

1 Annual and seasonal precipitation trends and their attributions in the
2 Qinling Mountains, a climate transitional zone of China
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4 **Abstract**

5 Trends of annual and seasonal precipitation and their linkage with large-scale climate
6 indices were investigated in this study with the Innovative Trend Analysis (ITA)
7 methods for the Qinling Mountains (QMs), using 32 meteorological stations' monthly
8 observed precipitation from 1959 to 2016. The results indicated that a declining trend
9 of annual precipitation was found in the QMs. Seasonally, a decreasing trend was also
10 found during spring and autumn, while an increasing trend was observed in summer
11 and winter. Spring and autumn precipitation were the contributors to the decline of
12 annual precipitation. More important, both low values and high values indicated a
13 reduction trend, which would have essential impacts on ecology and people's life in the
14 QMs. The results of wavelet coherence showed that annual precipitation had strong
15 linkages with EASMI, SOI, SASMI, SCSMI and SWACI, and had an insignificant
16 relationship with NAO and WASMI. Seasonally, EASMI had negative effects on each
17 season. Spring and autumn precipitation was more sensitive to SOI than SWACI, while
18 SASMI had a strong positive relationship with winter precipitation and SCSMI was
19 more negatively related to autumn and winter precipitation.

20 **Key words:** precipitation; climate change; ITA; trend analysis; Qinling Mountains

21

22 **1 Introduction**

23 Precipitation is one of the basic meteorological factors and one of the primary
24 components of hydrological cycles. Precipitation significantly influences not only on
25 water resources utilization and flood warning, but to human health (Yang et al. 2016).
26 Meanwhile, global warming is an indisputable fact (Mohan et al. 2018). Global annual
27 precipitation has increased from 1951 to 2010, and unlike temperature, it has existed
28 spatial inconsistency globally (IPCC, 2014). Especially in China where the land area is
29 vast and the terrain is rugged. A numerous number of studies suggested that annual
30 precipitation has increased in southeast China (Li et al. 2016; Wu et al. 2016), while
31 decreased in southwest China (Cheng et al. 2019; Yu et al. 2018). Peculiarly, annual
32 precipitation in northwest China displays both an increasing trend and a decreasing
33 trend in some investigations (Han et al. 2016; Hao et al. 2017; Wang et al. 2019; Li et
34 al. 2016; Fu et al. 2004). Furthermore, a preponderance of studies suggested that climate
35 change is more rapid in the mountainous regions (Li et al. 2012; Li et al. 2013; Li et al.
36 2011). QMs is located in Shaanxi province in northwest China. As a transitional zone
37 of China, this area is vulnerable to climate change, and floods are one of the major
38 natural hazards in this area. The flood in 2002, for example, resulted in more than 400
39 death and significant economical loss in the southern slope of the QMs (Li 2003).
40 Studying the precipitation in the mountainous area could further understand the global
41 warming and hydrological cycle regionally which is more useful for identifying climate
42 change adaption options, particularly in water resources management.

43 The aforementioned investigations of precipitation trends were all tested by

44 different classical trend methods. However, these methods could not detect the low,
45 medium and high values of one geographical variable. Therefore, a new trend method
46 named Innovative trend analysis (ITA) proposed by Şen (2012) to explore different
47 values of one time series (Dabanlı et al. 2016). Variation of seasonal precipitation has
48 to be vital on vegetation and crop growth. Qin et al. (2017) found that the temperature
49 in spring and summer is the dominant factor for the radial growth of trees, and excessive
50 precipitation in winter would slow down tissue growth. Proud and Rasmussen (2011)
51 found that plant growth may be stunted if it encounters a drought during the onset of
52 growth. The precipitation is closely influenced by climate anomalies which provide a
53 macro climate background.

54 Therefore, in order to study the trend of annual and seasonal precipitation and its
55 attributions under the impacts of climate change in the QMs, this study applied the ITA
56 method to detect the trend of precipitation and its hidden values, and chose 15 large -
57 scale climate anomalies indices aiming to analyze the connection with precipitation in
58 the QMs. This study will provide recommendations and guidelines to enhance the
59 scientific basis for forecasting of precipitation, prevention and mitigation of flood in
60 the future.

61

62 **2 Data and Method**

63 **2.1 Study area**

64 Qinling Mountains ($32^{\circ} 54' - 34^{\circ} 35' N$, $105^{\circ} 30' - 111^{\circ} 3' E$) is
65 located in Shaanxi Province in central China. QMs carries a total area of 61,900 km²

66 (Fig. 1). QMs is a typical climate transitional zone in China – the dividing line of South
67 and North China, the 0 °C isotherm in January and the 800mm annual precipitation
68 isohyet, the watershed of the Yellow and Yangtze River (Deng et al. 2019), the boundary
69 between northern wheat and southern rice, the border of rivers to ice period, the
70 boundary between Loess Plateau and Sichuan Basin. The peak of QMs is Mount Taibai,
71 with an elevation of 3771.2m, which could block the cold current from North, the land
72 of abundance in Sichuan was created. Specially, since it is also the climate transition
73 belt in China where the typical subtropical zone changes gradually toward the warm
74 temperate zone from south to north and the humid zone fluctuates continuously toward
75 the semi-humid zone from east to west (Deng et al. 2019). The average annual
76 precipitation is approximately 825mm, and over 70% of precipitation occurs during the
77 monsoon period from May to September (Guo et al. 2018). The south region receives
78 the highest annual precipitation of 1156mm, while the northern region receives 545mm
79 average precipitation annually (Meng et al. 2019). The annual precipitation decreases
80 from southern part to northern part of the QMs.

81 **2.2 Data sources**

82 Monthly precipitation records covered from 1959 to 2016 from 32 rain gauge
83 stations collected from the Shaanxi Meteorological Bureau. Then, March to May
84 represented as spring, June to August summer, September to November autumn and
85 December to February winter.

86 The large-scale climate indices: Atlantic Oscillation (AO) is obtained from the
87 NOAA National Climatic Data Center

88 (<http://www.ncdc.noaa.gov/teleconnections/ao.php>), and Pacific Decadal Oscillation
89 (PDO) index from the National Climate Center of China (NCC) ([http://cmdp.ncc-](http://cmdp.ncc-cma.net/cn)
90 [cma.net/cn](http://cmdp.ncc-cma.net/cn)), East Asian Summer Monsoon Index (EASMI), Southern Oscillation Index
91 (SOI), South Asian Summer Monsoon Index (SASMI), South China Sea Summer
92 Monsoon Index (SCSMI), North Atlantic Oscillation (NAO), Atlantic Multi-decadal
93 Oscillation (AMO), North American Summer Monsoon Index (NASMI), Pacific North
94 American Pattern (PNA), Australian Summer Monsoon Index (AUSMI), Monsoon like
95 Southwest Australian Circulation Index (SWACI), South American Summer Monsoon
96 Index (SHAMI), West African Summer Monsoon Index (WASMI) and South Atlantic
97 Ocean Dipole Index (SAODI) ([http://ljp.lasg.ac.cn/dct/page/.](http://ljp.lasg.ac.cn/dct/page/)) were used to represent
98 large-scale climate anomalies. They are all used to study the relationship between
99 atmospheric circulations and precipitation.

100 **2.3 Methodology**

101 2.3.1 Innovative Trend Analysis (ITA)

102 Innovative Trend Analysis (ITA) was proposed by Sen (2012) firstly, which could
103 be used for trend analysis in the hydrometeorology time series, such as: temperature
104 (Mohorji and Sen 2017), precipitation (Öztopal and Sen 2017) and pan evaporation
105 (Kisi 2015). In the innovative trend analysis, the given time series is divided into two
106 equal parts and then each part is ordered ascendingly. Plot the two parts in a scatter plot,
107 and then plot the 1:1 line, and finally, divide the X axis into three parts (“Low” < 10th
108 percentile, ”Medium” = 10th – 90th percentile and ”High” > 90th percentile). Fig. 2
109 clearly shows the ITA. There is no trend if the precipitation time series fall exactly or

110 approximately around the vicinity of 1:1 straight line. It means an increasing trend in
 111 the case that scatter points fall over the 1:1 line. Otherwise, it is a decreasing trend if
 112 the scatter points accumulated below the 1:1 line,. The slope of ITA can be expressed
 113 as below (Sen 2017).

$$114 \quad s = \frac{2(\bar{y}_2 - \bar{y}_1)}{n} \quad (1)$$

115 Where \bar{y}_1 represents the precipitation series from 1959 to 1987, and \bar{y}_2 represents the
 116 precipitation series from 1988 to 2016, and n is 58 in this paper.

117 2.3.2 Wavelet analysis

118 Wavelet coherence (WTC) was applied to study the relationship between
 119 precipitation and large climate anomalies in many literatures (Xuhu Wang et al. 2019;
 120 Grinsted et al. 2004). The wavelet coherence could be expressed as follows (Torrence
 121 and Webster, 1999):

$$122 \quad R_n^2(s) = \frac{|s(s^{-1}W_n^{XY}(s))|^2}{s(s^{-1}|W_n^X(s)|^2) \times s(s^{-1}|W_n^Y(s)|^2)} \quad (2)$$

123 Where two time series X and Y with transform W_n^X and W_n^Y , S is a smoothing
 124 operator, could be expressed as below:

$$125 \quad S(W) = S_{scale} \left(S_{time} (W_n(s)) \right), \quad (3)$$

126 The WTC uses Monte Carlo methods with red noise to determine the 5% statistical
 127 significance level of the coherence (Jevrejeva et al., 2003).

128

129 3 Results

130 3.1 Trend analysis of precipitation in the QMs

131 3.1.1 Annual trend of precipitation

132 The ITA method is applied to analyze the annual precipitation from 1959 to 2016.
133 The result of the trend in annual precipitation tested by the ITA method indicated that
134 majority of the stations showed a slightly declining trend (Fig. 3). Specifically, 30 out
135 of 32 stations revealed a decreasing trend, while like Lueyang station in Fig. 3,
136 Shangnan station also showed an insignificant increasing trend. Fig 3 shows only three
137 stations as representative results (Lueyang, Changan and Huashan station), where the
138 other stations which have the same trend at the same time without other characteristics
139 like Changan station. Huashan, as the station with highest elevation (2065m), had the
140 biggest decline trend slope of -5.1mm/year. The relationship between the ground
141 elevation and slope of the trend demonstrates that trend slope has an insignificant
142 relationship with the elevation except for Huashan station (Fig.4). Additionally, 30 out
143 of 32 stations of the high value (90th percentile as in the Fig. 2) showed a declining
144 trend, which implied less probability of floods in the near future. This finding was
145 consistent with the trend tested by the M-K method of annual precipitation in the Yellow
146 River in the northwest China which most of the stations showed a decreasing trend,
147 while few stations indicated an increasing trend (Liu et al. 2008; Xu et al. 2007).

148 3.1.2 Seasonal trend of precipitation

149 Trend in seasonal precipitation assessed by the ITA method indicated that an
150 obvious seasonal trend (Fig. 5-8.). 30 out of 32 the stations exhibited an insignificant
151 decreasing trend except for two stations: Huayin and Chenggu which showed an upturn
152 trend in spring (Fig. 5). As the highest station, Huashan has the biggest decreasing slope
153 of -1.83mm/year. The other stations with the same trend as Changan station are not

154 presented in this figure to save the space. The trend in summer was complicated as
155 shown in Fig. 6. 11 stations similar with Xunyang station showed a dwindling trend and
156 other 21 stations similar to Changan station exhibited an increasing trend, and Shangnan
157 station had the biggest increasing trend slope of 1.95mm/year. (Other stations were not
158 shown in the figure). Autumn precipitation was dominated by negative trend except for
159 Chenggu and Lueyang stations which showed a positive trend (Fig. 7). Ziyang station
160 had the biggest decreasing trend slope of -3.2mm/year. The other stations show negative
161 trend similar to the trend as Huashan station, hence only Huashan station was presented
162 in Fig. 7. As shown in Fig.8, it showed an insignificant increment trend for most of the
163 stations in winter except Xunyang, Zhashui (not shown in Fig. 8) and Ziyang (not
164 shown in Fig. 8) stations which showed a declining trend, and Lueyang station had the
165 biggest increasing trend slope of 0.94mm/year. The other stations followed the same
166 trend as Shangnan station.

167 Further analysis declared that the reason for decreasing annual precipitation is
168 dominated by decreasing spring and autumn precipitation. Importantly, most of the low
169 precipitation values (10th percentile as in the Fig. 2), especially in spring showed a
170 decreasing trend which had a trend of the drier condition and inverse influences on
171 crops and vegetation growth. Most of the high precipitation values (90th percentile as
172 in the Fig. 2) in summer indicated a decreasing trend which has advantage of floods
173 control, especially in the flood-prone area at the southern slope of the QMs. This finding
174 is the same with Zhang's results tested by M-K method which implies a risk of droughts
175 can be expected in spring and autumn, and precipitation increased in winter and summer

176 in the Yellow River basin in the northwest China (Zhang et al. 2014).

177 **3.2 Influence of Climate Index on Precipitation**

178 3.2.1 Climate Index on annual precipitation

179 The correlation analysis between annual precipitation of the 32 stations and
180 climate indices was shown in Fig. 9. This result indicates that the impact of climate
181 indices on annual precipitation was different in different places. It can be found that
182 SOI, SAODI and SWACI had a strong positive relationship with annual average
183 precipitation among all the stations. Conversely, EASMI, SCSMI and SASMI had a
184 negative relationship with annual average precipitation. In addition, the positive
185 relationship was stronger than the negative relationship.

186 QMs locates in the monsoon area of China, are vulnerable to climate circulation
187 indices from all over the world. The wavelet coherence showed that there was a
188 correlation between precipitation and climate indices (Fig. 10). In addition Fig.10 (a)
189 shows that similar to SOI and SWACI, PNA, AO, SAODI and SHAMI had a significant
190 positive correlation with annual average precipitation. These six indices all had an
191 impact on annual precipitation during the 1980s - 1990s with different scales.
192 Additionally, SOI and SWACI had a more coherent relationship with annual
193 precipitation. Conversely, SCSMI had a significant negative correlation in 1978 - 1994
194 and 1998 - 2006, respectively (Fig. 10b).

195 There was a significant positive and negative correlation of SASMI, NASMI and
196 AUSMI, and these three indices all had the impact during the 1990s - 2000s. Unlike the
197 other two indices, SASMI (Fig. 10c) had the strongest correlation with the scale of 2 -

198 4 years and 2 - 8 years in 1966 - 1971 and 1977 - 2006, respectively.

199 Furthermore, it can be seen that a significant positive correlation of AMO during
200 1978 - 1987 and a significant lagged correlation during 2000 - 2003 (Fig. 10d). EASMI
201 had a significant negative influence on precipitation on the scale of 1 - 3 years and 4 -
202 8 years in 1998 - 2002 and 1982 - 2008, and a significant lagged influence on the scale
203 of 2 - 3 years during 1979 - 1981 (Fig. 10e). PDO had little coherence with annual
204 precipitation (Fig. 10f). Lastly, it can also be observed that like NAO, WASMI had an
205 insignificant impact on annual average precipitation (Fig. 10g). Other research
206 indicated that El Nino and La Nina also could affect the climate in the Yellow River
207 basin, even in east China (Fu et al. 2007; Wang et al. 2000).

208 3.2.2 Climate Index on seasonal precipitation

209 Annual precipitation had strong linkages with EASMI, SOI, SASMI, SCSMI and
210 SWACI based on the significant area from Fig. 10. Therefore, the effect of five climate
211 indices on seasonal precipitation was shown in Fig. 11. It showed that EASMI had the
212 most significant negative and lagged relationship in summer from 1980 to 2008, and
213 had a negative relationship in spring in the 1980s. A significant positive relationship
214 was found in winter in the 1990s. Furthermore, the plot also indicated that the linkage
215 between EASMI and autumn precipitation was inconsistent.

216 Fig. 11b and 11e indicated that SOI and SWACI had a more significant connection
217 with spring and autumn precipitation, and SWACI and SOI with summer and winter
218 precipitation showed small areas of significant coherence. There was a significant
219 negative and positive cycles from 1990 to 2000 in spring of SOI, and had a positive

220 cycle in the 1988s and 2000s in autumn. There was a lagged relationship with spring
221 precipitation from 1968 to 1990 and ahead of SWACI in the 1990s. SWACI had a
222 positive relationship with precipitation in the 1990s in autumn. Also it demonstrated
223 that SOI was stronger than SWACI (Fig. 11b and Fig. 11e).

224 SASMI had a strong positive relationship with winter precipitation in the 1980s in
225 Fig. 11c. Fig. 11d revealed that SCSMI had more coherence with autumn and winter
226 precipitation. There was a lagged relationship between SCSMI and winter precipitation
227 from 1972 to 1992, and a positive relationship from 1975 to 2000. From 1982 to 1990,
228 SCSMI was negatively related to autumn precipitation (Fig.11e).

229

230 **4 Discussion**

231 The annual precipitation trend tested by ITA in this study has the same result as a
232 linear regression method drawn by Bai et al. (2019) in the QMs. Some findings
233 suggested a general decreasing trend was found in Shaanxi province in northwest China
234 (Han et al. 2016; Hao et al. 2017; Wang et al. 2019), while others (Li et al. 2016) agreed
235 that an increasing trend was found in northwest China. As there is not a clear boundary
236 of northwest China, it would be an increasing trend of annual precipitation when
237 excluded the QMs. Annual precipitation indicated a decreasing trend including the QMs.
238 This clearly illustrates the importance of the Qinling Mountains in determining the
239 trend of annual precipitation in the area.

240 In this study, the investigation suggested that annual and seasonal precipitation
241 influenced by climate anomalies in the QMs. EASMI as the most significantly

242 influenced factors, it has had an impact on many places in China, such as Loess plateau
243 (Wang X et al. 2019) and northwest China (Li B et al. 2016). SOI as the second factor
244 which has influenced the precipitation in the QMs. SOI is the index of ENSO event, the
245 same with El Nino and La Nina, they have some impacts on precipitation in some
246 regions in China. Meanwhile, this conclusion is consistent with many studies (Li B et
247 al. 2016; Fu et al. 2007), indicating that EASMI and SOI as the most influencing factors,
248 should be taken into account when forecasting the precipitation in this area. Many
249 investigations have also agreed that PDO has a varying degree impact on precipitation
250 in different regions in China (Xiao et al. 2015; Zhang et al. 2017; Yang et al. 2017; Fu
251 et al. 2009), but in this study, wavelet coherence result showed that PDO had little
252 coherence with annual precipitation. A possible reason could be the complicated
253 mechanism between PDO and East Asian winter monsoons. Apart from large
254 atmospheric circulation anomalies, temperature, topography and human activities all
255 might be the factors attributes to the variation of precipitation in this area. It should
256 study the relationship between these factors and precipitation, and to be taken into
257 account when forecasting the future precipitation in the QMs.

258 The advantage of ITA is that the high values (90th percentile) could be easily
259 observed, which is helpful to spot the trends in extreme values (Dabanlı et al., 2016;
260 Şen, 2017). In this way, Ministry of agriculture and Disaster bureau could identify
261 trends for different precipitation categories by ITA (Wang et al., 2020). Mostly all the
262 low values (10th percentile), especially in spring showed a declining trend, which had
263 an inverse influence on crop and vegetation growth. If this trend continues in the future,

264 the shortage of water resources would be aggravated and resulted in a serious reduction
265 in agricultural output.

266 The primary problem in this study is the limited number of hydrological
267 observation stations in the QMs mountainous area and of its complex topography. As
268 for the influencing factors of this area, it is hard to analyze all the human and natural
269 factors to the precipitation in the QMs. Further studies should focus on these aspects
270 aiming to gain more accurate trends and attribution analysis.

271

272 **5 Conclusion**

273 The trend of annual and seasonal precipitation in the Qinling Mountains (QMs)
274 was investigated, using 32 meteorological stations' monthly observed precipitation
275 from 1959 to 2016. And the effect of climate index on annual and seasonal precipitation
276 was evaluated, using ITA trend method and wavelet coherence. The conclusions were
277 made as follows.

278 Annual precipitation showed a decreasing trend at most of the stations in the QMs
279 from 1959 to 2016. Seasonally, a decreasing trend was also found during spring and
280 autumn, while an increasing trend was observed in summer and winter. Additionally,
281 the larger part of the low and high values, showed a decreasing trend which is a vital
282 warning to the environment, ecosystem and agriculture. Thus, much more attention
283 should be paid in this area.

284 EASMI, SOI, SASMI, SCSMI and SWACI are the most influencing factors that
285 have an impact on annual and seasonal precipitation, while NAO and WASMI had an

286 insignificant relationship with precipitation in the QMs. This should be taken into
287 account when forecasting the precipitation in the future in this area.

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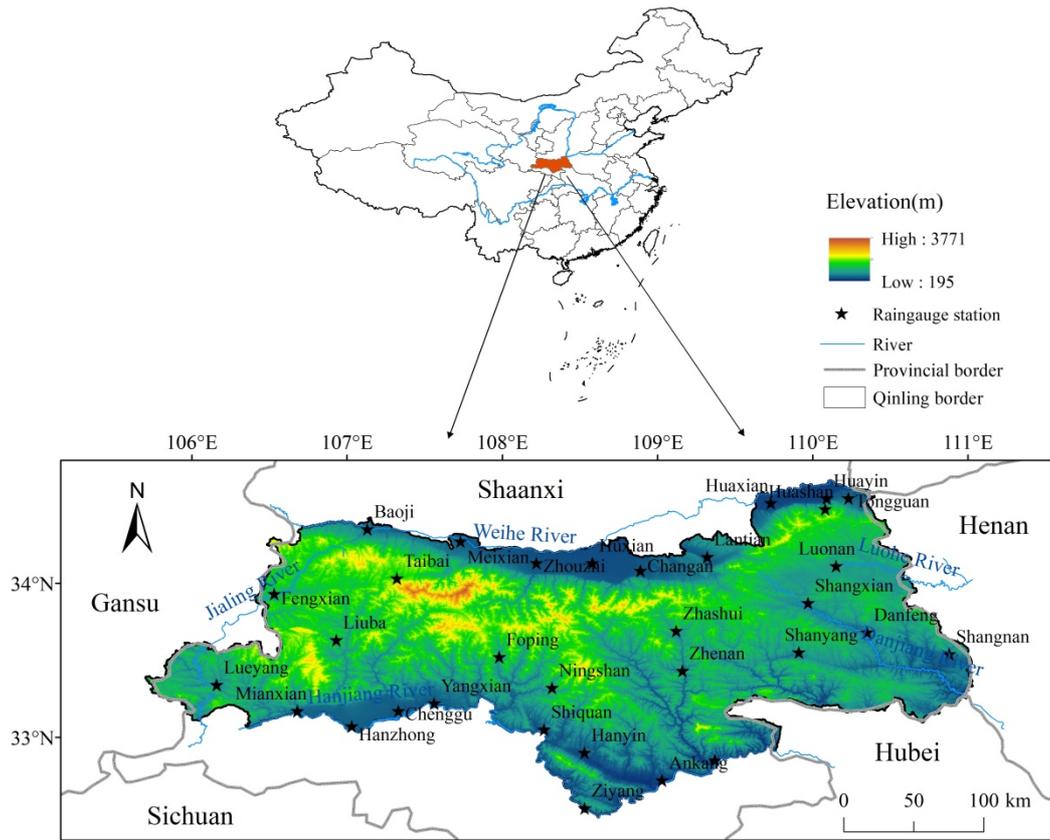
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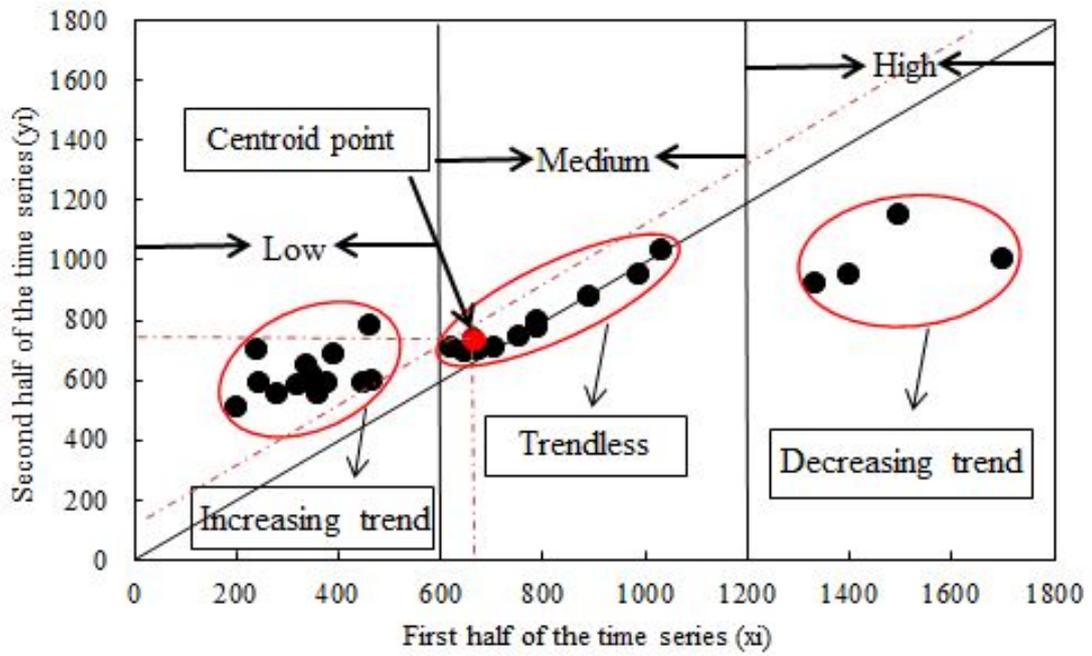


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Fig. 1 The location of the Qinling Mountains.

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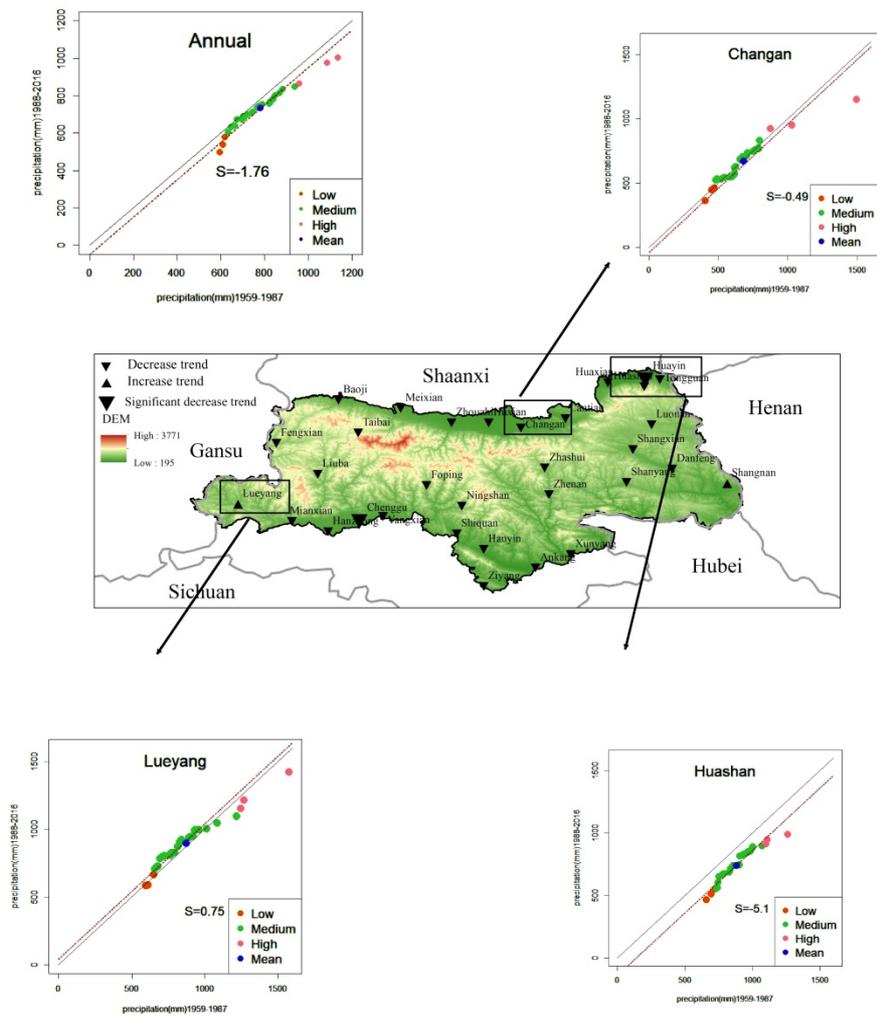


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Fig. 2 Illustration of the Innovative Trend Analysis (ITA) method.

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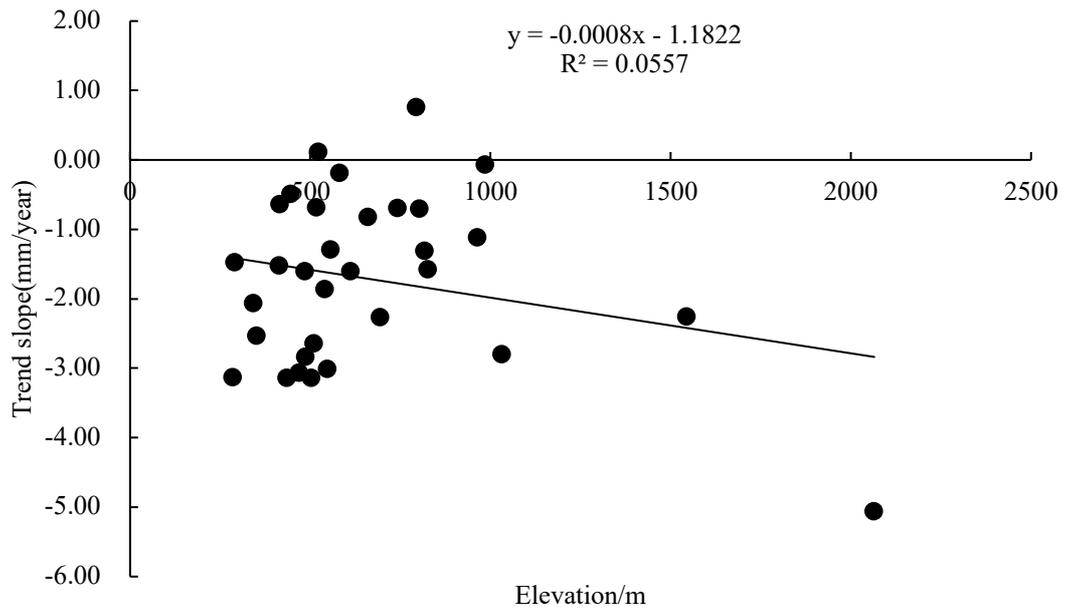


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Fig. 3 Results of the trend for annual precipitation by ITA method.

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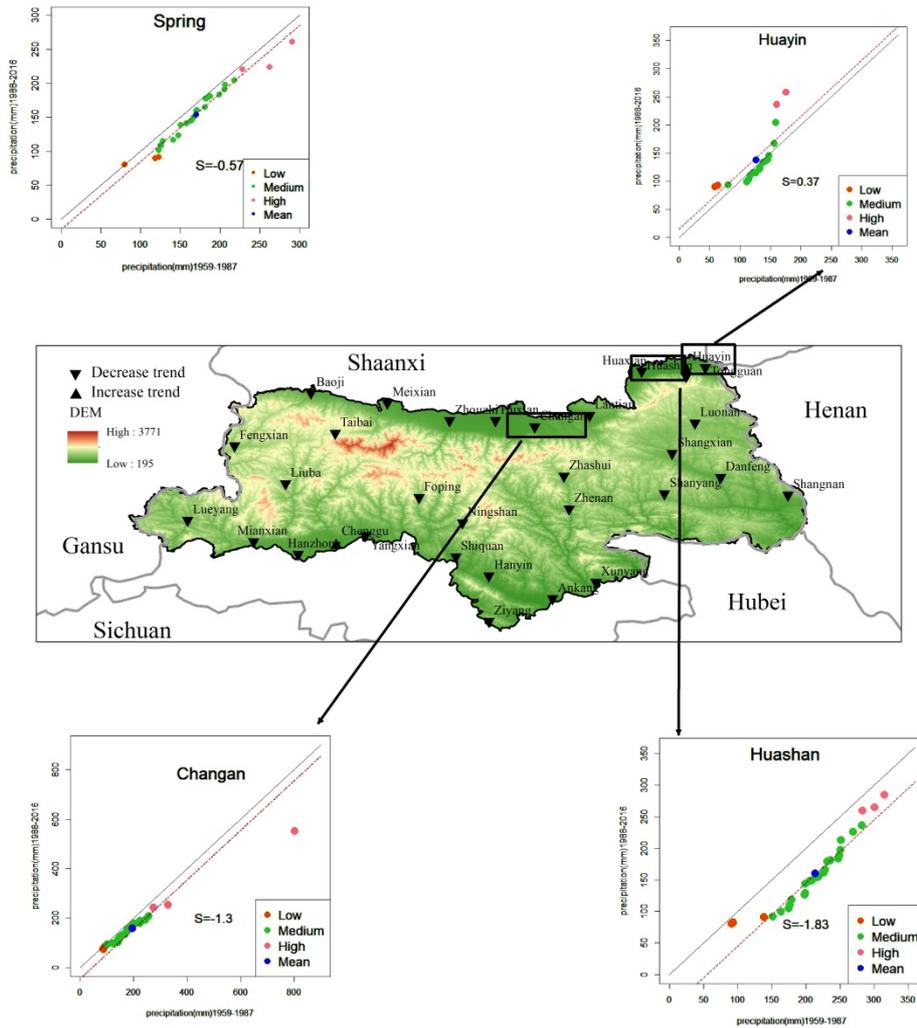


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Fig. 4 Annual precipitation trend slope changes with altitude

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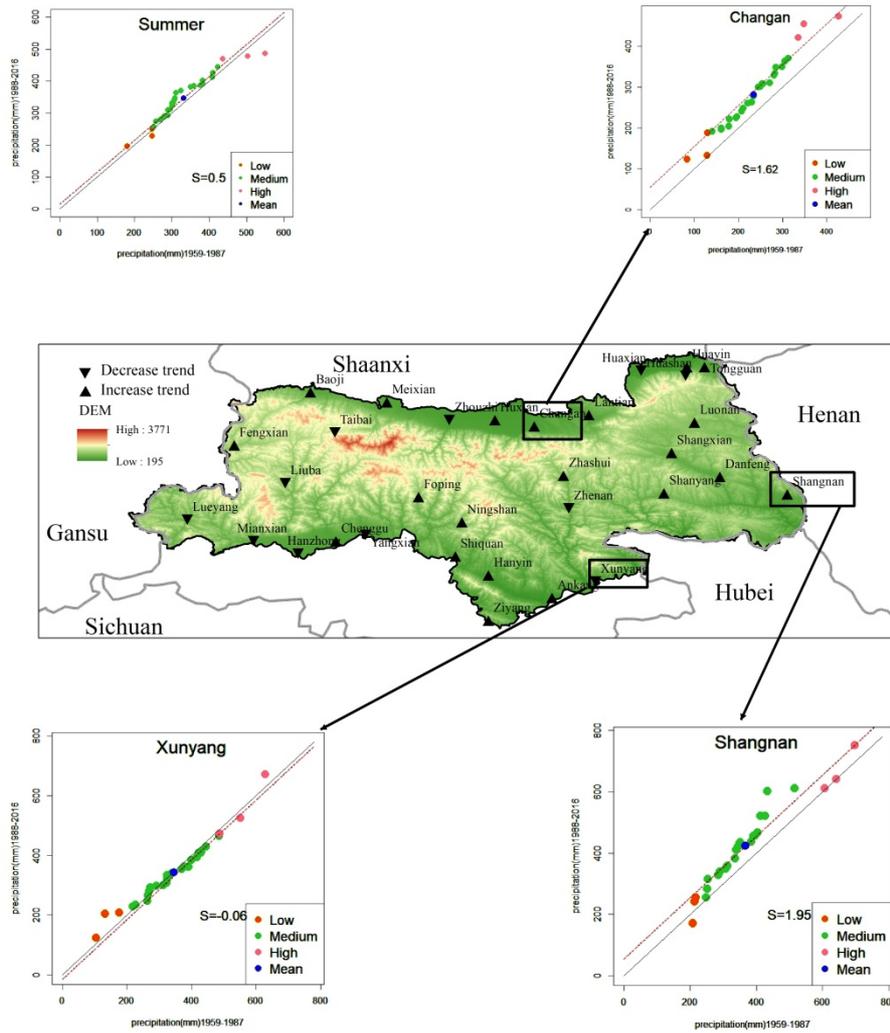


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Fig. 5 Results of the trend for spring precipitation by ITA method.

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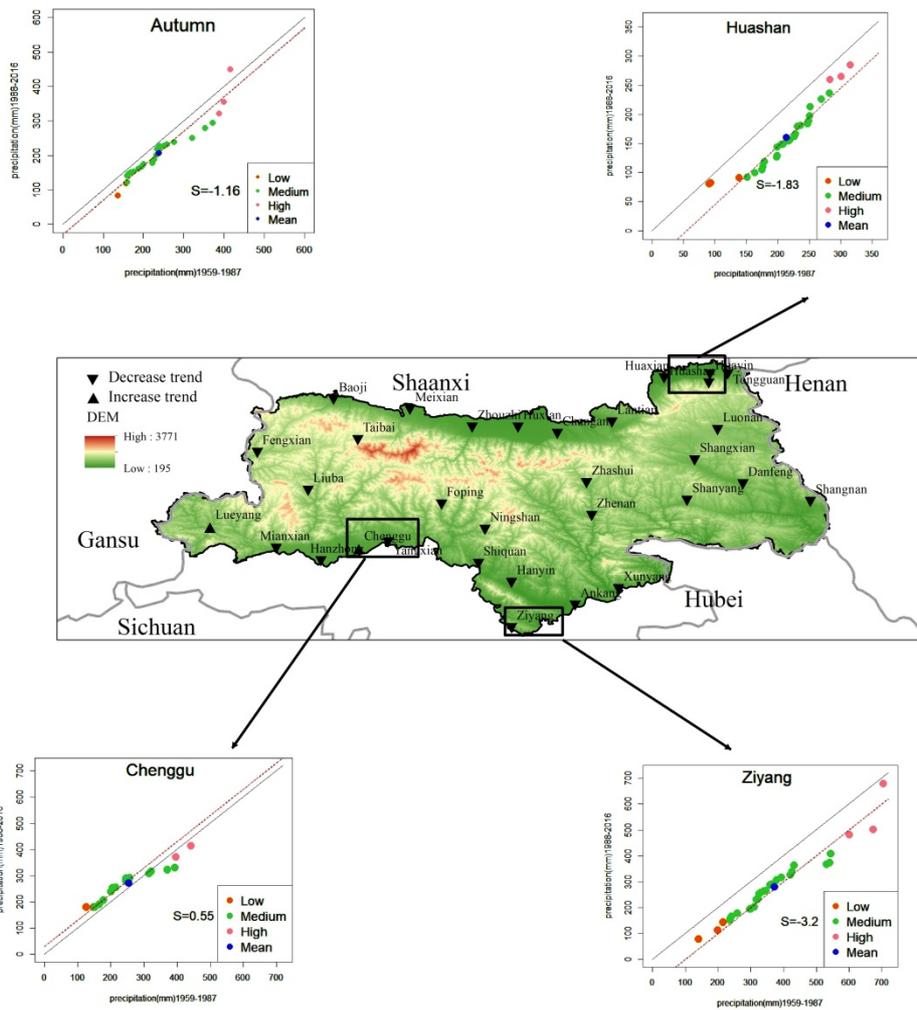


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Fig. 6 Results of the trend for summer precipitation by ITA method.

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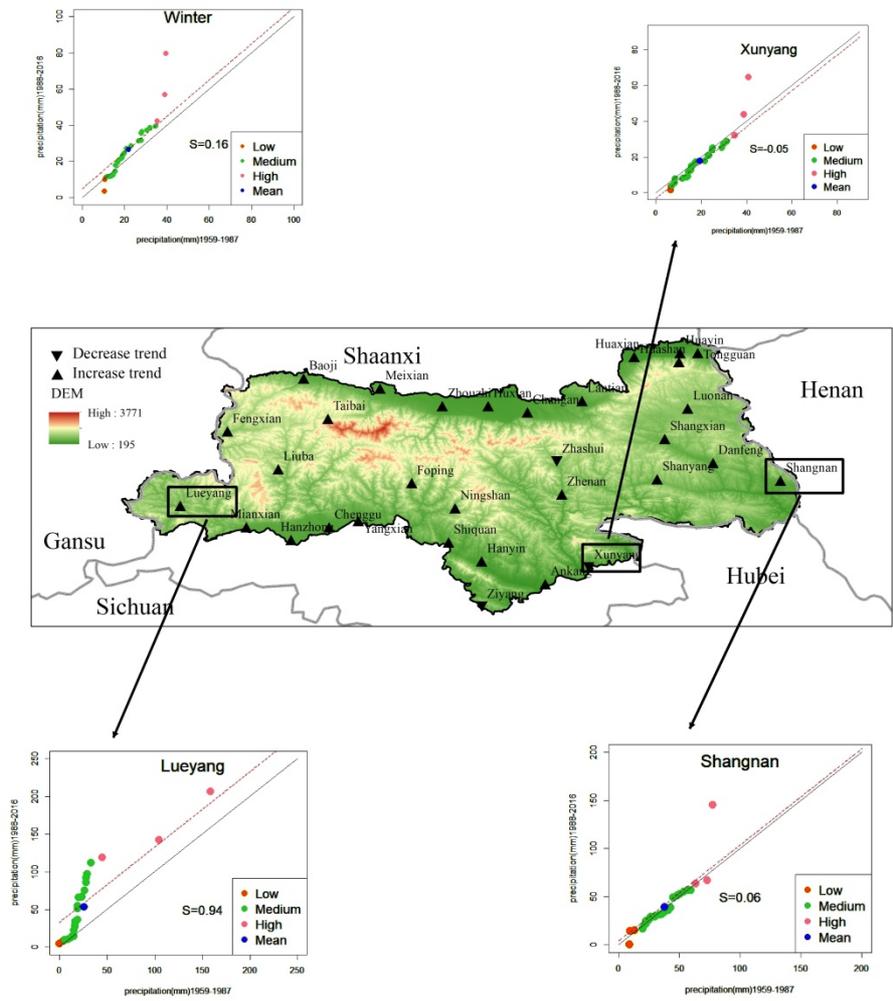


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Fig. 7 Results of the trend for autumn precipitation by ITA method.

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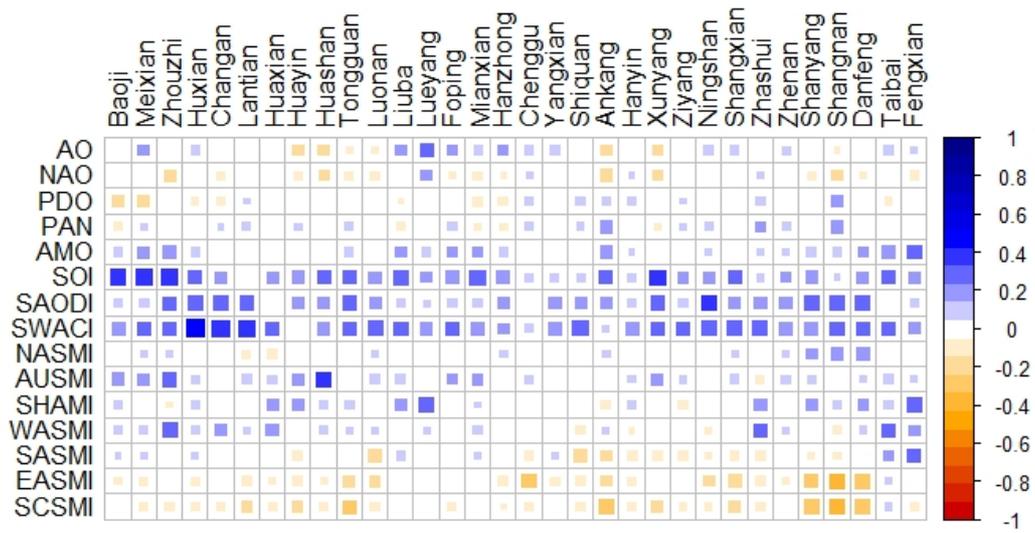


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Fig. 8 Results of the trend for winter precipitation by ITA method.

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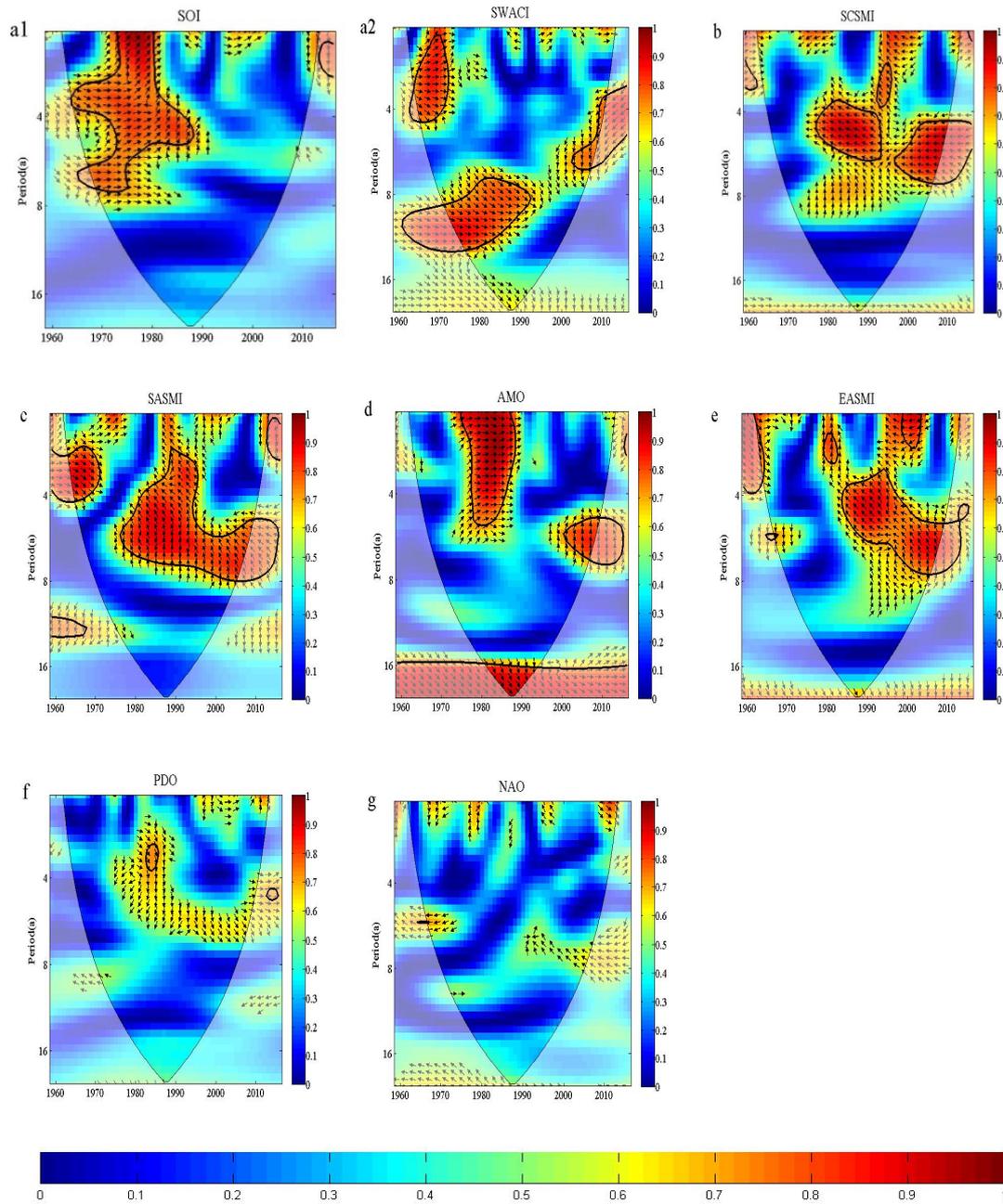


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433 Fig. 9 Result of correlation coefficients between annual precipitation of 32 stations

434 and climate indices on annual precipitation

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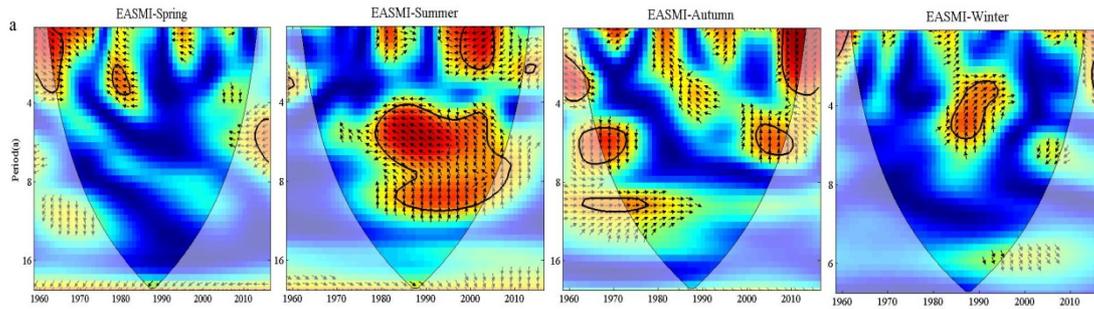
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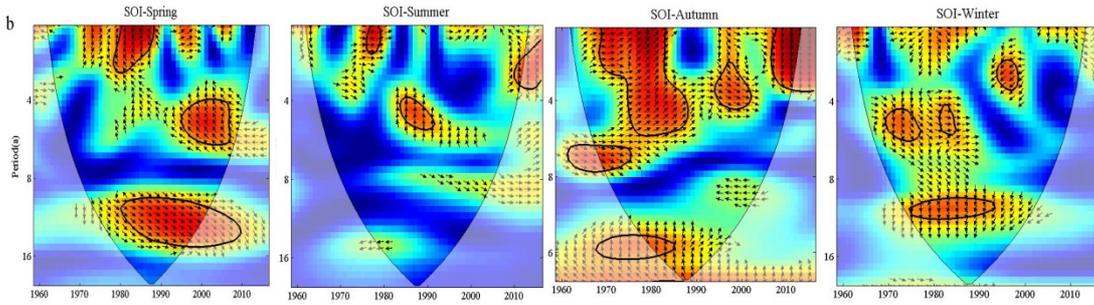
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440 Fig. 10 Result of WTC between annual precipitation and different climate anomalies
 441 indices. (The thick black contours depict the 5% significant level, and the black line is
 442 the cone of influence. Right-pointing arrows indicate that the two signals are in phase
 443 while left-pointing arrows are for anti-phase signals. Down-pointing arrows denote
 444 that the PRE are ahead of the climate index, whereas up-pointing arrows mean that
 445 the PRE lag behind the climate index.)

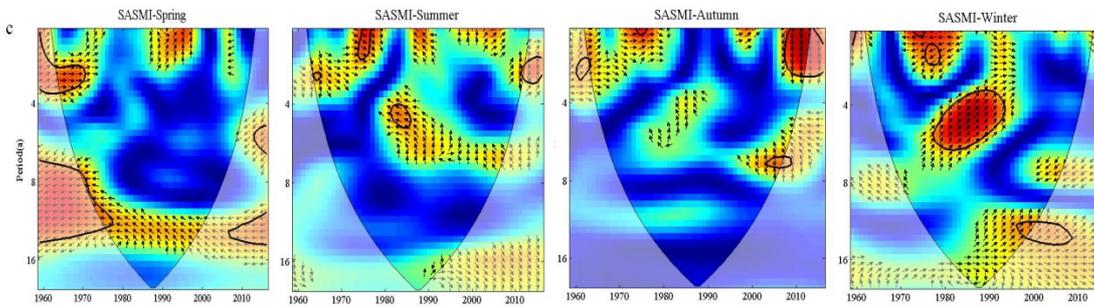
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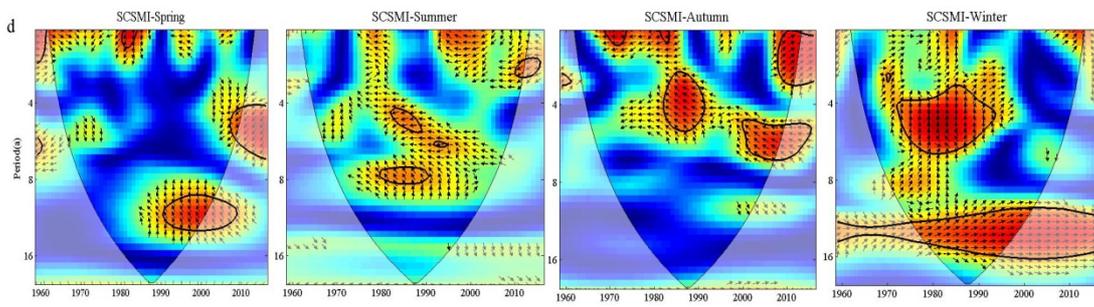
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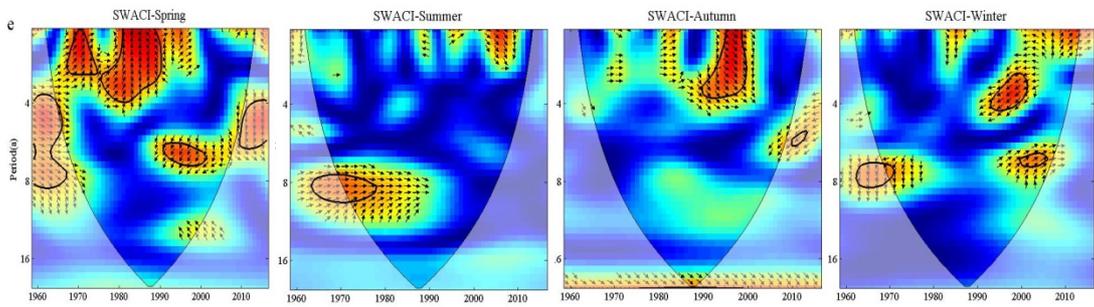
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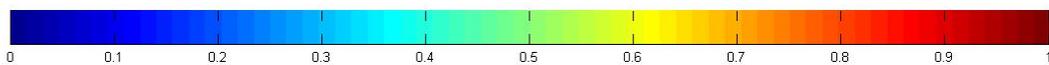
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Fig. 11 Result of WTC between seasonal precipitation and 5 climate indices.