

1 **Seed germination biology of the Albany pitcher plant, *Cephalotus follicularis***

2

3 Michael P. Just¹, David J. Merritt^{2,3}, Shane R. Turner^{1,2,3}, John G. Conran⁴, Adam T. Cross^{1,5}

4

5 ¹Centre for Mine Site Restoration, Department of Environment and Agriculture, Curtin
6 University, GPO Box U1987, Bentley, WA 684, Australia.

7 ²Kings Park Science, Department of Biodiversity, Conservation and Attractions, Kings Park, WA
8 6005, Australia.

9 ³The University of Western Australia, School of Biological Sciences, Crawley, WA 6009,
10 Australia

11 ⁴ACEBB & SGC, School of Biological Sciences, The University of Adelaide, SA 5005,
12 Australia.

13 ⁵Corresponding author: adam.cross@curtin.edu.au

14

15 **Abstract**

16 *Cephalotus follicularis* is an ecologically unique, taxonomically isolated and range-restricted
17 carnivorous plant that occurs exclusively within vulnerable wetland habitats in coastal
18 southwestern Australia. Very little is known about the reproductive biology of this iconic plant
19 species, particularly in relation to seed dormancy and the specific requirements for germination.
20 This knowledge gap must be filled to facilitate the establishment of conservation and management
21 initiatives for the species, as *Cephalotus* is increasingly impacted by habitat loss, alteration to
22 natural hydrological and fire regimes and, in recent times, climatic change. This study aimed to
23 determine the type of seed dormancy that the seeds of *Cephalotus* possess, determine the optimum
24 conditions required for seed germination, and examine the storage behaviour of seeds. The seeds
25 of *Cephalotus* are small (1.0 × 0.5 mm), lightweight (0.1 mg) and remain indehiscent within a
26 wind-dispersed hairy achene. Results suggest that the seeds may exhibit some sensitivity to
27 desiccation and appear to be short lived (<12 months) when stored at 23 °C. Maximum germination
28 was achieved after 16 weeks incubation at 15 °C for seeds removed from the protective outer layer
29 of the achene, while seeds retained within the protective outer layer displayed lower germination
30 success. The post-ripening morphological changes in the embryo, limited response to gibberellic

31 acid, and the long time period required for germination suggests that the seeds exhibit
32 morphophysiological dormancy, with a fraction of seeds remaining dormant for a period of time
33 post-dispersal. These results highlight the importance of limiting hydrological alteration within the
34 few remaining habitats that continue to support *Cephalotus*, but to ensure its long-term protection,
35 further research focusing on phenology and *in situ* recruitment is required.

36

37 **Keywords:** *Cephalotus*, germination, morphophysiological seed dormancy, Albany,
38 Southwest Australia, narrow-range endemic, Oxalidales

39

40 **Introduction**

41

42 The Albany pitcher plant, *Cephalotus follicularis* Labill., is the only species in the monotypic
43 Cephalotaceae (Conran 2004; Cross *et al.* 2018c; 2019) and represents an ancient and highly
44 isolated flowering plant lineage that arose in the Late Cretaceous (Heibl and Renner 2012). The
45 species is both long-lived and slow growing, taking up to five years to reach reproductive maturity
46 and develop a woody rhizome from which it resprouts following fire (Cross *et al.* 2019). It also
47 exhibits remarkable ecological specificity (Cross *et al.* 2019), unique seedling and vegetative
48 development (Conran and Denton 1996), and complex biotic associations with invertebrates,
49 including an obligate mutualistic relationship with the range-restricted endemic wingless stilt-
50 legged fly *Badisis ambulans* McAlpine, 1990 (Micropezidae) (Yeates 1992; Cross *et al.* 2019).

51

52 *Cephalotus follicularis* only occurs in the Southwest botanical province of Western Australia (Fig.
53 1), where it is endemic to a very narrow area along a coastal belt from Nannup in the west to
54 Manypeaks in the east (Lowrie 2014; Cross *et al.* 2018c; 2019). It occurs predominantly in
55 *Homalospermum firmum* Schauer – *Callistemon glaucus* Sweet peat thicket swamp fragments,
56 where it occupies a narrow, and highly specific ecological niche along the water table (Cross *et al.*
57 2019). Although the species was more widespread in the past, its distribution is now extremely
58 localised, predominantly due to a combination of habitat loss to agriculture, weed and stock
59 encroachment, as well as eutrophication. Consequently, *Cephalotus* has undergone significant
60 population declines in recent decades throughout its range, with fewer than 25% of the 114
61 recorded historical localities now remaining (Sandiford and Barrett 2010; Cross *et al.* 2019).

62 Altered fire regimes and hydrological changes stemming from land use alteration and climatic
63 change have also been implicated as major threatening processes (Clarke *et al.* 2018; Cross *et al.*
64 2019). Without urgent conservation action based on a robust understanding of the species' ecology
65 and biology, *Cephalotus* faces an increasingly bleak future.

66
67 An important knowledge gap hindering the development of conservation initiatives is a poor
68 understanding of how and when *Cephalotus* populations recruit from seed. Despite over two
69 centuries of horticultural interest and botanical study of the species, its reproductive ecology has
70 never been studied in detail and its seed germination and dormancy alleviation requirements
71 remain poorly described. *Cephalotus follicularis* produce numerous achenes (unicarpellary single-
72 seeded indehiscent fruit) which function as the diaspore (Cross *et al.* 2019). Achenes, despite being
73 small and lightweight, apparently disperse poorly as populations are isolated to relatively small,
74 disjunct wetlands and exhibit minimal genetic connectivity (Cross *et al.* 2019). The germination
75 morphology of *Cephalotus* is also unusual, with a non-vascularised extension of the hypocotyl
76 growing back into the achene prior to any root development (Fig. 2) (Conran and Denton 1996).
77 Seedlings are rarely observed in natural populations (Cross *et al.* 2018c; Cross *et al.* 2019), and no
78 studies have to date provided empirical data relating to seed ecology or germination biology in
79 *Cephalotus*. Pietropaulo and Pietropaulo (1986) suggested anecdotally that cold stratification (2–
80 3 months) was required to break dormancy and promote germination, and according to some
81 authors germination may take up to a year (Pietropaulo and Pietropaulo 1986; Lecoufle 1990) and
82 seeds often fail to germinate in cultivation unless they are removed from the enclosing protective
83 outer layer of the achene (Lowrie 2014). However, removal of the protective outer layer is
84 apparently not a prerequisite for germination (Conran and Denton 1996). Fire-related cues such as
85 the smoke-derived karrikinolide (KAR₁) (Flematti *et al.* 2004) have been proposed as possible
86 germination triggers for *Cephalotus* (Cross *et al.* 2018b), based upon the role of these cues in
87 stimulating seed germination for other species from similar fire-prone habitats in southwest
88 Western Australia (e.g., Baker *et al.* 2005; Cross *et al.* 2013; Downes *et al.* 2013; Cross *et al.*
89 2018b). However, there appears to be little evidence for significant post-fire seedling emergence
90 in *Cephalotus* (Cross *et al.* 2019). Therefore, the reproductive ecology of this species needs to be
91 understood before effective long-term conservation measures involving population maintenance,
92 translocations, reintroductions or fire management can be developed and implemented.

93

94 This study represents the first detailed examination of seed dormancy and germination biology
95 undertaken for *Cephalotus*. As part of this study we aimed to (i) define seed dormancy type by
96 determining seed water uptake, classifying embryo morphology, determining whether embryo
97 growth occurs within the seed prior to germination, and examining the germination response of
98 freshly collected seeds; (ii) determine the dormancy break and germination responses to different
99 light and temperature cues; (iii) test the effectiveness of the naturally occurring germination
100 stimulant KAR₁; and (iv) examine the capacity of seeds to germinate following periods of
101 desiccation and storage under different temperatures to investigate the seed storage behaviour.

102

103 **Materials and methods**

104

105 *Seed collection*

106

107 The seeds of *Cephalotus* are retained inside a small hairy achene (Fig. 2A) (Cronquist 1981; Cross
108 *et al.* 2019). Mature *Cephalotus* achenes (brown and separating from each other) were collected
109 from three natural populations in the Warren region of southwest Western Australia (Table 1).
110 This region is characterised by a temperate Mediterranean coastal climate with generally cool, wet
111 winters and warm summers (Fig. 1).

112

113

113 **FIGURE 1**

114

115 Achenes were collected in mid-late January of 2005 and 2006 (AP), 2017 (GR) and 2018 (MA)
116 from ca. 25 individuals at each subpopulation, with collected achenes subsequently pooled from
117 the individual plants for each population, resulting in four discrete seed accessions. After collection
118 from AP, achenes were stored in a controlled environment room at 15 °C and 15% relative
119 humidity prior to use in experiments. Subsequent accessions were hermetically sealed in laminated
120 foil bags immediately following collection to prevent post-harvest drying as there was an observed
121 decline in germination with post-harvest drying in accessions from AP. Seeds were removed from
122 the protective layer of the achenes where required by gently rubbing achenes between rubber mats,
123 and the seeds visually inspected to ensure the testa showed no indication of damage from the

124 extraction process. Germination and storage experiments were implemented and conducted, where
125 possible, within four weeks of seed collection.

126

127 **TABLE 1**

128 *Achene and seed characteristics*

129

130 Achene, seed and embryo characteristics were determined for seeds from GR and MA, with the
131 larger collections from these populations allowing for the examination of some additional traits.
132 Mass was determined for 5 and 10 replicates of 100 achenes or seeds, respectively. Seed size
133 (measured digitally) and seed fill were determined for five replicates of 20 seeds via light
134 microscopy (Leica DFC495 camera with analysis in Leica application X, Leica Camera, Wetzlar,
135 Germany) and X-ray analysis (MX-20 digital X-ray cabinet, Faxitron, Tucson, USA) for all
136 populations. Seeds were scored as filled if the endosperm was fully developed, not shrunken or
137 retracted from the testa, and showed no signs of internal damage.

138

139 To assess water permeability of the testa in *Cephalotus*, three replicates of 20 filled seeds from the
140 MA accession were placed into a Petri dish lined with filter paper irrigated with deionised (DI)
141 water. Seeds were weighed at time 0 and then again at 1, 2, 4, 8, 12, 16 and 24 h imbibition after
142 being gently patted dry on paper towels before each measurement. Percentage water uptake by the
143 seeds was determined gravimetrically, based on the fresh weight of non-imbibed seeds after
144 subtracting the weight of the bags, with the percentage increase in seed mass calculated as:

145

$$146 \quad [(W_1 - W_d) / W_d] \times 100,$$

147

148 where W_1 and W_d are the mass of imbibed and dry seeds, respectively (*sensu* Turner *et al.* 2009).

149

150 *Embryo morphology and growth*

151

152 To determine whether embryo growth occurs within *Cephalotus* seeds prior to emergence of the
153 hypocotyl and, thus, if the seeds have underdeveloped embryos that grow and mature within the
154 seed prior to germination (i.e., morphological or morphophysiological dormancy) (Baskin and

155 Baskin 2014), 100 seeds were incubated for 16 weeks on water agar at 20 °C with a 12-h
156 photoperiod using the same approaches as outlined below for the germination trials. After this time
157 seeds were assessed individually under a binocular microscope and 20 were selected which had
158 signs of testa cracking and swelling (but no sign of radicle emergence or hypocotyl extension –
159 Fig. 2E_{II}) which was previously noted as a precursor to imminent germination. Each of these seeds
160 was measured longitudinally under a dissecting microscope equipped with an ocular micrometre
161 and then dissected to remove the intact embryo, which was also measured along the same axis.
162 Data were used to determine the embryo to seed length (E:S) ratio for each of the 20 seeds. Fresh
163 seeds were also measured using the same approach after 24 hours hydration at 20 °C under the
164 same conditions; however, seeds were not assessed for cracking or swelling prior to measurement
165 or embryo extraction. The measurements from these latter seeds and embryos were used for
166 comparative purposes.

167

168 *Germination biology*

169

170 To assess the germination response to temperature, light, and germination stimulants, achenes and
171 seeds of each collection were plated in 90mm Petri dishes containing 0.7% (w/v) water agar only
172 (control) or water agar containing 2.89 mM gibberellic acid (GA₃; Sigma Aldrich Chemicals,
173 Australia), or 0.67 μM (AP1 and AP2) or 0.99 μM (GR and MA) KAR₁. Achenes and seeds were
174 plated as three replicates of 15 (AP) or five replicates of 25 (GR and MA) for each treatment.
175 Achenes are generally not produced in large quantities by *Cephalotus*, as subpopulations are small
176 and individual plants rarely produce more than a few inflorescences (Cross *et al.* 2019), so
177 replication was constrained by seed availability among collections. Plated achenes and seeds were
178 placed in incubators at each of four constant temperatures: 10, 15, 20 or 25 °C, either on a 12-h
179 photoperiod, or in constant darkness (plated in darkness and wrapped in aluminum foil to exclude
180 light) at 15 °C for AP1 only.

181

182 Germination was defined as hypocotyl emergence to >1 mm, given that a non-vascularised
183 extension of the hypocotyl emerges prior to radicle growth (Conran and Denton, 1996) (Figure
184 2E_V). Germination was scored weekly for 16 weeks in light treatments, but once only after 16
185 weeks in dark treatments. On completion of each experiment, all non-germinated seeds were cut-

186 tested to determine viability, with seeds possessing a firm, white endosperm and embryo judged
187 to be viable. Germination percentages are, therefore, based on the number of viable seeds.

188

189 *Seed storage behaviour*

190

191 To investigate the sensitivity of seeds to desiccation and to determine seed storage behaviour,
192 freshly collected seeds (AP1) were dried at either 20% or 50% relative humidity (RH) at 15 °C for
193 seven days. Seeds were dried to 20% RH as per international standards for gene banking of
194 orthodox seeds (FAO 2014), and drying seeds to 50% RH allowed for examination of the potential
195 for non-orthodox storage behaviour (i.e. desiccation sensitivity) (Hong and Ellis 1996). Following
196 drying replicates of 15 seeds were plated on water agar as described previously. Seeds were then
197 incubated in constant darkness at 10, 15, 20 and 25 °C. Additional replicates of freshly collected
198 seeds were dried by suspending over a non-saturated solution of lithium chloride (640 g L^{-1} or 364
199 g L^{-1} to achieve 20% and 50% RH, respectively) (Hay *et al.* 2008) at 20 °C, inside a $270 \times 190 \times$
200 100 mm polycarbonate electrical enclosure box (NHP Fibox, Perth, WA, Australia) prior to being
201 plated on water agar as described previously. Additional replicates of seeds dried under the same
202 conditions were sealed hermetically in laminated aluminum foil bags and stored at either 23, -18,
203 or -80 °C for 12 months. The bags were then retrieved and warmed at ambient temperature (~23
204 °C) for 24 hours, after which replicates of 15 seeds were prepared for germination testing as
205 described previously and incubated in constant darkness at 15 °C. Germination was scored weekly
206 after 4 weeks and the experiment concluded after 64 days. Additionally, seed viability of non-
207 germinating seeds was tested using tetrazolium (TZ) staining. Seeds were nicked gently to allow
208 the TZ to be absorbed into the seed, placed on filter paper saturated with 1% TZ (2,3,5-Triphenyl-
209 2*H*-tetrazolium chloride) solution and incubated for three days at 30 °C, before the embryos were
210 extracted and analysed for staining under a binocular microscope (*sensu* Moore 1985). Embryos
211 that stained a uniform red were considered viable.

212

213

214 *Statistical analysis*

215

216 A binomial generalized linear mixed model was fitted to assess the main and interaction effects of
217 light, temperature, GA₃ and KAR₁ on the outcome of seed germination success (SPSS Statistics
218 25, IBM, USA). Population and collection date were included as random effects. One-way
219 ANOVA with Tukey post hoc tests were used to test the effect of incubation duration on E:S ratio
220 for the embryo growth experiment. Preliminary analyses of all data were conducted to test the
221 assumptions of normality (Kolmogorov–Smirnov test), linearity and homoscedasticity (Levene’s
222 test), and consequently no data transformations were required. All statistical tests were conducted
223 using the 95% confidence interval (CI), with significance determined by $P \leq 0.05$.

224

225 **Results**

226

227 *Achene and seed characteristics*

228

229 The diaspore in *Cephalotus follicularis* is a single, hairy achene with an expanded base (Fig. 2A)
230 that is very light (achene mass 0.03 ± 0.003 mg). The single, permanently enclosed seed is spindle-
231 shaped (Fig. 2B), small (1.0 ± 0.07 mm long by 0.5 ± 0.04 mm wide) and lightweight (mean seed
232 mass 0.01 ± 0.001 mg) accounting for ~30% of the overall achene mass. Seed fill was high for
233 freshly collected achenes from MA and GR ($93 \pm 3.4\%$ and $91 \pm 4.1\%$, respectively). Achenes
234 were water-permeable, and seeds removed from the protective outer layer of the achene readily
235 imbibed water, becoming ovoid in shape (Fig. 2E_{II}) and increasing in mass by $56 \pm 3.9\%$ after 24
236 hours, although most of the mass increase occurred within the first 2 hours of exposure to moisture.

237

238 *Embryo morphology and growth*

239

240 The embryo is embedded in copious granulose endosperm and is small, linear, and
241 underdeveloped, but still possesses distinctive cotyledons (Fig. 2C). Embryo length in freshly
242 collected, recently mature seeds from MA was 404 ± 54.5 μm (E: S = 0.4 ± 0.06), which increased
243 to 772 ± 113.5 μm (E: S = 0.6 ± 0.08 ; F = 113.40, d.f. = 1, P <0.001) after 16 weeks of incubation
244 at 20 °C (Fig. 5), just prior to radicle emergence (Fig. 2E_{II}). Thus, embryo length increased by
245 >90% in seeds prior to germination (F = 170.56, d.f. = 1, P <0.001).

246

247 *Seed germination*

248

249 Germination is phanerocotylar, with emergence of the cotyledons (and seed coat remnant) from
250 the persistent achene (Fig. 2D_I–D_{IV}) occurring after ca. 105 days on average (range 101–113).
251 Germination was slow in all incubation temperatures, with observation of extracted seeds
252 indicating that the first stage of germination, the emergence and expansion of the non-vascularised
253 parenchymatous and fusiform hypocotyl extension from the seeds (Fig. 2E_{III}–E_V), occurred after
254 ca. 90 days (range 59–120 days). This was followed by the emergence of the cotyledons (Fig. 2E_{VI})
255 and then the radicle (Fig. 2E_{VII}–E_{VIII}). The fully-expanded cotyledons are obovate, spreading,
256 glabrous, ca. 2 × 0.8–1.0 mm, and the first true leaves are alternate and non-carnivorous, followed
257 shortly by the first carnivorous leaves (Fig. 2D_{IV}).

258

259

FIGURE 2

260

261 The main effect of temperature on germination was highly significant (Wald $\chi^2 = 387.5$, d.f. = 3,
262 $P < 0.001$). Average germination probability ranged from ca. 0.2–0.8, with significantly higher
263 germination in seeds treated with gibberellic acid and incubated at 15 °C (Fig. 3). No germination
264 occurred at 5 °C in any treatment, and few germinants were observed from extracted seeds or
265 achenes incubated at 25 °C. Although no main effect of population on germination probability was
266 evident (Wald $\chi^2 = 1.2$, d.f. = 3, $P = 0.249$), germination probabilities were higher for seeds of
267 AP1 (Fig. S1) compared with other collections across all temperatures tested (Wald $\chi^2 = 35.6$, d.f.
268 = 2, $P < 0.001$). This was driven predominantly by higher germination probability for AP1 in
269 control and KAR₁ treatments compared with seeds from other tested populations (Fig. S1).

270

271

FIGURE 3

272

273 No main effect of germination stimulation treatment was evident and germination probability was
274 not improved markedly by exposure to either GA₃ or KAR₁ (Fig. 3). However, there was a
275 significant interaction between treatment and the removal of the protective outer layer of the
276 achene on germination probability (Wald $\chi^2 = 7.7$, d.f. = 2, $P = 0.021$), with the germination

277 probability (across all temperatures) of seeds retained in achenes incubated on water agar
278 containing KAR₁ significantly reduced compared to other treatments (Fig. 3).

279

280 Seeds removed from achenes collected from AP1 and GR did not require light to germinate at 15
281 °C, nor was germination probability light-suppressed. Germination probability did not vary
282 significantly within collections between seeds incubated on a 12-h photoperiod and seeds
283 incubated in constant darkness and the main effect of light on germination probability was not
284 significant (Wald $\chi^2 = 1.3$, d.f. = 1, $P = 0.258$).

285

286 **FIGURE 4**

287

288 *Seed storage behaviour*

289

290 Drying of seeds of AP1 to 50% and 20% RH for seven days markedly reduced germination
291 probability on both water agar and water agar containing GA₃, compared with freshly collected
292 seeds (Fig. 4). Highly significant interaction effects were present between drying treatment and
293 germination stimulation treatment (Wald $\chi^2 = 38.2$, d.f. = 2, $P < 0.001$), and between drying
294 treatment and incubation temperature (Wald $\chi^2 = 33.9$, d.f. = 6, $P < 0.001$). Overall, drying reduced
295 germination probability on water agar compared with fresh seeds at both at 20% RH and 50% RH
296 ($B = -2.35$, $P < 0.001$ and $B = -1.55$, $P = 0.004$, respectively), although this reduction was less
297 pronounced for seeds incubated on water agar containing GA₃ after drying at 50% RH (Fig. 4).
298 The amplitude of reduced germination probability for seeds dried at 50% and 20% RH compared
299 with freshly collected seeds was greatest for seeds incubated at 15 °C ($B = 0.64$, $P = 0.261$ versus
300 $B = 1.76$, $P = 0.001$) and 20 °C ($B = 0.69$, $P = 0.226$ versus $B = 1.52$, $P = 0.004$).

301

302 **FIGURE 5**

303

304 Seeds stored for 12 months at 23 °C failed to germinate (Fig. 4). Supplementary TZ staining on
305 these seeds indicated that relatively few survived the storage period, as only 40% and 15% of
306 embryos stained for seeds after drying at 50% and 20% RH prior to storage, respectively. In
307 contrast, around 20–40% of seeds germinated on water agar after storage for 12 months at -18 and

308 -80 °C, and germination probability was not reduced significantly compared with seeds incubated
309 on water agar alone after equilibration for 7 days at 50% and 20% RH prior to storage (Fig. 4). No
310 significant main effects for equilibration RH (Wald $\chi^2 = 0.69$, d.f. = 1, $P = 0.405$) or sub-zero
311 storage temperature (Wald $\chi^2 = 0.20$, d.f. = 2, $P = 0.903$) on germination probability were evident,
312 nor was there a significant interaction effect (Wald $\chi^2 = 0.45$, d.f. = 2, $P = 0.797$).

313

314 **Discussion**

315

316 This study provides the first empirical examination of seed dormancy type and the requirements
317 for germination in the narrow range endemic and Gondwanan relict *Cephalotus follicularis*. Our
318 data suggest that the seeds of *Cephalotus* possess morphophysiological dormancy (MPD) and that
319 germination occurs within a relatively narrow thermal range (15–20 °C) after a long period (8–16
320 weeks) of post-dispersal embryo maturation and growth (Fig. 3) and that these processes are
321 restricted by the presence of the protective outer layer of the achene (Fig. 3). Freshly collected
322 seeds exhibit a degree of sensitivity to desiccation, with germinability and viability declining
323 modestly after drying for 7 days at both 50% and 20% RH (Fig. 4). Seeds exhibited no response
324 to the smoke-derived germination stimulant KAR₁, suggesting that fire does not play a direct role
325 in the recruitment of the species from seed.

326

327 It has been previously concluded that seed dormancy within Cephalotaceae was likely to be
328 physiological (PD), based in part upon the lack of under-developed embryos in Poales and their
329 under-representation within Lamiales and Oxalidales (Baskin and Baskin 2014). Germination in
330 this present study was preceded by a period of post-dispersal embryo maturation, with significant
331 embryo growth (i.e., a ca. 2-fold increase in embryo length prior to germination) observed after 8–
332 16 weeks of incubation. This indicates that the linear embryo of *Cephalotus* is underdeveloped at
333 seed maturity (<40 % of seed length). Underdeveloped embryos can also occur in seeds with
334 morphological dormancy (MD), but the sporadic positive response to GA₃ (Figs. 3, S1), the narrow
335 thermal germination window (Fig. 3) and the fact that germination success remained low (<50%)
336 for some populations even under optimal conditions (Fig. S1), suggests an additional physiological
337 component to dormancy (Baskin and Baskin 2004; 2014). The evidence of embryo growth from
338 the present study therefore suggests that the classification of Cephalotaceae seeds as possessing

339 PD is incomplete and that the seeds of *Cephalotus* instead show morphophysiological dormancy
340 (MPD), with temperature signals required to increase embryo growth potential as a precursor to
341 embryo maturation and germination. If this is correct, then either dry after-ripening (Turner *et al.*
342 2009) or warm/cold stratification (Merritt *et al.* 2007b) may improve and enhance the germination
343 of *Cephalotus* seeds.

344

345 Our germination data imply that the recruitment of *Cephalotus* under field conditions is likely to
346 occur only within a narrow range of thermal and moisture conditions during lengthy periods of
347 seasonal environmental stability, although after-ripening or stratification may further widen the
348 hydrothermal range for germination. Achenes mature and disperse in late February or March (late
349 summer to early autumn) (Cross *et al.* 2019), when daily temperature minima and maxima are
350 generally between 10–15 °C and 20–23 °C, respectively (Fig. 1). The achene likely enhances
351 dispersal of seeds and precludes germination, narrowing the germination window until it is
352 sufficiently eroded. Temperatures over the following 3–4 months of autumn and early winter
353 appear conducive to stimulating embryo maturation and subsequent germination, as daily
354 minimum temperatures are generally 10–15 °C and the daily maximum rarely exceeds 20 °C.
355 Critically, rainfall during this period is usually predictable and moisture levels in the mesic habitats
356 inhabited by *Cephalotus* are likely to remain high enough for an extended period to support gradual
357 germination and seedling establishment. Indeed, *Cephalotus* is known to occupy a highly specific
358 hydrological niche within occupied habitats and rarely occurs outside of drainage depressions
359 below the seepage zone where the substrate remains moist even in summer (Sandiford and Barrett
360 2010; Cross *et al.* 2019). Given the long period of embryo growth required under optimal thermal
361 and moisture conditions before germination can occur in *Cephalotus*, the dispersal of seeds into
362 less consistently mesic habitats outside this very narrow niche is unlikely to result in successful
363 recruitment. This may, at least in part, explain why the species typically exhibits such highly
364 localised distribution patterns (Conran 2004; Lowrie 2014; Cross *et al.* 2018c; 2019), why there is
365 little evidence of successful long-distance dispersal or genetic connectivity between isolated
366 populations (N. Kalfas unpubl. obs., in Cross *et al.* 2019), and why recruitment in natural
367 populations is observed so infrequently (Cross *et al.* 2018c; 2019).

368

369 Although the germination success of seeds from all collections on water agar was highest at 15 °C
370 (Fig. S1), the data showed a degree of both inter-population and inter-seasonal variability in
371 maximum germination success (0.2–0.8). Although neither population nor collection year were
372 significant factors influencing germination success in our analyses, this variability may indicate
373 that seed dormancy depth in *Cephalotus* is influenced by fine-scale or seasonal variability in the
374 moisture and temperature conditions of the maternal environment as has been observed for other
375 species exhibiting a physiological component to seed dormancy (e.g., Long *et al.* 2014; Cochrane
376 *et al.* 2015; Cross *et al.* 2018a). Seeds that failed to germinate following incubation for 16 weeks
377 on water agar largely remained viable, suggesting that a variable fraction of each collection was
378 more deeply dormant at maturity. Additionally, although both the viability and germinability of
379 seeds (of the AP1 population) declined following drying for seven days at either 50% or 20% RH,
380 ca. 30% of the dried seeds germinated readily when incubated at 20 °C on water agar after 12
381 months in storage at -18 or -80 °C (Fig. 4). The decline in viability upon drying initially observed
382 may reflect variability in the maturity within the seed populations upon collection, and a greater
383 sensitivity to drying in less mature seeds with higher seed moisture content. Nevertheless,
384 mortality was high, and no germination was observed in seeds stored at 23 °C for 12 months. This
385 suggests the seeds of *Cephalotus* may possess only limited capacity for persistence through warm,
386 dry seasonal periods or following dispersal into less mesic habitats. The efficacy of a soil seed
387 bank in conferring population-level resilience to stochastic environmental processes such as
388 drought or fire would depend greatly upon factors such as the capacity for seedling emergence and
389 resilience to the heat from fire at different burial depths (e.g., Auld and Bradstock 1996; Auld and
390 Denham 2006; Cross *et al.* 2017); the persistence of the achene and its potential role as a seed-
391 protecting structure under field conditions (e.g., Sheldon 1974; Joley *et al.* 2003; Erickson *et al.*
392 2016); the spatial and temporal patterns of seedling emergence in response to recruitment-
393 stimulating events such as flooding (e.g., Carta *et al.* 2013; Cross *et al.* 2014; 2015; Metzner *et al.*
394 2017); and the longevity of seeds in the soil seed bank under field conditions (Bekker *et al.* 1998;
395 Long *et al.* 2014). These should be priority areas for future research focus.

396
397 We observed no significant germination response of *Cephalotus* seeds to the smoke derived
398 chemical KAR₁. A germination response to KAR₁ is typically observed for many species from
399 fire-prone habitats for which fire plays a part in recruitment from seed (Flematti *et al.* 2004; Merritt

400 *et al.* 2007a; Baskin and Baskin 2014), including numerous other carnivorous plants that occur
401 sympatrically with, or grow in similarly mesic habitats to *Cephalotus* (Cross *et al.* 2013; Cross *et*
402 *al.* 2018b). Although *Cephalotus* appears to be resilient to fire, producing vigorous vegetative
403 regeneration from the subterranean rhizome following summer wildfires (Bradshaw *et al.* 2018;
404 Cross *et al.* 2019), it appears unlikely that fire plays a direct role in the stimulation of seed
405 germination. However, it is plausible that *Cephalotus* possesses an alternative fire-responsive
406 reproductive strategy. It has been hypothesised that insect prey may be present at greater-than-
407 average abundance following fire events (e.g., Cross *et al.* 2017) and the rapid production of insect-
408 trapping pitchers and inflorescences observed for *Cephalotus* following fire (Conran 2004; Lowrie
409 2014; Cross *et al.* 2019) may represent a strategy to exploit prey availability to meet the high
410 energetic demand of regeneration. Enhanced flowering following fire has been previously reported
411 for species from genera such as *Xanthorrhoea* Sol. ex Sm. (Asphodelaceae), *Haemodorum* Sm.
412 (Haemodoraceae), and *Pyrorchis* D.L.Jones & M.A.Clem. (Orchidaceae) in southwestern
413 Australia (e.g., Lamont and Downes 2011; Miller and Dixon 2014). The late autumn achene
414 release by *Cephalotus*, coming after the natural timing of mainly summer wildfires (Sandiford and
415 Barrett 2010; Bradshaw *et al.* 2018), may therefore help to improve the chances of seed dispersal
416 into environments characterised by low competition and high resource availability.

417
418 Alteration to natural hydrological regimes has been implicated directly as a causal factor in the
419 decline of multiple *Cephalotus* populations (Lowrie 2014; Clarke *et al.* 2018; Cross *et al.* 2018c;
420 Cross *et al.* 2019) and climate studies predict that in the near future the southwest of Australia will
421 experience an increasingly warmer climate with markedly reduced rainfall and runoff, as well as
422 higher rates of evaporation (e.g., Silberstein *et al.* 2012). Under such predicted future climate and
423 land use scenarios for the Southwest region, the recruitment of *Cephalotus* from seed may become
424 increasingly episodic and unpredictable and, in the long-term, may be largely or completely
425 compromised. Previously the scarcity of information pertaining to the recruitment and
426 reproduction of *Cephalotus* has limited the knowledge supporting land use decisions, for example
427 regarding fire management in its conservation (Cross *et al.* 2019). Accordingly, we undertook this
428 assessment of the species' germination biology to help inform future conservation and
429 management initiatives. With recent work indicating that *Cephalotus* is becoming increasingly
430 impacted by ongoing habitat loss, alteration to natural fire regimes and hydrological changes from

431 modified land uses (Jennings and Rohr 2011; Lowrie 2014; Clarke *et al.* 2018; Cross *et al.* 2019),
432 there is a clear and urgent need for scientifically-guided conservation actions to safeguard the
433 future of this iconic and distinctive species.

434
435 In the face of predicted ongoing declines in the extent, connectivity and quality of suitable
436 *Cephalotus* habitat (Cross *et al.* 2019), we propose that careful fire and hydrological management
437 of habitat remnants will be instrumental to conserving and protecting this ancient and
438 evolutionarily isolated plant lineage. Future studies are needed to examine how far, frequently and
439 successfully the seeds of *Cephalotus* are dispersed, as well as to determine the capacity for, and
440 resilience of, any *in situ* seed bank through seed burial and retrieval experiments. There is also an
441 urgent need to quantify the success and dynamics of seed regeneration under field conditions, over
442 spatial and temporal scales and in response to environmental perturbation in the form of fire and
443 low-rainfall periods. This will help determine to what extent *Cephalotus* is reliant upon recurrent
444 or episodic recruitment from seeds; in the absence of this information, land managers are likely to
445 be poorly equipped to develop meaningful long-term conservation strategies for this species.

446

447 **Acknowledgements**

448

449 The authors thank Lyn Alcock, Maggie Whittle, June Scott, Richard Nieuwhof and Allan Dressler
450 for assistance with fieldwork and seed collections, and Sophie Cross for assistance with figure
451 preparation. This research was supported by the Australian Government through the Australian
452 Research Council Industrial Transformation Training Centre for Mine Site Restoration (Project
453 Number ICI150100041). The views expressed herein are those of the authors and are not
454 necessarily those of the Australian Government or Australian Research Council.

455

456 **Conflicts of interest**

457

458 The authors declare no conflicts of interest.

459

460 **References**

461

462 Auld TD, Bradstock RA (1996) Soil temperatures after the passage of a fire: do they influence the
463 germination of buried seeds? *Australian Journal of Ecology* **21**, 106–109.

464

465 Auld TD, Denham AJ (2006) How much seed remains in the soil after a fire? *Plant Ecology* **187**,
466 15–24.

467

468 Baker KS, Steadman KJ, Plummer JA, Merritt DJ, Dixon KW (2005) Dormancy release in
469 Australian fire ephemeral seeds during burial increases germination response to smoke water or
470 heat. *Seed Science Research* **15**, 339–348.

471

472 Baskin CC, Baskin JM (2004) A classification system for seed dormancy. *Seed Science Research*
473 **14**, 1-16.

474

475 Baskin CC, Baskin JM (2014) ‘Seeds: Ecology, Biogeography, and Evolution of Dormancy and
476 Germination.’ (Academic Press: San Diego).

477

478 Bekker RM, Bakker JP, Grandin U, Kalamees R, Milberg P, Poschlod P, Thompson K, Willems
479 JH (1998) Seed size, shape and vertical distribution in the soil: indicators of seed longevity.
480 *Functional Ecology* **12**, 834–842.

481

482 Bradshaw SD, Dixon KW, Lambers H, Cross AT, Bailey J, Hopper SD (2018) Understanding the
483 long-term impact of prescribed burning in mediterranean-climate biodiversity hotspots, with a
484 focus on south-western Australia. *International Journal of Wildland Fire* **27**, 643–657.

485

486 Carta A, Bedini G, Müller JV, Probert RJ (2013) Comparative seed dormancy and germination of
487 eight annual species of ephemeral wetland vegetation in a Mediterranean climate. *Plant Ecology*
488 **214**, 339–349.

489

490 Clarke C, Cross AT, Rice B (2018) Conservation of carnivorous plants. In ‘Carnivorous Plants:
491 Physiology, Ecology and Evolution, (Eds L Adamec and A Ellison). (Oxford University Press:
492 London).

493

494 Cochrane A, Yates CJ, Hoyle GL, Nicotra AB (2015) Will among-population variation in seed
495 traits improve the chance of species persistence under climate change? *Global Ecology and*
496 *Biogeography* **24**, 12–24.

497

498 Conran JG (2004) Cephalotaceae. In ‘The families and genera of vascular plants. Volume 6.
499 Flowering plants. Dicotyledons: Celastrales, Oxalidales, Rosales, Cornales, Ericales.’ (Ed. K
500 Kubitzki) pp. 65–68. (Springer Verlag: Berlin).

501

502 Conran JG, Denton MD (1996) Germination in the Western Australian Pitcher Plant *Cephalotus*
503 *follicularis* and its unusual early seedling development. *Western Australian Naturalist* **21**, 37–42.

504

505 Cronquist A (1981) ‘An Integrated System of Classification of Flowering Plants.’ (Columbia
506 University Press: New York).

507

508 Cross AT, Barrett MD, Turner SR, Dixon KW, Merritt DJ (2018a) Seed-dormancy depth is
509 partitioned more strongly among habitats than among species in tropical ephemerals. *Australian*
510 *Journal of Botany* **66**, 230–242.

511

512 Cross AT, Cawthray GR, Merritt DJ, Turner SR, Renton M, Dixon KW (2014) Biogenic ethylene
513 promotes seedling emergence from the sediment seed bank in an ephemeral tropical rock pool
514 habitat. *Plant and Soil* **380**, 73–87.

515

516 Cross AT, Davis AR, Fleischmann A, Horner JD, Jürgens A, Merritt DJ, Murza GL, Turner SR
517 (2018b) Reproductive biology and prey-pollinator conflicts. In ‘Carnivorous plants: physiology,
518 ecology and evolution.’ (Eds L Adamec and A Ellison). (Oxford University Press: London).

519

520 Cross AT, Kalfas N, Nunn R, Conran JG (2019) ‘*Cephalotus*, the Albany Pitcher Plant.’ (Redfern
521 Natural History Productions: Poole).

522

523 Cross AT, Merritt DJ, Turner S, Dixon KW (2013) Seed germination of the carnivorous plant
524 *Byblis gigantea* (Byblidaceae) is cued by warm stratification and karrikinolide. *Botanical Journal*
525 *of the Linnean Society* **173**, 143–152.

526

527 Cross AT, Paniw M, Ojeda F, Turner SR, Dixon KW, Merritt DJ (2017) Defining the role of fire
528 in alleviating seed dormancy in a rare Mediterranean endemic subshrub. *AoB Plants* **9**, plx036.

529

530 Cross AT, Paniw M, Scatigna AV, Kalfas N, Anderson B, Givnish TJ, Fleischmann A (2018c)
531 Systematics and evolution of small carnivorous genera. In ‘Carnivorous Plants: Physiology,
532 Ecology and Evolution, (Eds L Adamec and A Ellison).’ (Oxford University Press: London).

533

534 Cross AT, Turner SR, Renton M, Baskin JM, Dixon KW, Merritt DJ (2015) Seed dormancy and
535 persistent sediment seed banks of ephemeral freshwater rock pools in the Australian monsoon
536 tropics. *Annals of Botany* **115**, 847–859.

537

538 Downes KS, Light ME, Posta M, Kohout L, Van Staden J (2013) Comparison of germination
539 responses of *Anigozanthos flavidus* (Haemodoraceae), *Gyrostemon racemiger* and *Gyrostemon*
540 *ramulosus* (Gyrostemonaceae) to smoke-water and the smoke-derived compounds karrikinolide
541 (KAR1) and glyceronitrile. *Annals of Botany* **111**, 489–497.

542

543 Erickson TE, Shackelford N, Dixon KW, Turner SR, Merritt DJ (2016) Overcoming physiological
544 dormancy in seeds of *Triodia* (Poaceae) to improve restoration in the arid zone. *Restoration*
545 *Ecology* **24**, S64–S76.

546

547 FAO. 2014. *Genebank Standards for Plant Genetic Resources for Food and Agriculture*. Rev. ed.
548 Rome.

549

550 Flematti GR, Ghisalberti EL, Dixon KW, Trengove RD (2004) A compound from smoke that
551 promotes seed germination. *Science* **305**, 977.

552

553 Hay FR, Adams J, Manger K, Probert R (2008) The use of non-saturated lithium chloride solutions
554 for experimental control of seed water content. *Seed Science and Technology* **36**, 737–746.

555

556 Heibl C, Renner SS (2012) Distribution models and a dated phylogeny for Chilean *Oxalis* species
557 reveal occupation of new habitats by different lineages, not rapid adaptive radiation. *Systematic*
558 *Biology* **61**, 823–834.

559

560 Hong, T. D., and R. H. Ellis. 1996. *A protocol to determine seed storage behaviour*. International
561 Plant Genetic Resources Institute, Rome, Italy.

562

563 Jennings DE, Rohr JR (2011) A review of the conservation threats to carnivorous plants.
564 *Biological Conservation* **144**, 1356 - 1363.

565

566 Joley DB, Maddox DM, Schoenig SE, Mackey BE (2003) Parameters affecting germinability and
567 seed bank dynamics in dimorphic follicles of *Centaurea solstitialis* in California. *Canadian*
568 *Journal of Botany* **81**, 993–1007.

569

570 Lamont BB, Downes KS (2011) Fire-stimulated flowering among resprouters and geophytes in
571 Australia and South Africa. *Plant Ecology* **212**, 2111–2125.

572

573 Lecoufle M (1990) ‘Carnivorous Plants Care and Cultivation.’ (Cassell Publishers Limited:
574 London).

575

576 Liyanage GS, Ooi MK (2015) Intra-population level variation in thresholds for physical dormancy-
577 breaking temperature. *Annals of Botany* **116**, 123–131.

578

579 Long RL, Gorecki MJ, Renton M, Scott JK, Colville L, Goggin DE, Commander LE, Westcott
580 DA, Cherry H, Finch-Savage WE (2015) The ecophysiology of seed persistence: a mechanistic
581 view of the journey to germination or demise. *Biological Reviews* **90(1)**, 31–59.

582

583 Lowrie A (2014) ‘Carnivorous Plants of Australia – Magnum Opus.’ (Redfern Natural History
584 Productions: Poole).

585

586 Merritt DJ, Kristiansen M, Flematti GR, Turner SR, Ghisalberti EL, Trengove RD, Dixon KW
587 (2007a) Effects of a butenolide present in smoke on light-mediated germination of Australian
588 Asteraceae. *Seed Science Research* **16**, 29–35.

589

590 Merritt DJ, Turner SR, Clarke S, Dixon KW (2007b) Seed dormancy and germination stimulation
591 syndromes for Australian temperate species. *Australian Journal of Botany* **55**, 336–344.

592

593 Metzner K, Gachet S, Rocarpin P, Saatkamp A (2017) Seed bank, seed size and dispersal in
594 moisture gradients of temporary pools in Southern France. *Basic and Applied Ecology* **21**, 13–22.

595

596 Miller BP, Dixon KW (2014) Plants and fire in kwongan vegetation. In 'Plant Life on the
597 Sandplains in Southwest Australia: a Global Biodiversity Hotspot.' (Ed. H Lambers) pp. 147–169.
598 (UWA Press: Perth).

599
600 Moore RP (1985) 'Handbook on Tetrazolium Testing.' (International Seed Testing Association:
601 Zurich).

602
603 Pietropaulo J, Pietropaulo P (1986) 'Carnivorous Plants of the World.' (Timber Press: Portland).

604
605 Sandiford EM, Barrett S (2010) Albany Regional Vegetation Survey: extent, type and status.
606 Department of Environment and Conservation, Albany.

607
608 Sheldon JC (1974) The behaviour of seeds in soil: III. The influence of seed morphology and the
609 behaviour of seedlings on the establishment of plants from surface-lying seeds. *The Journal of*
610 *Ecology*, 47–66.

611
612 Silberstein RP, Aryal SK, *et al.* (2012) Climate change and runoff in south-western Australia.
613 *Journal of Hydrology* **475**, 441–455.

614
615 Turner SR, Commander LE, Baskin JM, Baskin CC, Dixon KW (2009) Germination behaviour of
616 *Astroloma xerophyllum* (Ericaceae), a species with woody indehiscent endocarps. *Botanical*
617 *Journal of the Linnean Society* **160**, 299–311.

618
619 Yeates D (1992) Immature stages of the apterous fly *Badisis ambulans* McAlpine (Diptera:
620 Micropezidae). *Journal of Natural History* **26**, 417–424.

621

622 **Tables**623
624625 **Table 1.** Location information and habitat descriptions of *Cephalotus follicularis* populations in
626 the Warren region of southwestern Australia from which seeds were collected for the present study.

627

Population	ID	Collection date	Post-harvest handling	Population location	Habitat description
Ant Pool	AP1	January 2005	15 °C, 15% RH	34° 41' S	<i>Homalospermum firmum</i> / <i>Callistemon glaucus</i> peat thicket; <i>C. follicularis</i> occurring with <i>Drosera hamiltonii</i> and <i>Lycopodiella serpentina</i> in dense seepage sedgeland of <i>Leptocarpus tenax</i> , <i>Gymnoschoenus anceps</i> , <i>Evandra aristata</i> , <i>Schoenus multiglumis</i> and <i>S. efoliatus</i> growing under <i>Callistemon glaucus</i> and <i>Homalospermum firmum</i> .
	AP2	January 2006	15 °C, 15% RH	116° 30' E	
Gull Rock	GR	January 2017	Hermetically sealed	34° 59' S 117° 59' E	<i>Homalospermum firmum</i> / <i>Callistemon glaucus</i> peat thicket; <i>C. follicularis</i> occurring with <i>Lycopodiella serpentina</i> in open seepage sedgeland of <i>Leptocarpus tenax</i> , <i>Gymnoschoenus anceps</i> , <i>Evandra aristata</i> , <i>Schoenus multiglumis</i> and <i>S. efoliatus</i> with scattered <i>Callistemon glaucus</i> and <i>Homalospermum firmum</i> .
Marbelup	MA	January 2018	Hermetically sealed	34° 59' S 117° 43' E	<i>Homalospermum firmum</i> / <i>Callistemon glaucus</i> peat thicket; <i>C. follicularis</i> occurring in dense seepage sedgeland of <i>Leptocarpus tenax</i> , <i>Gymnoschoenus anceps</i> , <i>Evandra aristata</i> , <i>Schoenus multiglumis</i> and <i>S. efoliatus</i> with <i>Homalospermum firmum</i> and scattered <i>Callistemon glaucus</i> .

628

629

630

631

632

633

634

635

636

637

638

639

640

641

642 **Figure captions**

643

644 **Figure 1.** Localities in the southwest of Western Australia from which *Cephalotus follicularis*
645 seeds were collected for this study (A), and monthly minimum (bold) and maximum temperature
646 and rainfall ranges for the Albany region in which this species occurs (B).

647

648 **Figure 2.** Seed germination morphology in *Cephalotus follicularis*. The diaspore comprises an
649 achene (A) containing a single indehiscent seed (B) with a linear embryo (C). Germination is
650 phanerocotylar, with cotyledons (and seed coat remnant) emerging from the persistent achene (D_I–
651 D_{II}). The fully-expanded cotyledons are obovate (D_{II}), and the first leaves are alternate and non-
652 carnivorous (D_{IV}). Extracted seeds become slightly ovoid in shape once imbibed for 23 hours (E_I),
653 then, after several weeks incubation, they are observed to swell significantly with the papery testa
654 beginning to crack (E_{II}) several days prior to hypocotyl extension (E_{III}). Shortly thereafter a non-
655 vascularized parenchymatous and fusiform hypocotyl extension develops and emerges from the
656 seeds (Fig. 2E_{III}–E_V) before the emergence of the cotyledons (Fig. 2E_{VI}) followed lastly by the
657 radicle (Fig. 2E_{VII}–E_{VIII}). Scale bars = 1 mm.

658

659 **Figure 3.** Germination success (mean probability with exact binomial 95% confidence limits) of
660 freshly collected *Cephalotus follicularis* achenes and seeds extracted from achenes incubated on
661 0.7% (w/v) water agar (control), water agar containing GA₃ and water agar containing KAR₁ at
662 constant 5, 10, 15, 20 and 25 °C for 16 weeks with a 12-h photoperiod. Data are pooled germination
663 probabilities for all populations. Annotated lettering represents the results of conditional pairwise
664 comparison tests (contrasts within each treatment). Values followed by the same or no letters are
665 not significantly different among temperatures (at $P \leq 0.05$).

666

667 **Figure 4.** Germination success (mean probability with exact binomial 95% confidence limits) of
668 freshly collected (AP1) *Cephalotus follicularis* seeds, seeds equilibrated at 50% or 20% relative
669 humidity for seven days, and seeds equilibrated at 50% or 20% relative humidity for seven days
670 then stored for 12 months at 23, -18 or -80 °C, incubated on 0.7% (w/v) water agar and water agar
671 containing GA₃ at 15 °C for 16 weeks in constant darkness. Annotated lettering represents the

672 results of conditional pairwise comparison tests (contrasts within each treatment). Values followed
673 by the same or no letters are not significantly different for each estimate (at $P \leq 0.05$).

674

675 **Figure 5.** Distribution of seed length, embryo length and E:S ratio of fresh seeds (FS) and pre-
676 germinating seeds (PG) of *Cephalotus follicularis* from MA incubated on 0.7% (w/v) water agar
677 at 20 °C for 16 weeks on a 12-h photoperiod.

678

679