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Analytical and numerical studies on impact force profile of RC beam under drop weight impact

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Abstract: Impact force and structural response of reinforced concrete (RC) beams under drop weight impact have been intensively studied and reported in the literature. The prediction of the peak impact force has been well investigated while the study of the overall impact force profile governing the structural response is limited. The impact force parameters acting on a structure including the peak impact force, plateau force, and impact duration all affect the structural responses. This study investigates the impact force profiles from drop weight impact on RC beams. The effects of global stiffness (by changing the beam span and boundary condition) on the impact force profile are numerically studied by using LS-DYNA. A two-degree-of-freedom (2DOF) analytical model is developed for RC beams under drop weight impact by using fiber beam section analysis method to predict the impact force. The developed 2DOF analytical model is validated by drop weight impact test results. With the validated analytical model, a simplified model of impact force profile is then proposed by determining six characteristic points, which are expressed by empirical equations fitted by the analytical parametric study

21 results. The simplified impact force profile predicted by the empirical equations shows a good
 22 agreement with the test data.

23 **Keywords:** Analytical study; Numerical study; Impact force profile; Drop weight; Reinforced
 24 concrete beams

25 Nomenclature

A	Contact area
$A_{c,i}$	Area of concrete fiber
$A_{s,i}$	Area of steel fiber
b	Width of beam section
c_b	Beam damping
c_c	Contact damping
d	Depth of beam section
F_a	Impact peak force
F_b	Resistance of RC beam under concentrated load
F_p	Impact plateau force
k_b	Global stiffness of beam
k_c	Contact stiffness
l	Beam span
l_{eff}	Effective beam span
l_p	Length of plastic hinge
m_b	Mass of RC beam
m_d	Mass of drop weight
M	Bending moment of beam section
N	Axial force of beam section
$P_{\text{dyn}}^{\text{max}}$	Dynamic shear capacity of beam section
t_0	Duration of local response
t_a	Time at peak force
t_b	Duration of primary impact
t_{cd}	Duration of force plateau
t_c	Total impact duration
u_i	Displacement in governing equations
\dot{u}_i	Velocity in governing equations
\ddot{u}_i	Acceleration in governing equations
v_0	Initial velocity of drop weight
v_b	Velocity of beam at midspan
v_d	Velocity of drop weight
$Z_{c,i}$	Coordinate of concrete fiber along z-axis
$Z_{s,i}$	Coordinate of steel fiber along z-axis
β	Scale factor for contact stiffness
δ	Deflection of beam section
$\dot{\delta}$	Deflection rate of beam section
δ_b	Deflection of RC beam at midspan
$\varepsilon_{c,i}$	Strain of concrete fiber
$\dot{\varepsilon}_{c,i}$	Strain rate of concrete fiber
ε_N	Section strain at the centroidal y-axis
$\varepsilon_{s,j}$	Strain of steel fiber
$\dot{\varepsilon}_{s,j}$	Strain rate of steel fiber
$\sigma_{c,i}$	Stress of concrete fiber
$\sigma_{s,j}$	Stress of steel fiber
ϕ	Curvature of beam section

$\dot{\phi}$ Curvature rate of beam section

26 **1. Introduction**

27 The study on reinforcement concrete (RC) beam under impact has drawn interests of many
28 researchers due to the increasing numbers of natural or man-induced extreme events [1-3]. Drop
29 weight impact test is a widely used approach to examine the impact behaviour of RC beams.
30 Upon drop weight impact, the impact force between a drop weight and an impacted beam is
31 measured by a load cell and the displacement at midspan is recorded to examine the dynamic
32 response of beams. RC beams under impact load may experience flexure failure, flexure-shear
33 failure, or local punching shear failure, depending on the impact loading rates, impact load-
34 carrying capacities of beam section and peak impact force [4-6]. The peak impact force is
35 primarily governed by the local contact stiffness of impact zone and impact energy [7].
36 Moreover, the drop weight impact results in stress waves propagating from the impact point to
37 the beam ends during the local response phase, leading to a change of the effective beam span
38 [8, 9]. Once the whole beam span is mobilized, the beam enters the global response phase and
39 the global stiffness would affect the impact force [10]. Therefore, the impact force influenced
40 by different factors determines the dynamic response and failure of RC beams subjected to drop
41 weight impact.

42 Impact force profiles of RC beams subjected to drop weight impacts can be categorized
43 into three types [10]. It is found that the classification of the impact force profile type depends
44 on the ratio of drop weight mass to beam mass. Various mass ratios result in different relative
45 velocities between a drop weight and an impacted beam, causing different interactions at the

46 impact zone. To damage RC beams, heavy impact mass is used in the drop weight test and thus
47 the impact force profiles with force plateau (i.e., Type III impact force profile) are often
48 observed in impact test results. As summarized in Fig. 1, the impact force values measured in
49 the drop weight impact test are affected by different factors. Increasing impact energy causes a
50 higher primary peak force [11, 12]. It is worth noting that impact velocity has a more significant
51 effect on the primary peak force than impact mass [7]. Moreover, the contact stiffness, relating
52 to the drop weight test setup (e.g. impact interlayer and drop weight geometry) and contact
53 material property, also affects the peak impact force [13]. The existence of an initial inclination
54 of drop weight and the drop weight head with a smaller radius decrease the contact areas and
55 thus reduce the peak impact force. The stiffer interlayer such as steel plate or load cell placed
56 between a drop weight and a beam increases the contact stiffness, which causes a higher impact
57 force. Although there are many studies on the peak impact force through experiments and
58 numerical simulations [12, 14-16], very limited systematic studies investigating the influencing
59 factors on the impact force plateau have been conducted. A previous study [10] found that the
60 force plateau is related to the global stiffness of beam after the primary impact pulse. Therefore,
61 the factors influencing the global stiffness such as the beam section, beam span, rebar ratio, and
62 boundary condition should be investigated to examine their effects on the impact force plateau.
63 It should be noted that the structural response such as the maximum displacement usually
64 appears at the end of impact force plateau. Therefore, an accurate prediction of impact force
65 profile is essential for structural response analysis.

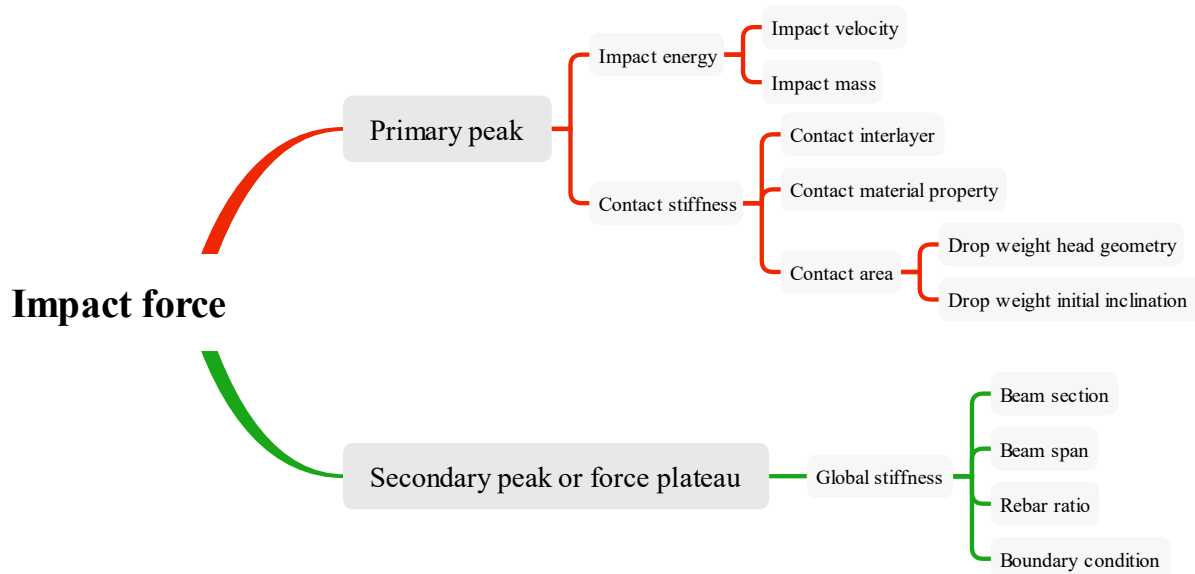


Fig. 1. Factors affecting the impact force profile

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Different methods have been employed to study the impact behaviour of RC beam under drop weight impact, i.e., experimental, numerical, and analytical methods [17-22]. The experimental tests allow direct measurement and observation of the impact behaviour of beams. However, it is hard to compare the results from different studies due to different test setups and due to the highly nonlinear nature of results, which causes the results may not be extrapolated [4, 8, 13]. The numerical method provides a technique to predict the impact behaviours of various beams with different test setups. Stress wave propagation along the beam and more results such as stress, strain, and acceleration can be easily retrieved and compared from numerical results [7, 23, 24]. However, it is time-consuming to develop and run high-fidelity numerical models. The analytical methods based on the mass-spring system (SDOF and 2DOF) have been used for the predictions of dynamic responses of beams under impact loads [18, 21, 25]. The impact force and the global response can be obtained by theoretical derivations with some idealizations and assumptions. It should be noted that reasonable assumptions about the

81 characteristics of impact behaviour are very important for the accuracy of the analytical
82 predictions. However, the stress wave propagation along beam, effective beam span, and
83 detailed calculation of global stiffness are not straightforward, hence were not well considered
84 in previous analytical studies.

85 To date, impact force profile of RC beams under impact loads has not been well studied
86 while the analytical method for fast and accurate prediction on the impact force profile of RC
87 beam is not yet available. In this study, the numerical model is developed in LS-DYNA and
88 calibrated with the testing data of RC beams under drop weight impact. The verified numerical
89 models are employed to study and to quantify the effect of the global stiffness of RC beams,
90 i.e., beam span and boundary condition, on the impact force plateau. Moreover, a 2DOF
91 analytical model is developed for RC beams under drop weight impact by considering stress
92 wave propagation. The developed 2DOF analytical model is validated by the drop weight
93 impact test results. With the validated analytical model, large amounts of impact force data are
94 generated for the development of a simplified impact force profile model. Empirical equations
95 for the simplified impact force profile model are proposed by considering the key factors
96 affecting the impact force. The impact force profiles predicted by the proposed equations are
97 also compared with the test results from other studies to further verify its accuracy.

98 **2. Previous drop weight impact tests**

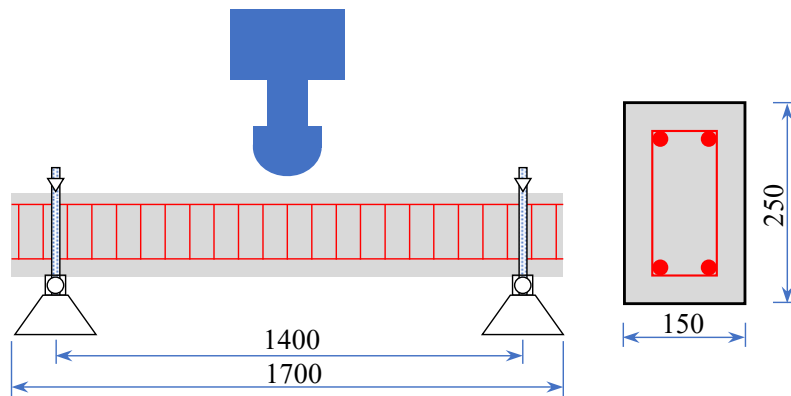
99 To calibrate the numerical model of RC beam under impact loads, three specimens as listed
100 in Table. 1 were selected from the drop weight impact tests conducted by Fujikake et al. [26].

101 The drop weight impact setup and the dimension of the RC beam are shown in Fig. 2. The pin-
 102 supported RC beam was impacted by a steel drop weight with a mass of 400 kg falling from a
 103 height of 1.2 m. A total of four longitudinal rebars are placed in the beam section and the
 104 arrangement of longitudinal rebar for three beams is present in Table. 1. The 10 mm-diameter
 105 stirrups were placed along the beam at a space of 75 mm. The detailed material strength of
 106 concrete and steel rebar can be found in Ref. [26] for each specimen.

107 Table 1. Arrangement of longitudinal rebar [26].

Specimen	Compression side		Tension side	
	Number and diameter (mm)	Area A_s (mm ²)	Number and diameter (mm)	Area A_s (mm ²)
S1616-1.2	2*D16	397	2*D16	397
S2222-1.2	2*D22	774	2*D22	774
S1322-1.2	2*D13	126.7	2*D22	774

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109

110 Fig. 2. Test setup and dimension of RC beam (unit: mm) [26].

111 3. Numerical study of the impact force profile

112 3.1. Numerical model and calibration

113 3.1.1. Numerical model

114 The numerical model is developed in LS-DYNA. The concrete beam and drop weight are

115 simulated by 8-node solid elements. The non-linear beam elements are used to simulate the
116 longitudinal rebars and stirrups. Penalty-based surface-to-surface contact is assigned between
117 the concrete beam and drop weight. The interaction between concrete and reinforcements is
118 defined by the constraint-based coupling method. The mesh size of 10 mm for the numerical
119 model is adopted by conducting a mesh convergence study to obtain reliable results with
120 reasonable computational time.

121 The concrete material is modelled by the concrete damage model (Mat_72R3) and the
122 piecewise linear plasticity steel model (MAT_24) is employed to simulate the steel longitudinal
123 rebars and stirrups, as commonly used in the numerical simulations [27, 28]. To consider the
124 strain rate effect of concrete and steel under impact loading, the dynamic increase factors (DIFs)
125 are defined for concrete material and steel material, respectively [29, 30]. In addition, the steel
126 drop weight is modelled by the elastic material model (MAT_1).

127 **3.1.2. Comparisons between numerical and test results**

128 Three specimens S1616-1.2, S2222-1.2, S1322-1.2 with different longitudinal rebar ratios
129 in Ref. [26] are employed to calibrate the numerical model. The drop weight falls from a height
130 of 1.2 m, generating a velocity of 4.85 m/s. The numerical result is compared with the test
131 results to validate the numerical models in terms of the impact force and displacement at
132 midspan as shown in Fig. 3. It is found the predicted impact force profiles and displacements
133 at midspan agree well with the test results. Therefore, the developed numerical model is proven
134 yielding reliable predictions of the responses of RC beams subjected to drop weight impact.

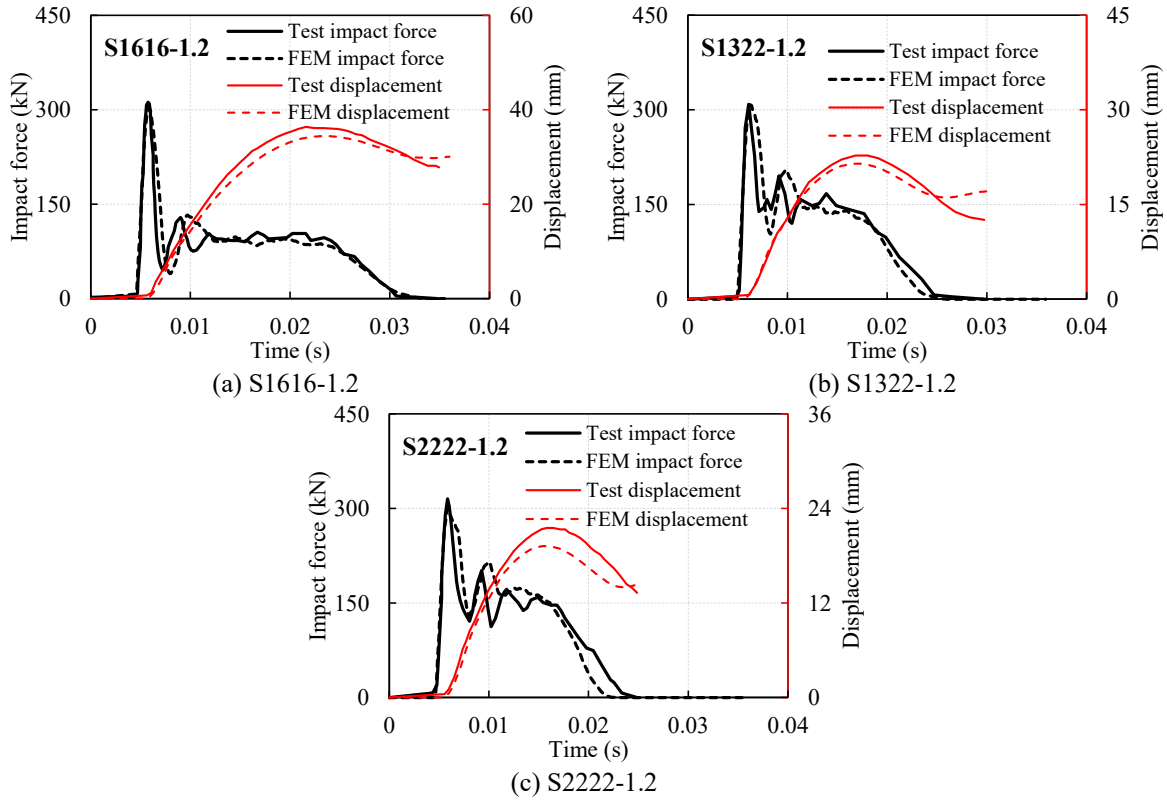


Fig. 3. Comparisons of test [26] and FEM results.

135 3.2. Numerical study of the global stiffness effect on the impact force profile

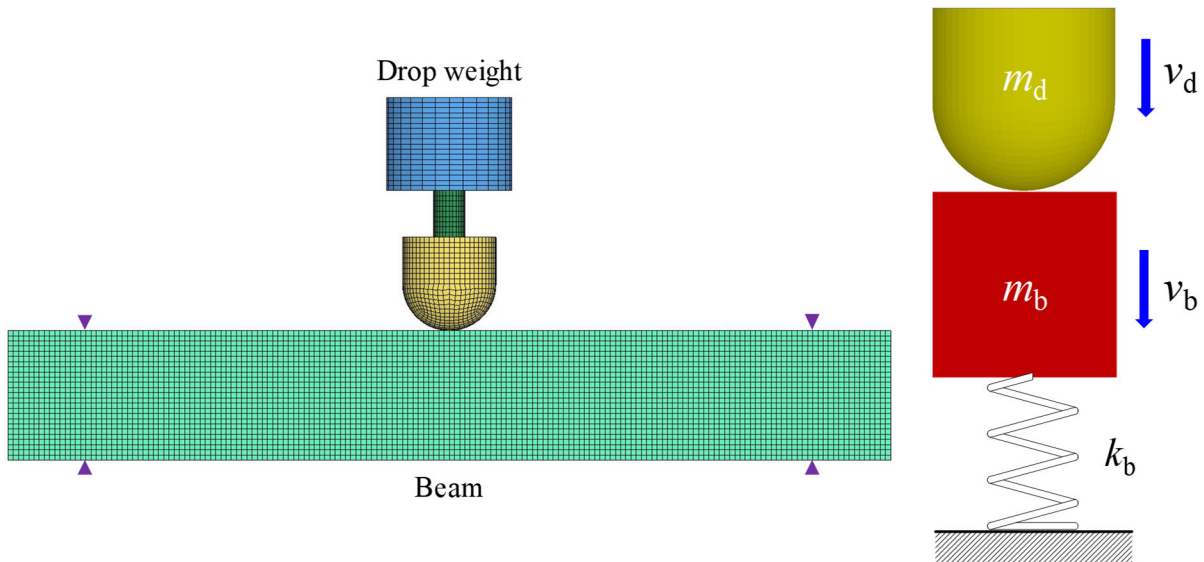
136 It has been demonstrated in a previous study that global stiffness affects the force plateau
 137 of the impact force profile [10]. By assuming the global stiffness of a beam as a spring element
 138 k_b , the beam under drop weight impact can be simplified as a mass-spring system as shown in
 139 Fig. 4. At the end of the primary impulse, the velocity of beam reaches its maximum and begins
 140 to decrease due to the resistance provided by the global stiffness of the beam. The relative
 141 velocity between drop weight and beam becomes smaller and the velocity and displacement of
 142 beam at midspan and drop weight are comparable during the stage of impact force plateau,
 143 which causes the midspan part of the beam and drop weight moving together [10]. When the
 144 global stiffness k_b in Fig. 4 is greater, the interaction between the drop weight and the beam
 145 would be more intensive, which results in a higher impact force plateau. The global flexural

146 stiffness of beam is governed by the flexural stiffness EI , beam span l , and boundary condition,
 147 which can be expressed in Eq. (1) and Eq. (2) for pinned and fixed end, respectively. The effects
 148 of beam span and boundary condition on the impact force plateau are analyzed in the subsequent
 149 sections.

For pinned end $k_b = \frac{48EI}{l^3}$ (1)

For fixed end $k_b = \frac{192EI}{l^3}$ (2)

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Fig. 4. Illustration of beam under drop weight impact.

153 3.2.1. Effect of the beam span

154 The effect of the beam span on the impact force profile is studied in this section. Five
 155 beams with the beam span of 1.4 m, 2.1 m, 2.8 m, 3.5 m, and 4.2 m are considered. The beams
 156 have the same section dimension and rebar layout as specimen S1616-1.2 as shown in Fig. 2.
 157 Two sets of impact scenarios are considered as given in Table 2, which includes the beams
 158 subjected to impact with a constant impact mass ratio of 3.0 (the beam mass increases with the

159 beam span) and the beams impacted by a constant impact mass of 400 kg.

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Table 2. Impact mass and mass ratio.

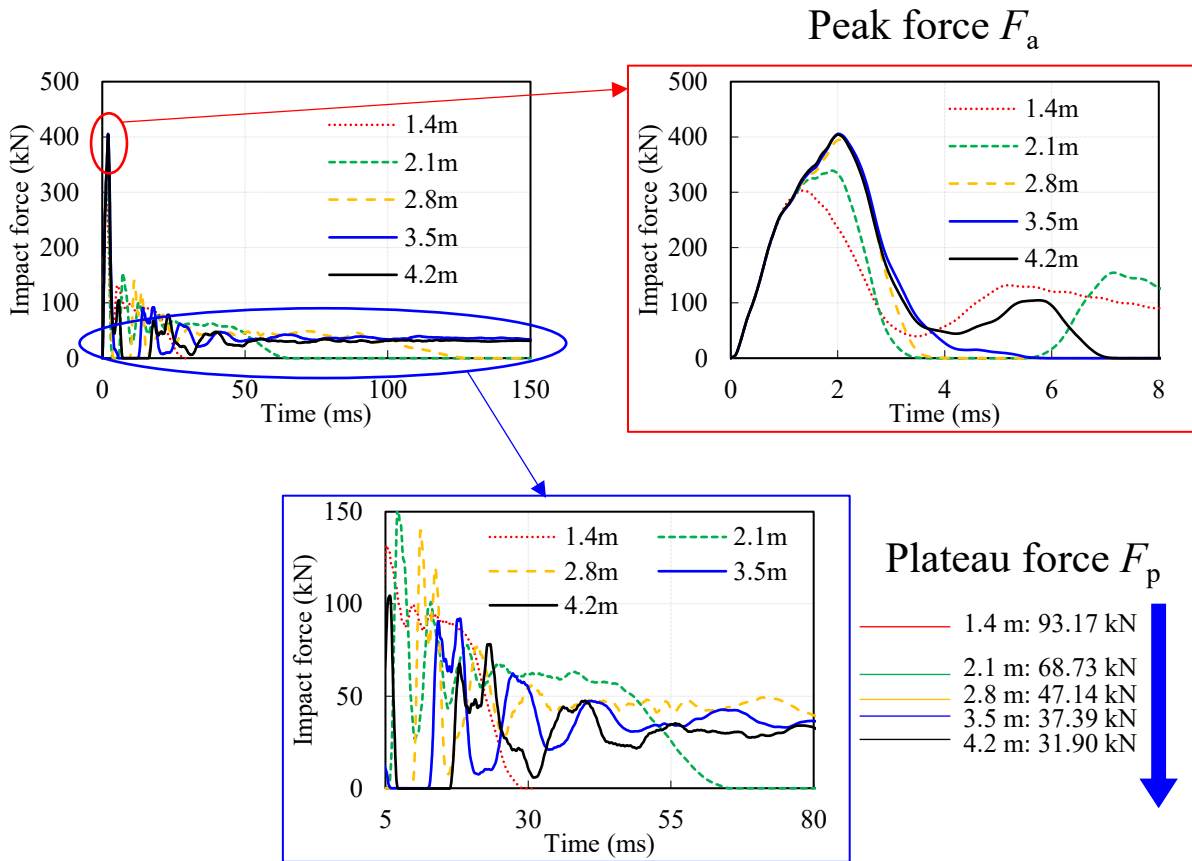
Impact scenario	Beam span / m	Impact mass / kg	Beam mass / kg	Mass ratio
Impact with constant mass ratio	1.4	400.0	131.3	
	2.1	590.6	196.9	
	2.8	787.5	262.5	3.0
	3.5	984.4	328.1	
	4.2	1181.3	393.8	
Impact with constant mass	1.4		131.3	3.0
	2.1		196.9	2.0
	2.8	400	262.5	1.5
	3.5		328.1	1.2
	4.2		393.8	1.0

161 **3.2.1.1. Impacted by a constant mass ratio**

162 Increasing the beam span inevitably causes the increase of beam mass, which results in a
 163 decrease of mass ratio of drop weight to beam given the same impact mass. Since the mass ratio
 164 affects the impact force profile, a constant mass ratio of 3.0 is adopted first in this study to
 165 investigate the effect of span on impact force plateau. The impact mass and mass ratio for the
 166 specimens with different clear spans are listed in Table 2.

167 The impact force of the beams under a constant impact mass ratio of 3.0 is illustrated in
 168 Fig. 5. As shown, in the primary force stage, the peak impact force F_a increases from 302.06
 169 kN to 405.22 kN due to the increase of impact mass (i.e. impact energy). After the primary
 170 pulse, there are force fluctuations due to the interaction between the drop weight and the beam.
 171 After the transitional stage, the drop weight and beam move together and the relative velocity
 172 between them is almost zero. The impact force keeps an almost constant value F_p that can be
 173 defined as the average impact force during the plateau stage. The plateau force F_p drops from

174 93.17 kN to 31.90 kN, with a decrease of 65.76%, as the beam span increases from 1.4 m to 4.2
 175 m, but the corresponding duration of the plateau stage becomes longer with the increased beam
 176 span.

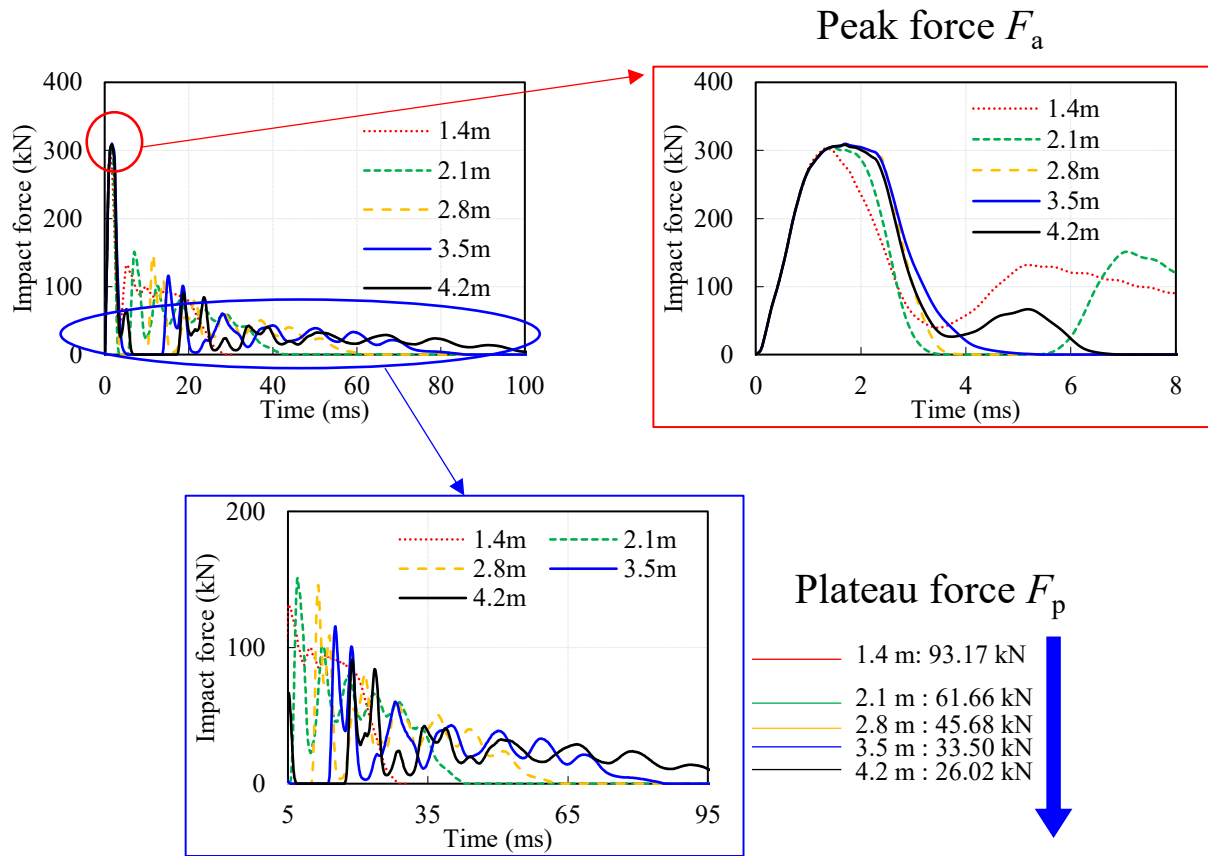


177
 178 Fig. 5. Impact force acting on the beams with different spans under constant impact mass ratio of 3.0.

179 **3.2.1.2. Impacted by a constant mass**

180 To further investigate the effect of the beam span on the impact force profile, five beams
 181 are impacted by the drop weight with a constant mass of 400 kg (i.e. the mass ratio varied from
 182 3.0 to 1.0 as listed in Table 2). The impact force time history is presented in Fig. 6. The primary
 183 force peaks of the specimens are similar, indicating that the span has negligible effect on the
 184 primary force peak, which has been also verified by Pham and Hao [31]. In the plateau stage,
 185 however, the plateau force decreases by 72.07%, from 93.17 kN to 26.02 kN. With the increase

186 of beam span, the duration of the plateau stage becomes longer. Therefore, increasing the beam
 187 span causes decrease of plateau force and increase of plateau stage duration due to the decrease
 188 of the global stiffness.

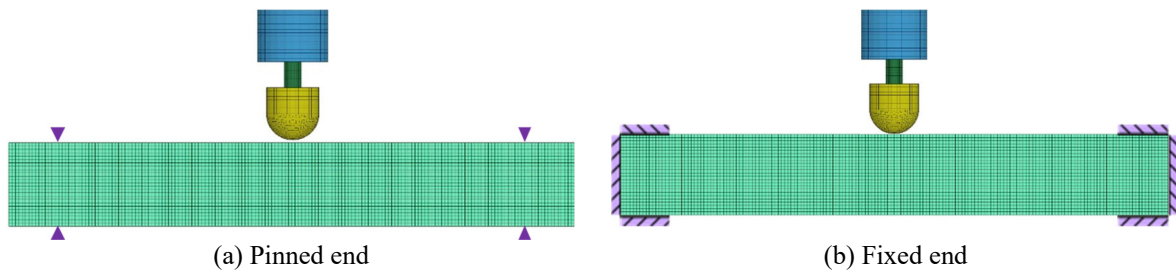


189
 190 Fig. 6. Impact force acting on the beams with different spans under constant impact mass of 400 kg.

191 3.2.2. Effect of the boundary condition

192 The responses of RC beams with pinned and fixed boundary conditions are compared as
 193 shown in Fig. 7. The effective span of 2.8 m, 3.5 m, and 4.2 m are considered. The impact
 194 velocity of the drop weight is 4.85 m/s. The mass ratio of drop weight to beam remains 3.0 and
 195 the impact mass is listed in Table 2 for the beams with different spans. The time histories of
 196 impact force are shown in Fig. 8. Even though the beams with the same span have different
 197 boundary conditions in each group, the primary force peaks are similar as shown in Fig. 8(a)~(c).

198 It indicates that the primary force peaks are independent of the boundary conditions. This result
 199 is supported by the previous study [31] which showed the boundary condition does not affect
 200 the peak impact force. The peak impact force depends on the impact mass, impact velocity, and
 201 contact stiffness [13]. A higher plateau force appears in the fixed end beam than the pin-
 202 supported beam. For example, for the beams with a span of 2.8 m as shown in Fig 8(a), the
 203 plateau force of the fixed beam (141.02 kN) is larger than that of the pin-supported beam (47.14
 204 kN).



(a) Pinned end (b) Fixed end
 Fig. 7. Numerical models of the beams with different boundary conditions.

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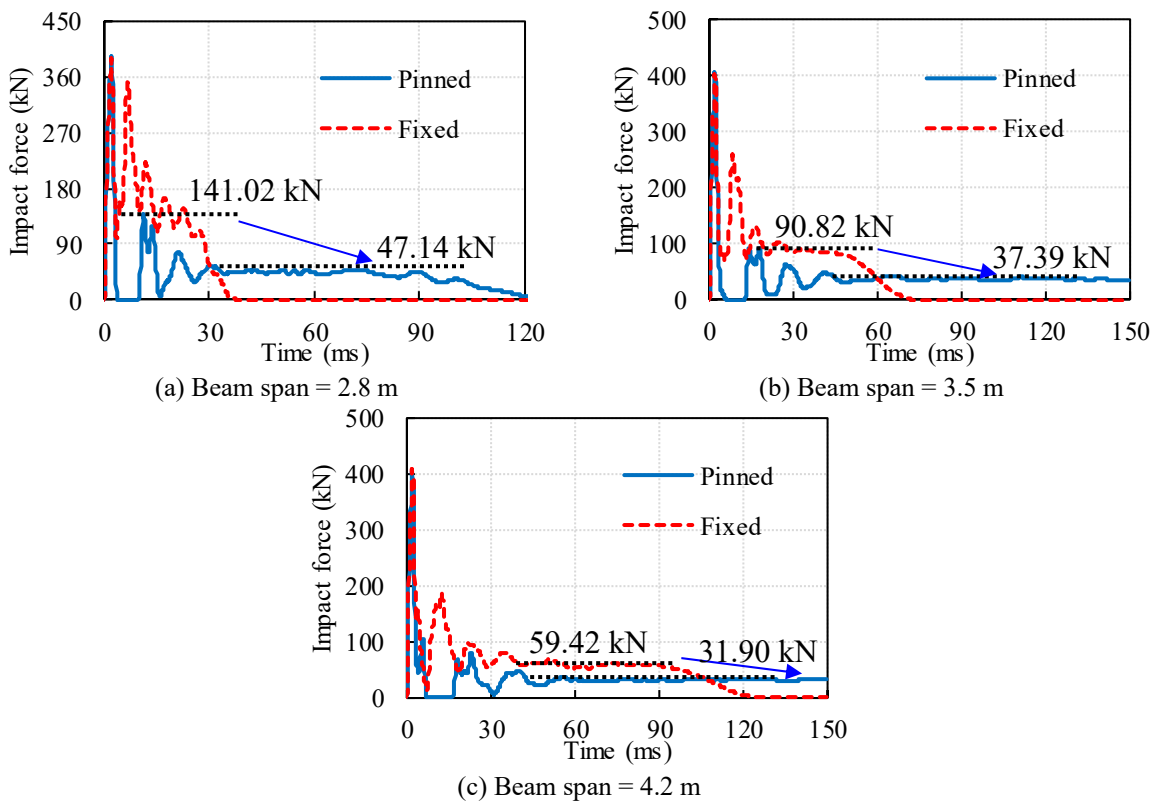


Fig. 8. Impact force acting on the beams with different boundary conditions.

206 **3.2.3. Discussion of the global stiffness effect on the impact force profile**

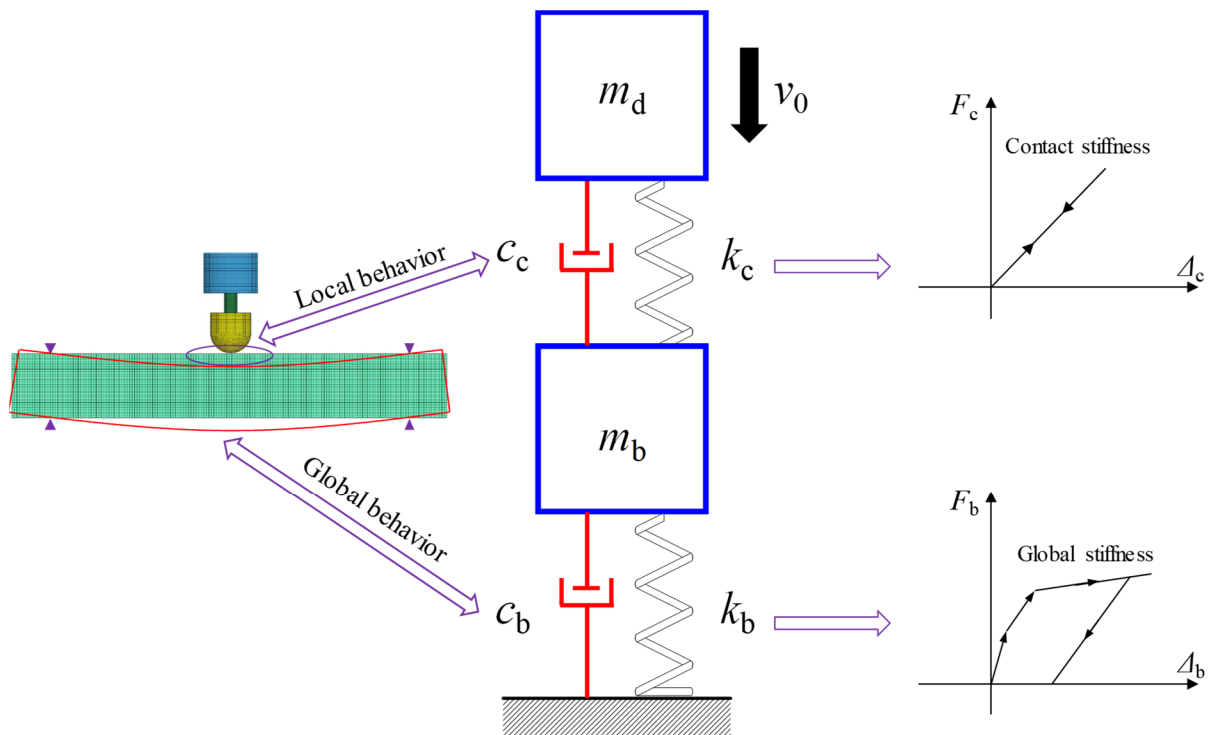
207 Given the same input impact energy, the primary force peaks are similar for the specimens
208 regardless of the beam clear span and boundary condition, which agree with the results in Refs.
209 [4, 31]. However, the plateau force decreases with the reduction of beam global stiffness (i.e.
210 increasing the beam span or using the less-constrained boundary condition), but duration
211 increases. Moreover, by analyzing the test results presented in Fig. 3, the specimen S2222-1.2
212 with a higher tensile reinforcement ratio of 2.02% experiences a larger plateau impact force of
213 156.18 kN because of its larger global stiffness, compared to that of 95.66 kN in the specimens
214 S1616-1.2 with a tensile reinforcement ratio of 1.07%. Therefore, it is evident that the beam
215 with lower global stiffness provides lower resistance which weakens the interaction between
216 the drop weight and beam and thus causes a lower plateau force. The duration of the force
217 plateau becomes longer for the specimen with lower global stiffness as shown in Fig. 3, Fig. 5,
218 Fig. 6, and Fig. 8. This is because the lower global stiffness leads to a less beam resistance to
219 stop the downward movement of the beam and the drop weight. A longer time is needed to
220 reduce the velocity of the beam to zero and to reach its maximum midspan displacement. It is
221 concluded that the global stiffness has negligible effect on the primary force peak but has
222 significant influence on the plateau force. Based on this conclusion and other studies on the
223 factors affecting the impact force [10, 13], a simplified impact force profile model is proposed
224 by considering these influencing factors in the subsequent analytical study.

225 **4. Analytical study of the impact force profile**

226 **4.1. Analytical model**

227 **4.1.1. 2DOF model**

228 To develop a proper simplified impact force profile model, various factors affecting impact
229 force as listed in Fig. 1 are considered in the analytical model based on a 2DOF mass-spring-
230 damping system. Since the impact response consists of the local response at the impact zone
231 and the global response of a beam [12, 18, 31], the pin-supported beam under drop weight
232 impact can be simplified as the 2DOF mass-spring-damping system as shown in Fig.9. The
233 drop weight and beam are assumed as two lumped masses, and the local contact stiffness and
234 the global stiffness of beam are assumed as two springs. The analytical model is explained in
235 detail in the following section and the applicability of the model is discussed in section 4.4.



236
237

Fig. 9. 2DOF model of an RC beam under drop weight impact.

238 The governing equations of the 2DOF mass-spring-damping system are expressed as
239 follows:

$$m_d \ddot{u}_d + c_c(\dot{u}_d - \dot{u}_b) + k_c(u_d - u_b) = 0 \quad (3)$$

$$m_b \ddot{u}_b + c_b \dot{u}_b + c_c(\dot{u}_b - \dot{u}_d) + k_b u_b + k_c(u_b - u_d) = 0 \quad (4)$$

240 where u_i , \dot{u}_i , and \ddot{u}_i ($i = d$ or b) are the displacement, velocity, and acceleration of the drop
241 weight or beam mass, respectively. The parameters include the initial impact velocity of the
242 drop weight v_0 , drop weight mass m_d , contact stiffness k_c , contact damping c_c , effective mass of
243 the beam m_b , global stiffness of the beam k_b , and beam damping c_b . Herein, the initial impact
244 velocity v_0 and drop weight mass m_d can be determined by the designed impact energy.
245 Moreover, selecting an appropriate contact law is important to predict impact force for the local
246 contact of impact. Based on the Hertzian contact theory, pure elastic contact model and
247 dissipative visco-elastic contact model were developed [32-34]. The dissipative visco-elastic
248 contact model considers the energy loss during the impact by using the contact damping and it
249 is used to predict contact force for impact actions [35, 36]. In this study, the contact stiffness
250 and contact damping are incorporated into the analytical 2DOF model as shown in Fig. 9. The
251 contact stiffness (k_c) is related to the contact area, drop weight head geometry, and contact
252 material properties [13, 37, 38]. In regard to a flat head, the contact stiffness is calculated by
253 using an equation similar to the penalty contact algorithm in LS-DYNA [39] as follows:

$$k_c = \beta \frac{EA}{h} \quad (5)$$

254 where E and A are Young's modulus of concrete and contact area, respectively, h is the thickness
255 of the contact area, β is a scale factor to adjust the contact stiffness by calibrating the impact

256 force. If the drop weight head has a curved shape, the contact stiffness is defined by using the
 257 Hertz contact model [32, 40]. The contact stiffness is expressed as follows:

$$k_c = \beta \frac{4\sqrt{R_h}}{3} \left(\frac{1 - \nu_d^2}{E_d} + \frac{1 - \nu_b^2}{E_b} \right)^{-1} \quad (6)$$

258 where R_h is the radius of the curved head, E_d and E_b are Young's modulus of drop weight and
 259 beam, respectively, ν_d and ν_b are Poisson's ratio of drop weight and beam, respectively.
 260 Moreover, the contact damping should be considered to avoid the intensive oscillation of the
 261 impact force [20, 41]. The contact damping c_c proposed by Anagnostopoulos [42] is adopted
 262 and expressed as follows:

$$c_c = 2\xi_c \sqrt{\frac{m_d m_b k_c}{(m_d + m_b)}} \quad (7)$$

263 where ξ_c is the contact damping ratio and is determined as 0.2 by calibrating the test results, c_b
 264 is the damping of RC beam for the global response stage and the damping ratio is assumed to
 265 be 5%.

266 During an impact event, the beam is mobilized with the increased effective beam span l_{eff}
 267 as stress waves propagate from the impact location to the boundaries as shown in Fig. 10. P-
 268 wave, shear wave and Rayleigh surface wave are generated in the beam. P-wave arrives the
 269 supports first and then shear wave and Rayleigh wave comes last. P-wave causes the
 270 longitudinal vibration while shear wave propagates transversely and causes the vertical
 271 vibration of beam, which induces vertical reaction force and response. After reaching the
 272 boundary, shear stress wave reflects and travels back to the impact location, then it is assumed
 273 that the whole beam span is mobilized and the global response is activated in this study.

274 Therefore, the duration of local response stage t_0 can be determined by using the beam span l
 275 and the velocity of shear stress wave v_s [7, 43] as follows:

$$t_0 = \frac{l}{v_s} \quad (8)$$

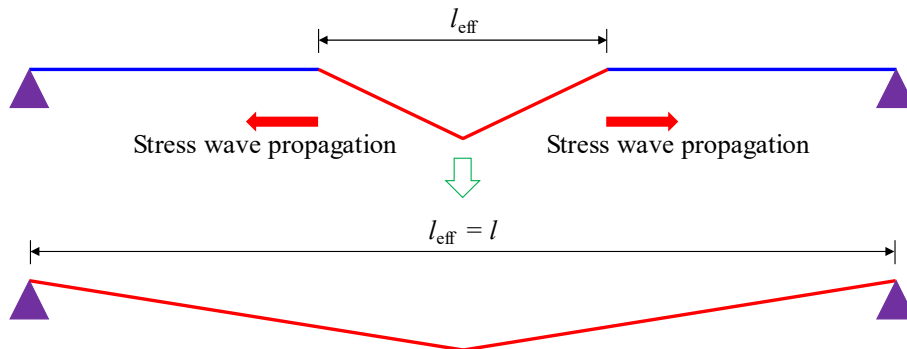
276 The shear stress wave velocity v_s can be calculated by:

$$v_s = \sqrt{\frac{E}{2\rho(1 + \mu)}} \quad (9)$$

277 where E , ρ , and μ are Young's modulus, density, and Poisson's ratio of concrete. During the
 278 local response stage, it is assumed that the effective beam mass increases linearly as the increase
 279 of the effective beam span l_{eff} by considering the stress wave propagation. When the whole
 280 beam is mobilized in the global response stage after the duration of t_0 , the effective beam mass
 281 keeps constant [44] as follows,

$$m_b = 0.493\rho bdl \quad (10)$$

282 where ρ is the density of an RC beam, b and d are the width and depth of beam section,
 283 respectively. In addition, the global stiffness of a beam k_b describing the relationship of
 284 resistance (F_b) and deflection (δ_b) is determined by beam section analysis as illustrated in
 285 section 4.1.2.



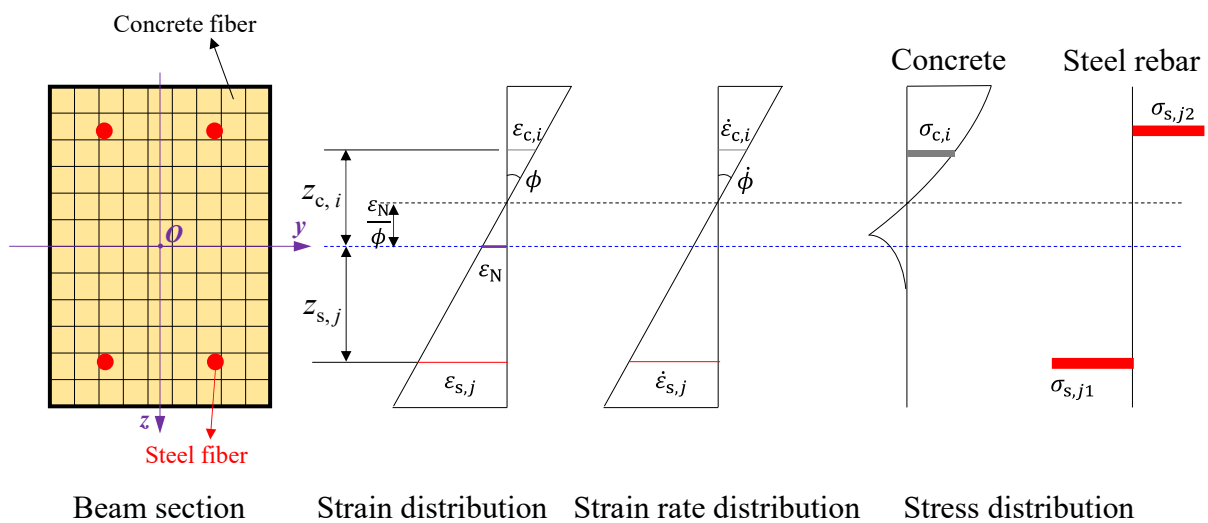
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Fig. 10. Stress wave propagation and effective span of RC beam.

288 4.1.2. Beam section analysis

289 A nonlinear fiber beam section analysis of a pin-supported RC beam is conducted to
 290 determine the global stiffness of beam subjected to a concentrated load in this section. The
 291 relationship of moment (M) and curvature (ϕ) of beam section is calculated firstly by the
 292 nonlinear analysis. Then the relationship of resistance (F_b) and deflection (δ_b) is obtained by
 293 using the moment-curvature relationship ($M-\phi$) and the resistance-deflection relationship (F_b-
 294 δ_b) is used to give the global behaviour of an RC beam under impact loads.



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Fig. 11. Schematic diagram of fiber beam section and distribution of strain, strain rate, and stress.

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301

The fiber beam section analysis method is employed as shown in Fig. 11. The section of an RC beam is divided into concrete fibers and steel rebar fibers and a perfect bonding between concrete fiber and steel rebar fiber is assumed. As shown in Fig. 12(a), the modified Kent-Park concrete model is adopted for concrete fibers [45, 46]. This concrete model is widely used for the fiber beam section analysis in OpenSees and ABAQUS [47, 48]. The concrete compressive

302 stress is expressed as a function of concrete strain as follows:

$$\sigma = \begin{cases} Kf'_c \left[2 \left(\frac{\varepsilon}{\varepsilon_0} \right) - \left(\frac{\varepsilon}{\varepsilon_0} \right)^2 \right] & (\varepsilon \leq \varepsilon_0) \\ Kf'_c [1 - Z(\varepsilon - \varepsilon_0)] & (\varepsilon_0 < \varepsilon \leq \varepsilon_u) \\ 0.2Kf'_c & (\varepsilon > \varepsilon_u) \end{cases} \quad (11a)$$

$$\varepsilon_0 = 0.002K \quad (11b)$$

$$K = 1 + \frac{\rho_s f_{yh}}{f'_c} \quad (11c)$$

$$Z = \frac{0.5}{\frac{3 + 0.29f'_c}{145f'_c - 1000} + 0.75\rho_s \sqrt{\frac{h'}{s_h}} - 0.002K} \quad (11d)$$

$$\varepsilon_u = 0.004 + 0.9\rho_s \left(\frac{f_{yh}}{300} \right) \quad (11e)$$

303 where f'_c is the concrete compressive strength, K is a variable considering the increase of
 304 compressive strength by stirrup confinement, ε_0 and ε_u are the concrete strain corresponding to
 305 the maximum stress and the ultimate stress, ρ_s is the stirrup ratio and f_{yh} is the yield strength of
 306 stirrups, Z is the strain-softening slope, h' is the width of concrete core confined by stirrups, and
 307 s_h is the center spacing between stirrups. Moreover, a bilinear model is adopted to illustrate the
 308 tension behaviour of concrete as shown in Fig. 12(a). σ_{t0} and ε_{t0} are the tensile strength and
 309 strain of concrete, respectively.

310 The steel material model proposed by Esmaily and Xiao is employed for steel rebar as
 311 shown in Fig. 12(b) [49]. The relationship of stress and strain for steel is given as follows,

$$\sigma = \begin{cases} E_s \varepsilon & (\varepsilon \leq \varepsilon_y) \\ f_y & (\varepsilon_y < \varepsilon \leq k_1 \varepsilon_y) \\ k_4 f_y + \frac{E_s(1 - k_4)}{\varepsilon_y(k_2 - k_1)^2} (\varepsilon - k_2 \varepsilon_y)^2 & (\varepsilon > k_1 \varepsilon_y) \end{cases} \quad (12)$$

312 where E_s , f_y , and ε_y are Young's modulus, yield strength, and yield strain of steel material,

313 respectively, k_1 , k_2 , k_3 , and k_4 are the parameters that control the shape of strain-stress curve as
 314 presented in Fig. 12(b).

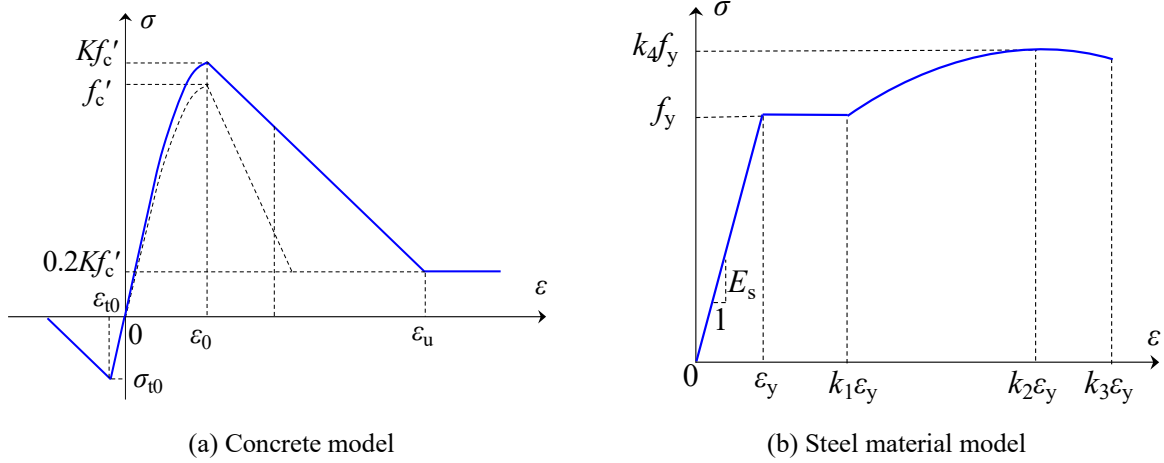


Fig. 12. Material models for concrete and steel.

315 To account for the strain rate effect on the material strengths, the DIFs for concrete and
 316 steel are employed [29, 30]. The strain rate of concrete and steel rebar can be calculated by
 317 using the curvature rate of beam section $\dot{\phi}$ as presented in Fig. 11.

318 Since the impact force can be deemed as a concentrated load acting on the RC beam at
 319 midspan, the simplified model for calculating the deflection δ of beam under concentrated load
 320 at midspan is illustrated in Fig. 13. The relationship between curvature ϕ of the beam section
 321 at midspan and deflection δ at midspan in the elastic and plastic stage [20, 21, 50] can be
 322 expressed as follows:

$$\text{Elastic stage} \quad \phi = \frac{12}{l^2} \delta \quad \text{for } 0 < \delta \leq \delta_y \quad (13)$$

$$\text{Plastic stage} \quad \phi = \phi_y + \frac{4}{l \cdot l_p} (\delta - \delta_y) \quad \text{for } \delta > \delta_y \quad (14)$$

323 where δ_y is the yield deflection, l is the beam clear span, and l_p is the length of the plastic hinge
 324 at midspan and can be calculated by the following equation proposed by Mattock [51],

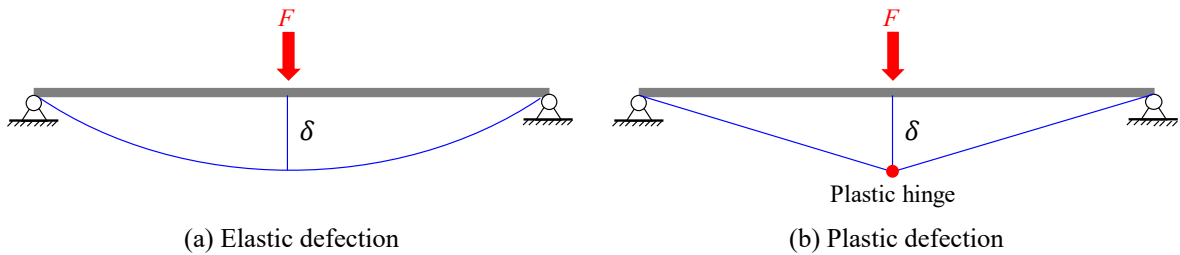
$$l_p = d + 0.05l \quad (15)$$

325 where d is the depth of beam section. Therefore, the relationships of curvature rate $\dot{\phi}$ of beam
 326 section and deflection rate $\dot{\delta}$ (i.e., loading rate) are given as follows,

$$\text{Elastic stage} \quad \dot{\phi} = \frac{12}{l^2} \dot{\delta} \quad \text{for } 0 < \delta \leq \delta_y \quad (16)$$

$$\text{Plastic stage} \quad \dot{\phi} = \frac{4}{l \cdot l_p} \dot{\delta} \quad \text{for } \delta > \delta_y \quad (17)$$

327



(a) Elastic deflection
 (b) Plastic deflection
 Fig. 13. Deflection of an RC beam under concentrated load at midspan.

328 With the plane section assumption, i.e., the beam section remains plane under loading, the
 329 strain and strain rate distributes linearly along the beam depth as shown in Fig. 11. According
 330 to the curvature ϕ and curvature rate $\dot{\phi}$ of beam section, the strain ε_i and strain rate $\dot{\varepsilon}_i$ of
 331 concrete fiber or steel fiber can be derived as follows,

$$\varepsilon_i = \varepsilon_N + \phi Z_i \quad (18)$$

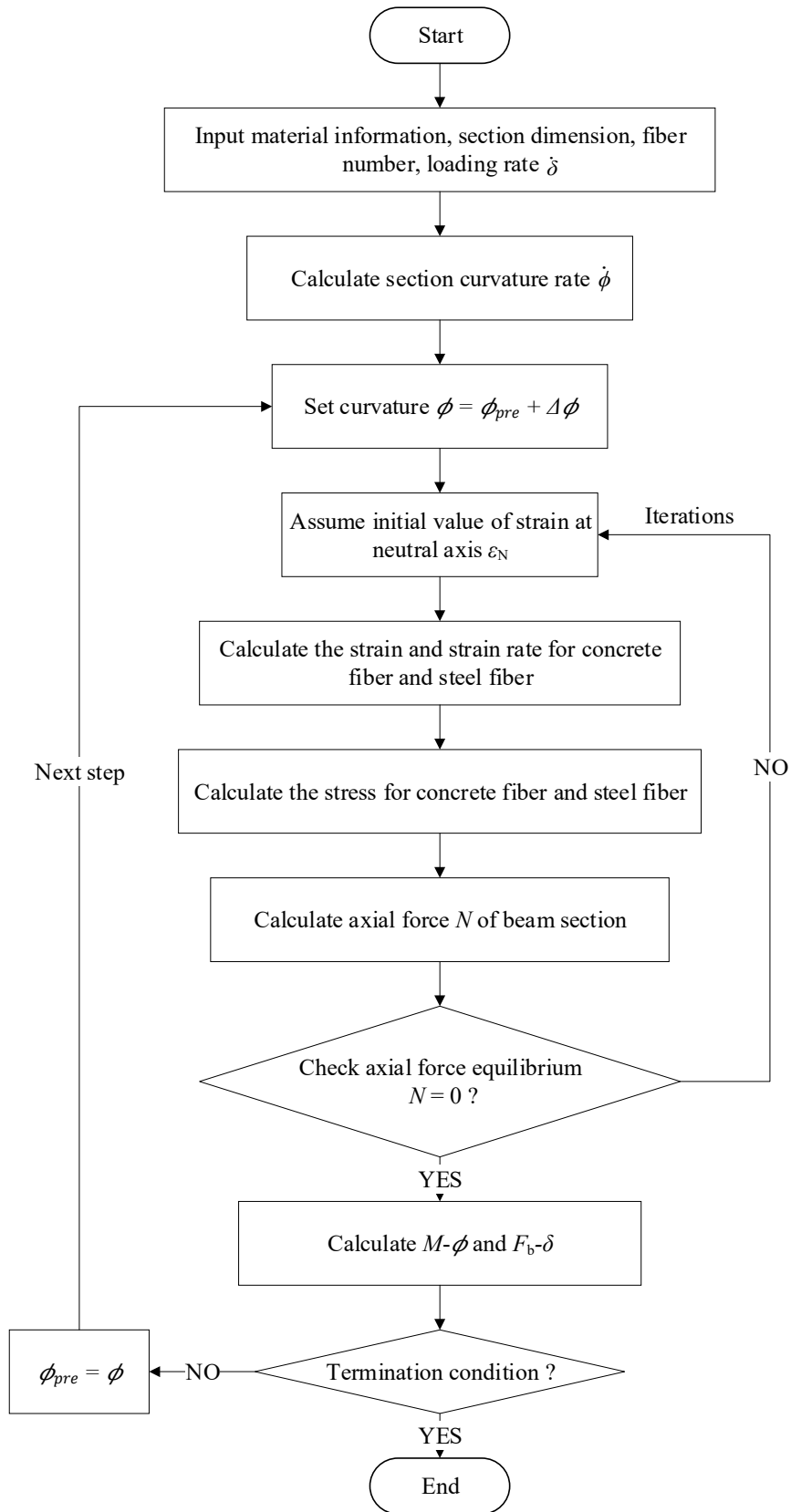
$$\dot{\varepsilon}_i = \left(\frac{\varepsilon_N}{\phi} + Z_i \right) \dot{\phi} \quad (19)$$

332 where ε_N is the section strain at the centroidal y-axis, Z_i is the coordinate of concrete fiber ($Z_{c,i}$)
 333 or steel fiber ($Z_{s,i}$) along z-axis of the beam section. The stress of concrete fiber ($\sigma_{c,i}$) and steel
 334 fiber ($\sigma_{s,j}$) can be calculated with the strain and the corresponding strain rate from the material
 335 constitutive model and DIF model. Based on the areas of section fiber (concrete fiber ($A_{c,i}$) and
 336 steel fiber ($A_{s,i}$)) and stress of section fibers, the axial force (N) and bending moment (M) of the
 337 beam section are calculated by the equilibrium equations as follows,

$$N = \int \sigma dA = \sum_{i=1}^m \sigma_{c,i} A_{c,i} + \sum_{j=1}^n \sigma_{s,j} A_{s,j} = 0 \quad (20)$$

$$M = \int \sigma Z_i dA = \sum_{i=1}^m \sigma_{c,i} Z_{c,i} A_{c,i} + \sum_{j=1}^n \sigma_{s,j} Z_{s,j} A_{s,j} \quad (21)$$

338 It should be noted that the axial force acting on the beam section is zero in this study. In order
339 to meet the equilibrium for axial force ($N = 0$) as expressed in Eq. (20), the section strain at the
340 neutral y-axis ε_N is determined by iterations. Once ε_N is obtained, the bending moment M can
341 be derived by Eq. (21) and the resistance F_b is calculated sequentially. To get the full section
342 response, the curvature ϕ increases at a step of 0.0004 1/mm as an iterative process. Fig. 14
343 illustrates the flowchart of beam section analysis to calculate the moment-curvature relationship
344 ($M-\phi$) and resistance-deflection relationship ($F_b-\delta_b$) for RC beam. When the resistance-
345 deflection relationship of RC beam is obtained by conducting the section analysis, the global
346 stiffness k_b of RC beam shown in Fig. 9 can be determined accordingly. After defining all
347 parameters employed in the analytical model, the governing equations of 2DOF model as given
348 in Eq. (3) and Eq. (4) are solved by using the SciPy library in Python.



349
350

Fig. 14. Flowchart of fiber beam section analysis.

351 4.1.3. Calibration of the proposed analytical model

352 Two sets of RC beam tests are employed to calibrate the analytical model, i.e., RC beams
353 subjected to a concentrated load at midspan with various loading rates to calibrate the beam
354 section model, and RC beams under drop weight impact to check the validity of the developed
355 2DOF model. In terms of the first part of calibration (i.e. beam section analysis model), the RC
356 beams (S1616, S2222, and S1322) tested under different loading rates by Fujikake et al. [26]
357 are used. The dimension, rebar layout, and material strength of the RC beams are presented in
358 Fig. 2 and Table 1. A concentrated load with a loading rate of 0.5 mm/s (static loading) or 2000
359 mm/s (rapid loading) is applied at midspan of the RC beam and the relationship of the applied
360 load on beam and midspan deflection is captured. By using the beam section analysis as
361 illustrated in Section 4.1.2, the analytical load-deflection relationship is calculated and agrees
362 well with the test result for the specimens under different loading rates as shown in Fig. 15. In
363 addition, it is noted that there are some fluctuations in the test results under a higher loading
364 rate of 2000 mm/s as presented in Fig. 15(b), which is not observed in the analytical results.
365 This is because there are local vibrations between the loading actuator and RC beam at the
366 loading point in the test, while the local interaction is not considered in the beam section
367 analysis. In general, the beam section analysis results are in good agreement with the test results,
368 indicating that the beam section analysis model can be used to calculate the global stiffness of
369 beams in the proposed 2DOF model.

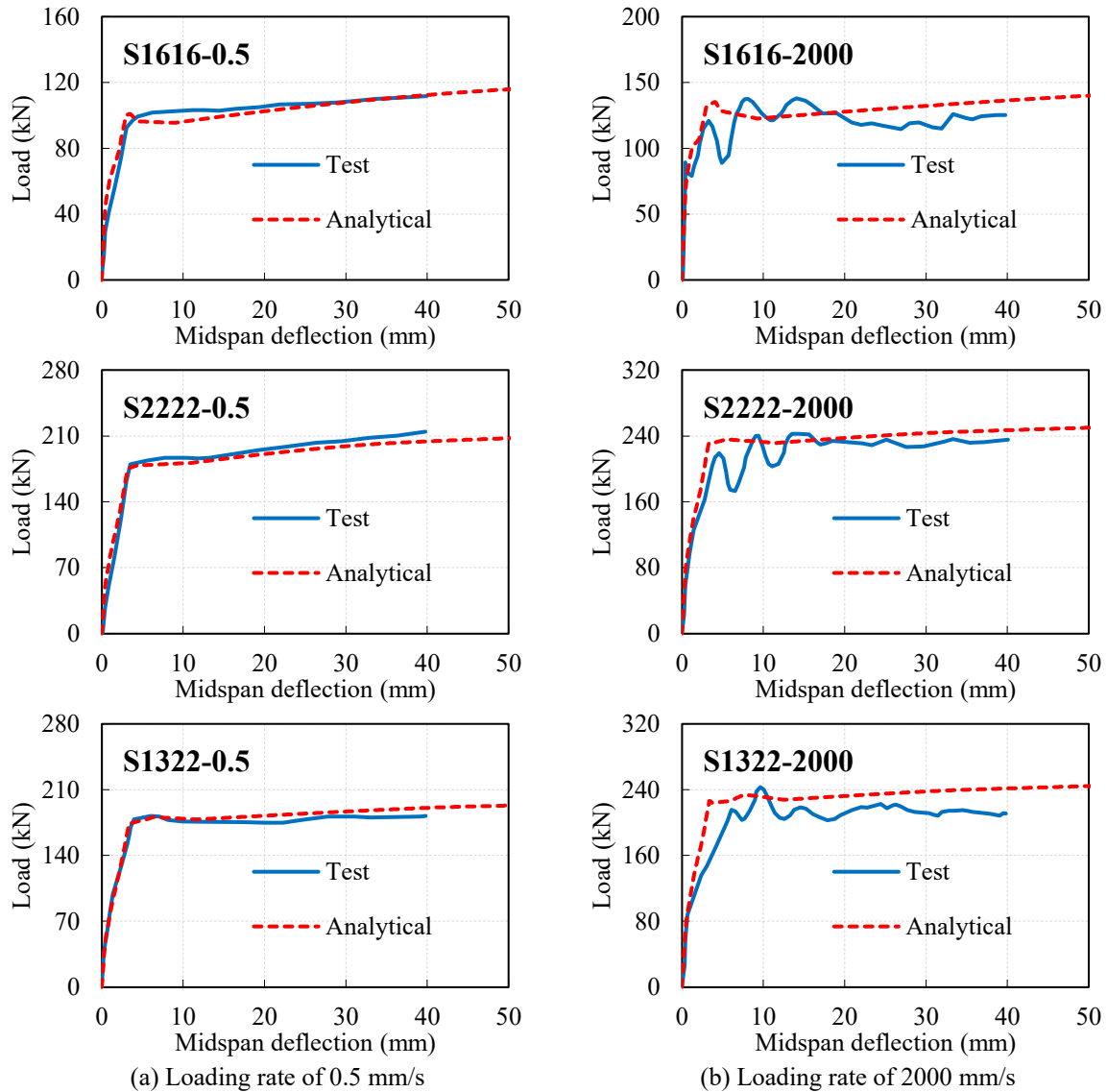
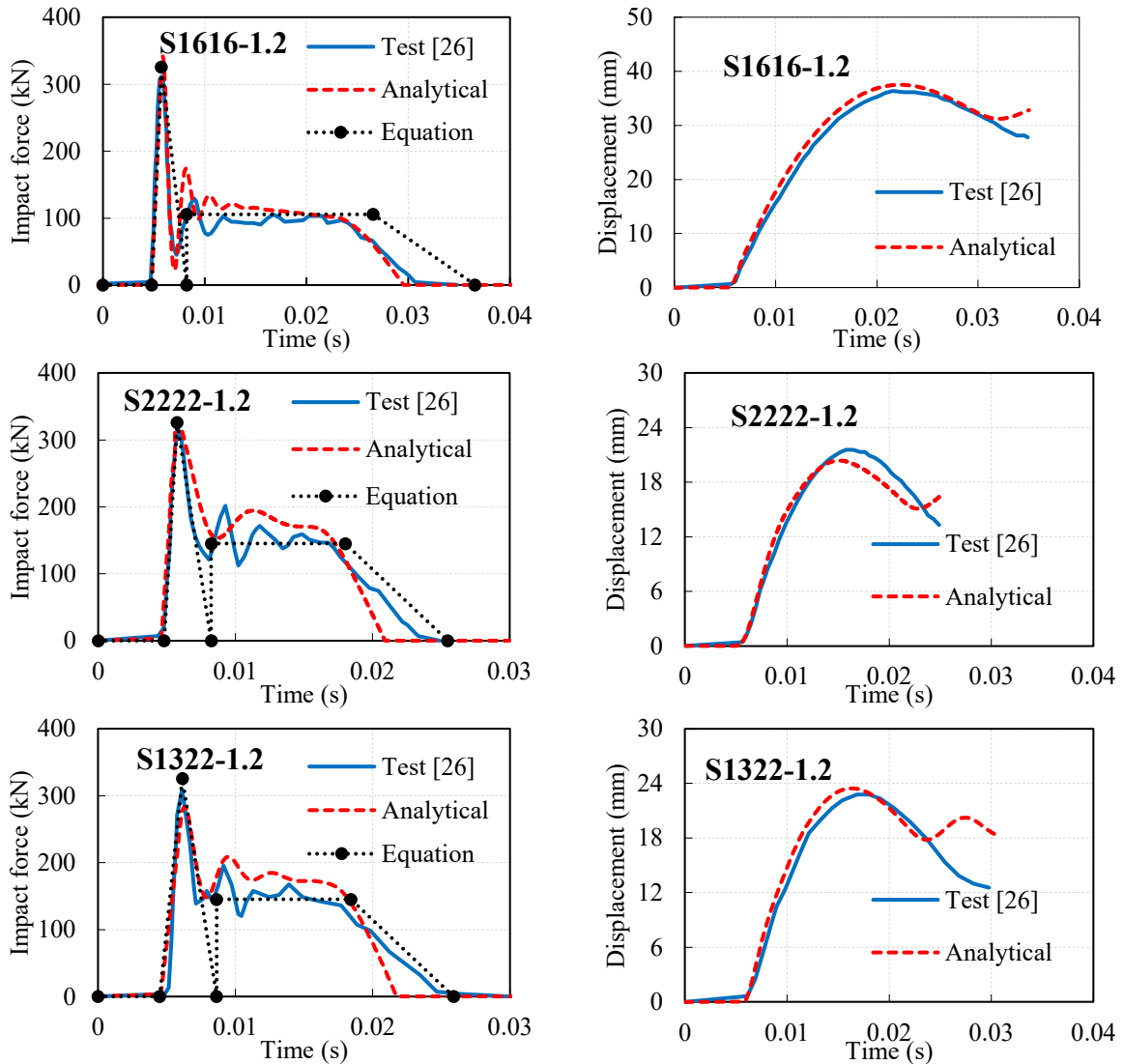
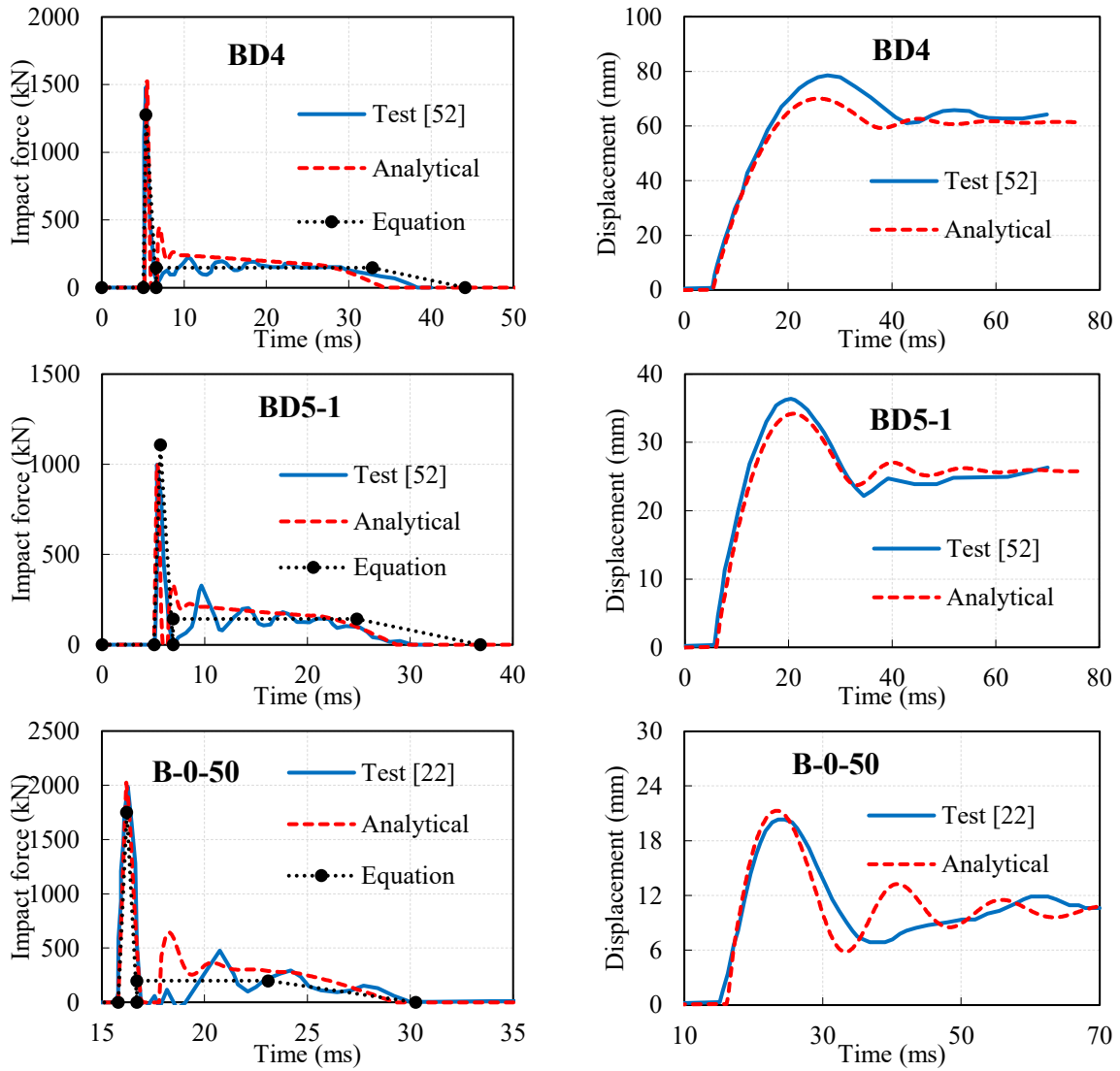


Fig. 15. Comparisons of test [26] and analytical results of RC beams under various loading rates by section analysis.

370 In addition, the 2DOF analytical model is calibrated by the test data of RC beams under
 371 drop weight impact tests [22, 26, 52]. The detailed information of the geometry of beam, rebar
 372 layout, and impact energy of the impact tests were reported in the literature. It is worth noting
 373 that the contact areas in these tests are different due to different geometries of drop weight head
 374 and beam section, causing various contact stiffness k_b . The drop weight head is hemisphere with
 375 a radius of 90 mm in Ref. [26] while a flat contact surface with a diameter of 200 mm is
 376 employed in the drop weight tests on the beams with width of 150 mm in Ref. [52] and 200 mm

377 in Ref. [22], respectively. Therefore, the smaller contact area in Ref. [26] results in a lower
 378 contact stiffness than that in Refs. [22, 52]. The contact stiffness for the tests in the Refs. [22,
 379 26, 52] are determined as 0.8×10^8 N/m, 10×10^8 N/m, and 15×10^8 N/m, respectively, by
 380 using Eq. (5) and Eq. (6). The predicted impact force and displacement at midspan are in good
 381 agreement with the test results as shown in Fig. 16 (a) and (b). The impact force profile is well
 382 predicted as presented in Fig. 16(a) by the analytical method, indicating that the developed
 383 2DOF model can be used to calculate the impact force profile of pin-supported RC beams under
 384 drop weight impact.



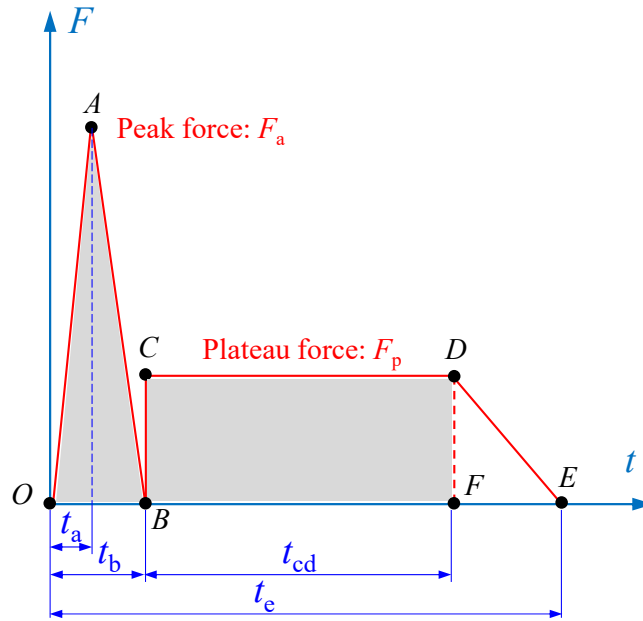


(a) Time history of impact force
 (b) Time history of displacement
 Fig. 16. Comparisons of test results [22, 26, 52], analytical predictions, and results predicted by equations (22)~(27) of RC beams under drop weight impact.

385 4.2. Development of the simplified impact force profile model

386 Based on the impact force profiles recorded in test [4, 22, 26, 52, 53], a simplified impact
 387 force profile is suggested as shown in Fig 17. It is defined by six characteristic points ($OABCDE$)
 388 and composed of a primary triangular area (OAB) with the peak impact force F_a and trapezoidal
 389 force block ($BCDE$) with a constant plateau force F_p . By considering the key parameters
 390 affecting the impact force profile as summarized in Fig. 1, the force (F_a and F_p) and duration
 391 (t_a , t_b , t_{cd} , and t_e) of the characteristic points as presented in Fig 17 can be determined by using

392 the fitted equations (22)~(27) derived from the analytical results.



393 Fig. 17. Simplified impact force profile (unit: t/ms, F/kN).

394 Table 3. Parameters used for analytical study.

395

Parameters	Values
Impact mass m_d (kg)	200, 300, 400 , 500, 600, 800, 1000, 1200, 1400, 1600, 1800
Impact velocity v_0 (m/s)	1.0, 2.0, 3.0, 4.0, 5.0 , 6.0, 7.0, 8.0, 9.0, 10.0
Contact stiffness k_c (10^8 N/m)	0.05, 0.08, 0.1, 0.2, 0.5, 0.8 , 1.0, 2.0, 5.0, 8.0, 10.0, 20.0
Beam span l (m)	1.0, 1.5, 2.0, 2.5 , 3.0, 3.5, 4.0, 4.5, 5.0
Beam section (m \times m)	0.1 \times 0.2, 0.15 \times 0.2, 0.15\times0.25 , 0.15 \times 0.3, 0.2 \times 0.3, 0.2 \times 0.4, 0.2 \times 0.5, 0.25 \times 0.5, 0.3 \times 0.5
Rebar ratio at tensile side ρ (%)	0.42, 0.60, 0.82, 1.07 , 136, 1.68, 2.03, 2.51

396 A total of six key parameters affecting the impact force profile are employed in this
 397 analytical study as listed in Table 3. The values marked in red for each parameter are the
 398 reference value for the analytical parametric study, that is, in each case only one parameter is
 399 changed while other parameters adopt the reference values. Without loss of generality in this
 400 study, the values for the six parameters used to fit empirical equations are chosen from the
 401 widely used design parameters in drop weight impact tests as given in Table 3. A total of 59
 402 specimens considering different combinations of parameters listed in Table 3 are investigated
 403 to obtain the impact force profile by using the calibrated 2DOF analytical method. Once the

404 impact force profile of each specimen is generated from the analytical results, the characteristic
405 points illustrated in Fig. 17 are determined and collated into a database. Through multivariable
406 regression analysis, six empirical equations (22)~(27) are proposed to predict the impact force
407 and duration of characteristic points by using the parameters with their own dimensions. It
408 should be noted that the beam section flexural stiffness EI ($10^6 \text{ N}\cdot\text{m}^2$) is included in these
409 equations to quantify the effect of the beam section on the global stiffness and plateau force. If
410 the empirical equations (22)~(27) are used to predict the impact force profile, the six parameters
411 should be chosen within the applicable range with the specified units as listed in Table 3

$$F_a = 302.02k_c^{0.588} + 0.78m_d^{0.5} + 62.88v_0 - 259.49 \quad (R^2 = 0.94) \quad (22)$$

$$F_p = 19.97k_c^{0.26} + 15.82v_0^{0.32} + 160.22l^{-0.9} + 47.25 \ln(EI) + 41.18\rho - 185 \quad (R^2 = 0.93) \quad (23)$$

$$t_a = 0.84 + \frac{0.112}{k_c} + \frac{0.148}{v_0} \quad (R^2 = 0.82) \quad (24)$$

$$t_b = e^{(-0.08k_c + 0.0002m_d + 0.0046v_0 + 1.2)} \quad (R^2 = 0.75) \quad (25)$$

$$t_{cd} = e^{(0.00123m_d + 0.135v_0 + 0.348l - 0.047EI - 0.8\rho + 2.55)} \quad (R^2 = 0.88) \quad (26)$$

$$t_e = e^{(0.001m_d + 0.1v_0 + 0.307l - 0.036EI - 0.535\rho + 2.993)} \quad (R^2 = 0.89) \quad (27)$$

412 4.3. Verification of the simplified impact force profile model

413 To verify the proposed equations (22)~(27) for predicting the impact force and duration
414 of the simplified impact force profile, a total of 39 sets of tested data including experimental
415 results [22, 26, 52, 53] and numerical results in section 3.2 are used for validation. All the 39
416 sets of data have the Type III impact force profile and the failure models are global flexure or
417 flexure-shear failure instead of severe local shear failure. Fig. 18 shows the comparison of the
418 test data and predicted results of the characteristic points. The coefficient of correlation R
419 between test data and predicted results and the mean value of predicted-to-tested ratio (Mean)

420 are given. Fig. 18(a)~(b) show that the predicted impact forces (F_a and F_p) present good
 421 correlations with the test data by yielding $R = 0.984$ and Mean = 0.998 for the peak force, and
 422 $R = 0.889$ and Mean = 1.028 for the plateau force. Besides, the predicted durations as shown in
 423 Fig. 18(c)~(f) also agree well with the test data. It is noted that the coefficient of correlation R
 424 of the peak force time t_a and primary duration t_b are relatively lower than those of force plateau
 425 duration t_{cd} and total impact duration of t_e because the linear behaviour of the contact stiffness
 426 is employed in this analytical study.

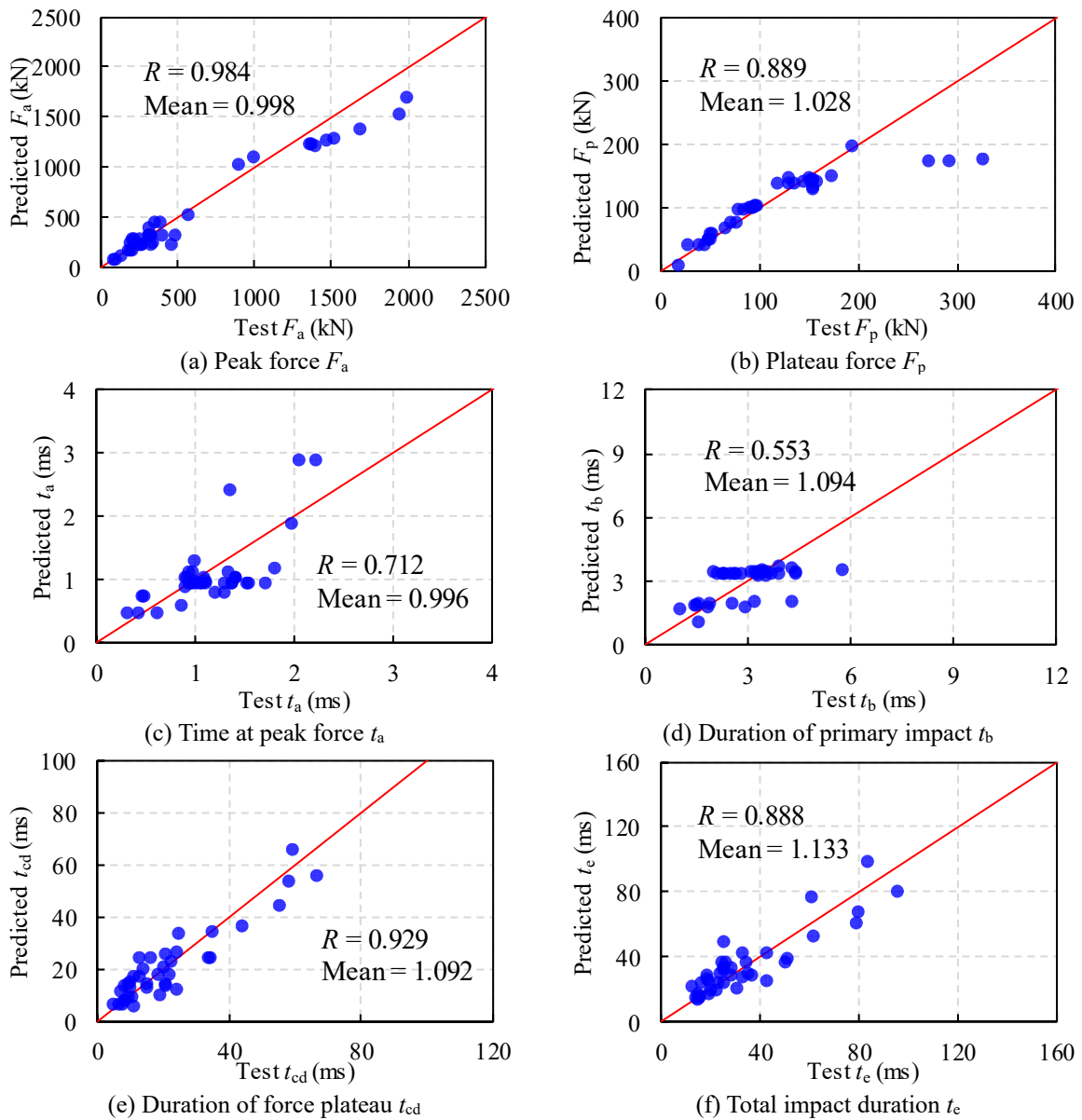
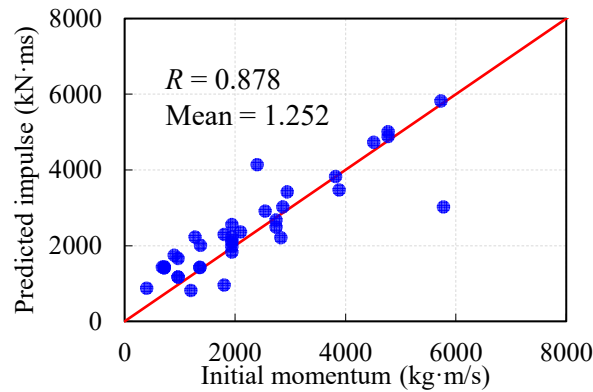


Fig. 18. Comparison of test and predicted values for characteristic points.

427 Based on the impulse-momentum conservation theorem, the impulse is equal to the change
 428 of the momentum during impact. Upon drop weight impact, the beam at midspan reaches the
 429 maximum displacement and the velocities of beam and drop weight are zero at point *D* as shown
 430 in Fig 17. Therefore, the impulse calculated by the area of *OABCFD*, i.e., the grey area in Fig
 431 17 should be equal to the initial momentum of drop weight. In order to further verify the
 432 proposed equations for impact force profile, the initial momentum of drop weight and predicted
 433 impulse are compared as shown in Fig. 19. The predicted impulse applied on the beam is
 434 comparable to the initial momentum of drop weight, with the correlation value $R = 0.878$ and
 435 Mean = 1.252.



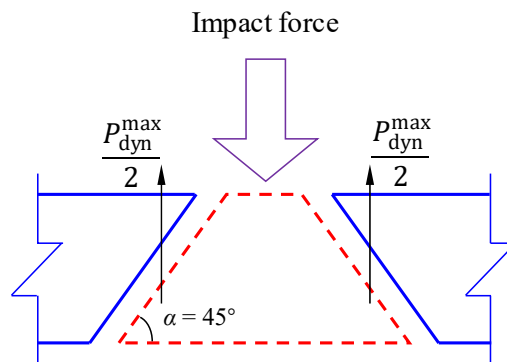
436
 437 Fig. 19. Comparison of initial momentum and predicted impulse.

438 In addition, the simplified impact force profiles predicted by the proposed equations are
 439 also compared with experimental results as shown in Fig. 16(a). It is observed that the predicted
 440 simplified impact force profiles are in good agreement with the tested impact force profile in
 441 general. It is concluded that the proposed equations can well predict the simplified impact force
 442 profile for pin-supported RC beams under drop weight impact.

443 4.4. Discussion on the applicability of the proposed analytical model

444 The 2DOF analytical model for pin-supported RC beams under drop weight impact is
 445 developed and the empirical formulae for predicting the simplified impact force profile are also

446 proposed based on test and analytical results. The proposed formulae give a good prediction of
447 the overall impact force profile and can rapidly predict the simplified impact force profile for
448 the design of drop weight impact tests. However, since only the global stiffness of RC beams is
449 considered in the analytical derivation while the local shear stiffness of RC beams is ignored,
450 the proposed simplified impact force profile model is only applicable for the RC beams with
451 global flexure or flexure-shear deformation under impact. Therefore, the failure mode of RC
452 beams under impact should be examined first to determine the applicability of the proposed
453 models. Whether the beam experiencing shear failure depends on the characteristic of impact
454 loading (duration and amplitude) and the impact load-carrying capacity of beam [54-57]. If the
455 impact loading amplitude is high and the ratio of T_m/T_M is very small, the failure mode of beam
456 is governed by shear or/and punching shear failure [54, 55]. In addition, the beam subjected to
457 an impact loading with peak force higher than the dynamic shear load-capacity of beam section
458 is prone to experience punching shear failure [56, 57]. Diagonal shear cracks occur along the
459 failure section with an approximate angle of 45° [5, 8, 56] as shown in Fig. 20. Therefore, the
460 failure mode of beam can be pre-determined by comparing the peak impact force and the
461 dynamic shear capacity $P_{\text{dyn}}^{\text{max}}$ of the beam section.



462
463 Fig. 20. Local punching shear failure of beam section.

464 The dynamic shear capacity $P_{\text{dyn}}^{\text{max}}$ of RC beams can be estimated by using the simplified
465 equation proposed by Do et al. [57] as follows:

$$P_{\text{dyn}}^{\text{max}} = 6.5 \times \frac{f'_c}{10} \times b \times d \quad (28)$$

466 where b and d are the width and depth of beam section, f'_c is the concrete compressive strength.
467 Local punching shear failure appears when the peak impact force reaches $P_{\text{dyn}}^{\text{max}}$. Therefore, to
468 determine the failure mode of RC beam, the peak impact force can be firstly estimated by Eq.
469 (22) and then compared with $P_{\text{dyn}}^{\text{max}}$. If the calculated peak impact force is less than the dynamic
470 shear capacity $P_{\text{dyn}}^{\text{max}}$ of beam section, it is deemed that RC beam presents global deformations
471 instead of severe local punching shear failure and the proposed equations (22)~(27) can be used
472 to predict the impact force profile of RC beam.

473 5. Conclusion

474 The impact force profile of RC beams under drop weight impact is numerically and
475 analytically investigated in this study. The factors affecting the impact force profile are
476 summarized and the influence of the global stiffness on the impact force plateau is quantified.
477 A 2DOF analytical model is developed to predict the impact force profile with good accuracy.
478 The simplified impact force profile can be straightforwardly estimated by using empirical
479 equations (22)~(27). The main conclusions can be drawn as follows.

- 480 1. The global stiffness governs the impact force plateau but has a negligible effect on the peak
481 impact force. Decreasing the global stiffness of RC beam would weaken the interaction
482 between the drop weight and the beam after the primary impulse, causing a decrease of

483 plateau force and an increase of plateau stage duration.

484 2. A 2DOF analytical model is developed for the pin-supported RC beams under drop weight
485 impact, and gives accurate predictions of impact force and beam displacement response.

486 3. Intensive analytical calculations are carried out to predict the impact force profiles, and the
487 results are used together with available testing data to develop empirical formulae for
488 predictions of impact forces. The proposed empirical formulae are proven yielding good
489 predictions of impact force profiles that would be induced by drop weight on RC beams.

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