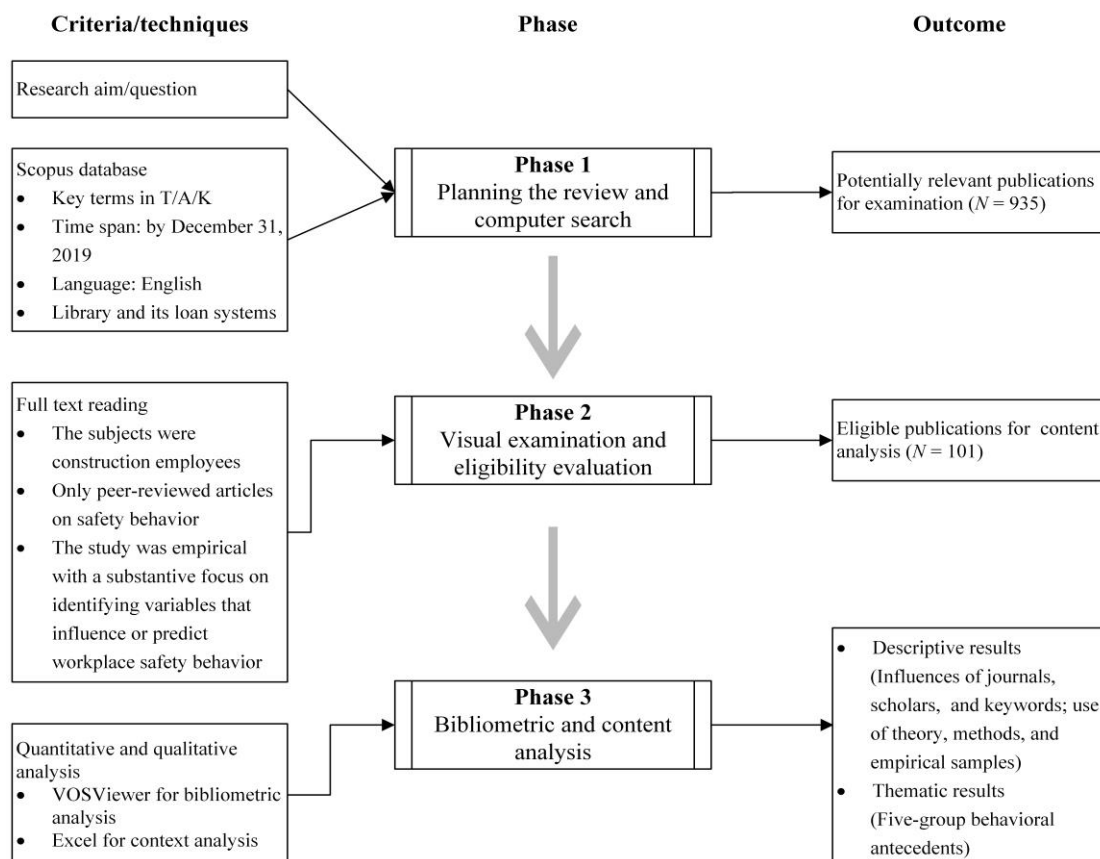
161 Notes: 1) A total of 101 articles were included in the review; see details in Section 3. 2) One article (i.e.,  
 162 Nadhim et al., 2018) used the definitions of both Burke et al. and Griffin and colleagues, which is why  
 163 the total number of the definition is 102. 3) “Specific behavior(s)” refers to the occurrence of specific  
 164 acts such as protecting employee themselves against particulate matter in Stege et al. (2019).

165 **3. Methods**

166 A systematic review was conducted of the empirical studies of safety behavior in the  
 167 construction context. Systematic literature review is an important research method capable of  
 168 synthesizing the existing body of knowledge, based on which new agendas for future research  
 169 can be identified and specific questions toward theory development can be addressed (Denyer



170 et al., 2008; Fisch and Block, 2018). As cited in Zniva and Weitzl (2016), a literature review  
 171 consists of a systematic, explicit, and reproducible procedure to identify, evaluate, and  
 172 synthesize the existing body of knowledge. Following the Preferred Reporting Items for  
 173 Systematic Review and Meta-Analyses (PRISMA) protocol guidelines (Moher et al., 2010) and  
 174 current practices (Ayodele et al., 2020; Xia et al., 2018a), our review was conducted in three  
 175 phases as shown in Fig. 2.



176

177 **Fig. 2.** Research design.

178 (column fitting: single)

179 *3.1. Planning the review and computer search*

180 We aimed to search in the three databases—namely Scopus, Web of Science, and Science  
 181 Direct—for all peer-reviewed papers related to construction safety behavior. These three  
 182 databases are the most widespread online academic sources on different scientific fields, which  
 183 are frequently used for literature searches (Aghaei Chadegani et al., 2013; Guz and Rushchitsky,  
 184 2009) and are commonly used by construction researchers to conduct systematic literature

185 reviews in the construction field (Gao et al., 2019; Zhou et al., 2015).

186 The search rule employed in the Title/Abstract/Keywords (T/A/K) field of the selected  
187 databases was “\*construction\*” AND (“\*safety behavior\*” OR “\*safety behaviour\*” OR  
188 “\*safe behavior\*” OR “\*safe behaviour\*” OR “\*safety compliance\*” OR “\*safety  
189 participation\*” OR “\*safety citizenship behavior\*” OR “\*safety citizenship behaviour\*” OR  
190 “\*safety performance\*” OR “\*unsafe behavior\*” OR “\*unsafe behaviour\*” OR “\*safety  
191 violation\*”). In addition, the research included only those papers written in English, published  
192 by December 31, 2019, and that were available either online or through the library of Southeast  
193 University and its interlibrary loan system. This computer search yielded 935 papers.

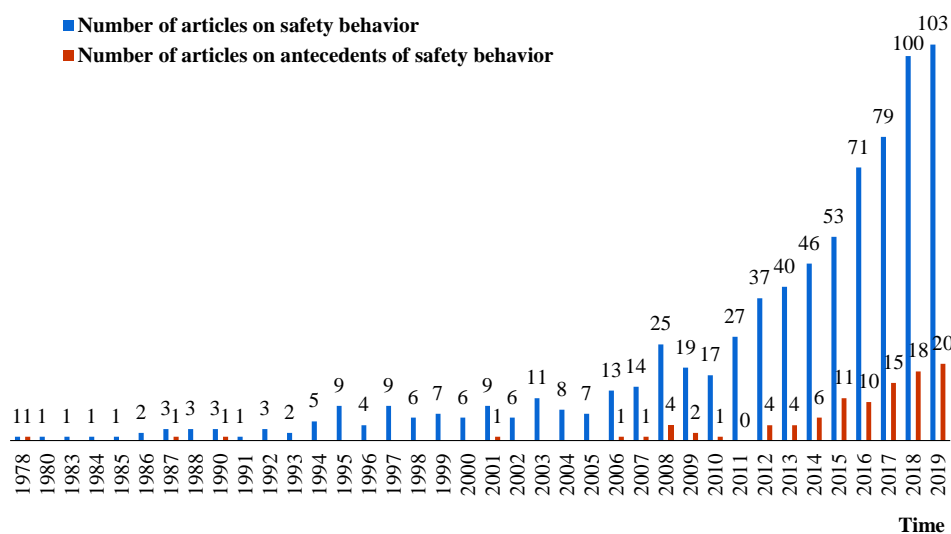
### 194 3.2. *Visual examination and eligibility evaluation*

195 The 935 papers were read in their entirety to scrutinize those focusing on the antecedents  
196 of safety behavior in construction. The inclusion criteria were: (a) the subjects were  
197 construction employees, (b) only peer-reviewed articles, and (c) the study was empirical with a  
198 substantive focus on identifying variables that influence or predict workplace safety behavior  
199 of employees. The following are specific examples of not meeting these three inclusion criteria:

- 200 • The subjects were not construction employees. For example, Amponsah-Tawaih and  
201 Adu (2016) examined how safety climate affected the safety behavior of health  
202 workers. In total, 160 articles were excluded for this reason.
- 203 • Systematic review papers, meta-analysis studies, conference publications, and non-  
204 peer-reviewed research reports were excluded from this review. For example, Dodoo  
205 and Al-Samarraie (2019) reviewed 70 empirical studies in different work domains to  
206 identify the factors contributing to workers’ unsafe behaviors. In total, 22 articles were  
207 excluded for this reason.
- 208 • The article did not report empirical findings on causes of safety behavior directed to  
209 work. For example, Fang et al. (2016) identified cognitive failures as a factor  
210 contributing to unsafe behavior, but the identification was based on cognitive and  
211 social psychology theories and existing accident causation models, without empirical

212 data. In total, 652 articles were excluded for this reason.

213 In summary, 753 empirical articles were related to safety behavior of construction  
 214 employees, of which 101 were determined as pertaining to antecedents of safety behavior  
 215 directed to work and thus eligible for the final bibliometric and content analyses. Fig. 2 shows  
 216 the number of these papers according to their publication year. It can be seen that since 2012,  
 217 the literature on safety behavior antecedents has constituted a stable and considerable portion  
 218 of the total safety behavior research.



219

220 **Fig. 3.** Annual distribution of safety-behavior articles in construction from 1978 to 2019.

221 (column fitting: single)

### 222 3.3. Bibliometric and content analyses

223 To obtain descriptive and thematic information from the final 101 articles, we combined  
 224 the techniques of bibliometric analysis and content analysis. For the descriptive analysis, we  
 225 first used the VOSviewer text-mining tool for bibliometric analysis, generating results related  
 226 to the influences of journals, scholars, and keywords. To detect deeper information about the  
 227 reviewed articles, we also implemented content analysis for identifying the use of theory,  
 228 research methods, and the country or region of the selected samples.

229 For the thematic analysis, through content analysis, we (a) identified specific factors  
 230 influencing construction employees' safety behavior in each article (the criterion was that the  
 231 factor should have a statistically positive, negative, or other empirical evidence-based influence

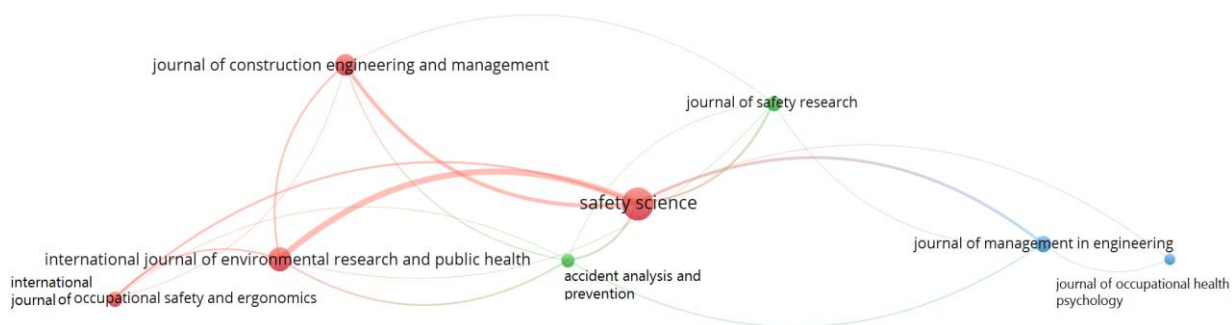
232 on safety behavior) and (b) classified these factors into different groups, which were obtained  
233 inductively, namely during rather than before the process of thematic analysis.

234 During the content analysis, we used the Excel software to aid the qualitative and  
235 quantitative coding. The present first and second authors conducted the coding process. First,  
236 both authors coded five articles as a training exercise to establish a common frame of reference  
237 for coding. Once sufficient agreement was obtained with these five articles, the remaining  
238 articles were coded independently by the two coders. After initial coding, one checked the codes  
239 of the other. Discrepancies were resolved by discussion and by reviewing the relevant articles  
240 until complete consensus was reached between the two authors.

## 241 **4. Descriptive results**

### 242 *4.1. Journal sources*

243 Citation analysis of the journal sources was conducted using VOSviewer. Setting the  
244 minimum number of articles and citations as three and five, respectively, eight out of a total of  
245 39 journals met the thresholds. In Fig. 4, the size of each node is a visual representation of the  
246 number of publications from a given journal, with a larger node indicating more publications.  
247 Accordingly, *Safety Science (SS)* published the most related articles ( $N = 20$ ), followed by  
248 *International Journal of Environmental Research and Public Health (IJERPH)* ( $N = 11$ ),  
249 *Journal of Construction Engineering and Management (JCEM)* ( $N = 9$ ), *Journal of*  
250 *Management in Engineering (JME)* ( $N = 6$ ), *International Journal of Occupational Safety and*  
251 *Ergonomics (IJOSE)* ( $N = 5$ ), *Accident Analysis and Prevention (AAP)* ( $N = 4$ ), *Journal of Safety*  
252 *Research (JSR)* ( $N = 4$ ), and *Journal of Occupational Health Psychology (JOHP)* ( $N = 3$ ). The  
253 thickness of the connecting lines indicates the relatedness among journals in terms of mutual  
254 citations. It can be seen that *SS* is closely related to *IJERPH*, *JCEM*, and *JME*. The journals in  
255 Table 2 are ranked according to their “Avg. norm. citation”; based on this index, *SS*, *JME*,  
256 *IJERPH*, and *AAP* are the most influential in the literature of antecedents of construction safety  
257 behavior.



258

259 **Fig. 4.** Visualization of journal sources of the reviewed literature.

260 (column fitting: double)

261 **Table 2**

262 Quantitative measurement of journals' influence.

| Journal  | Number of publications | Total citation | Avg. citation | Norm. citation | Avg. norm. citation |
|--|------------------------|----------------|---------------|----------------|---------------------|
| <i>Safety Science</i>  | 20                     | 1085           | 54.25         | 31.02          | 1.55                |
| <i>Journal of Management in Engineering</i>                              | 6                      | 184            | 30.67         | 8.58           | 1.43                |
| <i>International Journal of Environmental Research and Public Health</i> | 11                     | 67             | 6.09          | 15.46          | 1.41                |
| <i>Accident Analysis and Prevention</i>                                  | 4                      | 166            | 41.50         | 5.04           | 1.26                |
| <i>International Journal of Occupational Safety and Ergonomics</i>       | 5                      | 34             | 6.80          | 5.01           | 1.00                |
| <i>Journal of Construction Engineering and Management</i>                | 9                      | 260            | 28.89         | 8.78           | 0.98                |
| <i>Journal of Occupational Health Psychology</i>                         | 3                      | 112            | 37.33         | 2.80           | 0.93                |
| <i>Journal of Safety Research</i>  | 4                      | 99             | 24.75         | 3.37           | 0.84                |

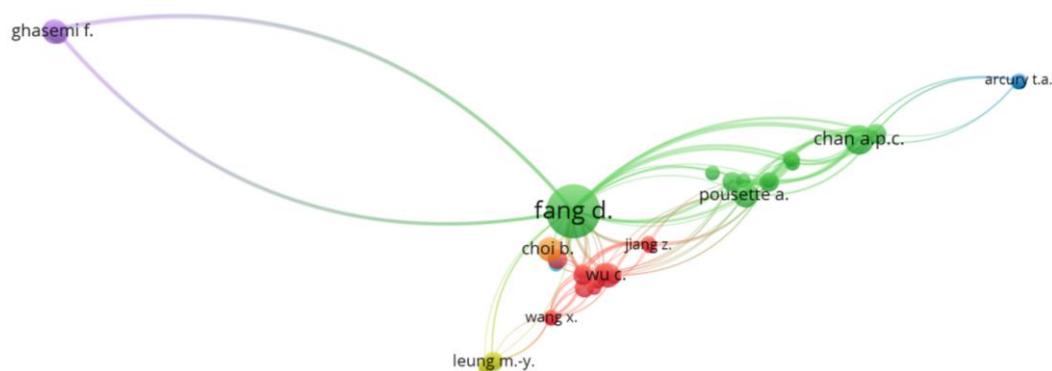
263 Notes: 1) This table includes the eight journals in Fig. 4. 2) When working with citation analysis, "Total  
264 citation" indicates the number of citations received by all documents published by a journal or an author,  
265 or the number of citations received by a document. "Avg. citation" indicates the average number of  
266 citations received by the documents published by a journal or an author, or the average number of  
267 citations received by the documents in which a keyword or a term occurs; it is calculated by dividing  
268 "Total citation" by the number of articles. "Norm. citation" indicates the total normalized number of  
269 citations received by all documents published by a journal or an author, or the normalized number of  
270 citations received by a document. For example, the normalized number of citations of a document equals  
271 the number of citations of the document divided by the average number of citations of all documents  
272 published in the same year and included in the data provided to VOSviewer. "Avg. norm. citation"

273 indicates the average normalized number of citations received by the documents published by a journal  
 274 or an author, or the average normalized number of citations received by the documents in which a  
 275 keyword or a term occurs. For example, in this table, “Avg. norm. citation” represents the normalized  
 276 citation per article of a given journal, which is calculated by dividing “Norm. citation” by the number of  
 277 articles. The normalization corrects for the fact that older documents have had more time to receive  
 278 citations than more recent ones (Van Eck and Waltman, 2017).

#### 279 4.2. Scholar analyses

280 Citation analysis of the scholars was conducted using VOSviewer. Setting the minimum  
 281 number of articles and minimum citations of an author as two and 25, respectively, 41 out of a  
 282 total of 254 scholars met the thresholds and are visualized in Fig. 5. Here, the size of a node  
 283 gives a visual representation of the number of publications by a given author, with a larger node  
 284 indicating more publications. Accordingly, Fang D. ( $N = 11$ ) and Chan A.P.C. ( $N = 5$ ) were the  
 285 most productive researchers. The thickness of the connecting lines indicates the relatedness  
 286 among scholars in terms of mutual citations. It seems that Fang D. is at the core of the network,  
 287 relating closely with many other scholars.

288 The scholars in Table 3 are listed according to their “Avg. norm. citation”. It is found that  
 289 Wang X. and Xia N., although with only two articles in total from the literature sample, have  
 290 the highest influence as measured by “Avg. norm. citation”. In terms of “Total citation”, Fang  
 291 D., Pousette A., and Törner M. are the scholars with the highest contribution to the academic  
 292 community of safety behavior antecedents in construction.



293  
 294 **Fig. 5.** Visualization of authors of the reviewed literature.

295 Note: Some of the 41 scholars in the network are not connected to each other; this figure displays the

296 largest set of connected items consisting of 39 scholars.

297 (column fitting: double)

298 **Table 3**

299 Quantitative measurement of influence of scholars in the reviewed literature.

| Author            | Number of publications | Total citation | Avg. citation | Norm. citation | Avg. norm. citation |
|-------------------|------------------------|----------------|---------------|----------------|---------------------|
| Wang X.           | 2                      | 29             | 14.50         | 5.09           | 2.54                |
| Xia N.            | 2                      | 29             | 14.50         | 5.09           | 2.54                |
| Guo B.H.W.        | 3                      | 80             | 26.67         | 5.75           | 1.92                |
| Cigularov K.P.    | 2                      | 147            | 73.50         | 3.31           | 1.66                |
| Wu H.             | 3                      | 106            | 35.33         | 4.94           | 1.65                |
| Wu C.             | 4                      | 109            | 27.25         | 6.20           | 1.55                |
| Rosecrance J.C.   | 2                      | 71             | 35.50         | 2.94           | 1.47                |
| Kalatpour O.      | 3                      | 45             | 15.00         | 4.40           | 1.47                |
| Moghimbeigi A.    | 3                      | 45             | 15.00         | 4.40           | 1.47                |
| Goh Y.M.          | 2                      | 39             | 19.50         | 2.85           | 1.42                |
| Chen P.Y.         | 3                      | 170            | 56.67         | 4.24           | 1.41                |
| Li N.             | 3                      | 45             | 15.00         | 4.24           | 1.41                |
| Mohammadfam I.    | 3                      | 57             | 19.00         | 4.02           | 1.34                |
| Hon C.K.H.        | 3                      | 49             | 16.33         | 3.91           | 1.30                |
| Ahn S.            | 2                      | 31             | 15.50         | 2.60           | 1.30                |
| Jiang Z.          | 2                      | 70             | 35.00         | 2.56           | 1.28                |
| Zhang M.          | 2                      | 70             | 35.00         | 2.56           | 1.28                |
| Ghasemi F.        | 4                      | 61             | 15.25         | 4.98           | 1.25                |
| Fang D.           | 11                     | 740            | 67.27         | 13.40          | 1.22                |
| Hoffmeister K.    | 2                      | 60             | 30.00         | 2.43           | 1.22                |
| Leung M.-Y.       | 3                      | 100            | 33.33         | 3.29           | 1.10                |
| Conchie S.M.      | 2                      | 98             | 49.00         | 2.16           | 1.08                |
| Chan A.P.C.       | 5                      | 74             | 14.80         | 5.39           | 1.08                |
| Choi B.           | 4                      | 42             | 10.50         | 4.30           | 1.08                |
| Lee S.H.          | 3                      | 37             | 12.33         | 3.10           | 1.03                |
| Hadikusumo B.H.W. | 2                      | 39             | 19.50         | 1.95           | 0.97                |
| Liang Q.          | 2                      | 43             | 21.50         | 1.94           | 0.97                |
| Wang H.           | 2                      | 36             | 18.00         | 1.72           | 0.86                |
| Yu J.             | 2                      | 63             | 31.50         | 1.63           | 0.81                |
| Törner M.         | 3                      | 212            | 70.67         | 2.22           | 0.74                |
| Gao R.            | 2                      | 25             | 12.50         | 1.47           | 0.74                |
| Utama W.P.        | 2                      | 25             | 12.50         | 1.47           | 0.74                |
| Zahoor H.         | 2                      | 25             | 12.50         | 1.47           | 0.74                |
| Arcury T.A.       | 2                      | 48             | 24.00         | 1.43           | 0.71                |

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|               |   |     |       |      |      |
|---------------|---|-----|-------|------|------|
| Grzywacz J.G. | 2 | 48  | 24.00 | 1.43 | 0.71 |
| Quandt S.A.   | 2 | 48  | 24.00 | 1.43 | 0.71 |
| Summers P.    | 2 | 48  | 24.00 | 1.43 | 0.71 |
| Pousette A.   | 4 | 217 | 54.25 | 2.63 | 0.66 |
| Larsson S.    | 2 | 183 | 91.50 | 1.26 | 0.63 |

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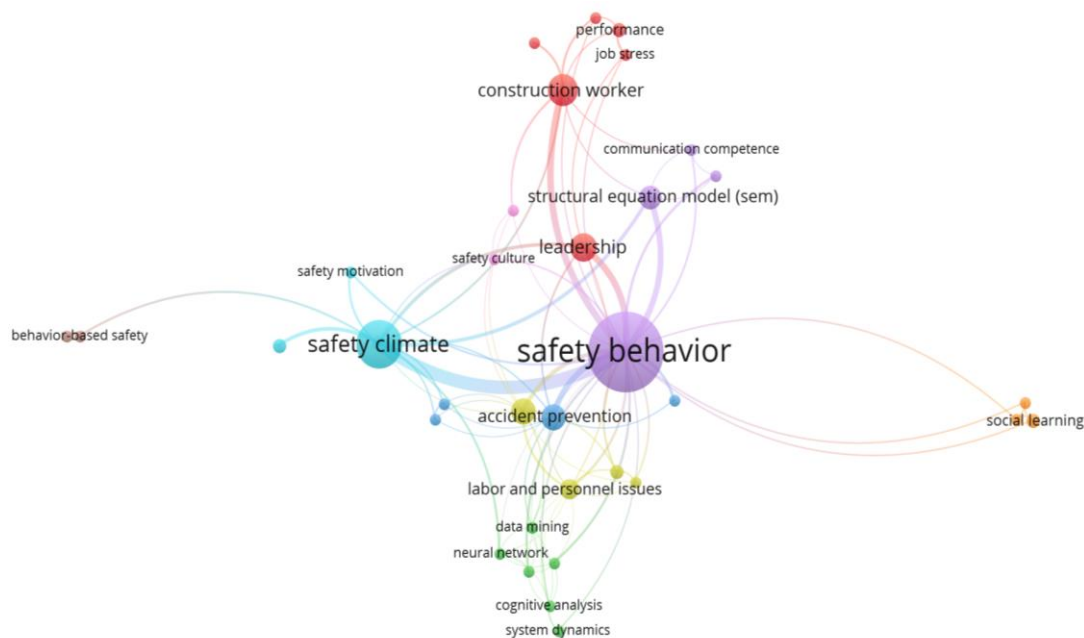
### 300 4.3. Keyword analyses

301 Keywords represent the core contents of existing studies and describe research topics  
 302 within a given domain. Co-occurrence of keywords demonstrates the inter-closeness among  
 303 them. By using “Author keywords” and “Fractional counting” in VOSviewer as recommended  
 304 by Van Eck and Waltman (2017) and by setting the minimum occurrence of a keyword at two,  
 305 37 out of a total of 240 keywords were selected initially. Before this analysis, work was  
 306 performed to remove general keywords such as “construction safety” and “construction  
 307 industry.” By reading the articles, some keywords with similar semantic meaning were  
 308 combined, such as “safety behaviour,” “safety behaviors,” “unsafe behavior,” “safety  
 309 compliance,” “safety participation,” and “safety performance.”

310 Fig. 6 shows the final visualization of co-occurring keywords generated from VOSviewer.  
 311 The size of a node gives a visual representation of the occurrence of a given keyword, with a  
 312 larger node indicating higher occurrence. Obviously, the occurrence of the keyword “safety  
 313 climate” was the highest, indicating high focus on this construct. The thickness of the  
 314 connecting lines indicates the inter-relatedness among keywords. It can be seen that a large  
 315 proportion of studies examined the relationship between safety climate and safety behavior.  
 316 Since the 1990s, research on occupational safety has often treated safety climate as an  
 317 antecedent of safety behavior (Guo et al., 2016; Hofmann et al., 2017). Psychological safety  
 318 climate is defined as “individual perceptions of safety-related policies, practices, and  
 319 procedures pertaining to safety matters that affect personal well-being at work” (Christian et  
 320 al., 2009, p. 1106). When these individual perceptions are shared among individuals within a  
 321 work group or an organization, a group- or organizational-level climate emerges (James et al.,  
 322 1990; Zohar and Luria, 2005). The thickness of the connecting lines in Fig. 6 also shows that  
 323 much attention was paid to construction workers’ safety behavior, which agrees with the review



324 by Zhou et al. (2015). The relationship between safety behavior and leadership also attracted  
 325 particular attention. Structural equation model was used frequently to analyze the relationships  
 326 between safety behavior and its antecedents.



327

328 **Fig. 6.** Visualization of co-occurring keywords in the literature on antecedents of construction safety  
 329 behavior.

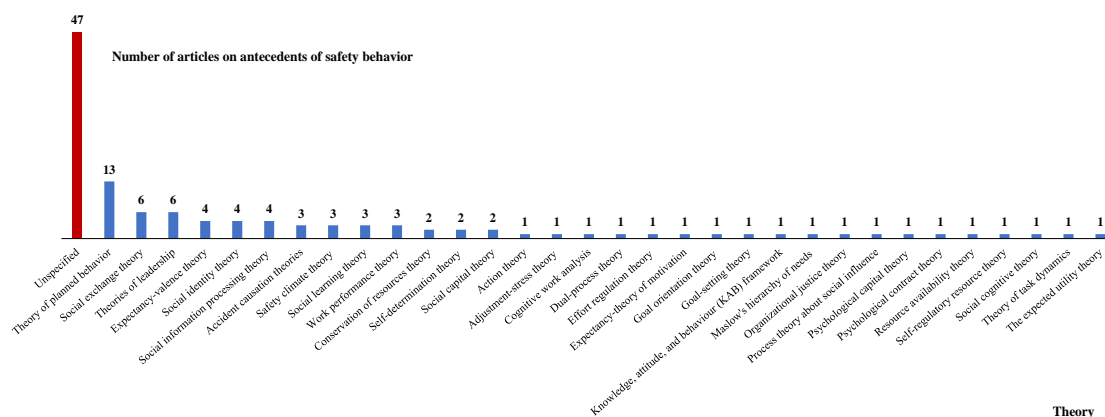
330 Note: Some of the 37 keywords in the network are not connected to each other; this figure displays the  
 331 largest set of connected items consisting of 34 keywords.

332 (column fitting: double)

#### 333 4.4. Use of theory

334 Among the 101 papers, 47 lacked a theory to explain the linkages between antecedents  
 335 and safety behavior (Fig. 7). Work performance theory, including social exchange theory and  
 336 expectancy-valence theory on which work performance theory is based, prevailed in the current  
 337 literature ( $N = 13$ ) and were used to examine the relationships among safety climate, knowledge,  
 338 skills, motivation, and safety behavior (Guo et al., 2016; Lyu et al., 2018). This dominance was  
 339 consistent with the heavy focus on safety climate, knowledge, skills, and motivation (see Fig.  
 340 6 and the supplementary material titled “Antecedent”). Theory of planned behavior (Ajzen,  
 341 1991) was another frequently used theory ( $N = 13$ ) to explain how safety behavior is related to

342 safety attitudes, subjective norms, behavioral control, and behavioral intention (Goh et al.,  
 343 2018). Theories of leadership were used by six articles, plausibly confirming the value of  
 344 considering leadership as an antecedent of employee safety behavior (Clarke, 2013; Hofmann  
 345 et al., 2017). For example, theories of leader–member exchange were used to explain how  
 346 leader–member exchange exerted direct and indirect influences on worker safety behavior (He  
 347 et al., 2019).



348

349 **Fig. 7.** Theory in the reviewed literature.

350 (column fitting: double)

351 

#### 4.5. Research methods

352 In terms of research methods (Table 4), questionnaire surveys dominated the reviewed  
 353 literature ( $N = 75$ ), two papers combined an interview and a questionnaire, nine papers used  
 354 experiments, eight papers used simulation methods, and seven papers used qualitative methods  
 355 (e.g., field observation, interview, and focus-group discussion). In-depth analysis was  
 356 conducted to classify the 77 studies that used questionnaires, in terms of their research design,  
 357 level of analysis, source of predictor, and source of safety behavior. For detailed information  
 358 about research methods, see the supplementary material titled “Research method”.

359 Among the 77 papers that used questionnaires, only two papers employed longitudinal  
 360 research design (i.e., Arcury et al., 2012; Tholén et al., 2013). This indicates that most of the  
 361 literature used cross-sectional data, therefore addressing only associations between antecedents  
 362 and safety behavior and not causal relationships. Regarding the level of analysis, only eight  
 363 papers established hierarchical linear models (e.g., Wang et al., 2018a), while the majority

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364 remained at the individual level. For example, although safety climate can be an individual-,  
365 group-, or organizational-level construct (Hofmann et al., 2017; Zohar and Luria, 2005), the  
366 majority of the reviewed studies have tested its individual-level linkage with safety behavior;  
367 few have tested for cross-level effects. This finding is consistent with that of Shen et al. (2015),  
368 who reviewed safety climate studies in construction since 2000 and found they focused  
369 primarily on the factor structure of psychological safety climate and the predictive relationships  
370 between psychological safety climate and related outcomes. For the 77 articles that used  
371 questionnaires, when measuring predictors, only two used data collected from different sources  
372 (i.e., Kao et al., 2016; Kao et al., 2019), while the other 75 articles collected data from the  
373 research subjects themselves; when measuring safety behavior, 72 articles used subjects' self-  
374 reported data, four used observation/archival data (e.g., Goh et al., 2018), and one used ratings  
375 of workers themselves and their supervisors (i.e., Conchie and Donald, 2009). A majority of the  
376 questionnaire surveys (70 of 77) used single-source self-reported data, therefore common  
377 method variance may exist.

378 **Table 4**

379 Research method in the reviewed research.

| Research method              | Total | Research design |             |              | Level of analysis |             | Source of predictor |              |             | Source of safety behavior |                      |              |
|------------------------------|-------|-----------------|-------------|--------------|-------------------|-------------|---------------------|--------------|-------------|---------------------------|----------------------|--------------|
|                              |       | Concurrent      | Time-lagged | Longitudinal | Individual level  | Multi-level | Self                | Multi-source | Manipulated | Self                      | Observation/archival | Multi-source |
| Questionnaire                | 75    | 71              | 2           | 2            | 67                | 8           | 73                  | 2            | 0           | 70                        | 4                    | 1            |
| Questionnaire & Interview    | 2     | 2               | 0           | 0            | 2                 | 0           | 2                   | 0            | 0           | 2                         | 0                    | 0            |
| Experiment                   | 9     | —               |             |              |                   |             |                     |              |             |                           |                      |              |
| Empirically-based simulation | 8     | —               |             |              |                   |             |                     |              |             |                           |                      |              |
| Qualitative methods          | 7     | —               |             |              |                   |             |                     |              |             |                           |                      |              |
| Total                        | 101   | 73              | 2           | 2            | 69                | 8           | 75                  | 2            | 0           | 72                        | 4                    | 1            |

380 Notes: 1) The number in a cell represents the number of reviewed articles. 2) Research design, level of analysis, source of predictor, and source of safety behavior were analyzed  
381 among questionnaire and experimental papers. Individual level: both predictors and safety behavior were analyzed at the individual level. Multi-level: predictors and safety  
382 behavior were hypothesized and tested at more than one level. 3) Self: predictors or safety behaviors were rated by the research subjects of a given study. Multi-source: a single  
383 variable was measured by combining data from multiple sources, or two different variables were measured with data from two separate sources. Manipulated: predictors were  
384 manipulated through experiments. Observation/archival: safety behavior was observed or recorded by an observer (e.g., supervisor), video, report, etc.

#### 385 4.6. Empirical samples

386 The countries or regions represent where empirical data were collected. Fig. 8 shows the  
 387 distribution of these places: the size of each node is a visual representation of the number of  
 388 samples from a given country or region, with a larger node indicating more samples. The  
 389 number of samples from the Chinese construction industry ( $N = 28$ ) ranked the first, followed  
 390 by the United States ( $N = 19$ ) and Hong Kong, China ( $N = 14$ ). Only two papers used samples  
 391 from different countries to test the role of culture in determining employee safety behavior  
 392 (Choi and Lee, 2017; Lim et al., 2018).



393  
 394 **Fig. 8.** Number of empirical samples distributed by country or region.

395 (column fitting: double)

## 396 5. Thematic results

397 After reviewing the 101 papers, 83 factors were identified as influencing construction  
 398 employees' safety behavior (see details in the supplementary material titled "Antecedent").  
 399 During the review, factors with equal meaning or implication were merged. These 83  
 400 antecedents were further condensed inductively into five categories. The largest number of  
 401 articles ( $N = 64$ ) focused on factors relating to workgroup interactions, followed by individual  
 402 characteristics ( $N = 52$ ), project management and organization ( $N = 52$ ), work and workplace  
 403 design ( $N = 26$ ), and family, industry, and society ( $N = 10$ ). Although workers' safety behavior

404 can be largely influenced by stimulus at the workplace, the reviewed research also noticed  
405 possible influences from other domains such as family, as well as industry and society.

#### 406 *5.1. Individual characteristics*

##### 407 *5.1.1. Physical condition*

408 The physical condition of the labor force plays a critical role in the diminution of safety-  
409 oriented activities on the part of construction employees, this being because many construction  
410 activities are arduous and require intensive physical energy. Fatigue reduces workers' ability to  
411 concentrate and think clearly, and hence act appropriately (Mohammadi et al., 2018; Seo et al.,  
412 2015). Also, factors outside working hours (e.g., insomnia) can also decrease employees' safety  
413 behavior (Kao et al., 2016). Suffering such physical stress, they may also exhibit unsafe  
414 behavior such as taking shortcuts to get their work done as quickly as possible to alleviate their  
415 physical symptoms (Fang et al., 2015a). Furthermore, Murray et al. (1997) indicated that at a  
416 relatively low fatigue level, a worker's unsafe behavior was due mainly to the failure of hazard  
417 perception; however, as fatigue accumulated, its impact on the worker's motor control capacity  
418 became significant. Conversely, Leung et al. (2012) found that construction workers who suffer  
419 physical stress were more likely to behave safely. This may be because workers who are  
420 suffering poor physical conditions, such as back pain, will lower their work pace and thus have  
421 time to consider thoroughly each step of their tasks, thereby reducing the chance of overlooking  
422 safety behavior during construction work (Leung et al., 2012).

##### 423 *5.1.2. Psychological condition*

424 The reviewed literature also recognized the role of individual psychological condition in  
425 the occurrence of safety behavior. When confronted with potential hazards on construction sites,  
426 workers' cognitive failures may occur in the five processes of obtaining information,  
427 understanding information, perceiving responses, selecting a response, and taking action,  
428 thereby resulting in unsafe behavior (Fang et al., 2016; Johnson et al., 2019). Furthermore, Kao  
429 et al. (2016) pointed out that poor physical conditions resulting from insomnia would limit

430 employees' ability to utilize their cognitive resources for displaying safety behavior. Bad  
431 emotions and emotional exhaustion would also exert negative influences on worker safety  
432 behavior (Ju et al., 2016; Zhang et al., 2016). Furthermore, Leung et al. (2012) stated that only  
433 moderate levels of emotional stress lead to a higher level of safety behavior, while too much or  
434 too little emotional stress results in poor safety behavior. Supervisors were advised to pay  
435 attention to workers' psychological problems and maintain good relationships (Choudhry and  
436 Fang, 2008).

### 437 5.1.3. *Personal traits*

438 The determinants of performance represent the proximal causes of variability in  
439 performance. Work performance theory demonstrated the important determinants of individual  
440 work behavior to be what she/he knows, what she/he can do, and what she/he wants, namely  
441 knowledge, skills, and motivation (Campbell et al., 1993). The reviewed literature also  
442 confirmed this argument with rich empirical evidence. Construction workers' safety knowledge,  
443 safety skills, and safety motivation were all positively related to safety behavior (Lim et al.,  
444 2018; Shin et al., 2015; Zhang et al., 2016). Intention, a similar construct to motivation, is  
445 "assumed to capture the motivational factors that influence a behavior", an indication "of how  
446 hard people are willing to try, of how much of an effort they are planning to exert, in order to  
447 perform the behavior" (Ajzen, 1991, p. 181). The theory of planned behavior postulates that the  
448 intention of an individual is the determinant of the actual behavior. The reviewed literature  
449 confirmed it as an antecedent of safety behavior (Goh and Binte Sa'Adon, 2015; Goh et al.,  
450 2018; Jitwasinkul et al., 2016; Liao et al., 2017). The stronger an employee's intention to engage  
451 in safe behavior, the more likely should be her/his safety performance. Based on the theory of  
452 planned behavior, predictors of behavioral intention—individual attitudes, perceived  
453 behavioral control, and perceived safe or unsafe behavior norms—also had significant  
454 associations with safety behavior<sup>1</sup> (Jitwasinkul et al., 2016; Choi and Lee, 2017).

---

<sup>1</sup> Perceived norms are mentioned here to convey the full theory of planned behavior; this variable was grouped in the categories of workgroup interactions, project management and organization, or family, industry, and society.

455 Individuals' general and inherent qualities such as communication competence, self-  
456 efficacy, and learning goal orientation were substantiated to promote safety behavior (He et al.,  
457 2019; Lu et al., 2018; Wang et al., 2018b). In contrast to generic occupational safety literature  
458 where evidence substantiates the value of considering personality traits as key correlates to  
459 safety behavior (Beus et al., 2015), few studies in the construction field examined these  
460 relationships. One exception is Landeweerd et al. (1990); however, unsafe behavior of  
461 construction workers was found not to relate to their risk-taking tendency (dimension of the  
462 "sensation seeking" personality trait). Another is Seo et al. (2015), finding that personality traits  
463 (neuroticism, extraversion, openness, agreeableness, conscientiousness) did not affect safety  
464 behavior directly but did so indirectly through the mediating variables of job stress, self-  
465 perceived fatigue, safety culture, and safety climate. More studies are warranted because a small  
466 subset of accident-prone employees accounted for a large proportion of accidents (Visser et al.,  
467 2007); training of these vulnerable people is of great importance.

468 Other individual background variables such as age, gender, education, work experience,  
469 and work position were seldom included as the focus of study in the reviewed literature. Rather,  
470 they were usually treated as control variables (e.g., Kaufman et al., 2014; Xia et al., 2017). This  
471 finding is consistent with the review on causes of workplace safety violation (Alper and Karsh,  
472 2009) and of safety performance in construction sites (Khosravi et al., 2014; Mohammadi et al.,  
473 2018). This scarcity in examining the effects of demographic variables on safety behavior is  
474 understandable in part because contextual causes are under the control of organizations and  
475 thus are more amenable to intervention. Nevertheless, the literature contains conflicting  
476 relationships between demographic variables and safety behavior. For example, Chen et al.  
477 (2013) found that both younger workers (below 30 years old) and older workers (above 50 years  
478 old) tended to have lower rates of safety violation.

## 479 *5.2. Workgroup interactions*

### 480 *5.2.1. Group identity and cohesiveness*

481 The construction industry is typically characterized by a temporary workforce, sub-



482 contracting, and varying job sites away from the contractor's office (Schwatka and Rosecrance,  
483 2016). These characteristics lead to a workforce that is relatively disconnected from top  
484 management and interacts more within the workgroup (Choi et al., 2017). Thus, it is  
485 understandable that many studies have investigated factors associated with the workgroup.  
486 High quality leader–member exchange and a positive safety knowledge transfer environment  
487 within groups would promote worker safety behavior (He et al., 2019; Huang and Yang, 2019).  
488 The reviewed literature has substantiated the importance of group safety climate for worker  
489 safety behavior. Brondino et al. (2012) further confirmed two important dimensions of group  
490 safety climate<sup>2</sup>, namely supervisor's safety climate and coworkers' safety climate, which  
491 reflected the priority that workers perceived their supervisor and coworkers, respectively, gave  
492 to safety issues. Similarly, if workers perceived great social pressure from their groupmates to  
493 perform safety behavior (i.e., group norms to safety behavior), they were likely to do so  
494 (Andriessen, 1978; Ju et al., 2016). Workers' group identity would strengthen the positive effect  
495 of group norms on safety behavior (Choi et al., 2017).

#### 496 5.2.2. *Supervisor influence*

497 Long ago, Heinrich and Granniss (1959) pointed out that “The supervisor or foreman is  
498 the key man in industrial accident prevention. His application of the art of supervision to the  
499 control of worker performance is the factor of greatest influence in successful accident  
500 prevention.” If the supervisor showed poor support for safety, then construction workers would  
501 question her/his leadership behavior (Xin et al., 2003). Even more seriously, supervisors may  
502 bend safety rules in pursuit of production (Liang and Zhang, 2019). In this scenario, the workers  
503 are likely to ignore supervisors' instructions and even flout safety rules and work in their  
504 preferred manner (Leung et al., 2016). However, supervisors can be helpful in inducing worker  
505 safety behavior when they model safe behaviors, put safety before production, raise and openly

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<sup>2</sup> Dimensions of safety climate range from workgroup interactions to work and workplace design, and project management and organization (see details in the supplementary material titled “Antecedent”). We discuss the relevant dimensions in the respective categories of antecedents.

506 discuss safety issues, and encourage reporting when workers feel unsafe (Kao et al., 2016;  
507 Schwatka and Rosecrance, 2016). If supervisors demonstrated training and preventive actions,  
508 then safety climate at the group level would increase which subsequently improved safety  
509 behavior of construction workers (Fang et al., 2015b; Zhang et al., 2017).

510 Fig. 6 shows that leadership was studied heavily in relation to safety behavior. Further  
511 qualitative analysis showed that the focus was on supervisors' leadership, while managers'  
512 leadership received little attention (see details in the supplementary material titled  
513 "Antecedent"). Burns and Conchie (2014) showed that safety-specific transformational  
514 leadership impacted employees' safety behaviors directly or through intrinsic motivation.  
515 Furthermore, supervisors' support for safety and their general leadership can produce an  
516 interactive effect on worker safety behavior: leader justice, irrespective of context, was  
517 associated with lower safety performance when leaders were not supportive of safety (Kaufman  
518 et al., 2014). Supervisors who are considerate and open to workers' problems (i.e., open  
519 leadership) were likely to regard safety of their subordinates as important, which then increased  
520 workers' safety participation (Andriessen, 1978). Another similar finding is in Talabi et al.  
521 (2019): supervisors' respected personal qualities such as behavioral integrity would enhance  
522 workers' safety behavior. This evidenced the importance of general leadership and respected  
523 personal qualities of supervisors, consistent with Gittleman et al. (2010) suggesting that  
524 "talking the talk" and "walking the walk" may be more important than engaging in particular  
525 motivating, stimulating, coaching, or rewarding behaviors. Essentially, leadership is a complex,  
526 multi-dimensional construct, and there is reason to suspect that different facets of leadership  
527 may affect employee safety behavior in different ways. Hoffmeister et al. (2014) found that  
528 immediate supervisors' less concrete aspects of leadership (i.e., idealized attributes and  
529 behaviors; dimensions of transformational leadership) accounted for the most variance in  
530 construction workers' safety compliance and participation, whereas individualized  
531 consideration (dimension of transformational leadership) and active management-by-exception  
532 (dimension of transactional leadership) frequently accounted for the least amount of variance.

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### 533 5.2.3. Coworker influence

534 In a meta-analysis, Chiaburu and Harrison (2008) found that coworkers influenced each  
535 other even after accounting for managerial influences. Research showed that if workers  
536 perceived that their coworkers extended support and were committed to safety (manifestations  
537 of coworker safety climate), their safety behavior would increase (Fang et al., 2006; Schwatka  
538 and Rosecrance, 2016; Zhou et al., 2008). However, safety violation by coworkers will induce  
539 worker safety violation; for example, routine safety violations by coworkers may lead to an  
540 increase in perceived production pressure and attitudinal ambivalence of workers, subsequently  
541 leading them to perform routine safety violation (Liang et al., 2018). In addition, some workers  
542 demonstrated unsafe behavior because of negative peer pressure, namely to prove that they are  
543 “tough guys” and to avoid being teased by their coworkers (Choudhry and Fang, 2008). Using  
544 an experiment with a multi-user virtual reality system, Shi et al. (2019) validated that workers’  
545 safety behavior can be influenced by their coworkers in two opposing ways: positive  
546 reinforcement by demonstrating preferred behaviors, and negative reinforcement by  
547 demonstrating negative consequences of inappropriate behaviors. Specifically, workers were  
548 encouraged to follow the demonstration and maintain normal walking in a hazardous situation  
549 when observing an avatar demonstrating appropriate walking behaviors, whereas they tended  
550 to walk faster and more irregularly and then demonstrated unsafe behavior when observing an  
551 avatar walking quickly across a plank and falling off.

## 552 5.3. Work and workplace design

### 553 5.3.1. Work and workplace physical environment

554 Construction work always involves various hazards (e.g., working at height), and the  
555 physical work environment (e.g., excessive noise) tends to be poor (Leung et al., 2016).  
556 Researchers have advocated physical work environment as a component of safety climate in  
557 construction sites (e.g., Mohamed et al., 2009). Workers’ perceptions of risks and hazards  
558 related to their work and workplace can influence their safety behavior through cognition and/or  
559 emotional pathways (Sun et al., 2019; Xia et al., 2017). Workers may compare perceived risk

560 and their risk acceptance levels to determine safety behavior (Choi and Lee, 2018). Considering  
561 the innate interaction among human behavior, work, and workplace conditions, ensuring the  
562 safety of the various tasks and the workplace can help improve safety behavior of workers.  
563 Design for safe work and workplace in the initial planning phase has long been underscored in  
564 generic occupational safety research (Pybus, 1996). The reviewed construction articles also  
565 give importance to this issue, such as designing comfortable personal protective equipment and  
566 designing safe working process for hazardous activities (Arcury et al., 2014), as well as  
567 designing a safe workplace to prevent workers from being tempted to implement unsafe actions  
568 (Stege et al., 2019). Sun et al. (2019) developed a system dynamics model that delineated the  
569 iterative influence between workplace design factors and human error, finding that the design  
570 factor “direct constraints on objects” had the most significant impact on worker safety behavior.  
571 For example, in the case of designing an elevator, if the bearing capacity of the lifeline was  
572 inadequate or unknown, most of the interviewed workers (over 60%) abandoned their harness  
573 or connected it to convenient but unreliable scaffolding (Wang et al., 2017).

#### 574 *5.3.2. Job and role characteristics*

575 As well as engineering design for safety, appropriate social design of job and role  
576 characteristics can improve employee safety behavior. Positioned at the lowest level of an  
577 organization or project, construction workers usually have limited control over rewards and  
578 resources in their work (Leung et al., 2012). Research found that job characteristics (e.g.,  
579 influence at work and possibilities for personal development) can increase safety behavior  
580 (Larsson et al., 2008), whereas role characteristics (e.g., role ambiguity, role conflict, and role  
581 overload) can reduce safety behavior (Enshassi et al., 2015; Wang et al., 2018b).

#### 582 *5.4. Project management and organization*

##### 583 *5.4.1. Project management*

584 The reviewed literature particularly stressed the role of safety-specific cues at the project  
585 level, including safety management systems, participatory programs, and management

586 commitment to safety and production; employees' perception of these (manifestations of safety  
587 climate) would affect their safety behavior either directly (Arcury et al., 2012) or indirectly  
588 (e.g., via safety motivation and safety knowledge; Larsson et al., 2008). Safety management  
589 systems include organizing and planning, establishing safety policies, rules, and procedures,  
590 providing training and learning, providing safety resources (e.g., equipment, materials, and  
591 facilities), and establishing appropriate reward systems (Asilian-Mahabadi et al., 2018; Li et al.,  
592 2018; Seo et al., 2015; Stege et al., 2019; Wu et al., 2018). In addition to such top-down  
593 management, involving workers in safety programs—especially establishing two-way  
594 communication systems—will improve employee safety behavior by improving mutual  
595 understanding, perception, and awareness of hazards, as well as by stimulating their motivation  
596 to engage in safety behavior (Jitwasinkul et al., 2016; Stege et al., 2019). This finding is  
597 consistent with other research suggesting that it is not just management participation and  
598 involvement in safety activities that is important, but the extent to which management  
599 encourages the involvement of the workforce (Niskanen, 1994).

600 That said, effective safety management systems and participatory programs are not  
601 possible without management commitment. Management's lack of safety support and its  
602 prioritizing of production contributed to unsafe behavior of workers (Aksorn and Hadikusumo,  
603 2007; Arcury et al., 2014), whereas management safety commitment was the factor of utmost  
604 importance for a satisfactory level of employee safety (Choudhry and Fang, 2008; Jitwasinkul  
605 et al., 2016). Management safety commitment was considered as one of the most fundamental  
606 safety climate factors across various high-risk occupations (Flin et al., 2000; Neal and Griffin,  
607 2004). Neal and Griffin (2004) defined management safety commitment as “the extent to which  
608 management is perceived to place a high priority on safety and communicate and act on safety  
609 issues effectively” (p. 27).

610 When attitude and leadership style of the supervisor were excluded, it still held that  
611 workers would work more safely if they saw that safety definitely had a place in the policy of  
612 the higher-level management (Andriessen, 1978). This highlights the critical role of managers  
613 in the project: although the direct supervisor may show positive interest in safety, workers will

614 still work less safely if they realize that managers have little interest in safety. Concerted efforts  
615 should be made by managers of all the key parties in construction, namely clients, general  
616 contractors, and subcontractors), because the management of the former can influence the latter  
617 (Mohammadi et al., 2018) and together they create a project-level safety climate (Asilian-  
618 Mahabadi et al., 2018; Cigularov et al., 2010). Collaboration among managers and employees  
619 from different parties may also help workers to identify with the project, which will bring about  
620 benefits for employee safety behavior. Specifically, workers' identity with the project was found  
621 to be significantly lower than that with workgroups; however, if strong project identity can be  
622 developed among workers, this would strengthen the positive effect of perceived management  
623 norms on safety behavior (Choi et al., 2017).

#### 624 5.4.2. *Organization factor*

625 Organizations in the construction industry are project-based ones in which the project is  
626 the most important unit for production (Bakker, 2010). Thus, project management on safety is  
627 subject to parent organizations. Construction workers showed relatively low identity with their  
628 organizations, but if they could be motivated to commit to their organizations, then their safety  
629 participation behavior would increase (Asilian-Mahabadi et al., 2018; Shin et al., 2015). The  
630 reviewed literature showed that positive safety climate within organizations would either  
631 increase safety behavior directly, or reinforce the positive effects of individual safety awareness  
632 on safety behavior (Wang et al., 2018a). Furthermore, by demonstrating transformational  
633 leadership style, organizational managers can create positive safety organizational culture to  
634 influence employee safety behavior (Skeepers and Mbohwa, 2015).

635 The reviewed literature pointed out the importance of clients in the contract definition  
636 phase. Specifically, no specific resource allocation for safety, safety requirement included as  
637 contract addenda and not as main contract clauses, and a tight schedule would create constraints  
638 within which general contractors, subcontractors, and subsequently their workers have to  
639 operate unsafely (Asilian-Mahabadi et al., 2018). As another key party, if contractors had low  
640 competency (e.g., insufficient and/or improper resource allocation, high subcontracting rate,

641 inadequate workforce, and delays in payments to workers), then unsafe behavior of workers  
642 would increase (Asilian-Mahabadi et al., 2018). The reviewed literature also indicated that  
643 workers in small construction companies tended to show low levels of safety behavior (Arcury  
644 et al., 2014). However, Guo et al. (2018) showed that although workers from large companies  
645 demonstrated significantly higher levels of safety climate dimensions (e.g., management  
646 commitment to safety and social support), workers from small and large companies understood  
647 the safety climate measure in a similar manner, and company size did not change the way by  
648 which safety climate influenced safety behavior.

#### 649 *5.5. Family, industry, and society*

##### 650 *5.5.1. Family domain*

651 Family is another salient domain that can exert cross-domain effects on employee behavior  
652 at work (Xia et al., 2018b). Pressure to support their families may cause workers to work  
653 quickly and hence ignore safety rules, or to suffer distraction and depleted resources that  
654 subsequently lead to unsafe behavior (Arcury et al., 2014; Johnson et al., 2019). On the other  
655 hand, if family members and friends who are important to workers encouraged them to work  
656 safely (i.e., family norms to safety behavior), then they would behave safely at work (Peng and  
657 Chan, 2019).

##### 658 *5.5.2. Industry and society*

659 Chen et al. (2013) found that safety performance (e.g., employee safety violation) of a  
660 general contractor varied significantly across six regions, but they did not explore which factors  
661 contributed to such behavioral difference across regions. According to Andersen et al. (2015)  
662 and Choi and Lee (2017), this difference may be due to the difference in industrial employment  
663 modes and cultural context. For employment modes in the construction industry, Dedobbeleer  
664 et al. (1990) found higher safety behavior levels among union workers than nonunion workers.  
665 Because of the system of direct hiring in Saudi Arabia, workers had a salient project identity  
666 that influenced the process through which social norms affected individual safety behavior

667 (Choi and Lee, 2017). The individualistic culture in the United States led to significant direct  
668 effects of attitudes on safety behavior, while the collectivistic culture in Korea brought about  
669 the significant effects of perceived management and workgroup norms on safety behavior (Choi  
670 and Lee, 2017). Mohamed et al. (2009) also demonstrated the role of national culture, namely  
671 that workers with higher collectivism and higher uncertainty avoidance were more likely to  
672 have safety awareness and beliefs and thus exhibited safer on-site behavior. Although the  
673 positive effect of safety climate on safety behavior via safety motivation has been largely  
674 evidenced, the differences in national culture in terms of individualism–collectivism, power  
675 distance, and uncertainty avoidance may explain why this relationship differed in Chinese and  
676 Malaysian samples (Lim et al., 2018). For the Chinese sample, safety competence and  
677 supportive environment were strong antecedents of intrinsic and identified motivation, which  
678 then drove safety participation; for the Malaysian sample, safety commitment and safety  
679 communication predicted intrinsic motivation and then both safety compliance and safety  
680 participation. By employing a qualitative method, Asilian-Mahabadi et al. (2018) recognized  
681 economic and social conditions as factors influencing unsafe behavior. They stated that clients  
682 would possibly suffer economic, social, and political pressures in the development of a project;  
683 for example, they may have to deliver projects before the scheduled time (Asilian-Mahabadi et  
684 al., 2018). Such pressures may produce production pressure down at the project and workgroup  
685 levels, and then influence employee behavior (Mohammadi et al., 2018).

## 686 **6. Integrated conceptual framework and key future avenues**

687 Christian et al. (2009) pointed out that much of the behaviorally oriented occupational  
688 safety research is plagued by unclear conceptualizations of constructs, **lack of theory**, and weak  
689 methodology. Focusing on the construction field, unfortunately our review also confirmed these  
690 shortcomings. First of all, because a large proportion of studies (57 of 101) did not provide a  
691 clear definition of safety behavior, we believe that clearly conceptualizing and defining the  
692 safety behavior construct serves as a basic element in future research.

693 **As evidenced in the previous section, the causes of safety behavior are manifold; however,**



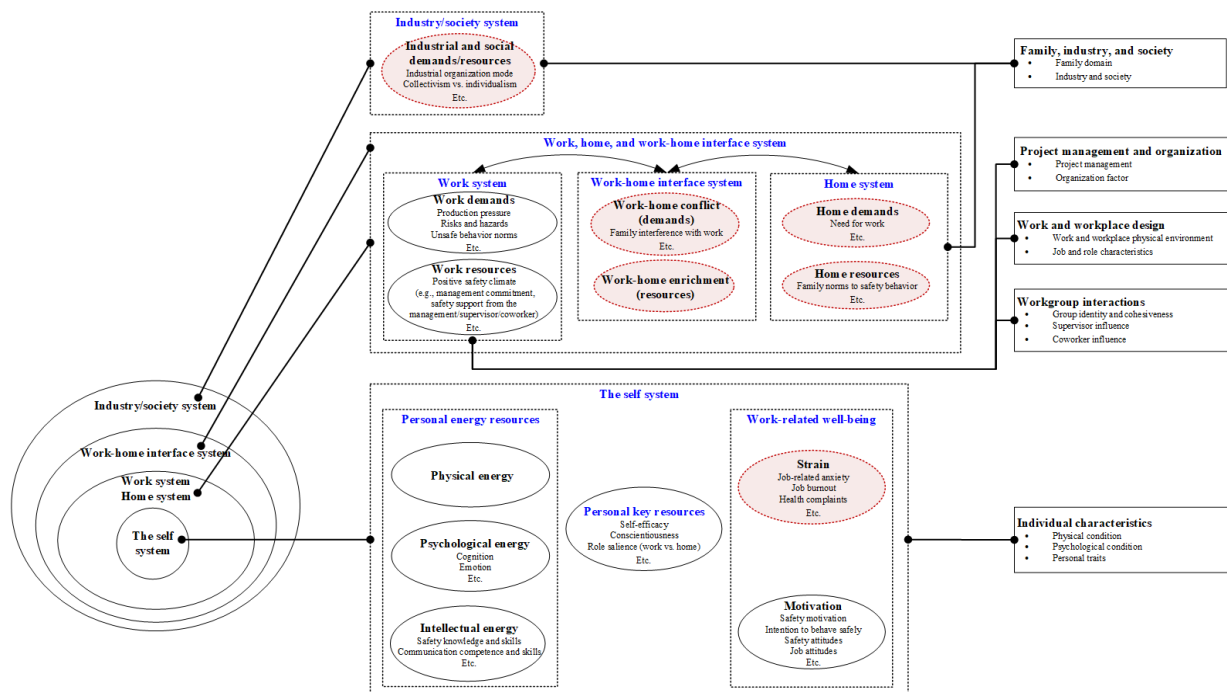
694 there has been little research that establishes a theoretical model encompassing and  
695 systematically grouping the wide array of those antecedents. Almost half of the reviewed  
696 articles (47 of 101) lacked theoretical guidance, indicating that the literature to date has not  
697 examined adequately why, how, and when certain antecedents have a positive or negative effect  
698 on safety behavior. Therefore, above all, we suggest that future research on the formation of  
699 safety behavior would have more impact, in not only the construction field but also other risk-  
700 critical occupations, if scholars were to use theories to explain the underlying mechanisms  
701 linking antecedents with safety behavior. The studies that were based on theory used different  
702 theories, perhaps because there is no unifying theory of safety behavior at this time or perhaps  
703 because the causes of safety behavior are multi-factorial (Alper and Karsh, 2009).

704 As an attempt, we develop (a) a safety behavior antecedent analysis and classification  
705 model synthesizing the five groups of antecedents and then (b) a resource flow model  
706 explaining the linkages between the five-group antecedents and safety behavior. These two  
707 models were developed by combining bioecological system theory (Bronfenbrenner, 1994) and  
708 resources theories, including conservation of resources theory (Hobfoll, 1989), job demands–  
709 resources theory (Bakker and Demerouti, 2017), and work–home resources model (ten  
710 Brummelhuis and Bakker, 2012). Bioecological system theory is selected because it is able to  
711 integrate and group numerous safety behavior antecedents into different aspects of “The Self–  
712 Work–Home–Industry/Society” systems, thereby providing a holistic picture of the causes of  
713 safety behavior. Resources theories are selected because they are able to describe why, how,  
714 and when the five-system antecedents work in concert to create situations in which safety  
715 behavior is promoted or prevented, thereby illustrating the underlying theoretical mechanisms  
716 relating safety behavior and its numerous antecedents in a unified way from a resource flow  
717 perspective. Based on these two models and considering the key findings in the descriptive and  
718 thematic analyses, we point out potential theoretical avenues worthy of more investigation and  
719 also provide suggestions for rigorous research designs and methods facilitating the future  
720 theoretical development.

721 6.1. Integrated conceptual framework for safety behavior

722 6.1.1. Safety behavior antecedent analysis and classification model

723 Similar to the occurrence of an accident, the demonstration of safety behavior seems to be  
 724 a combination of many factors (Choudhry and Fang, 2008; Mohammadi et al., 2018). However,  
 725 a theoretical model that elucidates systematically the causes of safety behavior is still lacking.  
 726 We propose a safety behavior antecedent analysis and classification model, arguing that safety  
 727 behavior is only an ostensible symptom of a more complex system, and causes of safety  
 728 behavior can be attributed to different aspects of “The Self–Work–Home–Industry/Society”  
 729 systems (Fig. 9). Below, we introduce propositions within this model.



730

731 **Fig. 9.** Safety behavior antecedent analysis and classification model.

732 Note: The factors in the red ellipses received less attention than did those in the black ellipses.

733 (column fitting: double)

734 **Proposition 1: Multiple systems.** Bioecological system theory posits that personal  
 735 development can be determined by the combination of different systems, comprising the self  
 736 system as the core and surrounded by several other systems (Bronfenbrenner, 1994). As shown  
 737 in Fig. 9, the identified five categories of antecedents relate to different systems, namely safety  
 738 behavior is an ostensible symptom of more complex “The Self–Work–Home–Industry/Society”

739 systems. Microsystems (i.e., the work system and the home system) are used to describe  
740 interpersonal relationships and social roles that enable individuals to interact with the social  
741 context. Mesosystems (i.e., the work–home interface system) are conglomerates of two  
742 microsystems, including the linkage between those two domains. Macrosystems (i.e., the  
743 industry and society system) refer to cultural values, political environment, and economic  
744 prosperity. The work, home, work–home interface, and industry and society system is the  
745 environmental system external to the self system. Fig. 9 shows samples of safety behavior  
746 antecedents in each system.

747 **Proposition 2: Contextual demands and resources within the environmental system.**

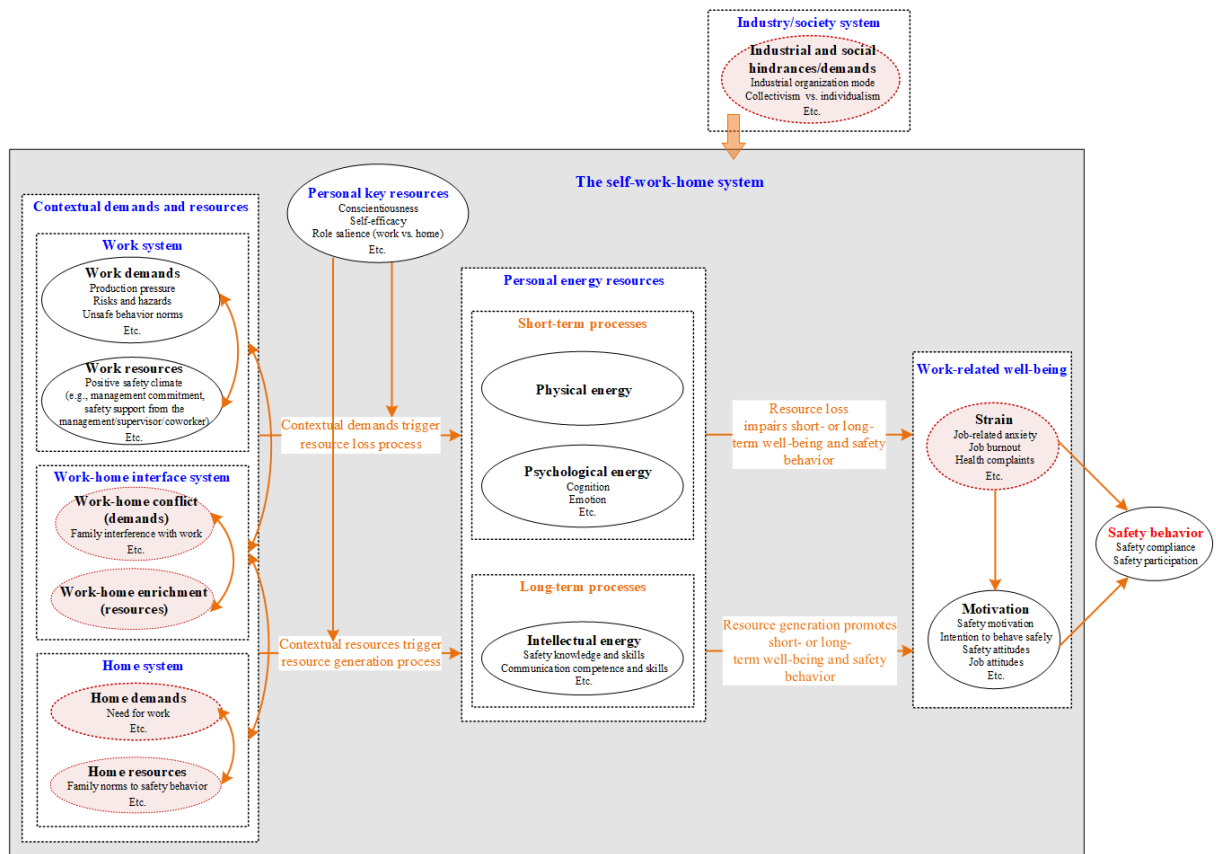
748 The second proposition is that antecedents relating to the environmental system can be  
749 categorized into two groups: contextual demands and resources. Demerouti et al. (2001)  
750 categorized stimuli in the work domain into job demands and resources. By combining the  
751 concepts of hindrance and challenge job demands (Bakker and Demerouti, 2007; Cavanaugh et  
752 al., 2000), we categorize one group of antecedents to a specific environmental system as  
753 *contextual demands*. For example, *work demands* refer to those physical, psychological, social,  
754 or organizational constraints of the job that require sustained physical and/or psychological  
755 (cognitive and emotional) effort and interfere with or inhibit an individual’s ability to achieve  
756 valued goals. Contextual demands are stressors specific to a context (ten Brummelhuis and  
757 Bakker, 2012). The other group is *contextual resources*. For example, *work resources* refer to  
758 “those physical, psychological, social, or organizational aspects of the job that are functional in  
759 achieving work goals, reduce job demands and the associated physiological and psychological  
760 costs, or stimulate personal growth, learning, and development” (Bakker and Demerouti, 2017,  
761 p. 274).

762 **Proposition 3: Energies, key resources, and work-related well-being within the self**  
763 **system.** The third proposition is that antecedents relating to the self system can be categorized  
764 into three different but related groups, namely energies, key resources, and work-related well-  
765 being. Hobfoll (1989) posited that *energies* constitute one category of personal resources and  
766 include such resources as physical energy and knowledge; these resources are typified not by

767 their intrinsic value so much as their value in aiding the acquisition of other types of resources.  
768 We further categorize energy resources into *physical energy*, *psychological energy*, and  
769 *intellectual energy*. The first two are *volatile resources* that are either fleeting—in that once  
770 they are used, they cannot be used for other purposes (e.g., physical energy)—or temporal, such  
771 as attention and focus (which reflect psychological states that come and go) (ten Brummelhuis  
772 and Bakker, 2012). The final intellectual energy is *structural resources*, which are more durable  
773 assets because they can be used more than once and last for longer (ten Brummelhuis and  
774 Bakker, 2012). *Key resources*, such as self-efficacy and optimism, refer to personal  
775 management resources that facilitate the selection, alteration, and implementation of other  
776 resources (Thoits, 1994). Key resources are different from other personal traits such as skills  
777 and knowledge, because the latter are less inherent to a person than are key resources—for  
778 example, knowledge can be transferred more easily than can optimism. Work-related well-  
779 being includes both negative (strain such as job-related anxiety) and positive (motivation such  
780 as safety motivation) aspects (Bakker and Demerouti, 2017; Teoh et al., 2020).

#### 781 6.1.2. *Safety behavior resource flow model*

782 Based on the safety behavior antecedent analysis and classification model, we further  
783 propose a resource flow model (Fig. 10). Specifically, we argue that the mechanisms through  
784 which safety behavior occurs can be illustrated by the flow of resources between the five  
785 systems, namely the self system, work system, home system, work–home interface system, and  
786 industry/society system. Bioecological system theory implies that there are many material  
787 circulations and energy flows in the interactions of the self system and the surrounding systems  
788 (Bronfenbrenner, 1994; Tansley, 1935), but it fails to describe adequately how energy flows  
789 and how that flow impacts individual attitudes, well-being, or behavior. Therefore, we further  
790 combine bioecological system theory with theories of resources to explain the mechanisms  
791 linking the five-system antecedents and safety behavior. Propositions within this model are  
792 introduced below.



793

794 **Fig. 10.** Safety behavior resource flow model.

795 Notes: 1) Contextual demands and resources such as safety climate may also influence safety behavior  
 796 directly, but for simplicity we do not illustrate such direct paths because our focus is to elucidate why,  
 797 how, and when these paths unfold. 2) Industrial and societal factors such as safety legislation may also  
 798 influence employee safety behavior directly, but this model is focused on how these factors influence the  
 799 mechanisms through which safety behavior occurs.

800 (column fitting: double)

801 **Proposition 1: Resource loss.** The first proposition is that contextual demands will  
 802 diminish personal energies and then impair well-being, resulting in diminished safety  
 803 motivation and behavior. For example, production pressure (a contextual demand) will  
 804 consume physical energies, as well as attention and cognitive psychological energies; the loss  
 805 in energy resources will raise strain (Bakker and Demerouti, 2017; Hobfoll, 2002), such as  
 806 anxiety. To prevent the loss of energy resources and relieve consequent strain, individuals will  
 807 take measures to protect resources (Halbesleben and Bowler, 2007; Hobfoll, 2002), such as  
 808 decreasing their motivation for and levels of safety behavior because demonstrating such

809 behavior requires the investment of personal energies (Kao et al., 2016).

810       **Proposition 2: Resource generation.** The second proposition is that contextual resources  
811 will promote personal energies and then improve well-being, resulting in enhanced safety  
812 motivation and behavior. For example, positive safety climate will improve individuals' safety  
813 knowledge and skills; the gain in resources will make individuals stay healthy (Hobfoll, 2002),  
814 and then tend to adopt resource investment strategies (Halbesleben et al., 2014), such as more  
815 motivation to devote personal resources for displaying safety behavior.

816       **Proposition 3: Cross-system effects.** The third proposition is that work–home conflict—  
817 including work-to-home conflict and home-to-work conflict—as cross-system demands will  
818 also trigger resource depletion and then health impairment processes, resulting in diminished  
819 safety motivation and behavior. For example, role ambiguity at work subtracts from the finite  
820 cognitive resources available to the individual, making it difficult to meet the demands in the  
821 home domain and thereby creating work-to-home conflict (Pleck, 1977). Such conflict will  
822 deplete personal resources and impair well-being (Frone et al., 1997), subsequently damaging  
823 the motivation for and levels of safety behavior. Conversely, work resources (such as leader  
824 support) may help employees to cope with issues in the home domain, thereby creating work-  
825 to-home enrichment (Tang et al., 2014). Work–home enrichment—including work-to-home  
826 enrichment and home-to-work enrichment—as cross-system resources will in turn trigger  
827 resource accumulation and then health enhancement processes (Greenhaus et al., 2006), thereby  
828 promoting safety motivation and behavior.

829       **Proposition 4: Demands and resources coupling.** The fourth proposition concerns the  
830 interactions between demands and resources within a system and across systems, considering  
831 that resources are not independent but related to each other (resource caravans principle;  
832 Hobfoll, 2012; Hobfoll et al., 2018). Extending this view, we propose that interactions exist  
833 within resources but also demands. Specifically, we propose that “demand × demand” within a  
834 system (e.g., production pressure × workgroup unsafe behavior norms) or across systems (e.g.,  
835 production pressure × work–home time conflict × home complaints) will result in more severe  
836 resource loss and subsequent detrimental effects. On the contrary, “resource × resource” within

837 a system or across systems will generate more personal energy resources, subsequently  
838 benefiting individual well-being and then safety behavior. In addition, based on the interactions  
839 within job demands–resources theory (Bakker and Demerouti, 2017), we propose that different  
840 combinations of demands and resources (e.g., “high work demand—low home demand—low  
841 work resource—high home resource” versus “high work demand—high home demand—low  
842 work resource—high home resource”) will produce either stronger or weaker resource loss and  
843 gain.

844 **Proposition 5: Boundary of resource loss and generation.** The fifth proposition  
845 concerns which conditions make resource depletion and accumulation more, or instead less,  
846 likely. Specifically, we propose that individuals with more key resources (e.g., self-efficacy,  
847 social power) will adopt a more active and efficient coping style when confronted with  
848 contextual demands (Hobfoll, 2002), thereby alleviating the resource depletion process and in  
849 turn its detrimental effects on employee well-being and then safety. These individuals can also  
850 make the use of contextual resources more efficient and effective (McCrae and Costa, 1986;  
851 Zhong et al., 2018), thereby strengthening the resource accumulation process and in turn its  
852 beneficial effects on employee well-being and then safety.

853 **Proposition 6: Short- and long-term effects.** The sixth proposition is rooted in resources  
854 dynamics (Halbesleben et al., 2014) and the dynamic processes of work–home conflict and  
855 enrichment (ten Brummelhuis and Bakker, 2012), proposing that resource depletion and  
856 accumulation will produce short- or long-term effects on well-being and safety behavior. For  
857 the short-term effect, as an example, daily coworker support will cause fluctuations in  
858 individual emotions, thereby influencing daily health status and then the motivation for and  
859 levels of safety behavior on the day. For the long-term effect, as an example, lasting positive  
860 safety climate will promote safety knowledge and allow skills to develop gradually, finally  
861 reaching high-level safety motivation and behavior over a period of time.

862 **Proposition 7: Industry and society context.** Hobfoll et al. (2018) reviewed the  
863 development of conservation of resources theory and proposed the principle of resource caravan  
864 passageways, stating that resources are context dependent. Variation exists in industry (Choi

865 and Lee, 2017) and society settings (Hofstede, 1984). We propose that factors in the industry  
866 and society system either foster and nurture or limit and block the above resource loss and  
867 generation of safety behavior. This may work through influencing demands and/or resources in  
868 the work, home, or work–home interface system, or through strengthening, or instead  
869 alleviating, resource loss and/or generation flow. For example, subcontracting currently  
870 prevails in the Chinese construction industry; in this situation, it is plausible that construction  
871 companies pay little attention to the work designs of construction workers who are not self-  
872 employed and mobile. Compared to countries where directing hiring is dominant, this context  
873 will cause more work and home demands (e.g., job insecurity, economic pressure to support  
874 the family), thereby leading to more resource loss flow and detrimental effects on safety  
875 behavior. This context may also lead to fewer work and home resources (i.e., skill training,  
876 family support for the occupation), thereby limiting resource creation flow for conducting  
877 safety behavior. On the other hand, industry and society context is likely to influence the  
878 strength of resource loss and generation of safety behavior. For instance, the economic stage  
879 and collective culture in China made job attitudes and performance of Chinese employees less  
880 subject to the effects of work-to-home conflict and more to those of home-to-work conflict (Xia  
881 et al., 2018b). Also, the individualistic culture in the United States led to significant direct  
882 effects of attitudes on safety behavior, while the collectivistic culture in Korea brought about  
883 the significant effects of perceived management and workgroup norms on safety behavior (Choi  
884 and Lee, 2017).

## 885 *6.2. Future theoretical avenues*

### 886 *6.2.1. Home domain and work–home interface*

887 It is evident that the literature investigated mainly safety behavior antecedents related to  
888 the work context. Although this is understandable, we suggest that more attention should be  
889 paid to the home domain and the interface between the work and home domains. We believe  
890 this is critical for construction employees for three reasons. (a) The working environment in  
891 construction is highly demanding (e.g., long working hours, high job insecurity), while



892 organizations lack flexible, employable, and young employees, and thus have to employ  
893 unskilled workers who would increase the prevalence of fatal and non-fatal injuries (Lee et al.,  
894 2020). The challenge to the workforce and then workplace safety may be worsened by work-  
895 to-home conflict. (b) Most construction employees have to work remotely from their home  
896 locations (Xia et al., 2018b), struggling with work–family balance. In this scenario, resources  
897 from their family seem to be more valuable to them, whereas demands from their family such  
898 as complaints may be more detrimental, for example, distracting employees from the work and  
899 hence making them unable to maintain the required level of safety behavior. This is similar to  
900 gain paradox principle (Hobfoll et al., 2018). That is, resources gain from the home increases  
901 in salience when home resources are threatened with loss (being far away from home may  
902 threaten the spouse relationship or even the marriage). (c) Because of the mobility of the  
903 workforce and the high level of subcontracting within the construction industry, construction  
904 employees are disconnected from management (Schwatka and Rosecrance, 2016).  
905 Consequently, formal controls from management to intervene in safety behavior may have little  
906 effect (Dekker, 2002). In contrast, family members usually serve as key parties to employees,  
907 and their concern about workplace safety may play an important role in influencing employees.

#### 908 *6.2.2. Job strain*

909 Occupational safety and well-being are closely related; however, these two streams of  
910 research seem to be separate. Health-related outcomes such as stress and work-related illness  
911 are a focus on the one hand, and safety behavior and accidents a focus on the other (Hansez and  
912 Chmiel, 2010). Their research focuses also seem to be different. At its outset, well-being  
913 research looked mainly at what is wrong with employees, such as job burnout, a syndrome of  
914 chronic exhaustion, a cynical, negative attitude to work, and reduced professional efficacy that  
915 could occur in any job (Maslach et al., 2001). Thereafter, with the introduction of the concept  
916 of work engagement, this stream of research moved to investigate employees' flourishing at  
917 work (Schaufeli and Bakker, 2003; van Horn et al., 2004). In contrast, since the introduction of  
918 safety compliance and safety participation concepts, occupational safety research seems to have

919 focused largely on the positive aspects of individual states, such as safety knowledge, skills,  
920 and motivation (Christian et al., 2009; Hansez and Chmiel, 2010). This research focus was also  
921 supported by our reviewed construction literature, in which safety knowledge, skills, and  
922 motivation were studied in 24 of the 101 articles (see the supplementary material titled  
923 “Antecedent”). Because both negative and positive well-being can influence employees’ work  
924 performance, and negative states such as job burnout may also reduce employees’ engagement  
925 to work and then work performance (Bakker and Demerouti, 2017), we suggest that future  
926 research should pay more attention to job strain.

### 927 *6.2.3. Multi-level and multi-culture*

928 From the review, strong and almost equal efforts have been devoted to individual  
929 characteristics ( $N = 52$ ), workgroup interactions ( $N = 64$ ), and project management and  
930 organization ( $N = 52$ ). In addition to investigations on the individual effects of the individual,  
931 group, or organizational antecedents on employee safety behavior, we suggest that more  
932 attention should be paid to cross-level interactions among resources and hindrances, namely  
933 how and under which conditions do resources and hindrances at different levels produce  
934 stronger or weaker resource loss and gain flow that would influence employee safety behavior  
935 (Propositions 1 to 5 within the safety behavior resource flow model). This call for cross-level  
936 studies is also implied by the fact that only eight of the 77 questionnaire surveys used  
937 hierarchical linear models, and it conforms to a recent call in the job demands-resources theory  
938 (Bakker and Demerouti, 2017). The rationale of our call for cross-level studies is rooted in the  
939 multilevel nature of key constructs in our proposed models, for example, work hindrances and  
940 work resources can be conceptualized and measured at the individual-, the group-, and the  
941 organizational- level (Demerouti et al., 2001; Dollard and Bakker, 2010). As one specific type  
942 of work resources, safety climate can also be an individual-, group-, and organizational- level  
943 construct (James et al., 1990; Zohar and Luria, 2005). As mentioned in Section 2, safety  
944 behavior has often been measured at the individual level (Burke et al., 2002). But it can also be  
945 aggregated from the individual to the group level (Neal and Griffin, 2006). Therefore, using

946 hierarchical linear models would give a much more valid picture of the study constructs and  
947 their interaction processes than that obtained with individual-level data in the same analysis  
948 without using such models (Wang et al., 2018a).

949       Only two of the 101 articles used samples from different countries or regions. As we  
950 propose in Proposition 7 within the safety behavior resource flow model, industry and society  
951 variation may act as broad contextual resources or demands, which would nurture or block  
952 resource loss and generation in relation to safety behavior. Therefore, investigations of the  
953 relationships from antecedents to safety behavior in different countries or regions with diverse  
954 industrial organization modes and cultures are greatly warranted. Spangenberg et al. (2003)  
955 found that Danish construction workers experienced more accidents than did Swedish workers  
956 despite both being on the same site. They further concluded that nationality *per se* does not  
957 influence risk perceptions and behavior, but the factors to which it relates to do. However, the  
958 role of cross-cultural factors in the formation of safety behavior of construction employees  
959 remains largely unexplored to date. Generic occupational safety research also calls for  
960 investigations of the role of culture in determining workplace safety behavior (Reader et al.,  
961 2015). In addition, testing the two conceptual models proposed in our work in multiple culture  
962 contexts is of course necessary.

### 963 *6.3. Future method designs*

964       To test the proposed two models empirically, validated types and measures of contextual  
965 demands and resources must first be constructed. Types of demands and resources may vary  
966 across sectors (Hobfoll, 1989; Nahrgang et al., 2011). We argue that the structure of the safety  
967 behavior antecedent analysis and classification model and resource flow model may endure  
968 across industries, but the elements are likely to vary. For example, in the construction industry,  
969 workers are greatly exposed to hazards such as asbestos, chemicals, and lead (Goldenhar et al.,  
970 2003), whereas in the health-care industry, nurses usually face inherent role conflict based on  
971 opposing demands made by medical and administrative staff (Hemingway et al., 1999).  
972 Nevertheless, hazard exposure for construction employees and role conflict for health-care

973 employees are both manifestations of the construct of *work demands*, which refer to those  
974 physical, psychological, social, or organizational constraints of the job that require sustained  
975 physical and/or psychological (cognitive and emotional) effort and interfere with or inhibit an  
976 individual's ability to achieve valued goals. Therefore, when applying the models to a particular  
977 industry, types and measures of contextual demands and resources specific to the industry  
978 should be developed. That is, operationalization of contextual demands and resources should  
979 match construct definition, and measures should be validated.

980       Next, most surveys (73 of 77) used cross-sectional data, precluding our ability to make  
981 conclusive inferences concerning the causal relationships between safety behavior and its  
982 antecedents. Research has indicated reverse relationships between safety behavior and its  
983 antecedents such as safety motivation (Neal and Griffin, 2006); therefore, future research  
984 should adopt a longitudinal design to provide greater ability to infer causation. This suggestion  
985 is consistent with the call in general occupational safety research, in which safety researchers  
986 continue to lament the scarcity of longitudinal studies (Christian et al., 2009; Nielsen et al.,  
987 2016). Researchers in the construction domain should rise to answer this call. Furthermore,  
988 using longitudinal designs is consistent with the expectations in our theoretical models.  
989 Specifically, as we propose in Proposition 6 within the safety behavior resource flow model, it  
990 is presumable to distinguish between short- and long-term processes, explicitly addressing the  
991 issue of causality and change. For example, fatigue presumably reflects daily resource depletion  
992 due to daily demands in the home, work, or work-home interface system, which may possibly  
993 influence work-related well-being and then the motivation for and levels of safety behavior on  
994 the day. To test this short-time process involving causality and change, the experience sampling  
995 method (Bolger et al., 2003) could be of great help. Furthermore, longitudinal relations do not  
996 necessarily imply causation or change, because both the hypothetical predictor and outcome  
997 may be influenced by a third variable or confounder (MacKinnon and Pirlott, 2015). A more  
998 rigorous test of causality or change requires manipulation of the hypothetical causes and a test  
999 of whether this manipulation generates the expected effects. In the reviewed 101 papers, only  
1000 nine employed the experimental method.

1001 This review also highlighted the lack of qualitative and mixed-method research.  
1002 Quantitative methods dominated (92 of 101), providing a general view of the quantitative  
1003 relationships between various factors and safety behavior. However, to enable an in-depth  
1004 understanding of the relationships, we recommend that qualitative research methods, such as  
1005 case studies, be used in future research. Additional qualitative data analysis could also act as  
1006 cross-validation as per the triangulation method (Cooper and Schindler, 2006).

1007 Finally, most surveys (70 of 77) on the antecedents of safety behavior assessed predictors  
1008 and safety behavior by self-reports from the research subjects. The problem with such  
1009 assessment is that the same person (the focal employee) provides all information, and therefore  
1010 statistical relationships between constructs may be inflated as a result of common method bias  
1011 (Podsakoff et al., 2003). In addition to self-descriptions, Andriessen (1978) has suggested  
1012 another three alternative ways to measure employee safety behavior: (a) inference from the  
1013 number of accidents one has had, (b) observation by the researcher, and (c) judgment by  
1014 someone else (e.g., the supervisor). Because previous work has found discrepancies between  
1015 worker self and supervisor assessment of worker safety behavior (Xia et al., 2018c), we suggest  
1016 that future research considers alternative sources for assessing safety behavior. As such, the  
1017 potential for common method bias can be avoided, and future research can provide a more  
1018 rigorous empirical examination of the relationships between safety behavior and its antecedents.

1019 To recapitulate, specific types and measures of contextual demands and resources,  
1020 longitudinal, and experimental research designs, as well as mixed methods, together with data  
1021 from multiple sources will be necessary to obtain additional information and gain a better  
1022 understanding of the mechanisms through which safety behavior is either promoted or inhibited,  
1023 thereby making stronger recommendations for interventions.

## 1024 **7. Conclusion**

1025 This paper brings together the research on antecedents of safety behavior in construction.  
1026 Despite a recent surge in publications on this topic, there have been few attempts to integrate  
1027 knowledge on what we know of factors that influence safety behavior. To correct this, we

1028 conducted a systematic review of 101 eligible papers, identifying 83 factors that were  
1029 categorized into five groups, namely (a) individual characteristics, (b) workgroup interactions,  
1030 (c) work and workplace design, (d) project management and organization, and (e) family,  
1031 industry, and society.

1032 Accident causation models, such as Swiss Cheese Model (Reason, 1990) and the Human  
1033 Factors Analysis and Classification System (Wiegmann and Shappell, 2001), suggest that  
1034 accidents are merely an ostensible symptom and that there are many factors behind an accident.  
1035 From our systematic review, it is also evident that the causes of safety behavior are manifold.  
1036 Various factors from different systems likely work in concert to create situations in which an  
1037 individual chooses to comply with safety rules and participate voluntarily in safety activities.  
1038 Given this, drawing on bioecological system theory (Bronfenbrenner, 1994), we propose that  
1039 safety behavior is only an ostensible symptom of a more complex system, and causes of safety  
1040 behavior can be attributed to different aspects of “The Self–Work–Home–Industry/Society”  
1041 systems. This safety behavior antecedent analysis and classification model is expected to help  
1042 researchers and practitioners to establish a holistic picture of what may contribute to safety  
1043 behavior.

1044 To further reveal the underlying mechanisms of how these five systems of factors work  
1045 together to influence safety behavior, we propose a safety behavior resource flow model in  
1046 which we posit seven propositions describing why, how, and under which conditions safety  
1047 behavior is more likely to occur, or instead, to decrease. At the broadest level, contextual  
1048 demands and resources from the work, the home, and the work–home interface systems act as  
1049 distal contextual factors that will influence safety behavior through the processes of resource  
1050 loss or generation in the self system. During these processes, personal energies and well-being  
1051 are intermediators, while personal key resources and industrial and societal factors are  
1052 moderators. This resource flow model for safety behavior is based mainly on the theoretical  
1053 lenses of resources theories, namely conservation of resources theory (Hobfoll, 1989), job  
1054 demands-resources theory (Bakker and Demerouti, 2017), and work–home resources model  
1055 (ten Brummelhuis and Bakker, 2012). These resources theories have been used mainly to

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1056 explain employees' work-related well-being, whereas well-being and safety are in fact related.  
1057 On the other hand, theoretical perspectives on employee safety behavior usually rely on Griffin  
1058 and Neal's (2000) safety performance model and Campbell et al.'s (1993) theory of work  
1059 performance. In the safety behavior resource flow model, we synthesize the resources  
1060 theorizing of work-related well-being and the performance theorizing of safety behavior,  
1061 bridging occupational health and safety literature. In addition, the use of resources theories  
1062 leads to the proposition concerning the short- and long-term effects, which supplements  
1063 prominent theories in workplace safety that are not well-equipped to explain within-person  
1064 variation in safety behavior (Beus et al., 2016). For simplicity, we illustrate only key  
1065 propositions within this conceptual model, and more details should develop later.

1066 Table 5 summarizes the functions and propositions of the two conceptual models. Certainly,  
1067 future empirical studies are warranted to test and modify these conceptual models; we also give  
1068 some suggestions on further development in terms of theory and method designs.

1069 **Table 5**

1070 Summary of the two proposed models.

| Function  | Summary of propositions  |
|---|--|
| The first model: Safety behavior antecedent analysis and classification model   |  |
| To integrate and group numerous safety behavior antecedents into “The Self–Work–Home–Industry/Society” systems, thereby providing a holistic picture of the causes of safety behavior   | <p><b>Proposition 1: Multiple systems.</b> Antecedents of safety behavior can be attributed to different aspects of “The Self–Work–Home–Industry/Society” systems.”</p> <p><b>Proposition 2: Contextual demands and resources within the environmental system.</b> Antecedents relating to the environmental system (the work, home, work–home interface, and industry and society systems) can be categorized into two groups: contextual demands and resources.</p> <p><b>Proposition 3: Energies, key resources, and work-related well-being within the self system.</b> Antecedents relating to the self system can be categorized into three different but related groups, namely energies, key resources, and work-related well-being.</p>   |
| The second model: safety behavior resource flow model   |  |
| To describe why, how, and when the five-system antecedents work in concert to create situations in which safety behavior is promoted or prevented, thereby illustrating the underlying theoretical mechanisms relating safety behavior and its numerous antecedents in a unified way from a resource flow perspective | <p><b>Proposition 1: Resource loss.</b> Contextual demands will diminish personal energies and then impair well-being, resulting in diminished safety motivation and behavior.</p> <p><b>Proposition 2: Resource generation.</b> Contextual resources will promote personal energies and then improve well-being, resulting in enhanced safety motivation and behavior.</p> <p><b>Proposition 3: Cross-system effects.</b> Work–home conflict—including work-to-home conflict and home-to-work conflict—as cross-system demands will trigger resource depletion and then health impairment processes, resulting in diminished safety motivation and behavior; work–home enrichment—including work-to-home enrichment and home-to-work enrichment—as cross-system resources will trigger resource accumulation and then health enhancement processes.</p> <p><b>Proposition 4: Demands and resources coupling.</b> “Demand × demand” within a system or across systems will result in more severe resource loss and subsequent detrimental effects; “resource × resource” within a system or across systems will generate more personal energy resources, subsequently benefiting individual well-being and then safety behavior; different combinations of demands and resources will produce either stronger or weaker resource loss and gain.</p> <p><b>Proposition 5: Boundary of resource loss and generation.</b> Key resources will alleviate the resource depletion process and in turn its detrimental effects on employee well-being and then safety; key</p> |



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resources will strengthen the resource accumulation process and in turn its beneficial effects on employee well-being and then safety.

**Proposition 6: Short- and long-term effects.** Resource depletion and accumulation will produce short- or long-term effects on well-being and safety behavior.

**Proposition 7: Industry and society context.** Factors in the industry and society system either foster and nurture or limit and block the resource loss and generation of safety behavior.

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1071 This literature review should be considered in light of its limitations, especially those  
1072 concerning the literature sampling criteria and analysis (Denyer and Tranfield, 2009). Literature  
1073 searching can never be exhaustive. Certain relevant articles may have been excluded; for  
1074 example, they may use “risk-taking behavior” to refer to the unsafe behavior notion but not the  
1075 searched terms (“\*safety behavior\*” OR “\*safety behaviour\*” OR “\*safe behavior\*” OR  
1076 “\*safe behaviour\*” OR “\*safety compliance\*” OR “\*safety participation\*” OR “\*safety  
1077 citizenship behavior\*” OR “\*safety citizenship behaviour\*” OR “\*safety performance\*” OR  
1078 “\*unsafe behavior\*” OR “\*unsafe behaviour\*” OR “\*safety violation\*””). Also, although the  
1079 present first and second authors conducted rigorous content analysis, cognitive biases can never  
1080 be eliminated, and thus there may be drawbacks in the analysis.

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