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1 **Experimental methods for inter-module joints in modular building structures – A** 2 **state-of-the-art review**

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8 **Abstract**

9 This paper presents a state-of-the-art review of the experimental methods for inter-module joints (IMJs) in modular
10 buildings. For the structural response, three levels of study are defined: module (M), frame (F), and joint (J). The
11 joint (J) tests are further classified based on three setups, namely the beam-column (BC) subassembly with column
12 loading (J/C), the BC subassembly with beam loading (J/B), and the stub column assembly (J/S). The experimental
13 setups and loading protocols are outlined with reference to the existing literature, and the inherent assumptions and
14 the relative advantages and disadvantages are discussed with the aim of promoting consistency. A case study modular
15 building frame is defined to illustrate the three levels of study (M, F, and J), and the unbraced and braced frames are
16 subjected to lateral loads to demonstrate the effect of bracing on the structural response. The J/C test is shown to be
17 best suited for application to unbraced frames, while the J/S test is more suited to braced frames. Unbraced frames
18 are shown to be vulnerable to failure of the welded beam-to-column connection which can occur in the joint tests
19 before the specimen displacement is large enough to reveal the IMJ behaviour. A summary is given of the existing
20 beam-to-column joint (BCJ) enhancement methods which can strengthen the BCJ and enable measurement of the
21 IMJ behaviour in the tests. The paper concludes with a summary of the experimental methods, recommendations for
22 standardisation, and the key technical challenges and future research directions.

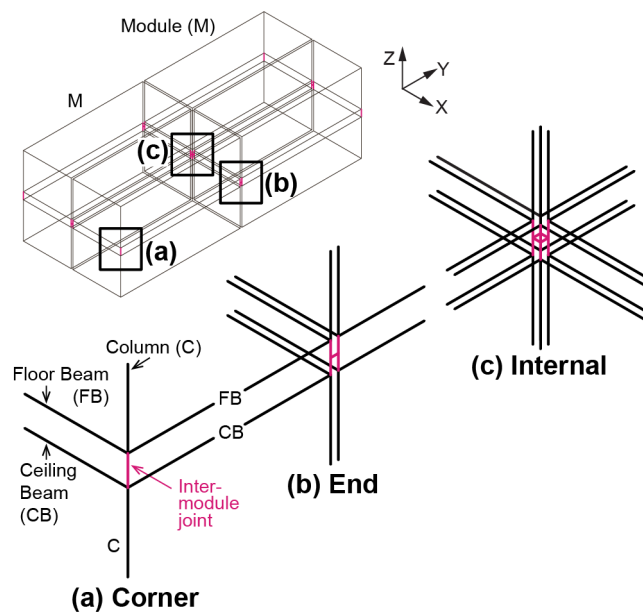
23 **Keywords:** Modular building, Inter-module connection, Beam-to-column joint; Loading protocol; Cyclic test

24 **1. Introduction**

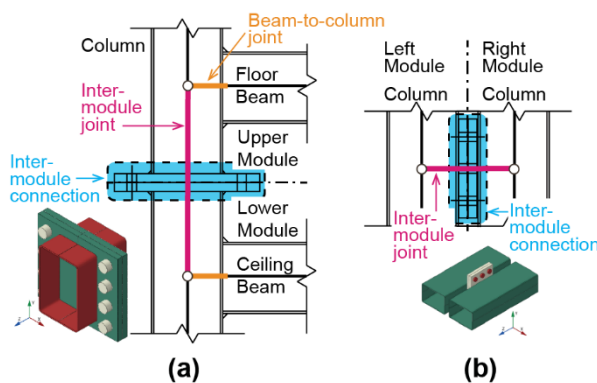
25 Modular buildings have great potential as affordable, sustainable, and resilient structures. They have attracted much
26 attention and are promoted as an alternative to traditional on-site construction due to technical advantages including
27 the construction speed, convenience in demounting, reduced environmental disturbance, and better quality of the
28 finished product. As the modules are prefabricated in the factory and assembled on-site to form the complete building,
29 the success of the site installation and the overall structural behaviour are significantly influenced by the connections
30 between the modules. Due to the significance, researchers have proposed new improved inter-module connections
31 (IMCs) and studied their structural responses. However, due to the lack of standards specific to modular buildings,
32 the resulting literature does not consistently apply the same experimental methods. Rather, the experiments vary,
33 and, for example, some studies adopt an IMC specimen, while other studies adopt an inter-module joint (IMJ)
34 specimen incorporating the beam-to-column joint (BCJ).

35 Following the Eurocode EN 1993-1-8 [1] a joint is defined as the zone in which two or more members are connected.

36 For structural design, the joint includes each of the components needed to model the structural behaviour given the
 37 applied actions. For example, in a traditional steel structure the BCJ includes the column web panel and the adjacent
 38 connections. In modular steel structures, the joints between modules are known as the inter-module joints (IMJs).
 39 There are three different types of IMJ which can occur in a modular structure depending on the location: corner, end,
 40 and internal (Fig. 1). The IMJs are made up of the IMC and the adjacent portions of the columns as shown in Fig. 2
 41 for the (a) vertical and (b) horizontal joints. The BCJ includes the beam-to-column connection and the adjacent
 42 portion of the column (Fig. 2a). The length of the IMJ depends on the vertical distance between the floor and ceiling
 43 beam centrelines. Vertical space between the beams provides easy access to the IMCs and allows services, e.g., air
 44 conditioning ducts, to run between the beams [2, 3]. As will be shown, the size of the IMJ varies among different
 45 modular structures. Some structures have a small gap between the beams, while other structures have a very small
 46 gap or no gap. Section 3 presents a case study with a dimension of 575 mm between the beam centrelines [4, 5] which
 47 is typical of corner-supported modular buildings [6]. Sections 4, 5, and 6 discuss the existing literature in which most
 48 of the IMJs have no gap or a very small gap between the beams. Even with zero gap between the beams, however,
 49 the distinction between the IMJ and the IMC remains valid, and it can be likened to the BCJ which includes the beam-
 50 to-column connection plus a portion of the steel members.



51
 52 **Fig. 1.** (a) Corner, (b) end, and (c) internal inter-module joints (IMJs).



53
 54 **Fig. 2.** Inter-module joints (IMJs) incorporating (a) vertical and (b) horizontal inter-module connections (IMCs).

55 In monolithic construction, e.g., reinforced concrete structures, the joints between elements such as the BCJs might
 56 be modelled as either rigid or stiff components. For modular steel buildings, however, the introduction of IMCs
 57 introduces the potential for greater deformations which might compromise the structural performance. Shear slip in
 58 the IMCs might accumulate over the building height, for example, leading to global failure due to the P-Delta effect.
 59 Additionally, compared to traditional steel structures in which the column splice connections might be located away
 60 from the BCJ, in modular steel structures the connections between the upper and lower module columns are close to
 61 the BCJs (**Fig. 2a**). As a result, the IMC might affect the overall structural response, not only due to its own
 62 deformations, but also due to its effect on the surrounding structural elements. Moreover, the deformations of the
 63 IMC might be affected by the responses of the surrounding structure. Consequently, when testing the IMC attention
 64 should be paid to recreation of the boundary and loading conditions which might affect the resulting structural
 65 behaviours. For this reason, some researchers adopt an IMJ specimen incorporating the BCJ, rather than an IMC
 66 specimen which requires analysis after testing to assemble the IMJ behaviour.

67 The development of inter-module joints (IMJs) and connections is outlined in the existing literature by a series of
 68 review articles [2, 3, 7-12]. An outline of the relevant background is provided in Section 2 which summarises these
 69 review articles (**Table 1**). As will be shown, the selected review articles provide a summary of the existing IMCs and
 70 the associated IMJs for modular buildings to date, however, several questions are raised with respect to the selection
 71 of the experimental setup to establish the structural behaviour. As will be demonstrated, the structural behaviour of
 72 IMJs subjected to lateral loads has been studied at three different levels: module (M), frame (F), and joint (J). At the
 73 joint level, the structural behaviour has been evaluated using a beam-column (BC) subassembly with either column
 74 or beam loading (J/C or J/B), and alternatively using a stub column assembly (J/S). Comparing the approach of
 75 different researchers (**Table 2**), it is not clear if the different experimental setups produce comparable joint
 76 behaviours. Moreover, it is not clear if any one of the setups could be recommended as the best for consistent adoption
 77 in future research works. Therefore, the purpose of this review is, firstly, to identify and acknowledge the different
 78 practices adopted by different researchers and secondly, to provide guidance as to the inherent assumptions and
 79 consequences associated with the use of certain experimental setups.

Table 1. Selected review articles on the development of inter-module joints and connections.

| Year | Reference | Title |
|------|---------------------------|---|
| 2018 | Lacey et al. [2] | Structural response of modular buildings - an overview |
| 2019 | Lacey et al. [3] | Review of bolted inter-module connections in modular steel buildings |
| 2019 | Ferdous et al. [7] | New advancements, challenges and opportunities of multi-storey modular buildings – A state-of-the-art review |
| 2020 | Srisangeerthan et al. [8] | Review of performance requirements for inter-module connections in multi-story modular buildings |
| 2020 | Deng et al. [9] | Seismic performance of mid-to-high rise modular steel construction - A critical review |
| 2020 | Thai et al. [10] | A review on modular construction for high-rise buildings |
| 2021 | Nadeem et al. [11] | Connection design in modular steel construction: A review |
| 2021 | Chen et al. [12] | Exploration of the multidirectional stability and response of prefabricated volumetric modular steel structures |

81 **Table 2.** Summary of experimental studies on the performance of inter-module joints. The test types include module (M, §4),
 82 frame (F, §5), and joint (J, §6). The joint test sub-types include the beam-column subassembly with column loading (J/C,
 83 §6.1) and beam loading (J/B, §6.2), and the stub column assembly (J/S, §6.5).

| Test Type | Year | Reference | Joint Specimen Type | Inter-module Connection Type | Beam-to-column joint enhancement (§6.3) | Axial force included | Illustration |
|-----------|------|-------------------------|---------------------|-----------------------------------|---|----------------------|--------------|
| M | 2011 | Hong et al. [13] | - | - | - | N | Fig. 9(a) |
| M | 2017 | Chen et al. [14] | - | Pretension | - | N | Fig. 9(b) |
| M | 2021 | Lyu et al. [15] | - | Bolted splice | - | - | Fig. 9(c) |
| F | 2020 | Liu et al. [16] | - | Rotary | - | Y | Fig. 10(b) |
| F | 2021 | Liu et al. [17] | - | Rotary | - | Y | - |
| J/C | 2017 | Chen et al. [18] | Corner | Plug-in | Local plate | Y | Fig. 12(a) |
| J/C | 2017 | Chen et al. [19] | Internal | Plug-in | Local plate | Y | Fig. 12(b) |
| J/C | 2018 | Sanches et al. [20] | Corner | Post-tensioned | - | Y | Fig. 12(c) |
| J/C | 2019 | Cho et al. [21] | Internal | Blind-bolted | Knee brace | N | Fig. 12(d) |
| J/C | 2020 | Lee et al. [22] | Corner, Internal | Connector plates | - | N | Fig. 12(e) |
| J/B | 2017 | Lee et al. [23] | Corner | Ceiling bracket | - | N | Fig. 14(a) |
| J/B | 2018 | Lee et al. [24] | Corner | Ceiling bracket | - | N | - |
| J/B | 2018 | Deng et al. [25] | Corner | Welded cover plate | Local plate | Y | Fig. 14(b) |
| J/B | 2018 | Deng et al. [26] | Internal | Cruciform bolted | Local plate | Y | Fig. 14(e) |
| J/B | 2019 | Dai et al. [27] | Corner | Self-lock | Local plate | Y | Fig. 14(c) |
| J/B | 2019 | Wang et al. [28] | Internal | Bolts installed in columns | Local plate | Y | Fig. 14(f) |
| J/B | 2021 | Ma et al. [29] | Corner | Side-plate and in-build component | Local plate | N | Fig. 14(d) |
| J/B | 2021 | Chen et al. [30] | Corner | Self-locking | Local plate | Y | - |
| J/S | 2018 | Liu et al. [31] | - | Bolted flange | - | N | Fig. 18(a) |
| J/S | 2018 | Liu et al. [32] | - | Bolted flange | - | Y | Fig. 18(b) |
| J/S | 2019 | Chen et al. [33] | - | Rotary | - | N | Fig. 19 |
| J/S | 2020 | Yang [34] | - | Semi-rigid | - | N | Fig. 20 |
| J/S | 2020 | Sendanayake et al. [35] | - | Resilient | - | N | Fig. 21 |
| J/S | 2021 | Lyu et al. [36] | - | Splice connection | - | N | - |

84 After Section 2, this review proceeds as follows. **Section 3** introduces a case study modular building frame which is
 85 used to illustrate the three levels of study, i.e., module, frame and joint. A SAP2000 numerical model is defined and
 86 the response to a nominal lateral action is discussed to illustrate the different responses of the unbraced and braced
 87 frames. **Sections 4, 5, and 6** focus on the module, frame, and joint tests, respectively. These sections give a brief
 88 overview of the test and a summary of the existing literature, followed by a discussion of the relative advantages,
 89 disadvantages, and inherent assumptions. **Section 6** is further divided into subsections on the BC subassembly with
 90 column (J/C) and beam loading (J/B), BCJ enhancement, the effect of module bracing, the stub column assembly
 91 (J/S), and specimen scale. **Section 7** reviews the loading protocols adopted for cyclic and monotonic loading in the
 92 existing studies. Finally, **Section 8** summarises the existing methods and associated recommendations for future
 93 works given throughout this review, and **Section 9** presents the concluding remarks which outline the key technical
 94 challenges and future research directions.

95 2. Development of inter-module joints and connections

96 **Lacey et al. [2]** presented a state-of-the-art review of modular structures which was published in March 2018. The
 97 existing inter-module connections (IMCs) for modular steel buildings (14 connections) were summarised according

98 to the literature at the time. The current design practice was outlined, including the need for experimental testing
99 which was classified as either proof testing to demonstrate compliance with established performance requirements,
100 or prototype testing to determine the capacity. **Lacey et al. [3]** subsequently presented a further review focusing on
101 the bolted IMCs. The work summarised the existing bolted IMCs (12 connections) and explained the design methods
102 and models in practice at the time (May 2019). The purpose of the IMCs was outlined including to provide a path for
103 load transfer and satisfy robustness requirements, provide local restraint to individual frame members, and to satisfy
104 construction and serviceability requirements. It was noted that vertical space is often provided between the floor and
105 ceiling beams to allow access to the IMCs, and to allow services such as air-conditioning ducts to run between the
106 modules. It was explained how analytical, experimental, and numerical analyses were applied to establish the force-
107 displacement and moment-rotation ($M-\theta$) behaviours of the connections. These structural behaviours could then be
108 simplified and incorporated in global numerical models by applying the presented inter-module joint (IMJ) models.
109 The experimental setups adopted in the existing literature were briefly outlined. The illustrations included
110 experiments on connections to establish the shear force-displacement behaviour and experiments on beam-column
111 subassemblages to establish the $M-\theta$ behaviour in combination with an axial load of 10 to 20% of the column yield
112 capacity. The experimental setups were, however, not compared or discussed in detail.

113 The literature on modular building structures was rapidly expanding and in March 2020, **Srisangeerthanan et al.**
114 **[8]** presented an updated summary and comparison of the 25 existing IMCs. Key performance assessment criteria
115 were proposed relating to structural, manufacturing, and construction requirements. Specifically, the criteria were:
116 adequacy of the vertical plane axial tensile resistance and horizontal plane (diaphragm) axial and shear resistance,
117 the number of unique parts and their complexity, the complexity of the site-based assembly, the total number of
118 connection components, the ease of shop fabrication, use of self-aligning and self-locking connections, the ease of
119 the site installation, number of operations for site installation, number of tools required for site installation, use of
120 demountable and repairable connections, and the extent of unused space between the modules. The provision of
121 construction and installation tolerances was also mentioned. The 25 connections were rated and ranked based on
122 these 14 criteria, and it was concluded that none were able to fully satisfy the requirements. Therefore, it was
123 recommended that further innovations were required to develop improved connection and framing solutions.
124 Emphasis was placed on the need for automated, i.e., self-locking, connections.

125 In October 2020, a review by **Deng et al. [9]** was published which focused on the seismic performance of mid-to-
126 high rise modular steel structures. The work outlined the seismic performance, i.e., failure mode, ultimate inter-story
127 drift, and ductility coefficient, of seven existing IMCs, while a further 20 IMCs were mentioned without detailed
128 review of the experiments. The mentioned experiments included subjecting IMJs to axial and bending loads following
129 either a monotonic or cyclic loading protocol. It was reported that the monotonic loading was generally conducted to
130 establish the load transfer mechanism and the $M-\theta$ curve, which could be used to classify the connection as rigid,
131 semi-rigid or pinned following Eurocode 3 Part 1-8 [1]. On the other hand, it was indicated that the cyclic loading
132 was undertaken to determine the failure mode, strength, stiffness, ductility, and capacity to dissipate energy. For the
133 seven selected connections, the failure modes included weld fracture, local buckling of a beam or column, and
134 opening of a gap at the IMC. Although the related experiments were outlined, they were not reviewed in detail, and

135 the potential for different structural behaviours depending on the specimen geometry and the loading and boundary
136 conditions was not discussed. The existing simplified numerical models for the seismic behaviour of IMJs were
137 summarised, and it was reported that experiments on the IMCs could lead to the determination of equivalent spring
138 stiffnesses which could be used to model the behaviour of the complete joint. Further, that such spring models could
139 be adopted for the global analysis of modular structures, but that further verification was required to establish the
140 accuracy of the hysteretic behaviour derived in this way. The development of seismic isolation systems was also
141 briefly summarised.

142 A review by **Thai et al. [10]** was published in December 2020 on the adoption of modular construction for high-rise
143 buildings. The work discussed inter-module joining techniques and their development through the existing literature.
144 The existing steel connections were classified into three groups based on the main component: tie-rod, connector
145 (e.g., self-lock, rotary, and bracket), and bolt. The use of concrete modules was reported to need significant on-site
146 works. This made the site-based construction of concrete modules too slow, hence, concrete modules were not
147 considered further. The new steel connections were briefly summarised, and, although some of the experimental
148 methods were illustrated based on the respective works, they were not compared or reviewed in detail. Numerical
149 models adopted in the existing literature for the IMJs were outlined based on software such as RUAUMOKO and
150 ETABS. This discussion was linked mainly to progressive collapse and structural robustness, and development of
151 the models based on experiments by the respective authors was not discussed. It was reported, however, that the
152 structural behaviour of the IMCs must be incorporated in the global numerical simulation as it can significantly affect
153 the global building behaviour. For design purposes it was reported that steel IMCs are usually classified as semi-rigid
154 with respect to the $M-\theta$ behaviour, and that design of the joints is generally based either on Eurocode 3 (Part 1-8) [1]
155 or on AISC 360-16 [37].

156 The literature on modular building structures continued to expand and in 2021 two relevant review papers were
157 published. **Nadeem et al. [11]** reiterated the basic characteristics of IMCs and identified 16 existing connection
158 details. The behaviours of the IMCs under static and cyclic loadings were outlined with reference to selected existing
159 studies. The key performance indicators were defined and two important parameters were highlighted. First, the
160 displacement ductility factor, i.e., the ratio of the ultimate to the yield displacement, was mentioned as a useful
161 indicator of the capacity to dissipate energy. A ductility factor of 2.5 was reported to indicate good plastic
162 deformability. Second, the initial rotational stiffness was discussed, as it effects the joint classification as rigid, semi-
163 rigid or pinned, and can have a significant effect on the buckling behaviours of the associated members. The present
164 numerical modelling approaches were then summarised, including the existing shear-force slip models for IMCs.
165 Finally, **Chen et al. [12]** gave an updated summary of 41 existing IMC details, which were classified based on the
166 key component: reinforcing rod, connection bloc, bolts, self-centring rubber slider device, and viscoelastic rubbers
167 and SMA bolts. The present design approaches and the existing spring models were outlined.

168 As indicated in the introduction, the selected review articles [2, 3, 8-12] outline the background and development of
169 IMJs and IMCs to date. It remains, however, to carry out a detailed review of the experimental methods. As will be
170 shown in sections 4, 5, and 6, different researchers have adopted different experimental methods for the IMJs.

Detailed review of the experimental methods is, therefore, required to provide guidance on the different practices and to promote consistency.

3. Case study modular building frame

To illustrate the three levels of experimental study, i.e., module, frame and joint, a typical case study building frame was defined. Considering the typical module dimensions suggested for planning purposes [6] and the module dimensions adopted in the existing case studies [4, 38, 39], a typical 10x4x3 m high module was adopted with a vertical distance between the modules of 0.575 m based on the frame centrelines (Fig. 3). A 150x150x10 mm square hollow section was selected for the beam and column sections, and a post-tensioned (PT) inter-module connection (IMC) [40, 41] was assumed. Two different frames were considered: an unbraced (sway) frame, and a braced frame. The unbraced frame had rigid beam-to-column joints (BCJs), hinged (pinned) column bases and semi-rigid IMCs with defined moment-rotation and force-displacement properties joining the module columns. The braced frame was the same as the unbraced frame, except cross-bracing was added to each module as shown by the dashed line in Fig. 3(a).

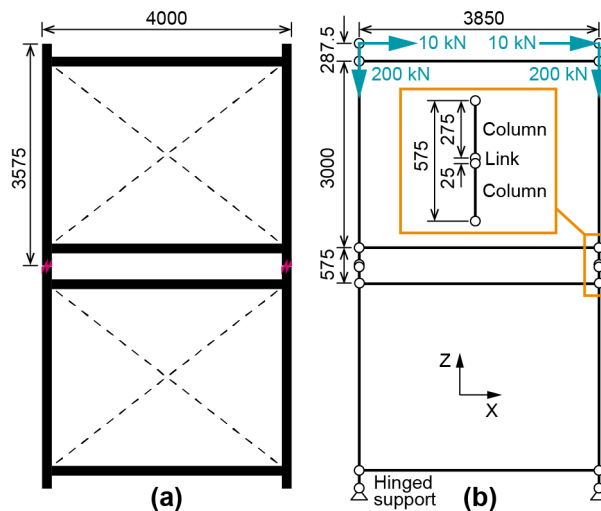
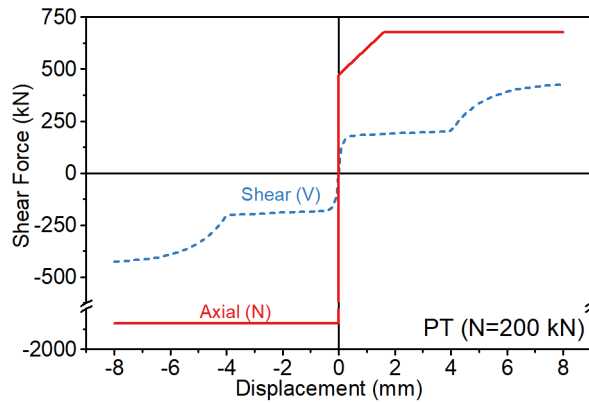
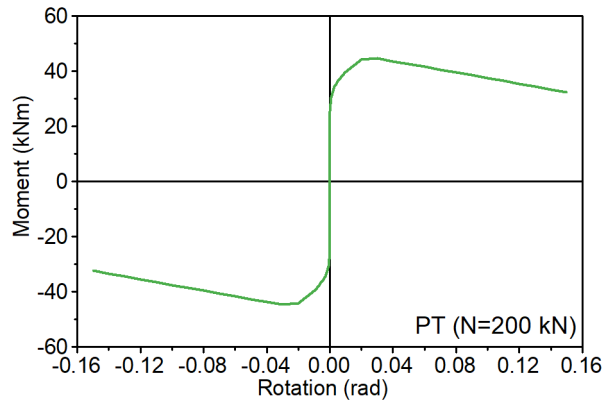


Fig. 3. Numerical model of case study building frame: (a) overall frame dimensions, and (b) centreline dimensions.

Two numerical models were prepared using the software SAP2000. The beams, columns, and braces were incorporated as frame elements, with moments released at the ends of the braces, while each inter-module joint (IMJ) was assembled from two short column lengths and a central nonlinear link element (Fig. 3b). The link elements represented the structural behaviours of the PT connections in the SAP2000 model. The axial force-displacement, shear force-displacement, and bending moment-rotation ($M-\theta$) behaviours of the link (Fig. 4 and Fig. 5) were input following the previous study [5], which estimated the behaviours by applying simplified analytical models [41]. The simplified models were proposed based on the results obtained from the calibrated ABAQUS numerical models. In the present work, an axial force of $0.1N_c$ was assumed, where N_c is the axial yield capacity of the column, and axial forces of 200 kN were applied at the top of the two upper columns (Fig. 3b). For the purposes of illustration, a nominal lateral load of 10 kN was applied at the top of each column in the upper module (Fig. 3b).



196
197 **Fig. 4.** Axial (N) and shear (V) force-displacement behaviours for the post-tensioned (PT) connection [5].



198
199 **Fig. 5.** Moment-rotation (M- θ) behaviour for the post-tensioned (PT) connection [5].

200 The numerical models were limited to in-plane responses, and nonlinear static analyses were carried out to determine
201 the design actions in the frames. In the unbraced frame the largest bending moment occurred in the beams and
202 columns at the BCJs (Fig. 6a). Unbraced modular frames may, therefore, be vulnerable to failure if the BCJ does not
203 have sufficient strength (Section 6.3) [42]. On the other hand, in the braced frame (Fig. 6b), the beams and columns
204 were subjected to smaller bending moments, e.g., 1.5 kNm compared with 17 kNm. The largest bending moment,
205 e.g., 2.83 kNm, occurred in the IMJs for the braced frame. As can be seen, the IMJs were subjected to similar bending
206 moments in both the unbraced (3.05 kNm) and the braced frames. The bending moment was the smallest in the central
207 nonlinear link which represented the IMC (Fig. 3b), and the largest in the column sections which made up the
208 remainder of the joint. Although the bending moments in the IMJs were relatively small, it should be noted that this
209 was in response to the nominal lateral load applied (Fig. 3b). Larger bending moments can be developed in response
210 to larger lateral forces which may occur, especially at the base of multistorey modular buildings. Consequently, the
211 structural behaviours of the IMJs are of interest as they can significantly influence the overall building response to
212 lateral loads.

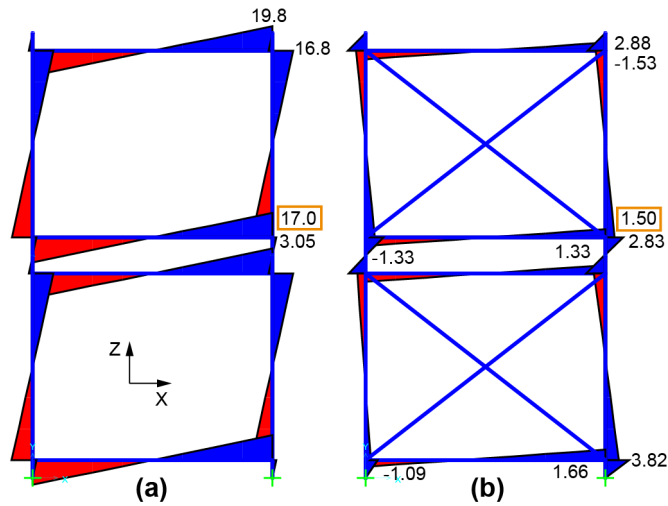


Fig. 6. Bending moment diagrams (kNm) for (a) unbraced and (b) braced case study building frames.

Fig. 7(a) and (b) show the deformed shape of the unbraced and braced frames, respectively. As the lateral displacement for the braced frame was smaller than that for the unbraced frame, Fig. 7(b) has a larger scale factor applied to the displacement than Fig. 7(a). Notwithstanding the different scale factor, due to the prominent vertical displacement in Fig. 7(b) compared with Fig. 7(a), it is evident that the addition of bracing significantly reduced the lateral displacement. Moreover, the displacement of the nonlinear links representing the IMCs (Fig. 3b) was relatively small. Considering the small design actions in the nonlinear links, i.e., less than 10 kN shear force, 3 kNm bending moment, and 200 kN axial force, it can be concluded from Fig. 4 and Fig. 5 that the nonlinear links were responding elastically and did not contribute significantly to the lateral displacement of the frames. The $M-\theta$ stiffness of the post-tensioned (PT) connection, for example, was relatively high such that the behaviour of the semi-rigid PT connection did not differ substantially from that of a rigid connection. As will be explained in the following sections, the different responses observed for the unbraced and braced frames can lead to different IMJ behaviours which are best modelled by different subassemblages in the experiments.

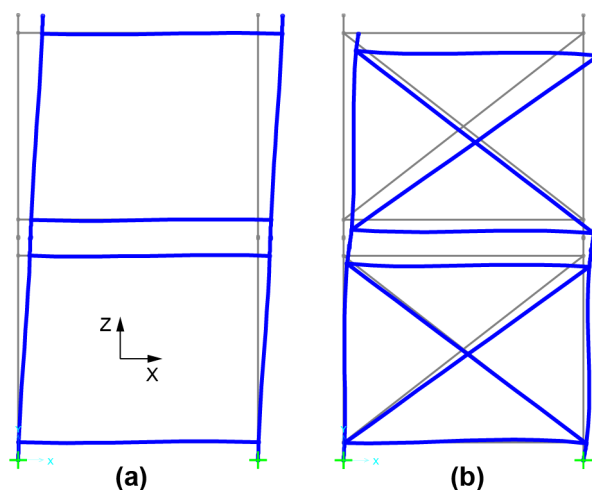
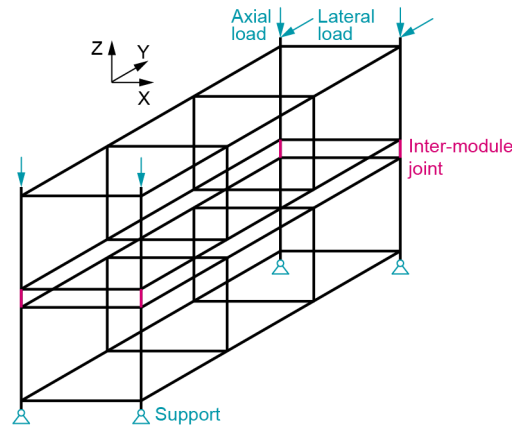


Fig. 7. Deformed shape of (a) unbraced and (b) braced case study building frames.

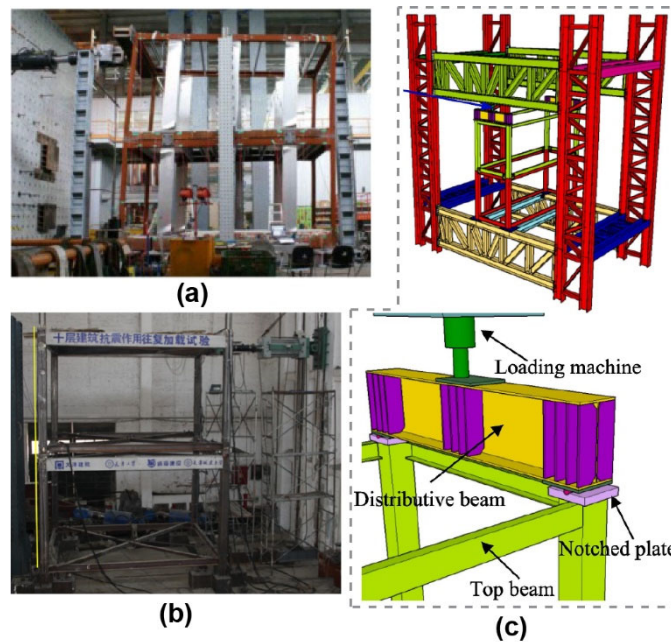
4. Module (M) test

In the module (M) test (Fig. 8), full scale prototype modules are subjected to axial or lateral loads to simulate the relevant design action in the inter-module joint (IMJ). The tested prototype includes all the main structural elements

232 to reproduce the structural behaviour of an actual modular building as closely as possible. For example, **Hong et al.**
233 **[13]** tested three full scale stacked modules subjected to lateral loads only, i.e., axial loads were not applied (Fig. 9a).
234 Each module was 6 m long, 3 m wide and 3 m high, and the columns were 125x4.5 square hollow steel (SHS)
235 sections. The reported results included the load-roof drift curve and the corresponding elastic strength and
236 displacement, and ultimate strength and displacement. However, the main interest was the performance of the double
237 skin steel wall panels which provided bracing to the unbraced steel frame and, while the IMJs were included in the
238 specimens, no commentary was given on their performance.



239
240 **Fig. 8.** Module (M) test



241
242 **Fig. 9.** (a) Lateral loading of stacked modules [13], (b) lateral loading of stacked modules with composite pretensioned
243 connection [14], and (c) reaction frame and axial loading at top of module column [15].

244 **Chen et al. [14]** carried out similar experiments in which a lateral load was applied to a two-storey moment-resisting
245 unbraced frame to examine the performance of a pretensioned composite steel-concrete joint between the module
246 columns (Fig. 9b). The modules were 4.5 m long, 3.6 m wide, and 3.0 m high. The columns were 200x8 SHS sections
247 and the floor and ceiling beams were 175x90x8x5 H-beams. The ceiling beams were encased in concrete giving a
248 finished size of 300x200 mm wide, and the columns were filled with concrete and joined by the pretensioned
249 connection. The concrete floor slab was modelled by cross-bracing formed from steel angles installed in-plane at the

250 floor level. Cyclic lateral loading was applied at the top of the second storey module following the Chinese
251 specification JGJ101-96 [43] while the lateral displacement was monitored at the ground, first floor, and second
252 storey ceiling levels. The reported results include the load-upper ceiling displacement curve, inter-storey
253 displacement, gap opening between the module columns, and a description of the failure mechanisms. The damage
254 observed during the experiments included debonding of the concrete ceiling beam from the column face, and cracking
255 and crushing of the concrete ceiling beam adjacent to the column face. Following the initial elastic behaviour, as the
256 applied lateral load was increased, debonding of the concrete surfaces at the inter-module connection (IMC) allowed
257 the upper column to rotate relative to the lower column thereby allowing a gap to open between the columns.
258 However, the largest gap opening was relatively small (0.35 mm), and the measured lateral displacement at the second
259 storey ceiling level was also significantly influenced by damage sustained at the ceiling beam-to-column connection.

260 In another study, **Lyu et al. [15]** subjected a full-scale two-storey corner supported modular frame to vertical loads
261 to establish the influence of the IMJs on the overall axial behaviour (Fig. 9c). The modules were 6 m long, 2.4 m
262 wide, and 3.0 m high. The columns were 160x8 SHS sections and the floor and ceiling beams were 200x70x6 channel
263 sections. The stacked modules were joined together by bolting the floor and ceiling beams with M16 grade 10.9 bolts,
264 which were tensioned to give an initial preload of 100 kN per bolt. A maximum total axial force of 1307 kN was
265 applied in small increments of 27 kN. Axial load-displacement and load-strain curves were reported for each of the
266 columns, and the failure modes were described. The beam-to-beam splice connections which formed the joints were
267 reported to have little effect on the overall axial behaviour since local deformation and gap opening in the joint
268 initiated after global buckling of the frame.

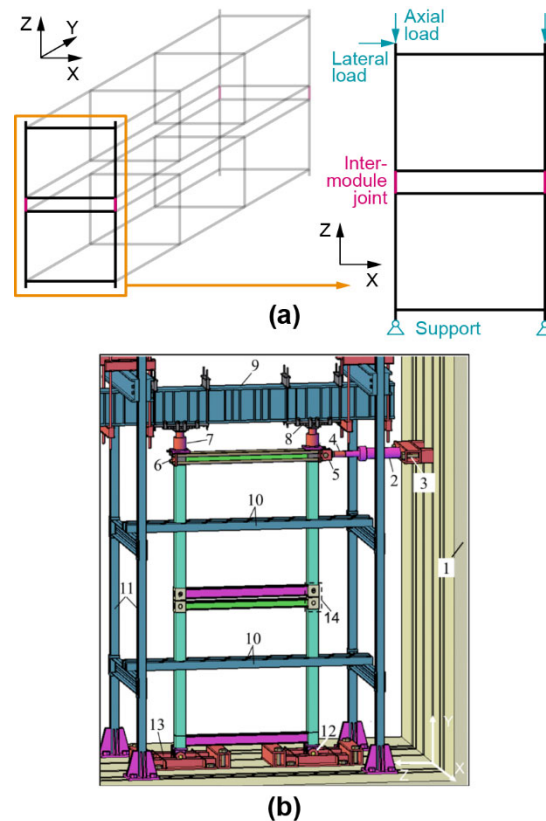
269 The preceding examples, which are limited to unbraced frames, show that the prototype specimens and setup are
270 large and, hence, costly in terms of the specimen materials and fabrication, and the equipment and space required for
271 the testing. Consequently, only a small number of specimens are usually tested, e.g., one to three specimens. Although
272 a specimen consisting of two stacked modules may include several IMJs, e.g., one at each corner column, the
273 structural behaviour of each joint may not be completely independent of the other joints. If, for example, one of the
274 joints reaches its yield capacity then the load may be shed to the other joints which, due to the increase in load, may
275 suddenly yield without revealing its own actual yield capacity. This can somewhat diminish the value of the module
276 test as, although several joints may be included in the specimen, they may not be counted to determine the number
277 of units tested for the calculation of statistical parameters, such as confidence intervals.

278 The prototype module specimens include all the key structural elements and so give an estimate of the joint behaviour
279 including the interaction with other elements such as the beam-to-column joints (BCJs). However, it can be difficult
280 to separate the BCJ and the IMJ behaviours which are combined within the total measured lateral displacement, for
281 example. Still, full-scale testing of complete modules reveals the structural behaviour of the joint incorporated in the
282 whole modular structure. Consequently, compared to the following substructure tests, the module test offers the most
283 accurate assessment of the IMJs and can be recommended on this basis.

284 **5. Frame (F) test**

285 In the frame (F) test (Fig. 10a), prototype frames are subjected to axial and lateral loads to simulate the relevant

286 design actions in the inter-module joints (IMJs). It is assumed that the roof and floor bracing in the complete modules
287 distribute any applied lateral forces to the frames, which can then be studied in isolation. That is, it is assumed that
288 the frames are subjected predominantly to in-plane actions which elicit mainly in-plane responses.



289
290

Fig. 10. (a) Frame (F) test, and (b) frame subjected to axial and lateral loads [16].

291 Two studies were identified which adopted the frame test to study the IMJ behaviour [16, 17]. **Liu et al. [16]**, for
292 example, tested three two-storey frames subjected to axial and lateral loads (Fig. 10b). Each modular frame was
293 approximately 3 m wide and 3 m high. The columns were 200x8 SHS sections, and the floor and ceiling beams were
294 194x160x6x9 and 150x150x7x10 steel sections, respectively. The stacked frames were joined at the columns by the
295 proposed rotary connection, and the frames were restrained out-of-plane. A constant axial force of 0.2 times the axial
296 force causing first yield of the column sections was applied to the upper frame, after which an in plane lateral force
297 was applied at the top of the upper module. The lateral force was applied using load control with an increment of 10
298 kN during the initial elastic stage. After the specimen yielded, the test was displacement controlled with 20 mm
299 increments. The in-plane lateral displacement of the frame was measured, and the lateral load-displacement curves
300 were reported for each of the three specimens. Failure modes were also reported and included cracking and local
301 buckling of the floor beam flanges, gap opening between the modular frames at the inter-module connection (IMC),
302 global and local buckling of the corrugated steel panels, tearing of the corrugated steel panel, and fracture of the weld
303 between the corrugated steel panel and the floor beams.

304 It was reported that the moment-rotation behaviour of the IMCs was affected by the structural configuration and
305 lateral stiffness of the upper and lower modules, i.e., one specimen had no bracing, one specimen had corrugated
306 steel panel bracing to the upper frame only, and one had corrugated steel panel bracing to the upper and lower frames.

307 When the upper and lower frames had a similar lateral stiffness, the IMCs could be considered as hinged with little
308 moment transfer. On the other hand, if the lateral stiffness of the upper and lower frames were significantly different,
309 the IMC could be semi-rigid with more significant bending moment transferred by the connection. In this way, the
310 joint behaviour can differ between the braced and unbraced structures, which should be considered when planning
311 tests to ascertain the IMC or IMJ behaviour.

312 From the preceding study [16], it can be seen that, similar to the module (M) test, the frame (F) test specimens and
313 setup are also large and, hence, costly in terms of the specimen materials and fabrication, and the equipment and
314 space required for the testing. However, the frame (F) specimens are smaller and so more cost effective than the
315 module (M) specimens. Moreover, the frame specimens include the key structural elements related to the in-plane
316 response of the frame and so give a reasonable estimate of the joint behaviour in this context. Again, it can be difficult
317 to separate the component behaviours which combine to give the total lateral displacement. Nevertheless, the frame
318 (F) test can be recommended as it captures the structural behaviour of the joint incorporated within the frame. In the
319 complete modules, out-of-plane stability is provided to the frame by the other structural elements, i.e., the bracing
320 and perpendicular frames. When the frames are considered in isolation additional supports are required to ensure out-
321 of-plane stability, and to limit the structural response to the in-plane behaviour. In this way, study of the frame
322 substructure neglects global failure modes which might occur in the complete three-dimensional structure, and the
323 existing study [16] is limited to the response of a modular frame in the XZ plane (Fig. 10(a)).

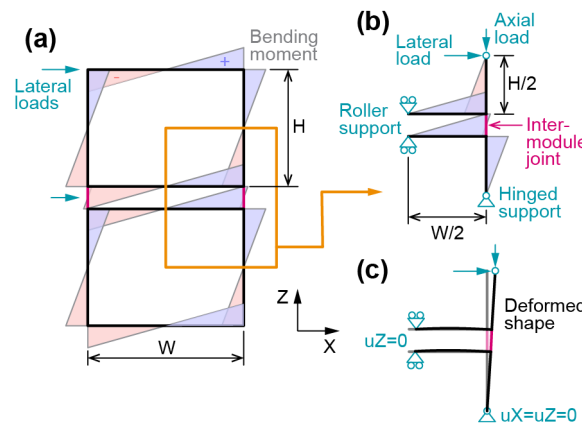
324 6. Joint (J) test

325 6.1. Beam-column subassemblage with column loading (J/C)

326 In the joint (J) test (Fig. 11), a beam-column subassemblage is adopted which incorporates the inter-module joint
327 (IMJ) in addition to a portion of the adjacent columns and beams. The lengths of the column and beam segments are
328 determined based on the points of inflection, i.e., the points at which the bending moment is zero, in an unbraced
329 modular frame subjected to a lateral load (Fig. 11). Thus, half of the full column and beam lengths are included in
330 the subassemblage so that the design actions in the specimen are equivalent to those in the full frame. For example,
331 Fig. 11(a) shows the bending moment diagram for the full frame in the transverse XZ plane and Fig. 11(b) shows the
332 bending moment diagram for the subassemblage. For the column loading, i.e., J/C, the lower column and beams are
333 restrained while axial and lateral loads are applied to the top of the upper column.

334 The J/C test has been adopted by several researchers to study the in-plane response of corner and end joint specimens
335 with small or zero gap between the floor and ceiling beams [18-22] (Fig. 12). For example, **Chen et al. [18]** applied
336 quasi-static uniaxial monotonic and cyclic loads to a corner joint specimen which incorporated a plug-in device and
337 beam-to-beam bolts (Fig. 12a). The 2/3 scale prototype joint specimens were nominally 2 m tall and 2 m wide, and
338 consisted of 150x8 SHS columns, 150x8 or 150x250x8 beams, and 10 mm thick stiffeners to the welded beam-to-
339 column joints (BCJs) of selected specimens. The base of the lower column was restrained against translation while
340 in-plane rotation was permitted. The beams were restrained against vertical translation while horizontal translation
341 and rotation were permitted by rollers. At the top of the upper column the axial load was applied by a hydraulic jack.
342 Rollers were provided such that lateral translation of the jack was permitted while the axial force was maintained.

343 The lateral (horizontal) force was applied using a hydraulic jack which was connected to the upper column with a
 344 pinned connection which allowed rotation. Two specimens were subjected to a quasi-static monotonic lateral load
 345 which were combined with a constant axial force of 0.2 times the yield capacity of the column. Four specimens were
 346 subjected to a quasi-static cyclic lateral force according to JGJ101-96 [43] in addition to the constant axial force of
 347 0.1 or 0.2 times the column yield capacity. In each test the lateral displacement was measured at the upper column
 348 end where the load was applied, and at the end of each beam. For the monotonic loading, the load-displacement
 349 curves were presented, and the failure modes were described with each specimen experiencing failure of welds at the
 350 BCJ. For the cyclic loading, the hysteretic performance and skeleton (load-displacement) curves were presented. The
 351 failure modes were described and included fracture of welds at the BCJ, local buckling of the column at the BCJ, and
 352 the opening of a gap at the inter-module connection (IMC). The results demonstrated that the deformation capacity
 353 of the IMJ was significantly influenced by the stiffness of the BCJ.



354
 355 **Fig. 11.** (a) Unbraced frame showing bending moment, (b) beam-column subassembly with column loading (J/C) showing
 356 bending moment, and (c) deformed shape of the beam-column subassembly.

357 **Chen et al. [19]** extended the work by applying similar monotonic and quasi-static cyclic lateral loads to an internal
 358 IMJ (Fig. 12b). Again, the lateral deformation of the IMJ was closely influenced by the stiffness of the BCJ. It was
 359 reported that a gap could open between the upper and lower columns, and the observed failure mechanisms included
 360 cracking of the beam-to-column weld and tensile failure of the BCJ stiffeners which were introduced to improve the
 361 BCJ performance (Section 6.3).

362 **Sanches et al. [20]** applied a constant axial load equal to 0.17 times the column yield capacity and a cyclic lateral
 363 load according to AISC 341 [44] to corner joint specimens (Fig. 12c). The joint specimens were nominally 3.345 m
 364 tall and 1.75 m wide, and consisted of a W 150x18 floor beam, a W100x19 ceiling beam, and two 127x6.4 SHS
 365 columns which were joined by a pre-tensioned 25.4 mm diameter rod. The setup adopted was different to those
 366 mentioned previously in two ways. First, the joint specimen was rotated from vertical to horizontal, and it was tested
 367 at the ground level. Second, the axial load was applied by a jack at the base of the bottom column, while the lateral
 368 load was applied by an actuator at the top of the upper column. A spherical support was placed on a roller to create
 369 a guided spherical bearing support at the top of the upper column, i.e., lateral displacement was permitted but it was
 370 limited to in-plane free sliding, while the spherical support permitted free rotation. At the bottom of the lower column,
 371 only a spherical support was provided to permit rotation while restraining translation. A roller boundary support was
 372 provided at the end of the beams. Strain gauges were installed across regions of high strain on the specimens and

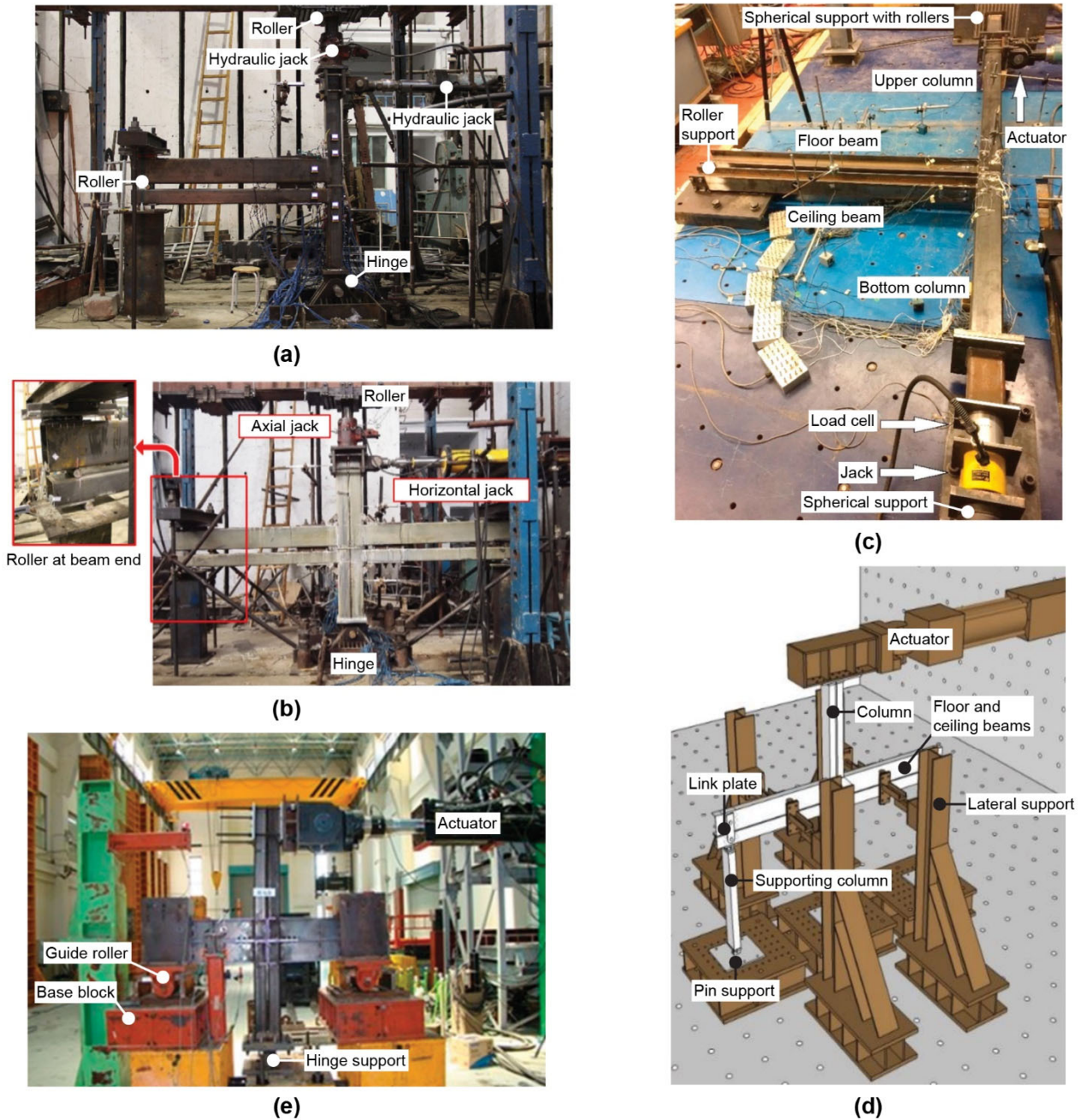
373 linear variable differential transformers were adopted to measure the in- and out-of-plane displacement of the
374 columns and beams. The hysteretic performance and skeleton (load-displacement) curves were presented.
375 Notwithstanding the apparently different experimental setup, similar failure modes were reported including cracking
376 of the floor beam-to-column welds for which enhancement of the beam-to-column connection was recommended
377 (Section 6.3).

378 In another example, **Cho et al. [21]** adopted a different setup which used hinged supports instead of roller supports
379 for the beams in an internal specimen with a blind-bolted connection (Fig. 12d). Hinged struts were added to support
380 each of the two lower beams. The upper and lower beams on each side were then joined by a vertical link plate which
381 was loosely connected by a single bolt at each end to allow rotation. In this way, translation of the lower beam was
382 permitted, however, it was constrained to follow an arc with a radius equal to the length of the hinged strut. Similarly,
383 relative translation between the upper and lower beams was permitted, however, translation of the upper beam relative
384 to the lower beam was constrained to an arc with a radius equal to the length of the hinged vertical link plate. It was
385 reported that these restraints allowed a rigid body rotation of the entire specimen which caused an additional lateral
386 displacement at the top of the upper column. That is, lateral displacement of the beams was accompanied by a
387 corresponding vertical displacement due to the constraint. Therefore, the vertical displacement was measured at the
388 beam ends, and the rigid body rotation and corresponding lateral displacement at the top of the upper column was
389 calculated and subtracted from the total measured lateral displacement. In this way, it was reported that the hinged
390 beam restraints could be adopted without significantly affecting the behaviour of the subassemblage.

391 Use of hinged struts to support the beams simplifies the experimental setup by eliminating the roller supports and the
392 corresponding reaction frames which would otherwise be required to restrain each of the beam ends against vertical
393 translation. However, despite their use in the referenced study [21], hinged beam supports are not recommended for
394 three reasons. First, while the lateral displacement of the column might reach 100 mm or more in a typical specimen,
395 the vertical displacement of the beam end could be only 0.5 mm. Such a small displacement could be difficult to
396 measure accurately and could lead to an inaccurate estimate of the lateral displacement of the column. Secondly, if
397 an axial force is applied to the column during the test, the lateral deformation of the column due to the hinged beam
398 support will have an associated P-Delta effect. Hence, the lateral deformation of the column cannot be estimated
399 based only on the vertical displacement of the beam. Thirdly, the use of hinged rather than roller beam supports can
400 influence the design actions transferred to the IMJs and, hence, the structural behaviour recorded for the IMJs.

401 Among the existing studies, two different cases of restraint for the top of the column were considered. The studies
402 which included an axial force applied to the upper column [18-20] generally provided a hinged roller restraint at the
403 top of the column. In one study a spherical bearing was combined with a roller support, and in two studies only a
404 roller support was provided and the hydraulic jack to column connection was considered to permit sufficient rotation
405 to justify its classification as a hinged connection. Out-of-plane horizontal translation of the upper column was
406 constrained by the rollers which allowed only in-plane horizontal translation. The studies which did not include an
407 axial force [21, 22] generally left the top of the column free (Fig. 12d and e). As the axial deformation of the specimen
408 can affect the structural behaviour of the joint [5, 41, 45], it is recommended that an axial force of 0.1 to 0.3 times
409 the yield strength of the column section [3, 19] should be included in the joint test to obtain the most realistic joint

410 behaviour. This is because the largest shear forces occur in the IMCs at the base of the building where the axial forces
 411 due to self-weight are the largest [5]. Hence, although smaller axial compression forces and axial tension forces are
 412 possible, they are typically associated with smaller shear forces. Therefore, the first case is recommended wherein
 413 some restraint is provided to the top of the upper column by way of application of the axial load.



414 **Fig. 12.** Beam-column subassemblage with column loading for (a) corner specimen [18], (b) internal specimen [19], (c) corner
 415 specimen with post-tensioned modules [20], (d) internal specimen with blind-bolted connection [21] and (e) internal specimen
 416 with connector plates [22]. Annotation revised and added for clarity.
 417

418 The beam-column subassemblages are substantially smaller than the preceding module and frame specimens, hence,
 419 the joint tests can be seen to be more cost effective in terms of the specimen materials and the equipment required
 420 for the tests. Moreover, the joint tests allow an assessment of the IMJ behaviour including the effect of the BCJs.
 421 However, the geometry of the joint specimen is determined by the geometry of the modular frame (Fig. 11) and,
 422 since it is derived based on the points of inflection in the unbraced frame in the transverse XZ plane, the corresponding

behaviours are specific to the unbraced transverse frame geometry. The unbraced modular frame must, therefore, be defined before the joint test can be carried out, and it should be noted that the joint test reveals the structural behaviour of the IMJ in the context of the defined substructure. In addition, the displacement and ultimate failure of the joint specimen may be determined primarily by the BCJ and by the size of the beam and column sections, rather than the IMJ itself. Enhancement of the BCJ may, therefore, be beneficial to reveal the behaviour of the IMJ. On the other hand, if the aim of the experiments is to ascertain the failure modes of prototype IMJs including the BCJs, then enhancement of the BCJ may be necessary to enable adequate performance (Section 6.3).

6.2. Beam-column subassemblage with beam loading (J/B)

The joint test with beam loading (J/B) is the same as that with column loading (J/C), however, the lateral load is applied to the free end of the beams while the axial load is applied to the top of the upper column which is laterally restrained. Fig. 13(a) shows the bending moment diagram for the beam-column subassemblage with beam loading, and Fig. 13(b) shows the corresponding deformed shape of the specimen.

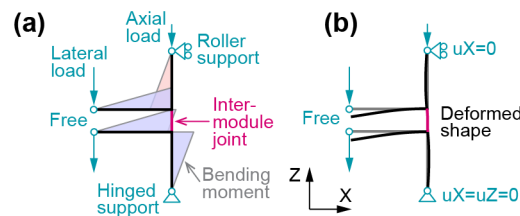


Fig. 13. (a) Beam-column subassemblage with beam loading (J/B) showing bending moment, and (b) deformed shape of the beam-column subassemblage.

The J/B test has been adopted by several researchers to study the in-plane response of corner and end joint specimens with small or zero gap between the floor and ceiling beams (Fig. 14) [23-29]. For example, **Dai et al. [27]** adopted a joint test with beam loading to establish the moment-rotation ($M-\theta$) behaviour of the plug-in self-lock joint for modular buildings (Fig. 14c). The full-scale prototype joint specimens were nominally 3.61 m tall and 1.97 m wide, and consisted of 200x10 SHS columns, 200x180x8 rectangular hollow section (RHS) or 200x180x6x10 H floor beams, 200x180x6 RHS or 200x180x6x8 H ceiling beams, and 8 mm thick plate stiffeners to the beam-to-column joint (BCJ). A small axial force of 0.05 times the yield capacity of the column was applied at the top of the upper column using a hydraulic jack. The lateral (vertical) force was applied using a hydraulic jack which was connected to the free end of the beams with a pinned connection to allow rotation. Eight joint specimens were tested. One specimen was subjected to the axial force in addition to a quasi-static monotonic load applied to the beams. Seven specimens were subjected to the axial force plus a quasi-static cyclic load which was displacement controlled according to ATC-24 [46] and AISC 341 [37]. Lateral displacement of the beams and columns was measured by linear variable differential transformers while strain gauges were installed to monitor high strain areas on the specimens. For the specimen subjected to monotonic lateral loading the moment-drift ratio curve was presented along with a description of the failure modes including local buckling of the beams, development of a plastic hinge in the upper beam, and opening of a 3 mm vertical gap on one side of the inter-module connection (IMC). For the specimens subjected to cyclic lateral loading the hysteretic moment-drift ratio curves were presented and the cyclic envelope curves were determined. The failure modes were also discussed including local buckling and cracking of the beams, weld failure and opening of a gap at the IMC for the specimens with hollow section beams. In contrast, the specimens with H section beams exhibited local buckling of the flanges of the beams without any fracture or cracking. $M-\theta$

458 curves were derived for the inter-module joint (IMJ) by subtracting the rotation of the beam relative to the ground
459 from the rotation of the column relative to the ground. Hence, an $M-\theta$ curve was presented for the specimen with
460 monotonic loading, and similar cyclic envelope curves were presented for the specimens subjected to cyclic loading.

461 In another example, **Ma et al. [29]** subjected three end joint specimens to monotonic beam loading (Fig. 14d). The
462 full-scale joint specimens were nominally 1.75 m tall and 1.5 m wide, and consisted of 150x8 SHS columns,
463 250x140x10 or 200x140x10 cee section beams, and 12 mm thick plate stiffeners to the BCJ of one specimen. The
464 lateral (vertical) force was applied to the beams using a centre hole hydraulic cylinder. No axial force was applied to
465 the specimen. Displacement gauges were adopted to measure the lateral displacement of the beams and columns, and
466 strain gauges were installed to measure strain on the surface of the specimen. Digital image correlation (DIC) was
467 also successfully adopted to measure displacement of the visible front side of the IMJ throughout the loading
468 sequences. Despite the successful use of DIC to measure displacements in experiments on IMCs [40, 47, 48], this
469 was the only study which took advantage of the technology for the IMJs. $M-\theta$ curves were reported for each of the
470 three specimens based on the lateral (vertical) displacement of the beams at the loaded end. The failure modes were
471 also reported and included cracking of the weld at the BCJs and local buckling of the beam flange.

472 The J/B test is the most adopted joint test with eight existing studies applying the test, as compared with five studies
473 applying the J/C test (**Table 2**). It is understood that beam loading is more popular than column loading due to the
474 simpler experimental setup. In the J/B test the axial force is applied to the column while the lateral (vertical) force is
475 applied to the beams. Consequently, there is no need for a complex roller support at the top of the column. Despite
476 its popular use, the J/B is not recommended by this review. The J/B test is like the J/C test because the loading and
477 boundary conditions can produce the same distribution of design actions such as the bending moment throughout the
478 specimen. This can be seen by comparing Fig. 11(a) and (b) with Fig. 13(a). However, due to the different boundary
479 conditions, i.e., top of column restrained for the J/B test, the deformed shape of the specimens is different, as can be
480 seen by comparing Fig. 11(c) and Fig. 13(b). As a result, the J/B test cannot reproduce nonlinear effects such as the
481 P-Delta effect. Moreover, the structural behaviour of the IMJ could be affected by the deformed shape of the specimen
482 [41, 45]. Therefore, even if the experimental results are only used to calibrate a corresponding numerical model, the
483 J/B test may not be sufficient because the different deformed shape can change the active components which can lead
484 to different load transfer mechanisms. It should be noted that such observations, i.e., the J/B test produces a different
485 deformed shape and cannot reproduce nonlinear effects, are not specific to modular structures and have been reported
486 previously for reinforced concrete beam-column joints [49], for example. In the context of modular buildings, it is
487 recommended that the beam loading setup should only be applied to determine the structural behaviour of IMJs if it
488 can be demonstrated that the structural behaviour of the IMJ is not affected by the deformed shape of the specimen.

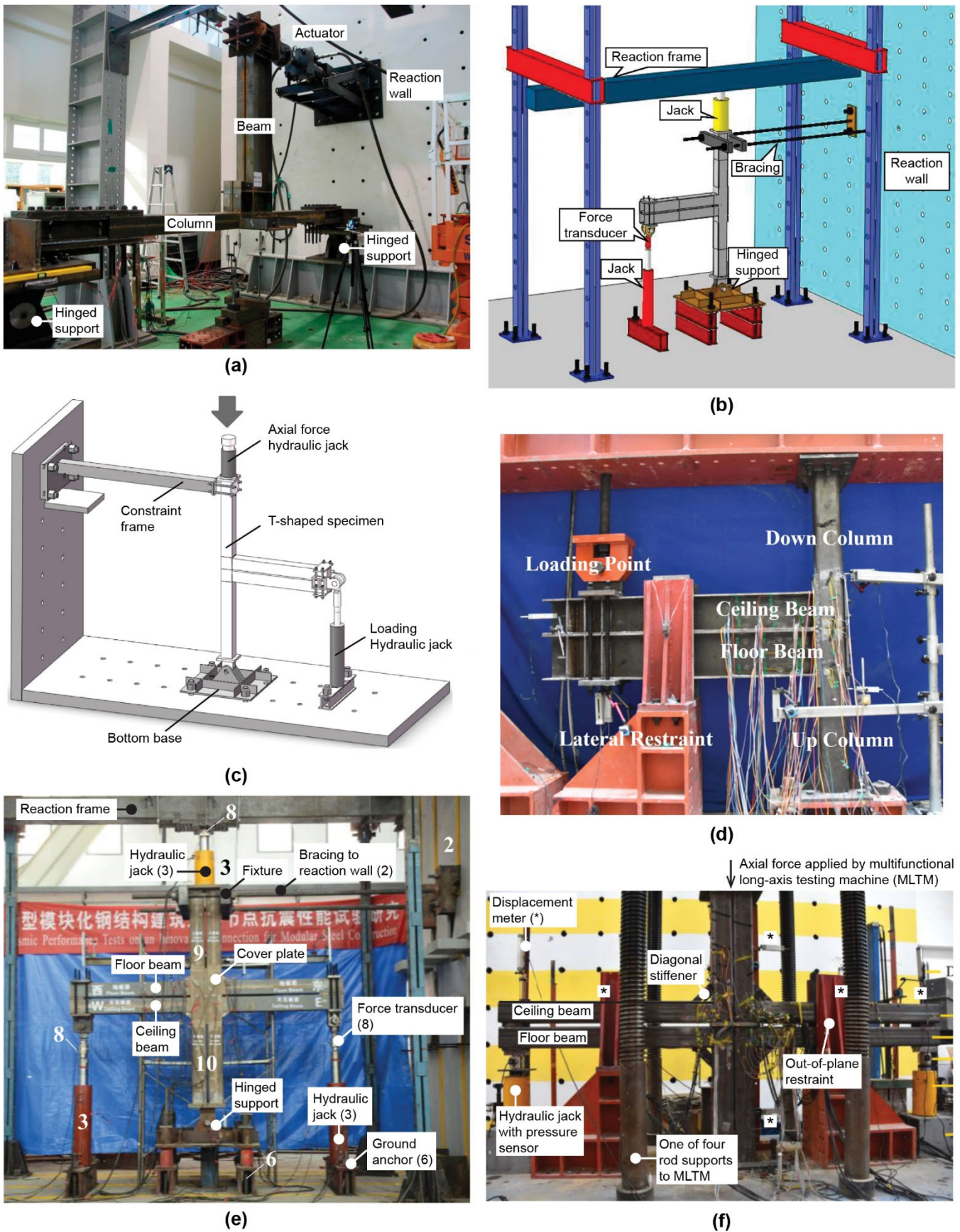


Fig. 14. Beam-column subassemblage with beam loading for specimen with (a) ceiling bracket [23], (b) welded cover plate [25], (c) self-lock connection [27], (d) novel connection with superimposed beams [29], (e) cruciform bolted connection where 9 is the upper module and 10 is the lower module [26], and (f) connection with bolts installed in the columns [28]. Annotation revised and added for clarity.

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6.3. Beam-to-column joint (BCJ) enhancement

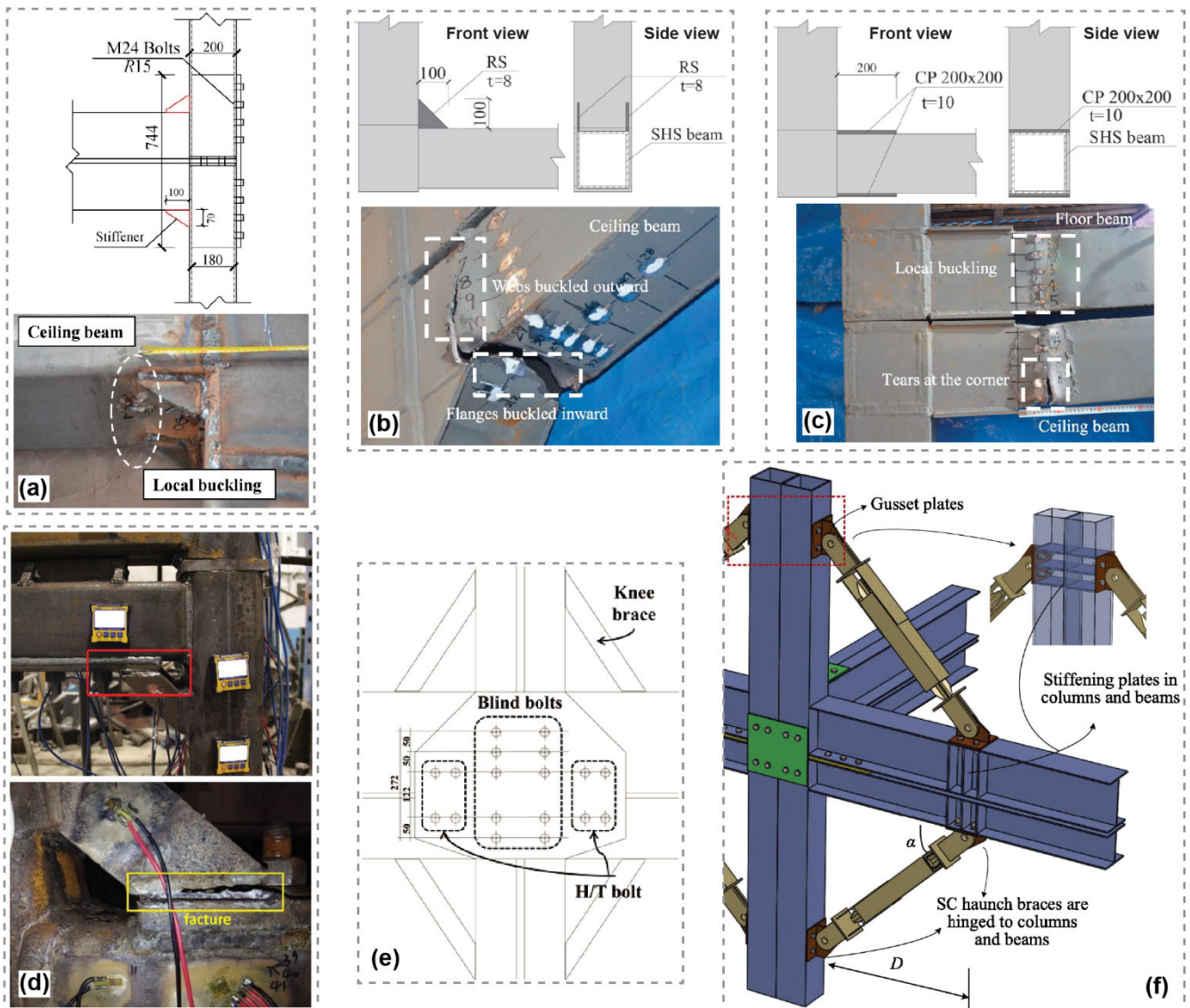
In modular buildings the beam-to-column joints (BCJs) are prone to early failure, irrespective of the experimental setup, i.e., module, frame, or joint test. For a composite steel-concrete frame **Chen et al. [14]** reported debonding of the beam from the column face and cracking and crushing of the beam adjacent to the column face (Section 4). On the other hand, for modular steel buildings, the beams are often connected to the columns by welding. The resulting welded BCJ is vulnerable to cracking [18, 19] (Section 6.1). Fracture of the welded joint is a critical failure mechanism which reduces the specimen stiffness and ultimately leads to complete failure [42]. As mentioned in Section 3, the unbraced modular frame is subjected to the largest bending moment at the BCJ. Apart from stress concentration at the welded connections, this explains why the unbraced modular structures are particularly vulnerable to failure at the BCJ.

Two main approaches are noted in the existing literature to address the potential for failure at the BCJ: local plate strengthening, and knee bracing. The first approach is to install plates to locally strengthen the beams at the BCJ. Due to the requirement to carry axial loads, the columns are generally constructed from larger or thicker steel sections than the steel beams. Therefore, failure of the BCJ begins, for example, by cracking of the beam-to-column weld at the corner of the beam, i.e., the failure begins in the beam rather than the column. The crack propagates vertically through the beam section along the beam-to-column weld which leads to premature failure. The local plate strengthening approach is successful as it shifts the plastic hinge developed in the beam from the vulnerable beam-to-column weld to the edge of the strengthened beam section.

For example, **Deng et al. [25]** proposed two 100x70x10 mm thick triangular plate stiffeners (Fig. 15a). The smaller 70 mm length of the stiffeners was welded to the face of the 200x10 SHS column. The longer 100 mm length was welded to the face of either the 200x6 SHS ceiling beam or the 200x8 SHS floor beam depending on the position of the stiffener. For the monotonic lateral loading (J/B with axial force), the specimens without stiffeners encountered local buckling of the beams at the interface with the column and fracture of the weld between the beam and the column. On the other hand, the specimen with the stiffeners included encountered local buckling of the beams at the edge of the plate stiffeners and fracture of the weld between the stiffeners and the column. For the cyclic lateral loading, the specimens without stiffeners failed due to fracture of the beam-to-column welds which initiated at the beam corners and propagated vertically through the beam webs and column face. The failure was brittle and use of the connection without stiffeners was not recommended except for applications with low seismic loads. In contrast, the specimen with stiffeners exhibited a higher initial rotational stiffness and a ductile failure. The ductile failure occurred as the plastic hinge which developed in the beams was moved 100 mm away from the column face to the edge of the 100x70x10 mm stiffener. Due to the small inelastic deformation capacity the unstiffened connections were only suitable for ordinary moment frames (OMF) according to AISC 341 [37], whereas joints with the stiffeners could be adopted in special moment frames (SMF) due to the increased capacity for inelastic deformation.

Dai et al. [27] proposed two different methods: 100x100x8 mm thick triangular plate stiffeners known as rib stiffeners (Fig. 15b) and 200x200x10 mm thick cover plates (Fig. 15c). The specimens were constructed from 200x10 SHS columns, 200x180x8 RHS or 200x180x6x10 H floor beams, and 200x180x6 RHS or 200x180x6x8 H ceiling

530 beams (Section 6.2). The plate stiffeners were welded at the BCJ, and they were aligned with the web(s) of the beams.
 531 Hence, two stiffeners were provided at each BCJ for specimens with RHS beams, and one stiffener was provided for
 532 specimens with H beams. For the cover plate detail, a cover plate was welded to the upper and lower flanges of the
 533 beam adjacent to the BCJ. Local buckling and cracking of the beams was shifted away from the column face to the
 534 edge of the rib stiffeners (Fig. 15b), or 90 to 120 mm from the edge of the cover plates (Fig. 15c) due to the greater
 535 constraint effect. The maximum strength of the joints with cover plates was up to 12% greater than the strength of
 536 the joints with rib stiffeners. Hence, the cover plates were recommended over the rib stiffeners, especially as the
 537 cover plates took up less space at the corner and effectively reinforced the upper and lower beam flanges.



538

539 **Fig. 15.** Beam-to-column joint (BCJ) enhancements: (a) 2-100x70x10 mm plate stiffeners and example failure mechanism
 540 [25], (b) 2-100x100x8 mm rib stiffeners (RS) and example of failure mechanism [27], (c) 2-200x200x10 mm cover plates (CP)
 541 and example failure mechanism [27], (d) 2-10 mm thick diagonal plate stiffeners with weld fracture highlighted [18], (e)
 542 L50x50x4 knee brace [21] and (f) Self-centering (SC) haunch brace [50].

543 **Chen et al. [18]** and **Chen et al. [19]** proposed two 10 mm thick diagonal plate stiffeners (Fig. 15d). At one end the
 544 plate stiffeners were welded to an 80x150x16 mm thick plate which was in turn welded to the face of the 150x8 SHS
 545 column. At the other end, the plate stiffeners were welded to a 430x150x16 mm thick plate which was in turn welded

546 either to the floor beam or to the ceiling beam depending on the stiffener location. The diagonal stiffeners increased
547 the stiffness and strength of the joint specimen; however, fracture of the stiffener welds was a prominent failure
548 mechanism which could reduce the ductility compared to specimens without the stiffeners.

549 The second approach is to install knee bracing at the BCJ. The knee bracing is larger than the local plate
550 strengthening, and its success is in part due to its ability to reduce the bending moment at the BCJ. For example, the
551 knee brace can transfer axial forces from the beam to the column, leaving the BCJ subjected only to axial and shear
552 forces. For example, **Cho et al. [21]** proposed knee braces constructed from 50x4 equal angle sections (Fig. 15e).
553 The braces were welded to the 200x100x6 RHS column at one end, and the C250x100x4 floor beam or the
554 C150x100x4 ceiling beam at the other end. With the knee braces installed the first yielding occurred in the floor and
555 ceiling beams, followed by local buckling of the ceiling beam, and finally the specimen failed by buckling of the
556 knee braces and floor beams. However, the knee braces increased the initial force-displacement stiffness by a factor
557 of 2 and the peak lateral force by a factor of 1.6, and, hence, were reported to be very effective. As another example,
558 **Zhang et al. [50]** proposed a self-centering (SC) haunch brace (Fig. 15f). The SC haunch brace increased the lateral
559 stiffness throughout the loading and improved the bearing and SC performance. It remains, however, to carry out
560 experiments to verify the numerical performance of the proposed bracing.

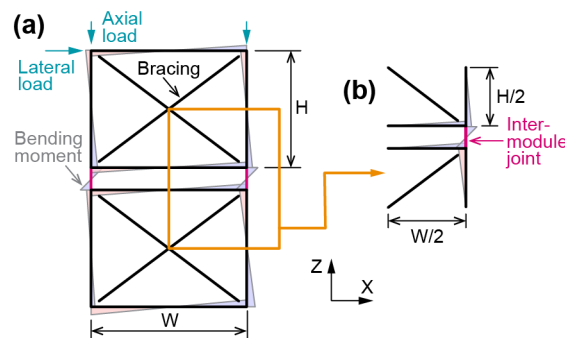
561 Overall, both local strengthening and knee bracing can address the potential for failure at the BCJ. As the BCJs are
562 prone to early failure, the BCJs in a joint specimen may fail before the lateral load is increased to a value large enough
563 to reveal the IMJ behaviour. Therefore, it is recommended that the BCJs should be strengthened by either approach
564 to allow the joint tests to reveal the IMJ behaviour. From another perspective, strengthening of the BCJ is required
565 in the actual modular structure to address the potential for failure. Therefore, the strengthened BCJ must be
566 incorporated in the joint specimen to reflect the actual modular structure. Although the strengthening of the BCJ can
567 change the IMJ behaviour measured in the joint test, the measured IMJ behaviour is expected to reflect that of the
568 actual structure.

569 **6.4. Effect of module bracing**

570 Modular building frames can be braced or unbraced. Although the majority of the existing studies on the inter-module
571 joint (IMJ) focus on the behaviour of unbraced frames, braced frames are commonly adopted in practice and in the
572 existing case studies [51]. It is, therefore, appropriate to consider if the typical beam-column (BC) subassemblage
573 test can be applied in the case of braced frames. Module bracing changes the load path through the modular frame
574 (Section 3). Consequently, a braced frame has a different bending moment diagram than an unbraced frame (Fig. 6).
575 Comparing Fig. 11 and Fig. 13 with Fig. 16 it can be seen that the BC subassemblage with either column or beam
576 loading is not able to reproduce the bending moment diagram of the braced frame. Therefore, the BC subassemblage
577 cannot be adopted to accurately capture the joint behaviour within a braced modular frame.

578 Different joint behaviours are expected for the braced and unbraced frames, which can be explained with reference
579 to the case study frame introduced in Section 3. Comparing the bending moment diagrams for the unbraced and
580 braced frames, it can be seen that the presence of bracing affects the design actions to which the IMJ is subjected. In
581 the unbraced frame (Fig. 6a) the beam-to-column joint (BCJ) is subjected to a large bending moment such that the

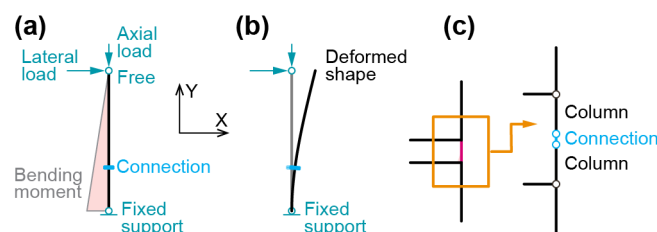
582 measured lateral displacement of the specimen is significantly influenced by the BCJ. In contrast, in the braced frame
 583 (Fig. 6b), the BCJ is subjected to a smaller bending moment, which affects the lateral displacement of the specimen
 584 due to the smaller BCJ response. As the BCJ has less effect on the lateral response of braced frames, there is growing
 585 interest in experimental setups which do not include the BCJ, which is discussed further in Section 6.5. Comparing
 586 the deformed shape of the unbraced and braced frames, the unbraced frame (Fig. 7a) is subjected to larger lateral
 587 displacement, while the braced frame (Fig. 7b) exhibits smaller lateral displacement and a more prominent axial
 588 deformation. The different deformed shape of the frames leads to different deformed shapes for the IMJs, which can
 589 affect the structural behaviour. In addition, while the above discussion refers to elastic analyses, it should be noted
 590 that the introduction of bracing to a modular frame can affect the nonlinear frame response, e.g., change the sequence
 591 of component failure, thereby affecting the design actions applied to, and the response of the IMJs.



592
 593 **Fig. 16.** (a) Braced frame showing bending moment, and (b) bending moment in beam-column subassembly.

594 **6.5. Stub column assembly (J/S)**

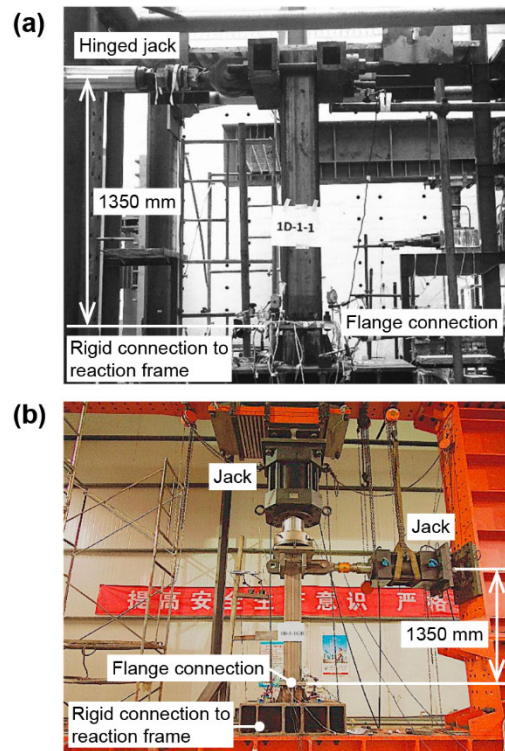
595 The stub column assembly (Fig. 17) consists of two columns, a lower column, and an upper column, which are
 596 connected by the inter-module connection (IMC). The lower column is fixed, i.e., restrained against translation and
 597 rotation, while the top of the upper column is unrestrained to produce a cantilevered column arrangement. A lateral
 598 force is applied to the upper column causing a bending moment and a shear force to be generated in the connection.
 599 The ratio of the bending moment to the shear force can be controlled by varying the distance between the connection
 600 and the height at which the lateral (horizontal) force is applied to the upper column. In some cases, a constant axial
 601 force is applied to the upper column in addition to the lateral force.



602
 603 **Fig. 17.** Stub column assembly (J/S) showing (a) bending moment diagram, (b) deformed shape, and (c) assembly of
 604 connection and short column lengths to model joint.

605 For example, Liu et al. [31] and Liu et al. [32] applied this setup to establish the bending-shear performance (Fig.
 606 18a) and the compression-bending-shear performance (Fig. 18b) of a bolted flange connection. The works established
 607 the moment-rotation ($M-\theta$) behaviour of the connection subjected to a combined bending moment and shear force,
 608 and subjected to a combined bending moment, shear force, and axial force, respectively. Although the works were

609 not specific to volumetric modular structures, the bolted flange connection is similar to the bolted IMCs adopted for
610 modular buildings.

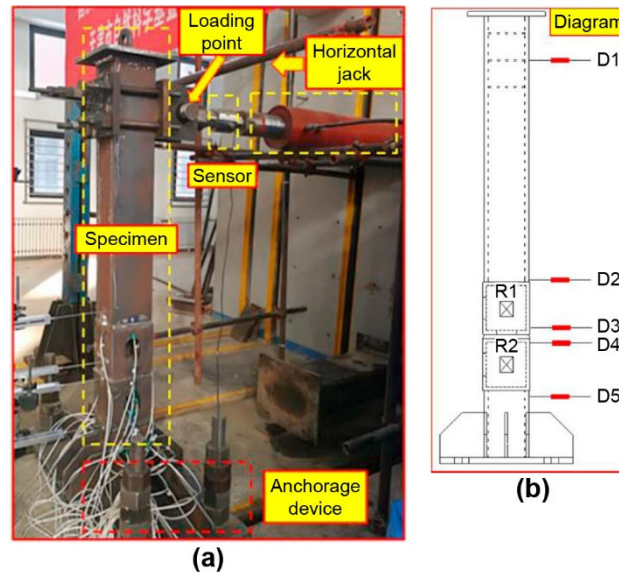


611
612 **Fig. 18.** Stub column assembly test for (a) bending-shear performance [31], and (b) compression-bend-shear performance of
613 bolted flange connection [32].

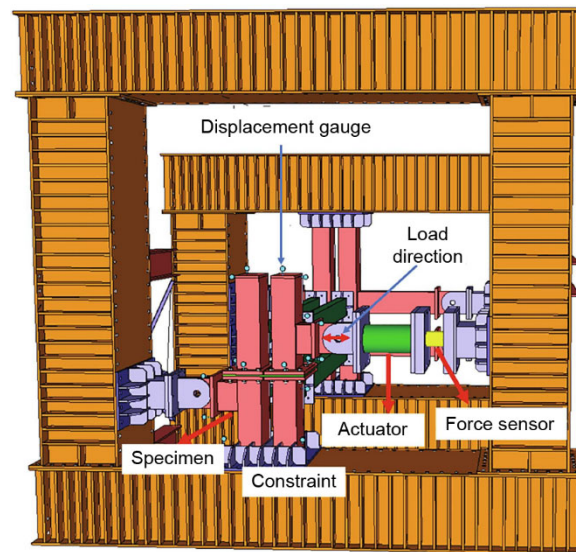
614 Recently, i.e., 2019 to 2021, researchers have adopted this test setup for IMCs in modular buildings [33-36]. For
615 example, **Chen et al. [33]** applied the test to establish the $M-\theta$ behaviour of the rotary connection without an axial
616 force (Fig. 19a). The full-scale specimens were constructed from 200x18 SHS columns. The base of the column was
617 fixed via a large 1300x600x30 mm thick steel plate with 120x6 mm thick stiffening ribs which was welded to the
618 column and bolted to a strong floor. The middle of the new rotary connection was positioned approximately 600 mm
619 above the floor, and the lateral load was applied a further 1200 mm above the middle of the rotary connection.
620 Displacement sensors were installed to determine the lateral displacement at the top of the column where the lateral
621 force was applied, and the lateral displacement, and, hence, rotation of the upper and lower halves of the rotary
622 connection (Fig. 19b). Two inclinometers were also placed on the surface of the specimen to measure the rotation of
623 the upper and lower corner fittings. The monotonic lateral force was applied using a hydraulic jack with load control
624 and 5 kN increments, and loading was continued until the displacement was large, i.e., 200 mm. The rotation of the
625 upper column relative to the lower column was calculated from the measured displacements, and the corresponding
626 $M-\theta$ curve was reported.

627 In another example, **Yang [34]** adopted the stub column assembly to establish the $M-\theta$ behaviour of a semi-rigid
628 connection for modular buildings (Fig. 20). Compared with the tests by Chen et al. [33] (Fig. 19), the length of the
629 column cantilever was small and lateral restraint was applied at the lower beam level. As a result, when the monotonic
630 lateral force was applied to the upper column the IMC was subjected to a small bending moment and a large shear
631 force. The lateral displacement of the columns was measured such that the relative rotation could be calculated and,

632 hence, the $M-\theta$ curve could be derived. It was reported that cracks initiated at the column root and that they propagated
633 as the load was increased.



634
635 **Fig. 19.** Lateral loading of the rotary connection: (a) General arrangement, and (b) displacement (D) and rotation (R)
636 measurement locations [33].



637
638 **Fig. 20.** Connection test for semi-rigid connection for modular buildings [34].

639 Similarly, **Sendanayake et al. [35]** adopted the stub column assembly to establish the monotonic and cyclic $M-\theta$
640 behaviours for a connection incorporating resilient layers (Fig. 21). The full-scale specimens consisted of four 150x5
641 SHS columns, each of which was 500 mm long. The connection between the columns was made up of a combination
642 of 2-, 5-, and 10-mm thick steel plates, and 4.5- or 9.5-mm thick rubber layers which were clamped together by two
643 M30 and four M20 bolts. An 810x250x36 mm thick base plate was welded to two of the columns and bolted to the
644 test frame via eight M24 bolts. A lateral support frame provided support via rollers at the end of the other two
645 columns, while an actuator applied the lateral force with a hinged connection between the actuator and the columns.
646 A displacement controlled monotonic lateral force was applied to four specimens with varying steel and rubber layers
647 forming the resilient layer, and four specimens were subjected to a cyclic lateral force using the AISC 341 [37]

648 loading protocol. Linear variable displacement transducers, strain gauges and laser displacement sensors were
649 adopted to measure the relative displacement between the columns and plates, strain propagation, and the global
650 displacement, respectively. The results included normalised $M-\theta$ curves for the monotonic loading, and hysteretic
651 $M-\theta$ curves for the cyclic loading. The reported failure modes for the monotonic and cyclic loading included yielding
652 of the column end plates and fracture of the welds between the columns and their end plates.

653 As can be seen (Fig. 19 to Fig. 21), the stub column assembly test (J/S) allows variable cantilever height to account
654 for different ratios between the bending moment and shear force in the connection. Due to this flexibility in the ratio
655 between the bending moment and the shear force in the connection, and due to the exclusion of the beam-to-column
656 joint (BCJ) from the specimen, the stub column assembly is particularly suited to establish the behaviour of inter-
657 module joints (IMJs) for braced modular frames. As the BCJ does not significantly affect the lateral response of the
658 braced frame (Section 6.4), it may be excluded from the experimental joint specimen. This, combined with the
659 relative ease of the experiments due to the smaller specimen size, explains the increasing interest in the application
660 of the stub column assembly for IMJs. However, the setup neglects restraint provided by the beams, and the restraints
661 applied to the stub column do not necessarily reflect the actual modular structure. Consequently, the IMJ behaviour
662 cannot be determined directly from the test. Instead, the J/S test can be used to determine the $M-\theta$ behaviour of the
663 IMC. The $M-\theta$ behaviour of the IMC can then be incorporated in a global numerical model along with the additional
664 short column lengths which make up the IMJ (Fig. 17c), as demonstrated by Lacey et al. [5]. In addition, the lateral
665 behaviour of the IMC can be significantly affected by the applied axial force [41, 45]. Therefore, to ensure the
666 accuracy of the structural behaviours obtained it is recommended that an axial force equal to 0.1 to 0.3 times the yield
667 capacity of the column section, a typical range for modular buildings [3, 19], should be included in the experiments.



668
669 **Fig. 21.** Connection test which adopts the stub column assembly for a modular connection incorporating resilient layers [35].

670 6.6. Specimen scale

671 Most of the existing studies adopt full-scale specimens, and only two studies were identified which used a reduced
672 scale (2/3) specimen for the joint tests [18, 19]. The preference for full-scale specimens can be traced to the existing
673 standards for prequalification of connections by cyclic loading. ANSI/AISC 341 [37], for example, specifies that the
674 depth of the beams and columns in the specimen should be at least 90% of the full-scale depth. This ensures that the
675 specimen members are close to the full-scale size so that adverse scale effects are avoided. It is explained that deeper
676 beams have less capacity for inelastic rotation, partly because deeper beams require larger inelastic strain for the
677 same inelastic rotation. It is acknowledged, however, that these scale effects are not completely understood. This is

certainly the case for inter-module joints (IMJs) in modular buildings for which the number of existing studies is very limited.

The existing studies undertake experiments to obtain structural behaviours which can be used to calibrate numerical models prior to parametric study. It is important, therefore, that the experimental specimen reflects the load carrying and failure mechanisms of the actual structure. To this end, a reduced-scale specimen may be adopted for experiments provided that the load carrying and failure mechanisms are similar to those of the full-scale structure. Moreover, reduced-scale tests could be encouraged, as they can enable testing of more specimens which expands the existing literature and is useful in the development of new IMJs. Nevertheless, it should be acknowledged that it may be difficult to ensure similarity of the failure mechanisms between the reduced- and full-scale specimens. The full-scale failure mechanisms may only be revealed by full-scale experiments, which could be undertaken following refinement of the new IMJ design based on a number of reduced-scale experiments.

7. Loading protocol

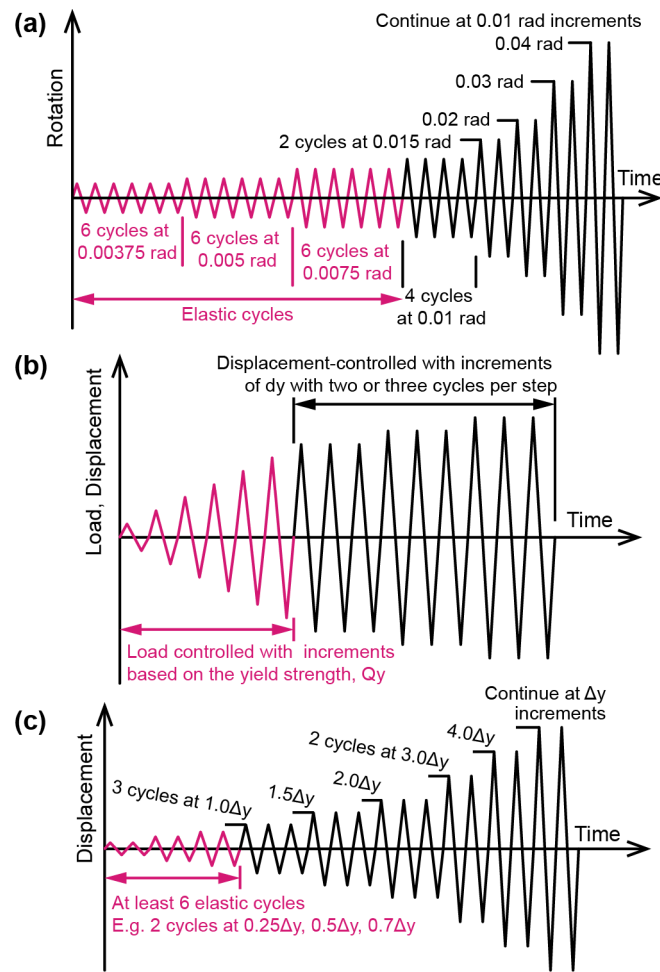
The experiments discussed in Sections 4, 5, and 6 focused mainly on the performance of modular joints subjected to monotonic and cyclic lateral actions. Different studies adopted different standards for the associated loading protocols. Table 3 lists the standards adopted in the existing studies, and Fig. 22 illustrates the corresponding cyclic loading protocols. The Korean Building Code (KBC) [52] and AISC 341 [37] cyclic loading protocols are effectively the same, and this loading protocol was adopted by the largest number of the existing studies, i.e., five studies. In comparison, the ATC-24 [46] and JGJ 101-96 [43] standards were each adopted by four studies.

Table 3. Standards adopted in the existing studies for the cyclic loading protocol.

| Standard | Illustration | Ref. | Test Type |
|--|--------------|------------------|-------------|
| Korean Building Code (KBC) [52] | Fig. 22(a) | [22-24] | J/C, J/B |
| Seismic provisions for structural steel buildings (ANSI/AISC 341, US standard) [37] | Fig. 22(a) | [20, 35] | J/C, J/S |
| Regulations of seismic test method (JGJ 101-96, Chinese standard) [43] | Fig. 22(b) | [14, 18, 19, 30] | M, J/C, J/B |
| Guidelines for cyclic seismic testing of components of steel structures (ATC-24, US standard) [46] | Fig. 22(c) | [17, 25-27] | F, J/B |

ATC-24 [46], one of the first protocols developed for steel components [53], adopts a protocol based on the yield deformation, Δy , which was developed based on statistical analysis of the global drift of single degree of freedom (SDOF) systems. First, the yield displacement is determined either by applying established design equations, or by analysing the results of a monotonic test. In the existing studies [25-27], load-controlled monotonic tests are carried out and the yield load, Q_y , is set as 70% of the ultimate load. After the yield displacement is established, a new specimen is subjected to cyclic loading. Six elastic cycles are applied with an amplitude less than Δy . These elastic cycles are carried out using load control, and three cycles are required to have an amplitude of $0.75Q_y$, where Q_y is the yield load from the monotonic test. To complete the six elastic cycles, ATC-24 shows three initial cycles with an amplitude of $0.5Q_y$. The displacement controlled inelastic cycles follow with three cycles per increment at amplitudes of Δy , $2\Delta y$, and $3\Delta y$. Thereafter the amplitude is increased in increments of Δy with two cycles per increment, until severe strength deterioration is encountered. The existing studies [25-27] terminated the test when the lateral load

708 dropped below 85% of the maximum value.



709

710 **Fig. 22.** Cyclic loading protocol from (a) Korean Building Code [52] and ANSI/AISC 341 (US standard) [37], (b) JGJ 101-96
 711 (Chinese standard) [43], and (c) ATC-24 (US standard) [46]. Q_y is the yield strength and Δ_y is the corresponding yield
 712 displacement from the monotonic test.

713 The existing studies which cite the ATC-24 standard [25-27] deviate slightly from the standard loading protocol.
 714 Firstly, the elastic cycles were conducted using displacement control rather than load control. This is not
 715 recommended by ATC-24 as the high initial stiffness of the specimen for the early elastic cycles can result in small
 716 displacements which are difficult to measure and control with sufficient accuracy. Secondly, the elastic cycles consist
 717 of two cycles at $0.25\Delta_y$, $0.5\Delta_y$, and $0.7\Delta_y$ (Fig. 22c). Although the required total of six elastic cycles is satisfied, the
 718 requirement for three cycles with an amplitude of $0.75Q_y$ is not met. ATC-24 suggests that the yield displacement
 719 can be estimated from the monotonic test based on a control deformation δ^* which is defined as the deformation for
 720 a load of $0.75Q_y$. Therefore, the three cycles at $0.75Q_y$ serve to verify the control deformation for the specimen
 721 subjected to cyclic loading. Since the existing studies [25-27] did not estimate the yield displacement using the ATC-
 722 24 suggested method, it is reasonable that the largest elastic cycles were carried out for an amplitude of $0.7\Delta_y$.
 723 Finally, the existing studies [25-27] introduce an intermediate amplitude of $1.5\Delta_y$. This is generally consistent with
 724 other loading protocols such as AISC 341 [37] which, apart from introducing a larger number of elastic cycles to
 725 account for the possibility of weld fracture during the elastic cycles, introduce cycles at an intermediate amplitude of
 726 0.015 rad [53].

727 There are two main issues with the ATC-24 loading protocol and other protocols which similarly define the cyclic
728 load based on the yield displacement, e.g., JGJ 101-96 [43]. Firstly, the ambiguity of the yield displacement can lead
729 to inconsistency between different researchers which in turn can lead to results which are difficult to compare [53].
730 Secondly, the ATC-24 (Fig. 22c) and JGJ 101-96 (Fig. 22b) loading protocols have only a small number of elastic
731 cycles, i.e., six cycles. This might not be sufficient to identify the potential for weld fracture in the beam-to-column
732 joints (BCJs) during the elastic cycles. For these reasons it is recommended that more recent protocols, such as the
733 AISC 341 [37] loading protocol, are adopted in preference to either ATC-24 or JGJ 101-96.

734 AISC 341 [37] incorporates the standard SAC loading protocol [54] for steel moment connections in beam-column
735 subassemblages which was developed based on the inter-storey drift angle, θ [53]. The test consists of six cycles of
736 each of the amplitudes of 0.00375, 0.005, and 0.0075 rad, then four cycles with an amplitude of 0.01 rad, followed
737 by two cycles for each of the amplitudes of 0.015, 0.02, 0.03, and 0.04 rad (Fig. 22a). The cycles then continue at
738 0.01 rad increments with two cycles per step, until the axial force or the lateral force drops below 80% of the
739 maximum value [53]. In traditional steel frame structures the drift at which the storey yields is typically close to 0.01
740 rad [53]. Thus, the cycles with an amplitude of 0.00375, 0.005, and 0.0075 rad are typically elastic cycles, while the
741 following cycles at 0.01 rad and above represent the inelastic stage. The main advantage of the standard SAC loading
742 protocol incorporated in AISC 341, other than the larger number of elastic cycles and intermediate 0.015 rad
743 amplitude cycles, is the use of the inter-storey drift as the control parameter. This means, firstly, that the same
744 increment amplitudes will be applied consistently by different researchers, and, secondly, that the loading protocol
745 is defined without the need for prior monotonic tests. Nevertheless, as done in the existing study [35], it is
746 recommended that monotonic tests are carried out in addition to the cyclic tests. In this way the cyclic strength
747 deterioration and yield strength of the new modular joints can be well established.

748 The cyclic loading is typically applied slowly to avoid dynamic effects [20, 55], that is, to give the quasi-static
749 behaviour without significant strain rate effects nor inertia resistance. A faster rate of loading may, however, be
750 required to complete the required cycles in a reasonable time. For a beam-column subassemblage with column
751 loading (J/C), Sanches et al. [20] adopted rates of 0.35 to 1.5 mm/s, while Lee et al. [22] applied 0.05 mm/s to a
752 maximum of 110 mm (0.05 rad). For the tests with beam loading (J/B), Lee et al. [24] applied up to 140 mm (0.075
753 rad) at a rate of 0.25 to 1.0 mm/s. In another study, Annan et al. [55] investigated the seismic performance of modular
754 steel-braced frames and applied loads at a rate of 3.5 to 4.0 kN/s for the elastic cycles and 1.8 to 2.2 mm/s for the
755 inelastic cycles. Thus, there is significant variation in the loading rates, i.e., 0.05 to 2.2 mm/s. At the upper end (2.2
756 mm/s), the loading rate is relatively high compared with the recent literature for other structures. For example, Elflah
757 et al. [56] adopted a loading rate of 1.0 to 1.5 mm/min (0.017 to 0.025 mm/s) to establish the moment-rotation (M-
758 θ) behaviour of stainless steel beam-to-tubular column joints, while Ngo et al. [57] used rates of 6 to 9 mm/min (0.1
759 to 0.15 mm/s) to establish the cyclic performance of monolithic and non-corrosive dry geopolymer concrete BCJs.
760 Hence, while rates of 3 to 132 mm/min are supported by the existing literature, 1 to 9 mm/min (0.017 to 0.15 mm/s)
761 is suggested as a starting point to obtain the quasi-static behaviour of IMJs.

762 For the monotonic loading, a linearly increasing lateral load is applied to the specimen. The lateral load can be either

load-controlled or displacement-controlled, and it is typically increased until the lateral load drops below 85% of the maximum value [27]. According to the standard ATC-24 [46] the lateral load should be load-controlled as the high stiffness of the specimen prior to yield leads to small displacements which are difficult to measure accurately. However, no guidance is provided on the rate of loading. As mentioned, Annan et al. [55] adopted a rate of 3.5 to 4.0 kN/s for the elastic behaviour of modular steel-braced frames, however, this could be too fast to guarantee a quasi-static response from the inter-module joint. Alternatively, if the laboratory can accommodate it, displacement-controlled loading could be adopted for which a rate of 1 to 9 mm/min (0.017 to 0.15 mm/s) is suggested.

8. Recommendations for future work

Table 4 summarises the advantages and disadvantages of the experimental methods reviewed in Sections 4, 5, and 6. To standardise future works, joint tests adopting the beam-column (BC) subassembly with column loading (J/C) are recommended to establish the IMJ behaviour for unbraced modular frames. The geometry of the unbraced modular frame, based on which the BC subassembly was defined, should be reported. The beam-to-column joints (BCJs) should be strengthened as required to ensure adequate performance of the prototype and to reveal the IMJ behaviour in the joint tests. Roller supports are recommended for the beam ends, rather than hinged struts which constrain the lateral movement and can influence the IMJ behaviour. Beam loading (J/B) is not recommended; however, such tests could be adopted if it can be demonstrated that the IMJ behaviour is not significantly affected by the different deformed shape of the specimen which results from the different boundary conditions. For the development of new IMJs, reduced scale experimental specimens are suggested for tests undertaken to calibrate the associated numerical models, provided that the load carrying, and failure mechanisms reflect those in the full-scale structure. If, however, the tests are undertaken for prequalification of the BCJ, then full-scale specimens should comply with the relevant standard, such as ANSI/AISC 341 [37].

Use of the stub column assembly (J/S) is recommended for the assessment of IMJs in braced modular frames. It should be acknowledged that the J/S test cannot determine the IMJ behaviour directly. Rather, the J/S test determines the IMC behaviour which can be incorporated in global numerical models along with additional short column lengths as required to complete the IMJ. Consequently, the J/S test could be seen as a test of the IMCs which can be undertaken to determine either the $M-\theta$ or the shear force-displacement behaviour under different combined loading conditions. Otherwise, pure shear tests [40, 48] may be undertaken to establish the pure shear behaviour of the IMC.

To give the most realistic joint behaviour it is suggested that the joint tests should generally be completed with an axial force of 0.1 to 0.3 times the column yield capacity [3, 19]. This follows the modular structures with the largest shear forces occurring in the IMJs at the building base where the axial forces due to self-weight are the largest. Monotonic tests are recommended for the J/C and J/S tests to establish the yield capacity of the new modular joints. The loading should be continued until the lateral load drops below 85% of the maximum value. The tests could be load-controlled, and the existing literature suggests a rate of 3.5 to 4.0 kN/s, however, this could be too fast to ensure quasi-static responses in all cases. Alternatively, if possible, a displacement controlled protocol could be adopted with a rate of 1 to 9 mm/min. Cyclic tests are also recommended for the J/B and J/S tests following the AISC 341 [37] standard. This standard includes a larger number of elastic cycles to identify the potential for weld fracture in

the BCJs and adopts inter-storey drift as the control parameter. Displacement-controlled loading at a rate of 1 to 9 mm/min is suggested for the cyclic tests, continued until the lateral load drops below 85% of the maximum value.

Table 4. Summary of the different tests undertaken in the existing literature to determine the structural behaviours of inter-module joints (IMJs)

| Test Type | Sub-type | Advantages | Disadvantages |
|-------------------|---|--|--|
| Module (M) | - | Most accurate assessment of IMJ behaviour including interaction with other elements such as the BCJ. | Most expensive method due to size of prototype specimen and test facilities required. IMJ behaviour within module can be affected by other elements such as the BCJs. The existing literature is limited to three studies on unbraced modular frames. |
| Frame (F) | - | Accurate assessment of in-plane IMJ behaviour. Less expensive than module test due to smaller size of frame compared with module. | Still expensive due to the size of the prototype specimens and the facilities required. Reduction to frame substructure may not capture three-dimensional module behaviour, and requires out of plane restraint. |
| Joint (J) | Beam-column subassembly with column loading (J/C) | Assessment of IMJ behaviour including the BCJs. Less expensive than frame test as joint specimens are smaller than frame specimens. Recommended for unbraced modular frames. | Resulting behaviour of the IMJ is specific to the defined unbraced transverse frame geometry, and may not be extrapolated to other frame geometries. Displacement and ultimate failure may be controlled by the BCJ rather than the IMJ. |
| | Beam-column subassembly with beam loading (J/B) | Less expensive than joint (J/C) test as restraint at top of column allows simpler setup for application of axial load. Can reproduce design actions in members, i.e., design actions equivalent to joint (J/C) test | Cannot reproduce deformed shape due to different boundary conditions which can affect the IMJ behaviour. Cannot reproduce nonlinear effects such as the P-Delta effect. |
| | Stub column assembly (J/S) | Least expensive due to the small specimen size. Flexible geometry depending on height between modules or otherwise to model different ratios between shear force and bending moment induced in the IMC. Hence, combined loading (shear/bending) can be considered. Recommended for braced modular frames. | Neglects restraint provided by beams, and restraints to stub column may not reflect the actual modular structure. IMJ behaviour cannot be determined directly. Instead, the J/S test determines the IMC behaviour which can be incorporated in global numerical models. Additional steel members may be required to complete the IMJ model. |

9. Concluding remarks

The experimental methods for inter-module joints (IMJs) in modular buildings have been comprehensively summarised and discussed in this paper. The main findings and future research directions are summarised as follows.

1. The module (M) tests are expensive due to the size of the specimen and the facilities required. Moreover, it can be difficult to separate the IMJ and beam-to-column joint (BCJ) behaviours which are incorporated within the measured lateral displacements. However, the module (M) tests include all the key structural elements and offer the most accurate assessment of the IMJ behaviour and its effect on the overall building

810 response. The existing literature is very limited, i.e., only three studies, and focussed on the response of
811 unbraced modular steel frames to quasi-static actions. Due to the accuracy, the module test is recommended
812 for new types of modular structures, and structures subjected to combined, biaxial and dynamic actions.
813 Apart from developing knowledge on the specific structural behaviours, such studies could further establish
814 the requirements for the following substructure tests.

- 815 2. The frame (F) tests offer a reasonable estimate of the in-plane joint behaviour but neglect global failure
816 modes which might occur in the complete structure. Moreover, although the frame specimens are smaller
817 than the module specimens, they are still relatively large and expensive in terms of the materials and facilities
818 required. The existing literature is extremely limited, i.e., only one study which investigated the IMJ
819 behaviour for a particular IMC, and demonstrated that the joint behaviour can differ between the braced and
820 unbraced structures. In other cases, however, it may be sufficient to adopt a joint (J) test with appropriate
821 loading and boundary conditions.
- 822 3. For the joint (J) tests, the beam-column subassemblages and stub column assemblies are smaller and more
823 cost effective than the module and frame specimens. Joint tests adopting the beam-column (BC)
824 subassemblage with column loading (J/C) are recommended to establish the IMJ behaviour for unbraced
825 modular frames, whereas the stub column assembly (J/S) is recommended for braced modular frames.
826 Section 8 gives a summary of the recommendations to standardise the application of such joint tests.
- 827 4. The existing literature focuses on modular structures with a very small or zero gap between the floor and
828 ceiling beams. Further study is needed for structures with a larger gap between the beams. This would allow
829 services to run between the beams, thereby allowing greater flexibility in the layout of services and, hence,
830 greater flexibility in the modular floor plans.
- 831 5. The existing experimental works focus on the response to quasi-static uniaxial monotonic and cyclic lateral
832 loads. Experimental methods for biaxial lateral and dynamic actions remain to be developed.

833 10. CRediT authorship contribution statement

834 **Andrew Lacey:** Conceptualization, Investigation, Formal analysis, Visualization, Writing - original draft. **Wensu**
835 **Chen:** Funding acquisition, Supervision, Validation, Writing - review & editing. **Hong Hao:** Supervision,
836 Validation, Writing - review & editing.

837 11. Declaration of Competing Interest

838 The authors declare that they have no known competing financial interests or personal relationships that could have
839 appeared to influence the work reported in this paper.

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