

## Citation

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# Supplementary Material

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Contained in this supplementary document is:

**Appendix A** A table giving formulae for the gliding box lacunarity (GBL) of various transformations of stationary RACS.

**Appendix B** An explicit relation between  $\hat{L}_{GB}$  and the traditional covariance estimator.

**Appendix C** A brief report on Mandelbrot's  $\mathcal{L}_{M1}$  index and its relation to GBL and contact distributions.

**Appendix D** Detailed methods, results and analysis for the simulation study summarised in [Section 5](#).

**Appendix E** Additional information, including the data and R code, for our application of GBL estimators to a time series of meso-scale forest maps in [Section 6.1](#).

**Appendix F** Further results for the application to decimetre resolution tree canopy maps in [Section 6.2](#).

**Appendix G** A description of the asymptotic computational cost of the GBL estimators.

Other supplementary material is `stationaryracsinference_0.4-01.tar.gz`, which contains an R package with the functions and related tools for computing every GBL estimator that we investigated, and the following files related to our demonstration of GBL estimators on meso-scale forest maps (see [Appendix E](#) for details): `satelliteimages`, `finalcloudandshadowmasks.RData`, `finalmaskedforests.RData`, `manualforrepeating-analysis.Rnw`, `gbltrads.RData`, `gblcs.RData`, `gblccs.RData`, and `gblgs.RData`.

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## A GBL of Intersections, Unions and Invertible Linear Transformations

Pattern	Coverage Probability	Covariance	GBL
$T(\mathbb{X}_1)$	$p_1$	$C_1(T^{-1}(\mathbf{v}))$	$\frac{1}{p_1^2 B ^2} \int \gamma_B(\mathbf{v})C_1(T^{-1}(\mathbf{v}))d\mathbf{v}$
$\mathbb{X}_1 \cap \mathbb{X}_2$	$p_1p_2$	$C_1(\mathbf{v})C_2(\mathbf{v})$	$\frac{1}{p_1^2p_2^2 B ^2} \int \gamma_B(\mathbf{v})C_1(\mathbf{v})C_2(\mathbf{v})d\mathbf{v}$
$\mathbb{X}_1 \cup \mathbb{X}_2$	$p_1 + p_2 - p_1p_2$	$C_1(\mathbf{v}) + C_2(\mathbf{v}) + 2p_1p_2 - 2C_1(\mathbf{v})p_2 - 2C_2(\mathbf{v})p_1 + C_1(\mathbf{v})C_2(\mathbf{v})$	$\frac{1}{(p_1 + p_2 - p_1p_2)^2 B ^2} \int \gamma_B(\mathbf{v}) \left( C_1(\mathbf{v}) + C_2(\mathbf{v}) + 2p_1p_2 - 2C_1(\mathbf{v})p_2 - 2C_2(\mathbf{v})p_1 + C_1(\mathbf{v})C_2(\mathbf{v}) \right) d\mathbf{v}$

Table 1: The coverage probability, covariance and GBL of RACS derived from an invertible linear transformation  $T$  with inverse  $T^{-1}$ , intersection or union of independent stationary RACS,  $\mathbb{X}_1$  and  $\mathbb{X}_2$ . The RACS,  $\mathbb{X}_1$  and  $\mathbb{X}_2$ , have coverage probability  $p_1$ ,  $p_2$  and covariance  $C_1$ ,  $C_2$  respectively.

## B The Relation Between $\hat{\mathbb{L}}_{GB}$ and Covariance

The exact relation between  $\hat{\mathbb{L}}_{GB}(B)$  and  $\hat{C}(\mathbf{v})$  can be obtained using reasoning similar to that used to prove (33) and might be used to bound the difference between  $\hat{\mathbb{L}}_{GB}(B)$  and  $\hat{\mathbb{L}}_C(B)$  with a function of  $\hat{p}$  and  $\hat{C}(\mathbf{v})$ .

**Theorem 3** *Suppose that a set  $X$  with positive volume is observed in an observation window  $W$  and that the region of interest,  $Z$ , is taken to be  $W$ . Then the moments (15) and (16) used in  $\hat{\mathbb{L}}_{GB}$  are*

$$\frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} |X \cap (B \oplus \{\mathbf{y}\})| d\mathbf{y} = \hat{p}|B| \frac{|W|}{|W \ominus \check{B}|} - \frac{1}{|W \ominus \check{B}|} \int_{X \cap W} f(\mathbf{x}) d\mathbf{x} \quad (\text{B.1})$$

and

$$\begin{aligned} \frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} |X \cap (B \oplus \{\mathbf{y}\})|^2 d\mathbf{y} &= \int_{\mathbb{R}^d} \frac{\gamma_W(\mathbf{z})}{|W \ominus \check{B}|} \gamma_B(\mathbf{z}) \hat{C}(\mathbf{z}) d\mathbf{z} \\ &\quad - \frac{1}{|W \ominus \check{B}|} \int_{X \cap W} \int_{\mathbb{R}^d} g(\mathbf{x}, \mathbf{z}) \mathbf{1}_{X \cap W}(\mathbf{z} + \mathbf{x}) d\mathbf{z} d\mathbf{x}, \end{aligned} \quad (\text{B.2})$$

where  $f(\mathbf{x}) = |B| - |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\})|$  and  $g(\mathbf{x}, \mathbf{z}) = \gamma_B(\mathbf{z}) - |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\}) \cap (\check{B} \oplus \{\mathbf{z} + \mathbf{x}\})|$ , which are such that

$$x \in W \ominus (\check{B} \oplus B) \implies f(\mathbf{x}) = 0 \text{ and } g(\mathbf{x}, \mathbf{z}) = 0, \text{ and} \quad (\text{B.3})$$

$$x + \mathbf{z} \in W \ominus (\check{B} \oplus B) \implies g(\mathbf{x}, \mathbf{z}) = 0. \quad (\text{B.4})$$

45

**Proof** We start with the proof of (B.1). Recall that the mass  $|X \cap (B \oplus \{\mathbf{y}\})|$  can be written as an integral of indicator functions

$$|X \cap (B \oplus \{\mathbf{y}\})| = \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_{B \oplus \{\mathbf{y}\}}(\mathbf{x}) d\mathbf{x} = \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_B(\mathbf{x} - \mathbf{y}) d\mathbf{x}.$$

Thus the first moment (15) is

$$\begin{aligned} \frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} |X \cap (B \oplus \{\mathbf{y}\})| d\mathbf{y} &= \frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_B(\mathbf{x} - \mathbf{y}) d\mathbf{x} d\mathbf{y} \\ &= \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \mathbf{1}_{W \ominus \check{B}}(\mathbf{y}) \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_B(\mathbf{x} - \mathbf{y}) d\mathbf{x} d\mathbf{y} \\ &= \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_{W \ominus \check{B}}(\mathbf{y}) \mathbf{1}_{\check{B} \oplus \{\mathbf{x}\}}(\mathbf{y}) d\mathbf{x} d\mathbf{y} \\ &= \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x}) |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\})| d\mathbf{x} \end{aligned}$$

If we define the function  $f(\mathbf{x})$  as

$$f(\mathbf{x}) := |B| - |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\})|$$

then

$$\begin{aligned}
\frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} |X \cap (B \oplus \{\mathbf{y}\})| d\mathbf{y} &= \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x})(|B| - f(\mathbf{x})) d\mathbf{x} \\
&= \frac{|B||X \cap W|}{|W \ominus \check{B}|} - \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x})f(\mathbf{x}) d\mathbf{x} \\
&= \hat{p}|B| \frac{|W|}{|W \ominus \check{B}|} - \frac{1}{|W \ominus \check{B}|} \int_{X \cap W} f(\mathbf{x}) d\mathbf{x}.
\end{aligned}$$

46 This proves (B.1).

The volume  $|(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\})|$  is the volume of the set of box centres,  $\mathbf{y}$ , such that the corresponding box,  $B \oplus \{\mathbf{y}\}$ , both contains  $\mathbf{x}$  and is completely contained in  $W$ . When  $\mathbf{x}$  is away from the edge of  $W$  then this volume is simply  $|B|$  and  $f(\mathbf{x}) = 0$ ,

$$\begin{aligned}
\mathbf{x} \in W \ominus (\check{B} \oplus B) &\implies \mathbf{x} \oplus B \oplus \check{B} \subseteq W \\
&\implies \check{B} \oplus \{\mathbf{x}\} \subseteq W \ominus \check{B} \\
&\implies |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\})| = |\check{B} \oplus \{\mathbf{x}\}| = |B| \\
&\implies f(\mathbf{x}) = 0.
\end{aligned}$$

47 This proves the required property for  $f(\mathbf{x})$ .

Using similar techniques the second moment (16) is

$$\begin{aligned}
&\frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} |X \cap (B \oplus \{\mathbf{y}\})|^2 d\mathbf{y} \\
&= \frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_B(\mathbf{x} - \mathbf{y}) d\mathbf{x} \int_{\mathbb{R}^d} \mathbf{1}_{X \cap W}(\mathbf{z}) \mathbf{1}_B(\mathbf{z} - \mathbf{y}) d\mathbf{z} d\mathbf{y} \\
&= \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \mathbf{1}_{W \ominus \check{B}}(\mathbf{y}) \mathbf{1}_B(\mathbf{x} - \mathbf{y}) \mathbf{1}_B(\mathbf{z} - \mathbf{y}) \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_{X \cap W}(\mathbf{z}) d\mathbf{x} d\mathbf{z} d\mathbf{y} \\
&= \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \mathbf{1}_{W \ominus \check{B}}(\mathbf{y}) \mathbf{1}_B(\mathbf{x} - \mathbf{y}) \mathbf{1}_B(\mathbf{z} + \mathbf{x} - \mathbf{y}) \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_{X \cap W}(\mathbf{z} + \mathbf{x}) d\mathbf{x} d\mathbf{z} d\mathbf{y}.
\end{aligned} \tag{B.5}$$

Note that

$$\begin{aligned} \int_{\mathbb{R}^d} \mathbf{1}_{W \ominus \check{B}}(\mathbf{y}) \mathbf{1}_B(\mathbf{x} - \mathbf{y}) \mathbf{1}_B(\mathbf{z} + \mathbf{x} - \mathbf{y}) d\mathbf{y} &= \int_{\mathbb{R}^d} \mathbf{1}_{W \ominus \check{B}}(\mathbf{y}) \mathbf{1}_{\check{B} \oplus \{\mathbf{x}\}}(\mathbf{y}) \mathbf{1}_{\check{B} \oplus \{\mathbf{z} + \mathbf{x}\}}(\mathbf{y}) d\mathbf{y} \\ &= |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\}) \cap (\check{B} \oplus \{\mathbf{z} + \mathbf{x}\})|. \end{aligned}$$

We will denote the difference between the above and  $\gamma_B(\mathbf{z})$  as

$$g(\mathbf{x}, \mathbf{z}) = \gamma_B(\mathbf{z}) - |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\}) \cap (\check{B} \oplus \{\mathbf{z} + \mathbf{x}\})|.$$

The function  $g(\mathbf{x}, \mathbf{z})$  is zero if either  $\mathbf{x}$  or  $\mathbf{z} + \mathbf{x}$  are in  $W \ominus (\check{B} \oplus B)$  as  $\mathbf{x} \in W \ominus (\check{B} \oplus B)$  implies that  $(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\}) = \check{B} \oplus \{\mathbf{x}\}$  and

$$\begin{aligned} |(W \ominus \check{B}) \cap (\check{B} \oplus \{\mathbf{x}\}) \cap (\check{B} \oplus \{\mathbf{z} + \mathbf{x}\})| &= |(\check{B} \oplus \{\mathbf{x}\}) \cap (\check{B} \oplus \{\mathbf{z} + \mathbf{x}\})| \\ &= |(\check{B} \oplus \{\mathbf{z}\}) \cap \check{B}| \\ &= \gamma_B(\mathbf{z}), \end{aligned}$$

48 and similarly for  $\mathbf{z} + \mathbf{x}$ .

Continuing from (B.5) we get

$$\begin{aligned} &\frac{1}{|W \ominus \check{B}|} \int_{W \ominus \check{B}} |X \cap (B \oplus \{\mathbf{y}\})|^2 d\mathbf{y} \\ &= \frac{1}{|W \ominus \check{B}|} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} (\gamma_B(\mathbf{z}) - g(\mathbf{x}, \mathbf{z})) \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_{X \cap W}(\mathbf{z} + \mathbf{x}) d\mathbf{x} d\mathbf{z} \\ &= \frac{1}{|W \ominus \check{B}|} \left( \int_{\mathbb{R}^d} \gamma_B(\mathbf{z}) \gamma_{X \cap W}(\mathbf{z}) d\mathbf{z} - \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} g(\mathbf{x}, \mathbf{z}) \mathbf{1}_{X \cap W}(\mathbf{x}) \mathbf{1}_{X \cap W}(\mathbf{z} + \mathbf{x}) d\mathbf{x} d\mathbf{z} \right) \\ &= \int_{\mathbb{R}^d} \frac{\gamma_W(\mathbf{z})}{|W \ominus \check{B}|} \gamma_B(\mathbf{z}) \hat{C}(\mathbf{z}) d\mathbf{z} - \frac{1}{|W \ominus \check{B}|} \int_{X \cap W} \int_{\mathbb{R}^d} g(\mathbf{x}, \mathbf{z}) \mathbf{1}_{X \cap W}(\mathbf{z} + \mathbf{x}) d\mathbf{z} d\mathbf{x}. \end{aligned}$$

49 This is the result claimed in (B.2).

## 50 C Mandelbrot's $\mathcal{L}_{M1}$ Index

51 Here we relate  $\mathcal{L}_{M1}$ , which we defined in (18), to GBL and spatial statistics' contact  
52 distributions (Section C.3). We then compare estimates of  $\mathcal{L}_{M1}$  for a binary map of

53 forest locations to results from the FracLac package for ImageJ (Karperien, 2015). Our  
 54 estimates agreed up to an offset of 1 with results from one of the methods in FracLac and  
 55 suggest that users of FracLac, such as Dàvila and Parés (2007), have used  $\mathcal{L}_{M1}$ .

56 In the following we give additional background (Section C.1), and introduce contact  
 57 distributions of stationary RACS (Section C.2). We then derive the relation between  $\mathcal{L}_{M1}$ ,  
 58 GBL and contact distributions (Section C.3), and compare  $\mathcal{L}_{M1}$  estimates to FracLac  
 59 results (Section C.4).

## 60 C.1 Background

61 Mandelbrot (1983, p315) in a section called ‘lacunarity as second-order effect concerning  
 62 the mass prefactor’ described a possible lacunarity index as  $\mathbb{E}[(M/\mathbb{E}[M] - 1)^2]$  where  
 63  $\mathbb{E}[M]$  was the expected mass of a random fractal within a fixed region, assuming that  
 64 the random fractal intersected the fixed region. If we replace the random fractal with a  
 65 stationary RACS that produces topologically regular<sup>1</sup> closed sets then we get

$$\mathcal{L}_{M1}(B) := \frac{\text{Var}(|B \cap \mathbb{X}| \mid |B \cap \mathbb{X}| > 0)}{\mathbb{E}[|B \cap \mathbb{X}| \mid |B \cap \mathbb{X}| > 0]^2}, \quad (\text{C.1})$$

66 where  $B$  denotes a fixed region.

67 The manual for the FracLac package (Karperien, 2005, p26) describes an estimator  
 68 of a lacunarity index as using all boxes that are tested and an estimator of another  
 69 lacunarity index as ‘counting only boxes having pixels’. Since the former represents all  
 70 possible box locations, the latter must be a subset of the box locations and it seems likely  
 71 that the latter uses only boxes that contain *foreground* pixels, which would correspond  
 72 to an estimator of  $\mathcal{L}_{M1}$ .

73 FracLac has been used by a number of authors, including Dàvila and Parés (2007)  
 74 (and Dàvila et al. (2007)), who used a lacunarity index to study plasma protein gels.  
 75 Dàvila and Parés suggest that the lacunarity index that they used was closely related  
 76 to a coefficient of variation, however the index was not GBL as Figure 5 of (Dàvila and  
 77 Parés, 2007) contains estimates that approach zero for small boxes whilst estimates of

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<sup>1</sup>A subset  $A$  of  $\mathbb{R}^d$  is called topological regular if it is equal to the closure of its interior,  $A = \overline{A^\circ}$ .

78 GBL approach  $1/\hat{p}$  for small boxes, where  $\hat{p}$  is the coverage probability estimate. It seems  
79 likely that the index estimated by Dàvila and Parés was  $\mathcal{L}_{M1}$ .

## 80 C.2 Spatial Statistics' Contact Distribution

81 Given a convex set  $B$  containing the origin, the unconditional contact distribution of  
82 stationary RACS,  $\mathbb{X}$ , is defined as (Hansen et al., 1999)

$$F_B^u(r) := \begin{cases} P(\mathbb{X} \cap (\mathbf{x} \oplus rB) \neq \emptyset) & \text{if } r \geq 0 \\ 0 & \text{if } r < 0, \end{cases} \quad (\text{C.2})$$

83 where  $B$  is called the *gauge body*,  $rB = \{r\mathbf{x} : \mathbf{x} \in B\}$  is the gauge body scaled by  $r$ ,  $\emptyset$  is  
84 the empty set, and the location  $x \in \mathbb{R}^d$  is arbitrary due the stationarity of  $\mathbb{X}$ . Estimators  
85 of  $F_B^u(r)$  are described by Chiu et al. (2013, §6.4.5) and are available in the spatstat  
86 package in R (Baddeley et al., 2015).

## 87 C.3 Relation to GBL and Contact Distributions

88 The following gives a relation between  $\mathcal{L}_{M1}(rB)$ ,  $L(rB)$  and  $F_B^u(r)$ . As contact distri-  
89 butions are infinite order properties of RACS the relation shows that  $\mathcal{L}_{M1}$  is also an  
90 infinite order property. The usual estimators of  $F_B^u(r)$  are closely related to box-counting  
91 dimension estimators<sup>2</sup> and so from a purely empirical perspective estimates of  $\mathcal{L}_{M1}$  are  
92 confounded with both GBL estimates and box-counting dimension estimates.

93 **Theorem 4** *Suppose  $\mathbb{X}$  is a stationary RACS with positive coverage probability and that*  
94  *$B$  is a convex set with positive volume such that  $o \in B$ , then*

$$\mathcal{L}_{M1}(rB) = L(rB)F_B^u(r) - 1. \quad (\text{C.3})$$

95

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<sup>2</sup>this seems to be rarely stated and will be discussed elsewhere



**Proof** Let  $Y = |B \cap \mathbb{X}|$ . Then

$$\mathbb{E}[Y] = P(Y > 0)\mathbb{E}[Y|Y > 0] + 0 \quad (\text{C.4})$$

and

$$\mathbb{E}[Y^2] = P(Y > 0)\mathbb{E}[Y^2|Y > 0]. \quad (\text{C.5})$$

The following completes the proof

$$\mathcal{L}_{M1}(rB) = \frac{\text{Var}(|B \cap \mathbb{X}| \mid |B \cap \mathbb{X}| > 0)}{\mathbb{E}[|B \cap \mathbb{X}| \mid |B \cap \mathbb{X}| > 0]^2} \quad (\text{C.6})$$

$$= \frac{\text{Var}(Y|Y > 0)}{\mathbb{E}[Y|Y > 0]^2} \quad (\text{C.7})$$

$$= \frac{\mathbb{E}[Y^2|Y > 0] - \mathbb{E}[Y|Y > 0]^2}{\mathbb{E}[Y]^2/P(Y > 0)^2} \quad (\text{C.8})$$

$$= \frac{\frac{\mathbb{E}[Y^2]}{P(Y>0)} - \left(\frac{\mathbb{E}[Y]}{P(Y>0)}\right)^2}{\mathbb{E}[Y]^2/P(Y > 0)^2} \quad (\text{C.9})$$

$$= \frac{\mathbb{E}[Y^2]P(Y > 0) - \mathbb{E}[Y]^2}{\mathbb{E}[Y]^2} \quad (\text{C.10})$$

$$= \frac{\mathbb{E}[Y^2]}{\mathbb{E}[Y]^2}P(Y > 0) - 1 \quad (\text{C.11})$$

$$= L(rB)F_B^u(r) - 1. \quad (\text{C.12})$$

## 96 C.4 Comparison to FracLac

97 We estimated GBL given square boxes from the binary map in Figure 1 using  $\hat{L}_{\text{GB}}$  and  
 98 also estimated  $F_B^u(r)$  for a square gauge body using a Kaplan-Meier estimator (Hansen  
 99 et al., 1999). These estimates, which we denote  $\hat{L}_{\text{GB}}(rB)$  and  $\hat{F}_B^u(r)$  respectively, were  
 100 plugged into (C.3) to estimate  $\mathcal{L}_{M1}$ ,

$$\hat{\mathcal{L}}_K(rB) = \hat{F}_B^u(r)\hat{L}_{\text{GB}}(rB) - 1. \quad (\text{C.13})$$

101 To the same map we applied FracLac's sliding box (SLAC) methods (Karperien, 2005,  
 102 p25-26) for lacunarity indices (Karperien, 2015). For this computation the parameters in

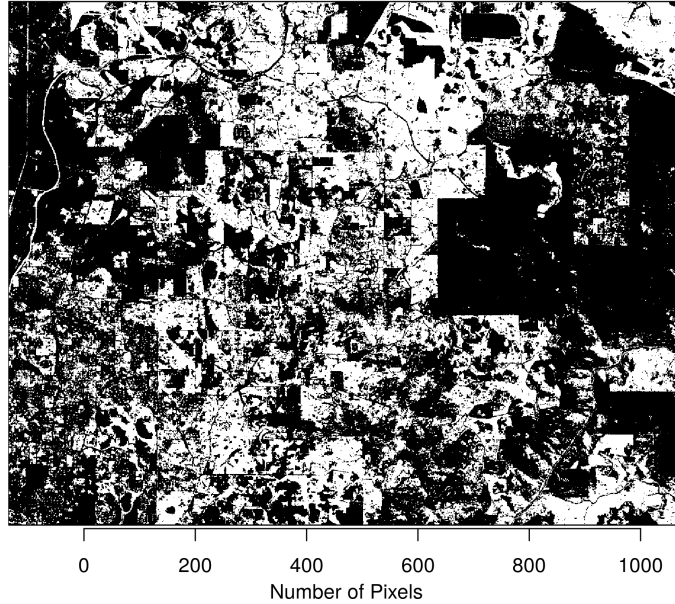


Figure 1: A presence-absence map of forest. FracLac’s ‘SLAC’ computations and estimators of  $\mathcal{L}_{M1}$ , GBL and  $F_B^u(r)$  were applied to this map. Black: Forest. White: Non-forest.

103 FracLac were chosen such that the box location shifted 1 pixel width at a time and 58  
 104 box sizes were used, ranging from 2 pixels to 25% of the image size.

105 The estimated  $\hat{\mathcal{L}}_K(rB)$ ,  $\hat{F}_B^u(r)$  and  $\hat{L}_{GB}(rB)$  are shown in Figure 2 with some of the  
 106 results of the FracLac computations. Note that the estimated  $\hat{F}_B^u(r)$  contains steps due  
 107 to the discrete pixel size in the binary map which is a likely cause of the saw-like features  
 108 in  $\hat{\mathcal{L}}_K(rB)$ . The lacunarity indices reported by FracLac with columns titled ‘ $(\sigma/\mu)^2 + 1$   
 109 for  $L\Omega$ ’ and ‘ $(\sigma/\mu)^2 + 1$  for  $F(mass)$ ’ matched our  $\hat{L}_{GB}(rB)$  and  $\hat{\mathcal{L}}_K(rB) + 1$ , respectively.  
 110 Furthermore the estimates  $\hat{\mathcal{L}}_K(rB)$  here have a similar form to Figure 5 of (Dàvila and  
 111 Parés, 2007) and support our suspicion that Dàvila and Parés used  $\mathcal{L}_{M1}(rB)$  estimates.

## 112 D Simulation Study of GBL Estimators

113 In this section we use simulations of a stationary RACS to compare the performance of  
 114 GBL estimators under different scenarios.

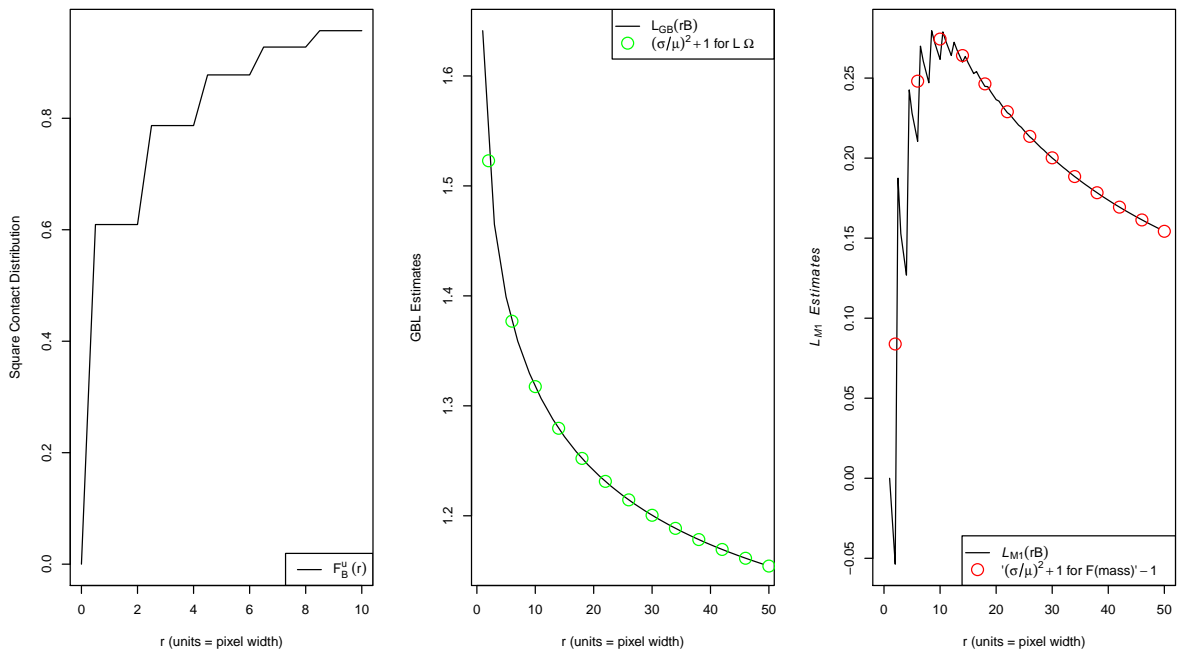


Figure 2: Our estimates (solid lines) of  $F_B^u(r)$  (left),  $L(rB)$  (centre) and  $\mathcal{L}_{M1}(rB)$  (right) with results from FracLac (circles). Here  $B$  is a square of unit width centred on the origin; further details in main body of text. *In centre:* FracLac results are from the column titled ' $(\sigma/\mu)^2 + 1$  for  $L\Omega$ '. *In right:* FracLac results are from the column titled ' $(\sigma/\mu)^2 + 1$  for  $F(\text{mass}) - 1$ ' and offset by 1.

## 115 D.1 Methods

116 We used simulated raster binary maps of a 200 unit  $\times$  200 units study region, denoted  
 117  $Z$ . The pixels in these binary maps were 0.1 units wide. The foreground was created  
 118 by discretising realisations of a stationary RACS,  $\mathbb{X}$ , to the pixel grid. In many cases  
 119 we applied a pattern of occlusions so that the study region  $Z$  was not fully observed. If  
 120 we denote a pattern of occlusions by  $A \subset \mathbb{R}^d$ , discretised to the pixel grid, then in these  
 121 cases the observation window,  $W$ , of the binary map was  $W = Z \setminus A$ .

122 The stationary RACS  $\mathbb{X}$  that we simulated for the foreground of the binary maps was  
 123 a Boolean model<sup>3</sup> with 0.005 expected germs per unit area and grains that were discs  
 124 centred on the origin. The radius of the discs was distributed according to the discrete  
 125 approximation, at integer units of radius, of the probability density function,

$$f(r) = \begin{cases} 0 & \text{if } r < 1, \\ \frac{k}{r^2} & \text{if } 1 \leq r \leq 50, \\ 0 & \text{if } r > 50, \end{cases} \quad (\text{D.1})$$

126 where  $r$  is the radius and  $k$  is a normalising constant. This distribution for the radius  
 127 was similar to the size distribution of discs in Mandelbrot's disc tremas (Mandelbrot,  
 128 1983, §33) and was chosen so that  $\mathbb{X}$  exhibited some multiscale behaviour. The coverage  
 129 probability of  $\mathbb{X}$  was about  $p = 0.44$ . The covariance of  $\mathbb{X}$  can be calculated using the  
 130 set covariance of the discs (Chiu et al., 2013, eq. 1.58, 3.18) and the pair-correlation of  $\mathbb{X}$   
 131 (Figure 3) was such that the probability of points being covered by  $\mathbb{X}$  was independent for  
 132 points further than 100 units from each other. Note that the width of the study region,  
 133  $Z$ , was twice this distance. The RACS  $\mathbb{X}$  was simulated using the function `rbpto` in the  
 134 attached R package. Due to time restriction we do not investigate foreground simulated  
 135 by other RACS.

136 We considered three scenarios for which GBL estimators might be used:

137 • *Scenario 1:* Realisations of  $\mathbb{X}$  were observed in fixed windows. These observation

---

<sup>3</sup>A *Boolean model* is a stationary RACS that is a union of identically distributed independent random sets (called *grains*) centred on the points (called *germs*) of a stationary Poisson point process (Chiu et al., 2013, §3).

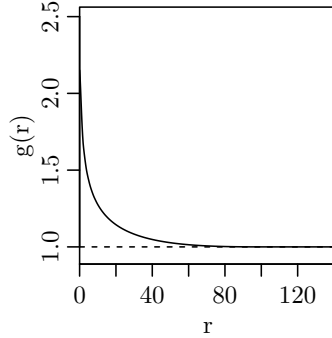


Figure 3: The pair-correlation  $g(r)$  of the foreground process,  $\mathbb{X}$ , for points separated by a distance  $r$ .

138 windows were the full study region  $Z$ ,  $Z$  excluding given various patterns of occlu-  
 139 sions, and a square 40 units wide. The occlusion patterns used covered 2%, 31%,  
 140 50%, 70%, and 90% of the study region. The 40 units wide observation window  
 141 was included to investigate the performance of the estimators when the observation  
 142 window is much smaller than the spatial interaction distance of the RACS observed.  
 143 For each observation window 1000 realisations of  $\mathbb{X}$  were simulated.

144 The occlusion pattern that covered 2% of the study region was a realisation of a  
 145 Boolean model with grains that were discs of radius 2.5 and germ intensity of 0.001.  
 146 The occlusion patterns that covered 31%, 50%, 70%, and 90% of  $Z$  were realisations  
 147 of Boolean models with a germ intensity of 0.005 germs per unit area and grains  
 148 that were discs of deterministic radius equal to 5, 6.8, 8.8, and 12, respectively. The  
 149 observation windows given by these occlusions, along with the full study region and  
 150 the 40 units wide observation window are shown with example realisations of  $\mathbb{X}$  in  
 151 Figure 4.

152 • *Scenario 2:* A realisation,  $X$ , of  $\mathbb{X}$  was fixed and observed in the study region  $Z$   
 153 excluding random patterns of occlusions. The occlusion patterns were generated  
 154 according to a Boolean model,  $\mathbb{O}$ , that had a germ intensity of 0.001 germs per unit  
 155 area, grains that were discs with radius equal to 5, and a coverage probability of  
 156 about 0.076. For this scenario 1000 patterns of occlusions were simulated and the  
 157 realised coverage fraction of the occlusions ranged from 4.4% to 12% of  $Z$ .

158 • *Scenario 3:* A collection of binary maps with foreground given by  $\mathbb{X}$  and observation

159 window given by  $Z \setminus \mathbb{O}$  were simulated, where  $\mathbb{O}$  was the same Boolean model for  
 160 occlusions used in Scenario 2. This scenario thus combined the sources of variability  
 161 in Scenario 1 and Scenario 2. Here  $\mathbb{X}$  and the occlusion pattern  $\mathbb{O}$  were simulated  
 162 1000 times.

163 The estimators  $\hat{L}_{GB}$ ,  $\hat{L}_C$ ,  $\hat{L}_{\kappa H}$ ,  $\hat{L}_{\kappa I}$ ,  $\hat{L}_{\kappa M}$ ,  $\hat{L}_{gI}$  and  $\hat{L}_{gM}$ , defined in (14) and (25) - (30),  
 164 were applied to the simulated binary maps using functions in the attached R package.  
 165 Note that, following conventional procedure,  $\hat{L}_{GB}$  was applied by replacing the region of  
 166 interest in (15) and (16) with the observation window.

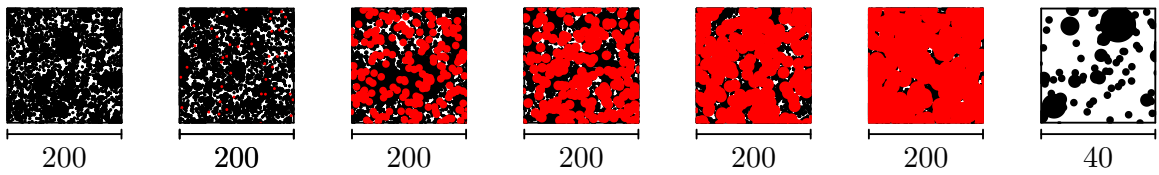


Figure 4: The observation windows used in *Scenario 1* with example simulations of  $\mathbb{X}$ . *Red*: Occlusions - these were not part of the observation window. *Black*: Foreground. *White*: Background. *From left*: Study region without occlusions, the patterns of occlusion that covered 2%, 31%, 50%, 70% and 90% of the study region, the square observation window 40 units wide.

## 167 D.2 Results and Analysis

168 In the following results for each scenario a discussed individually. For Scenario 1 and  
 169 Scenario 2 (22) enables the GBL of  $\mathbb{X}$  to be computed from the covariance of  $\mathbb{X}$  and  
 170 allows us to assess the bias of the GBL estimators.

### 171 D.2.1 Scenario 1

172 The pointwise mean and pointwise variance of the estimators using the fixed observation  
 173 windows of Scenario 1 are shown in Figure 5. Figure 6 shows the pointwise bias of the  
 174 estimators and the pointwise variance relative to the pointwise variance of  $\hat{L}_{\kappa H}$ .

175 **Fully Observed Study Region** When the study region was fully observed all esti-  
 176 mators had similar small bias. The variance of  $\hat{L}_{GB}$  and the balanced covariance-based  
 177 estimators,  $\hat{L}_{gM}$ ,  $\hat{L}_{gI}$ ,  $\hat{L}_{\kappa M}$ ,  $\hat{L}_{\kappa I}$ , and  $\hat{L}_{\kappa H}$ , were very similar to each other, and were sub-  
 178 stantially smaller than the variance of the  $\hat{L}_C$  estimator for box widths greater than 15.

179 The larger variance observed for  $\hat{L}_C$  seems likely to be related to correlations between  
180 the numerator and denominator in each estimator; Figure 7 shows that  $\hat{L}_C$  given boxes  
181 wider than 15 had smaller Pearson correlation between estimated variance of  $|B \cap \mathbb{X}|$   
182 (numerator) and estimated mean of  $|B \cap \mathbb{X}|$  (the square root of the denominator) than  
183  $\hat{L}_{GB}$ ,  $\hat{L}_{\kappa H}$ ,  $\hat{L}_{\kappa I}$  and  $\hat{L}_{\kappa M}$ . Estimates for the variance in box mass and mean of box mass  
184 are not easy to extract for  $\hat{L}_{gI}$  and  $\hat{L}_{gM}$  and are not shown in Figure 7.

185 **Square Observation Window 40 Units Wide** All the estimators applied to binary  
186 maps with the small square observation window showed very large bias. No estimator was  
187 able to give GBL estimates for boxes wider than 40 units as  $\hat{L}_{GB}$  could not place boxes  
188 of this size within the observation window and the covariance-based estimators required  
189 estimates of covariance that were not possible with such a small observation window.

190 The balanced pair-correlation based estimators,  $\hat{L}_{gM}$  and  $\hat{L}_{gI}$ , applied to binary maps  
191 with the small square observation window both have a sharp rise in bias and variance at  
192 a box width of 35 units. In the case of  $\hat{L}_{gI}$  this was caused by two of the 1000 realisations  
193 of  $\mathbb{X}$ ; due to the modified denominator in  $\hat{L}_{gI}$  these two realisations leaped to values on  
194 the order of  $10^6$  at box widths of 35. The increment size of 1 for box widths could have  
195 caused estimates from both realisations to appear to sharply rise at the same box width.  
196 It is a bit puzzling that the bias and variance of  $\hat{L}_{gM}$  experienced a sharp rise at exactly  
197 the same box width as  $\hat{L}_{gI}$ .

198 Except for the sharp rise in the variance of  $\hat{L}_{gI}$  and  $\hat{L}_{gM}$ , the unbalanced covariance  
199 estimator,  $\hat{L}_C$ , had the largest variance for boxes wider than 12, whilst  $\hat{L}_{GB}$  had the  
200 smallest variance and largest bias for boxes wider than 12. A box and whisker plot of the  
201 distribution of  $\hat{L}_{GB}$ ,  $\hat{L}_C$ ,  $\hat{L}_{\kappa H}$  and  $\hat{L}_{gI}$  is shown in Figure 8. Box widths wider than 12  
202 appear to correspond with  $\hat{L}_{gI}$  and  $\hat{L}_C$  producing estimates that were below 1 (occasional  
203 estimates from  $\hat{L}_{\kappa H}$  also appear below 1 for boxes wider than 28).

204 Note that the apparent divergence between estimators at box width of about 12 in  
205 Figure 5 is due to the plotted scale of the GBL estimates; the pointwise variance of GBL  
206 estimators relative to the pointwise variance of  $\hat{L}_{\kappa H}$  have large differences for boxes with  
207 widths smaller than 12 (Figure 6 lower right).

208 **Partial Occlusions of the Study Region** The bias and variance of the balanced  
 209 covariance-based estimators were not noticeably affected by the occlusions that covered  
 210 50% or less of the study region with pointwise variance increasing by a factor of 0.5  
 211 at most. The pattern of occlusions covering 70% of  $Z$  increased the variance of these  
 212 balanced covariance-based estimators by a factor of 1.6 for some boxes. The occlusion  
 213 pattern covering 90% of  $Z$  caused a much larger increase in the bias and variance of these  
 214 estimators, with variance increased by a factor of 5.7 for some boxes.

215 Relative to the balanced covariance-based estimators,  $\hat{L}_{GB}$  was greatly affected by any  
 216 level of occlusions, for example the pattern of occlusion covering 50% of  $Z$  increased the  
 217 variance of  $\hat{L}_{GB}$  by a factor of 14 for some box widths and decreased the box widths for  
 218 which  $\hat{L}_{GB}$  was defined to between 0 and less than 22.

219 In most cases as box size increased the mean of  $\hat{L}_{GB}$  dropped to one and the variance  
 220 of  $\hat{L}_{GB}$  first increased above the variance of the other estimators, and then eventually  
 221 dropped to zero. This occurred, for example, for the study region with 31% occlusion for  
 222 box widths starting from 10 with mean  $\hat{L}_{GB}$  estimates dropping to one for a box width of  
 223 27. This behaviour is explained by the reduced box locations available to  $\hat{L}_{GB}$  for larger  
 224 box sizes in complicated observation windows: As box width increases the set of box  
 225 centre locations used by  $\hat{L}_{GB}$ , which is  $W \ominus \check{B}$  where  $B$  is the box (see (15) and (16)),  
 226 becomes comprised of smaller (and eventually fewer) regions. Boxes located with centres  
 227 very close to each other overlap almost entirely, and it follows that the second moment box  
 228 mass estimates for  $\hat{L}_{GB}$  (16) behave like estimates from increasingly correlated samples.  
 229 When the box is sufficiently large that  $W \ominus \check{B}$  is comprised by a single small region then  
 230 the observed variance in box mass within any binary map is close to zero as the mass  
 231 of boxes centred in  $W \ominus \check{B}$  is highly correlated, and the resulting  $\hat{L}_{GB}$  estimate is nearly  
 232 one.

233 The unbalanced covariance-based estimator,  $\hat{L}_C$ , performed better than  $\hat{L}_{GB}$  for the  
 234 partially occluded observations of  $Z$ , but had substantially higher variance than the  
 235 balanced covariance-based estimators.



236 **Further Notes** Excluding estimates from the small square observation window, the  
 237 variance of each of the estimators had a local minima near box widths of 15; we are not  
 238 sure of the cause for this local minima. Local minima in the variance of centred covariance  
 239 and pair-correlation estimators were also observed by Picka (1997).

240 In Figure 6 there is a jump in pointwise bias at box widths of about 12, this seems  
 241 to be caused by our numerical quadrature as it corresponds to a jump in the GBL of  
 242  $\mathbb{X}$ , which was computed from the model parameters and altering the resolution of the  
 243 computed covariance of  $\mathbb{X}$  created additional jumps.

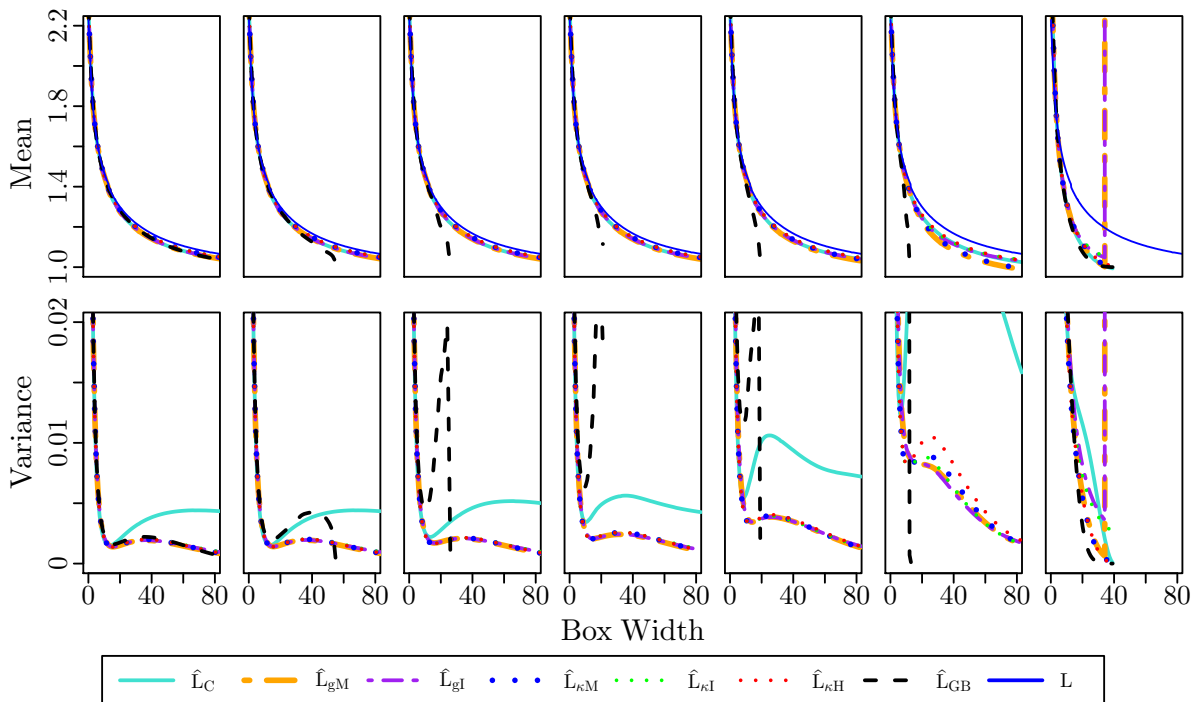


Figure 5: Results of Scenario 1. *Top*: The pointwise mean of each estimator for each observation window. *Bottom*: The pointwise variance of estimates from each estimator for each observation window. *From left*: Study region  $Z$  without occlusions;  $Z$  excluding the patterns of occlusion that covered 2%, 31%, 50%, 70% and 90% of the study region; the square observation window 40 units wide. Note that the variance and mean of the balanced covariance-based estimates were very similar in most cases and are difficult to distinguish from each other in these figures.

## 244 D.2.2 Scenario 2

245 The pointwise mean and variance of the GBL estimators for Scenario 2 are shown in  
 246 Figure 9 with examples of the simulated binary maps. Also shown for each box width  
 247 is the proportion of realisations for which there were no locations,  $y$ , such that a box

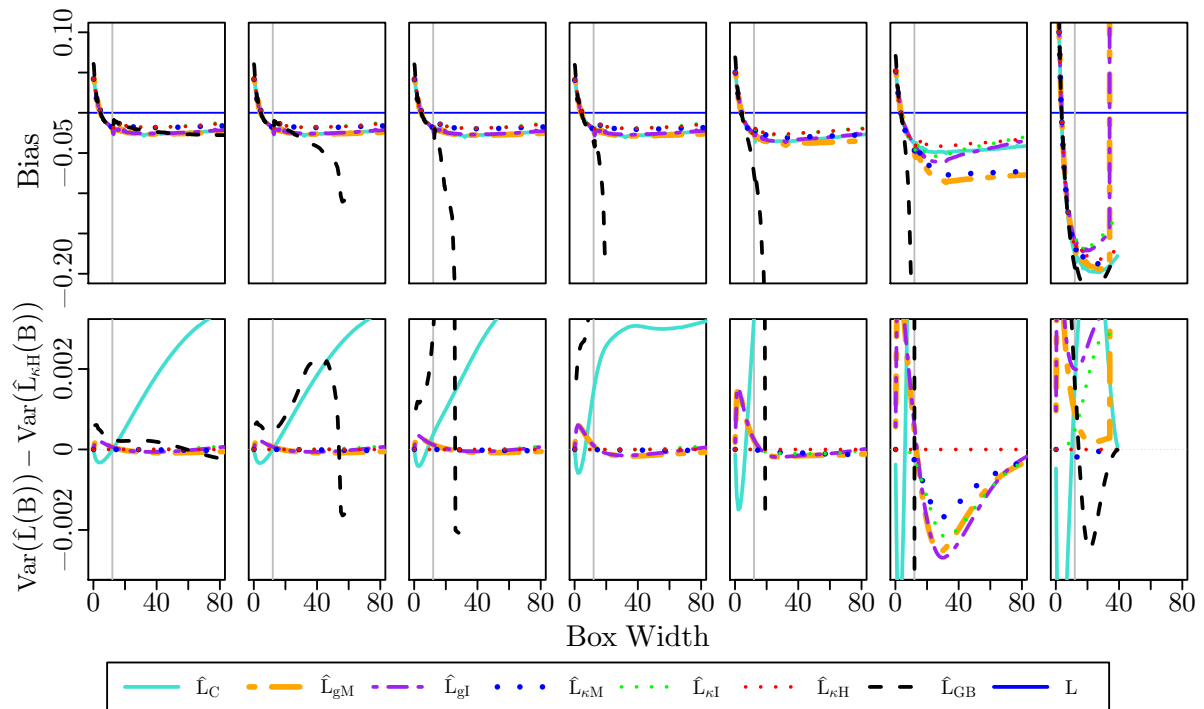


Figure 6: Pointwise bias (top) and pointwise variance relative to the pointwise variance of  $\hat{L}_{\kappa H}$  (bottom). The box width of 12 is marked by a vertical grey line.

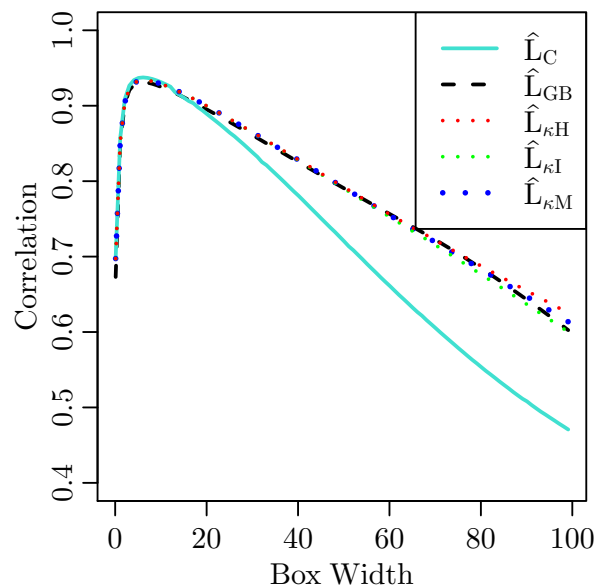


Figure 7: The Pearson correlation between estimated variance of box mass and estimated mean box mass from  $\hat{L}_{GB}$ ,  $\hat{L}_C$ ,  $\hat{L}_{\kappa H}$ ,  $\hat{L}_{\kappa I}$ , and  $\hat{L}_{\kappa M}$  when the study region was fully observed.

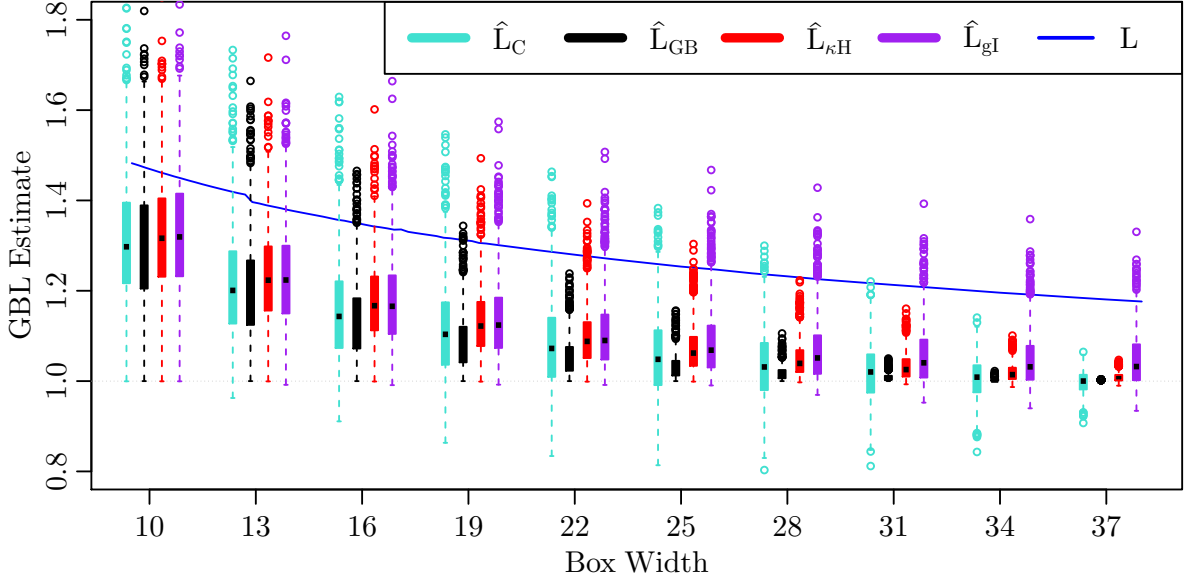


Figure 8: Distributions of estimators for the small square observation window in Scenario 1. Shown are box and whisker plots for the distributions of  $\hat{L}_C$ ,  $\hat{L}_{GB}$ ,  $\hat{L}_{\kappa H}$  and  $\hat{L}_{gI}$  at box widths of 10, 13, 16, 19, 22, 25, 28, 31, 34, and 37. The whiskers either mark the 1st and 3rd quartiles of the distributions, or are a maximum length of 1.5 of the interquartile range. The GBL of  $\mathbb{X}$  is the solid blue line.

248 centred on  $y$  was fully contained in  $W$  (i.e.  $W \ominus \check{B} = \emptyset$ ); for these realisations  $\hat{L}_{GB}$   
 249 for the given box width was undefined. The variance of the balanced covariance-based  
 250 estimators observed here is 25 times smaller than the variance observed in Scenario 1.

251 For boxes wider than about 20 the balanced covariance-based estimators were closer  
 252 to the result that  $\hat{L}_{GB}$  would have obtained if  $Z$  was fully observed (Figure 9, top right)  
 253 and the balanced covariance-based estimators had much smaller variance than  $\hat{L}_{GB}$  even  
 254 for small boxes. For box widths above 40  $\hat{L}_{GB}$  was increasingly unable to produce an  
 255 estimate (Figure 9 centre row, right column) and was more likely to give estimates close  
 256 to one, which is consistent with the low variance observed for  $\hat{L}_{GB}$  given large boxes in  
 257 Scenario 1.

258 The pointwise variance  $\hat{L}_C$ ,  $\hat{L}_{\kappa H}$ ,  $\hat{L}_{\kappa I}$ ,  $\hat{L}_{\kappa M}$  and  $\hat{L}_{GB}$  have a local minima for box  
 259 widths between 1 and 12, most prominently for  $\hat{L}_C$  and  $\hat{L}_{GB}$ . We are not sure of the cause  
 260 for these local minima.

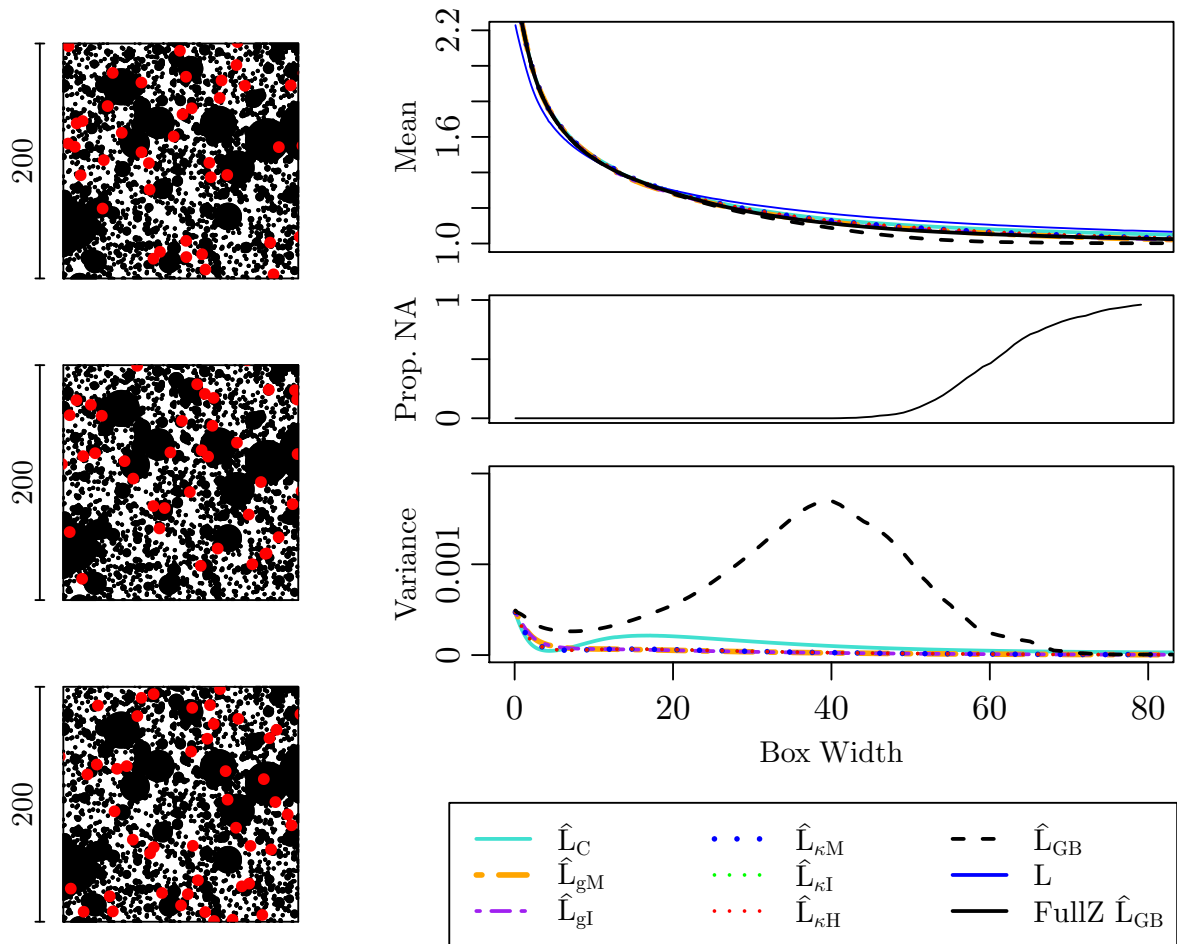


Figure 9: Results for *Scenario 2*. *Left*: The realisation,  $X$ , of  $\mathbb{X}$  with example occlusion patterns. *Black*: Foreground. *White*: Background. *Red*: Occlusions. *Right*: *Top*: Pointwise mean of estimators. *Middle*: For each box width the proportion of realisations for which  $\hat{L}_{GB}$  was undefined. *Bottom*: Pointwise variance of estimators. *In top right - solid black line*: The  $\hat{L}_{GB}$  estimate from  $X$  fully observed in  $Z$ .

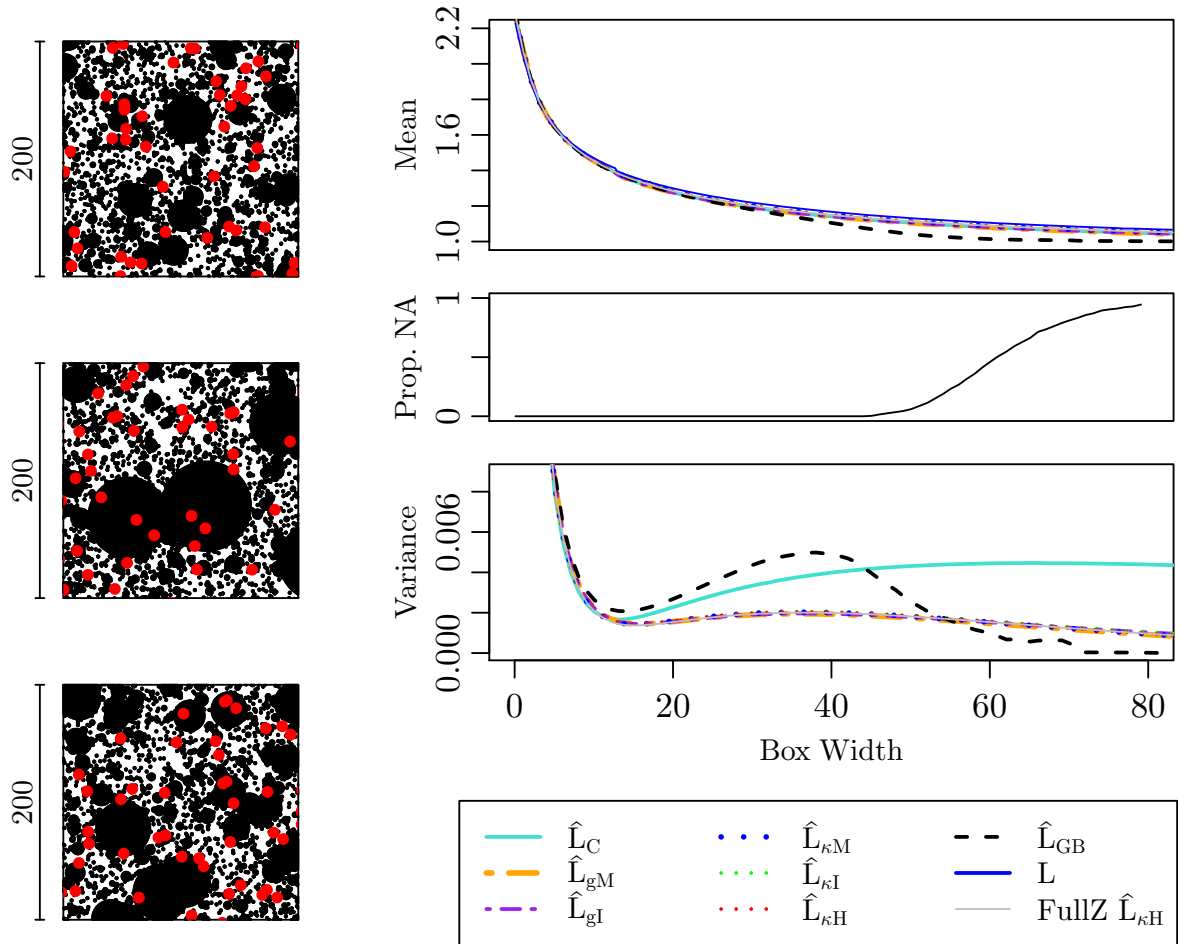


Figure 10: Results of *Scenario 3*. *Left*: Example binary maps. *Black*: Foreground. *White*: Background. *Red*: Occlusions. *Right*: *Top*: Pointwise mean of estimators. *Middle*: For each box width the proportion of realisations for which  $\hat{L}_{GB}$  was undefined. *Bottom*: Pointwise variance of estimators. *Grey line*: The pointwise mean and pointwise variance of  $\hat{L}_{\kappa H}$  when  $Z$  was fully observed.

### 261 D.2.3 Scenario 3

262 Example binary maps, and the pointwise mean and variance of GBL estimators for Sce-  
263 nario 3 are shown in Figure 10. Also shown for each box width is the proportion of  
264 realisations for which there were no locations,  $y$ , such that a box centred on  $y$  was fully  
265 contained in  $W$  (i.e.  $W \ominus \check{B} = \emptyset$ ); for these realisations  $\hat{L}_{GB}$  for the given box width was  
266 undefined. As with the previous studies the bias and variance of the covariance-based  
267 estimators were very similar to each other. The bias of  $\hat{L}_{GB}$ , which diverges from the  
268 covariance-based estimators at box widths of about 27, and the variance of  $\hat{L}_{GB}$ , which  
269 is first high and then drops to zero, are consistent with the behaviour of  $\hat{L}_{GB}$  in Sce-  
270 nario 1. For boxes wider than approximately 50  $\hat{L}_{GB}$  was often unable to produce an  
271 estimate (Figure 5 centre row, right column), which has caused some sharp features in  
272 the pointwise variance at box widths of about 70.

## 273 E Supplement to GBL Estimators Applied to Meso- 274 Scale Forest Maps

275 This section describes the satellite data used Section 6.1, and then describes the method  
276 used to obtain forest presence-absence maps (Sections E.2 - E.3) and estimate GBL  
277 (Section E.4). The R code used in each step is included. Section E.5 provides R code for  
278 recreating the figures found in the main body of the text. Intermediate data is included  
279 so that the reader may skip to any section without performing earlier processing (see  
280 comments in R code).

281 Related files are listed in Table 2.

282 To execute the R code provided in this section you will need to

- 283 1. Install R: Follow the instructions on <https://www.r-project.org/> to install R.
- 284 2. Install the R packages `spatstat`, `raster`, `RcppRoll` and `maptools` from CRAN: In  
285 R run

```
> install.packages(c("spatstat", "raster", "maptools", "RcppRoll"))
```

<code>stationaryracsinference_0.4-01.tar.gz</code>	An R package containing the GBL estimators.
<code>satelliteimages</code>	A directory containing the satellite images in ERMapper raster format
<code>finalcloudandshadowmasks.RData</code>	The cloud and shadow masks used to correct the forest masks
<code>finalmaskedforests.RData</code>	The final forest masks in a format provided by R's <code>raster</code> package
<code>manualforrepeatinganalysis.Rnw</code>	The source code for this section. To recreate this document run R <code>Sweave</code> on <code>manualforrepeatinganalysis.Rnw</code> . It takes less than 10 minutes on a 3.6Ghz Ubuntu desktop.
<code>gbltrads.RData</code>	The gliding box estimates in <code>spatstat</code> 's <code>fv</code> format
<code>gblcs.RData</code>	The unbalanced covariance-based estimates in <code>spatstat</code> 's <code>fv</code> format
<code>gblgs.RData</code>	The pair-correlation based estimates in <code>spatstat</code> 's <code>fv</code> format
<code>gblccs.RData</code>	The centred covariance-based estimates in <code>spatstat</code> 's <code>fv</code> format

Table 2: Auxiliary files to this document

286 3. Install `stationaryracsinference`: From inside R run:

```
> install.packages("<PATH TO PACKAGE>", repos = NULL, type = "source")
```

287 where `<PATH TO PACKAGE>` is the path to the file `stationaryracsinference_0`  
288 `.4-01.tar.gz`.

## 289 E.1 Data

290 The satellite imagery used in [Section 6.1](#) was part of the ARG25 (Australian Reflectance  
291 Grid 25m resolution) dataset which is a time series of calibrated multispectral 25m resolu-  
292 tion imagery of Australia derived by Geoscience Australia from USGS's Landsat imagery  
293 (Geoscience Australia, 2015). More information on ARG25 can be found at: [http://](http://www.ga.gov.au/metadata-gateway/metadata/record/75062/)  
294 [www.ga.gov.au/metadata-gateway/metadata/record/75062/](http://www.ga.gov.au/metadata-gateway/metadata/record/75062/) The ARG25 data is un-  
295 der the Creative Commons Attribution 4.0 International Licence [https://creativecommons.](https://creativecommons.org/licenses/by/4.0/)  
296 [org/licenses/by/4.0/](https://creativecommons.org/licenses/by/4.0/).

297 Images captured from December 2015 to the end of March 2016 of a region (Geoscience

298 Australia tile SI50) near Albany, Western Australia, were extracted from ARG25. The  
299 seven available ARG25 images were captured on

December 12th 2015

December 16th 2015

December 24th 2015

300 January 9th 2016

February 10th 2016

February 26th 2016

March 29th 2016.

301 Only the December 16th image was captured by Landsat 7; all other images were captured  
302 by Landsat 8.

303 A subregion that contained a multiscale forest pattern and for which the imagery  
304 contained a range of cloud occlusions was chosen to demonstrate the estimators of GBL.  
305 The extent of this subregion was (in GDA94 coordinates)

306 Top Left: 504835.17E 6195582.10N

Bottom Right: 523585.17E 6176832.10N.

307 The imagery can be found in ERMMapper format in the `satelliteimages` directory. Each  
308 image contains the following six bands: blue, green, red, near-infrared, shortwave infrared  
309 1 and shortwave infrared 2. This corresponds to the Landsat 7 band numbers of 1, 2,  
310 3, 4, 5 and 7, and the Landsat 8 band numbers of 2, 3, 4, 5, 6 and 7 (U. S. Geological  
311 Survey, 2018).

312 The following R code will load and plot the imagery in false colour.

```
> library(raster)
> #read in the ers files using the raster package
> i1208 <- brick("satelliteimages/l8region02_20151208.ers")
> i1216 <- brick("satelliteimages/l7region02_20151216.ers")
> i1224 <- brick("satelliteimages/l8region02_20151224.ers")
> i0109 <- brick("satelliteimages/l8region02_20160109.ers")
> i0210 <- brick("satelliteimages/l8region02_20160210.ers")
```



```

> i0226 <- brick("satelliteimages/l8region02_20160226.ers")
> i0329 <- brick("satelliteimages/l8region02_20160329.ers")
> #for convenience make into a list
> #chronologically ordered
> raslist.sptrl <- list(
+   s1208 = i1208,
+   s1216 = i1216,
+   s1224 = i1224,
+   s0109 = i0109,
+   s0210 = i0210,
+   s0226 = i0226,
+   s0329 = i0329)
> #plot the spectral data
> par(mfrow = c(1, 7))
> a <- lapply(raslist.sptrl, plotRGB,
+             b = 2, g = 3, r = 4, stretch = "lin")

```

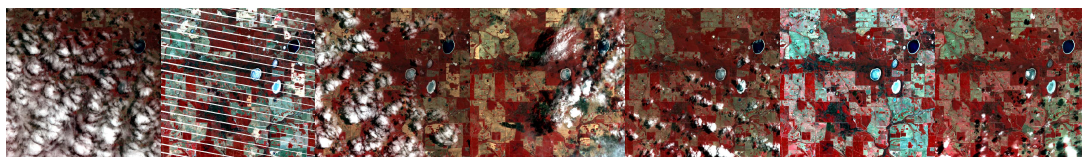


Figure 11: The satellite photographs in false colour in chronological order from left to right.

## 313 E.2 Extracting of Forest Masks

Forest masks were created from the satellite imagery by applying thresholds to combinations of spectral values. For the Landsat 8 images any pixel satisfying

$$2700 < i_4 + 3i_5 < 10000 \quad (\text{E.1})$$

$$i_4 - i_2 > 1273 \quad (\text{E.2})$$

314 was classified as forest where  $i_2$ ,  $i_4$  and  $i_5$  were the spectral values of the green, near-  
315 infrared and shortwave infrared 1 bands respectively.

For the Landsat 7 image (December 16th's) any pixel satisfying

$$2700 < i_4 + 2i_5 < 10000 \quad (\text{E.3})$$

$$i_4 - 2i_2 > 690 \quad (\text{E.4})$$

316 was classified as forest, where  $i_2$ ,  $i_4$  and  $i_5$  were the spectral values of the green, near-  
317 infrared and shortwave infrared 1 bands respectively.

318 These two sets of conditions were chosen to closely approximate the true forest cover  
319 and give similar forest presence-absence maps from each image. The following code applies  
320 the above conditions to the images.

```
> #function for classifying an individual pixel as forest in a
> #landsat 8 photograph
> l8fmasker.ppixel <- function(x) {
+   #x is a pixel, input is in b2,b4,b5
+   if (any(is.na(x))) {return(NA)}
+   else if ( (x %% c(0, 1, 3)) > 2700 &&
+             (x %% c(0, 1, 3)) < 10000 &&
+             x %% c(-1, 1, 0) > 1273 ) {
+     return(TRUE)
+   }
+   else {return(FALSE)}
+ }
> #function for extracting the mask from an array of pixels
> l8fmasker <- function(x) {
+   #x is a raster object
+   l8.fm <- calc(subset(x, c(2, 4, 5)), l8fmasker.ppixel)
+   return(l8.fm)
+ }
```

```

> #function for classifying an individual pixel as forest in
> #Landsat 7 photograph
> l7fmasker.ppixel <- function(x) {
+   #x is a pixel, input is in b2,b4,b5
+   if (any(is.na(x))) {return(NA)}
+   else if ( (x %>% c(0, 1, 2)) > 2700 &&
+             (x %>% c(0, 1, 2)) < 8000 &&
+             x %>% c(-2, 1, 0) > 690 ) {
+     return(TRUE)
+   }
+   else {return(FALSE)}
+ }
> #function for extracting the mask from an array of pixels
> l7fmasker <- function(x) {
+   #x is a raster object
+   l7.fm <- calc(subset(x, c(2, 4, 5)), l7fmasker.ppixel)
+   return(l7.fm)
+ }
> #apply the above masking functions to each image
> #Landsat 8 images
> raslist.fm.raw <- lapply(raslist.sptrl[
+   names(raslist.sptrl) != "s1216"],
+   l8fmasker)
> #Landsat 7 image
> raslist.fm.raw <- c(raslist.fm.raw,
+   s1216 = l7fmasker(raslist.sptrl$s1216))
> #chronologically order list again
> raslist.fm.raw <- raslist.fm.raw[names(raslist.sptrl)]
> #plot
> par(mfrow = c(1, 7), mar = c(0, 0, 0, 0), oma = c(0, 0, 0, 0))

```

```
> a <- lapply(raslist.fm.raw, plot,
+           axes = FALSE, legend = FALSE, box = FALSE)
```

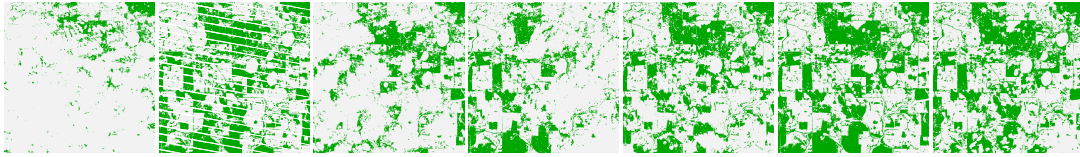


Figure 12: Raw forest masks derived from the photographs in chronological order from left to right. Green: Forest. Grey: Not-forest.

### 321 E.3 Correcting Forest Masks

322 The above forest masks were corrected using cloud and shadow masks, which can be  
 323 can be found in the objects `cmks.final` and `sms.final` respectively in the R data file  
 324 `finalcloudandshadowmasks.RData`. The cloud masks were created by thresholding of  
 325 spectral values and dilating the results mask by 175m. Additional locations wispy cloud  
 326 were added manually. Clouds were not noticed in the images captured on December 16th  
 327 and February 26th. A mask of shadows due to clouds was created using thresholding of  
 328 spectral values and dilating by 75m. Dark lakes and other errors in the shadow mask  
 329 were manually excluded before the dilation. The remaining differences between the final  
 330 forest masks were relatively small compared to the size of the study region and were not  
 331 corrected.

332 The following code loads and applies the cloud and shadow masks to the forest masks  
 333 and then plots the final forest masks. Each final forest mask is a `RasterLayer` object with  
 334 forest represented as a 1, not forest represented as 0 and unobserved locations represented  
 335 as `NA`. For convenience these final forest masks, `raslist.fm.m`, have been prepared earlier  
 336 in `finalmaskedforests.RData`.

```
> load(file = "finalcloudandshadowmasks.RData")
> raslist.fm.m <- raslist.fm.raw
> #applying the cloud mask:
> raslist.fm.m[names(cmks.final)] <- mapply(mask,
+           x = raslist.fm.m[names(cmks.final)],
```

```

+           mask = cmks.final,
+           maskvalue = 1,
+           updatevalue = NA,
+           SIMPLIFY = FALSE)
> #applying the shadow mask:
> raslist.fm.m[names(sms.final)] <- mapply(mask,
+           x = raslist.fm.m[names(sms.final)],
+           mask = sms.final,
+           maskvalue = 1,
+           updatevalue = NA,
+           SIMPLIFY = FALSE)
> #plot masks
> par(mfrow = c(1, 7), mar = c(0, 0, 0, 0), oma = c(0, 0, 0, 0))
> a <- lapply(raslist.fm.m, plot, colNA = "gray", axes = FALSE,
+           legend = FALSE, box = FALSE)
> #save
> save(raslist.fm.m, file = "finalmaskedforests.RData")

```

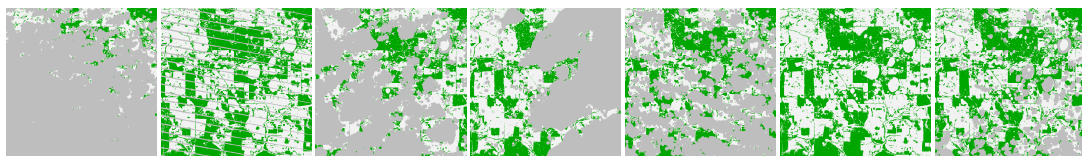


Figure 13: Final forest masks derived from the photographs in chronological order from left to right. Green: Forest. White: Not-forest. Grey: Missing data (due to cloud, cloud shadow or SLC-off).

## 337 E.4 Estimating GBL

338 Functions in the attached R package, `stationaryracsinference`, were used to compute  
339 GBL estimates using all covariance-based estimators and the traditional gliding box esti-  
340 mator. The following R code converts the final forest masks into an appropriate format and  
341 applies the GBL estimators. We will use the `maptools` package to convert the `Raster-`

342 Layer objects (`raslist.fm.m`) into im objects (the im format comes from the `spatstat`  
343 package, which `stationaryracsinference` heavily depends on).

```
> #uncomment the following if want to skip earlier processing:
> #load("finalmaskedforests.RData")
> #
> library(spatstat)
> library(stationaryracsinference)
> library(maptools)
> #
>
> #first convert the forest masks to spatstat images
> fm.im <- lapply(raslist.fm.m, as.im)
> #
> #specify the box widths of interest
> #(implies boxes will be squares)
> sidel <- seq(25, 6000, by = 100)
> #
> #estimate GBL with the traditional estimator
> gbltrads <- mapply(gbltrad,
+                   xiim = fm.im,
+                   SIMPLIFY = FALSE,
+                   MoreArgs = list(boxwidths = sidel))
> save(gbltrads, file = "gbltrads.RData")
> #
> #estimate GBL using the unbalanced covariance-based estimator
> gblcs <- mapply(gblc,
+                boxes = list(sidel),
+                xiim = fm.im,
+                SIMPLIFY = FALSE)
> names(gblcs) <- names(fm.im)
```

```

> save(gblcs, file = "gblcs.RData")
> #
> #estimate GBL using the centred covariance estimator
> #(estimating centred covariance first as this will be
> # faster for multiple estimators)
> phats <- lapply(fm.im, coverageprob)
> ccvcsims <- lapply(fm.im, cencovariance, estimators = "all")
> allgblccscene <- function(scenename){
+   out <- mapply(gblcc,
+     boxes = list(sidel),
+     cencovar = ccvcsims[[scenename]],
+     p = phats[[scenename]],
+     SIMPLIFY = FALSE)
+   names(out) <- names(ccvcsims[[scenename]])
+   return(out)
+ }
> gblccs <- lapply(names(phats), allgblccscene)
> names(gblccs) <- names(phats)
> rm(ccvcsims)
> save(gblccs, file = "gblccs.RData")
> # estimates using pair-correlation
> pclnhats <- lapply(fm.im, paircorr, estimators = "all")
> allgblgscene <- function(scenename){
+   out <- mapply(gblg,
+     boxes = list(sidel),
+     paircor = pclnhats[[scenename]],
+     SIMPLIFY = FALSE)
+   names(out) <- names(pclnhats[[scenename]])
+   return(out)
+ }

```

```

> gblgs <- lapply(names(pclnhats), allgblgsscene)
> names(gblgs) <- names(pclnhats)
> rm(pclnhats)
> save(gblgs, file = "gblgs.RData")

```

## 344 E.5 Preparing of Figures

345 The following R code recreates the figures in [Section 6.1](#) that contain GBL estimates.

346 First prepare the estimates for easy plotting.

```

> #####
> #uncomment following if want to skip to here:
> # library(stationaryracsinference)
> # library(spatstat)
> # library(maptools)
> #a <- lapply(c("gblcs.RData", "gbltrads.RData",
> #             "gblccs.RData", "gblgs.RData"), load)
> #####
>
>
> #first convert estimates for each scene
> # to one large spatstat function object
> #(class `fv') for easy plotting
> #a function for doing this:
> joinests <- function(fvlist, ylab = expression(hat(L))){
+   fvlist <- lapply(fvlist,
+     function(fvsingle) {fvsingle[, c(fvnames(fvsingle, ".x"),
+                                       fvnames(fvsingle, ".y")), drop = FALSE]})
+   #next change the name of the function value to be the scene name
+   fvlist <- mapply(tweak.fv.entry, fvlist,
+     new.labl = names(fvlist),
+     new.tag = names(fvlist),

```



```

+           MoreArgs = list(current.tag = "GBL"),
+           SIMPLIFY = FALSE)
+   fvcomb <- do.call(cbind, fvlist)
+   fvcomb <- fv(fvcomb, argu = "s", valu = "s0226",
+             ylab = ylab,
+             labl = c("Sidelength", names(fvcomb)[-1]),
+             desc = NULL)
+   return(fvcomb)
+ }
> #applying above function:
> gblcs.fv <- joinests(gblcs, ylab = expression(hat(L)[C]))
> gbltrads.fv <- joinests(gbltrads, ylab = expression(hat(L)[GB]))
> gblccs.pickaH.fv <- joinests(
+   lapply(gblccs, "[[", "pickaH"),
+   ylab = "GBL by Centred Covaraince: Picka's H")
> gblccs.pickaint.fv <- joinests(
+   lapply(gblccs, "[[", "pickaint"),
+   ylab = "GBL by Centred Covaraince: Picka's Intrinsic")
> gblccs.mattfeldt.fv <- joinests(
+   lapply(gblccs, "[[", "mattfeldt"),
+   ylab = "GBL by Centred Covaraince: Mattfeldt-Stoyan Inspired")
> gblgs.pickaH.fv <- joinests(
+   lapply(gblgs, "[[", "pickaH"),
+   ylab = "GBL by Pair-Correlation: Picka's H")
> gblgs.pickaint.fv <- joinests(
+   lapply(gblgs, "[[", "pickaint"),
+   ylab = "GBL by Pair-Correlation: Picka's Intrinsic")
> gblgs.mattfeldt.fv <- joinests(
+   lapply(gblgs, "[[", "mattfeldt"),
+   ylab = "GBL by Pair-Correlation: Mattfeldt-Stoyan Inspired")

```

```

> #
> # make a list of the above estimates
> r2_gbl_byestimator <- list(
+   gbltrad = gbltrads.fv,
+   gblc = gblcs.fv,
+   gblcc.pickaH = gblccs.pickaH.fv,
+   gblcc.pickaint = gblccs.pickaint.fv,
+   gblcc.mattfeldt = gblccs.mattfeldt.fv,
+   gblg.pickaint = gblgs.pickaint.fv,
+   gblg.mattfeldt = gblgs.mattfeldt.fv
+ )
> #
> #
> chronoscenenames <- list(
+ s1208 = "Dec. 8th",
+ s1216 = "Dec. 16th",
+ s1224 = "Dec. 24th",
+ s0109 = "Jan. 9th",
+ s0210 = "Feb. 10th",
+ s0226 = "Feb. 26th",
+ s0329 = "Mar. 29th")

```

347 Now plot by estimator.

```

> pltfmla.str <- paste0('cbind(',
+   paste(names(chronoscenenames), collapse = ', '),
+   ')', " ~ ", "s")
> par(mfrow = c(2, 7),
+   oma = c(6, 3, 1.5, 0.5),
+   cex.axis = 1.4,
+   mar = c(0.5, 0.5, 1, 0.5),
+   xpd = FALSE)

```

```

> #function to build plot region and axis
> preptoplot <- function(){
+   plot.new()
+   plot.window(xlim = c(0, 5000), ylim = c(0 + 1, 1.3 + 1))
+   #axis(1, at = seq(0, 5000, by = 1000), labels = seq(0,5, by = 1))
+   box()
+ }
> #function for plotting lines from an fv object containing GBL estimates
> linesgblest.fv <- function(fvobj){
+   plot(add = TRUE, fvobj, fmla = pltfmla.str, lty = c(rep("solid", 3),
+     rep("dotdash", 2), rep("longdash", 2)), lwd = 2,
+     col = rainbow(7)[c(1, 2, 6, 5, 4, 3, 7)])
+ }
> # now using above functions to do the plots
> preptoplot()
> title(main = expression(hat(L)[GB]), line = 1, xpd = NA)
> linesgblest.fv(gbltrads.fv)
> axis(2, at = seq(0, 1.3, by = 0.1) + 1,
+   labels = c(seq(0, 1.3, by = 0.1)) + 1)
> mtext("GBL Estimate", side = 2,
+     outer = TRUE, adj = 0.8, line = 1.5)
> preptoplot()
> title(main = expression(hat(L)[C]), line = 1, xpd = NA)
> linesgblest.fv(gblcs.fv)
> preptoplot()
> title(main = expression(hat(L)[kappa * H]), line = 1, xpd = NA)
> linesgblest.fv(gblccs.pickah.fv)
> preptoplot()
> title(main = expression(hat(L)[kappa * I]), line = 1, xpd = NA)
> linesgblest.fv(gblccs.pickaint.fv)

```

```

> preptoplot()
> title(main = expression(hat(L)[kappa * M]), line = 1, xpd = NA)
> linesgblest.fv(gblccs.mattfeldt.fv)
> preptoplot()
> title(main = expression(hat(L)[g * I]), line = 1, xpd = NA)
> linesgblest.fv(gblgs.pickaint.fv)
> preptoplot()
> title(main = expression(hat(L)[g * M]), line = 1, xpd = NA)
> linesgblest.fv(gblgs.mattfeldt.fv)
> #parameters for plot
> pltfmla.str <- paste0('cbind(',
+       paste(names(chronoscenenames[-6]), collapse = ', '),
+       ')', " - s0226", " ~ ", "s")
> #plot region and furniture setup
> preptoplot <- function(){
+   plot.new()
+   plot.window(xlim = c(0, 5000), ylim = c(-0.6, 0.6))
+   axis(1, at = seq(0, 5000, by = 1000), labels = seq(0, 5, by = 1))
+   box()
+   abline(h = 0, lty = "dashed")
+ }
> #For adding lines from an fv object containing GBL estimates
> linesgblest.fv <- function(fvobj){
+   plot.fv(add = TRUE,
+   fvobj,
+   fmla = pltfmla.str,
+   lty = c(rep("solid", 3), rep("dotdash", 2), rep("longdash", 1)),
+   lwd = 2,
+   col = rainbow(7)[c(1, 2, 6, 5, 4, 3, 7)][-6])
+ }

```

```

> #plot difference of covaiance-based estimates
> #to s0226 covariance-based estimate
> pretopplot()
> linesgblest.fv(gbltrads.fv)
> mtext("Box Width (km)", side = 1,
+       outer = TRUE, line = 1.5)
> mtext("Difference to Feb. 26th", side = 2,
+       outer = TRUE, adj = 0.1, line = 1.5)
> #title(main = expression(hat(L)[GB]))
> axis(2, at = seq(-0.6, 0.6, by = 0.2),
+      labels = c(seq(-0.6, 0.6, by = 0.2)))
> pretopplot()
> #title(main = expression(hat(L)[C]))
> linesgblest.fv(gblcs.fv)
> pretopplot()
> #title(main = expression(hat(L)[kappa * H]))
> linesgblest.fv(gblccs.pickaH.fv)
> pretopplot()
> #title(main = expression(hat(L)[kappa * I]))
> linesgblest.fv(gblccs.pickaint.fv)
> pretopplot()
> #title(main = expression(hat(L)[kappa * M]))
> linesgblest.fv(gblccs.mattfeldt.fv)
> pretopplot()
> #title(main = expression(hat(L)[g * I]))
> linesgblest.fv(gblgs.pickaint.fv)
> pretopplot()
> #title(main = expression(hat(L)[g * M]))
> linesgblest.fv(gblgs.mattfeldt.fv)
> par(fig = c(0, 1, 0, 1),

```

```

+   oma = c(0, 0, 0, 0),
+   mar = c(0, 0, 0, 0),
+   new = TRUE)
> plot(0, 0, type = "n", bty = "n", xaxt = "n", yaxt = "n")
> legend("bottom",
+       xpd = TRUE,
+       ncol = 4,
+       seg.len = 3,
+       legend = chronscenenames,
+       col = rainbow(7)[c(1, 2, 6, 5, 4, 3, 7)],
+       lty = c(rep("solid", 3), rep("dotdash", 2), rep("longdash", 2)),
+       lwd = 2
+   )

```

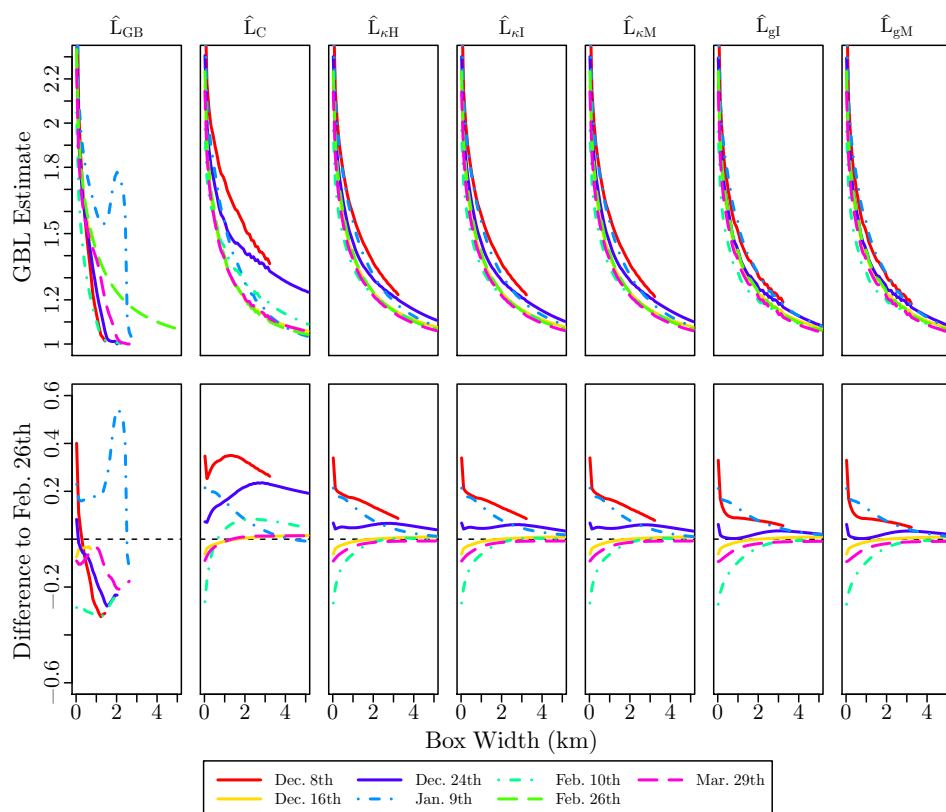


Figure 14: Results from each GBL estimator applied to the forest maps in Figure 5. *Top:* GBL estimates given square boxes. *Bottom:* The differences between estimates from the February 26 scene and estimates from the other scenes.

Now plotting estimates by scene:

```
> #parameters for plot
> par(mfrow = c(2, 7),
+     oma = c(5, 3, 1.5, 0.5),
+     mar = c(1, 0.5, 1, 0.5),
+     cex.axis = 1.4,
+     xpd = FALSE)
> #
> #set line styles
> linecols <- c(
+   gblg.none = "turquoise",
+   gblc = "turquoise",
+   gbltrad = "black",
+   gblcc.pickaH = "red",
+   gblcc.pickaint = "green",
+   gblcc.mattfeldt = "blue",
+   gblg.pickaint = "purple",
+   gblg.mattfeldt = "orange"
+ )
> linewidth <- c(
+   gblg.none = 2,
+   gblc = 2,
+   gbltrad = 2,
+   gblcc.pickaH = 2,
+   gblcc.pickaint = 2,
+   gblcc.mattfeldt = 3,
+   gblg.pickaint = 2,
+   gblg.mattfeldt = 3
+ )
> linetypes <- c(
```

```

+ gblg.none = "solid",
+ gblc = "solid",
+ gbltrad = "dashed",
+ gblcc.pickaH = "dotted",
+ gblcc.pickaint = "dotted",
+ gblcc.mattfeldt = "dotted",
+ gblg.pickaint = "twodash",
+ gblg.mattfeldt = "twodash"
+ )
> linenames <- c(
+ gblg.none = expression(hat(L)[C]),
+ gblc = expression(hat(L)[C]),
+ gbltrad = expression(hat(L)[GB]),
+ gblcc.pickaH = expression(hat(L)[kappa * H]),
+ gblcc.pickaint = expression(hat(L)[kappa * I]),
+ gblcc.mattfeldt = expression(hat(L)[kappa * M]),
+ gblg.pickaint = expression(hat(L)[g * I]),
+ gblg.mattfeldt = expression(hat(L)[g * M]),
+ gbl.th = expression(L)
+ )
> plotstyles <- data.frame(col = linecols,
+                           lty = linetypes,
+                           lwd = linewidth,
+                           stringsAsFactors = FALSE)
> lines.special <- function(fvobject, scenename, abbrev){
+ do.call(lines, args = c(list(
+ x = fvobject$s,
+ y = fvobject[[scenename]]),
+ plotstyles[abbrev, c("col", "lty", "lwd")])
+ )

```



```

+ }
> #a customised plot function
> plotsceneGBL <- function(scenename, scenelabel){
+   plot.new()
+   plot.window(xlim = c(0, 5000), ylim = c(0 + 1, 1.3 + 1))
+   title(main = scenelabel, font.main = 1, line = 0.5, xpd = NA)
+   axis(1, at = seq(0, 5000, by = 1000), labels = seq(0, 5, by = 1))
+   if (scenename == "s1208"){axis(2, at = seq(0, 1.3, by = 0.1) + 1,
+                                     labels = c(seq(0, 1.3, by = 0.1)) + 1)
+   }
+   box()
+   out <- lapply(names(r2_gbl_byestimator),
+                 function(x) lines.special(r2_gbl_byestimator[[x]],
+                                           scenename,
+                                           x))
+ }
> lines.special.loglog <- function(fvobject, scenename, abbrev){
+   do.call(lines, args = c(list(
+     x = log(fvobject$s),
+     y = log(fvobject[[scenename]])),
+     plotstyles[abbrev, c("col", "lty", "lwd")]
+   )
+ }
> #customised plot function for log log
> plotsceneGBL.loglog <- function(scenename, scenelabel){
+   plot.new()
+   plot.window(xlim = log(c(100, 5000)), ylim = log(c(1, 2.5)))
+   #title(main = scenelabel, font.main = 1)
+   axis(1, at = log(c(100, 200, 400, 800, 1600, 3200)),
+         labels = c(0.1, 0.2, 0.4, 0.8, 1.6, 3.2))

```

```

+   if (scenename == "s1208"){axis(2,
+       at = log(1.2 ^ (0:5)),
+       labels = round(1.2 ^ (0:5), 2))
+   }
+   box()
+   out <- lapply(names(r2_gbl_byestimator),
+       function(x) lines.special.loglog(r2_gbl_byestimator[[x]],
+           scenename,
+           x))
+   }
> plotsceneGBL("s1208", "Dec. 8th")
> plotsceneGBL("s1216", "Dec. 16th")
> plotsceneGBL("s1224", "Dec. 24th")
> plotsceneGBL("s0109", "Jan. 9th")
> plotsceneGBL("s0210", "Feb. 10th")
> plotsceneGBL("s0226", "Feb. 26th")
> plotsceneGBL("s0329", "Mar. 29th")
> plotsceneGBL.loglog("s1208", "")
> plotsceneGBL.loglog("s1216", "")
> plotsceneGBL.loglog("s1224", "")
> plotsceneGBL.loglog("s0109", "")
> plotsceneGBL.loglog("s0210", "")
> plotsceneGBL.loglog("s0226", "")
> plotsceneGBL.loglog("s0329", "")
>   mtext("Box Width (km)", side = 1,
+       outer = TRUE, line = 1.5)
>   mtext(c("L(B) Estimate", "L(B) Estimate"), side = 2,
+       outer = TRUE, line = 1.5,
+       adj = c(0.2, 0.78))
> par(fig = c(0, 1, 0, 1),

```

```

+   oma = c(0, 0, 0, 0),
+   mar = c(0, 0, 0, 0),
+   new = TRUE)
> plot(0, 0, type = "n", bty = "n", xaxt = "n", yaxt = "n")
> legend("bottom",
+       xpd = TRUE,
+       ncol = 7,
+       seg.len = 3,
+       legend = linenames[names(r2_gbl_byestimator)],
+       lwd = plotstyles[names(r2_gbl_byestimator), "lwd"],
+       col = plotstyles[names(r2_gbl_byestimator), "col"],
+       lty = plotstyles[names(r2_gbl_byestimator), "lty"]
+       )

```

## 349 E.6 R Session Information

- ```

350   • R version 3.5.1 (2018-07-02), x86_64-pc-linux-gnu
351   • Locale: LC_CTYPE=en_AU.UTF-8, LC_NUMERIC=C, LC_TIME=en_AU.UTF-8,
352     LC_COLLATE=en_AU.UTF-8, LC_MONETARY=en_AU.UTF-8,
353     LC_MESSAGES=en_AU.UTF-8, LC_PAPER=en_AU.UTF-8, LC_NAME=C, LC_ADDRESS=C,
354     LC_TELEPHONE=C, LC_MEASUREMENT=en_AU.UTF-8, LC_IDENTIFICATION=C
355   • Running under: Ubuntu 16.04.5 LTS
356   • Matrix products: default
357   • BLAS: /usr/lib/libblas/libblas.so.3.6.0
358   • LAPACK: /usr/lib/lapack/liblapack.so.3.6.0
359   • Base packages: base, datasets, graphics, grDevices, methods, stats, utils

```

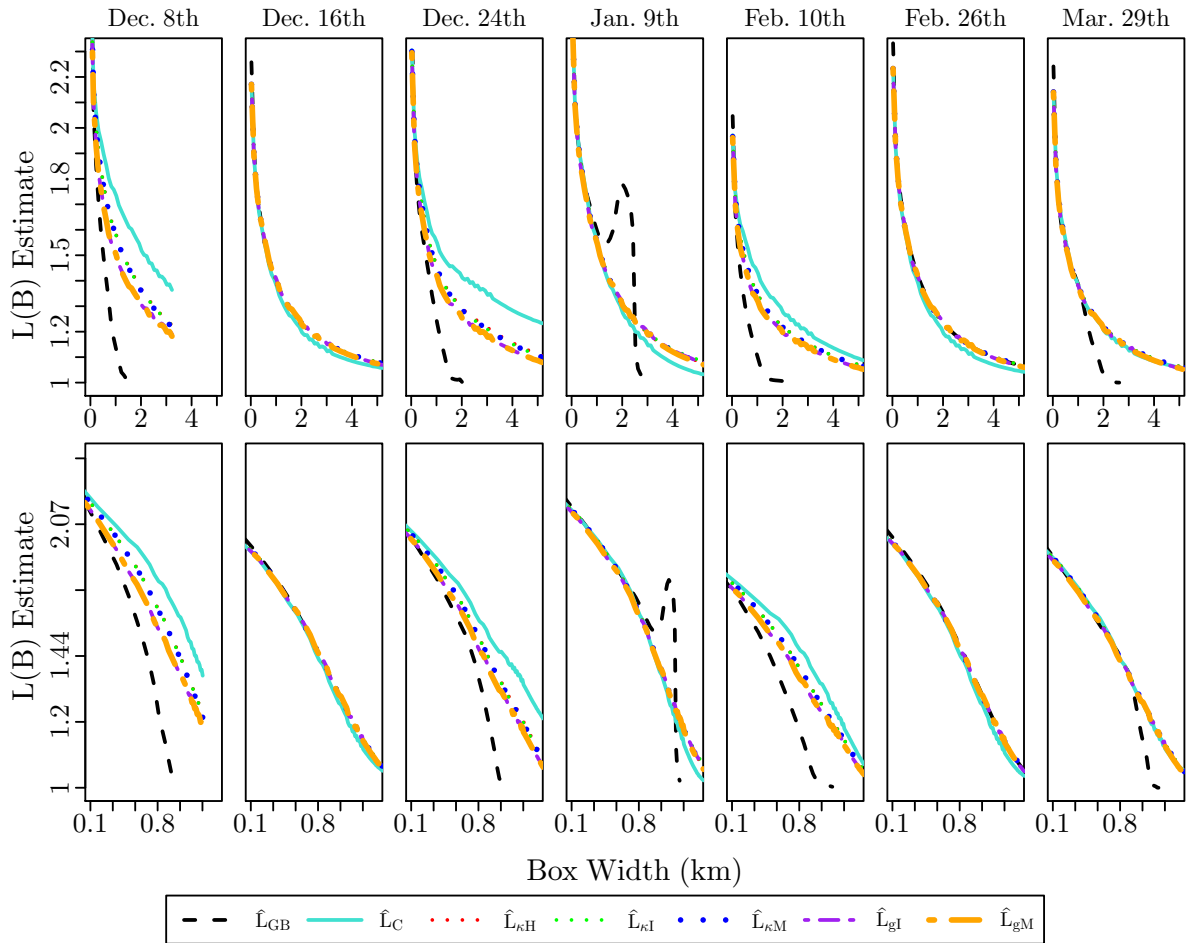


Figure 15: GBL estimates from each map in [Figure 5](#) given square boxes. *Top*: Linear axes. *Bottom*: Log-log axes.

- 360 • Other packages: devtools 1.13.6, extrafont 0.17, maptools 0.9-3, nlme 3.1-137,
- 361 raster 2.6-7, rpart 4.1-13, sp 1.3-1, spatstat 1.56-1.006, spatstat.data 1.3-1,
- 362 stationaryracsinference 0.4-01, testthat 2.0.0
  
- 363 • Loaded via a namespace (and not attached): abind 1.4-5, compiler 3.5.1,
- 364 deldir 0.1-15, digest 0.6.15, extrafontdb 1.0, foreign 0.8-71, goftest 1.1-1,
- 365 grid 3.5.1, lattice 0.20-35, magrittr 1.5, Matrix 1.2-14, memoise 1.1.0, mgcv 1.8-24,
- 366 polyclip 1.9-1, R6 2.2.2, Rcpp 0.12.18, RcppRoll 0.3.0, rgdal 1.3-3, rlang 0.2.1,
- 367 Rttf2pt1 1.3.7, spatstat.utils 1.9-0, tensor 1.5, tools 3.5.1, withr 2.1.2

## 368 F GBL Estimators applied to Local Scale Tree Canopy

### 369 Maps

370 An indication of the computational speed of the balanced covariance-based estimators  
 371 compared to  $\hat{L}_{GB}$  is shown in Figure 16 for the tree canopy maps described in Section 6.2  
 372 (see Appendix G for discussion of asymptotic computation time). The estimates were  
 373 computed with functions in the attached `stationaryracsinference` R package using  
 374 Intel Xeon 2.20 GHz cores on a Linux machine with 65 GB of RAM. Square boxes of  
 375 widths up to 1000 pixels were requested with a greater frequency at small widths and 125  
 376 different box sizes in total.

Shown in Figure 17 are GBL estimates from  $\hat{L}_{GB}$ ,  $\hat{L}_C$ ,  $\hat{L}_{\kappa H}$ ,  $\hat{L}_{\kappa I}$ ,  $\hat{L}_{\kappa M}$ ,  $\hat{L}_{gI}$  and  $\hat{L}_{gM}$   
 applied to the tree canopy maps described in Section 6.2. The estimates are shown  
 transformed by  $T(\hat{L}) = (\hat{L} - 1)\hat{p}/(1 - \hat{p})$ , which standardises the estimates to have a  
 value of 1 and 0 for, respectively, arbitrarily small and arbitrarily large boxes. This  
 transformation may be justified by considering a stationary RACS with a covariance  
 $C(\mathbf{v})$  that is continuous at the origin and is such that  $\int_{\mathbb{R}^d} C(\mathbf{v}) - p^2 dv$  is finite. Given a  
 box  $rA$  that is a compact set scaled by  $r$  then for small  $r$ ,

$$\int_{\mathbb{R}^d} \gamma_{rA}(\mathbf{v})C(\mathbf{v}) dv \approx p \int_{\mathbb{R}^d} \gamma_{rA}(\mathbf{v})dv,$$

and for large  $r$ ,

$$\int_{\mathbb{R}^d} \gamma_{rA}(\mathbf{v})C(\mathbf{v}) dv \approx |rA| \int_{\mathbb{R}^d} C(\mathbf{v}) - p^2 dv + p^2 \int_{\mathbb{R}^d} \gamma_{rA}(\mathbf{v})dv.$$

377 It follows from (22) and  $\int_{\mathbb{R}^d} \gamma_{rA}(\mathbf{v})dv = |rA|^2$  that  $\lim_{r \rightarrow 0} L(rA) = 1/p$  and  $\lim_{r \rightarrow \infty} L(rA) =$   
 378 1. If the RACS is mixing then the results of GBL estimators applied to an observation  
 379 window expanding in all directions (e.g. a ball with increasingly large radius) will also  
 380 converge to  $1/p$  and 1 for small and large  $r$  respectively.

381 For some parcels the estimates given by  $\hat{L}_C$ ,  $\hat{L}_{gI}$  and  $\hat{L}_{gM}$  contain large vertical features  
 382 at a box width of 25 and after standardisation a number of estimates are negative for larger  
 383 box widths. This is briefly investigated below and seems related to discrepancies between

384 these estimates and the estimates from the complement binary map.

385 **Relation to Estimates from the Complement Map** The GBL of a stationary  
 386 RACS,  $\mathbb{X}$ , is related to the the GBL of the complement of  $\mathbb{X}$ , denoted  $L^c(B)$ , by

$$L(B) = \left(\frac{1-p}{p}\right)^2 L^c(B) - \left(\frac{1-p}{p}\right)^2 + 1, \quad (\text{F.1})$$

where, as usual,  $p$  is the coverage probability of  $\mathbb{X}$ . If we use a superscript ‘ $c$ ’ to denote an estimator applied to the binary map after swapping foreground with background then the estimators  $\hat{L}_{GB}$ ,  $\hat{L}_{\kappa H}$ ,  $\hat{L}_{\kappa I}$  and  $\hat{L}_{\kappa M}$  are such that

$$\hat{L}_{GB}(B) = \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{GB}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1, \quad (\text{F.2})$$

$$\hat{L}_{\kappa H}(B) = \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{\kappa H}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1, \quad (\text{F.3})$$

$$\hat{L}_{\kappa I}(B) = \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{\kappa I}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1, \quad (\text{F.4})$$

$$\hat{L}_{\kappa M}(B) = \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{\kappa M}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1, \quad (\text{F.5})$$

repectively, and the estimators  $\hat{L}_C$ ,  $\hat{L}_{gI}$  and  $\hat{L}_{gM}$  are such that,

$$\hat{L}_C(B) \neq \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_C^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1 \quad (\text{F.6})$$

$$\hat{L}_{gI}(B) \neq \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{gI}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1 \quad (\text{F.7})$$

$$\hat{L}_{gM}(B) \neq \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{gM}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1. \quad (\text{F.8})$$

387 Standardised results of  $\hat{L}_{gI}$  and  $\left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{gI}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1$  are shown for some parcels  
 388 in Figure 18. It appears that parcels that have better behaved  $\hat{L}_{gI}$  results also have little  
 389 discrepancy between the  $\hat{L}_{gI}$  results and the  $\left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{gI}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1$  results.

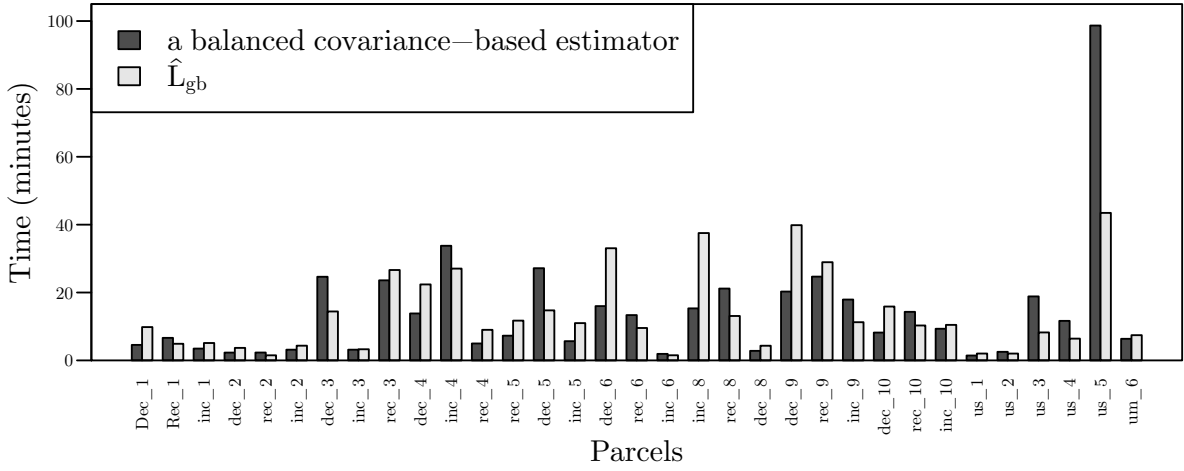


Figure 16: Computation time to estimate GBL for each parcel. *Dark*: Represents the time taken by any of the balanced covariance-based estimators. *Light*: Time taken by  $\hat{L}_{GB}$ .

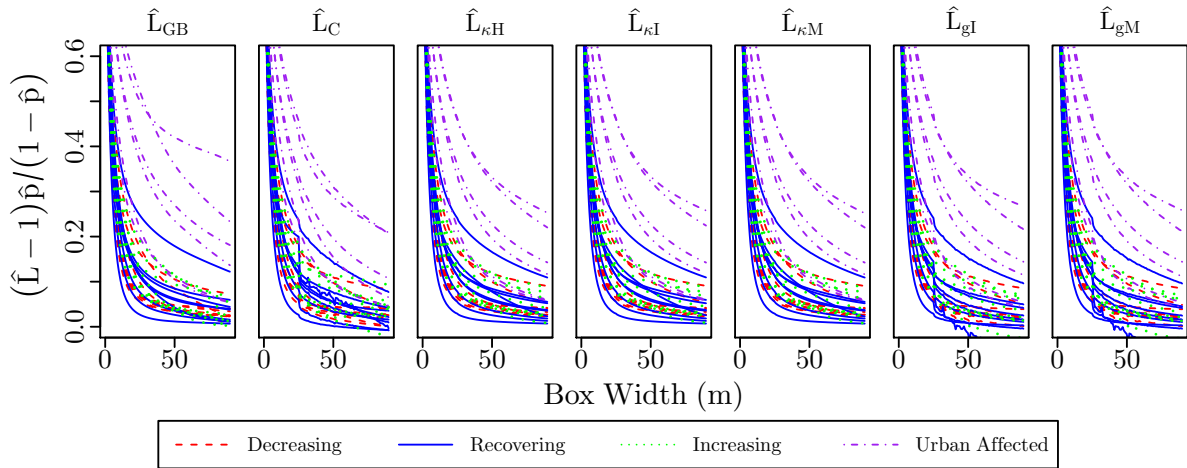


Figure 17: Estimated GBL for all 33 parcels using each available estimator. Estimates are shown standardised by the transformation  $T(\hat{L}) = (\hat{L} - 1)\hat{p}/(1 - \hat{p})$ .

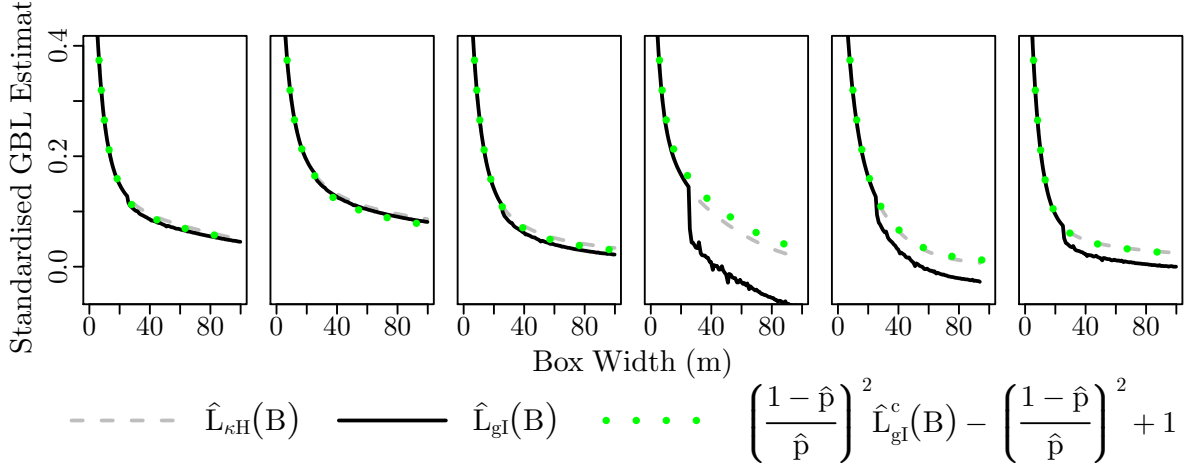


Figure 18: Results of  $\hat{L}_{gl}$  and  $\left(\frac{1-\hat{p}}{\hat{p}}\right)^2 \hat{L}_{gl}^c(B) - \left(\frac{1-\hat{p}}{\hat{p}}\right)^2 + 1$  for selected parcels. Each is standardised by the transformation  $T(\hat{L}(B)) = (\hat{L}(B) - 1)\hat{p}/(1 - \hat{p})$ .

## G Asymptotic Computational Complexity

In the attached R package, `stationaryracsinference`, the method for computing  $\hat{L}_{GB}$  for a given square box  $B$  used  $O(n)$  box locations, where  $n$  was the number of pixels in the binary map, and required  $O(\sqrt{b})$  operations, where  $b$  was the number of pixels within  $B$ , to calculate the box mass for each successive location (the number of operations is proportional to the perimeter of the box). The asymptotic complexity of  $\hat{L}_{GB}$  could thus be written  $O(n)O(\sqrt{b})$ .

To compute  $\hat{L}_C(B)$  the total operations for a single box size was  $O(n \log(n)) + O(b \log(b))$  as each piece of the  $\hat{L}_C$  algorithm used the following number of operations:

- The covariance estimates,  $\hat{C}(\mathbf{v})$ , used a spatial convolution to calculate  $\gamma_{X \cap W}$  and another convolution to calculate  $\gamma_W$ . Division of these gave  $\hat{C}(\mathbf{v})$  for all locations  $v$  within  $W \oplus \tilde{W}$  on a grid with spatial resolution equal to the input binary map. The convolutions were calculated using three fast Fourier transforms and an image multiplication. The multiplication was an  $O(n)$  operation whilst the fast Fourier transforms were each  $O(n \log(n))$  operations making estimating covariance an  $O(n \log(n))$  operation.
- The coverage probability estimator,  $\hat{p}$ , was the mean pixel value and thus required  $O(n)$  operations.



- 408 • The set covariance of the box  $B$ ,  $\gamma_B(\mathbf{v})$ , was computed using Fourier transforms,  
409 and was thus an  $O(b \log(b))$  operation. For situations that require faster computa-  
410 tions and when  $B$  is a rectangle or disc then the set covariance can be computed  
411 analytically instead (Chiu et al., 2013, §1.7.2).
- 412 • The integral of  $\gamma_B(\mathbf{v})\hat{C}(\mathbf{v})$  required  $O(b)$  operations.

413 For the balanced estimators an additional convolution for the numerator of Picka’s  
414 reduced window coverage probability estimator,  $\hat{p}_R(\mathbf{v})$ , defined in (8), was required. A  
415 further convolution was used to compute the denominator,  $\gamma_W$ , of (8), which could be  
416 avoided in the future as  $\gamma_W$  was already computed for  $\hat{C}(\mathbf{v})$ . In total computation of  
417  $\hat{p}_R(\mathbf{v})$  was thus than  $O(n \log(n))$  operation and estimates of GBL using the balanced  
418 covariance-based estimators required  $O(n \log(n)) + O(b \log(b))$  operations.

419 The covariance-based estimators will scale better than  $\hat{L}_{GB}$  with increasing resolution  
420 if the box sizes of interest are independent of resolution (e.g. linked to a physical under-  
421 standing of the observed phenomena) as the number of pixel’s in each box,  $b$ , will scale  
422 with  $n$  and computing covariance-based estimates and  $\hat{L}_{GB}$  estimates will be, respectively,  
423  $O(n \log(n))$  and  $O(n^{3/2})$  operations. Furthermore the covariance-based estimators scale  
424 better with increasing numbers of different box sizes as the  $O(n \log(n))$  operations were  
425 performed once for each binary map. However if the box size scales with resolution or  
426 the observation window extent increases then computing  $\hat{L}_{GB}$  will be an  $O(n)$  operation  
427 and will scale better than the covariance-based estimators.

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