

32 with reinforced concrete and steel structures, outstanding thermal and sound insulation
33 performance, and robust structural performance in comparison to timber and other structures.
34 Conventional masonry construction comprises of clay bricks bonded together by a layer of
35 mortar or cementitious material. Because of the relatively low strength of mortar, failure in
36 conventional masonry structures always initiates in the mortar or at the bonding interfaces with
37 bricks. Conventional masonry structures normally exhibit poor structural performance
38 particularly against extreme loading conditions such as earthquake, impact and blast loads. For
39 example, Giamundo et al [1] carried out shaking tables tests on a full-scale unreinforced brick
40 masonry vault and found that interfaces between mortar and brick were the weakest part of the
41 vault where crack opening and closing occurred. In the meanwhile, many old and historical
42 constructions built with mortar and bricks show a serious loss of structural performances
43 because of chemical, physical, and mechanical degradation of the mortar layer [2, 3]. In
44 addition, the construction efficiency and structure quality are very much dependent on the
45 competency and experience of brick layers.

46 Considering the above deficiencies, the advancements of masonry structures in the past
47 decades include (but not exclusively) introducing thin-bed or mortar-less (dry-stacking)
48 construction methods to improve construction efficiency, and using interlocking bricks to
49 replace conventional plain bricks in order to improve structure mechanical performance.
50 Combining the above features, dry-stacking interlocking masonry blocks could lead to
51 significant reductions in construction time, minimization of labour cost, increase in the shear
52 resistance [4, 5] and improvement of the construction quality [6-9], which therefore have
53 attracted a lot of interests from the construction industry, and have been more and more
54 popularly used in practice.

55 Various types of interlocking bricks have been developed to improve construction
56 efficiency and quality [9-17]. Anand and Ramamurthy discussed the development of available
57 interlocking bricks [18]. It was reported that the production rate with interlocking brick could
58 be 2.5~5 times higher than conventional brick, and 60%~80% labour saving during
59 construction by employing interlocking bricks [19]. Apart from the great construction
60 efficiency and quality that can be achieved by using interlocking bricks, the requirement on
61 competence and experience of construction workers could be substantially relieved as the
62 interlocking mechanism of bricks can help to ensure alignment. Without using relatively
63 weaker mortar layer could also enhance the robustness and strength of the masonry structure.
64 These features are also found to suit particularly to most rural areas where skilled labours for

65 conventional brick construction are in shortage which leads to shabby structure quality and
66 vulnerable structures especially when facing nature disasters [20].

67 Different interlocking mechanisms were introduced over the past few years. The
68 interlocking mechanism can be provided in either horizontal, vertical or both directions. Plate-
69 like assemblies of tetrahedral or osteomorphic bricks were also proposed in recent studies [5].
70 The mechanical performances of brick with different interconnections were experimentally
71 investigated by different researchers. For example, with direct shear tests on interlocking bricks
72 comprising two shallow truncated cones, Sturm et al. [7] proved the effectiveness of shear key
73 in improving the shear resistance capacity with the damage to tenons being observed in the
74 tests. It shall be noted that most current designs of interlocking brick systems are featured with
75 small shear keys for construction easiness. Because the projection area of the shear tenon is
76 relatively small, the shear resistance between interlocking blocks is therefore not significantly
77 improved [21]. Faidra et al. [22] investigated interlocking assemblies of glass components with
78 imperfect contacts. It was found that the osteomorphic blocks showed good multifunctionality
79 and the dry-stacked glass columns could still carry a considerable amount of compressive load
80 after some of the components were broken. Recently, some researchers carried out preliminary
81 tests on interlocking connections with large tenon and mortise to improve the shear resistance,
82 damages to the tips of tenon and mortise were observed when the bricks were under low axial
83 compression; and failure mode of tenon total shear off was found when the applied axial force
84 was large [23, 24]. The influence of interlocking connection on brick axial loading capacity
85 was also studied, mainly by experimental tests [25, 26]. Some researchers found low axial
86 loading capacity of interlocking bricks because of the relatively small contact area due to joint
87 imperfection [27, 28]. Crack and failure of stacked pier with interlocking bricks initiated from
88 the mortise of the connection due to lateral expansion and stress concentration [14, 18, 29, 30].
89 Studies on the flexural bending capacity of interlocking brick are rare because without axial
90 pre-compression, no bending resistance could be provided by mortar-less interlocking bricks.
91 Some preliminary laboratory tests found that when prestress was applied, similar flexural
92 bending capacity was reported as compared to conventional brick with prestress [31]. Recent
93 studies by Zhang et al. observed stress concentration of columns made of concrete blocks with
94 shear keys under cyclic loading, which could cause concrete crushing damage and hence reduce
95 the column capacity to resist seismic loading [32-37]. There is still a lack of systematic study
96 on the mechanical properties of interlocking brick structures.

97 Mortar-less (dry-stacking) block is to lay masonry units without using any mortar layers.
98 The elimination of mortar obviously reduces material cost and enables cold weather

99 construction to proceed through the winter months with much less elaborated requirements for
100 weather protection. Dry-stacking method could also eliminate the problem of shrinkage
101 cracking in concrete masonry units, and most important of all it requires much less skilled and
102 experienced labour forces which can be a major advantage in light of the shortage of skilled
103 labours. It also increases the productivity and speed of construction. All of these advantages
104 translate into greater economy for the system while maintaining the inherent characteristics of
105 masonry construction [19, 38, 39]. Despite all those advantages, the mechanical performance
106 of dry-stacking masonry blocks is largely influenced by the geometric imperfection of the
107 blocks as a result of the contact surface imperfection [28, 40, 41]. Since clay bricks are
108 normally manufactured in kiln, the high temperature burning of extruded clay mixture process
109 leads to irregular brick surface topography and unit height difference (irregular surface
110 topology) of up to a few millimetres. For conventional brick-laying method with thick mortar
111 layer (approximately 10 mm), the effects of brick height difference and irregular surface
112 topography at the connections can be moderated by mortar. For mortar-less method, the
113 influence of brick geometric imperfection on its mechanical properties cannot be ignored.
114 Casapulla and Portioli [42] experimentally investigated the contact behaviour at the interface
115 between dry-stacked masonry blocks, and found the joint behaviour of two rough blocks
116 passing over each other was strongly dependent on surface roughness. Agaajani [41]
117 discovered that the height of manufactured blocks followed a Gauss statistical distribution,
118 which thereby caused an indubitably height variation when blocks are aligned in a wall. Jaafar
119 et al. [28] examined the height difference (± 0.25 mm) of a batch of blocks from local major
120 brick manufacturers and conducted compressive tests on dry-stacking brick prisms. It was
121 found that the difference of compressive displacement at different locations in the same plane
122 section of the prism can be up to 0.90 mm. This is mainly due to variation in the behaviour of
123 contact at the dry joints. This difference in compressive displacement could result in internal
124 shear stress which leads to reduced prism compressive strength. Despite the absolute value of
125 surface imperfection appears to be small, these imperfections on the joints could lead to stress
126 concentration in the block connections and therefore decrease the ultimate load-carrying
127 capacity of a masonry system. The contact behaviour at the interface between the dry-stacked
128 masonry blocks is also affected by micro-scale phenomena, including cohesion, contact
129 pressure and friction [21, 27, 43-46]. Bosro et al. [47] modelled the interface properties
130 between the blocks using surface to surface contact with a friction coefficient of 0.603. Ayed
131 et al. [27] used Coulomb friction criterion to describe failure of the interface between blocks
132 through the numerical model which considered the linear elastic behaviour of the material and

133 ignored the material non-linear behaviour. Zahra and Dhanasekar [44] generated a micro-scale
134 finite element model to simulate the rough surfaces of the dry-stacking interface by adjusting
135 the location of the nodal coordinate and assigning rock properties to the peaks at the bed joints.
136 Several other researchers [14, 48-51] also emphasised that the ultimate load-carrying capacity
137 obtained by a dry-stacking masonry prisms was significantly dependent on the extent of
138 imperfection at the dry interface. Some studies investigated the contact behaviour of dry-
139 stacking bricks, and examined its influence on the overall behaviour of masonry systems [17].
140 For example, Zahra et al. [52] used matrix based tactile surface sensors (MBTSS) to obtain the
141 contact area and contact pressure of the dry-stacking brick prism under compression. Rekik et
142 al. [25] employed non-contact digital image correlation (DIC) technique to ascertain both the
143 contact area and the contact pressure. Zahra et al. [52] used carbon paper image imprints to
144 trace the loading increments, and each imprint was then analysed to find out the contact surface
145 area. The contact surface of mortar-less brick system was also simplified into a two-
146 dimensional numerical model by some researchers [26, 53, 54]. However, the simplified 2D
147 model could not capture cracks occurring out of the plane. Ngapeya et al. [2] generated a 3D
148 model of dry-stacking blocks, and studied the influence of block height imperfection on the
149 axial load-carrying capacity of prism. Comparison was also made between analytical
150 approaches and their finite element analyse results. Mousavian and Casapulla [55] extended
151 the limit analysis method with a concave contact model for the interlocking interfaces to design
152 structurally feasible assemblages of interlocking blocks. These above studies demonstrated that
153 for dry-stacking masonry construction, block geometric imperfection caused by surface
154 topography and brick height variation could lead to significant mechanical performance
155 variation [56-58]. For engineering application, it is critical to properly study the influence of
156 the contact surface on the stiffness characteristics, stress concentration and failure modes of
157 the dry-stacking masonry system. Until now, there is no study yet about the influences of
158 surface unevenness of interlocking bricks on properties of masonry structures.

159 For mortar-free interlocking brick system, the interlocking blocks could move slightly if
160 the interlocking joint is not perfectly closed and tight when it is subjected to in-plane shear
161 load. This relative movement could help to improve energy dissipation of the brick system
162 under lateral loads. Because of the shear resistance from the inclined keys, these interlocking
163 blocks could exhibit better self-centring capacity. The lack of bedding mortar also removes the
164 lateral tensile stresses in masonry blocks, which initiates early splitting at a low stress level
165 when masonry is subjected to axial compression [18]. Since the interlocking mechanism of
166 mortar-less connection differs significantly from conventional mortar connection, the current

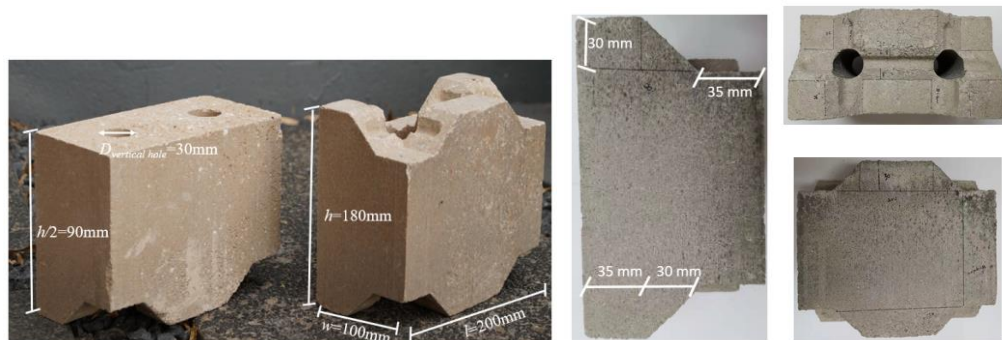
167 understandings about the mechanical behaviour of the conventional masonry structures could
168 not be simply adopted to analyse and design the masonry structures with interlocking bricks.

169 This study employs analytical derivation, experimental testing and numerical modelling
170 to study the compressive properties of mortar-less interlocking brick system. The structure of
171 this paper is as below: First, the configuration of the interlocking brick and the theoretical
172 compressive strength of a unit brick are presented. Laboratory compression test is then carried
173 out to validate the theoretical derivation, as well as further tests on interlocking prisms with
174 multiple bricks. Detailed numerical models are then built. The results including the
175 compressive load versus axial displacement curves, crack initiation and development, and
176 prism damage and failure modes, are compared between the numerical simulation and
177 laboratory test results. Parametric study is then carried out to quantify the influences of the
178 number of bricks, brick surface roughness amplitude due to brick manufacturing tolerance and
179 surface evenness, and brick material compressive strength. A modified design formula based
180 on the analytical solution, laboratory and numerical results is derived to predict the
181 compressive strength of interlocking brick prisms. Last but not the least, a semi-empirical
182 formula is proposed to predict the compressive stiffness of the interlocking brick prism.

183 2. Interlocking bricks

184 2.1 Brick configuration

185 Figure 1 illustrates the configuration of the interlocking blocks of dimension 200 mm × 180
186 mm × 100 mm (length × height × thickness). As shown, the blocks have large protruded mortise
187 and tenon of dimension 35 mm length × 30 mm height × 35 mm thickness. This is different
188 from other existing interlocking blocks that usually have small keys primarily for alignment
189 only rather than resisting shear force. The tenons are inclined, which enable the assembled
190 blocks to slide under lateral loading. The blocks are made of cement, sand and gravel through
191 high pressure moulding, therefore have concrete-like constitutive properties.



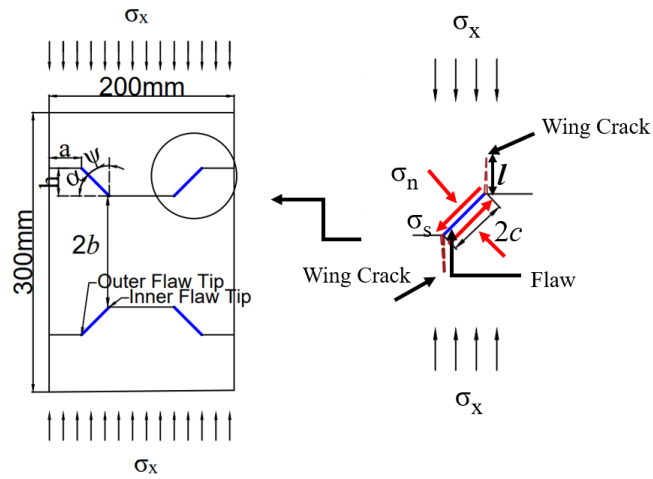
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Figure 1. Configuration of interlocking blocks

194 2.2 Theoretical compressive strength

195 With the introduction of interlocking keys to the joint, the compressive load-carrying
 196 capacity of brick prisms made of interlocking bricks could be influenced. Fracture mechanics
 197 theory is employed to analyse the compressive strength of interlocking brick prism. A one-
 198 block prism comprising of a full interlocking brick and two half bricks as shown in Figure 2 is
 199 taken as the fundamental unit for analysis here.



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Figure 2. Illustration of force analysis of a fundamental unit

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The compressive force on the prism produces vertical stress across the interlocking joint. When acting on the inclined section of the shear key ($2c$), the vertical stress can be decomposed to a normal and a shear component as shown in Figure 2, delamination could be triggered due to the normal component. Taking this delamination as a ‘pre-existing’ flaw, a shear crack could further develop at its tips, whose faces slide under shear stress $\tau = \sigma_x(\sin\alpha\cos\alpha - \tan\phi\cos^2\alpha)$, where σ_x is the compressive stress, and the expression represents the shear stress induced on the plane of the contact interface minus the frictional stress (cohesion is ignored) [5]. If the effective shear stress is high enough to endure the frictional stress along the closed inclined interlocking key, the frictional sliding will result in tensile stress concentrations at the flaw tips of the interlocking key, therefore trigger the initiation and propagation of the wing cracks that are mainly induced by a high shear stress concentration in the bridge area and coalescence [59, 60]. For the one-block prism shown in Figure 2, there are four flaws on the front elevation view of the prism. According to the hypothesis proposed by Wong and Chau [61], the ultimate strength of flawed specimens is not influenced by the total number of pre-existing flaws, but only by the geometric shape of the interlocking brick. The total stress intensity factor K_I for the growth of wing cracks can be expressed as:

$$\frac{K_I}{\sigma_x \sqrt{\pi c}} = \frac{(\sin 2\psi - \mu + \mu \cos 2\psi)}{(1 + L)^{\frac{3}{2}}} \left[0.23L + \frac{1}{\sqrt{3}(1 + L)^{\frac{1}{2}}} \right] + \left[\frac{2\varepsilon_0(L + \cos\psi)}{\pi} \right]^{\frac{1}{2}} \quad (1)$$

where ψ is the angle calculated from the σ_x -direction to the direction along the flaw surface (i.e. $\psi=90^\circ-\alpha$), $2c$ denotes the length of the pre-existing flaw, $L=l/c$ stands for the normalized length of the wing cracks (l denotes the length of the growth of wing crack), μ is the frictional coefficient along the frictional or shear flaw, and the flaw density ε_0 is measured from Nc^2/A (N is defined as the number of flaw for an unit area A). Wing cracks initiate when $K_I = K_{IC}$, where K_{IC} denotes the fracture toughness of the material of the brick [5, 62]. And hence the maximum compressive strength σ_x^{\max} of a flawed prism can be expressed as:

$$\sigma_1^{\max} = \frac{K_{IC}}{\sqrt{\pi c}} \left\{ \frac{[\sin 2\psi - \mu + \mu \cos 2\psi]}{(1 + L_{cr})^{\frac{3}{2}}} \times \left[0.23L_{cr} + \frac{1}{\sqrt{3}(1 + L_{cr})^{\frac{1}{2}}} \right] + \left[\frac{2\varepsilon_0(L_{cr} + \cos\psi)}{\pi} \right]^{\frac{1}{2}} \right\}^{-1} \quad (2)$$

where K_{IC} denotes the fracture toughness [61, 63] (0.5784 MPa \sqrt{m} for the material), $L_{cr}=l_{\max}/c$ (l_{\max} is defined as the peak possible value for the length of the coalesced wing cracks, and $2b$ means the distance between the two flaws). In this paper, the initial flaw density of the prism containing four flaws is $\varepsilon_0=0.03$ ($\varepsilon_0=Nc^2/A$ denotes that $N=4$, $A=0.2\text{m} \times 0.3\text{m}$ and $c=0.0212\text{m}$). Using Eq. (2) the compressive strength of the unit interlocking brick is calculated to be 6.43 MPa, which corresponds to 119.59 kN for this 1-block prism.

As illustrated in Eq. (2), the theoretical compressive strength for interlocking prism comprising of multiple bricks would be identical because the flaw density ε_0 is the same for one-block and multiple-block prisms. However, this may not necessarily be true because brick prisms comprising of more bricks have more interlocking joints, which could weaken the compressive load-carrying capacity. Laboratory test is conducted to validate the above theoretical derivation. Tests are extended from 1-block prism to 2-block and 4-block prisms in Section 3.

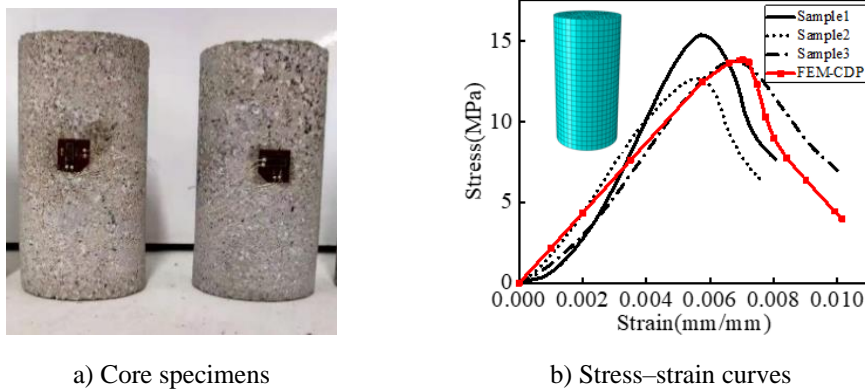
3. Experimental Investigation

Laboratory testing results are presented in this section. Firstly, the material properties for the interlocking bricks are quantified. Then, uniaxial compressive tests are carried out on 1-block interlocking brick prism to verify the above analytical solution. Tests are then extended to 2-block and 4-block prisms to further verify the accuracy and suitability of the above solution.

3.1 Material property test

To characterize the compressive properties of the brick material, uniaxial unconfined compressive tests are carried out using a SHIMADZU-50 machine. Three 50 mm diameter by

248 100 mm length specimens are core-drilled from the bricks and finely grinded on both ends (see
 249 Figure 3a). Strain gauges are glued on the specimens to measure the axial strain. Following
 250 ASTM C140 [64], unconfined uniaxial compressive test is conducted at a constant speed of
 251 0.03 mm/s using displacement control method. Figure 3b) shows the measured compressive
 252 stress-strain curves, where the stress is defined as the measured axial force divided by the
 253 specimen cross-sectional area, and the strain is recorded using the strain gauges.



a) Core specimens

b) Stress-strain curves

Figure 3. Material properties

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255 3.2 Prism test

256 Brick prisms comprising of one block, two blocks and four blocks are tested under uniaxial
 257 compression. The prisms are built by stacking the blocks on top of each other without mortar.
 258 Brick prism compressive tests are conducted with reference to EN1052-1 [65]. It is worth
 259 noting that there is no testing or design standard available yet for interlocking brick.

260 SHIMADZU-300 Universal Testing System at Curtin University is used for the
 261 compressive test. A stiff steel plate (150 mm ×300 mm ×20 mm) is used to distribute the
 262 compressive load from the loading platen to the prisms. A constant loading rate of 0.03 mm/s
 263 is applied through the loading platen to the brick prism specimens. Two laser LVDTs (linear
 264 variable differential transducers) are installed at the two sides of the brick prisms to measure
 265 the compressive displacements of the prisms during testing. The averaged values measured
 266 from two laser LVDTs are taken as the compressive displacement. The compressive load is
 267 monitored by a load cell embedded in the loading machine. A typical prism set-up is shown in
 268 Figure 4 (2-block prism). Three specimens for each type of prisms are tested.

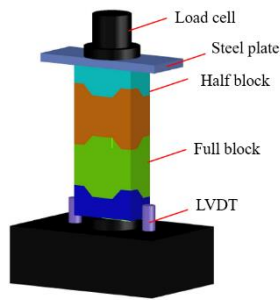
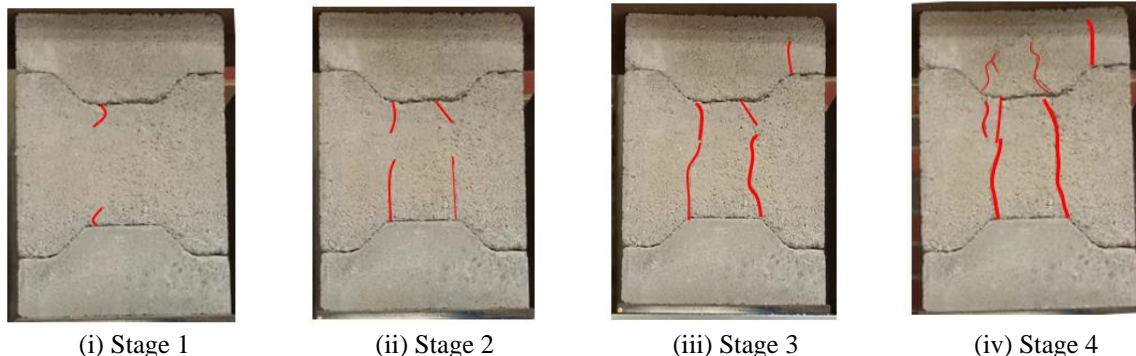


Figure 4. Compressive test setup for 2-block prism

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270 3.2.1 Failure modes

271 Figure 5 shows the typical compressive failure process of the 1-block prism. The initial
 272 crack appears at the inner flaw tip of the concaved shear keys of the central brick at
 273 approximately 80% of its peak load, which propagates downwards with the increase in
 274 compressive load. This is consistent with the theoretical assumption and formation of wing
 275 crack (Figure 2) in Section 2. The crack develops into crack coalescence till the peak load is
 276 reached. Then more cracks appear both in the central brick of the top convex interlocking key.
 277 The cracks continue to develop until the applied load is stopped.



(i) Stage 1

(ii) Stage 2

(iii) Stage 3

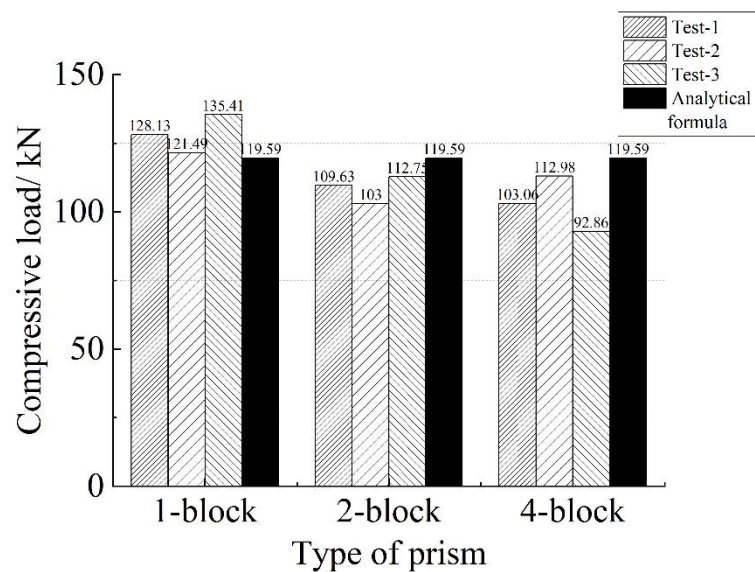
(iv) Stage 4

278 Figure 5. Typical damage-to-failure progress of 1-block prism: (i) tensile wing cracks initiate;
 279 (ii) cracks extend from key and propagate downwards; (iii) crack coalescence; (iv) more
 280 cracks occur near the contact region

281 3.2.2 Compressive load-carrying capacity

282 Figure 6 summarizes the ultimate compressive load-carrying capacities of the 1-block, 2-
 283 block and 4-block prisms. The theoretical predictions are also included for comparison. An
 284 averaged compressive capacity of 128.3 kN is measured for the 1-block prism, which is very
 285 close to the theoretical prediction of 119.59 kN. It is apparent that the compressive capacity of
 286 the interlocking brick prism decreases with the increased number of blocks, indicating the
 287 compressive strength of interlocking blocks is influenced by the number of blocks. For example,
 288 an averaged compressive capacity of the 2-block prisms is 108.5 kN, and that of the 4-block
 289 prisms is 102.9 kN. This is because the increased number of interlocking joints introduces more

290 weak sections for the prisms. The theoretical prediction is based on the analysis of 1-block
 291 prism, therefore could not take this into consideration, hence results in a +10.22% and +16.22%
 292 overestimation of loading capacities of the 2-block and 4-block prisms, respectively.
 293 Considering more bricks in the theoretical derivation is not straightforward because of more
 294 weak sections and flaws. In the subsequent sections, numerical models of prisms with different
 295 number of bricks are developed, and numerical analyses are carried out to investigate the
 296 influences of the number of bricks on the load-carrying capacities of interlocking masonry
 297 blocks.



298
 299 Figure 6. Comparison of experimental and analytical compressive loads

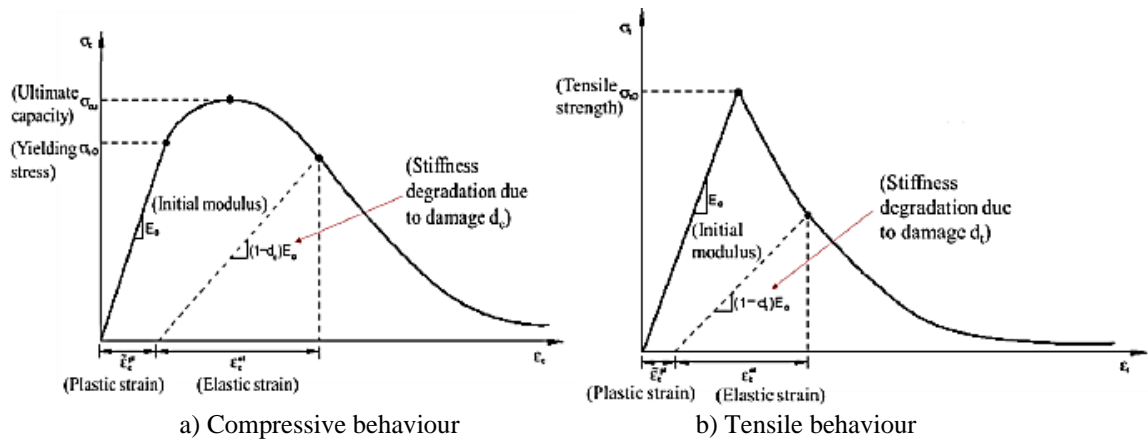
300 4. Numerical Modelling

301 To better understand the behaviour of interlocking bricks and facilitate further parametric study,
 302 detailed three-dimensional numerical models of interlocking brick prisms are generated. The
 303 models are used to simulate the laboratory tests and the results are compared with those
 304 recorded in the tests to verify the accuracy of the numerical model. The material model is firstly
 305 described, followed by the details of the numerical models of the interlocking prisms. As
 306 discussed above, contacts between interlocking bricks affect the performances of mortar-less
 307 masonry blocks made of interlocking bricks, which are not straightforward to be accurately
 308 modelled. To investigate the modelling accuracy and efficiency, three different contact
 309 methods are used and compared. The numerical results are presented and compared with the
 310 laboratory testing results.

311 4.1 Material model

312 The commercial software ABAQUS [66] is used in this study. To simulate the nonlinear
 313 behaviour and damage of the interlocking prisms, the concrete damage plasticity (CDP) model
 314 proposed by Lubliner et al. [67] to predict the behaviour of concrete and other brittle materials
 315 is used. Crushing in compression or cracks in tension from micro- to macro-levels can both be
 316 modelled. CDP model assumes that the uniaxial compressive and tensile failures of the material
 317 are characterized by damaged plasticity (see Figure 7). Material hardening and softening
 318 behaviour can also be incorporated by this model.

319 The compressive strength, tensile strength, initial Young’s modulus and the relationship
 320 between stress and strain are defined. The compressive strength of the material is obtained from
 321 the material tests presented above. The initial Young’s modulus is taken as a secant modulus
 322 and is measured from the slope at a stress extent equal to 40% of the ultimate compressive
 323 strength. Poisson’s ratio is determined at the same extent of the stress, which is obtained by the
 324 ratio of the transversal strain over the longitudinal strain. The material properties adopted for
 325 the interlocking blocks are given in Table 1, where E_0 is the initial Young’s modulus; ν is the
 326 Poisson’s ratio; and f_t is the tensile strength. The tensile strength is obtained as $f_t=0.1f_c$, which
 327 is a relation often used for concrete material [68, 69].



328 a) Compressive behaviour
 329 b) Tensile behaviour
 Figure 7. Illustration of concrete damage plasticity model [68]

329 Table 1. Brick material properties

Mass density (kg/m ³)	Elasticity		Plasticity				
	Initial Young’s modulus, E ₀ (MPa)	Poisson’s ratio ν	Dilatation angle ψ (°)	Eccentricity	Biaxial stress ratio f_{bo}/f_{co}	K	Viscosity Parameter
2565	2184.58	0.2	35	0.1	1.16	0.65	5E-5

330 Note: K is the ratio between the second stress invariant on the tensile meridian and compressive meridian at initial yield.

332 Table 2. Material constants for the CDP model in Abaqus

Compressive behaviour		Tensile behaviour	
Yield stress	Inelastic strain	Yield stress	Cracking strain

(MPa)		(MPa)	
12.74	0	1.38	0
13.78	0.0003	1.24	0.0012
13.05	0.0015	1.19	0.0014
10.81	0.0034	1.14	0.0016
8.64	0.0052	1.09	0.0018
7.10	0.0067	0.80	0.0030
1	0.0200	0.56	0.0040

333

334 To evaluate the accuracy of the model and material parameters, uniaxial compression tests
335 on the 50 mm length by 100 mm diameter core specimen is numerically modelled. As shown
336 in Figure 3b), the column is meshed with solid element of size 4 mm × 4 mm × 4 mm in
337 longitudinal, transverse and thickness direction respectively, and the material parameters are
338 presented in Table 2. As shown in Figure 3b, the stress-strain curve from the numerical results
339 (as highlighted in the red curve – FEM-CDP) agrees reasonably well with the laboratory testing
340 results.

341 4.2 Model details

342 Three-dimensional models of the interlocking brick prisms with solid elements are
343 generated to model the interlocking block prisms. The C3D8R type element (3D 8-node linear
344 with reduced integration) is selected which is an eight-node solid element with three
345 translational degrees of freedom per node. The reduced integration is calculated by the
346 incorporation of the lower-order rigidity of the unit, while the distributed loads and the mass
347 matrix are determined by full integration. This element can be used to improve the calculation
348 efficiency, and to obtain more accurate stress fields and displacements. For the interlocking
349 brick prism, the axial and horizontal degrees of freedom at the base are restrained, whereas the
350 nodes of the top block are restrained to prevent lateral movements and vertical movement is
351 allowed. Displacement control loading method is used, which follows the loading method used
352 in the tests. Nonlinear analysis is used in the numerical modelling.

353 4.3 Convergence study

354 Mesh convergence study is conducted by gradually reducing the mesh size from 56 mm
355 to 3.5 mm. As shown in Table 3, further reducing mesh size from 7 mm to 3.5 mm yields minor
356 changes in the computed maximum peak compressive force but the computational time
357 increases substantially. Therefore, 7 mm mesh size is adopted for the numerical model in this
358 study.

359

Table 3. Mesh size convergence study

Mesh size (mm × mm × mm)	Peak compressive force (kN)
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56 × 56×56	177.82
28 × 28×28	152.27
14 × 14×14	138.81
7 × 7×7	118.29
3.5 × 3.5×3.5	112.46

360

361 4.4 Contact algorithm

362 Three different modelling methods, i.e. perfect contact, imperfect contact and cohesive
363 element contact are considered herein to simulate the contact behaviour at the interlocking
364 brick joints. The perfect contact is the fundamental method used by most engineers, which
365 assumes the brick surfaces are smooth and in perfect contact condition with adjacent bricks.
366 The imperfect contact method considers predefined gaps at the joint to model the imperfect
367 surface condition of the brick. The cohesive contact method employs a cohesive element to
368 deal with the non-linear behaviour at the joint. These three different types of contact model are
369 detailed below:

370 4.4.1 Perfect contact

371 Perfect contact assumes the two surfaces of adjacent blocks match perfectly and ignore
372 surface roughness condition. A contact pair is composed of the neighbouring contact surfaces,
373 which can prevent penetration between the interlocking bricks. It provides a method for
374 ensuring an appropriate transformation of forces between two interlocking bricks on the basis
375 of tangential and normal contact behaviour. This study takes into account of both the normal
376 contact behaviour, which dominates the penetration between the two interlocking bricks, and
377 the tangential contact behaviour used to model sliding between adjacent bricks depending on
378 the friction coefficient [70].

379 For tangential behaviour, the Mohr-Coulomb criterion is applied into the contact model.
380 $\tau_{lim} = \mu\sigma + c_c$, where τ_{lim} denotes the limit for shear stress at which sliding starts, μ denotes the
381 coefficient of friction, σ denotes the pressure of normal contact, and c_c denotes the cohesion of
382 contact. There is no relative sliding between the contact surfaces before the tangential force
383 reaches the critical shear stress, while the contact surface slides when the shear stress exceeds
384 τ_{lim} . In this study, the friction coefficient is set to be 0.3 between the interlocking blocks and
385 0.15 between blocks and steel plates [69, 71], and for dry joints, contact cohesion is negligible
386 ($c_c=0$) [72].

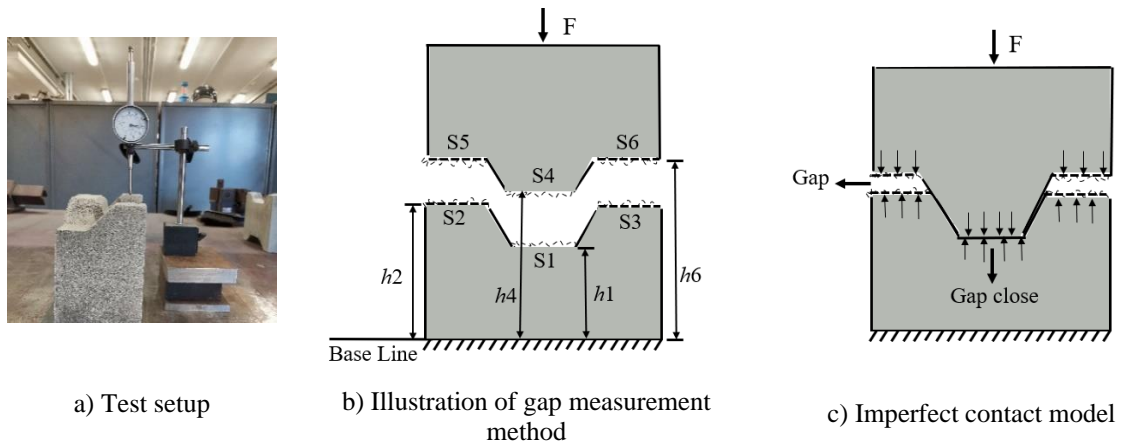
387 4.4.2 Imperfect contact

388 As discussed in the introduction, due to unavoidable manufacture error/tolerance and
389 surface roughness, imperfect contact with small invisible gaps at the interfaces occurs most of

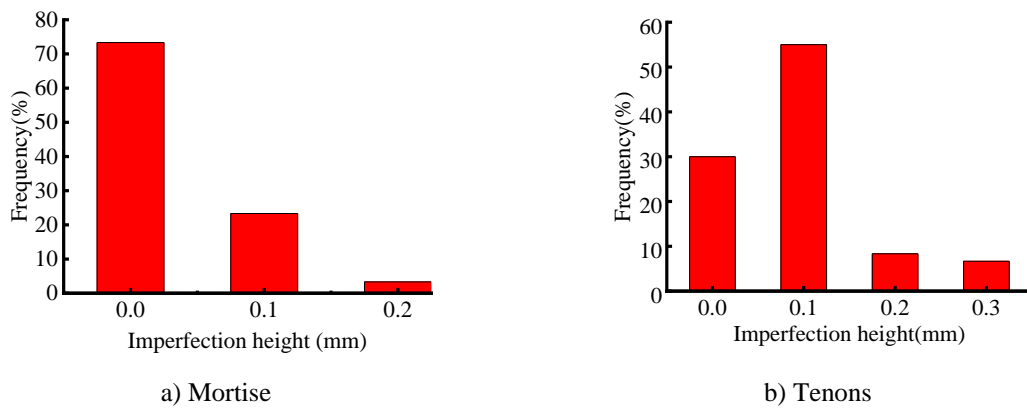
390 the time between bricks. Rough surfaces involve lots of asperities (or valleys and peaks). For
391 modelling the uneven surface roughness, the imperfection distributions existing in the
392 interlocking blocks are firstly examined and quantified experimentally.

393 Experimental measurements of surface unevenness

394 Fifteen interlocking bricks are selected randomly from the same batch of bricks in this
395 study. The experimental setup is illustrated in Figure 8a). The specimen is mounted on a flat
396 table which provides a flat reference surface for measurement of imperfections. A surface
397 height dial indicator is used to measure the absolute height of the brick surface along the key
398 joint at 0.25 mm intervals along the section of the brick to map out the profile of the cross-
399 section. As shown in Figure 8b), the mortises are surface S1, S5 and S6, and tenons are surface
400 S2, S3 and S4. A total of 60 tenons and 30 mortises are measured. Figure 9 shows the surface
401 unevenness measurement results. With respect to the lowest point of each surface, the asperity
402 height varies from 0 mm to 0.2 mm for the mortise (S1,5,6), while tenons (S2,3,4) are rougher
403 with the roughness amplitude varying from 0 mm to 0.3 mm with over 55% frequency of 0.1
404 mm. For a typical joint comprising of two interlocking blocks, to obtain the average gap length
405 between the mortise and tenon, from the baseline of a brick (Figure 8b), the absolute roughness
406 amplitudes from all measured points on each surface are averaged to obtain the average
407 roughness amplitude of the surface. The average relative gap width Gap_2 between S2 and S5
408 can then be calculated as $Gap_2=h_5-h_2$, in which h_5 and h_2 are the average roughness amplitude
409 of S5 and S2, respectively. Similarly, the average gap width between S1 and S4, and S6 and
410 S3 can be calculated as $Gap_1=h_4-h_1$ and $Gap_3=h_6-h_3$, respectively. As shown in Figure 9,
411 compared to the Gap_1 and Gap_3 of the tenons, the Gap_2 could be ignored. Therefore, the S1 and
412 S4 contact is assumed as perfect contact, hence it is idealized that there is no pointwise contact
413 due to uneven surfaces. As illustrated in Figure 8c), once the joint is under compression, the
414 compressive force will push the central gap between S1 and S4 to close, but leave a gap
415 between S2 and S5, as well as S3 and S6. Therefore, an idealized imperfect contact model is
416 generated with the central contact surfaces being fully closed but the two adjacent contact
417 surfaces have a uniform gap of width 0.1 mm. The uniform gap width of 0.1 mm is an assumed
418 value based on the measured roughness amplitude shown in Figure 9. Because the roughness
419 profile on each brick surface is basically random, it is not possible to exactly model them in
420 the numerical model, therefore simplification is made. In this study the gap width is based on
421 the average roughness amplitudes measured from the brick surfaces. This simplification allows
422 engineering assessment of the influence of surface unevenness in numerical simulations.



423 Figure 8. Surface roughness examination and modelling



424 Figure 9. Results of the roughness surface

425 4.4.3 Cohesive contact

426 Cohesive element can be introduced to model the complex contact behaviour between two
 427 adjacent surfaces, whose stiffness could degrade after the pre-defined threshold criteria due to
 428 the shear and tensile deformations [46]. It enables the study of the cohesive behaviour and
 429 damage for the interlocking bricks to consider the surface unevenness and friction interaction.

430 In this study, a cohesive element of zero-thickness is used to model the interaction between
 431 two interlocking bricks. The constitutive model for the cohesive element employs the traction-
 432 separation law in ABAQUS. It assumes an initially linear elastic behaviour at the interface. As
 433 the compressive load increases and reaches the pre-defined stress, interface evolution and
 434 damages are triggered. Then, the friction model is activated which attributes to the shear stress
 435 [44]. The friction behaviour is modelled based on the Mohr-Coulomb failure criterion. The
 436 compressive stiffness is taken as 10 times that of the Young's modulus of the interlocking brick
 437 following reference [2]. The in-plane and out-of-the plane shear stiffnesses are taken as 0 since
 438 only the compression behaviour is considered. The maximum nominal stress for damage

439 propagation is used here. The selected properties of interfaces available in the numerical
440 simulation are tabulated in Table 4.

441 Table 4. Properties of interface

Interface Properties	Interface behaviour
Normal stiffness (N/mm)	21800
Shear stiffness (N/mm)	0
The coefficient of friction	0.3
Maximum tensile stress (MPa) [44]	0.68
Maximum shear stress (MPa)	0

442

443 5. Results and Analysis

444 The laboratory testing results and numerical modelling results are presented in this section.
445 Compressive force versus axial displacement curves, brick prism damage and failure modes,
446 and ultimate compressive load-carrying capacity are compared and examined.

447 5.1 Force-displacement curves

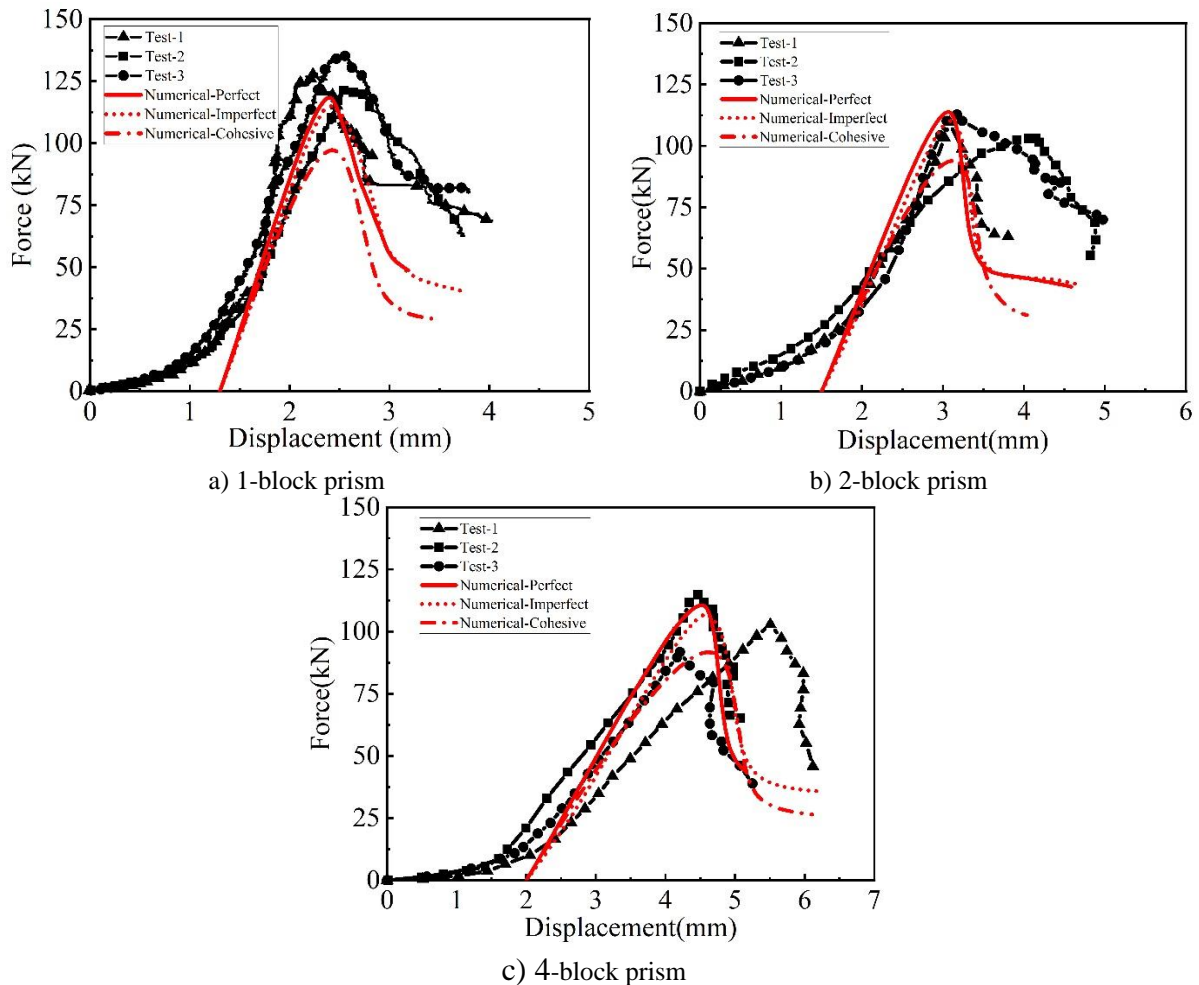
448 Figure 10 shows the axial load-displacement curves for the 1-block, 2-block and 4-block
449 prisms under compression. As shown, for the 1-block prism, the load increases slowly to about
450 20 kN at about 1.3 mm axial displacement, which is because of seating effect [69] that the gaps
451 between the bricks close. As joints gradually close, the slope of the curve increases, and the
452 compressive load also quickly rises almost linearly with displacement till the ultimate load at
453 about 128 kN, after which it begins to drop, indicating the damage of the block. Among the
454 three tested 1-block specimens, differences can be found in the force-displacement relations,
455 which are because of the inherent variability at the interface of dry-stacking bed joints block
456 and the variations between units in material properties [69]. Similar behaviour can be observed
457 for the 2-block and 4-block prisms that the initial displacement for seating effect increases with
458 the increase in the number of blocks hence the number of gaps. This is because asperity
459 interactions at prism interfaces increase with the increase in the number of blocks. More
460 asperities could be worn when more blocks are under compression. For the 4-block prisms,
461 peak loads of about 100 kN are achieved at around 4mm displacement. Larger vertical
462 displacements are observed on the 4-block prisms as compared to those of the 1-block and 2-
463 block prisms. After reaching the peak load, the load quickly decreases with further increased
464 displacement.

465 Figure 10 compares the load-displacement curves of the numerical models using the
466 above-mentioned three contact methods. Since the numerical model could not accurately
467 represent the seating effect, their axial force-displacement curves are aligned with the

468 experimental load-displacement curves after the initial gap is believed to be closed. It can be
469 found that the numerical models with perfect and imperfect contacts could closely represent
470 the stiffness of load-displacement curves after the gaps at the joints are fully closed as well as
471 the ultimate compressive load. For example, for 1-block prisms the numerical load-
472 displacement curves match the test curves relatively well if they are shifted by 1.3 mm, i.e., the
473 initial seating displacement of the tested 1-block specimen. The compressive load increases
474 almost linearly with displacement till about 80% of the ultimate strength, and then the stiffness
475 begins to degrade indicating the damage of the brick. The predicted ultimate compressive loads
476 with the three contact models, namely perfect contact model, imperfect contact model and
477 cohesive model are 118.29 kN, 114.93 kN and 97.19 kN, respectively. The corresponding
478 stiffnesses are 99.98 N/mm, 95.97 N/mm and 88.11 N/mm. As shown in Figure 10b) and 10c),
479 as the number of brick increases, more apparent seating effect can be observed. The
480 numerically modelled load-displacement curves for the 2-block and 4-block prisms are shifted
481 by 1.5 mm and 2 mm respectively to align with the experimental curves. For the perfect and
482 imperfect contact models, the load increases quickly to about 110 kN at about 3 mm axial
483 displacement for the 2-block prism and about 105 kN at about 4 mm axial displacement for the
484 4-block prism. As shown, for the 2-block and 4-block prisms, the perfect contact model predicts
485 a compressive stiffness of 71.18 N/mm and 44.71 N/mm, and the corresponding values from
486 the imperfect contact model are 65.73 N/mm and 41.71 N/mm, respectively. The cohesive
487 contact model gives the lowest compressive stiffnesses of 63.71 N/mm, 40.49 N/mm with the
488 ultimate compression capacity of 93.96 kN and 91.67 kN, respectively. As shown in the above
489 figures, the numerical models can reasonably well predict the stiffness and ultimate load-
490 bearing capacity of the masonry blocks, although they cannot simulate the process of asperities
491 compaction from the initial surface contact to complete contact. Furthermore, the post-peak
492 behaviour of the interlocking prisms cannot be fully modelled with the numerical methods
493 which drops quicker and has less residual capacity in comparison to the laboratory test results.
494 This is probably because of the material model used. Nevertheless, for design purpose since
495 only compressive stiffness and ultimate compressive capacity are of primary interests, further
496 modification of the numerical model to achieve better post peak behaviour is not conducted in
497 this paper.

498 Through the above comparisons it can be found that the perfect contact model omits the
499 rough surface effect in terms of varying peak height of the asperities. Slightly larger stiffness
500 and ultimate load are therefore predicted by the perfect contact model as compared to the
501 imperfect contact model. It is clear from Figure 10 that the cohesive contact model considerably

502 underestimates the stiffness and the ultimate strength of the interlocking prisms, which is
 503 probably because the stiffness of the cohesive element is underestimated in the numerical
 504 model in comparison to that of the actual contact surface. Therefore, the numerical models with
 505 perfect and imperfect contact predict reasonably good result for both the compressive stiffness
 506 and ultimate load-carrying capacity of the interlocking brick prisms. Further studies with
 507 advanced numerical modelling technique to describe the roughness and imperfect contact
 508 surface so as to model the seating effect is under development.

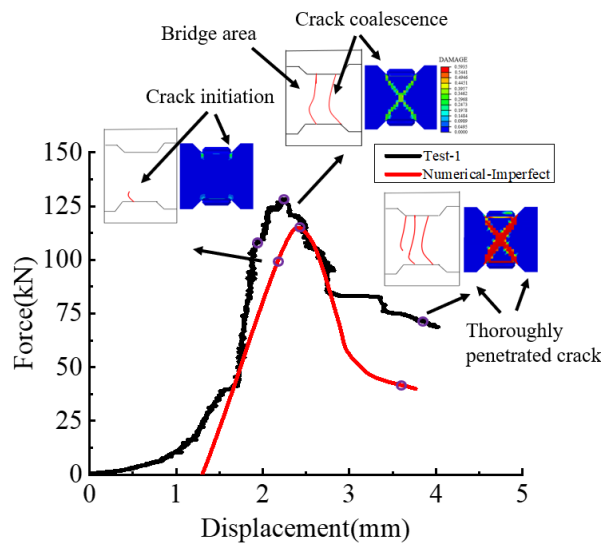


509 Figure 10. Load-displacement curves from laboratory test and numerical simulation

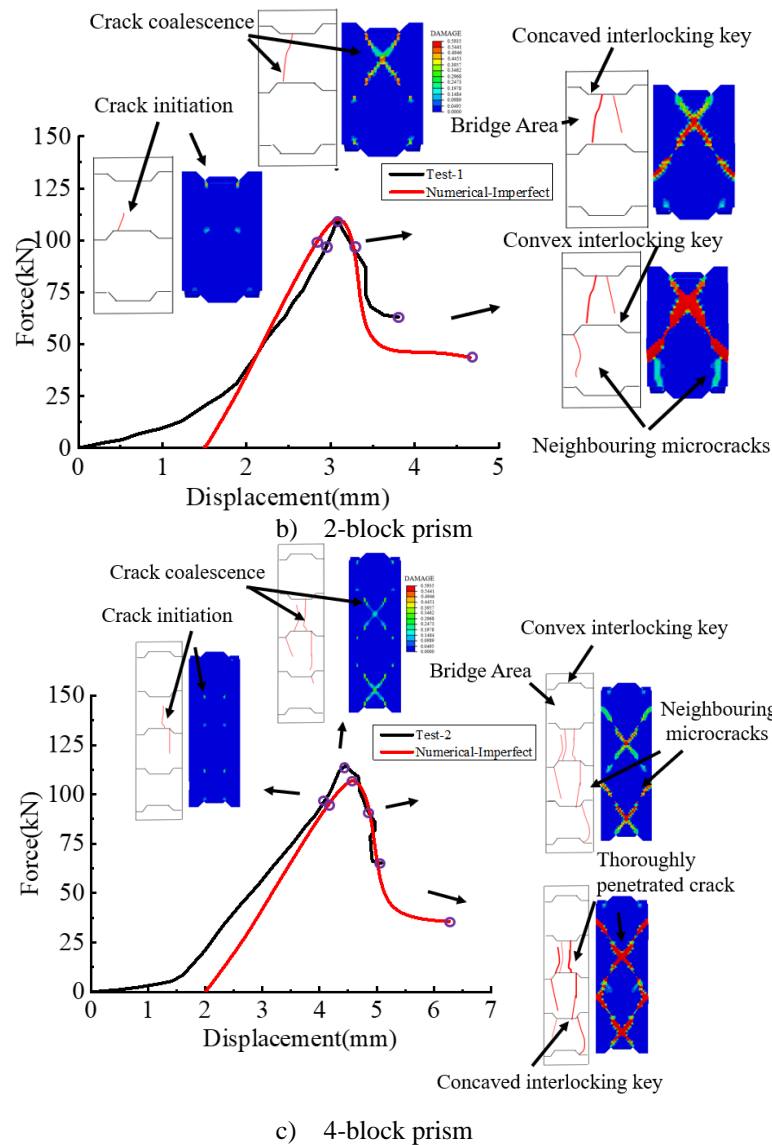
510 5.2 Crack propagation

511 Figure 11 illustrates the crack propagation processes of the prisms in stages with respects
 512 to the load-displacement curves. Tensile wing cracks can be found near the tenon and mortise,
 513 which initiate from flaw tips and extend in a steady pattern towards the direction of maximum
 514 compression [73]. It can be observed that for the 1-block prism wing cracks initiate from around
 515 the corner of the shear tenon when the applied compressive load is about 105 kN, and extend
 516 slowly along the compressive loading direction until the ultimate compressive force of 115 kN

517 is reached. Two thorough vertical cracks are developed. With further increased vertical
 518 displacement, the cracks further extend vertically and interact with neighbouring microcracks,
 519 which lead to crack coalescences as well as ultimate failure of the prism [59, 74]. In the
 520 numerical model, the initiation and growth of crack are both controlled by the stress field near
 521 the existing interlocking key. The numerical simulation agrees with experimental observations
 522 in that cracks initiate at about 80% of the peak load around the shear tenon, and extend along
 523 the vertical direction and result in the crack coalescence till the peak load is reached. Then
 524 these cracks grow wider with the increased load. Similarly, for the 2-block prisms, from both
 525 the numerical simulations and experimental observations it can be found that crack initiation
 526 occurs at 80% of the peak load and crack coalescence at the peak load is firstly presented on
 527 the concaved shear keys. With the increase in the applied displacement and load, a growing
 528 wing crack is developed at the outer tip in the middle of the bridge area [61] of the convex
 529 block, which is associated with wider and more cracks. The growth of cracks at the inner tips
 530 is faster than those shown at the outer tips, which agrees with that in the experimental
 531 observation. This is due to the higher stress concentration near the inner joint tip which enables
 532 the crack to propagate further. For the 4-block prisms, similar crack initiation and development
 533 can be observed. For multi-block prisms when the maximum compressive load is reached
 534 (corresponding to the second point in the figure), crack coalescence initiation occurs in the
 535 inner tip of the concaved interlocking key, and then these cracks propagate up and down
 536 forming a thoroughly penetrated crack. The crack pattern agrees well between numerical
 537 modelling and experimental observation.



a) 1-block prism

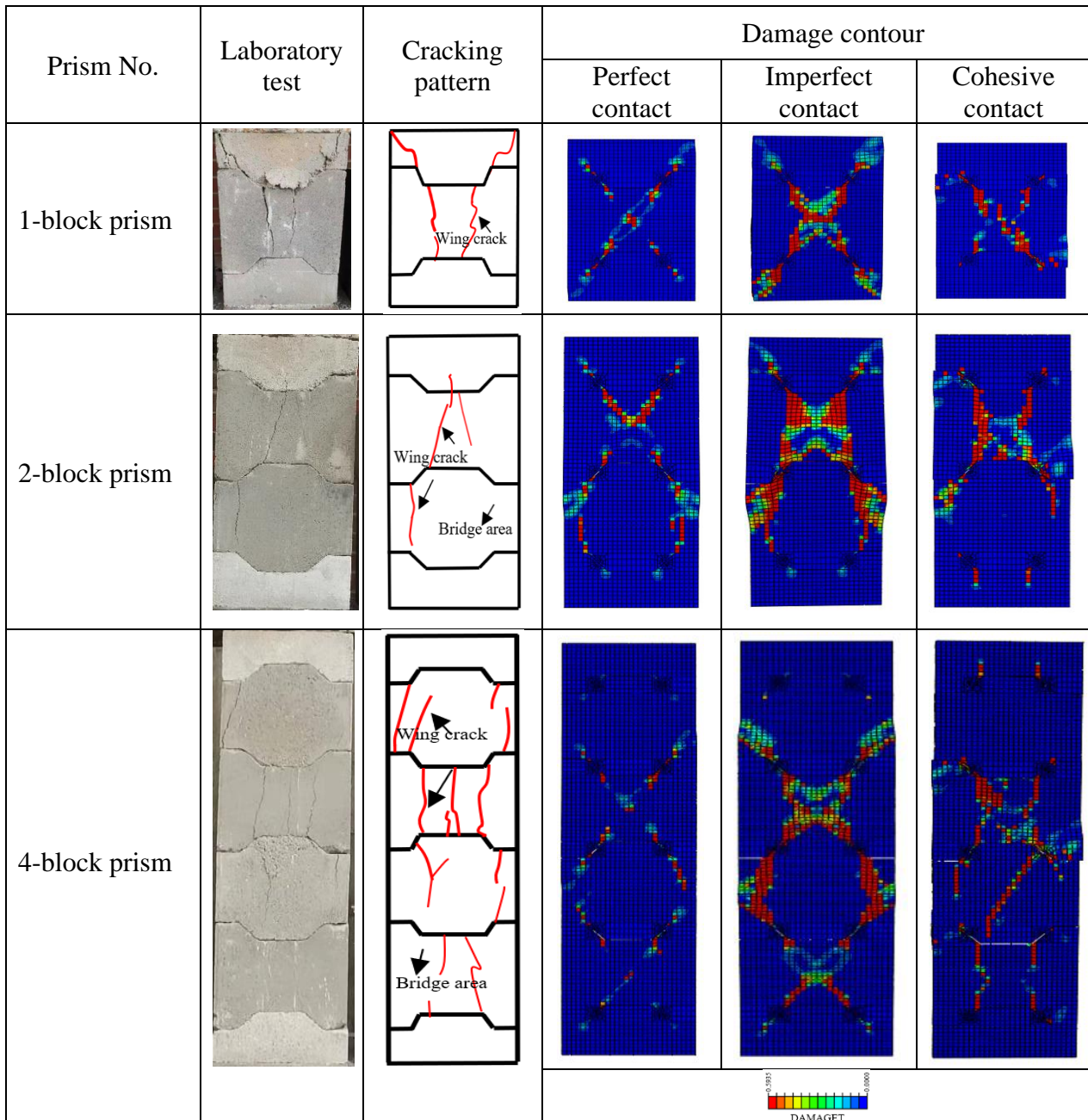


538 Figure 11. Prism crack initiation and development in the experiments and numerical
 539 simulations

540 5.3 Failure modes

541 Figure 12 summarizes and compares the damage modes of the interlocking prisms from
 542 the laboratory tests and numerical modelling. Both the laboratory test and the numerical model
 543 show wing cracks occur around the shear keys. For the perfect contact model, cracks at the unit
 544 interface propagate up and down from the flaw tips at an angle of 45° , exhibiting an X shape
 545 failure mode across the prism. The numerical models with cohesive contact model predict
 546 inclined cracks through the full block, which differs from the test observations. Through the
 547 comparisons, it can be found that the predictions from imperfect contact model most closely
 548 match the observed damages in the test among the three models, although some deviations are
 549 obvious. For example, the damages predicted by the numerical model is basically symmetric,
 550 whereas they are not necessarily symmetric in the tests. These differences can be attributed to

551 the non-perfect contact conditions between adjacent interlocking bricks, and also possibly non-
 552 uniform material properties of the brick. Nonetheless, it can be concluded that the simplified
 553 imperfect model yields the closest predictions of the failure pattern of the interlocking brick
 554 prisms under compression.



555 Figure 12. Comparison of prism damage modes

556 The above results and analyses show the compressive behavior of interlocking brick prism
 557 is strongly influenced by the number of blocks. The compressive strength decreases with more
 558 blocks in prisms. Wing cracks are initiated from the protruded key tenon and mortise which is
 559 similar to the assumption of fracture mechanism theory. The developed numerical models could

560 reasonably predict the behavior of the interlocking brick prisms. The imperfect contact
561 modeling method gives the closest prediction.

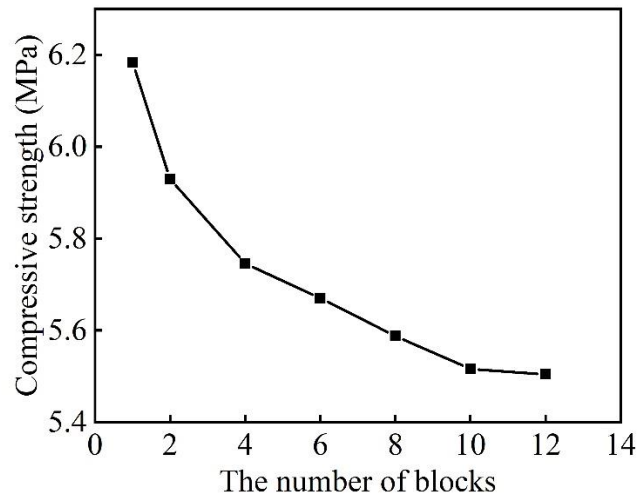
562 **6. Parametric Study**

563 Parametric study is carried out in this section to examine the influences of the number of
564 blocks, the level of brick surface roughness amplitude, and material strength on the
565 compressive load-carrying capacity of the interlocking brick prism. An empirical formula is
566 derived based on the numerical modeling results, experimental results, and analytical solution
567 to predict the compressive load-carrying capacity of the interlocking brick prism. A semi-
568 empirical method is also generated to estimate the compressive stiffness of the interlocking
569 brick prism.

570 **6.1 Effect of block number**

571 A series of numerical simulations are carried out with gradually increased number of
572 blocks for the prism until the ultimate compressive load converges. In the meanwhile,
573 roughness amplitude due to brick surface imperfection and unevenness is assumed to be 0.1
574 mm, and the material compressive strength is 13.78 MPa in the numerical modellings. The
575 cross-section area, brick size and interlocking key dimension are kept the same.

576 Figure 13 shows the results combining the prism ultimate compressive load with the
577 number of blocks. It can be observed that as expected the influence of the number of blocks on
578 the compressive load-carrying capacity of prisms is significant. For example, the equivalent
579 compressive strength drops quickly from 6.18 MPa for 1-block prism to 5.93 MPa for 2-block
580 prism, and further to 5.75 MPa for 4-block prism. Nevertheless, as the number of bricks in the
581 prism increases, the decreasing speed also gradually reduces. The compressive strength reduces
582 from 5.59 MPa for 8-block prism to about 5.52 MPa for 10-block prism (-1.3%), which further
583 reduces to about 5.51 MPa for 12-block prism, indicating an ignorable -0.2% decrease.



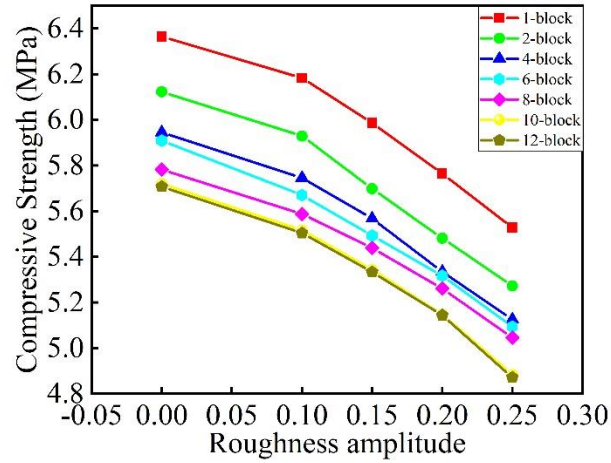
584

585 Figure 13. Ultimate compressive strength for interlocking brick prisms with different
586 numbers of brick

587 6.2 Effect of brick surface roughness amplitude

588 As demonstrated above in the laboratory test and numerical simulation, since joint
589 imperfection due to brick manufacturing error/tolerance and surface unevenness could strongly
590 influence the interlocking prism compressive strength, the interlocking bricks with surface
591 roughness amplitude varying from 0 mm to 0.25 mm with a 0.05 mm increment are numerically
592 modeled to quantify its influence on prism compressive strength. The cross-section area, brick
593 size and interlocking key dimension are kept the same. The material compressive strength is
594 also 13.78 MPa in the numerical simulations.

595 Figure 14 shows the results combining the prism ultimate compressive load with
596 imperfection roughness amplitude and the number of blocks in the prisms. It can be observed
597 that the compressive capacity of the interlocking brick prism with the same number of bricks
598 decreases with the increased roughness amplitude. For example, for the 6-block model with
599 roughness amplitude of 0.1 mm and 0.25 mm, the ultimate capacity decreases to approximately
600 5.09 MPa from 5.67 MPa; for the 12-block prism, the ultimate capacity decreases from 5.51
601 MPa when the roughness amplitude is 0.1 mm to 4.87 MPa when the roughness amplitude is
602 0.25 mm. These results indicate that the roughness amplitude also has strong influence on the
603 prism compressive capacity.



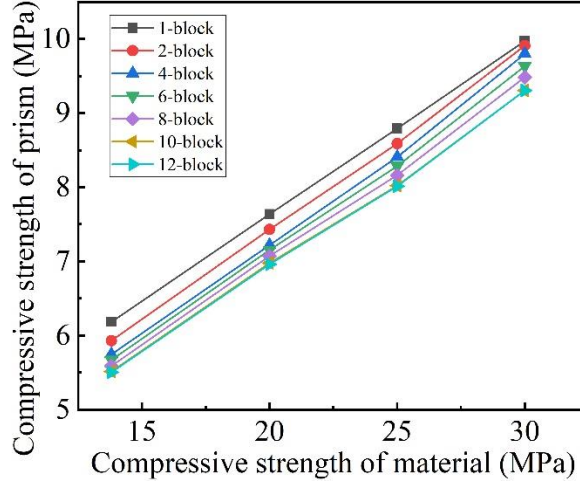
604

605 Figure 14. Ultimate compressive strength for interlocking brick prisms with different
 606 roughness amplitudes

607 6.3 Effect of material strength

608 To examine the influence of material strength (f_{brick}) on the compressive strength of the
 609 interlocking prism, numerical simulation is conducted with f_{brick} varying between 13.8 MPa
 610 and 30 MPa with around 5 MPa increment. The Young's modulus is taken as 160 times the
 611 compressive strength of the material, and the material tensile strength is $0.1f_{brick}$ [69]. The
 612 roughness amplitude is assumed to be 0.1 mm.

613 Figure 15 presents the compressive strength of the prisms of different number of blocks with
 614 respect to the material compressive strength. For instance, for the 10-block model the ultimate
 615 compressive strength increases from approximately 5.52 MPa to 9.30 MPa when the material
 616 strength increases from 13.8 MPa to 30 MPa. As expected, the compressive strength of
 617 interlocking brick prisms is strongly influenced by the material strength, and the prism loading
 618 capacity shows a near-linear relation with the material compressive strength. Moreover, the
 619 ratio of the prism strength to the material strength is approximately 0.23, and is dependent on
 620 other parameters such as the brick surface roughness amplitude and the number of bricks as
 621 discussed above. However, the influence of these parameters is not as significant as the material
 622 strength.



623
624 Figure 15. Ultimate compressive strength for interlocking brick prisms with different material
625 strength

626 6.4 Modified design formula for compressive strength

627 To account for the influence of the number of blocks, and the brick surface roughness
628 amplitude as demonstrated above in engineering analysis and design, modification to the
629 analytical solution of Eq. (2) is made by introducing to correction factors, i.e. $f(n_{block})$ and
630 $g(h_{imp})$. In addition, to account for the variation of material strength, another term $\mu(f_{brick})$ is
631 also introduced. The compressive strength of an interlocking brick prism, $\sigma_{n,h}$, could be
632 expressed using the following equation

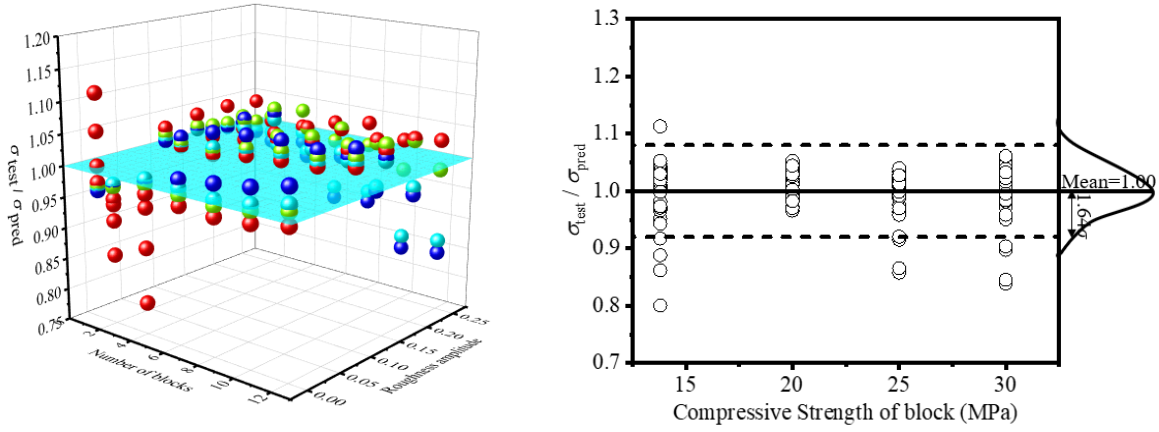
$$633 \quad \sigma_{n,h} = \sigma_1^{max} * f(n_{block}) * g(h_{imp}) * \mu(f_{brick}) \quad (3)$$

634 where σ_1^{max} is the unit block compressive strength of the analytical solution given in Eq. (2).

635 Regression analysis on the laboratory testing and numerical modeling results is conducted
636 to derive the above modification components in the proposed formula. Regression models are
637 considered to achieve a best-fitted formula. The adequacy of regression models is evaluated
638 with the coefficients of determination (R^2). The Eq. (4) with high R^2 of 94.7% is therefore
639 chosen, which reflects the prism strength is positively proportioned to material strength, and
640 negatively related to the number of bricks and roughness amplitude.

$$641 \quad \sigma = \sigma_1^{max} * (0.199f_{brick} + 2.238) * \left(0.133 + \frac{1}{1.933 * n_{block} + 22.076}\right) * \frac{1}{0.784h_{imp} + 0.855} \quad (4)$$

642 To evaluate the accuracy of the proposed formula, Figure 16a) compares the formula
643 predicted prism strength with those obtained from tests and numerical calculations. It can be
644 found that the prediction using the proposed formula could closely predict those from the
645 numerical simulations and experimental tests with the ratio of $\sigma_{test}/\sigma_{pred}$. consistently
646 distributing around the surface of 1.0.



a)

b)

647 Figure 16. a) Comparison of the prism compressive strength estimated from the proposed
 648 formula and the test and the numerical results; b) predictions of different compressive
 649 strengths of interlocking imperfection prisms

650 For engineering design purpose, to account for uncertainties such as material strength, the
 651 above proposed prediction formula is further integrated with a safety margin by setting a
 652 confidence limit. Following CSA-S304.1 [75], the specified compressive strength for the
 653 interlocking brick prism can be determined with 95% confidence. Assuming the ratio of
 654 $\sigma_{test}/\sigma_{pred}$ to follow normal distribution, the 95% confidence can be determined by subtracting
 655 1.64 times the standard deviation from the arithmetic mean. Therefore, the confidence lower
 656 limit for a standard deviation of 0.047 and a mean of 1.00 can be estimated to be 0.92 (as shown
 657 in Figure 16b). Therefore, Eq. (5) can be presented appropriately for engineering assessment
 658 though re-evaluating as:

$$\sigma = 0.92 \sigma_1^{max} * (0.199f_{brick} + 2.238) * \left(0.133 + \frac{1}{1.933 * n_{block} + 22.076}\right) * \frac{1}{0.784h_{imp} + 0.855} \quad (5)$$

659 6.5 Semi-empirical method for prism compressive stiffness

660 A semi-empirical analysis method is proposed herein for simplified design purpose of
 661 interlocking prisms under uniaxial compression loads. A homogeneous prism is derived with
 662 an equivalent axial stiffness to predict the compressive properties for prisms comprised of
 663 different numbers of interlocking bricks.

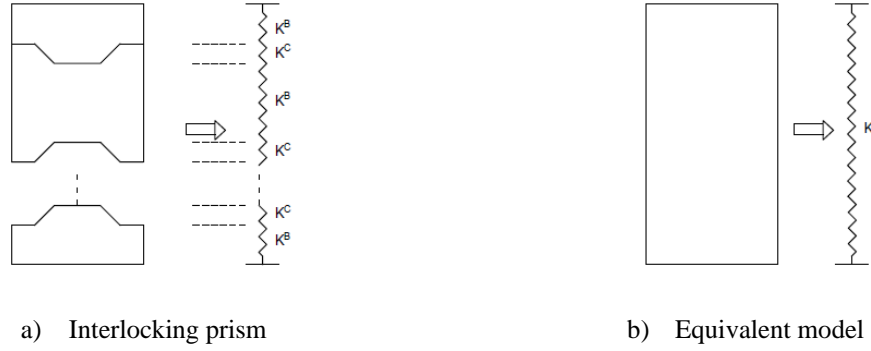


Figure 17. the equivalent vertical stiffness of the interlocking prism

664 As demonstrated in Figure 17a), an interlocking brick prism with n pieces of bricks can be
 665 represented by a series of springs, i.e. K^B and K^C for the block stiffness and the interlocking
 666 contact stiffness, respectively. The equivalent spring stiffness K^n for a homogenous model
 667 (Figure 17b) can be written in the following forms:

$$668 \quad 1/K^n = n/K^B + (n + 1)/K^C \quad (6)$$

669 For each block, its stiffness K^B can be calculated by

$$670 \quad K^B = \frac{E_{mat} A_{block}}{L_{block}} \quad (7)$$

671 where E_{mat} is the Young's modulus of the material, A_{block} is the cross-sectional area of the brick,
 672 and L_{block} is the height of the brick. By using material constants and brick dimension, K^B is
 673 338.37 kN/mm.

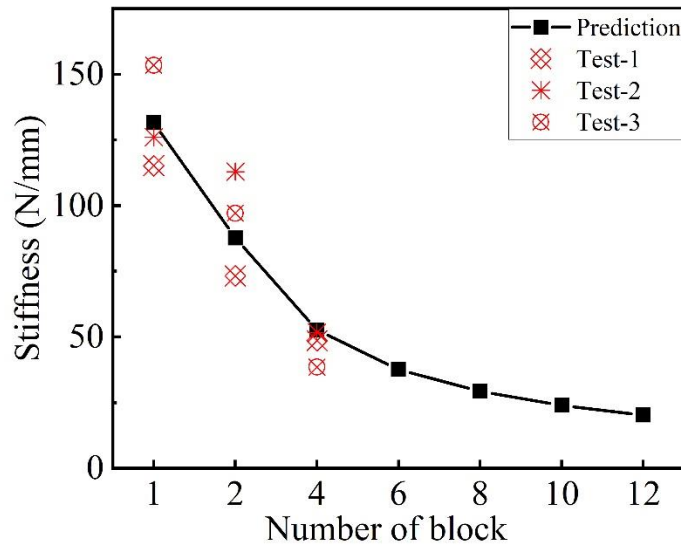
674 The equivalent axial stiffness of n -block prism K^n can also be expressed as

$$675 \quad K^n = \frac{EA}{L} = \frac{\int_x F(x) dx}{\Delta l_n \times L_n} \quad (8)$$

676 where F is the peak compressive load, Δl_n is the corresponding axial displacement, and L_n is
 677 the height of the prism. The equivalent stiffness of 1-block prisms can be calculated with the
 678 laboratory testing data. And Eq. (8) is applied to the case of 1-block prism, the stiffness of
 679 contact surface K^C can be written by

$$680 \quad K^C = \frac{2K^1 \times K^B}{K^B - 2K^1} \quad (9)$$

681 So it can be calculated that K^C is 263.28 N/mm. With the above-derived K^B and K^C , the
 682 equivalent axial stiffness of the interlocking brick prism comprising of n -block can be easily
 683 estimated. Figure 18 presents the experimental tested prism stiffness and the estimated prism
 684 stiffness using the semi-analytical approach. It shows the equivalent stiffnesses predicted by
 685 Eq. (6) and those from the experiments agree reasonably well.



686

687

Figure 18. Equivalent compressive stiffness of interlocking brick prisms

688 7. Conclusion

689 This paper presents analytical analysis, laboratory testing and numerical modelling to
 690 investigate the compressive properties of interlocking brick prisms. The damage and failure
 691 modes of dry-stacking interlocking brick prisms are studied. Detailed numerical models of
 692 interlocking brick prisms are generated using different contact modelling methods, which are
 693 validated and verified with the laboratory tests. Parameter study is carried out to quantify the
 694 influences of the number of blocks, joint roughness amplitude due to brick surface unevenness,
 695 and brick material strength on the compressive load-carrying capacity. Combining the
 696 numerical simulation and testing results, an analytical prediction formula is derived to predict
 697 the compressive strength of interlocking brick prisms. A semi-empirical approach is also
 698 developed to estimate the compressive stiffness of interlocking brick prisms. The following
 699 conclusions are drawn from this study:

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1. Fracture mechanism based analytical solution could closely predict the compressive strength of unit interlocking brick. However, because the strength of prism is strongly influenced by the number of blocks, the analytical solution based on a unit interlocking block could not well predict the compressive strength of the prism.
2. Laboratory compressive tests are conducted on a series of multiple block prisms. Strong seating effect of the dry-stacking interlocking brick prisms on their compression performance is observed. It is found that the ultimate strength decreases with the increase in the number of blocks because of the increased number of interlocking joints.

- 708 3. Detailed numerical models using different contact modelling methods are generated
709 which could reasonably predict the behaviour of interlocking brick prisms. The
710 imperfect contact model gives the closest prediction considering the initial stiffness, the
711 ultimate compressive load-carrying capacity and damage modes. However, none of
712 these methods could replicate the seating effect.
- 713 4. Both laboratory tests and numerical simulation reveal the damage and failure patterns
714 of interlocking brick prisms.
- 715 5. Parametric study quantifies the influence of the number of bricks, joint roughness
716 amplitudes and material compressive strength on the prism compressive capacity. A
717 modified formula is derived to predict the compressive strength of interlocking brick
718 prisms with consideration of the number of blocks, joint roughness amplitudes, and
719 material strength. And a semi-empirical prediction method is also derived to predict the
720 axial stiffness of the interlocking brick prisms.

721 **Acknowledgments**

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