

1 Annual and seasonal precipitation trends and their attributions in the  
2 Qinling Mountains, a climate transitional zone of China  
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4 **Abstract**

5 Trends of annual and seasonal precipitation and their linkage with large-scale climate  
6 indices were investigated in this study with the Innovative Trend Analysis (ITA)  
7 methods for the Qinling Mountains (QMs), using 32 meteorological stations' monthly  
8 observed precipitation from 1959 to 2016. The results indicated that a declining trend  
9 of annual precipitation was found in the QMs. Seasonally, a decreasing trend was also  
10 found during spring and autumn, while an increasing trend was observed in summer  
11 and winter. Spring and autumn precipitation were the contributors to the decline of  
12 annual precipitation. More important, both low values and high values indicated a  
13 reduction trend, which would have essential impacts on ecology and people's life in the  
14 QMs. The results of wavelet coherence showed that annual precipitation had strong  
15 linkages with EASMI, SOI, SASMI, SCSMI and SWACI, and had an insignificant  
16 relationship with NAO and WASMI. Seasonally, EASMI had negative effects on each  
17 season. Spring and autumn precipitation was more sensitive to SOI than SWACI, while  
18 SASMI had a strong positive relationship with winter precipitation and SCSMI was  
19 more negatively related to autumn and winter precipitation.

20 **Key words:** precipitation; climate change; ITA; trend analysis; Qinling Mountains

21

## 22 **1 Introduction**

23       Precipitation is one of the basic meteorological factors and one of the primary  
24 components of hydrological cycles. Precipitation significantly influences not only on  
25 water resources utilization and flood warning, but to human health (Yang et al. 2016).  
26 Meanwhile, global warming is an indisputable fact (Mohan et al. 2018). Global annual  
27 precipitation has increased from 1951 to 2010, and unlike temperature, it has existed  
28 spatial inconsistency globally (IPCC, 2014). Especially in China where the land area is  
29 vast and the terrain is rugged. A numerous number of studies suggested that annual  
30 precipitation has increased in southeast China (Li et al. 2016; Wu et al. 2016), while  
31 decreased in southwest China (Cheng et al. 2019; Yu et al. 2018). Peculiarly, annual  
32 precipitation in northwest China displays both an increasing trend and a decreasing  
33 trend in some investigations (Han et al. 2016; Hao et al. 2017; Wang et al. 2019; Li et  
34 al. 2016; Fu et al. 2004). Furthermore, a preponderance of studies suggested that climate  
35 change is more rapid in the mountainous regions (Li et al. 2012; Li et al. 2013; Li et al.  
36 2011). QMs is located in Shaanxi province in northwest China. As a transitional zone  
37 of China, this area is vulnerable to climate change, and floods are one of the major  
38 natural hazards in this area. The flood in 2002, for example, resulted in more than 400  
39 death and significant economical loss in the southern slope of the QMs (Li 2003).  
40 Studying the precipitation in the mountainous area could further understand the global  
41 warming and hydrological cycle regionally which is more useful for identifying climate  
42 change adaption options, particularly in water resources management.

43       The aforementioned investigations of precipitation trends were all tested by

44 different classical trend methods. However, these methods could not detect the low,  
45 medium and high values of one geographical variable. Therefore, a new trend method  
46 named Innovative trend analysis (ITA) proposed by Şen (2012) to explore different  
47 values of one time series (Dabanlı et al. 2016). Variation of seasonal precipitation has  
48 to be vital on vegetation and crop growth. Qin et al. (2017) found that the temperature  
49 in spring and summer is the dominant factor for the radial growth of trees, and excessive  
50 precipitation in winter would slow down tissue growth. Proud and Rasmussen (2011)  
51 found that plant growth may be stunted if it encounters a drought during the onset of  
52 growth. The precipitation is closely influenced by climate anomalies which provide a  
53 macro climate background.

54 Therefore, in order to study the trend of annual and seasonal precipitation and its  
55 attributions under the impacts of climate change in the QMs, this study applied the ITA  
56 method to detect the trend of precipitation and its hidden values, and chose 15 large -  
57 scale climate anomalies indices aiming to analyze the connection with precipitation in  
58 the QMs. This study will provide recommendations and guidelines to enhance the  
59 scientific basis for forecasting of precipitation, prevention and mitigation of flood in  
60 the future.

61

## 62 **2 Data and Method**

### 63 **2.1 Study area**

64 Qinling Mountains ( $32^{\circ} 54' - 34^{\circ} 35' N$ ,  $105^{\circ} 30' - 111^{\circ} 3' E$ ) is  
65 located in Shaanxi Province in central China. QMs carries a total area of 61,900 km<sup>2</sup>

66 (Fig. 1). QMs is a typical climate transitional zone in China – the dividing line of South  
67 and North China, the 0 °C isotherm in January and the 800mm annual precipitation  
68 isohyet, the watershed of the Yellow and Yangtze River (Deng et al. 2019), the boundary  
69 between northern wheat and southern rice, the border of rivers to ice period, the  
70 boundary between Loess Plateau and Sichuan Basin. The peak of QMs is Mount Taibai,  
71 with an elevation of 3771.2m, which could block the cold current from North, the land  
72 of abundance in Sichuan was created. Specially, since it is also the climate transition  
73 belt in China where the typical subtropical zone changes gradually toward the warm  
74 temperate zone from south to north and the humid zone fluctuates continuously toward  
75 the semi-humid zone from east to west (Deng et al. 2019). The average annual  
76 precipitation is approximately 825mm, and over 70% of precipitation occurs during the  
77 monsoon period from May to September (Guo et al. 2018). The south region receives  
78 the highest annual precipitation of 1156mm, while the northern region receives 545mm  
79 average precipitation annually (Meng et al. 2019). The annual precipitation decreases  
80 from southern part to northern part of the QMs.

## 81 **2.2 Data sources**

82 Monthly precipitation records covered from 1959 to 2016 from 32 rain gauge  
83 stations collected from the Shaanxi Meteorological Bureau. Then, March to May  
84 represented as spring, June to August summer, September to November autumn and  
85 December to February winter.

86 The large-scale climate indices: Atlantic Oscillation (AO) is obtained from the  
87 NOAA National Climatic Data Center

88 (<http://www.ncdc.noaa.gov/teleconnections/ao.php>), and Pacific Decadal Oscillation  
89 (PDO) index from the National Climate Center of China (NCC) ([http://cmdp.ncc-](http://cmdp.ncc-cma.net/cn)  
90 [cma.net/cn](http://cmdp.ncc-cma.net/cn)), East Asian Summer Monsoon Index (EASMI), Southern Oscillation Index  
91 (SOI), South Asian Summer Monsoon Index (SASMI), South China Sea Summer  
92 Monsoon Index (SCSMI), North Atlantic Oscillation (NAO), Atlantic Multi-decadal  
93 Oscillation (AMO), North American Summer Monsoon Index (NASMI), Pacific North  
94 American Pattern (PNA), Australian Summer Monsoon Index (AUSMI), Monsoon like  
95 Southwest Australian Circulation Index (SWACI), South American Summer Monsoon  
96 Index (SHAMI), West African Summer Monsoon Index (WASMI) and South Atlantic  
97 Ocean Dipole Index (SAODI) ([http://ljp.lasg.ac.cn/dct/page/.](http://ljp.lasg.ac.cn/dct/page/)) were used to represent  
98 large-scale climate anomalies. They are all used to study the relationship between  
99 atmospheric circulations and precipitation.

## 100 **2.3 Methodology**

### 101 2.3.1 Innovative Trend Analysis (ITA)

102 Innovative Trend Analysis (ITA) was proposed by Sen (2012) firstly, which could  
103 be used for trend analysis in the hydrometeorology time series, such as: temperature  
104 (Mohorji and Sen 2017), precipitation (Öztopal and Sen 2017) and pan evaporation  
105 (Kisi 2015). In the innovative trend analysis, the given time series is divided into two  
106 equal parts and then each part is ordered ascendingly. Plot the two parts in a scatter plot,  
107 and then plot the 1:1 line, and finally, divide the X axis into three parts (“Low” < 10th  
108 percentile, ”Medium” = 10th – 90th percentile and ”High” > 90th percentile). Fig. 2  
109 clearly shows the ITA. There is no trend if the precipitation time series fall exactly or

110 approximately around the vicinity of 1:1 straight line. It means an increasing trend in  
 111 the case that scatter points fall over the 1:1 line. Otherwise, it is a decreasing trend if  
 112 the scatter points accumulated below the 1:1 line,. The slope of ITA can be expressed  
 113 as below (Sen 2017).

$$114 \quad s = \frac{2(\bar{y}_2 - \bar{y}_1)}{n} \quad (1)$$

115 Where  $\bar{y}_1$  represents the precipitation series from 1959 to 1987, and  $\bar{y}_2$  represents the  
 116 precipitation series from 1988 to 2016, and n is 58 in this paper.

### 117 2.3.2 Wavelet analysis

118 Wavelet coherence (WTC) was applied to study the relationship between  
 119 precipitation and large climate anomalies in many literatures (Xuhu Wang et al. 2019;  
 120 Grinsted et al. 2004). The wavelet coherence could be expressed as follows (Torrence  
 121 and Webster, 1999):

$$122 \quad R_n^2(s) = \frac{|s(s^{-1}W_n^{XY}(s))|^2}{s(s^{-1}|W_n^X(s)|^2) \times s(s^{-1}|W_n^Y(s)|^2)} \quad (2)$$

123 Where two time series X and Y with transform  $W_n^X$  and  $W_n^Y$ , S is a smoothing  
 124 operator, could be expressed as below:

$$125 \quad S(W) = S_{scale} \left( S_{time} (W_n(s)) \right), \quad (3)$$

126 The WTC uses Monte Carlo methods with red noise to determine the 5% statistical  
 127 significance level of the coherence (Jevrejeva et al., 2003).

128

## 129 3 Results

### 130 3.1 Trend analysis of precipitation in the QMs

#### 131 3.1.1 Annual trend of precipitation

132 The ITA method is applied to analyze the annual precipitation from 1959 to 2016.  
133 The result of the trend in annual precipitation tested by the ITA method indicated that  
134 majority of the stations showed a slightly declining trend (Fig. 3). Specifically, 30 out  
135 of 32 stations revealed a decreasing trend, while like Lueyang station in Fig. 3,  
136 Shangnan station also showed an insignificant increasing trend. Fig 3 shows only three  
137 stations as representative results (Lueyang, Changan and Huashan station), where the  
138 other stations which have the same trend at the same time without other characteristics  
139 like Changan station. Huashan, as the station with highest elevation (2065m), had the  
140 biggest decline trend slope of -5.1mm/year. The relationship between the ground  
141 elevation and slope of the trend demonstrates that trend slope has an insignificant  
142 relationship with the elevation except for Huashan station (Fig.4). Additionally, 30 out  
143 of 32 stations of the high value (90<sup>th</sup> percentile as in the Fig. 2) showed a declining  
144 trend, which implied less probability of floods in the near future. This finding was  
145 consistent with the trend tested by the M-K method of annual precipitation in the Yellow  
146 River in the northwest China which most of the stations showed a decreasing trend,  
147 while few stations indicated an increasing trend (Liu et al. 2008; Xu et al. 2007).

### 148 3.1.2 Seasonal trend of precipitation

149 Trend in seasonal precipitation assessed by the ITA method indicated that an  
150 obvious seasonal trend (Fig. 5-8.). 30 out of 32 the stations exhibited an insignificant  
151 decreasing trend except for two stations: Huayin and Chenggu which showed an upturn  
152 trend in spring (Fig. 5). As the highest station, Huashan has the biggest decreasing slope  
153 of -1.83mm/year. The other stations with the same trend as Changan station are not

154 presented in this figure to save the space. The trend in summer was complicated as  
155 shown in Fig. 6. 11 stations similar with Xunyang station showed a dwindling trend and  
156 other 21 stations similar to Changan station exhibited an increasing trend, and Shangnan  
157 station had the biggest increasing trend slope of 1.95mm/year. (Other stations were not  
158 shown in the figure). Autumn precipitation was dominated by negative trend except for  
159 Chenggu and Lueyang stations which showed a positive trend (Fig. 7). Ziyang station  
160 had the biggest decreasing trend slope of -3.2mm/year. The other stations show negative  
161 trend similar to the trend as Huashan station, hence only Huashan station was presented  
162 in Fig. 7. As shown in Fig.8, it showed an insignificant increment trend for most of the  
163 stations in winter except Xunyang, Zhashui (not shown in Fig. 8) and Ziyang (not  
164 shown in Fig. 8) stations which showed a declining trend, and Lueyang station had the  
165 biggest increasing trend slope of 0.94mm/year. The other stations followed the same  
166 trend as Shangnan station.

167 Further analysis declared that the reason for decreasing annual precipitation is  
168 dominated by decreasing spring and autumn precipitation. Importantly, most of the low  
169 precipitation values (10<sup>th</sup> percentile as in the Fig. 2), especially in spring showed a  
170 decreasing trend which had a trend of the drier condition and inverse influences on  
171 crops and vegetation growth. Most of the high precipitation values (90<sup>th</sup> percentile as  
172 in the Fig. 2) in summer indicated a decreasing trend which has advantage of floods  
173 control, especially in the flood-prone area at the southern slope of the QMs. This finding  
174 is the same with Zhang's results tested by M-K method which implies a risk of droughts  
175 can be expected in spring and autumn, and precipitation increased in winter and summer



176 in the Yellow River basin in the northwest China (Zhang et al. 2014).

## 177 **3.2 Influence of Climate Index on Precipitation**

### 178 3.2.1 Climate Index on annual precipitation

179 The correlation analysis between annual precipitation of the 32 stations and  
180 climate indices was shown in Fig. 9. This result indicates that the impact of climate  
181 indices on annual precipitation was different in different places. It can be found that  
182 SOI, SAODI and SWACI had a strong positive relationship with annual average  
183 precipitation among all the stations. Conversely, EASMI, SCSMI and SASMI had a  
184 negative relationship with annual average precipitation. In addition, the positive  
185 relationship was stronger than the negative relationship.

186 QMs locates in the monsoon area of China, are vulnerable to climate circulation  
187 indices from all over the world. The wavelet coherence showed that there was a  
188 correlation between precipitation and climate indices (Fig. 10). In addition Fig.10 (a)  
189 shows that similar to SOI and SWACI, PNA, AO, SAODI and SHAMI had a significant  
190 positive correlation with annual average precipitation. These six indices all had an  
191 impact on annual precipitation during the 1980s - 1990s with different scales.  
192 Additionally, SOI and SWACI had a more coherent relationship with annual  
193 precipitation. Conversely, SCSMI had a significant negative correlation in 1978 - 1994  
194 and 1998 - 2006, respectively (Fig. 10b).

195 There was a significant positive and negative correlation of SASMI, NASMI and  
196 AUSMI, and these three indices all had the impact during the 1990s - 2000s. Unlike the  
197 other two indices, SASMI (Fig. 10c) had the strongest correlation with the scale of 2 -

198 4 years and 2 - 8 years in 1966 - 1971 and 1977 - 2006, respectively.

199       Furthermore, it can be seen that a significant positive correlation of AMO during  
200 1978 - 1987 and a significant lagged correlation during 2000 - 2003 (Fig. 10d). EASMI  
201 had a significant negative influence on precipitation on the scale of 1 - 3 years and 4 -  
202 8 years in 1998 - 2002 and 1982 - 2008, and a significant lagged influence on the scale  
203 of 2 - 3 years during 1979 - 1981 (Fig. 10e). PDO had little coherence with annual  
204 precipitation (Fig. 10f). Lastly, it can also be observed that like NAO, WASMI had an  
205 insignificant impact on annual average precipitation (Fig. 10g). Other research  
206 indicated that El Nino and La Nina also could affect the climate in the Yellow River  
207 basin, even in east China (Fu et al. 2007; Wang et al. 2000).

### 208 3.2.2 Climate Index on seasonal precipitation

209       Annual precipitation had strong linkages with EASMI, SOI, SASMI, SCSMI and  
210 SWACI based on the significant area from Fig. 10. Therefore, the effect of five climate  
211 indices on seasonal precipitation was shown in Fig. 11. It showed that EASMI had the  
212 most significant negative and lagged relationship in summer from 1980 to 2008, and  
213 had a negative relationship in spring in the 1980s. A significant positive relationship  
214 was found in winter in the 1990s. Furthermore, the plot also indicated that the linkage  
215 between EASMI and autumn precipitation was inconsistent.

216       Fig. 11b and 11e indicated that SOI and SWACI had a more significant connection  
217 with spring and autumn precipitation, and SWACI and SOI with summer and winter  
218 precipitation showed small areas of significant coherence. There was a significant  
219 negative and positive cycles from 1990 to 2000 in spring of SOI, and had a positive

220 cycle in the 1988s and 2000s in autumn. There was a lagged relationship with spring  
221 precipitation from 1968 to 1990 and ahead of SWACI in the 1990s. SWACI had a  
222 positive relationship with precipitation in the 1990s in autumn. Also it demonstrated  
223 that SOI was stronger than SWACI (Fig. 11b and Fig. 11e).

224 SASMI had a strong positive relationship with winter precipitation in the 1980s in  
225 Fig. 11c. Fig. 11d revealed that SCSMI had more coherence with autumn and winter  
226 precipitation. There was a lagged relationship between SCSMI and winter precipitation  
227 from 1972 to 1992, and a positive relationship from 1975 to 2000. From 1982 to 1990,  
228 SCSMI was negatively related to autumn precipitation (Fig.11e).

229

## 230 **4 Discussion**

231 The annual precipitation trend tested by ITA in this study has the same result as a  
232 linear regression method drawn by Bai et al. (2019) in the QMs. Some findings  
233 suggested a general decreasing trend was found in Shaanxi province in northwest China  
234 (Han et al. 2016; Hao et al. 2017; Wang et al. 2019), while others (Li et al. 2016) agreed  
235 that an increasing trend was found in northwest China. As there is not a clear boundary  
236 of northwest China, it would be an increasing trend of annual precipitation when  
237 excluded the QMs. Annual precipitation indicated a decreasing trend including the QMs.  
238 This clearly illustrates the importance of the Qinling Mountains in determining the  
239 trend of annual precipitation in the area.

240 In this study, the investigation suggested that annual and seasonal precipitation  
241 influenced by climate anomalies in the QMs. EASMI as the most significantly

242 influenced factors, it has had an impact on many places in China, such as Loess plateau  
243 (Wang X et al. 2019) and northwest China (Li B et al. 2016). SOI as the second factor  
244 which has influenced the precipitation in the QMs. SOI is the index of ENSO event, the  
245 same with El Nino and La Nina, they have some impacts on precipitation in some  
246 regions in China. Meanwhile, this conclusion is consistent with many studies (Li B et  
247 al. 2016; Fu et al. 2007), indicating that EASMI and SOI as the most influencing factors,  
248 should be taken into account when forecasting the precipitation in this area. Many  
249 investigations have also agreed that PDO has a varying degree impact on precipitation  
250 in different regions in China (Xiao et al. 2015; Zhang et al. 2017; Yang et al. 2017; Fu  
251 et al. 2009), but in this study, wavelet coherence result showed that PDO had little  
252 coherence with annual precipitation. A possible reason could be the complicated  
253 mechanism between PDO and East Asian winter monsoons. Apart from large  
254 atmospheric circulation anomalies, temperature, topography and human activities all  
255 might be the factors attributes to the variation of precipitation in this area. It should  
256 study the relationship between these factors and precipitation, and to be taken into  
257 account when forecasting the future precipitation in the QMs.

258 The advantage of ITA is that the high values (90<sup>th</sup> percentile) could be easily  
259 observed, which is helpful to spot the trends in extreme values (Dabanlı et al., 2016;  
260 Şen, 2017). In this way, Ministry of agriculture and Disaster bureau could identify  
261 trends for different precipitation categories by ITA (Wang et al., 2020). Mostly all the  
262 low values (10<sup>th</sup> percentile), especially in spring showed a declining trend, which had  
263 an inverse influence on crop and vegetation growth. If this trend continues in the future,

264 the shortage of water resources would be aggravated and resulted in a serious reduction  
265 in agricultural output.

266 The primary problem in this study is the limited number of hydrological  
267 observation stations in the QMs mountainous area and of its complex topography. As  
268 for the influencing factors of this area, it is hard to analyze all the human and natural  
269 factors to the precipitation in the QMs. Further studies should focus on these aspects  
270 aiming to gain more accurate trends and attribution analysis.

271

## 272 **5 Conclusion**

273 The trend of annual and seasonal precipitation in the Qinling Mountains (QMs)  
274 was investigated, using 32 meteorological stations' monthly observed precipitation  
275 from 1959 to 2016. And the effect of climate index on annual and seasonal precipitation  
276 was evaluated, using ITA trend method and wavelet coherence. The conclusions were  
277 made as follows.

278 Annual precipitation showed a decreasing trend at most of the stations in the QMs  
279 from 1959 to 2016. Seasonally, a decreasing trend was also found during spring and  
280 autumn, while an increasing trend was observed in summer and winter. Additionally,  
281 the larger part of the low and high values, showed a decreasing trend which is a vital  
282 warning to the environment, ecosystem and agriculture. Thus, much more attention  
283 should be paid in this area.

284 EASMI, SOI, SASMI, SCSMI and SWACI are the most influencing factors that  
285 have an impact on annual and seasonal precipitation, while NAO and WASMI had an

286 insignificant relationship with precipitation in the QMs. This should be taken into  
287 account when forecasting the precipitation in the future in this area.

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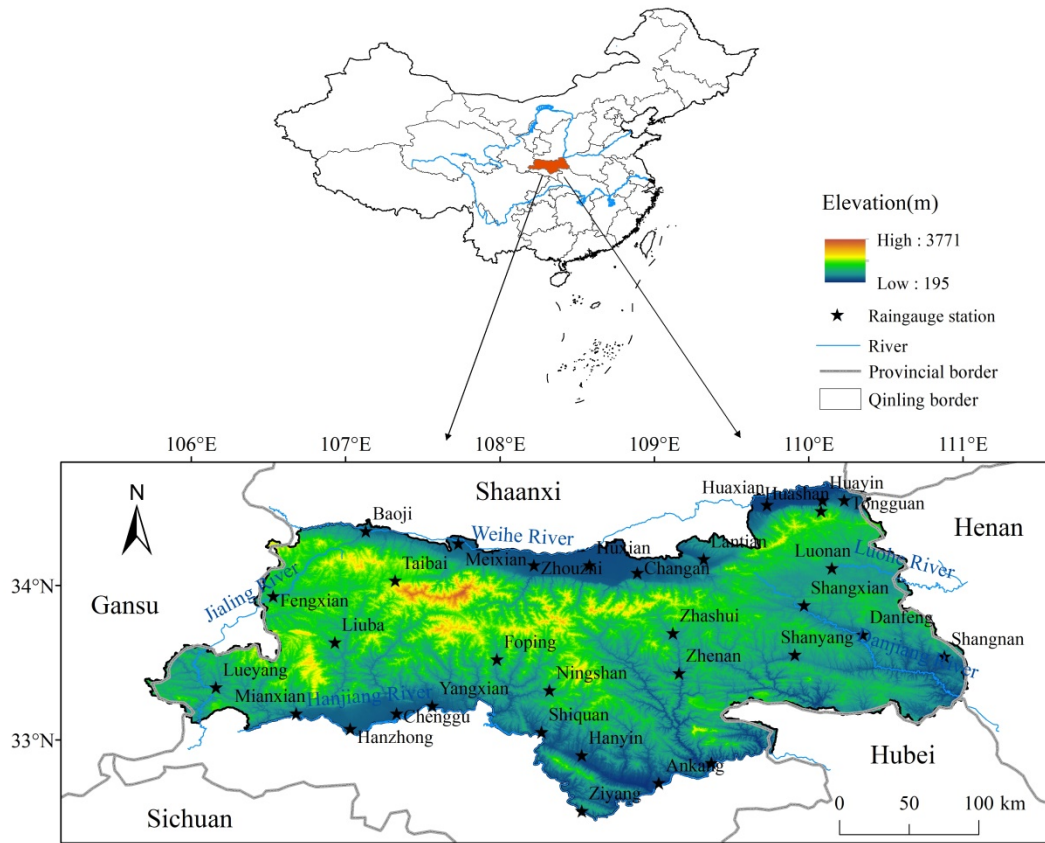
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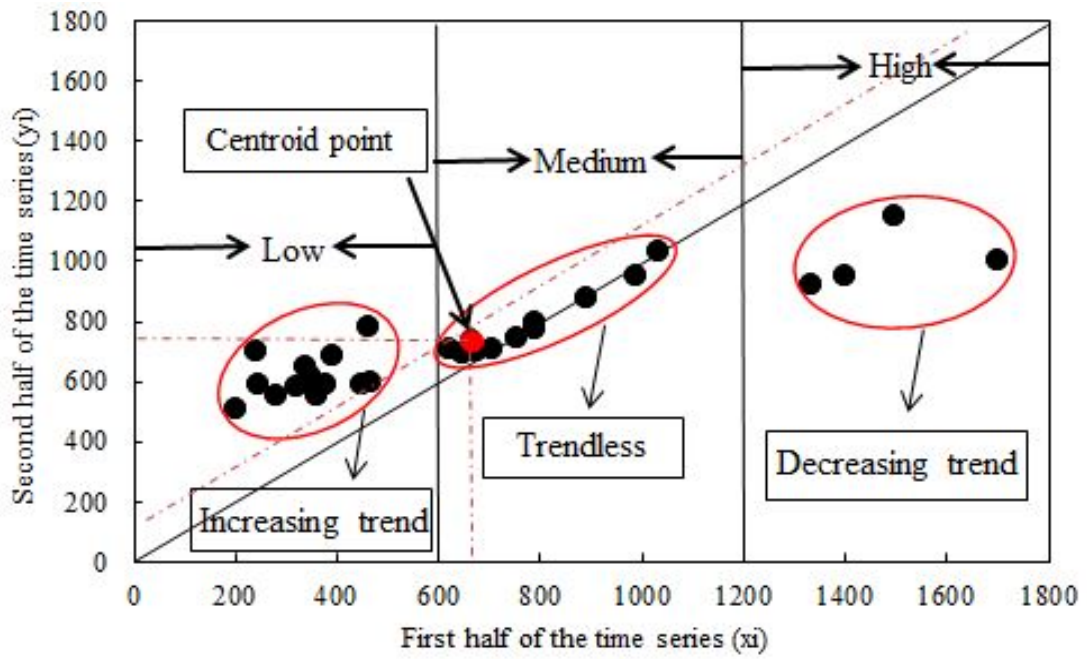


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Fig. 1 The location of the Qinling Mountains.

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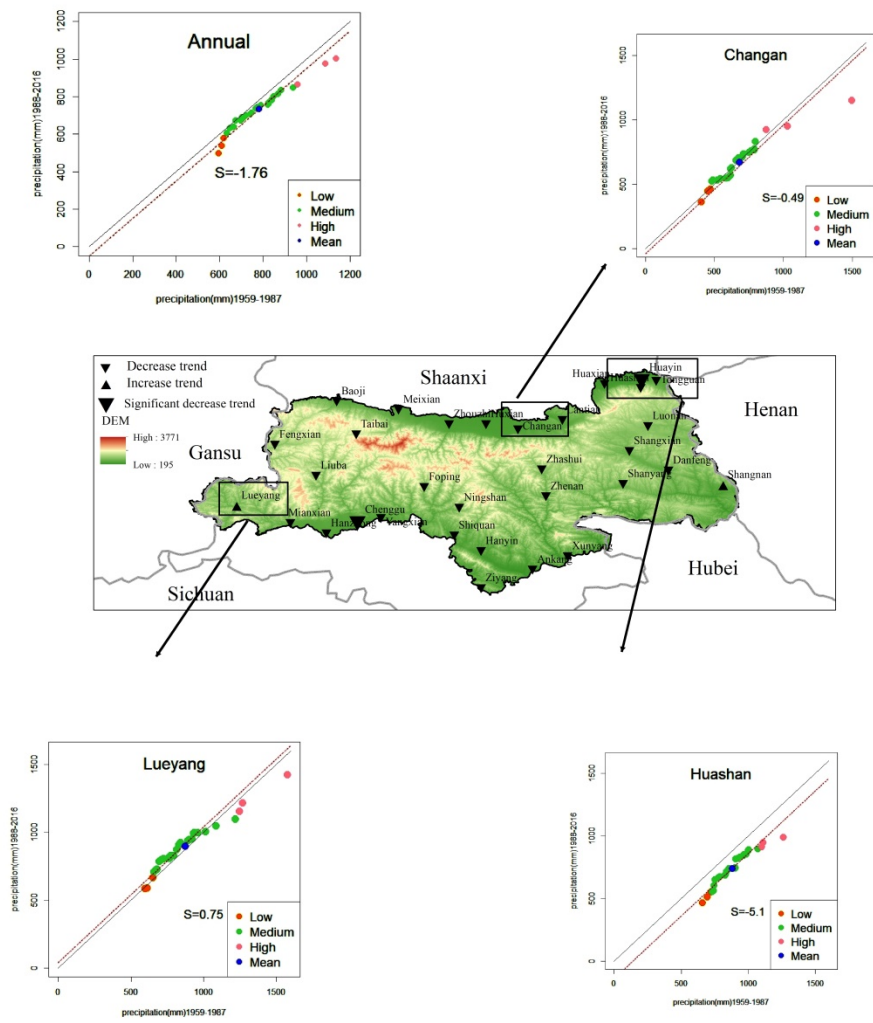


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Fig. 2 Illustration of the Innovative Trend Analysis (ITA) method.

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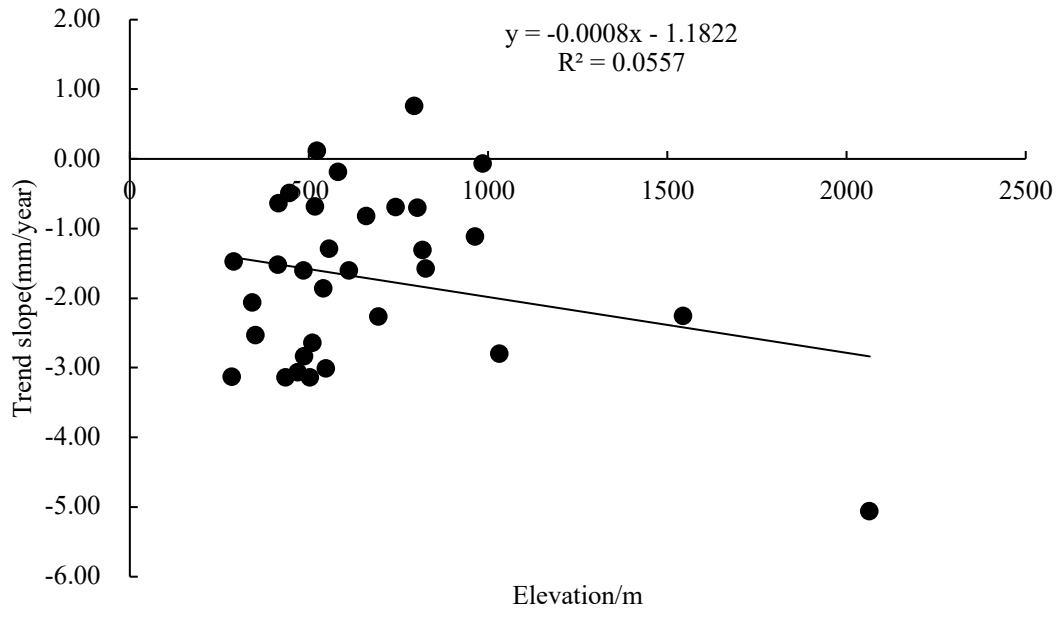


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Fig. 3 Results of the trend for annual precipitation by ITA method.

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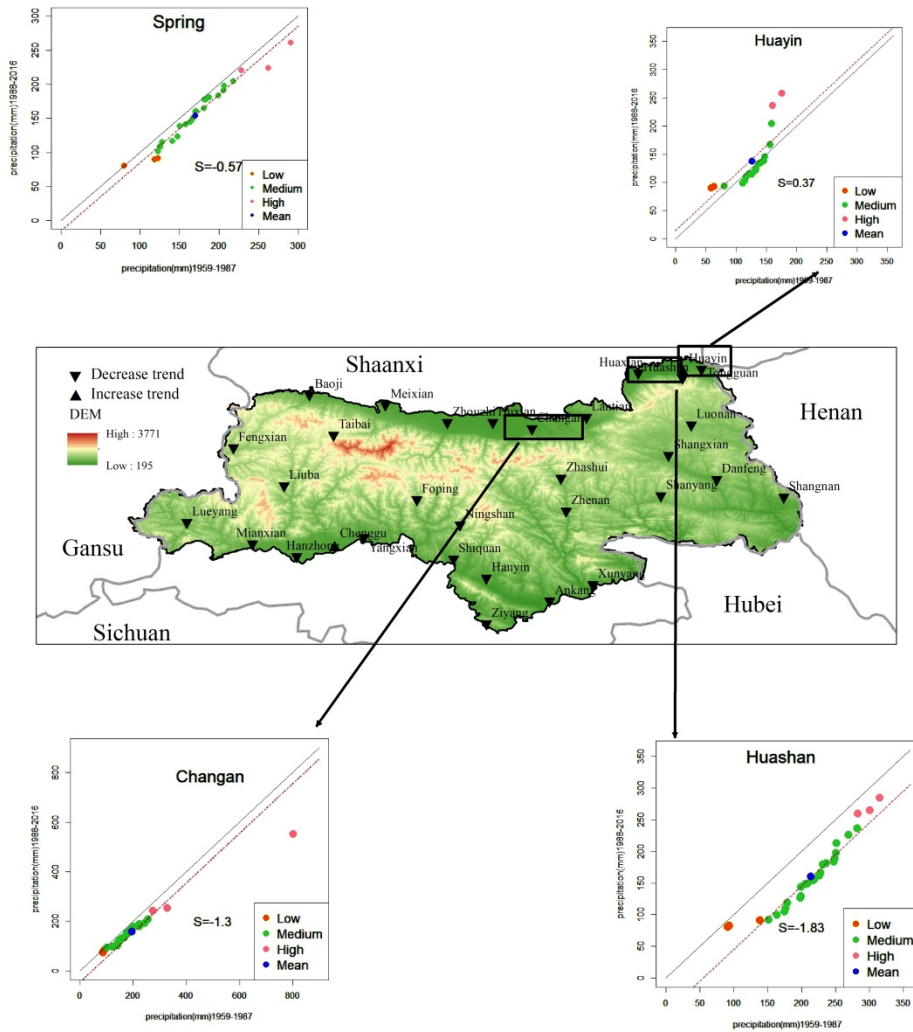


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Fig. 4 Annual precipitation trend slope changes with altitude

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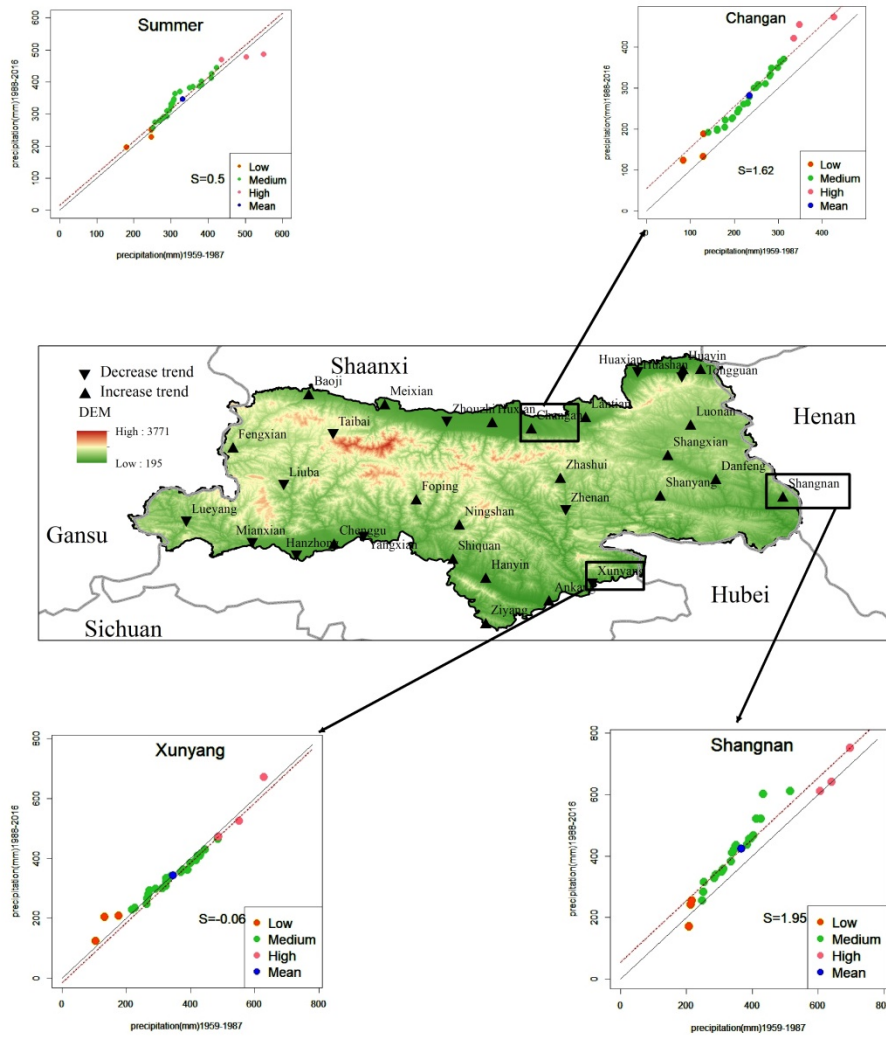
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Fig. 5 Results of the trend for spring precipitation by ITA method.

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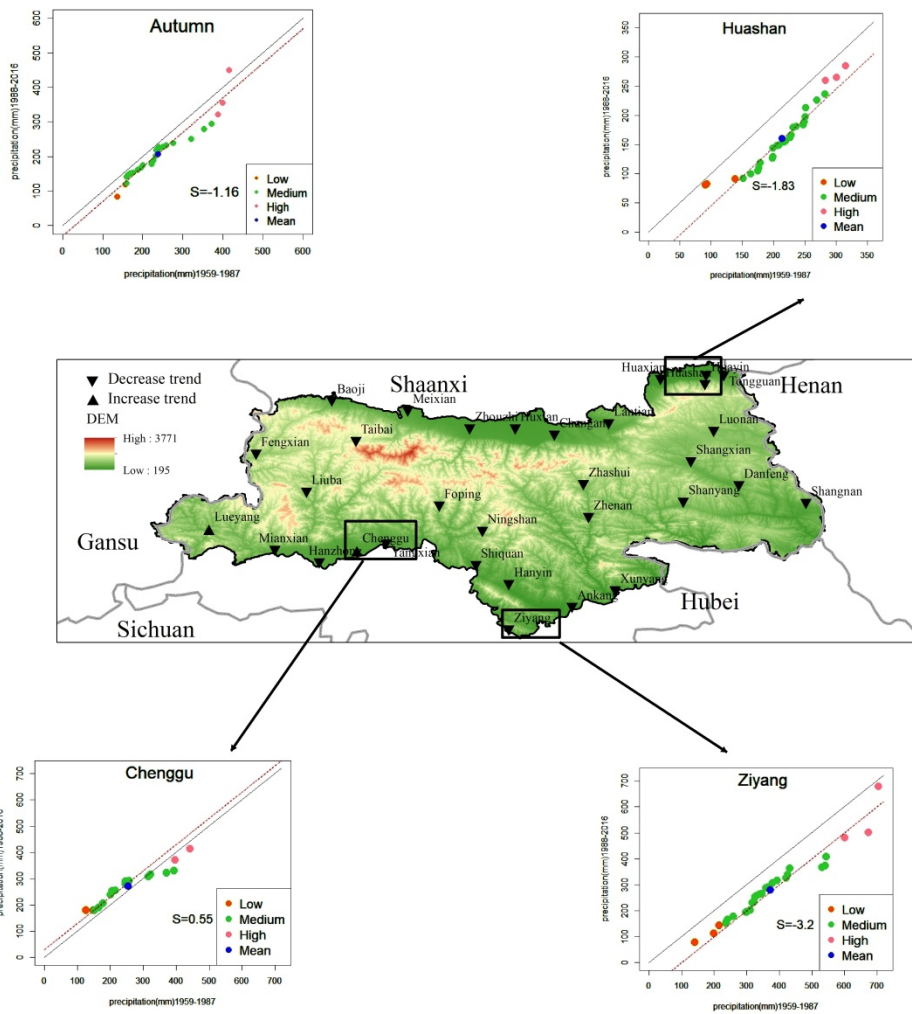


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Fig. 6 Results of the trend for summer precipitation by ITA method.

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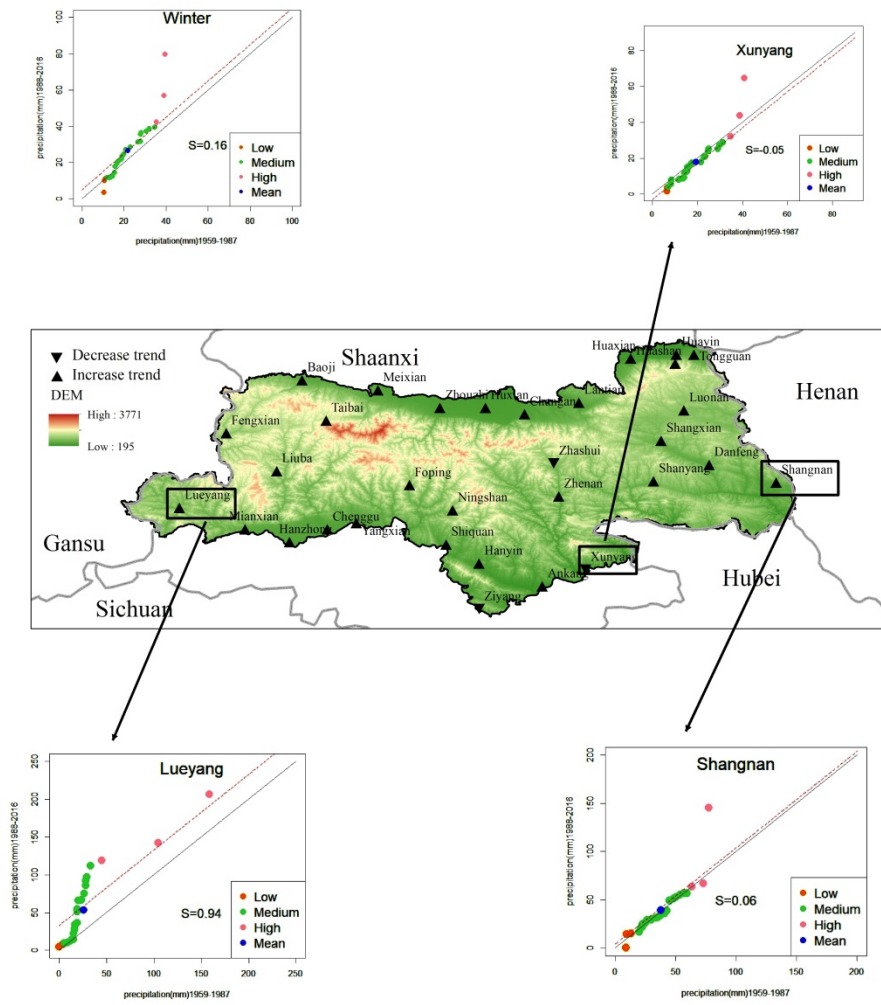


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Fig. 7 Results of the trend for autumn precipitation by ITA method.

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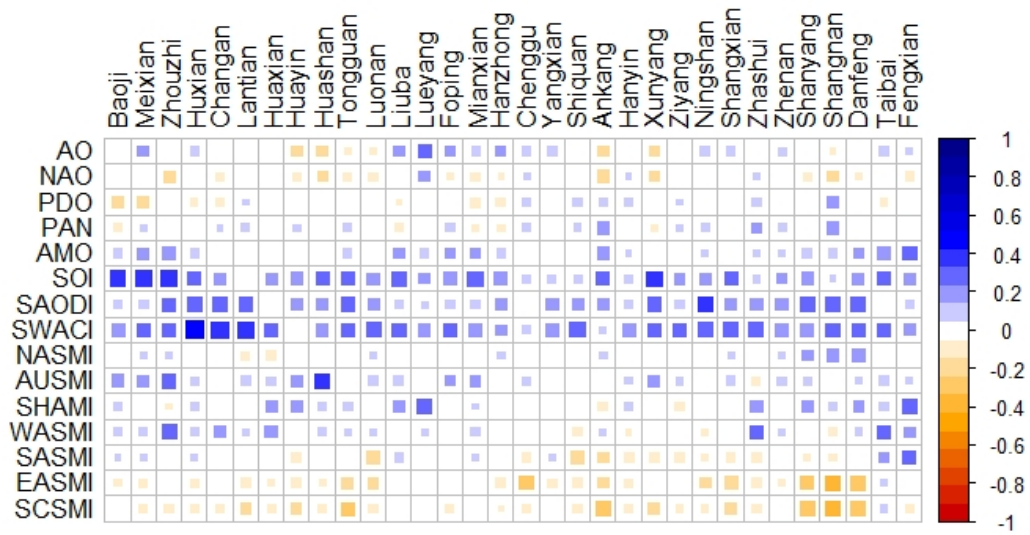


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Fig. 8 Results of the trend for winter precipitation by ITA method.

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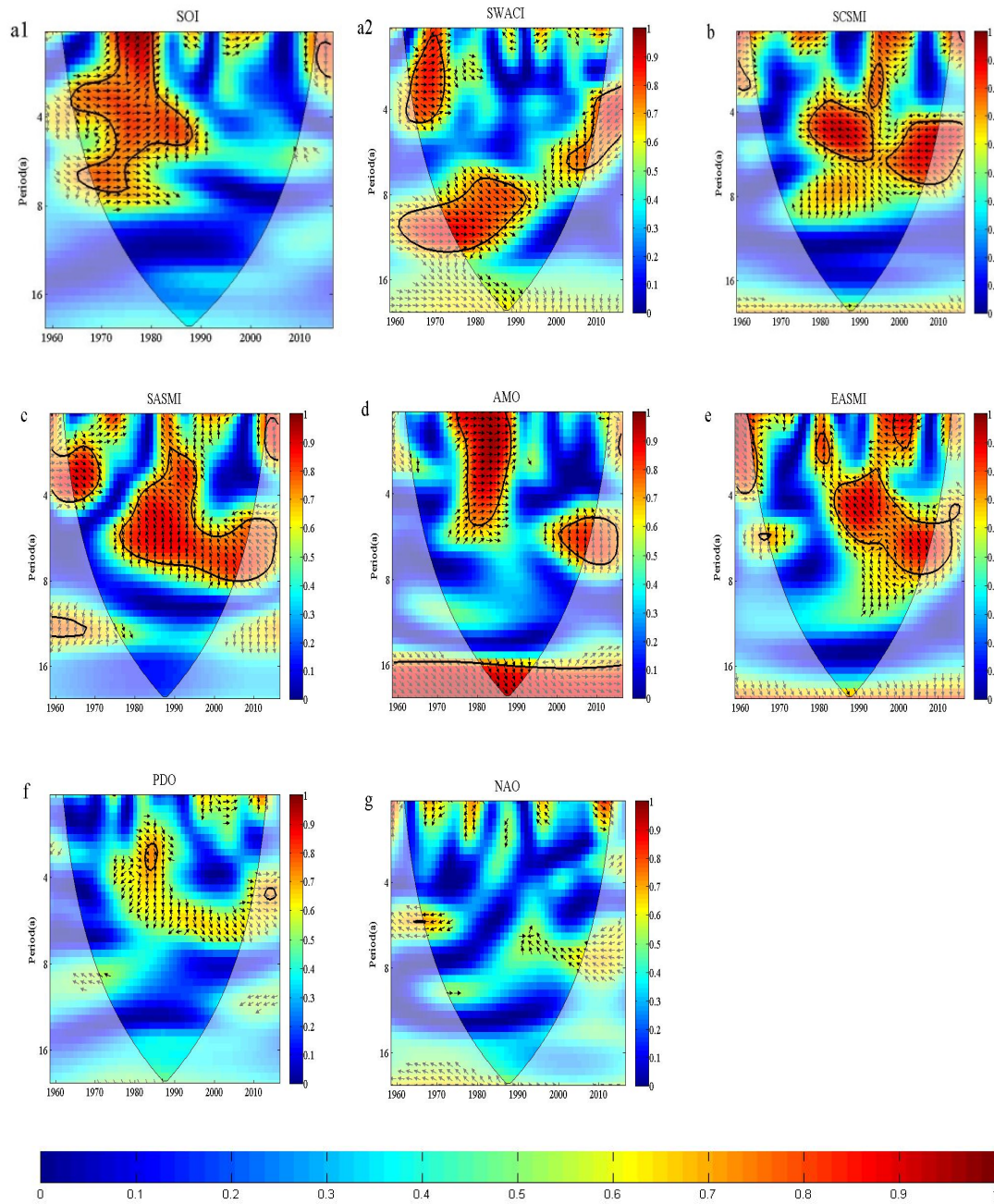


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433 Fig. 9 Result of correlation coefficients between annual precipitation of 32 stations

434 and climate indices on annual precipitation

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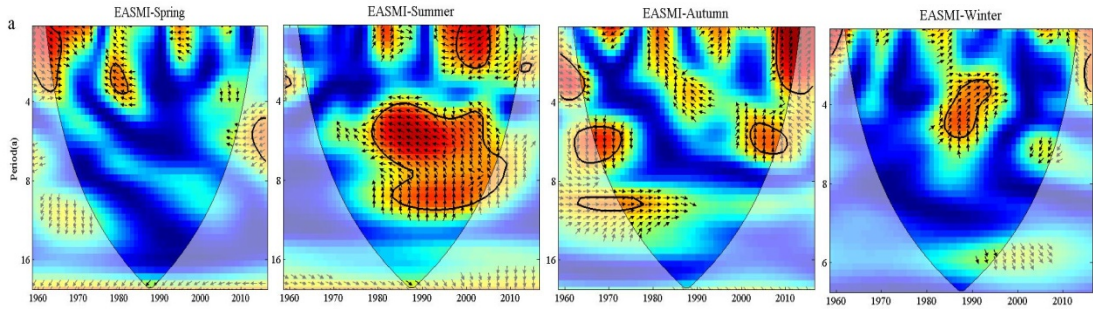
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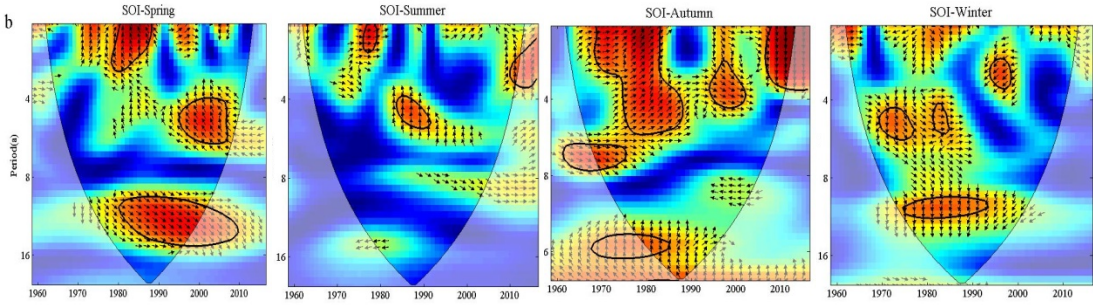
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440 Fig. 10 Result of WTC between annual precipitation and different climate anomalies  
 441 indices. (The thick black contours depict the 5% significant level, and the black line is  
 442 the cone of influence. Right-pointing arrows indicate that the two signals are in phase  
 443 while left-pointing arrows are for anti-phase signals. Down-pointing arrows denote  
 444 that the PRE are ahead of the climate index, whereas up-pointing arrows mean that  
 445 the PRE lag behind the climate index.)

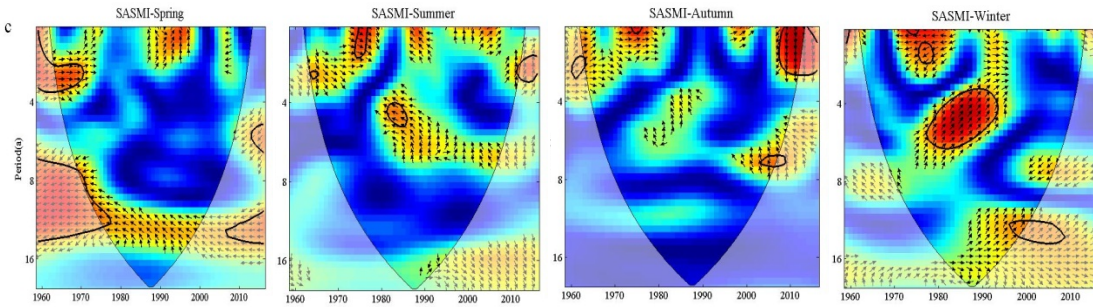
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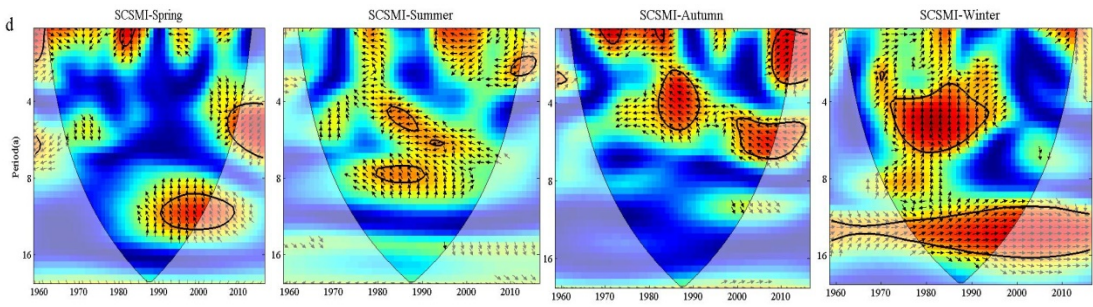
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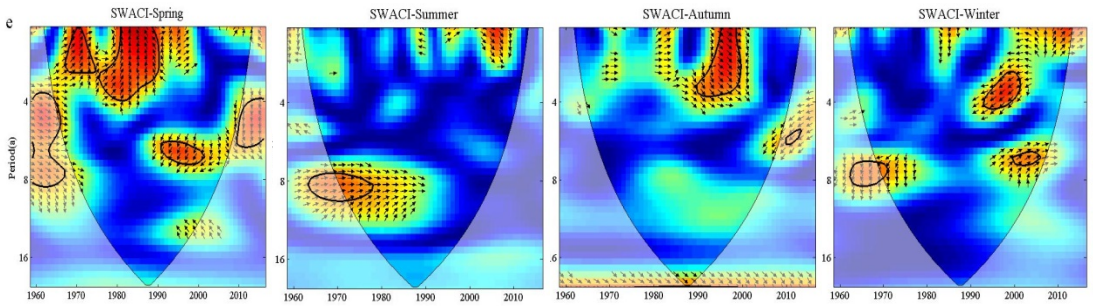
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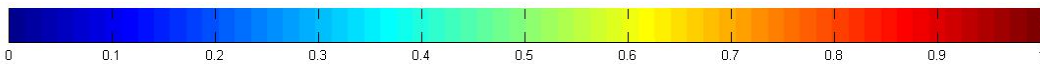
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Fig. 11 Result of WTC between seasonal precipitation and 5 climate indices.