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1 Model for Analytical Investigation on Meta-lattice Truss for Low-frequency

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Spatial Wave Manipulation

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4 Abstract

5 This study proposes an analytically unprecedented model of a meta-lattice truss with local 6 resonators to generate a broader low-frequency bandgap. By leveraging the mass-spring model, 7 a new equivalent meta-unit cell considering the elastic shear springs is developed to accurately 8 predict the performance of the meta-lattice truss in suppressing stress wave propagations. 9 Theoretical analyses and numerical simulations are conducted to examine the effectiveness of 10 the proposed model. Sensitivity analyses are also performed to investigate the influences of 11 masses and spring parameters on the bandgap characteristics of the meta-lattice truss. Based on 12 the theoretical prediction, the system transmission coefficient is utilized to examine the 13 transmissibility effect among the resonators. A three-dimensional finite element model of meta-14 lattice truss is also built and its accuracy in predicting the stress wave propagations is verified 15 against the analytical predictions. The structural responses in the time domain and timefrequency domain demonstrate the superiority of meta-lattice truss in suppression of wave 16 17 transmission as compared to that predicted by the conventional counterparts.

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20 **1. Introduction**

21 In the last decade, the field of wave propagation has been revolutionized by the discovery of 22 man-made materials that have the potential of wave manipulation functionalities beyond the 23 limits of naturally available materials [1], [2]. These new concepts of artificial materials are 24 labeled as metamaterials due to their rather exciting and exotic properties [3]. It is often taken 25 into consideration that metamaterial is a material that contains artificial microstructures with unique characteristics that are not found in nature. This terminology originated from the field 26 27 of electrodynamics, now has been extended to other branches of engineering disciplines such 28 as the fields of acoustic and elastic materials [4], [5], [6]. Metamaterials demonstrate some 29 superior dynamic characteristics owing to not only the constituent compositions of materials 30 but also the engineered microstructure of configurations. At its early stage, researchers 31 concentrated on the achievement of unconventional values of effective index [7], [8], [9]. However, it rapidly evolved towards the demonstration of exotic wave manipulation 32 33 functionalities [10]. Previous studies of metamaterials exert various beneficial applications 34 from its extraordinary characteristics, for example, seismic protection [11], [12], sound 35 isolation [13], [14], vibration suppression [15], [16], and blast/impact mitigation [17], [18], 36 [19]. Nonetheless, not until recently, the concept of metamaterials was extended to the context 37 of manipulation of elastic waves in structural elements. Its application, however, still remains 38 limited with even fewer examples of experimental verifications.

Based on their operating mechanisms [20], metamaterials are often classified into two categories, including non-resonant and locally-resonant types. The locally resonant metamaterials are generally made of inclusions in the form of hardcores coated with soft material layers, which are periodically (but not necessarily) distributed in a host matrix of dissimilar material [21], [22]. On the other hand, the non-resonant metamaterials are often made of hardcores only that are buried in a host matrix [23]. There are two mechanisms that can be 45 utilized for metamaterials including Bragg scattering and localized resonance [24]. The 46 bandgap behavior of non-resonant structures relies on the phenomena of wave diffraction and 47 destructive interference with each other [25], i.e. depends on the Bragg scattering effect to form 48 the bandgap. On the other hand, the bandgap in localized resonances is essentially independent 49 of periodicity and symmetry, but governed by the natural frequency of the resonators.

The bandgap, which is the specific range of frequencies where propagation of an applied wave 50 51 is stopped, is the most crucial features of metamaterials [26]. Therefore, much research effort 52 primarily contributed to metamaterials' fundamental mechanism with an attempt to seek 53 approaches to broaden the bandgap of metamaterials or make it tunable [27], [28], [29]. To investigate the relation between the effective dynamic mass density and the oscillation 54 55 frequency, Milton and Willis [30] proposed a rigorous model of metamaterials utilizing the 56 typical motion equations for a rigid bar and Newton's second law to simulate the dynamic 57 effective mass density as a function of the resonant frequency. The single mass-in-mass model 58 was originally introduced by Huang and Sun [10] offering the negativity of mass property over 59 a specific frequency range and this model was applied to lattice systems to broaden the bandgap 60 by Liu et al. [31]. Motivated by the abovementioned studies, an analytical dual-resonator lattice 61 model which was utilized to investigate the transient response of the meta-lattice truss structure 62 was proposed by Liu et al. [32], hereafter referred as the conventional model, to further broaden 63 the bandgap and improve the suppression of incident waves. Subsequently, the strategy of 64 diatomic mimicking lattice systems [28] was also utilized to broaden the bandwidths of the 65 meta-lattice truss. Besides, to investigate the effect of damping on asymmetric elastic-wave 66 transmission, Alamri et al. [33] proposed and designed the dissipative diatomic acoustic lattice 67 system possessing the bandwidth broadening effect. This study investigated the damping effect 68 on the bandgaps of the lattice system while the influences of other parameters such as mass and 69 stiffness, especially shear stiffness have not been investigated. The conventional analytical

70 model with dual-resonator did not fully consider the importance of the shear stiffness between 71 multilayers within the meta-lattice truss, i.e. the shear stiffness between the inner core and the 72 coating. As will be demonstrated in the present study, this shear stiffness affects the bandgap 73 in the low-frequency range. The accuracy of the model in predicting the transient response is therefore compromised if the shear stiffness is neglected in the analytical model. In particular, 74 75 as will be proven later in this study through numerical simulations, stress wave caused by an 76 excitation with a low range frequency, i.e. 300 Hz, is successfully mitigated in the numerical 77 model, while the corresponding stress wave attenuation is not captured by the conventional 78 analytical model. In other words, the conventional analytical model cannot accurately predict 79 the response of the dual-resonator meta-lattice truss, particularly in the low-frequency range 80 because of neglecting the shear stiffness between the inner core and the coating. Therefore, it 81 is necessary to develop an analytical model that is able to more accurately predict the response 82 of meta-lattice truss with dual-resonators. In the present study, an additional shear spring is 83 introduced into the conventional analytical model, and the analytical results show the stress 84 wave caused by the excitation with a frequency of 300 Hz is well attenuated as also observed 85 in the numerical investigation, which demonstrates the accuracy of the proposed analytical model. 86

87 In brief, this research proposes an analytical model for the dual-resonator meta-lattice truss by 88 adopting a locally resonant mechanism and taking the shear stiffness of all multilayers into 89 consideration. The widely utilized mass-in-mass spring lattice model, with added shear spring 90 to connect the inner resonator and the soft coating, is utilized to derive the analytical solutions. 91 In this article, firstly, the analytical predictions of an infinite lattice truss member calculated by 92 two models including the proposed model and the conventional one are derived and compared 93 to demonstrate differences regarding the predicted bandgaps from these two models. Analytical 94 results show that the proposed model predicts a wider bandgap in the low-frequency range, the

95 same as the numerical model, which could not be accurately predicted by the conventional 96 model. The comparisons with the numerical predictions based on a finite element analysis 97 demonstrate the accuracy of the developed numerical model in this study. Specifically, if the 98 shear stiffness is neglected, the analytical model may not accurately predict the actual response 99 of the meta-lattice truss. The numerical model has shown an incident wave that is mitigated but 100 the existing analytical model (without considering the shear stiffness) does not prohibit this 101 incident wave from propagating through the truss. Therefore, this study incorporates the shear 102 stiffness in the analytical derivation and the derived model yields good predictions as compared 103 with the numerical results, demonstrating the need to consider the shear stiffness of the inner 104 resonator in the analytical model. To investigate the influence of various parameters of the 105 meta-lattice truss on wave propagation, a comprehensive parametric study is carried out and 106 the influences of masses and spring stiffness on the behavior of the bandgap are examined. 107 Finally, the superb stress wave attenuation ability of the meta-lattice truss with dual resonators 108 is demonstrated.

109 2. Design of the dual-resonator lattice model

110 Without loss of generality, the example 3D meta-lattice truss model utilized in this investigation 111 consists of 7 unit cells in which each cell comprises of five parts: the outer tube, 2 soft coats, 112 and 2 resonators as shown in Fig. 1(a). The compositions and dimensions of each unit cell are 113 presented in Figs. 1(b) and 1(c), respectively. Aluminium and lead are respectively selected for 114 the outer tube and the resonators, and the two soft coatings are made from rubber. In the 115 analytical model, the matrix is represented by material 1, i.e., the outer aluminium tube. 116 Meanwhile, material 2 is modelled by two springs including the outside shear spring k_2 117 connecting the resonator with the outer shell and the axial spring k_1 connecting the adjacent 118 resonators (refer to Fig. 3(b) for more details). Similarly, material 4 is modelled by the axial 119 and shear springs connecting the internal hardcore mass and external hardcore mass, namely k_3 120 and k_4 , respectively. The numerical analysis in the following sections indicates that the 121 analytical model without considering k_4 does not reflect the actual response of the meta-lattice 122 truss as observed in the numerical simulation presented in this study. Acting as resonators, 123 material 3 and material 5 are represented by the external mass m_1 and internal mass m_2 , 124 respectively. It should be noted that this study is dedicated to investigate the dynamic 125 performance of the elastic meta-lattice truss under the elastic stress wave. The properties of all 126 the materials are summarized in Tables 1, 2 and 4. These material properties are also used in 127 the numerical model in this study.

128 The inner mass and outer mass can be estimated by Eq. (1) where ρ_j and V_j are the material 129 density and volume of the *j*th material, and the length and radius of *j*th unit are denoted by l_j and 130 r_j , respectively.

$$m_j = \rho_j V_j = \rho_j \pi r_j^2 l_j$$
 $j = 1, 2$ (1)

131 Similarly, the spring of each stiffness can be estimated as follows

$$k_{1} = \frac{E_{3}A_{1}}{l_{2}}, \qquad k_{2} = \frac{G_{3}A_{2}}{l_{1}}, \qquad G_{3} = \frac{E_{3}}{2(1+\nu_{3})}$$

$$k_{3} = \frac{E_{3}A_{3}}{l_{3}}, \qquad k_{4} = \frac{G_{3}A_{4}}{l_{4}}$$
(2)

in which *E* and *G* are the Young's modulus and shear modulus of the soft material, respectively. The values of A_i (*i*=1,2,3,4) which are the nominal cross-sections of the distinct segments of the soft layer presented in the appendix are obtained by FEA due to the shape complexity. The detailed calculation of the spring stiffness is also presented in the appendix. Based on the material properties and dimensions, the relevant estimations of equivalent mass and stiffness are computed as $m_1 = 14.2 \times 10^{-3}$ kg, $m_2 = 17.7 \times 10^{-3}$ kg, $k_1 = 424,655$ N/m, $k_2 = 102,531$ N/m, k_3 = 280,526 N/m, and $k_4=61,425$ N/m.



Fig. 1. (a) Schematic view of 3D lattice truss (b) Single unit cell and (c) Dimension of the single unit cell.

139 Table 1. Elastic material properties used in the numerical simulation [34]

Properties	Materials 1 and 3	Materials 2 and 4	Material 5	
-	Aluminium	Rubber	Lead	
Density ρ (kg/m ³)	2770	1200	11340	
Young's modulus <i>E</i> (Pa)	70x10 ⁹	780x10 ³	16x10 ⁹	
Poisson's ratio v	0.33	0.47	0.45	

140 **3. Analytical models**

Firstly, the conventional 1D mass-spring chain model with locally resonant microstructures is briefly revisited (Section 3.1). Then, a shear spring is introduced into the unit cell, and an unprecedented model is proposed in Section 3.2. The comparisons with the conventional model in terms of transmissibility are also made in this section. Comprehensive parametric studies are further carried out in Section 5 to analytically examine the influences of mass and spring stiffness on the bandgaps.

147 **3.1** Conventional mass-in-mass spring model of meta-lattice truss

The meta-lattice truss can be represented in the mass-in-mass formation comprising of masses and springs [32]. An infinite 1D spring-mass lattice system including the resonators is depicted in Figs. 2(a) and 2(b), in which the inner mass m_2 and outer mass m_1 are connected to each other by an axial spring k_3 . The shear spring with the stiffness k_2 constrains the displacement of the mass m_1 which is periodically linked with each other by the axial spring k_1 .





(b)

Fig. 2. (a) Schematic microstructure of the infinite conventional model of meta-lattice truss and (b) Equivalent effective spring-mass model.

In this one-dimensional meta-lattice truss, the internal and external mass displacements are denoted by u_1 and u_2 , respectively, and the motion equations of the j^{th} unit cell can be derived as follows:

$$m_{1}\ddot{u}_{1}^{(j)} + k_{1}\left(2u_{1}^{(j)} - u_{1}^{(j+1)} - u_{1}^{(j-1)}\right) + k_{3}\left(u_{1}^{(j)} - u_{2}^{(j)}\right) + k_{2}u_{1}^{(j)} = 0$$
(3)

$$m_2 \ddot{u}_2^{(j)} + k_3 \left(u_2^{(j)} - u_1^{(j)} \right) = 0 \tag{4}$$

Based on the Floquet-Bloch theorem [35], the solution of harmonic wave of the $(j+n)^{\text{th}}$ and j^{th} unit cells can be expressed in the form of

$$u^{(j+n)} = Ue^{i(jqL+nqL-\omega t)}$$
⁽⁵⁾

$$u^{(j)} = Ue^{i(jqL-\omega t)}$$
(6)

158 where U is the displacement amplitude, q is the wavenumber, ω is the angular frequency, L is 159 the length of the unit cell.

- By substituting Eqs. (5) and (6) into Eqs. (3) and (4), the dispersion relation of the lattice system
- 161 can be derived as follows:

$$\cos qL = 1 - \frac{m_1 \omega^2 - (k_2 + k_3) + \frac{k_3^2}{k_3 - m_2 \omega^2}}{2k_1}$$
(7)

Herein, the lattice system is monatomic, therefore, the following effective mass equation of themicrostructure must be satisfied

$$\omega^2 = 2 \frac{k_1}{m_{eff}} \left(1 - \cos qL \right) \tag{8}$$

Based on Eqs. (7) and (8), the effective mass (m_{eff}) of the lattice system can be obtained as:

$$m_{eff} = m_1 - \frac{k_2 + k_3}{\omega^2} + \frac{k_3^2}{k_3 \omega^2 - m_2 \omega^4}$$
(9)

165 When the unit cell is regarded as homogeneous with the effective mass m_{eff} and effective 166 stiffness k_{eff} (Fig. 2(b)), the effective stiffness can be calculated as follows [32]:

$$k_{eff} = k_1 - \frac{1}{4}m_{eff}\omega^2 = k_1 + \frac{1}{4}(k_2 + k_3) - \frac{1}{4}\left(m_1\omega^2 + \frac{k_3^2}{k_3 - m_2\omega^2}\right)$$
(10)

167 To define the width of the bandgap, the dispersion in Eq. (7) can be solved and the expression168 of the angular frequency can be obtained as follows:

$$\omega^{2} = \frac{m_{2}k_{2} + (m_{1} + m_{2})k_{3} + 2k_{1}m_{2}(1 - \cos qL) \pm \sqrt{\beta}}{2m_{1}m_{2}}$$
(11)

169 where
$$\beta = (m_2k_2 + (m_1 + m_2)k_3 + 2k_1m_2(1 - \cos qL))^2 - 4m_1m_2[2k_1k_3(1 - \cos qL) + k_2k_3]$$

170 Substituting qL=0, the angular frequency at the starting points of two passbands can be obtained 171 as:

$$\omega^{2} = \frac{m_{2}k_{2} + (m_{1} + m_{2})k_{3} \pm \sqrt{m_{2}^{2}k_{2}^{2} + (m_{1} + m_{2})^{2}k_{3}^{2} + 2k_{2}k_{3}(m_{2}^{2} - m_{1}m_{2})}}{2m_{1}m_{2}}$$
(12)

and substituting
$$qL=\pi$$
, the angular frequency at the two ending points of the passband can be
expressed as:

$$\omega^{2} = \frac{\left(m_{1} + m_{2}\right)k_{3} + 4m_{2}\left(k_{1} + k_{2}\right) \pm \sqrt{\left(m_{2}k_{2} + \left(m_{1} + m_{2}\right)k_{3} + 4k_{1}m_{2}\right)^{2} - 4m_{1}m_{2}\left[4k_{1}k_{3} + k_{2}k_{3}\right]}{2m_{1}m_{2}}$$
(13)

174 **3.2 Proposed mass-in-mass spring model of meta-lattice truss**

175 As shown in Fig. 2 and also discussed in the introduction, the conventional meta-lattice model 176 proposed by Liu, Shen, Su and Sun [32] only considered the shear spring connected the external 177 mass m_1 and the soft layer (material 2 in Fig. 1), and it is represented by k_2 . The shear spring 178 linking the internal mass m_2 and the corresponding soft layer (material 4 in Fig. 1) was, 179 however, neglected. It is obvious that the inner mass and the outer mass of a unit cell bear 180 similar characteristics, and the negligence of this stiffness may result in inaccurate bandgap 181 predictions. It is therefore worth considering the shear stiffness of the inner mass to enhance 182 the accuracy of the model. This study proposes an improved mass-in-mass spring meta-lattice 183 model, in which besides the spring with the stiffness k_2 constrains the displacement of the mass 184 m_1 to the matrix, the inner mass m_2 is also restrained by the shear spring stiffness k_4 . Figs. 3(a) 185 and 3(b) show the corresponding analytical model.



(b)

Fig. 3. (a) Schematic microstructure of the proposed model of meta-lattice truss and (b) Equivalent effective mass-spring model.

186 Similar to the conventional mass-in-mass spring model as shown in Fig. 2, the equations of 187 motion of the i^{th} unit cell in Fig. 3 can be expressed as follows:

$$m_1 \ddot{u}_1^{(j)} + k_1 \left(2u_1^{(j)} - u_1^{(j+1)} - u_1^{(j-1)} \right) + k_3 \left(u_1^{(j)} - u_2^{(j)} \right) + k_2 u_1^{(j)} = 0$$
(14)

$$m_2 \ddot{u}_2^{(j)} + k_3 \left(u_2^{(j)} - u_1^{(j)} \right) + k_4 u_2^{(j)} = 0$$
(15)

To derive the dispersion curves, a similar strategy is adopted and the solution of harmonic wave of the $(j+n)^{\text{th}}$ and j^{th} unit cells can be represented by Eq. (5) again, and the derivative function of the solution can be obtained as follows

$$\ddot{u}^{(j)} = -\omega^2 U e^{i(jqL-\omega t)} = -\omega^2 u^{(j)}$$
(16)

Substituting Eq. (16) into Eq. (15), the relation between the inner mass and outer mass can beobtained as follows:

$$u_2^{(j)} = \frac{k_3}{k_3 + k_4 - m_2 \omega^2} u_1^{(j)}$$
(17)

193 It is worth noting that the Bloch-Floquet theory consequence is adopted in the present study, in 194 which the motion must satisfy the Bloch periodicity condition. Hence, by substituting Eqs. (16) 195 and (17) into Eq. (14), one obtains

$$-m_1\omega^2 u_1^{(j)} + k_1 \left(2u_1^{(j)} - u_1^{(j)}e^{-iqL} - u_1^{(j)}e^{iqL}\right) + k_3 \left(1 - \frac{k_3}{k_3 + k_4 - m_2\omega^2}\right) u_1^{(j)} + k_2 u_1^{(j)} = 0$$
(18)

196 By applying the identity $e^{-iqL} + e^{iqL} = 2\cos(qL)$, Eq. (18) can be rewritten to form the dispersion 197 relation as follows:

$$\cos qL = 1 - \frac{m_1 \omega^2 - (k_2 + k_3) + \frac{k_3^2}{(k_3 + k_4) - m_2 \omega^2}}{2k_1}$$
(19)

198 Eq. (19) can also be rearranged into the following form

$$\omega^2 = 2 \frac{k_1}{m_{eff}} \left(1 - \cos qL \right) \tag{20}$$

199 where

$$m_{eff} = m_1 - \frac{k_2 + k_3}{\omega^2} + \frac{k_3^2}{\left(k_3 + k_4\right)\omega^2 - m_2\omega^4}$$
(21)

and the effective stiffness can also be conveniently formulated due to the homogeneity of the unit cell

$$k_{eff} = k_1 + \frac{1}{4} \left(k_2 + k_3 \right) - \frac{1}{4} \left(m_1 \omega^2 + \frac{k_3^2}{\left(k_3 + k_4 \right) - m_2 \omega^2} \right)$$
(22)

With an attempt to find the dispersion relation for this system, the angular frequency can be calculated by solving Eq. (19) as follows:

$$\omega^{2} = \frac{2m_{2}(1-\cos qL)k_{1}+m_{2}k_{2}+(m_{1}+m_{2})k_{3}+m_{1}k_{4}\pm\sqrt{\gamma-\eta}}{2m_{1}m_{2}}$$
(23)

204 where
$$\gamma = (2m_2(1 - \cos qL)k_1 + m_2k_2 + (m_1 + m_2)k_3 + m_1k_4)^2$$
 and

205
$$\eta = 4m_1m_2 \Big[2k_1(k_3+k_4)(1-\cos qL) + k_2(k_3+k_4) + k_3k_4 \Big].$$

The above derivations are based on the assumption of infinite unit cell. In practice, the number of unit cells is always finite. In this case, the wave transmission coefficient of the spring-mass chain, which depicts the displacement amplitude ratio of the last unit cell to the input excitation is normally defined, and it can be calculated as follows Yao et al. [36]:

$$T = \left| \prod_{j=1}^{N} T^{(j)} \right| \tag{24}$$

210 where $T^{(j)} = u^{(j)} / u^{(j-1)}$

From Eq. (20), by applying the identities $e^{-iqL} + e^{iqL} = 2\cos(qL)$ and rearranging the equation the following form can be obtained:

$$\left(2k_{1}-\omega^{2}m_{eff}\right)u^{(j)}=k_{1}\left(u^{(j+1)}+u^{(j-1)}\right), \quad j=1,2,...,N-1$$
(25)

$$(k_1 - \omega^2 m_{eff}) u^{(j)} = k_1 u^{(j-1)}, \qquad j = N$$
 (26)

213 Substituting $T^{(j)} = u^{(j)} / u^{(j-1)}$ into Eqs. (25) and (26) gives:

$$2k_1 - \omega^2 m_{eff} = k_1 \left(T^{(j+1)} + \frac{1}{T^{(j)}} \right)$$
(27)

214 Therefore, the wave transmission coefficient can be formulated as follows:

$$T^{(j)} = \frac{k_1}{k_1 \left(2 - T^{(j+1)}\right) - \omega^2 m_{eff}}, \qquad j = 1, 2, ..., N - 1$$
(28)

$$T^{(N)} = \frac{k_1}{k_1 - \omega^2 m_{eff}}, \qquad j = N$$
(29)

215 4. Numerical simulation

To verify the accuracy of the proposed and conventional analytical models, a 3-D finite element
model of the meta-lattice truss is built and validated in this section.

218 4.1 Numerical model development

The 3-D numerical model is built to investigate the wave transmission characteristics of the meta-lattice truss and verify its accuracy against the analytical predictions by utilizing 221 commercial software LS-DYNA (Fig. (1)). Contact definitions, the prevention of reflected 222 waves, material models, and simulation of prescribed displacement are presented in this section. 223 In this study, all elements are modelled by solid elements and the minimum meshing size is 224 0.2mm after a convergence test. To define the property of aluminium considering the plastic 225 deformation, *MAT JOHNSON COOK is utilized while *MAT ELASTIC material model is 226 applied to simulate the dynamic behaviour of rubber elements due to their distinguished 227 properties [34]. Johnson-cook material model requires an equation of state in order to initialize 228 the thermodynamic state of the material [37]. The elastic and plastic material properties are 229 summarized in Tables 1 and 2, respectively. In this study, the equation of state of Johnson-cook 230 model is defined by the card *EOS LINEAR POLYNOMINAL in which the pressure and 231 initial relative volume are denoted by coefficients C₀-C₆ and V₀, respectively. The parameters 232 for the equation of state are presented in Table 3. Furthermore, for simulation of the lead core, 233 the material properties as implemented in *MAT PLASTIC KINEMATIC, are given in Table 234 4 [38]. The contact between the metals and rubber is modelled by the keyword 235 *TIED SURFACE TO SURFACE and the keyword *CONTACT INTERIOR is utilized for 236 the rubber to eliminate the negative volume issue which often occurs due to large deformation 237 of soft materials. Additionally, to eliminate the stress wave reflection at the end surface, the 238 keyword *NON REFLECTING BOUNDARY is applied at one end. In the numerical model, 239 the far-end of the outer tube is fixed in all directions while the excitation is defined by the 240 *PRESCRIBED MOTION SET card, which is applied to the entire near-end surface.

Table 2. Johnson-cook material parameters for aluminium [34]

Density	Poisson's	Young's	А	В	С	m	n	T_{m}	$\dot{\mathcal{E}}_0$
(kg/m ³)	ratio	Modulus (GPa)	(Pa)	(Pa)					(1/s)
2770	0.33	70	0.369	0.675	0.007	1.5	0.7	800	1.0

 C_0 C_1 C_2 C₃ C_4 C₅ C_6 Eo V_0 (m^{3}/m^{3}) (Pa) (Pa) (Pa) (Pa) (Pa) 74.2×10^{9} 60.5×10^9 36.5x10⁹ 0 1.96 0 0 0 1

Table 3. Equation of state for aluminium used in the numerical simulation [37]

242 Table 4. Plastic kinematic material parameters for lead [38]

Density (kg/m ³)	Poisson's ratio	Young's Modulus (GPa)	SIGY (MPa)	ETAN (MPa)	BETA	SRC	SRP	FS	VP (1/s)
11340	0.45	16	20	50	10 ⁹	10 ⁹	1	0	1

243 4.2 Numerical model verification

244 Based on the Bloch-Floquet theory and the derivation from Eq. (28), a visible manifestation of 245 the theoretical transmittance of the proposed model is shown in Fig. 4. To verify the model, the 246 meta-lattice truss comprising of 7 unit cells described above is built in LS-DYNA. The model 247 is used to simulate wave transmissions of the meta-lattice truss. The transmittance is defined 248 by a ratio between the output and the input signals of the structure. Fig. 4 shows the results from 249 the conventional model, the proposed model, and the numerical simulation. It can be seen that 250 both conventional and the proposed models capture three bandgaps, and the corresponding 251 ranges are: [0-289.5], [645-995] and [1945-5000] Hz from the conventional model, and [0-375] 252 Hz, [700-1100] Hz and [1945-5000] Hz from the proposed model. The numerical simulation 253 also gives three bandgaps in the range of [0-375] Hz, [895-1400] Hz and [1965-5000] Hz. These 254 results indicate that, generally speaking, both the conventional and the proposed model can 255 predict the frequency bandgaps, but compared with the results from the numerical simulations, 256 the proposed model yields more accurate results than the conventional model, especially for the 1st bandgap in the low-frequency range. For example, the proposed model predicts the same 1st 257 bandgap as compared to the numerical model, whereas the conventional model substantially 258

under predicts the upper frequency of the first bandgap, i.e., 289.5 Hz and 375 Hz, i.e., a 259 260 substantially narrower first bandgap by the conventional model. These results demonstrate that 261 neglecting the stiffness k_4 into the conventional analytical model leads to inaccurate predictions 262 of the bandgap width at the low-frequency range. The comparison also shows that certain 263 discrepancy exists between the analytical and numerical predictions, especially for the second 264 bandgap. This is because the theoretical results are based on the infinite number of unit cells, 265 while the numerical results are obtained from the finite number of cells (7 in the present study). 266 Moreover, the estimations of the spring stiffness and lumped masses in the analytical 267 derivations may also contribute to this variation.



Fig. 4. Transmittance profiles of meta-lattice truss obtained by the proposed model, conventional model, and numerical simulation model.

268 **4.3 Accuracy of the proposed analytical model**

269 The above results show that the inclusion of k_4 in the proposed model has almost no influence 270 on the third bandgap because the third bandgaps is mainly controlled by the external mass and 271 axial stiffness which will be discussed in the following section. For the second bandgap, the 272 lower bound of the proposed model results in a slightly higher value, while its influence on the 273 upper bound is negligible. The most evident effect of the proposed model is on the first bandgap, 274 and the inclusion of k_4 obviously widens the bandgap in the low-frequency range. As shown, 275 the first bandgap expends from [0-289.5] Hz to [0-375.0] Hz. This result is expected, since the 276 first bandgap is related to the local resonance frequency of the inner mass m_2 which is defined by $\varpi = \sqrt{(k_3 + k_4)/m_2}$, and the inclusion of k_4 results in an increase in the stiffness in the 277 278 conventional model. Moreover, the bandwidth of the first bandgap in the low-frequency range 279 is determined by two points including the constant value at zero and a certain value which is 280 linearly dependent on the local resonance frequency. Therefore, introducing the shear stiffness 281 of the internal mass k_4 increases the stiffness of the internal coating layer and resonant frequecy 282 ω accordingly, which leads to the increase of the first bandgap range by the reciprocal 283 relationship between the first bandgap and the resonant frequency. This manifestation indicates 284 that the proposed analytical model with considering the shear stiffness of the soft coating leads 285 to a wider bandgap estimation in the low-frequency range than the conventional model, which 286 implies the proposed analytical model would have wider practical applications for stopping the 287 low-frequency wave propagations. It is worth mentioning that, besides varying the stiffness, the 288 low-frequency bandgap can also be changed by altering the resonator's geometry since it is 289 related to the local resonance as discussed above, and will be further discussed in the following 290 investigations.

To further demonstrate the higher accuracy of the proposed analytical model in predicting the bandgap in the low-frequency range compared to the conventional model, the analytically predicted bandgap are compared with the result from the numerical analyses. As discussed above, the inclusion of k_4 most evidently changes the bandgap in the low-frequency range, therefore only the first bandgap is investigated in this section. For the other two bandgaps, more detailed discussions will be given in Section 5. However, it is worth noting that the soft material layer (material 4 in Fig. 1) is modelled by the solid elements in the numerical simulation, which means the contribution from the shear stiffness of this layer (i.e. k_4) is considered in the numerical model.

300 In the numerical simulation, the meta-lattice truss presented in Section 2 is subjected to a 301 displacement time history with two frequency components $u(t)=10^{-4}[\sin(2\pi f_1 t) + \sin(2\pi f_2 t)]$, 302 where f_1 =300 Hz and f_2 =500 Hz. Fig. 5(a) shows the displacement time history of the excitation 303 at the left end of the truss. It can be seen that f_1 is deliberately desinged to fall within the first 304 bandgap of the proposed model but beyond that of the conventional model, while f_2 is within 305 the passband range of both the models. Fig. 5(b) shows the displacement time history at the 306 right end of the meta-lattice truss (i.e. the output) obtained from the numerical simulation, and 307 Fig. 6 shows the Fourier spectrum of the output data. The numerical results have shown that 308 only one input signal with the frequency of 500 Hz passes through the meta-lattice truss while 309 the conventional model predicts both input signals pass through the structure. This observation 310 shows that the predictions of the conventional model and numerical model are different. 311 Meanwhile, the proposed model predicts only the signal with the frequency of 500 Hz can pass 312 the meta-lattice truss while the other one with the frequency of 300 Hz is filtered out, which 313 matches well with the numerical results. This results demonstrates again that neglecting the 314 shear stiffness k_4 in the conventional model leads to inaccurate estimation of the bandgap width 315 in the low-frequency range.



Fig. 5. Displacement time history of meta-lattice truss in numerical simulation (a) Input, (b) Output.



Fig. 6. The Fourier spectrum of the output data at the right end of the truss of the numerical model.

317 5. Sensitivity of the bandgap characteristics to mass and stiffness based on the proposed 318 analytical model

The accuracy of the proposed analytical model has been verified against the numerical simulation and thus it is utilized to investigate the mitigation effects of the meta-lattice truss. It is worth mentioning that the sensitivity analysis of those parameters has not been presented in the literature yet.

323 5.1 Effect of mass on bandwidth and bandgap position

Herein, the attenuation effect of mass including the inner and outer masses on the overall bandwidth of the meta-lattice model is investigated utilizing the proposed analytical model. Based on the Bloch-Floquet theorem, the analytical dispersion curves for the lattice model are obtained and featured in Fig. 7 through the theoretical calculation of Eq. (19). It should be noted that to calculate the theoretical starting and ending frequencies of the passbands the conditions qL=0 and $qL=\pi$ are applied to Eq. (23). It can be seen that there is an unequivocal manifestation from the figure showing that there are two passbands including the first passband at the frequency range of approximately 375–700 Hz and the second passband at a relatively higher frequency range of 1000–1945 Hz.



Fig. 7. Non-dimensionalized dispersion curves obtained by the proposed analytical model.

The bandgap behavior of meta-lattice truss is affected remarkably by the peculiar nature of local resonators consisting of the internal mass and external mass. Therefore, it is pivotal to examine the influence of the resonator on the meta-lattice truss bandgap with respect to critical masses by utilizing the proposed model. Figs. 8(a) and 8(b) show the two integral features of the locally resonant meta-lattice truss, i.e. the effective mass and the effective stiffness, by varying the internal mass m_2 (0.5 α , α and 2 α , where $\alpha = m_2$). It is obvious that the bandwidth and the position of the first two bandgaps (Fig. 8(a)) associated with lower frequency are affected by the mass m_2 while the third bandgap (Fig. 8(b)) with higher frequency resulting from the stiffness remains unchanged. The analytical results also indicate that the inextricable relationship between the position of the bandgap and the local resonance frequency is a function of m_2 . Fig. 8(a) clearly exhibits that the location of the first two bandgaps drastically shifts to the left with an increase of the internal mass. On the contrary, it is clear that the negativity of effective stiffness which forms the third bandwidth shown in Fig. 8(b) remains unchanged, irrespective of the changing value of m_2 .





Fig. 8. Effect of the internal mass m_2 on the bandgap characteristics.

347 Figs. 9(a) and 9(b) show the influence of the outer mass m_1 on the effective mass and stiffness, respectively. The other parameters are exactly the same as those in Table 5. Primarily, the 348 349 bandgap position which is determined by the local resonance frequency has not exerted any 350 effects by varying the value of m_1 . An increase of m_1 results in a reduction in the first and second 351 bandwidths in which the effective mass becomes negative as shown in Fig. 9(a) but increase 352 significantly the third bandwidth which results from the negativity of the effective stiffness 353 (Fig. 9(b)). In general, the negativity of the effective mass and effective stiffness relates to the 354 bandgap region of the system in terms of wave propagation.

The above analytical results clearly indicate that the bandgaps could be controlled by varying the values of the internal mass m_2 and external mass m_1 to achieve the desired optimal wave manipulation. Moreover, the outer mass m_1 is more sensitive to the third bandgap associated with higher frequency while the inner mass m_2 shows a more significant influence on the first 359 and second bandgaps which are located in a lower-frequency region. It is noted that the 360 sensitivity can be qualitatively predicted by analyzing the relationship between m_1 and m_2 with 361 $m_{\rm eff}$ and $k_{\rm eff}$ in Eqs. (21) and (22), considering that $m_{\rm eff}$ is related to the first and second bandgaps while k_{eff} governs the third bandgap. As shown in Figs. 8 and 9, in which the value of m_1 is 362 363 varied 10 times while that of m_2 is only varied 2 times to show the variation in the bandgap 364 characteristic. This observation indicates that the internal mass which significantly influences 365 the local resonance frequency of the meta-lattice truss is more sensitive, compared to the 366 external mass, in terms of the bandgap characteristics in wave propagation.



(a) Effective mass



Fig. 9. Effect of the internal mass m_1 on the bandgap characteristics.

367 **5.2 Effect of spring stiffness on the bandwidth and bandgap position**

368 The proposed model includes the additional parameter k_4 which represents the shear stiffness 369 of the inner core while the other factors that affect the bandgap k_1 , k_2 , and k_3 remain the same. 370 The effect of the stiffness k_4 on the bandwidth and the bandgap position is investigated in this 371 section. Fig. 10 illustrates the typical wave dispersion relations of the lattice system with respect 372 to different values of k₄. From Fig. 10, the following three primary findings can be summarized: 373 (1) the internal resonance frequency is affected considerably due to the contribution of the 374 stiffness k_4 ; (2) dissimilar to the conventional model, the characteristic of the bandgap generated 375 by the proposed model has a wider frequency bandgap at low-frequency range, for instance, the 376 upper bound of the first bandgap increases from 375 Hz to 655 Hz by varying k_4 from α to 10 α ;

and (3) the bandgap in the high frequency range mostly formed by the negativity of the effective





Fig. 10. Dispersion relations of meta-lattice truss embedded with the resonator with varied values of k_4 .

379 Analogously, the effects of the parameters k_1 , k_2 , and k_3 on the bandgap behavior are examined 380 and the results are shown in Table 5. It is clear that increasing the shear spring stiffness k_2 381 narrows the frequency region of the third bandgap, but results in a surge of the other two 382 bandgaps. On the other hand, for the axial stiffness k_1 and k_3 , while increasing the stiffness 383 exerts no effect on the first bandgap, they narrows the third bandgap, and result an increase and 384 stability on the second bandgap by increasing k_3 and k_1 , respectively. In summary, the third 385 bandgap associated with high frequencies is more sensitive to the stiffness k_1 , k_2 and k_3 , while 386 the axial stiffness exhibits no influence on the first bandgaps. It is also noted that the sensitivity 387 of the spring stiffness to the bandgaps can be qualitatively predicted using Eqs. (21) and (22),

388 as mentioned previously.

Stiffness	Value	1 st Bandgap	2 nd Bandgap	3 rd Bandgap
k_2	α	0-375 Hz	700-1100 Hz	1945-5000 Hz
	5α	0-550 Hz	700-1250 Hz	2100-5000 Hz
	10α	0-620 Hz	700-1610 Hz	2305-5000 Hz
k_1	α	0-375 Hz	700-1100 Hz	1945-5000 Hz
	5α	0-375 Hz	700-1100 Hz	3500-5000 Hz
	10α	0-375 Hz	700-1100 Hz	3945-5000 Hz
<i>k</i> ₃	α	0-375 Hz	700-1100 Hz	1945-5000 Hz
	5α	0-375 Hz	1400-2100 Hz	2700-5000 Hz
	10α	0-375 Hz	2000-2900 Hz	3305-5000 Hz

Table 5. Meta-lattice truss characteristics with varied stiffness k_1 , k_2 , and k_3 .

390 6. Transient response of meta-lattice truss based on numerical simulation

The above analytical derivation and solution are valid for the meta-lattice truss with some assumptions, i.e., infinite unit cells and harmonic wave solution. In practice, a meta-lattice truss is applied with a finite number of unit cells and may be subjected to dynamic loading such as impact and blast which possess a wide range of frequencies. Deriving an analytical solution for the structural response of such meta-lattice truss is not straightforward. To surmount this limitation of the analytical solution, a finite element model of the meta-lattice truss is built in LS-DYNA to investigate the stress wave propagation in the structure.

In this section, the transient response of the meta-lattice truss under harmonic excitation is further examined with two cases including a sweep excitation [1-5000] Hz in Section 6.1 and a dominant frequency at 500 Hz in Section 6.2. The excitation is in the form of $u(t) = 10^{-4} \sin(2\pi ft)$. The finite element model developed above is adopted again to carry out the analyses. The stress waves in the time domain at the far end are captured to demonstrate the extraordinary characteristics of the meta-lattice truss.

404 **6.1 Transient response to sweep excitation**



Fig. 11. Sweep excitation input profiles in time-domain.

405 Fig. 11 shows the sweep excitation at one end of the meta-lattice truss and Fig. 12 depicts the 406 movement vector of each part of the entire structure at a typical instant. Fig. 12 (a) shows the 407 displacement contour of each component of the 3D meta-lattice truss in which local resonators 408 m_1 and m_2 do not have synchronized motions due to the local resonant mechanism that the 409 hardcore acts as an oscillator. Specifically, the interaction of these two resonators (resonator 1 410 in white color and resonator 2 in yellow color) includes the in-phase motions (Fig. 12(b)) and 411 the out-of-phase motions (Fig. 12(b)) working as energy absorbers can significantly mitigate 412 the stress wave propagating through the structure.



Fig. 12. Snapshots of the interaction displacement of the resonators (a) 3D Meta-lattice truss, (b) Cross section of unit 1 at t = 4.299 ms, and (c) Cross section of unit 1 at t = 6.449 ms.

413 To more explicitly show the wave attenuation effect, the Z-stress waves at different section of the outer mass aluminium $(3^{rd}$ layer in Fig. 1) and outer coating element $(2^{nd}$ layer in Fig. 1) are 414 415 compared in Figs. 13(a) and 13(b), respectively. It can be seen that the amplitudes of the element close to the input excitation (input section in the figure) are largest while the smallest 416 417 amplitudes belong to the element at the far-end position (the output section). The absolute 418 maximum amplitudes of the stress waves at the three sections of the aluminum outer mass are -1.4x10⁶, -0.5x10⁶, -0.3x10⁶ N/m², respectively, and the corresponding results in the external 419 layer of rubber are -1.7×10^6 , -0.8×10^6 , -0.4×10^6 N/m². It is evident that both figures exhibit the 420 421 stress wave attenuation from the beginning to the end of the meta-lattice truss subjected to the 422 sweep frequency excitation.

423



(a) Outer mass aluminium



(b) Outer coating

Fig. 13. Stress waves time histories at different sections of the lattice truss subjected to excitation with sweep frequency ranging from 1-5000 Hz.

To further validate the proposed model, the continuous wavelet transform (CWT) is applied to analyze the output displacements in the time-frequency domain. In this study, a Gabor wavelet transform is chosen as the mother wavelet function owing to its multiresolution analysis capability. Figs. 14 depicts the multi-frequency CWT profiles of the far-end surface data in the case of sweep excitation. As shown, no energy exists in the output signal within three frequency ranges which are shaded in the figure, which mean the three bandgaps are formed. These bandgaps are well agreed with the analytical results as discussed above.



Fig. 14. Transient response profiles of the output displacement obtained by the CWT method in the time-frequency domain under the sweep excitation.

431 **6.2** Transient response to a single frequency inside the passband

432 The dynamic response of the meta-lattice truss is further studied by applying a prescribed 433 displacement with a frequency that falls outside the bandgap range. In the numerical simulation, 434 a frequency of 500 Hz is chosen. By applying a similar procedure, the stress waves of an element in the lead core (5th layer in Fig. 1) and the inner coating (4th layer in Fig. 1) at the input 435 436 end, middle part and output end of the model are compared in Figs. 15(a) and 15(b), 437 respectively. It can be seen that no wave attenuation occurs because the wave frequency is 438 outside the bandgap of the meta-lattice truss. In other words, the stress wave can propagate 439 through the meta-lattice truss without any internal obstructions, there is no prominent change 440 in the stress amplitude.



(a) Lead core



(b) Inner coating

Fig. 15. Stress waves time histories at different sections of the meta-lattice truss subjected to harmonic excitation with frequency out of the bandgap range.

Figs. 16 depicts the multi-frequency CWT profiles of the far-end surface subjected to a single frequency excitation (i.e. with the frequency of 500 Hz). As shown in Fig. 16, the dominant frequency of the transmitted signal remains unchanged at 500 Hz, which means no wave attenuation phenomena occurs in this area. This is because 500 Hz is within the passband of the meta-lattice truss as discussed above.



Fig. 16. Transient response profiles of the output displacement obtained by the CWT method in the time-frequency domain under the excitation with single frequency of 500 Hz.

446 **7. Conclusions**

In this study, an analytical mass-in-mass spring model is developed to improve the accuracy of the commonly used analytical model for the dynamic behaviors of the meta-lattice truss system. In the proposed model, one more spring representing the shear stiffness between the most inner core and the corresponding coating is taken into consideration. From the analytical and numerical investigations, the following conclusions can be drawn: 1. The proposed model results in a broader low-frequency bandgap for the meta-lattice truss, while this low-frequency bandgap width is under predicted by the conventional model owing to neglecting the shear stiffness of the second-layer coating connecting the inner and outer mass. 2. Parametric studies reveal that the first two bandgaps can be broadened by either increasing the internal mass m_1 or decreasing m_2 while the third bandgap remains unchanged irrespective of the value of m_2 but increases with m_1 .

3. Increasing the axial stiffness k_1 , and k_3 has no effect on the first bandgap but narrows the third bandgap. Increasing shear spring stiffness k_2 narrows the third bandgap, but widens the other two bandgaps. Increasing the shear stiffness k_4 , which is neglected in the previous study, has no effect on the bandgap in the high-frequency range but widens the bandgap in the lowfrequency range.

In general, the investigated meta-lattice truss with dual resonators exhibits excellent performance on stress wave mitigation so that it possesses a great potential to be deployed in protective structures or energy absorbers. The proposed analytical model can predict the performance of the meta-lattice truss with a high level of accuracy in the low-frequency range.

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471 Appendix

With an attempt to estimate the accurate values of the spring stiffness k_i (*i*=1,2,3,4), the commercial software COMSOL MULTIPHYSICS was leveraged to conduct a numerical simulation. A constant force *F* which is depicted in Fig. 17(a) is applied to the model to calculate the value of shear spring stiffness k_4 of the internal core and while two constant force *F* was put in two directions of the model to estimate the values of k_3 shown in Fig. 18(a). Similarly, the 477 calculation of value k_2 and k_1 is carried out with the same procedure but different dimensions. 478 As seen in Fig. 17(a) and 18(a), the average displacements monitored at the yellow surface are 479 denoted as u_i (*i*=1,2,3,4) and captured by commercial software which is observed in Fig. 17(b) 480 and 18(b). The boundary condition for all edges of the outer shell is clamped. The equilibrium 481 equations of the unit model are as follows [34]:

$$k_{1}(u_{1}+u_{2})+k_{2}u_{1} = F$$

$$k_{2}u_{3} = F$$

$$k_{3}(u_{4}+u_{5})+k_{4}u_{4} = F$$
(30)

 $k_4 u_6 = F$



Fig.17. Simulated model utilized for the calculation of k_2 and k_4 (a) Undeformed model (b) Deformed model.



Fig.18. Simulated model utilized for the calculation of k_1 and k_3 (a) Undeformed model (b) Deformed model.

482 The approximate value of the stiffness k_i (*i*=1,2,3,4) can be estimated by Young's modulus *E* 483 and shear modulus *G* based on the relevant cross-sections of different parts of rubber A_i 484 (*i*=1,2,3,4) which is presented in Figs. 19(a) and 19(b).



Fig.19. Schematic diagram for the calculation of the cross-section value (a) A_2 and A_4 and (b) A_1 and A_3 .

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