1   **Ductile and Dry Exterior Joints Using CFRP Bolts for Moment-Resisting Frames**

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3   **Abstract**

4   This study proposes a new dry joint type for moment-resisting frames by using carbon fibre reinforced

5   polymer (CFRP) bolts and plates. This is one of very rare studies focusing on the potential of using

6   CFRP bolts to connect the precast beam-column joints. CFRP bolts have been recognized for their

7   good performances, which could effectively resolve a very costly issue of corrosion in the common

8   dry joints using steel bolts, plates, and tendons. Cyclic loading was applied to test four specimens

9   until 85% of the post-peak load. The results indicated that the proposed dry joints showed better

10   performances compared to the reference monolithic joint in the load-carrying capacity, energy

11   dissipation, and stiffness, which increased by 27-61%, 45-75%, and 27-55%, respectively.

12   Particularly, drift ratio of all the proposed joints exceeded 3%, which is higher than the requirements

13   for ductile joints in various standards. The ductility of the proposed joints was also more preferable

14   than the reference monolithic joint (i.e. 2.2 vs 2.4). These exciting results suggest that these new dry

15   joints can be plausibly applied to prefabricated constructions in non-seismic and seismic-prone areas.

16   In addition, the proposed dry joints offer numerous advantages, compared to the traditional

17   monolithic joints, in terms of construction time and construction-quality control.

18   **Keywords**: Fibre Reinforced Polymer (FRP) bolts; Corrosion; Prestress bolts; Ductile precast joint;

19   Cyclic load; Concrete-end-plates; Precast exterior joint.

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1. Introduction

Beam-column joints play a vital role in the behaviours of moment-resisting frames. They support the development of the ultimate capacity of adjacent members. This characteristic can only be achieved when the joints between beams and columns have sufficient strength and stiffness so that internal forces between members could transmit together. Lessons from various earthquakes have indicated that if the joints are destroyed, the building would collapse, although beams and columns are still undamaged [1, 2].

Most of existing studies have focused on monolithic, wet, or hybrid joints [3-6] due to their good performance in peak load, stiffness, energy dissipation, ductility, and hysteretic behaviours. However, there have been still some disadvantages in these joint types, such as longer construction time, higher construction cost, more difficulties to control construction quality, and negative effects on the environment as compared to dry joints. Beams and columns in the dry joints are cast in factories before they are assembled in construction sites. Therefore, the application of dry joints could effectively resolve the above disadvantages of monolithic, wet, or hybrid joints [7, 8]. Nevertheless, the application of dry joints in reality is still limited compared to monolithic joints due to disadvantages related to strength, ductility, and corrosion resistance. Since beams and columns are cast separately and are connected later by bolts and tendons, dry joints are usually weaker than monolithic joints. For example, dry joints using cleat angles and stiffeners have not been recommended for use in earthquake-prone regions [9]. In precast concrete structures, especially with traditional dry joints, corrosion is more likely to occur because connecting elements might be not protected properly by concrete. The corrosion of the connecting elements leads to deterioration or even destruction of the entire buildings [10, 11]. Additionally, the expenditure to maintain and repair deteriorated members in some circumstances can be incredibly more costly than the original new ones [12, 13].

44   Meanwhile, fibre reinforced polymers (FRP) have gained its increasing popularity in civil

45   engineering because they offer favourable features, such as lightweight, high strength, easy

46   implementation, fatigue resistance, and good corrosion resistance [14, 15]. Recently, FRP bolts have

47   been introduced to the market but its application for structural engineering is still limited. GFRP and

48   CFRP bolts are the popular types, in which GFRP bolts are more favoured because they are more

49   cost-effective [16, 17]. The use of FRP bolts has not been popular in construction because the shear

50   capacity of these bolts is relatively low as compared to steel bolts. FRP bolts are very strong in tension

51   but weak in shear [18, 19].

52   Recently, CFRP and Basalt tendons have been applied in precast segmental concrete beams and

53   normal beams to replace steel tendons for effective mitigation of corrosion problems [20, 21]. The

54   functions of FRP tendons and FRP bolts are similar in tensile behavior and prestress needs to be

55   applied to these bolts/tendons before applying external loads. However, the application of FRP

56   tendons in the structures is quite challenging compared to steel tendons due to the anchorage problem.

57   This is because of the relatively low shear and compressive capacity of FRP materials so that anchors

58   could fail by excessive principal stresses, local crushing, and interfacial slippage [22]. Therefore, the

59   use of conventional anchorage methods for FRP tendons is not reliable and difficult to predict

60   anchorage failure patterns. In addition, FRP sheets have been popularly applied to strengthen beam-

61   column joints [14, 23]. Conventional steel reinforcements were replaced by GFRP bars to minimize

62   corrosion [18, 24]. However, most of existing studies focus on wet and hybrid joints while there are

63   very few studies on precast beam-column joints with FRP bolts. Feroldi and Russo [25] investigated

64   precast beam-column joints using pultruded plates and C-shape FRP bars to replace steel plates and

65   C-shape steel bars in steel structures. Since steel bolts were used to connect the beams and columns,

66   these joints were still susceptible to corrosion of the metal parts. To date, no publication is available

67   in literature yet to use FRP bolts and plates to connect beam-column joints.

68   Among various types of concrete dry joints, the most popular type is dry joints with concrete-end-

69   plates. In this joint type, the concrete-end-plates are used to connect the beams to the adjacent columns

70   using steel bolts. This type of dry joints can be easily installed and post-tensioned with no required

71   formwork. Despite their advantages, only few studies focus on the behaviours and applications of this

72   joint [26-28]. Saqan [27] and Palmieri, Saqan [28] conducted an experiment with large-scale

73   specimens and an analytical investigation on this dry joint type. Among the five large-scale joints

74   studied, the internal beam-column joint with the concrete-end-plates and steel bolts showed the worst

75   behaviours, specifically in the load-carrying capacity, drift ratio, and energy absorption capability.

76   The experimental results indicated that the concrete-end-plates failed due to concrete crushing at the

77   top and bottom zones. It was explained that concrete stress in compression struts reached its

78   compressive strength at a low drift ratio of 1.5% and caused damage [27, 28]. Possibly, due to these

79   negative results, the dry joint type using concrete-end-plates and steel bolts was overlooked. As a

80   result, there is a lack of studies on the behaviours of this dry joint type.

81   It is clear from the literature that the advantages of dry joints can only be leveraged if more research

82   is done to improve the capacities of these joints in terms of ductility, energy dissipation, stiffness,

83   load-carrying capacity, and corrosion resistance. The current study, therefore, aims to propose dry

84   beam-column joints for non-seismic and seismic-prone locations by using corrosion-resistant CFRP

85   bolts to replace conventional steel bolts. The effects of thickness of the concrete-end-plates are also

86   investigated. It is expected that the proposed dry joints yield sufficient ductility and load-carrying

87   capacity, as well as solving the issue of corrosion effectively. The use of CFRP bolts in the proposed

88   joints is critical to increase the lifetime of structures and reduce the construction time and maintenance

89   costs, which helps to promote the use of these dry joints in the construction sector.

2. Experimental program

This study proposes a new corrosion-resistant joint type that exhibits sufficient strength and ductility to be used in both non-seismic and seismic-prone regions. To evaluate the dry joint performance using CFRP bolts and steel bolts under quasi-static cyclic loads, four specimens were cast and tested until failure, including a monolithic specimen (MS), a precast specimen 2 (PS2) with CFRP bolts and no steel spirals in the concrete-end-plate, a precast specimen 3 (PS3) with CFRP bolts and steel spirals, and a precast specimen 4 (SP4) with steel bolts and steel spirals. Spirals were used in the concrete-end-plate to confine concrete and improve the capacity of the concrete-end-plate and thus the joint. All the bolts in the precast specimens had the same diameter of 20 mm. Details of the specimen design and the test setup are presented in the following sections.

2.1 Design of the specimens

Four exterior beam-column joints with a scale of 1/3 of an eight-floor building were designed according to ACI 550R-96 [29] and ACI 352R-02 [30]. The design was based on the design philosophy of strong columns and weak beams. The two previous studies by Hanaor and Ben-Arroyo [26] and Saqan [27] were also used as references to design the precast beam-column joints since there have been no existing standards for the precast joints using bolts and concrete-end-plates. The columns in all the specimens had the same length of 1280 mm with a square-shaped cross-section of 200×200 mm². Four 16-mm deformed steel bars were used as longitudinal reinforcements. 10-mm stirrups with a spacing of 70 mm were used as shear reinforcements for the columns (Fig. 1).

The beams of the precast specimens had a T-shape and included two parts: (1) the concrete-end-plate and (2) Beam A. This study adopted the guides of ACI 318-11 [31] and Wight and MacGregor [32] to design Beam A. The beam section in all the specimens were reinforced with four 16-mm deformed steel bars with a reinforcement ratio of 2.2%. Additionally, 10-mm stirrups with a spacing of 70 mm were used as shear reinforcements. The dimensions of the concrete-end-plate were $350 \times 150 \times 200$

5

114 mm^3 while those of Beam A was $150 \times 150 \times 520$ mm^3 as shown in Fig. 1. According to Saqan [27],

115 the capacity of the precast beam-column joint using the concrete-end-plates and steel bolts was

116 comparably low since the crushing of the concrete appeared at the concrete-end-plate at a low drift

117 ratio of 1.5 %. Therefore, in this research, 8-mm steel spirals at a spacing of 30 mm were used to

118 confine the concrete and increase the compressive strength at the concrete-end-plates (see Fig. 2).

119 This design was expected to improve the capacity of these precast beam-column joints.

120 To evaluate the effectiveness of the steel spirals, it is necessary to determine the exact strength of the

121 concrete in the compressive zone during the test. Aluminium bars with a diameter of 6.1 mm were

122 placed in the assumed direction of the concrete struts. Strain gauges were stuck to these bars to

123 monitor the concrete strain as shown in Fig. 2. A cutting machine was used to create notches on the

124 aluminium bars. These notches helped to increase the bond between the concrete and the aluminium

125 bars. The concrete cover of all the beams and columns was 35mm as required in ACI 318-11 [31].

126 Details of all the reinforcements, steel spirals, and CFRP bolts are shown in Tables 1 and 2.

127 The most challenging issue in the prefabrication of these precast specimens was to ensure that the

128 four bolts went through the beams and the columns smoothly when assembling the joints. To resolve

129 this issue, the formworks for columns and beams were set up together as real joints. The holes inside

130 the beams and columns were created by embedding plastic tubes with an outside diameter of 21 mm

131 into the steel cages before the concrete was poured. Details of plastic tube disposition are shown in

132 Fig. 2. In the two previous studies [26, 27], the tensile force in the bolts, a crucial parameter to

133 determine the behaviours of the proposed joint type, was not reported. In the current experiment, two

134 20-ton load cells were used to measure the tensile forces in the bolts as shown in Fig. 3.

135 **2.2 Mechanical properties of materials**

136 Concrete properties are determined according to AS 1012.8.1-14 [33] and AS 1012.9.1-14 [34] as the

137 averaged values from three 100×200 mm cylinders. The average compressive strength (f'_c) and

138 tensile strength (f_{ct}) of concrete on the testing day was 38.4 MPa and 3.8 MPa, respectively. The

139 ultimate tensile strengths of the reinforcements were 522 MPa for 8-mm bars, 675 MPa for 10-mm

140 bars, and 706 MPa for 16-mm bars. Other properties of these steel bars and aluminium bars which

141 were provided by the manufacturer are presented in Table 1.

142 CFRP bolts were supplied by J and R Metalwork Industry CO. [35]. As informed by the

143 manufacturers, GB/T 1447-05 [36] was used to evaluate the mechanical properties of CFRP bolts.

144 The number of samples for the testing was 20-30 with a length of 800-1000 mm. The tensile strength,

145 shear strength, and elastic modulus of 20-mm CFRP bolts were 850 MPa, 160 MPa, and 100 GPa,

146 respectively. It is noted that the capacity of the entire bolts is restrained primarily by the capacity of

147 the nuts with the ultimate load of 100 kN for the 20-mm bolts. The detailed properties of CFRP bolts,

148 nuts, and plates are presented in Table 2. In addition, M20-metric steel bolts with class 8.8 had the

149 proof load, yield stress, ultimate strength of 580 MPa, 640 MPa, and 800 MPa, respectively [37].

150 Strain gauges with a length of 5 mm were bonded to stirrups, longitudinal reinforcements, and

151 aluminium bars to measure the strain of reinforcements and the assumed concrete struts. Additionally,

152 to measure the strain of concrete in diagonal struts of the concrete-end-plate, 60-mm strain gauges

153 were glued on these estimated areas. Detailed strain gauges are presented in Figs. 2 and 3.

154 **2.3 Specimen preparation and test setup**

155 A steel brush and a compressed air gun were used to clean the holes and remove dust before the test

156 setup. The column was the first to be connected to the reaction frame. Then, the beam was lifted by

157 a forklift and connected to the column with four bolts. The setup process in this experiment is also

158 applicable in reality. Four bolts with a diameter of 20 mm went through the four holes with a diameter

159 of 21 mm on the beams and columns. CFRP or steel plates were placed into the bolts before nuts were

160 tightened by a torque wrench to avoid concentrated stress around the bolts. According to the

161   information provided by the manufacturer, 80 Nm of torque was recommended to apply to the CFRP

162   nuts.

163   For the test setup, a hydraulic jack was used to apply the vertical restrain on the column top with an

164   axial force of 15 kN ($0.01 f_c' A_c$). Since the effect of the axial force is not the focus of this study, it

165   was maintained as low as possible. It was reported in a previous study that higher axial force is

166   beneficial to the capacity of the joints [38]. Therefore, this study aims to investigate the most

167   unfavorable case of joints. Another hydraulic jack was used to apply the vertical quasi-static cyclic

168   loading at the beam tip. The jack was installed at 650 mm from the column center. The details of the

169   test setup are presented in Fig. 4. The beam-column joints were tested under cyclic loading until 85%

170   post-peak load. The loading history, which was based on ACI T1.1-01 [39], is shown in Fig. 5. Due

171   to the time limitation, two load cycles were conducted at each loading level under displacement

172   control at the level of 6-9 mm/min.

173   **2.4 Experimental results and discussion**

174   2.4.1 General behaviours and failure patterns

175   Fig. 6 shows the failure modes of all the tested specimens. All the precast specimens experienced no

176   visible cracks on the columns. As expected, the column showed good performance without any

177   failure, which satisfied the criteria for weak beams-strong columns. The maximum tensile force in

178   the CFRP bolts did not reach its strength limit. For example, the maximum tensile force of the CFRP

179   bolts in Specimen PS3 was only 34% of its ultimate tensile force (see Table. 2). Therefore, no failure

180   was observed in the CFRP bolts. Additionally, although no corbels or brackets were used for all the

181   precast specimens, no slips were recorded during the tests, which was demonstrated by LVDT data

182   (almost zero). The main failure of Specimens MS and PS4 occurred at the beams whereas Specimens

183   PS2 and PS3 exhibited failure at the joints. More observations and discussions on the failure modes

184   will be presented in Section 2.4.2.

185   The reference Specimen MS exhibited flexural cracks on the beam and inclined cracks in the joint.

186   The vertical flexural cracks were observed firstly on the beam when the beam soffit was in tension.

187   These cracks were located at distances of 1, 100, and 220 mm from the face of the column at ±0.7 %

188   (±4.0 mm) drift ratio corresponding to the tip displacement of ±4.0 mm. For convenience, hereby the

189   number in the bracket after the drift ratio shows the corresponding tip displacement. There were no

190   shear cracks on the beam as shown in Fig. 6 (MS). Then, the inclined cracks appeared and propagated

191   into the joint zone after 1% drift ratio. These inclined cracks firstly formed in the middle of the joint

192   before developing toward two corners of the column. The maximum width of the inclined crack

193   achieved approximately 1.5 mm at 5.5% drift ratio. Minor crushing of concrete occurred at the fixed-

194   end when drift ratio reached 2%. The monolithic specimen MS achieved the maximum capacity at

195   the drift ratio of 5.0%. Eventually, the crushing of concrete and vertical cracks at the fixed end caused

196   the failure of Specimen MS.

197   As shown in Fig. 6, Specimens PS2 and PS3 exhibited the same failure patterns and the same crack

198   development modes. The flexural crack initially formed at the fixed end at ±0.5% drift ratio

199   (±2.5mm). When drift ratio reached ±0.6% (±3.3 mm), the inclined cracks had a tendency to

200   propagate into the middle zone of the concrete-end-plate. At the initial stage, two vertical cracks at

201   the fixed end were formed because the tensile strain of concrete at this section reached its maximum

202   value due to sufficient bending moments. In the following stages, the longitudinal reinforcements

203   started to significantly contribute to the flexural resistance and did not show considerable damage but

204   some cracks. Meanwhile, inclined cracks gradually developed in the middle zone of the concrete-

205   end-plate with an increase of the inclined angle (see Fig. 6). These inclined cracks initiated in the

206   middle and then developed to the top and bottom of the concrete-end-plate and caused the failure.

207   Fig. 7 shows the data of strain gauges of the longitudinal reinforcements and the concrete-end-plates

208   in all the tested specimens. The dots in this figure indicate their maximum strain at each cycle. The

209   data of strain gauges at drift ratio of 3.0% (±16.4 mm) on Specimen PS3 in Figs 7 indicate that the

210   strain of longitudinal reinforcements (2559 µε) reached the yielding point (2980 µε) and the tensile

211   strain of concrete (1334 µε) exceeded the ultimate tensile strain (131 µε). The maximum tensile strain

212   of concrete was determined based on its tensile strength (f_{ct}). Therefore, two main inclined cracks

213   (see red curves on Figs. 6) significantly opened with a width of approximately 2-3 mm, which caused

214   the main failure for Specimens PS2 and PS3. Additionally, there were no shear cracks on Beam A of

215   Specimens PS2 and PS3.

216   Specimen PS4 showed different failure patterns as compared to Specimens PS2 and PS3. The two

217   inclined cracks, which formed a V-shape, initially appeared from the two corners between Beam A

218   and the concrete-end-plate (see the red curve in Fig. 6 (PS4)). These inclined cracks occurred soon

219   after the load was applied at the beam tip at 0.3% drift ratio (±1.65 mm). At 0.8% drift ratio, the

220   inclined cracks developed from the fixed end into the middle areas of the concrete-end-plate. It is

221   different from Specimens PS2 and PS3 due to the effects of the prestress level of the bolts. The

222   inclined cracks of Specimen PS4 occurred mainly in the middle and only one inclined crack appeared

223   at the top and bottom of the concrete-end-place after achieving the peak load of 50.3 kN with 3.0%

224   drift ratio (see the pink curves in Fig. 6 (PS4)). The prestress level of Specimen PS4 was higher than

225   those of Specimens PS2 and PS3 by approximately 12.4 and 7.8 times, respectively. The high

226   prestress level in the bolts might have helped to improve the confined capacity of the concrete in the

227   top and bottom zones of the concrete-end-plate [26]. From 2.8% drift ratio, the concrete on the top

228   and bottom of the concrete-end-plate began to crush, and the red curve crack opened approximately

229   4-5 mm, which caused the main failure in Specimen PS4 as shown in Fig. 6 (PS4)).

230   Fig. 7 shows the strain at the surface of the concrete-end-plate while Fig 8 presents the strain inside

231   the concrete-end-plate was measured by the aluminium bars at the possible struts. In Fig. 8, the

232   maximum measured strain inside the middle zone was much higher than that at the top and bottom

233   zones of the concrete-end-plates. For instance, the maximum measured tensile strain inside the middle

234   zone and the top zone at 4% drift ratio of Specimen PS4 was 4971 µε and 314 µε, respectively.

235　Therefore, it might be concluded that the tensile stress inside the top and bottom zones was lower

236　than that inside the middle zone. As a result, the proposed dry joints failed differently from those in

237　the previous study by Saqan [27] who reported that the main failure was the compression strut at the

238　top and bottom zone of the concrete-end-plate. To further analyse the failure mechanism, Fig. 9

239　presents a sketch of force paths in the concrete-end-plate, T_1 vs T_2 and T_3 are the tensile forces of the

240　bolts and reinforcements and T_4, q_1 vs q_2, and q_3 are the compressive forces of the reinforcements,

241　CFRP plates, and bottom zones. Theoretically, there are two possibilities for the failure of the joints

242　governed by either compressive or tensile strength of concrete [32]. The data of strain gauges in Figs.

243　7 (b) and 8 indicate that the compressive strain in the compression struts of the concrete-end-plate

244　was relatively small (< 110 $\mu\varepsilon$) and did not reach the maximum compressive strain of concrete,

245　whereas the tensile strain of concrete (> 1334 $\mu\varepsilon$) well exceeded its maximum tensile strain (131 $\mu\varepsilon$).

246　Therefore, it is suggested that the major cracks and failure of these precast specimens were caused by

247　the tensile strain of concrete. This mechanism could be explained by the two effects: (1) the angle of

248　possible struts and (2) the bond between reinforcements and concrete. There were two possible struts

249　(i.e., Struts AB and AC) that might occur on concrete-end-plates as shown in Fig.9. The angle of Strut

250　AB was quite small (approximately 34^0) and the bond stress (*b* in Fig. 9) between the reinforcements

251　and the concrete had the tendency to resist the tensile force (T_3) in the reinforcements. Therefore, the

252　tensile force (T_3) in the reinforcements at node B was low which led to the low compressive stress of

253　concrete around node B. For Strut AC, it had a larger angle (50^0) and higher compressive stress as

254　compared to that of Strut AB. Meanwhile, the failure of Specimens PS2 and PS3, as shown in Fig. 6,

255　reveals that the red inclined cracks were established due to the tensile stress. From observations

256　during the tests and the above analyses, it could be concluded that tensile cracks (AB and AC) caused

257　the failure of the specimens.

258 2.4.2 Hysteretic behaviours

259 The hysteretic responses of all the specimens were almost symmetrical in both the push and pull

260 directions (see Fig. 10) because both the top and bottom reinforcements in the beams were similar,

261 with a diameter of 16 mm. However, the hysteretic curves were asymmetrical after the peak loads

262 due to large and irreversible deformation, which is consistent with the results of the previous studies

263 [27, 28]. Linear responses were observed in the envelope curves of all the precast specimens until 3%

264 drift ratio. These responses were due to the linear responses of the CFRP bolts and steel bolts during

265 the tests. In addition, the longitudinal reinforcements did not yield until 3% drift ratio (see Fig. 7),

266 thus the main failure of the precast specimens was governed by the concrete properties. After this

267 stage, the load started to decrease. Various cracks on the concrete-end-plates caused a significant

268 reduction in the load-carrying capacity after 3% drift ratio. From 4% drift ratio, the envelope curves

269 had a tendency to remain constant as indicated in Fig. 11. This performance could be explained with

270 a reference to the strength hardening of the reinforcements. Stresses in the reinforcements still

271 increased after reaching peak load, so the failure shifted from the joint to the beam after 4% drift ratio

272 as also observed in the previous study [18].

273 To further understand the behaviours of all the specimens, the maximum design loads of the beams

274 were estimated and presented in Fig. 11. Design loads of 28 kN and 44 kN were applied to the

275 monolithic beam and precast beams, respectively. Specimens MS and PS4 reached their peak loads

276 of 32.3 kN at 5% drift ratio and 50.3 kN at 3% drift ratio. These maximum loads were higher than

277 the estimated capacities of the corresponding beams. This variation might result from the difference

278 between the nominal tensile strength and the actual strength of the reinforcements. Taken all the

279 above results together, it is clear that the main failure of Specimens MS and PS4 occurred at the

280 beams, whereas that of Specimens PS2 and PS3 occurred at the joints, as discussed in section 2.4.1.

281 In general, the responses of Specimen MS showed nonlinear behaviours from 1% drift ratio until

282 failure. Up to 1% drift ratio, Specimen MS exhibited elastic behaviours and dissipated little energy

283   because there was no evidence of a significant pinching and stiffness degradation. It is noted that the

284   pinching indicates the energy dissipation capacity of specimens and it often occurs when there is a

285   significant variation of the area inside hysteresis loops. After 1% drift ratio, pinching continuously

286   increased due to the crack developments. Specimen MS began to yield at 2.7% drift ratio before

287   reaching the maximum load at 5% drift ratio. From 5% to 6.5% drift ratio, the load capacity

288   continuously dropped and the Specimen MS was damaged at 6.5% drift ratio. Until the end of the

289   test, the longitudinal reinforcements in Specimen MS did not yield as shown in Fig. 7 (a) (2233 $\mu\varepsilon$ <

290   2980 $\mu\varepsilon$) while concrete crushing at the fixed end caused a load decrease in Specimen MS. The

291   reduction in the load-carrying capacity of all the specimens after the peak load could be mainly

292   attributed to tensile cracks in the concrete-end-plate, crushing of concrete and flexural cracks at the

293   fixed end, as previously explained.

294   2.4.3 Drift ratio and load-carrying capacities

295   The drift ratio is an essential parameter to evaluate the joint performances. The drift ratio is defined

296   as follows:

297 $$R = \Delta/l \qquad\qquad\qquad (1)$$

298   where Δ is the vertical displacement of the beam at the loading point and l is the distance from the

299   loading point to the column face ($l = 550$ mm).

300   The drift ratio of a monolithic beam-column joint is reported to range approximately from 4% to 5%,

301   which well satisfies the requirements of many standards. For example, ACI T1.1-01 [39], CSA A23.3-

302   07 [40], and ASCE 41-06 [41] require drift ratio of 3.5%, 2.5% and 2% to ensure the life safety in

303   earthquake-prone regions, respectively. For drift ratio of dry joints with concrete-end-plates and steel

304   bolts, previous studies reported a value of 1.5% [27]. Therefore, the application of this dry joint type

305   is still limited in earthquake-prone regions. Interestingly, the drift ratio of the currently tested

306   specimens was satisfactory to be applied in earthquake-prone regions.

307   Table 3 shows the peak loads and the corresponding drift ratios of all the specimens. In general, the

308   proposed dry joints showed good performances including drift ratio and load-carrying capacities as

309   compared to those from the previous studies [26-28]. Specimen MS exhibited a ductile load-

310   displacement response with the highest drift ratio of 5%, corresponding to the average peak load of

311   29.1 kN. This peak load is the lowest load-carrying capacity among all the tested specimens. All the

312   precast specimens exhibited the same drift ratio of 3% at the peak loads. This value was higher than

313   2.5% and 2% required by CSA A23.3-07 [40], and ASCE 41-06 [41], respectively, to ensure life

314   safety. Specimen PS2 reached the peak load of 39.5 kN at a drift ratio of 3%, which was 35.8% higher

315   than that of the Specimen MS. For Specimens PS3 and PS4, the maximum loading capacities were

316   also significantly increased with an average peak load of 36.8 kN and 46.8 kN, respectively, which

317   were 26.6% and 61.2%, higher than that of Specimen MS.

318   In the previous study by Saqan [27], which investigated the same kind of joints, the failure occurred

319   at the compression strut at the top and bottom zones of the concrete-end-plate. Therefore, the current

320   study used steel spirals to confine concrete in this region and thus improve the load-carrying capacity

321   and the drift ratio. Interestingly, the ultimate load and the drift ratio of Specimens PS2 and PS3 were

322   almost the same, indicating that the use of spirals did not improve the performances of the joints. This

323   phenomenon can be explained by the change of the failure modes. The precast specimens in this study

324   failed due to tensile cracks of concrete in the middle zone of the concrete-end-plate which was

325   different from the previous study [27]. The change in the failure modes was discussed in the section

326   of 2.4.2. Meanwhile, Specimen PS4 with steel bolts had the same drift ratio of 3% but the higher

327   maximum load (27.2%) as compared to those of Specimen PS3 with CFRP bolts. According to

328   previous studies [7, 42, 43], high prestress levels improved the maximum load of joints. Therefore,

329   the improvement in the ultimate load of the specimen with steel bolts was attributed to the prestress

330   level in the bolts. Due to low torsion resistance, the CFRP bolts were prestressed at a lower force than

331   the steel bolts (6.5 kN vs 51 kN). Further study is necessary to investigate the effect of these

332   parameters on the load-carrying capacity of joints.

333   2.4.4 Ductility of joints

334   Ductility is a crucial parameter to evaluate the bearing capacity of a building under earthquake

335   loading. High ductility considerably minimises a reduction in strength and brittle failure of a building.

336   In addition, ductility significantly affects the energy dissipation capacity of structures during inelastic

337   deformations. In this study, the ductility is defined as the ratio of the ultimate displacement (Δ_u) to

338   displacement at the yield loads (Δ_y), as presented in Eq. 2 [44]:

339    $$\mu = \Delta_u/\Delta_y \qquad\qquad (2)$$

340   The yielding deformation in a reinforced concrete structure is determined inconsistently in different

341   studies since the relationship between the load and displacement does not exhibit a clear yielding

342   point due to the nonlinear behaviours of materials [44]. In this study, the yield displacement is

343   determined at the point corresponding to the 75% of the ultimate load H_u while the ultimate

344   displacement corresponds to the displacement at 85% the post-peak load as shown in Fig. 12 [45].

345   In the current study, the maximum displacement of Specimens PS3 and PS4 stopped at 27.6 mm and

346   28.1 mm due to the limitation of the hydraulic jack, which corresponded to 90% peak load. Therefore,

347   the ultimate displacement of Specimens PS3 and PS4 was determined corresponding to the 90% of

348   the peak load. The load-carrying capacity of Specimens PS3 and PS4 had a tendency to remain

349   unchanged in the push direction from 4% drift ratio until the end of the tests due to the change of

350   failure mode from the joints into the beams. Therefore, the ultimate displacements of Specimens PS3

351   and PS4 were quite high, preventing the brittle failure and giving engineers warnings before the total

352   collapse of the structures.

353   Table 4 summarizes displacements at the yielding and ultimate stages of all the specimens in the two

354   directions as well as the ductility ratio. Specimens PS3 and PS4 almost achieved the same ductility

355   level as the reference Specimen MS, which were 2.1, 2.3, 2.4, respectively. For Specimen PS2, it

356   showed less ductile behaviours than Specimens PS3, PS4, and MS, with the ductility ratio

357   approximately 19.0%, 26.1%, and 29.2% less than that of the three specimens, respectively. Specimen

358   PS3 showed a higher ductility than that of Specimen PS2 as given in Table 4 even though they had

359   quite similar designs except for the prestressing force and steel spirals. This difference results from

360   the varied ultimate displacements. Although the ultimate displacement of Specimen PS3 (27.5 mm)

361   was calculated at 90% of the post-peak load, it was still higher than that of Specimen PS2 (22.4 mm)

362   at 85% of the post-peak load. This result was because the load-carrying capacity of Specimen PS2

363   significantly dropped after achieving the peak load in the push direction. Furthermore, using the steel

364   spirals with an appropriate pitch helped to increase the ductility due to an increase in ultimate

365   displacement in Specimens PS3 and PS4 [46]. The ductility of Specimens PS3 and PS4 were quite

366   similar (2.1 vs 2.3) because the behaviours of these specimens were governed by concrete and steel

367   reinforcements while the CFRP bolts and steel bolts were over-designed with high capacity and were

368   not yielded up to failure. The similarity also proves that CFRP bolts can be used to replace steel bolts

369   to resolve the corrosion problem effectively.

370   2.4.5 Energy dissipation capacities and equivalent viscous damping ratio

371   In order to evaluate the energy dissipation capacity of the beam-column joints, the dissipated energy

372   and equivalent viscous damping ratio are determined based on the hysteretic loops.

373   2.4.5.1 Energy dissipation capacities

374   The energy dissipation capacity is an essential parameter to examine how effective a joint withstands

375   an earthquake loading. A beam-column joint subjected to quasi-static cyclic loading is classified as

376   ductile if a sufficient amount of energy is dissipated without a significant decline of its strength and

377   stiffness [9, 47]. The energy dissipation at a specific load cycle due to inelastic action is calculated as

378   the area enclosed (A_h) inside the hysteretic loop in that cycle. Fig. 13 presents the energy dissipation

379   capacity versus the drift ratio of the specimens. All the specimens exhibited similar patterns of energy

380   dissipation from the initial stage to drift ratio of 1% because they behaved elastically up to 1% drift

381   ratio. However, the overall dissipated energy of Specimen MS was less than that of the precast

382   specimens from the drift ratio of 1% until failure because the area (A_h) in each cycle of Specimen MS

383   was smaller than that of the precast specimens as shown in the hysteresis loops in Fig. 10. The energy

384   dissipation at 4% drift ratio of Specimens PS2, PS3, and PS4 was 57.9%, 45.1%, and 74.5% higher

385   than that of Specimen MS, respectively. Therefore, it can be concluded that the proposed dry joints

386   showed good energy dissipation capacity and were suitable for applications in the seismic-prone

387   regions.

388   2.4.5.2 Equivalent viscous damping ratio

389   The equivalent viscous damping ratio represents the ability in reducing the peak response amplitudes

390   from inelastic deformation during an earthquake excitation [48]. The equivalent hysteretic damping

391   ratio in a specific loading cycle is calculated based on the area inside an absolute load-displacement

392   hysteresis loop A_h to 2π times the elastic strain energy absorbed in an equivalent linear elastic system

393   as follows [48]:

394 $$\xi_{eq} = \frac{A_h}{2\pi V_m \Delta_m} \tag{3}$$

395   where V_m and Δ_m are the average peak load and displacement values in the ith cycle, respectively, and

396   *eq* stands for *equivalent*.

397   The equivalent viscous damping ratio of Specimens MS, PS2, PS3, and PS4 was presented in Fig.

398   14. From 0.3 to 0.5% drift ratio, the equivalent viscous damping ratio of Specimens MS, PS2, and

399   PS3 significantly dropped while that of Specimen PS4 increased slightly. This phenomenon was

400   because there was a high bending moment in Specimen MS and low prestress level in CFRP bolts of

401   Specimens PS2 and PS3 which caused a higher initial displacement at an early stage if compared to

402   Specimen PS4.

403   Up to the drift ratio of 2%, the reference Specimen MS showed a higher equivalent viscous damping

404   ratio than Specimens PS3 and PS4. At the drift ratio of 2.5%, the equivalent viscous damping ratio

405   of Specimens PS2, PS3, and PS4 was almost equal and higher than that of Specimens MS. However,

406   when the drift ratio is greater than 2.5%, the equivalent viscous damping ratio of all the specimens

407   slightly increased and all the precast specimens exhibited a higher equivalent viscous damping ratio

408   than that of Specimen MS. This reduction of Specimen MS was attributed to pinching in the curves,

409   concrete crushing, and shear stress, which contributed to narrowing the hysteresis loop.

410   2.4.6 Stiffness degradation

411   Stiffness degradation of specimens under quasi-static loading is usually defined by the secant stiffness

412   changes of the load-displacement curves. However, when connecting the push and pull peak of each

413   cycle in the cyclic tests, the line does not go through the origin. In the current study, the effective

414   stiffness was utilized to evaluate the stiffness degradation of the specimens in the cyclic tests. The

415   slope of the line, which connected the push and pull peak loads, was used to determine the effective

416   stiffness at each drift ratio (Fig. 15). The stiffness of all the specimens monotonically declined with

417   the increase in the applied load. This observation is due to the numerous cracks formed at the

418   concrete-end-plates and the crushes of concrete as shown in Fig. 6. The comparisons in the effective

419   stiffness degradation among all the specimens are shown in Fig. 16.

420   In general, all the precast specimens showed higher effective stiffness than Specimen MS until the

421   drift ratio reached 4% because EI/l ratio of all the precast specimens was higher than that of Specimen

422   MS (where EI and l are the flexural rigidity and the length of a member, respectively). The effective

423   stiffness of all the specimens continuously reduced from the beginning of the test although the

424   envelope curves (Fig. 11) showed nearly linear responses in the initial stage. This phenomenon is

425   possible because the development of concrete cracks occurred early in this stage (Fig. 17).

426   Additionally, the data of strain gauges at 0.5% drift ratio also indicated that the strain of concrete

427   exceeded the value of $30\%\varepsilon_c'$, so the concrete started to experience nonlinear responses. The stiffness

428 degradation trends of Specimens PS2 and PS3 were quite similar to each other, from drift ratio of 1%

429 to 4%. The initial stiffness at 0.3% drift ratio of Specimens MS, PS2, and PS3 was less than that of

430 Specimen PS4, by approximately 35.3%, 17.6%, and 11.8% respectively, because the elastic modulus

431 of steel bolts (200 GPa) in Specimen PS4 was higher than that of CFRP bolts (100 GPa). As a result,

432 Specimen PS4 exhibited the highest stiffness among the tested specimens.

433 2.4.7 Joint openings

434 The joint opening is not expected in this precast joint type during the service condition. However,

435 when an excessive load (above the serviceability level) is applied to the joint, it may open and then

436 close after the load reduces. Joint openings of Specimens PS2, PS3, and PS4 at the top surface and

437 the bottom surface of the concrete-end-plate are shown in Fig. 18. It can be noted that the three

438 specimens using the bolts to connect beams and columns reached the maximum opening at the drift

439 ratio of 2.5%. This phenomenon may be explained that the elongation of the bolts reached the ultimate

440 values at 2.5% drift ratio and then the development of the cracks on the concrete-end-plate, the fixed

441 end, and Beam A mainly contributed to the deflection at the beam tip. Therefore, although the load-

442 carrying capacity still increased at 3% drift ratio, the joint opening slightly decreased.

443 Fig. 18 showed that the joint opening of Specimen PS4 was very small (almost zero) while those of

444 Specimens PS2 and PS3 were approximately 2.0 mm and 1.6 mm at 2.5 % drift ratio, respectively.

445 This phenomenon resulted from the effects of prestress levels in the bolts and the elastic modulus of

446 the bolts. The prestress levels in steel bolts of Specimen PS4 were approximately 51 kN while those

447 in the CFRP bolts of Specimens PS2 and PS3 were only 4.1 kN and 6.5 kN, respectively. The torsion

448 resistance of CFRP bolts was quite low so they could not be prestressed to a high level. High prestress

449 level on CFRP bolts could lead to premature damage to the bolts as shown in Fig. 19. Meanwhile, the

450 tensile forces in the top and bottom bolts at the concrete-end-plate measured by load cells during the

451 tests are shown in Fig. 20. At the drift ratio from 0.3% to 1.5%, these tensile forces in Specimens PS2

452 and PS3 were similar due to the role of the prestress forces in the initial stage. After that, the load-

453   carrying capacity of Specimen PS2 was higher than that of Specimen PS3. Therefore, the tensile

454   forces in the CFRP bolts of Specimen PS2 were also higher than those of Specimen PS3.

455   Consequently, the maximum joint opening in Specimen PS2 was higher than that of Specimen PS3

456   as shown in Figs. 18 and 20. It is suggested that the CFRP bolts need to be prestressed to a higher

457   level to minimize the joint opening. Further study is deemed necessary to overcome the problem

458   associated with the low torsion capacity of the FRP bolts.

459   **3. Analytical calculations**

460   In this section, the design models for beam-column joints from previous studies are adopted to

461   examine their suitability in predicting the capacity of the precast joints using CFRP bolts and steel

462   bolts. The examined standards include ACI 318-11 [31], NZS 3101-06 [49], BS EN 1998-1-04 [50],

463   AIJ-2010 [51]. It is worth mentioning that all these standards and Hwang and Lee [52] model were

464   proposed for the analysis of monolithic beam-column joints. So far, there have been no models to

465   calculate the nominal shear capacity of the bolted precast beam-column joints. ACI 318-11 [31]

466   recommended the following equation to calculate the nominal shear capacity of monolithic beam-

467   column joints:

468 $$V_n = \gamma \sqrt{f_c'} A_j \qquad\qquad (4)$$

469   where γ refers to a set of constants related to the confinement of the joints, $\gamma = 1.7$ for joints confined

470   by beams on all four faces, $\gamma = 1.2$ for joints confined by beams on two or three faces, $\gamma = 1.0$ for

471   other cases, f_c' is the compressive concrete strength, A_j is the effective joint area, and b_j and h_{col} are

472   the effective joint width and depth, respectively, b_b and b_c are the width of beam and column,

473   respectively, determination of b_b, b_c, h_{col}, and x is shown in Fig. 21,

474 $$A_j = b_j h_{col}, \; b_j = min\{b_b + 2x, \; b_b + h_{col}\} \text{ if } b_c \geq b_b, b_j = b_c \text{ if } b_c < b_b$$

475   NZS 3101-06 [49] suggested the following equation to estimate the shear resistance of monolithic

476   beam-column joints:

477
$$V_n = min\{0.2f_c'A_j, 10\ MPaA_j\} \tag{5}$$

478   where $A_j = b_j h_{col}$, b_j has the same value of b_j in the model of BS EN 1998-1-04 [50].

479   BS EN 1998-1-04 [50] proposed the following equation for monolithic beam-column joints:

480
$$V_n = \lambda\eta f_{cd}\sqrt{1 - (\tfrac{v_d}{\eta})}A_j \tag{6}$$

481   where λ depends on the joint types, $\lambda = 1$ for interior joints, $\lambda = 0.8$ for exterior joints, $\eta =$

482    $0.6\left(1 - \tfrac{f_c'}{250}\right)$ is the reduction factor on concrete compressive strength due to tensile strains in the

483   transverse direction, $f_{cd} = \tfrac{f_c'}{1.5}$ is the design value of concrete compressive strength, v_d is the

484   normalized axial force in the column above the joints, $v_d = \tfrac{N_{Ed}}{A_c f_{cd}}$, N_{Ed} is an axial force from the

485   analysis for the seismic design situation, A_c is the section area of the concrete column,

486    $A_j = b_j h_{jc}$, $b_j = min\{b_c,\ b_b + 0.5h_{col}\}$ if $b_c \geq b_b$, $b_j = min\{b_b,\ b_c + 0.5h_{col}\}$ if $b_c < b_b$, and h_{jc}

487   is the distance between layers of column reinforcement.

488   AIJ-2010 [51] adopted the following equation to compute the nominal shear strength of beam-column

489   joints:

490   For mean value: $$V_{n,mean} = \alpha f_c'^{\beta} A_j, \tag{7}$$

491   For lower value: $$V_{n,lower} = k\varnothing_j 0.8 f_c'^{0.7} A_j \tag{8}$$

492   where α, β, and k are based on the joint types, in which $\alpha= 0.8$, $\beta= 0.712$, and $k= 1.0$ for interior

493   joints, $\alpha= 0.59$, $\beta= 0.718$, and $k= 0.7$ for exterior joints.

494    $\phi_j = 1.0$ for joints confined by beams on all four faces, $\phi_j = 0.85$ for other cases,

495    $A_j = b_j h_{col}, b_j = b_b + min\left\{\frac{b_{a1}}{2}, \frac{h_{col}}{2}\right\} + min\left\{\frac{b_{a2}}{2}, \frac{h_{col}}{2}\right\}$, values of b_b, b_{a1}, b_{a2} and h_{col} are shown in

496   Fig. 21.

497   Hwang and Lee [52] suggested the following softened strut and tie model for beam-column joints:

498                          $$V_n = K \zeta f_c' A_{str} cos\theta \tag{9}$$

499   where $K = K_h + K_v - 1$ is tie index, K_h and K_v are horizontal and vertical tie indexes for under-

500   reinforced cases, $K_h = 1 + (\overline{K}_h - 1)F_{yh}/\overline{F}_h \le \overline{K}_h, K_v = 1 + (\overline{K}_v - 1)F_{yv}/\overline{F}_v \le \overline{K}_v,$

501    $\overline{K}_h = \frac{1}{[1-0.2(\gamma_h+\gamma_h^2)]}, \overline{K}_v = \frac{1}{[1-0.2(\gamma_v+\gamma_v^2)]}$, $\overline{K}_h$ and $\overline{K}_v$ are horizontal and vertical tie indexes with

502   enough horizontal and vertical reinforcements,

503    $\overline{F}_h = \gamma_h \overline{K}_h \zeta f_c' A_{str} cos\theta, \overline{F}_v = \gamma_v \overline{K}_v \zeta f_c' A_{str} sin\theta, \overline{F}_h, \overline{F}_v$ are horizontal and vertical tie forces which

504   horizontal and vertical ties reach yielding at failure,

505    $F_h = A_{th} E_s \varepsilon_h \le F_{yh}, F_v = A_{tv} E_s \varepsilon_v \le F_{yv}, F_h$ and F_v are horizontal and vertical tie forces, F_{yh} and

506   F_{yv} are yielding forces of horizontal and vertical ties, A_{th} and A_{tv} are the areas of horizontal and

507   vertical ties, E_s is the elastic modulus of steel bars, ε_h and ε_v are the strains of horizontal and vertical

508   ties, θ is the angle between the diagonal strut and the horizontal direction, γ_h, and γ_v are the force

509   distribution coefficient, $\gamma_h = \frac{2tan\theta-1}{3}, \gamma_v = \frac{2cot\theta-1}{3}$, ζ is the softening coefficient, $\zeta \approx 3.35/\sqrt{f_c'} \le$

510    $0.52, A_{str} = a_s b_s, a_s$ and b_s are the depth and width of the diagonal strut, respectively,

511                          $$a_s = a_c = \left(0.25 + 0.85\frac{N}{A_g f_c'}\right) h_{col} \tag{10}$$

512   The effective area A_j, presented in ACI 318-11 [31], NZS 3101-06 [49], BS EN 1998-1-04 [50], AIJ-

513   2010 [51], is shown in Fig. 21. In all the above standards, the column width b_c is often larger than

514   the effective joint width b_j. b_j in NZS 3101-06 [49] and in BS EN 1998-1-04 [50] is the same and h_{jc}

515   in BS EN 1998-1-04 [50] is lower than h_{col} in ACI 318-11 [31], NZS 3101-06 [49], AIJ-2010 [51].

516   These differences lead to the effective joint areas A_j in BS EN 1998-1-04 [50] the lowest.

517   Hwang and Lee [52] model proposed an assumption to determine the effective area of the diagonal

518   struts A_{str}. The depth a_b of the compression zone in the beam was neglected in calculating a_s

519   because only a small compression zone in the beam suffered from crushing. Hence, the depth of the

520   struts can be computed by $a_s = a_c$. Additionally, the width of the diagonal strut b_s is defined as the

521   effective width b_j of joints as recommended in ACI 318-11 [31]. In ACI 318-11 [31], BS EN 1998-

522   1-04 [50], and AIJ-2010 [51], the effects of the confinement from the adjacent beams were

523   investigated, whereas those effects were not mentioned in NZS 3101-06 [49]. Additionally, only BS

524   EN 1998-1-04 [50] considered the effect of the axial load on the column. When the axial load

525   decreases, there is an increase in the nominal shear capacity V_n in the joints (see Eq. 6). The concrete

526   compressive strength is a crucial parameter to estimate the nominal shear capacity of beam-column

527   joints. In addition, the tensile forces in the longitudinal reinforcements and the bolts were taken from

528   data of the strain gauges and the load cells to calculate the joint shear force V_{jmax} in Specimens PS3

529   and PS4. For Specimen PS2, the yield stress was used to calculate tensile forces in longitudinal

530   reinforcements due to unreliable data of strain gauges on this specimen. The data of the strain gauges

531   and the load cells are shown in Fig. 7 and Fig. 20.

532   Fig. 22 illustrates the equilibrium conditions to calculate the shear capacity (V_{jmax}) in the joint. This

533   value is determined as follows:

534    $$V_{jmax} = T_3 - T_1 \qquad (11)$$

535   where T_1 is either the reaction force at column top of the monolithic specimen or tensile force in the

536   bolts of precast specimens, T_3 is the tensile force in the longitudinal reinforcements. T_1 depends on

537   H and h which are distance from T_1 of monolithic and precast specimens to central axis of the beam,

538   respectively. From the equilibrium condition, T_1 is inversely proportional to H and h, in which H is

539   significantly greater than h. This result indicates that the shear capacity (V_{jmax}) of Specimen MS is

540   higher than that of Specimens PS3 and PS4. The shear capacity in the experiment (V_{jmax}) and the

541 nominal shear capacity V_n of all the tested specimens are listed in Table 5. Considering the data in

542 Table 5, Fig. 23 and Fig. 24, it is clear that the safety factor of Hwang and Lee [52] model was higher

543 than those of all the standards.

544 As can be seen from Figs. 23 and 24, most of the standards and Hwang and Lee [52] model predicted

545 the shear capacity of Specimen MS with significant errors because the main failure mode of Specimen

546 MS occurred in the beam at the fixed end. For all the precast specimens, all the standards and Hwang

547 and Lee [52] model predicted the nominal shear capacity of the joints with the errors up to 96.1%, as

548 shown in Table 5. This result can be explained that the failure mechanism, which was used to calculate

549 the nominal shear capacity V_n in the standards and Hwang and Lee [52] model, was different from the

550 failure mechanism in these precast specimens. In all the standards, the nominal shear capacity was

551 generally evaluated based on the diagonal compressive strut mechanism whereas the tensile cracks

552 and the concrete crushing governed the main failure of these specimens, as discussed in the above

553 sections. In addition, all the standards and Hwang and Lee [52] model do not consider the effects of

554 prestress levels in the bolts. Further studies towards analytical models for this type of beam-column

555 joint is deemed necessary.

556 For Specimen MS, the nominal shear capacity V_n calculated by ACI 318-11 [31], NZS 3101-06 [49],

557 BS EN 1998-1-04 [50], lower-AIJ-2010 [51], mean-AIJ-2010 [51], and Hwang and Lee [52] model

558 was higher than V_{jmax} about 30.2%, 84.4%, 15.7%, 28.5%, 70.1% and 8.1%, respectively. For precast

559 specimens, the nominal shear capacity V_n of Specimen PS2 estimated by the ACI 318-11 [31], BS

560 EN 1998-1-04 [50], and lower-AIJ-2010 [51] shows nearly the same value as V_{jmax}. Meanwhile, all

561 the standards predicted the nominal shear capacity of Specimens PS3 and PS4 with considerable

562 errors. The lowest error of 33.4% was for Specimen PS3 using lower-AIJ-2010 [51] while the highest

563 error was 96.1% when using mean-AIJ-2010 [51] to estimate the capacity of Specimen PS4. Hwang

564 and Lee [52] model predicts the nominal shear capacity of Specimens PS3 and PS4 with relatively

565 smaller errors of 16.8% and 29.7%, respectively.

4. Conclusion

The proposed dry beam-column joints exhibited good performances and, therefore, can be potentially used in the prefabrication construction in both non-earthquake and earthquake-prone regions. From the experimental results and observations, the following conclusions were drawn.

1. CFRP bolts can well replace steel bolts to effectively mitigate corrosion problems.

2. The main failure occurred in the middle zone rather than at the top and bottom zones of the concrete-end-plates. This result is different from the observation reported in the previous study of Saqan [27].

3. The maximum applied load and effective stiffness in all the precast specimens were higher than those of the monolithic specimen by approximately 27-61% and 27-55%, respectively.

4. The ductility of Specimens PS3 and PS4 and MS was almost the same (2.2 vs 2.4). The ductility of Specimen PS2 was smaller than others due to a lack of confinement from steel spirals in the concrete-end-plate.

5. The overall dissipated energy of the precast specimens exceeded Specimen MS approximately by 45-75%. Drift ratio of all the precast specimens achieved 3% which satisfied the requirement of CSA A23.3-07 [40] and ASCE 41-06 [41] standards. Therefore, the proposed dry joint could be well applied in earthquake-prone regions.

6. The higher prestress level could not only improve the loading capacity but also reduce the joint openings of the precast beam-column joints.

7. The model recommended by ACI 318-11 [31], BS EN 1998-1-04 [50], lower-AIJ-2010 [51], and Hwang and Lee [52] predicted the nominal shear capacity of Specimens PS3 and PS4 with significant errors.

588   In conclusion, the proposed dry joint with CFRP bolts can effectively mitigate corrosion problems

589   in the conventional dry joints but still meet the requirement for constructions in non-seismic and

590   seismic-prone zones.

595 **Author contribution**

596 Tuan T. Ngo: Data curation, Formal analysis, Investigation; Methodology; Visualization;

597 Roles/Writing - original draft.

598 Thong M. Pham: Methodology, Project administration, Supervision, Writing - review & editing.

599 Hong Hao: Funding acquisition, Supervision, Project administration Writing - review & editing.

600 **Declaration of Competing Interest**

601 The authors declare that they have no known competing for financial interests or personal

602 relationships that could have appeared to influence the work reported in this paper.

603 **List of Figures**

628   **List of Tables**

634 **Nomenclature**

635 **Notations**

μ	ductility value	l	distance from the loading point to the column face
A_h	area inside an absolute load-displacement hysteresis loop	N_{Ed}	axial force from the analysis for the seismic design situation
A_j	effective joint area	R	drift ratio value
a_s	depth of the diagonal strut	V_m	average peak load values
b_{a1}, b_{a2}	distance from margin of beam to margin column	V_n	nominal shear capacity
b_b	width of beam	α	coefficient is based on the kind joints
b_c	width of column	β	coefficient is based on the kind joints
b_j	effective joint width	γ	constants related to the confinement of the joints
b_s	width of the diagonal strut	Δ	vertical displacement of the beam tip at the position of the applying force
E_s	modulus of reinforcement and aluminium bar	Δ_m	average peak displacement values
f'_c	compressive strength of concrete	Δ_u	ultimate displacement
f_{ct}	tensile strength of concrete	Δ_y	displacement at yield
f_u	ultimate strength of reinforcement and aluminium bar	ζ	softening coefficient
f_y	yield strength of reinforcement and aluminium bar	θ	angle between diagonal strut and horizontal direction
h_{col}	effective joint depth	λ	coefficient is based on the kind joints
h_{jc}	distance between layers of column reinforcement	ξeq	equivalent viscous damping ratio
k	coefficient is based on the kind joints	υ_d	normalised axial force in the column above the joints
K	strut and tie index	ϕ_j	constants related to the confinement of the joints
K_{eff}	secant stiffness	γ_h, γ_v	force distribution coefficient

636

637

30

638 **Table 1**

639 Steel reinforcements and aluminium bars properties.

Bar size (mm)	Area (mm^2)	f_y (MPa)	f_u (MPa)	E_s (GPa)	Remarks
8	50	377	522	200	Spirals
10	78	560	675	200	Stirrups
16	201	597	706	200	Longitudinal reinforcements
6.3	31	110	150	69	Measuring the strain of concrete inside the concrete-end-plates

640

641

642 **Table 2**

643 Properties of CFRP bolts, nuts and plates.

Type	Dimension	Weight	Tensile strength	Shear strength	Bending strength	Compressive strength	Ultimate load	Elastic modulus	Impact strength
	mm	g	MPa	MPa	MPa	MPa	kN	GPa	kJ/M^2
Bolts	D20	376	≥ 850	≥ 160	480	760	≥ 267	100	185
Nuts	N20	44	-	-	-	-	100	100	-
Plates	150×90×20	540	-	-	-	-	≥ 100	100	-

644 Note: - = not given

645

646

647 **Table 3**

648 Load-carrying capacity and drift ratios of all the tested specimens.

Specimen	Peak load (kN)		Increase (%)		Average (kN)	Increase (%)	Drift ratio at peak load (%)	
	Push	Pull	Push	Pull			Push	Pull
MS	25.8	32.3	-	-	29.1	-	5.0	5.0
PS2	39.9	39.0	54.6	20.8	39.5	35.8	3.0	3.0
PS3	35.8	37.7	38.6	16.9	36.8	26.6	3.0	3.0
PS4	50.3	43.3	94.7	34.3	46.8	61.2	3.0	3.0

649 Note: - = not applicable

650

31

651 **Table 4**

652 Displacement and ductility of all the tested specimens.

Specimen	Force	H_u kN	$0.75H_u$ kN	Δ_y mm	$0.85H_u$ kN	Δ_u (85%) mm	$\mu=\Delta_u/\Delta_y$	Average (μ)	Decrease (%)
MS	Push	25.8	19.4	15.6	22.0	35.8	2.3	2.4	-
	Pull	32.3	24.2	14.5	27.4	35.6	2.5		
PS2	Push	39.9	29.9	14.2	33.9	21.5	1.5	1.7	-29.2
	Pull	39.0	29.3	12.0	33.2	22.4	1.9		
PS3	Push	35.8	26.9	12.2	32.2*	27.5*	2.3	2.1	-12.5
	Pull	37.7	28.3	11.1	34.0*	21.5*	1.9		
PS4	Push	50.3	37.7	11.4	45.3*	26.5*	2.3	2.3	-4.2
	Pull	43.3	32.5	11.4	39.0*	26.3*	2.3		

653 *Note*: - = not applicable

654 *at 90% of the post-peak load

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657 **Table 5**

658 The values of V_{jmax} from the experiment and V_n in the standards.

Specimens	V_{jmax} (kN)	ACI (V_n)	%	NZS (V_n)	%	EN (V_n)	%	Hwang (V_n)	%	AIJ (V_n), lower	(V_n), mean	% Lower	% Mean
MS	166.6	216.9	30.2	307.2	84.4	192.7	15.7	180.1	8.1	214.1	283.4	28.5	70.1
PS2	174.5	185.9	6.5	230.4	32.0	184.5	5.7	160.7	-7.9	183.6	243.0	5.2	39.2
PS3	137.6	185.9	35.1	230.4	67.4	184.5	34.1	160.7	16.8	183.6	243.0	33.4	76.6
PS4	123.9	185.9	50.0	230.4	85.9	184.5	48.9	160.7	29.7	183.6	243.0	48.1	96.1

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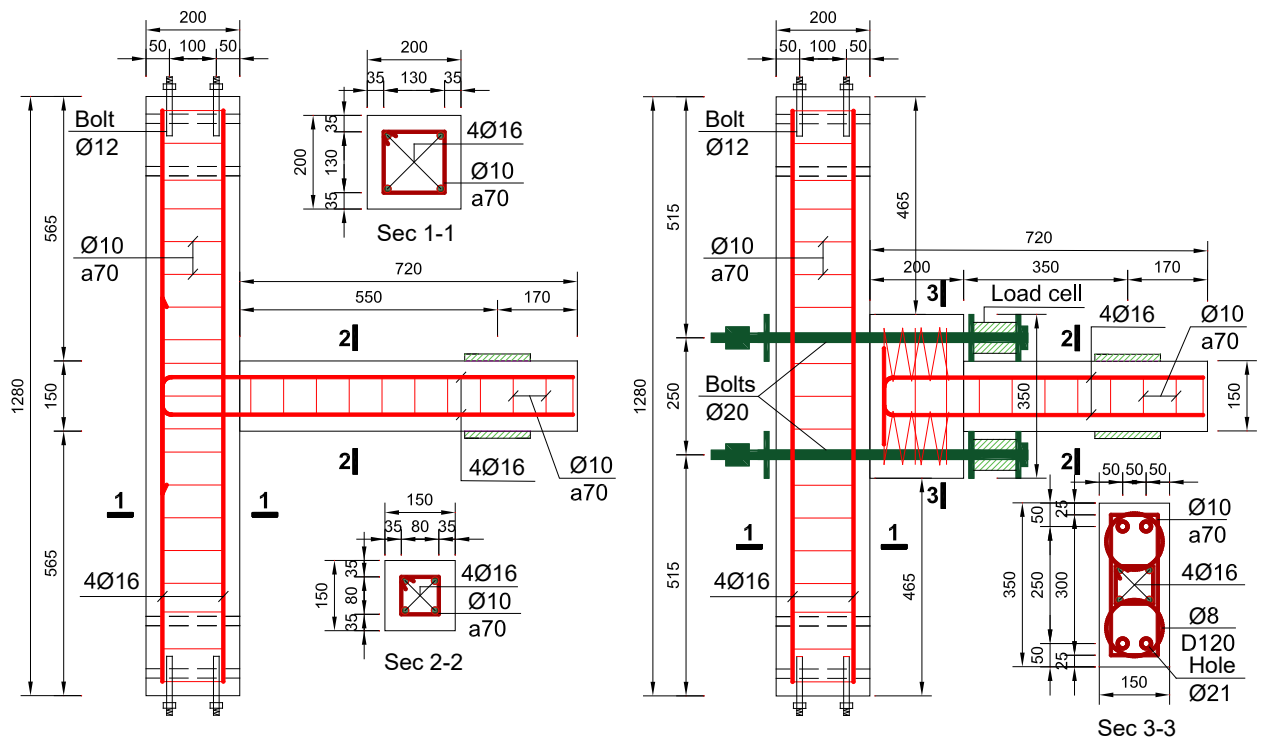
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662   **Fig. 1.** Design of the monolithic and dry precast joints (in millimeter).
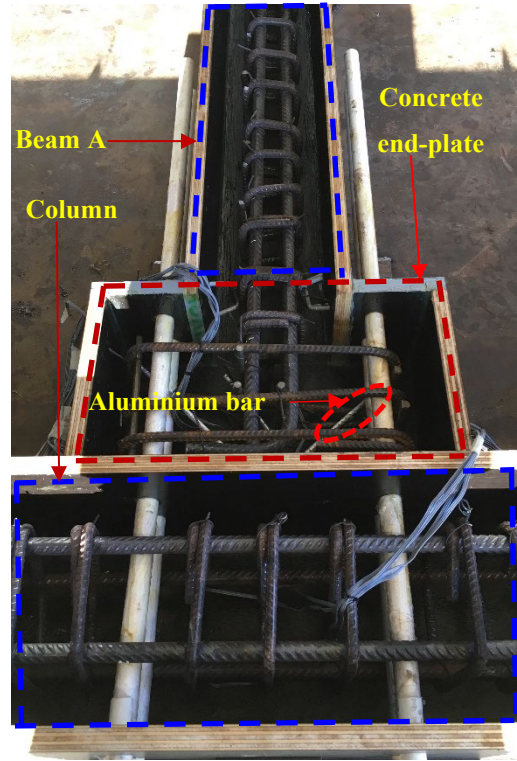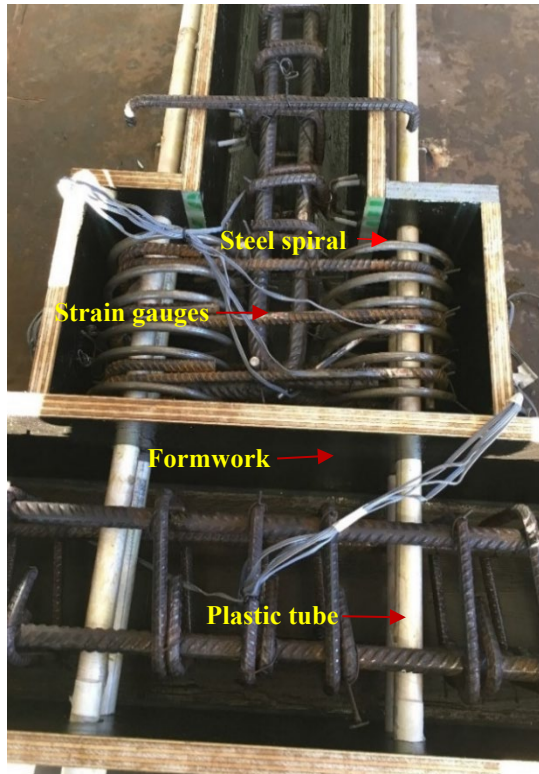
663   **Fig. 2.** Details of the reinforcements, strain gauges, aluminium bars, formworks, and plastic tubes.

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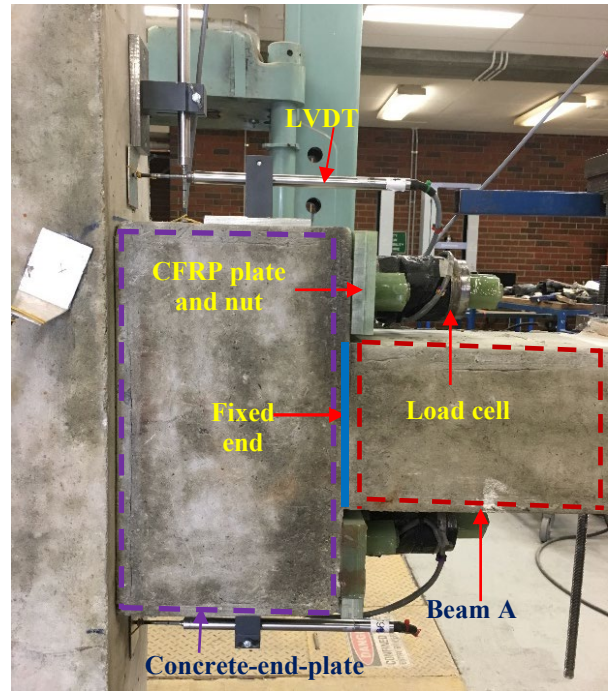
665   **Fig. 3.** Details of strain gauges and load cells.
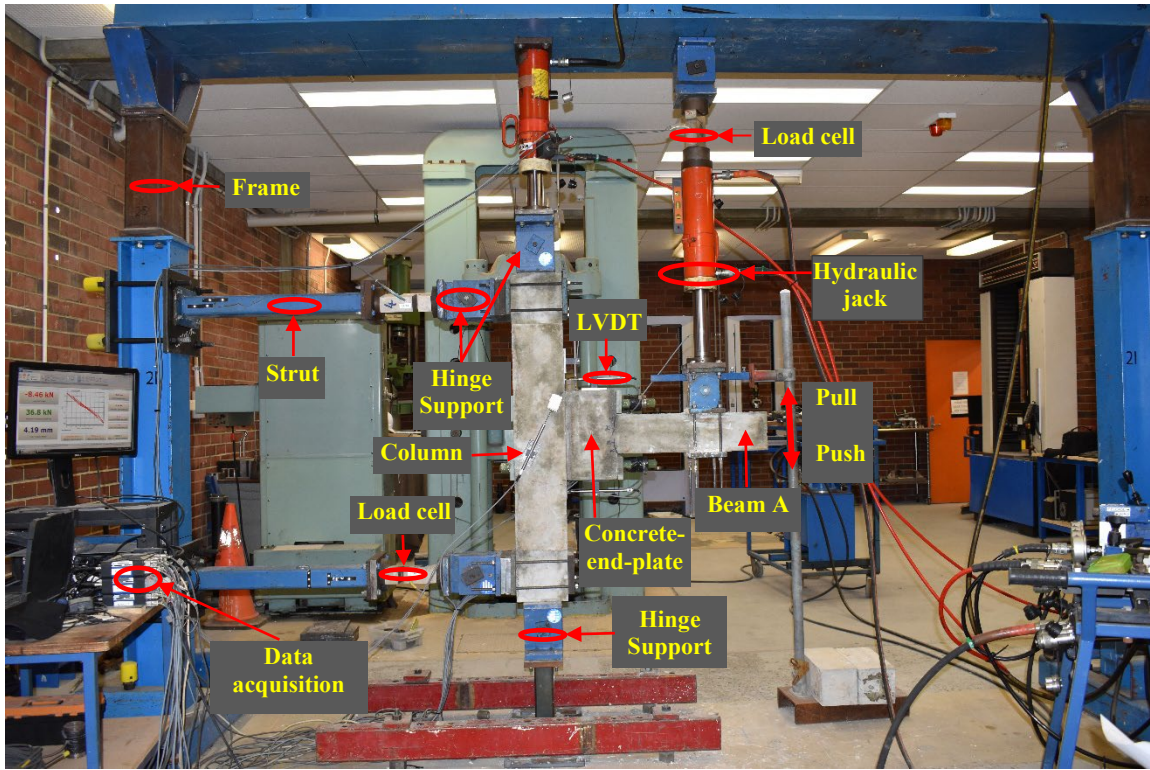
666   **Fig. 4.** A typical test setup.
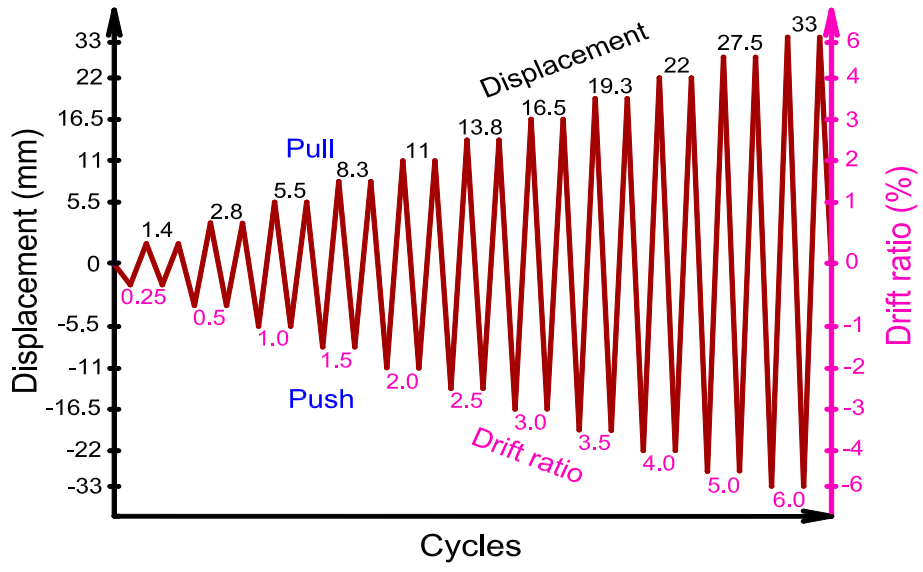
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668   **Fig. 5.** Loading history.
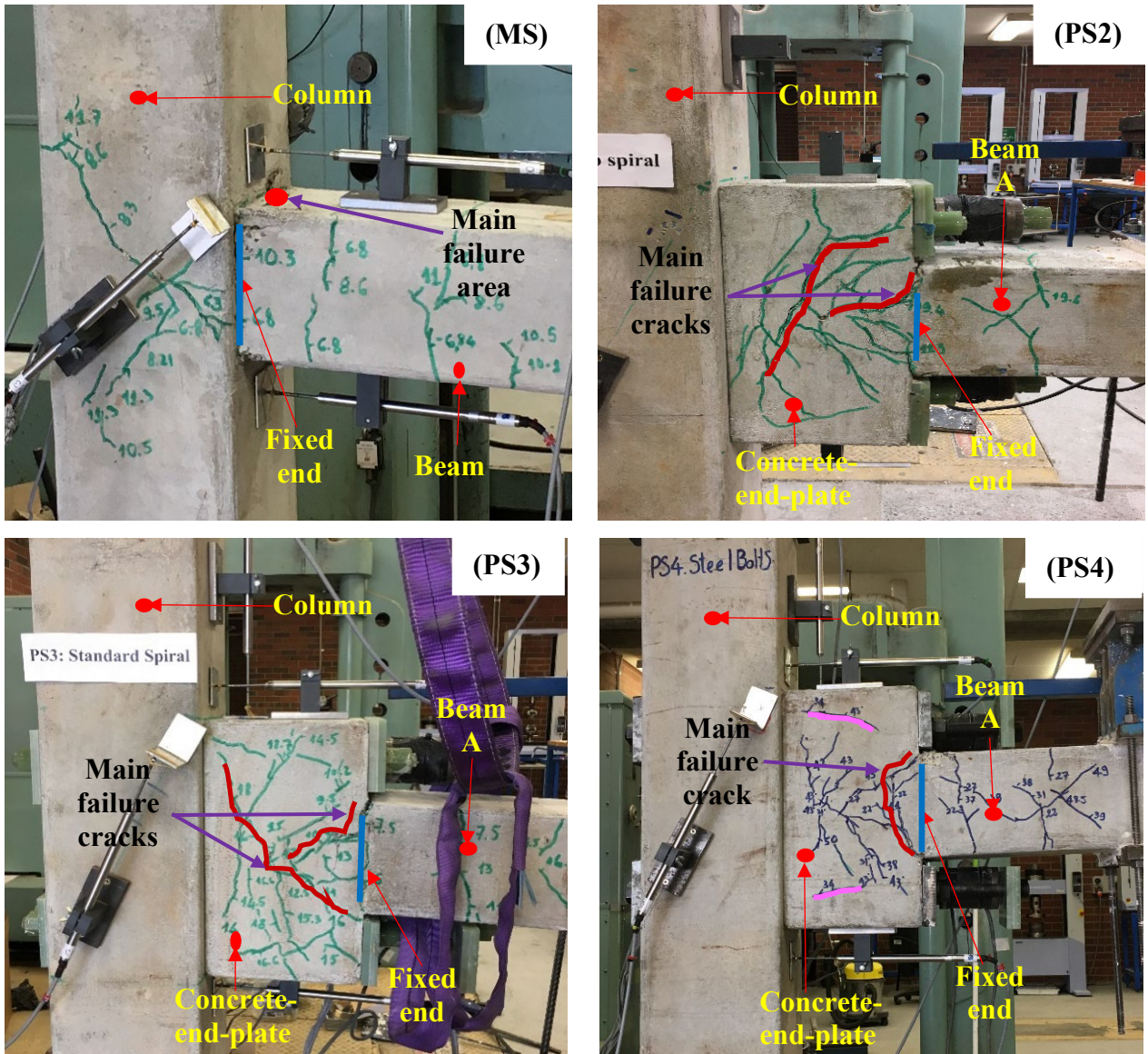
Fig. 6. Failure modes.
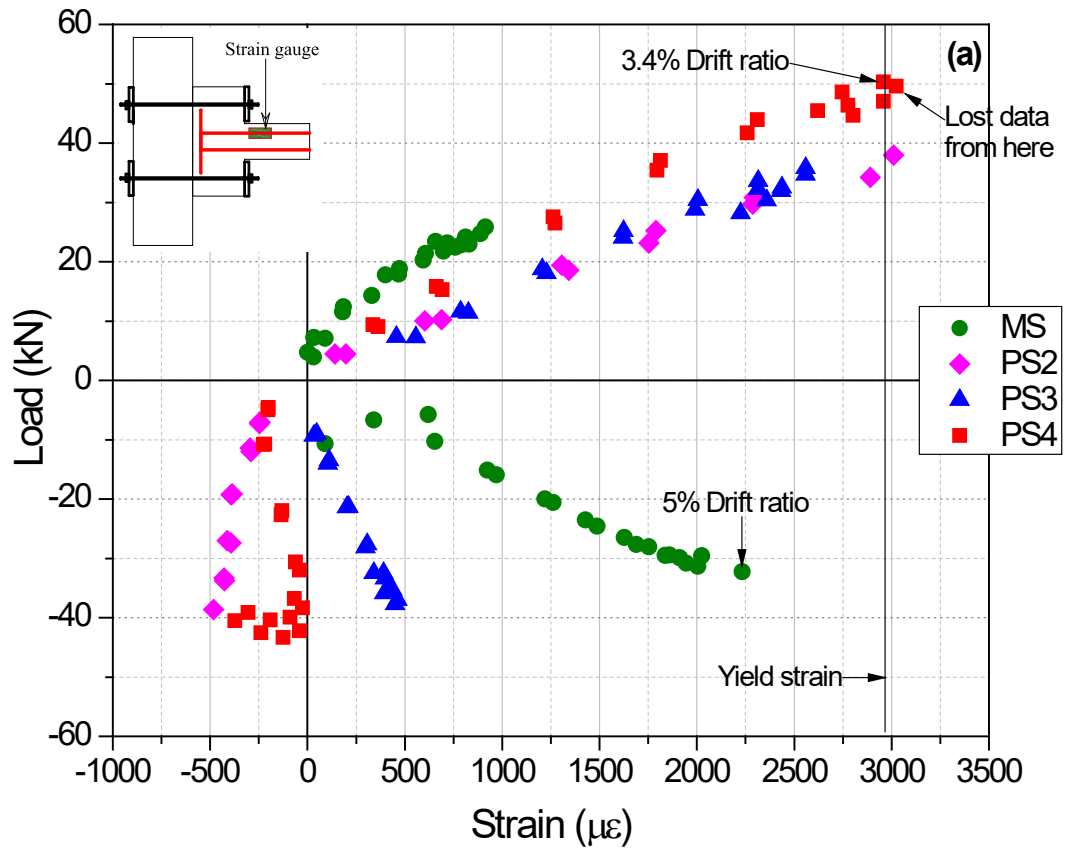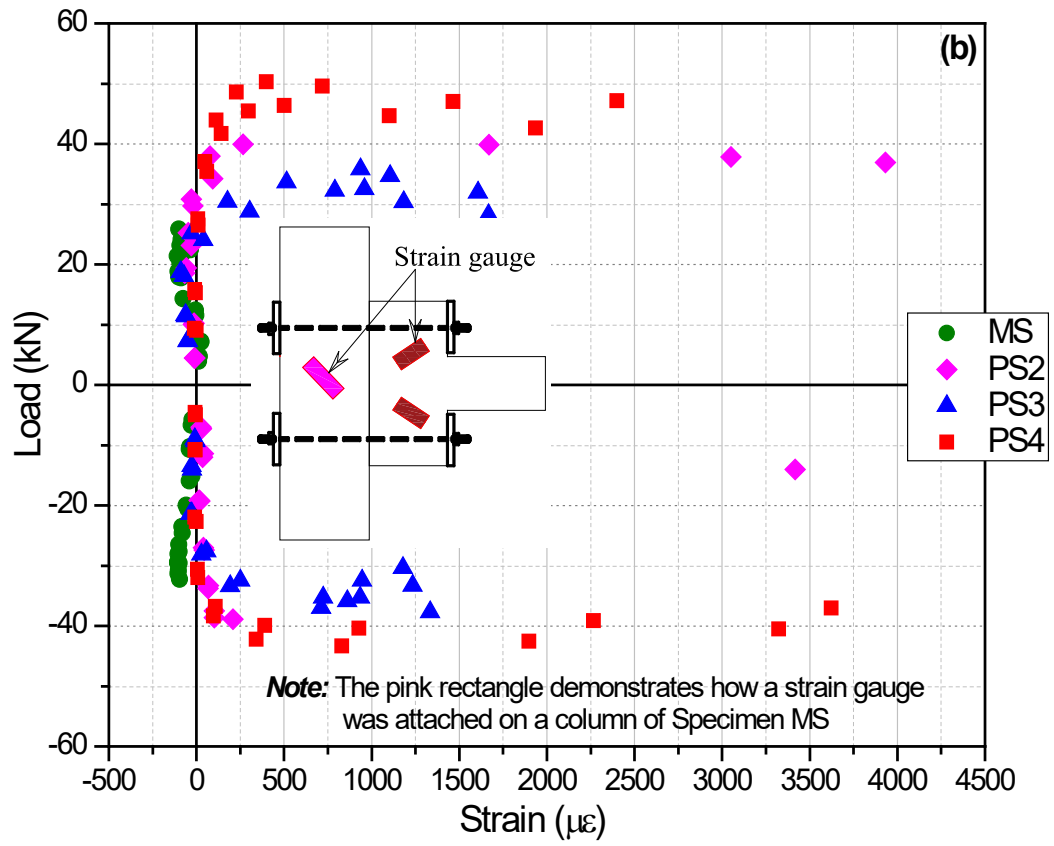
Fig. 7. Strain of longitudinal reinforcement (a) and on concrete (b).

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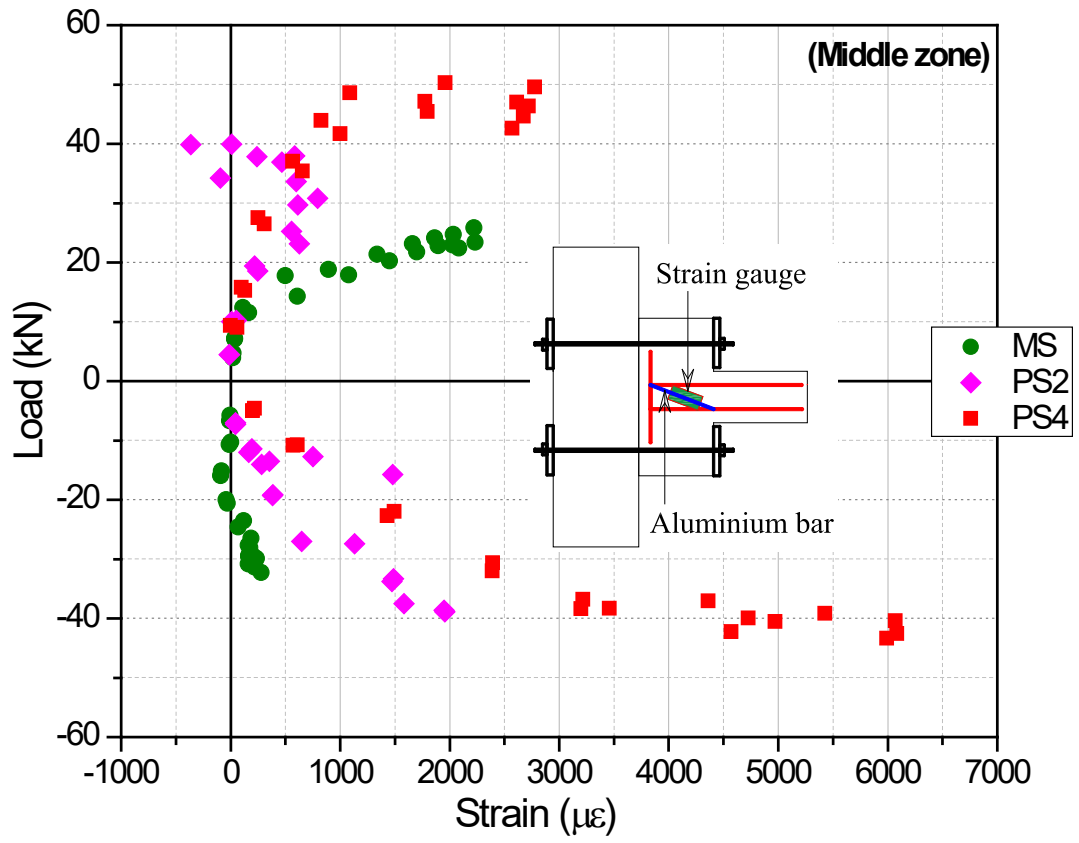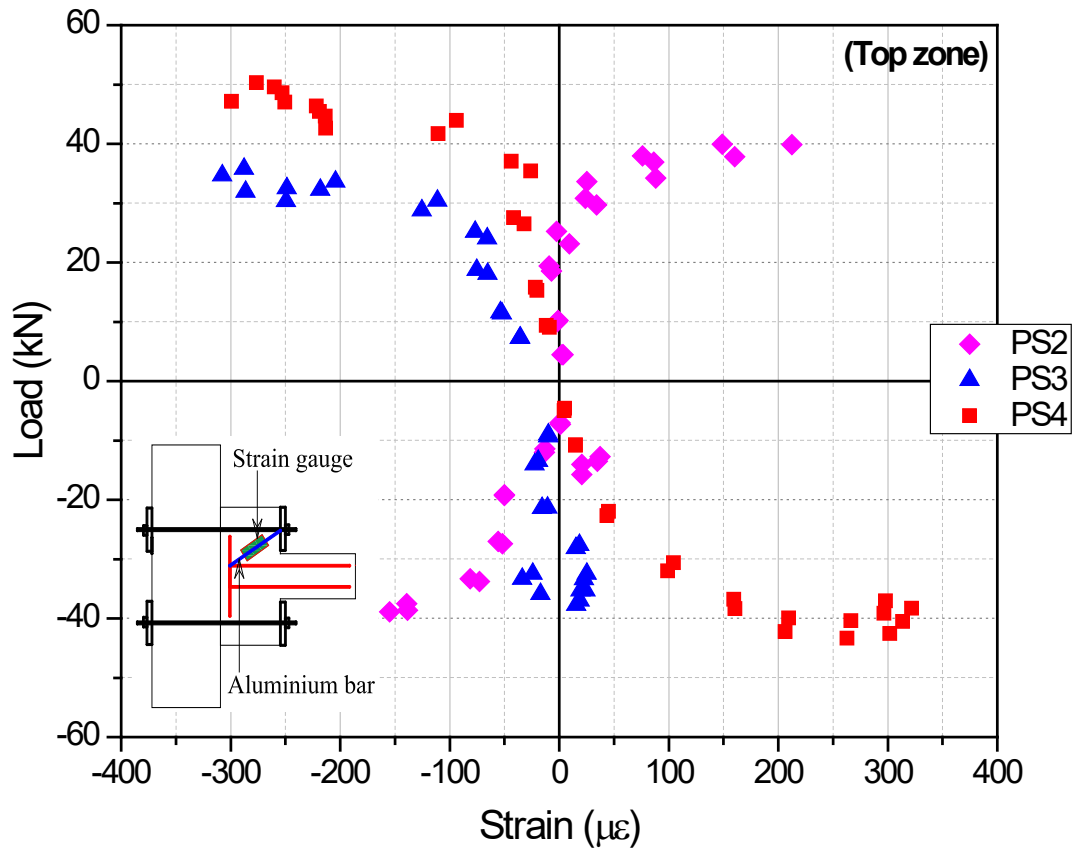
Fig. 8. Load versus strain of the strain gauges in the aluminium bars.
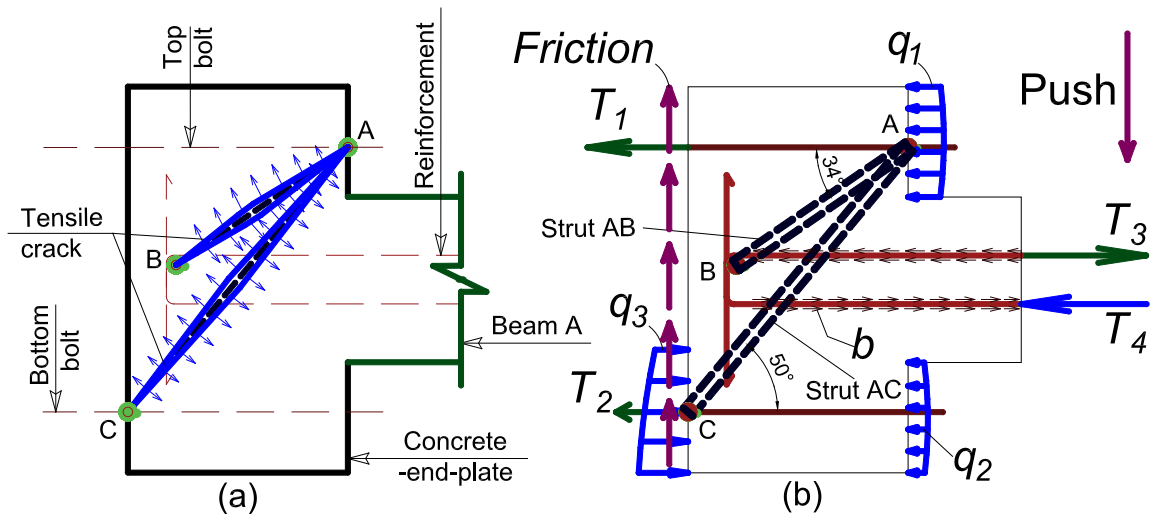
Fig. 9. Force path and cause of inclined cracks.

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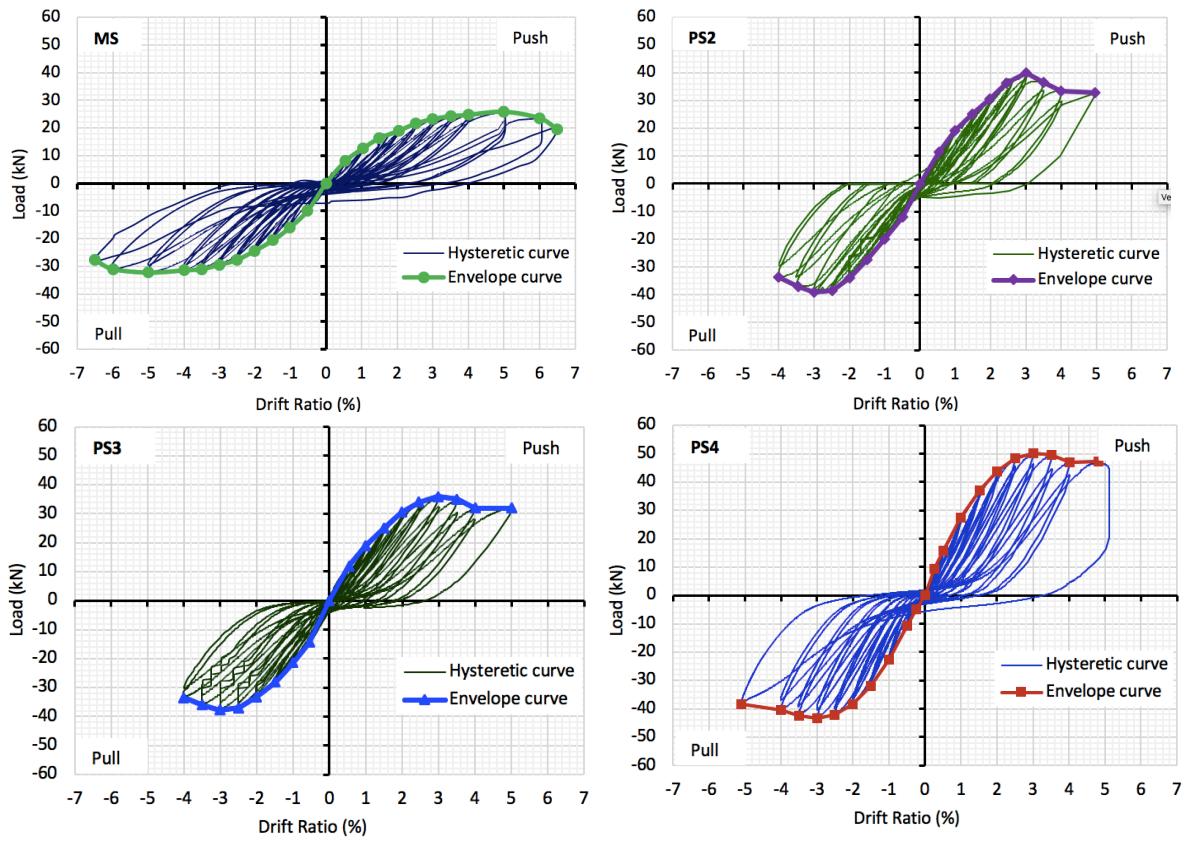
680   **Fig. 10.** Load-displacement hysteretic responses of tested specimens.
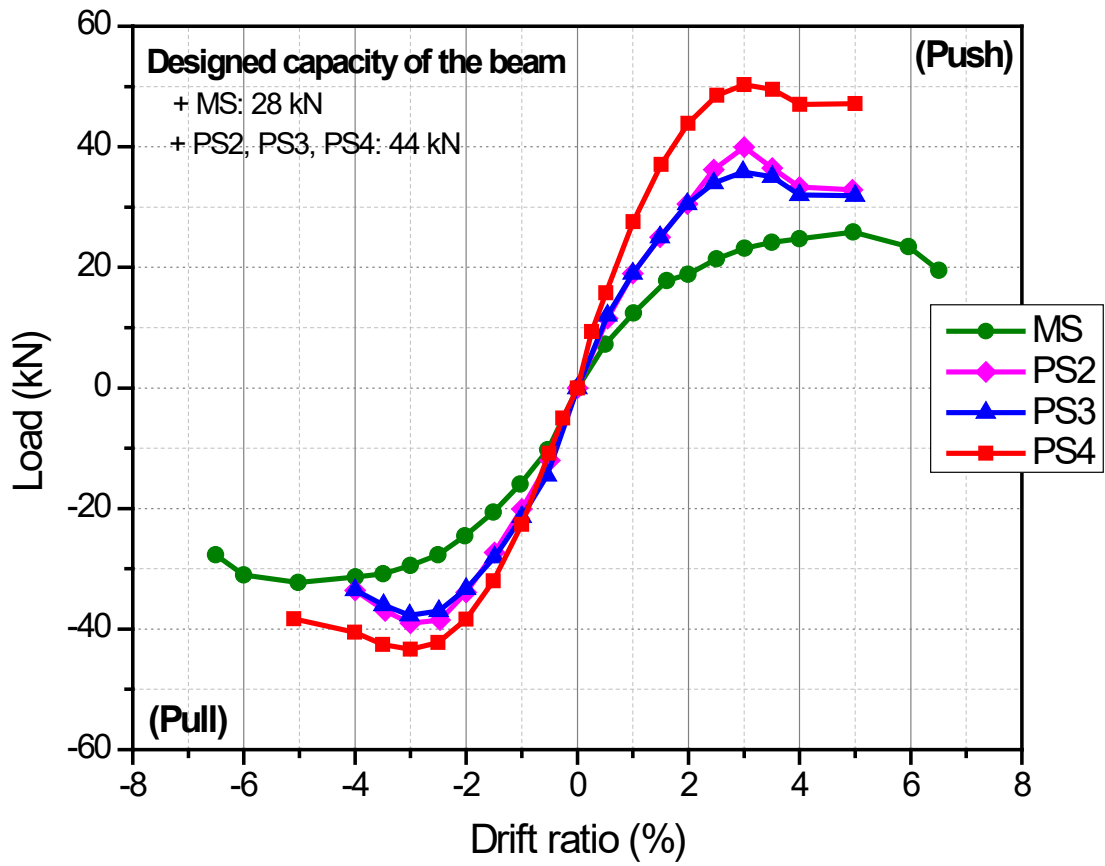
Fig. 11. Envelopes of hysteretic curves of all the specimens.

The graph shows a curve of Applied load versus Displacement with the following labels:
- Vertical axis: Applied load with marked values H_u, H_r, H_e
- Horizontal axis: Displacement with marked values Δ_y, Δ_u
- "Ultimate load" point at the peak
- "Yielding point" marked at Δ_y
- $H_e = 0.75 H_u$
- $H_r = 0.85 H_u$
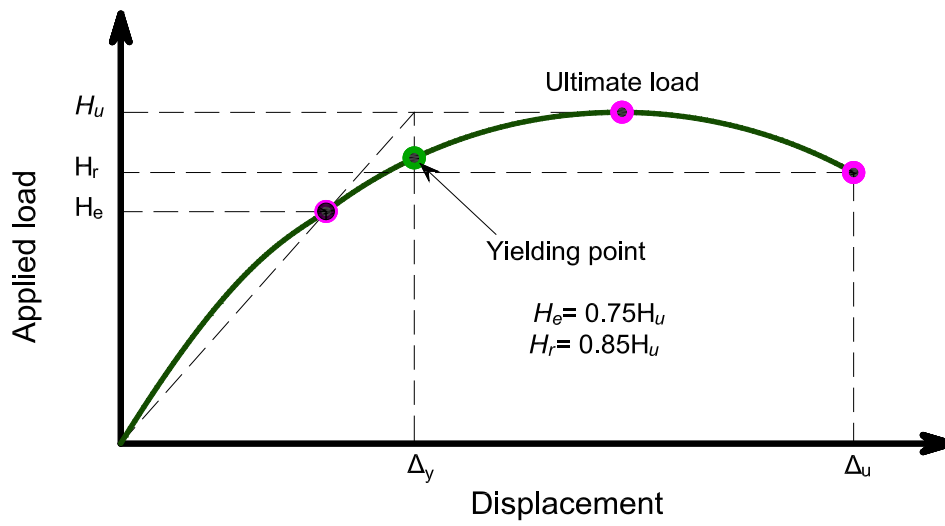
683

684   **Fig. 12.** Definitions of the yield displacement and the ultimate displacement.
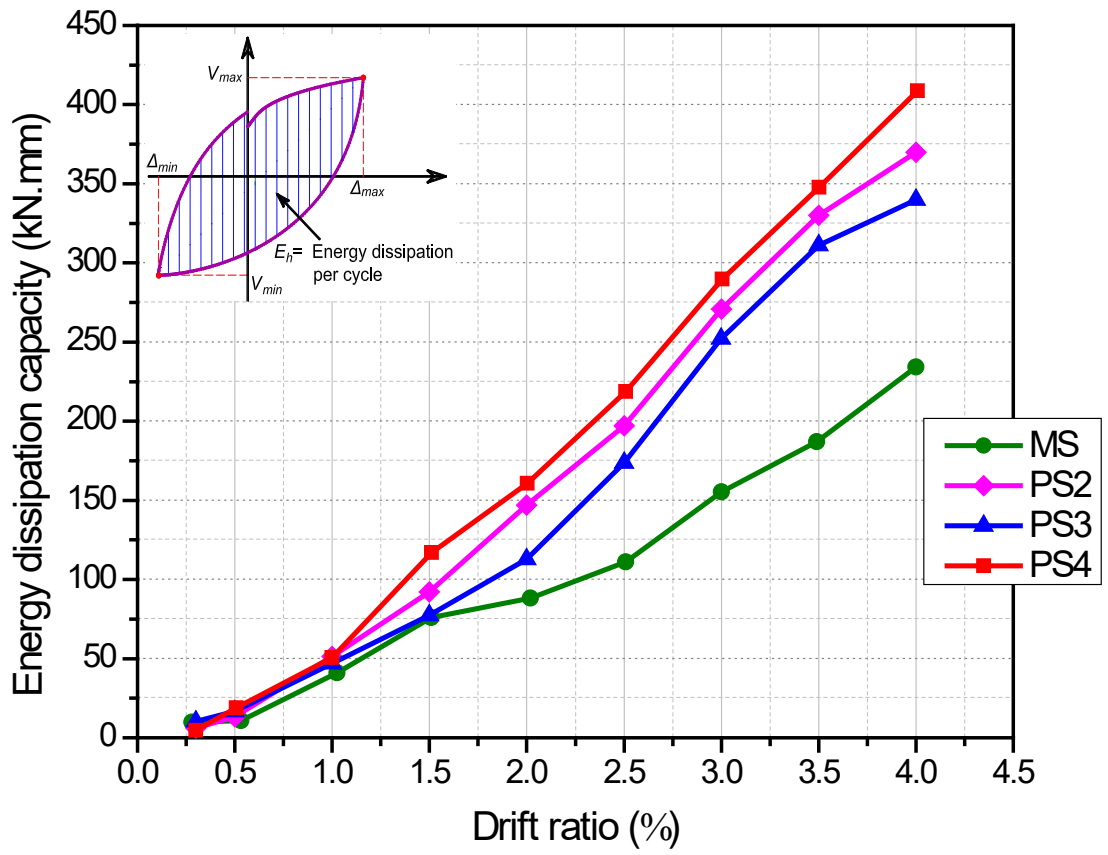
Fig. 13. Energy dissipation curves of the tested specimens.

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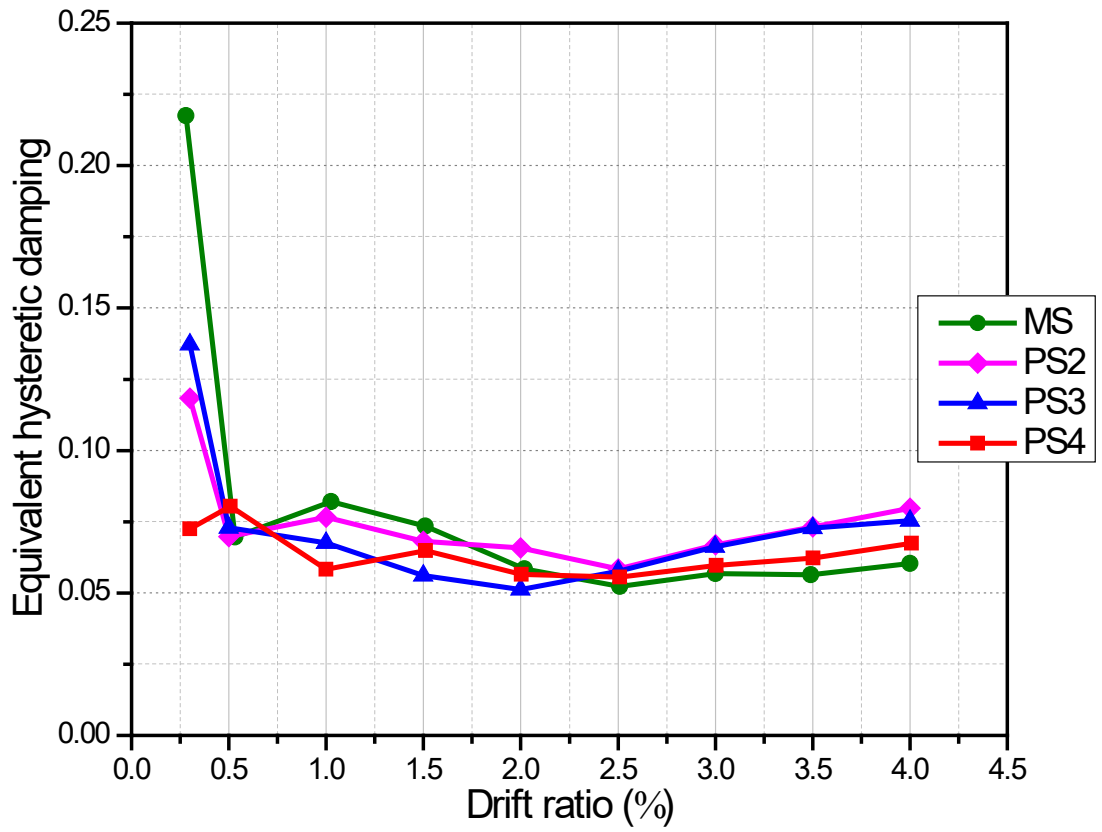
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688   **Fig. 14.** Equivalent viscous damping ratio curves of the tested specimens.
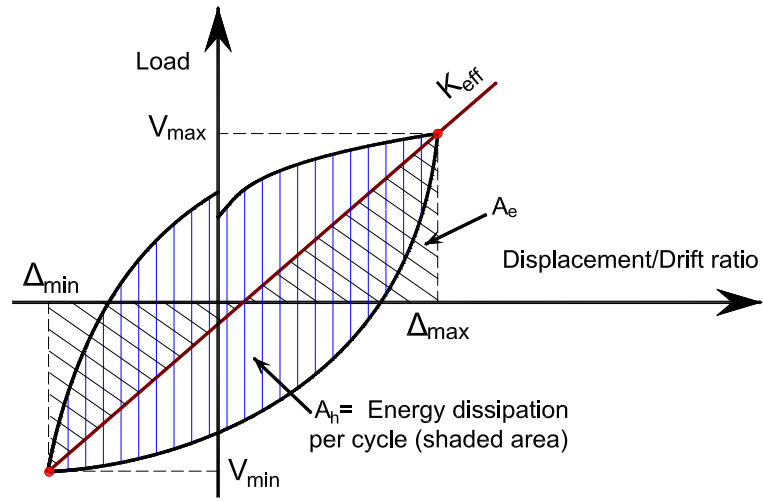
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690   **Fig. 15.** Hysteretic energy dissipation and effective stiffness for cyclic response.
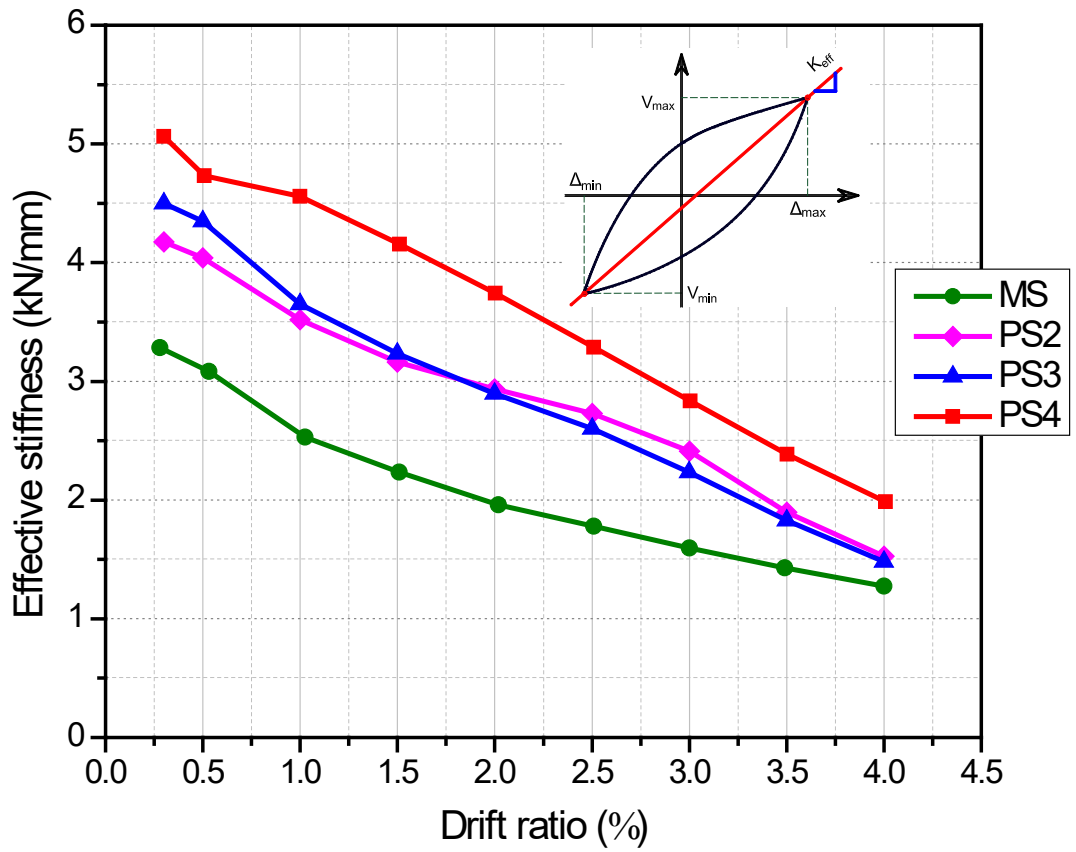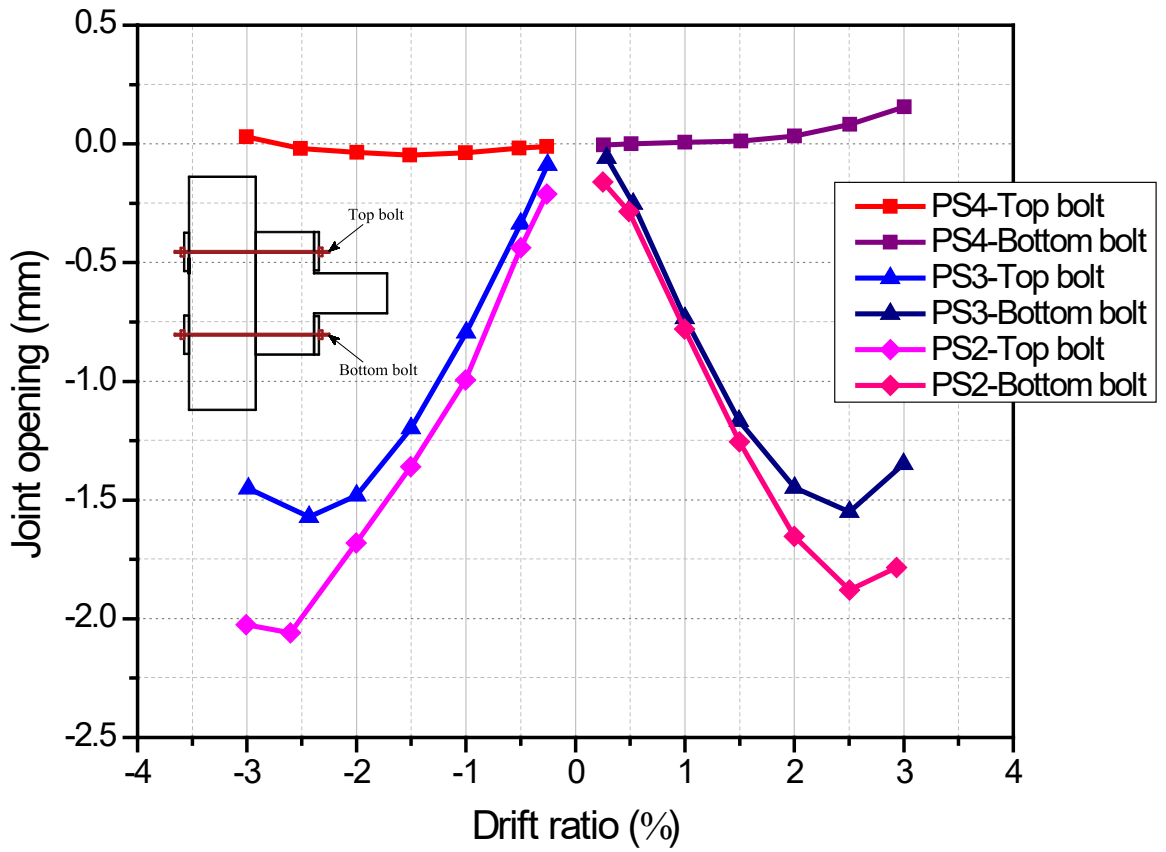
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692   **Fig. 16.** Comparison of peak to peak stiffness.

MO1

| 0.5% | 1% | 2% | 3% |

PS2

| 0.5% | 1% | 2% | 3% |

PS3

| 0.5% | 1% | 2% | 3% |

PS4

| 0.5% | 1% | 2% | 3% |
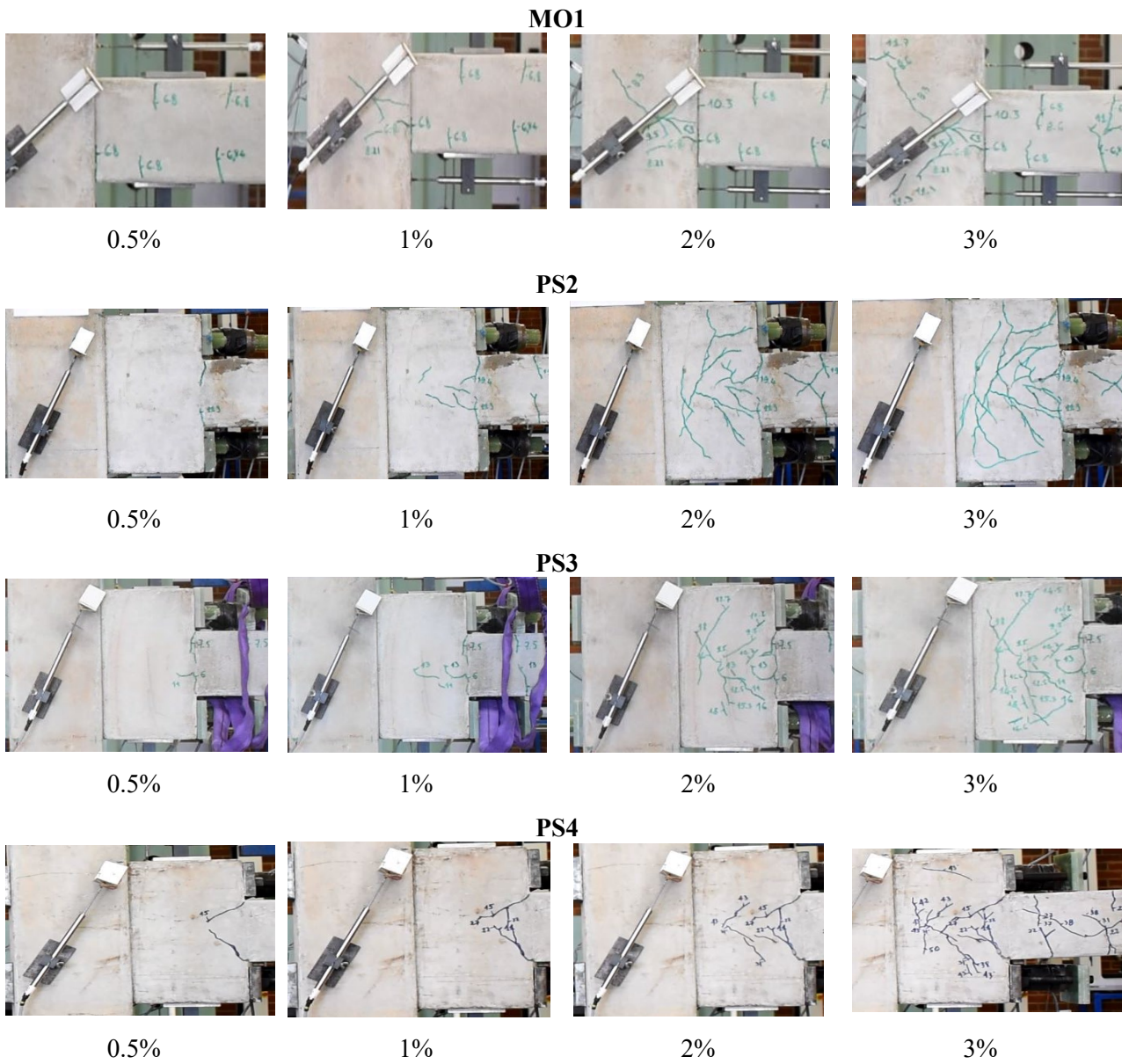
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Fig. 17. The progressive failure process.

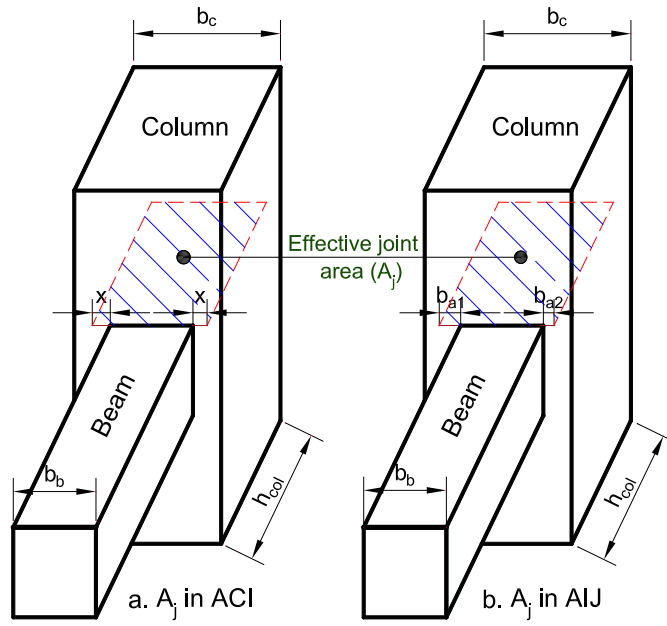Fig. 18. Opening of joints at the top and bottom surface of the concrete-end-plate.

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697   **Fig. 19.** The failure of CFRP bolts when being prestressed with a high level using a torque wrench.
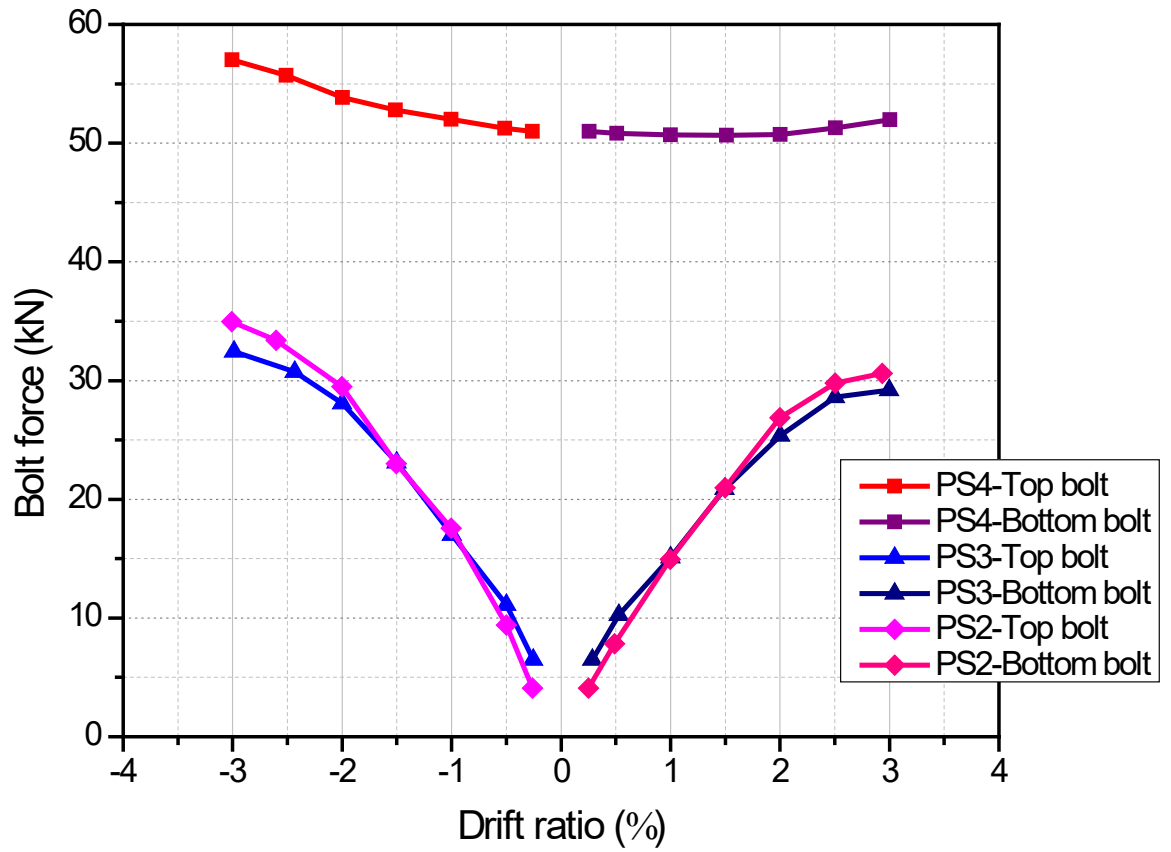
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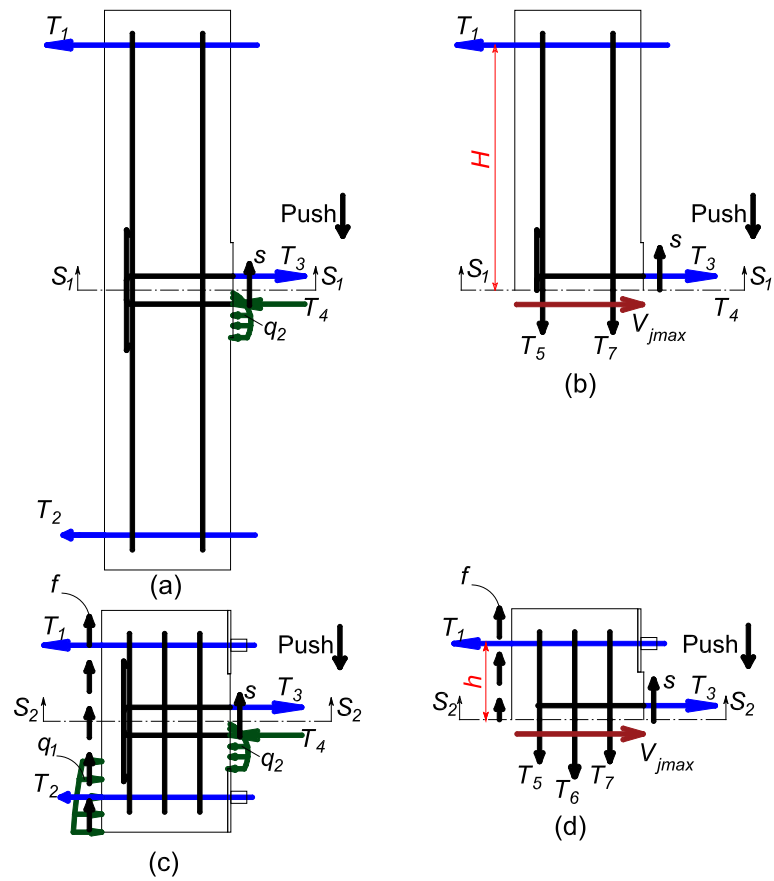699   **Fig. 20.** Bolt forces at the top and bottom zone of the concrete-end-plate.

Fig. 21. Definition of the effective joint area.
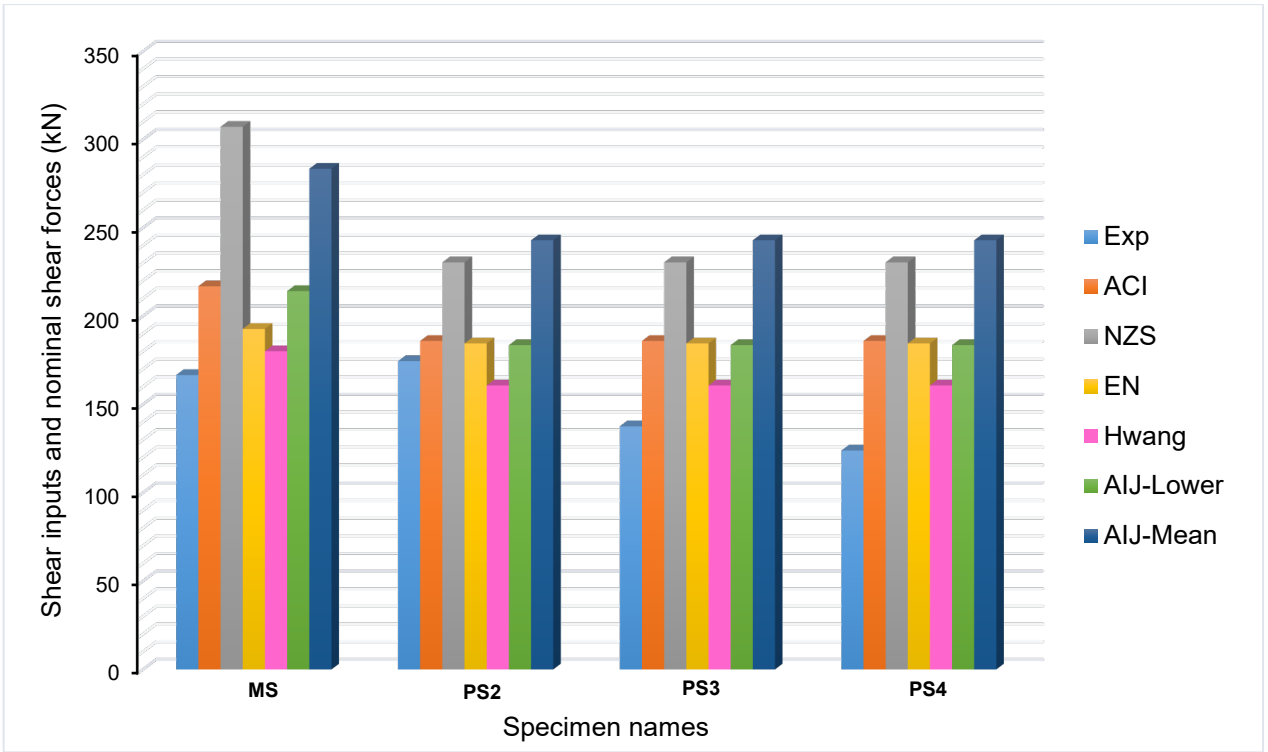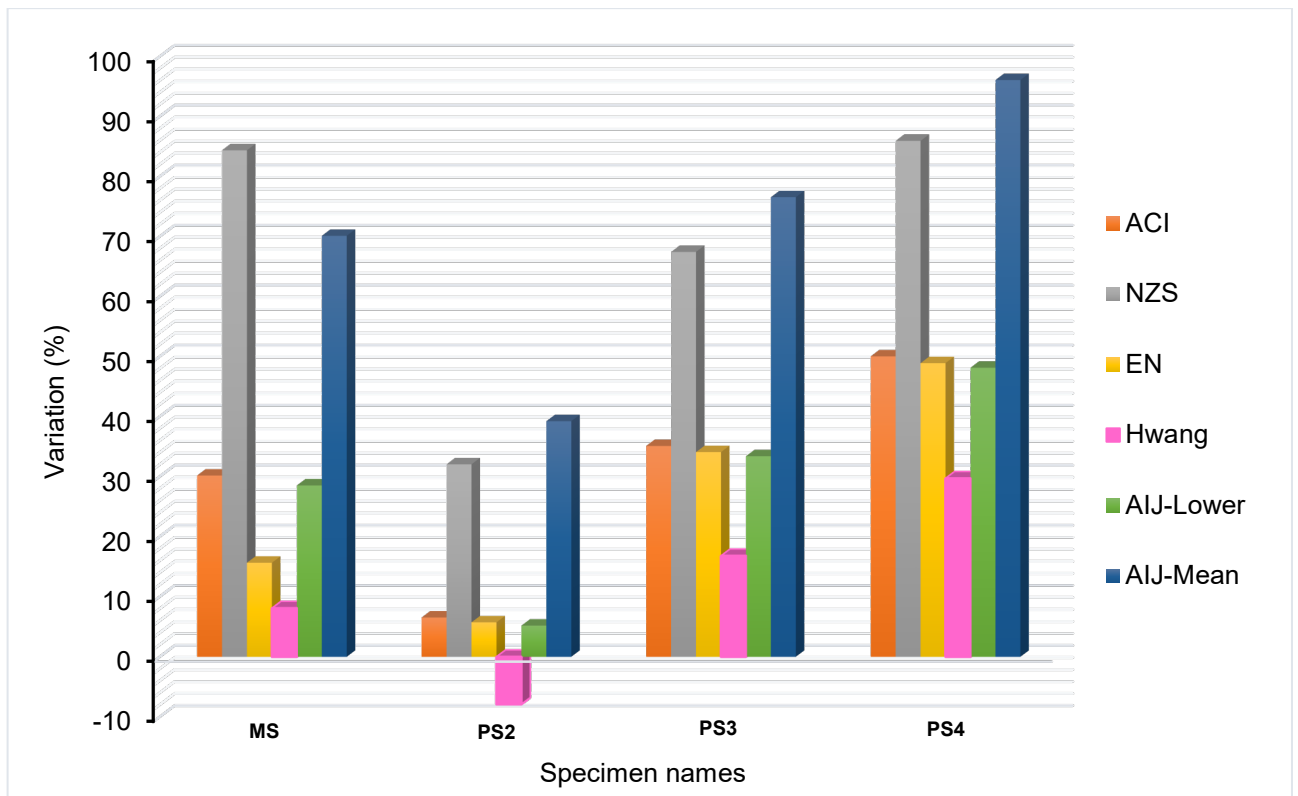
Fig. 22. Global equilibrium of exterior joints.

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Fig. 23. Comparisons between the experiments and analytical results.

Fig. 24. Variations between the experiments and analytical results.

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