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# 1 In-plane crushing behaviors of hexagonal honeycombs with 2 different initial Poisson's ratio (IPR) induced by topological 3 diversity

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16 **Abstract** In this study, the in-plane crushing behaviors of honeycombs with different initial  
17 Poisson's ratios (IPR) are investigated by means of analytical and numerical methods. The  
18 relationship between IPR value and cell geometry is established by using standard beam theory.  
19 The relationship between dynamic plateau stress and IPR value is also proposed. In addition,  
20 finite element model is built and calibrated first by using ABAQUS/Explicit. The specimens  
21 with different IPR values are then investigated under various crushing speed from 5 m/s to 150  
22 m/s. A deformation-mode map is generated and the critical speed of changing deformation  
23 modes are determined. Based on the collapsed cell shape, a modified analytical model to  
24 predict the dynamic plateau stress is proposed. The specific energy absorption (SEA) of  
25 honeycombs with different IPR values is compared at different crushing speeds. The  
26 normalized plastic energy absorption is examined to study the strain rate effect on the energy  
27 absorption capacity.

28 **Keywords:** Initial Poisson's ratio (IPR), Honeycomb, In-plane crushing, Energy absorption.

## 29 1 Introduction

30 Sandwich structure with skins and various cores has been intensively investigated against  
31 impulsive loads [1-8]. Structure with hexagonal honeycomb core attracts wide attentions due  
32 to its superiority in mechanical properties and machinability [9-13]. Numerous studies have

33 been carried out in terms of mechanical behavior and mechanism in the out-of-plane (which is  
34 perpendicular to the periodical direction) [14-18] and in-plane direction [19-21], respectively.  
35 The sound strength-to-weight ratio and energy absorption capacity of honeycomb subjected to  
36 crushing load have been demonstrated [21-25], which makes the honeycomb fit the  
37 requirements of the lightweight protective structures for structural and vehicle safety.

38 In the in-plane direction, the crushing behaviors are highly affected by the geometry of  
39 unit cell, attributing to the complex collapse mechanism that induced by either plastic hinge  
40 rotation or cell wall buckling [20, 23], and the influential factor such as the cell wall thickness  
41 has been investigated by many previous studies [21, 26]. With the development of  
42 manufacturing technique, a wide variety of hexagonal honeycombs with different cell  
43 configurations can be achieved in practice, e.g. re-entrant [1] and semi-re-entrant honeycomb  
44 [27] as shown in **Fig. 1**. Therefore, the studies on the influence of diverse cell configurations  
45 on crushing behaviors were carried out. Hu et al. [28] discussed the in-plane crushing response  
46 of conventional hexagonal honeycomb with varied cell wall inclined angles and it was found  
47 that the inclined angle significantly affects the deformation mode and crushing strength. Amin  
48 et al. [22] studied the influence of functionally graded design on the crushing response of  
49 honeycomb, an enhancement of energy absorption has been found with the strong to soft cell  
50 arrangement from the impact end to the support end. Liu et al. carried out the comparative  
51 study on the re-entrant and conventional honeycomb in terms of crushing behavior [1] and  
52 close-in blast resistance [29]. It was found that re-entrant cell has better performance due to the  
53 contraction effect subjected to the loading. More studies about the influence of cell  
54 configuration on the in-plane mechanical behaviors can be referred to the studies [30, 31].

55 Most of the previous works focused on the influence of individual geometric parameter  
56 (such as cell angle and length) on the mechanical behavior. However, there was very limited  
57 study on the influence induced by the cell topology. A topological evolution map of hexagon  
58 honeycomb cells is presented in **Fig. 1** by adjusting the cell wall inclined angle and cell wall  
59 length. Different from the previous investigations, this study aims at investigating the influence  
60 of topological diversity on the in-plane crushing behavior. The topologies include re-entrant,  
61 semi-re-entrant and convex honeycomb structures, representing negative, zero, and positive  
62 initial Poisson's ratio, respectively. The initial Poisson's ratio (IPR) is expressed with respect  
63 to cell topology. The relationship between dynamic plateau stress and IPR of honeycomb  
64 matrix is established. In addition, the influences of IPR on the dynamic plateau stress, densified  
65 strain and specific energy absorption (SEA) are discussed.

## 66 2 Analytical study

### 67 2.1 Relationship between IPR and topological diversity

68 In this study, the initial Poisson's ratio (IPR) of unit cell is changed to represent various  
69 cell topologies. **Fig. 1** gives the topological evolution map of honeycombs with different IPR  
70 values. The schematic diagram of representative cell model used to calculate the IPR is shown  
71 in **Fig. 2**. In this study, the crushing load is only applied in the in-plane vertical direction (i.e.  
72 y direction) and only the IPR  $\nu_{xy}$  is of interest herein. The analytical model to calculate the  
73 IPR of honeycombs is based on the standard beam theory and assumes bending deformation  
74 only [9, 19]. For the hexagonal honeycombs, because the horizontal cell wall is perpendicular  
75 to the loading direction, only the mechanical analysis on the inclined cell wall needs to be  
76 conducted (red highlighted in **Fig. 2**). When subjected to a vertical force  $F$ , the deflection  $\delta$  of  
77 individual inclined cell wall is expressed as:

$$\delta = \frac{Fl^3}{12EI} \sin \theta \quad (1)$$

78 Projecting the deflection in the horizontal (x direction) and vertical direction (y direction),  
79 respectively [9]:

$$\delta_x = \frac{Fl^3}{12EI} \sin \theta \cos \theta \quad (2)$$

$$\delta_y = \frac{Fl^3}{12EI} (\sin \theta)^2 \quad (3)$$

80 According to **Fig. 2**, the deformation of re-entrant and convex cell in x direction is equal in  
81 magnitude but has opposite sign, and that of semi-re-entrant cell is zero. The deformation in x  
82 direction for different unit cells can be therefore defined as:

$$\delta_1 = -2\delta_x \quad \text{for re-entrant} \quad (4a)$$

$$\delta_1 = 0 \quad \text{for semi-re-entrant} \quad (4b)$$

$$\delta_1 = 2\delta_x \quad \text{for convex} \quad (4c)$$

83 Correspondingly, the effective strain in x direction  $\varepsilon_x$  can be defined as the ratio of the  
84 deformation  $\delta_1$  to the dimension of cell in x direction:

$$\varepsilon_x = \frac{\delta_1}{L_x} = \frac{Fl^3 \sin \theta \cos \theta}{6EI(h+l \sin \theta)} \quad \text{for re-entrant } \theta < 0 \quad (5a)$$

$$\varepsilon_x = \frac{Fl^3 \sin \theta \cos \theta}{6EI(h+l \sin \theta)} \quad \text{for semi-re-entrant } \theta = 0 \quad (5b)$$

$$\varepsilon_x = \frac{Fl^3 \sin \theta \cos \theta}{6EI(h+l \sin \theta)} \quad \text{for convex } \theta > 0 \quad (5c)$$

85 The deformation of different cells in y direction is the same, the deformation and effective  
86 strain in y direction can be therefore defined as:

$$\delta_2 = -2\delta_y \quad (6)$$

$$\varepsilon_y = \frac{\delta_2}{L_y} = -\frac{Fl^2 (\sin \theta)^2}{12EI \cos \theta} \quad (7)$$

87 The initial Poisson's ratio is therefore defined as the ratio of effective strain in x direction to  
 88 that in y direction:

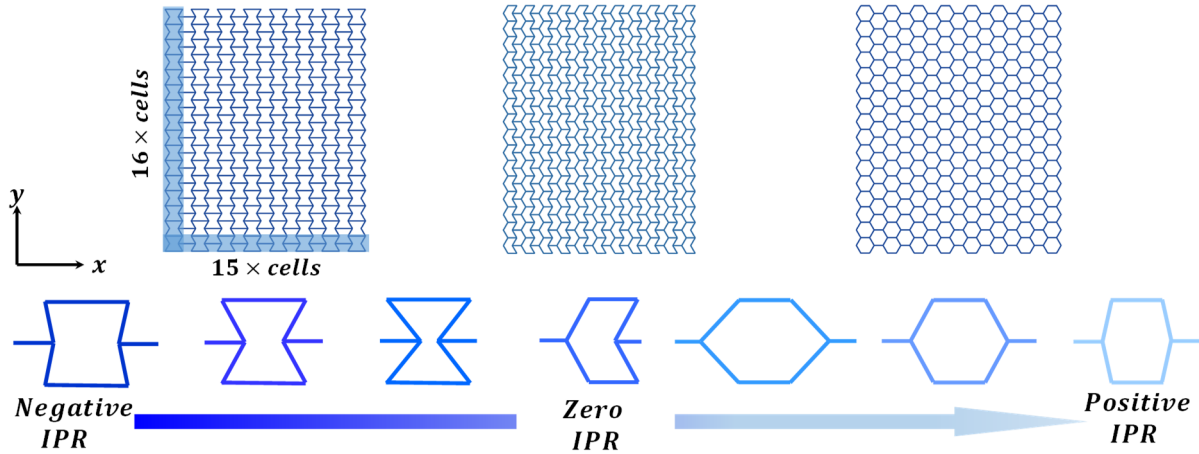
$$v = -\frac{\varepsilon_x}{\varepsilon_y} = \frac{(\cos \theta)^2}{\sin \theta \left(\frac{h}{l} + \sin \theta\right)} \quad \text{for re-entrant } \theta < 0 \quad (8a)$$

$$v = \frac{(\cos \theta)^2}{\sin \theta \left(\frac{h}{l} + \sin \theta\right)} \quad \text{for semi-re-entrant } \theta = 0 \quad (8b)$$

$$v = \frac{(\cos \theta)^2}{\sin \theta \left(\frac{h}{l} + \sin \theta\right)} \quad \text{for convex } \theta > 0 \quad (8c)$$

89 **Fig. 3** gives the orthogonal analysis results regarding the influence of geometry ( $\theta$  and  
 90  $h/l$ ) on the IPR values. The cell wall ratio ( $h/l$ ) changes from 1 to 5 and the angle ( $\theta$ ) varies  
 91 between  $-60^\circ$  and  $60^\circ$ . In **Fig. 3**, the extremums of negative and positive IPR are marked as  
 92 A and E. The specific values of IPR  $\pm 1$  (B and D) are also noted. It is found that the lower  $\theta$   
 93 and  $h/l$  leads to a slender unit cell, e.g. the cells A and E in **Fig. 3**, which is prone to produce  
 94 transverse displacements and therefore yields the higher absolute value of IPR. The red plane  
 95 represents the distribution of IPR of semi-re-entrant cells and it is found that the zero IPR  
 96 marked as C is not affected by the geometry.

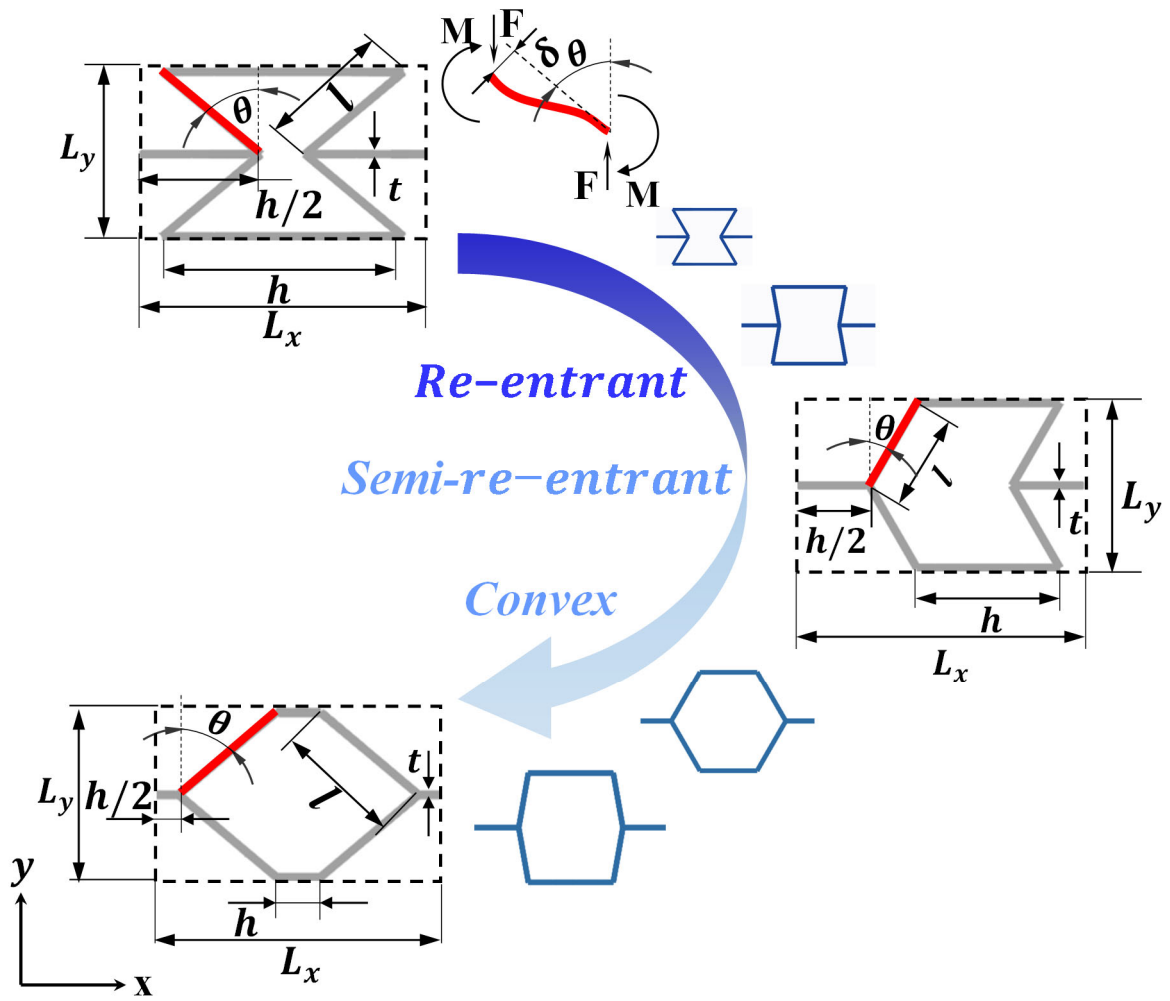
97



98

99 **Fig. 1.** Topological evolution map of hexagonal honeycombs with different initial Poisson's  
 100 ratios (IPR).

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102  
 103  
 104  
 105

Fig. 2. Schematic diagram of representative cells.

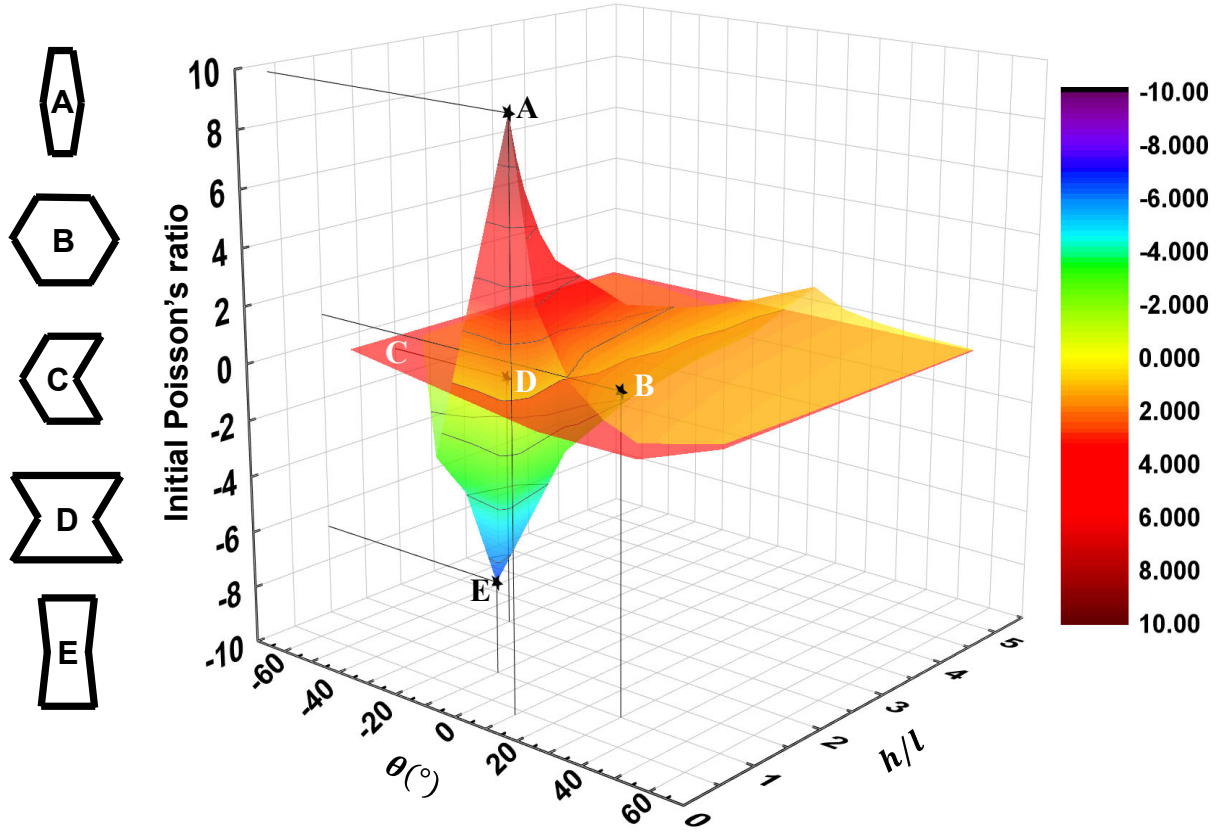


Fig. 3. The relationship between IPR values and the geometry ( $\theta$  and  $h/l$ ).

## 2.2 Plateau stress for high speed crushing

Plateau stress is one of the most important mechanical properties for the cellular materials as it significantly affects the energy absorption capacity [9, 21]. To theoretically analyze the dynamic plateau stress of honeycombs with different IPR, one dimensional shock model for cellular materials proposed in Ref. [32] is adopted herein. According to the model, the dynamic plateau stress  $\sigma_d$  can be simplified as a function of  $\sigma_0$ , crushing speed  $V$ , relative density  $\rho^*$  and densified strain  $\varepsilon_d$  [32]:

$$\sigma_d = \sigma_0 + \frac{\rho^*}{\varepsilon_d} V^2 \quad (9)$$

where  $\sigma_0$  is the static collapse stress of honeycomb and it is correlated with the geometry of unit cell and the yield stress  $\sigma_y$  of the base material. By balancing the maximum bending moment of the inclined cell wall (highlighted in Fig. 2) and the fully plastic bending moment of a standard beam,  $\sigma_0$  can be written as:

$$\sigma_0 = \frac{\sigma_y t^2}{2l(h+l \sin \theta) |\sin \theta|} \quad \text{for re-entrant } \theta < 0 \quad (10a)$$

$$\sigma_0 = \frac{\sigma_y t^2}{2l |\sin \theta| h} \quad \text{for semi-re-entrant} \quad (10b)$$

$$\sigma_0 = \frac{\sigma_y t^2}{2l(h+l \sin \theta) |\sin \theta|} \quad \text{for convex } \theta > 0 \quad (10c)$$

119 Some previous works [23, 33] proposed a collapse period analysis based on a representative  
 120 deformation element (RDE) to calculate the dynamic plateau stress. Such method is adopted  
 121 herein to derive the dynamic plateau stress. Through observing the deformation pattern of  
 122 honeycombs under a relative high crush speed (70 m/s in **Fig. 4 (a)**), a localized ‘I’ shape  
 123 (highlighted region) collapse band occurs in the deformed area [21, 33] and the rest part almost  
 124 remain undeformed. Due to the periodicity of the collapse process, the dash red rectangle area  
 125 should experience similar collapse process as the solid red rectangle area. The RDE can  
 126 therefore be extracted from the layer that just in front of the deformed area with two  
 127 longitudinally arranged cells, as shown in **Fig. 4 (a)**.

128 To derive the dynamic plateau stress, some basic assumptions should be given. As shown  
 129 in **Fig. 4 (b)**, the cells above the RDE were fully crushed and those below the RDE remain  
 130 undeformed which is named as the localized deformation assumption. Herein, the analytical  
 131 model can only be applied to those situations which satisfying the localized deformation  
 132 assumption and the detail discussion will be given in section 4.2. The stress applied on the RDE  
 133 is therefore assumed as dynamic plateau stress  $\sigma_1$  and the reaction stress is assumed as static  
 134 plateau stress  $\sigma_0$ . The contraction and expansion of the RDE induced by the IPR effect was  
 135 found less than 5% in previous study [28] and it is therefore ignored here, the dimension of  
 136 RDE in x direction is assumed as constant throughout the collapse period (e.g.  $L_0 = L_1$ ).

137 As shown in **Fig. 4 (b)**, different colors of the struts represent different motion states (i.e.  
 138 different momentums). The blue, red and green colors represent the struts with non-zero  
 139 momentum, the black color represents the struts in still. The momentum of the RDE at  $T = T_0$   
 140 can be therefore given as:

$$P_{t_0} = P_{12} + P_{23} + P_{34} + P_{45} + P_{56} + P_{27} + P_{58} \quad (11)$$

141 The momentum of the RDE at  $T = t_1$  can be given as:

$$P_{t_1} = P_{1'2'} + P_{2'3'} + P_{3'4'} + P_{4'5'} + P_{5'6'} + P_{2'7'} + P_{5'8'} + P_{7'8'} + P_{7'10'} + P_{8'11'} \\ + P_{9'10'} + P_{10'13'} + P_{11'14'} + P_{11'12'} \quad (12)$$

142 Due to the periodicity of the collapse process, the struts in the same color have the same  
 143 motion state as shown in **Fig. 4 (b)**:

$$P_{12} = P_{9'10'}, P_{23} = P_{7'10'}, P_{34} = P_{3'4'}, P_{45} = P_{8'11'}, P_{56} = P_{11'12'}, P_{27} \\ = P_{10'13'}, P_{58} = P_{11'14'} \quad (13)$$

144 According to the theorem of linear momentum:

$$bL_0 \int_{T_0}^{T_1} (\sigma_1 - \sigma_0) dT = P_{T_1} - P_{T_0} \quad (14)$$

145 By incorporating Eqs. (11), (12) and (13), Eq. (14) can be rewritten as:

$$bL_0 \int_{T_0}^{T_1} (\sigma_1 - \sigma_0) dt = P_{1'2'} + P_{2'3'} + P_{4'5'} + P_{5'6'} + P_{2'7'} + P_{5'8'} + P_{7'8'} \quad (15)$$

146 where  $b$  is the out-of-plane thickness of the honeycomb matrix. The momentum in Eq. (15) can  
147 be given as:

$$P_{1'2'} = P_{5'6'} = \frac{\rho_0 t h b V}{2}$$

$$P_{7'8'} = \rho_0 t h b V \quad (16)$$

$$P_{2'3'} = P_{4'5'} = P_{2'7'} = P_{5'8'} = \rho_0 t l b V$$

148 where  $\rho_0$  refers to the base material density and other parameters are given in **Fig. 2**. Assuming  
149 the dynamic plateau stress keeps constant in the short collapse period and the time interval is  
150 given as  $T_1 - T_0 = (H_0 - H_1)/V$ , Eq. (15) can be simplified as:

$$bL_0(\sigma_d - \sigma_0)(H_0 - H_1)/V = 2\rho_0 t b V(h + 2l) \quad (17)$$

151 The dynamic plateau stress  $\sigma_d$  is derived as:

$$\sigma_d = \frac{2\rho_0 t V^2(2l + h)}{(H_0 - H_1)L_0} + \sigma_0 \quad (18)$$

152  $H_0$  at  $T = T_0$  can be considered as the height of undeformed RDE:

$$H_0 = 4l \cos \theta \quad (19)$$

153  $H_1$  at  $T = T_1$  consists of the height of one uncollapsed and one fully crushed cell:

$$H_1 = 2l \cos \theta + \alpha t \quad (20)$$

154 Noting that  $\alpha t$  is the height of fully crushed cell and it varies for honeycombs with different  
155 topologies. For the re-entrant and semi-re-entrant honeycombs,  $\alpha$  is 4 as given from **Fig. 4** (b).  
156 For the convex honeycombs,  $\alpha$  is 2.67 as given in the previous work [23].  $L_0$  refers to the  
157 dimension of cell in x direction and it has the same expression for the re-entrant and convex  
158 honeycombs:

$$L_0 = 2(h + l \sin \theta) \quad (21)$$

159 For the semi-re-entrant honeycombs:

$$L_0 = 2h \quad (22)$$

160 Substitute Eq. (19) ~ (22) and Eq. (10) into Eq. (18):

$$\sigma_d = \frac{\rho_0 t V^2(2l+h)}{(h+l \sin \theta)(2l \cos \theta - \alpha t)} + \frac{\sigma_y t^2}{2l(h+l \sin \theta)|\sin \theta|} \quad \text{for re-entrant } \theta < 0 \quad (23a)$$

$$\sigma_d = \frac{\rho_0 t V^2(2l+h)}{h(2l \cos \theta - \alpha t)} + \frac{\sigma_y t^2}{2l|\sin \theta|h} \quad \text{for semi-re-entrant} \quad (23b)$$

$$(23c)$$



$$\sigma_d = \frac{\rho_0 t V^2 (2l+h)}{(h+l \sin \theta)(2l \cos \theta - at)} + \frac{\sigma_y t^2}{2l(h+l \sin \theta) |\sin \theta|} \text{ for convex } \theta > 0$$

161 When  $\theta = 30^\circ$  and  $h = l$ , the same results as that in Ref. [23] can be achieved, i.e., the results  
 162 in [20] is a special case of Eq. (23). In Eq. (23), the first term is related to the crushing speed  
 163 and the second one is related to the material properties. Therefore, Eq. (23) can be simplified  
 164 with a static coefficient A and a dynamic coefficient B as below:

$$\sigma_d = A\sigma_y + \rho_0 V^2 B \quad (24)$$

165  $A\sigma_y$  represents the static plateau stress  $\sigma_0$  in Eq. (9) and  $\rho_0 V^2 B$  represents the inertia effect  
 166  $(\rho^*/\varepsilon_d)V^2$  in Eq. (9), where A and B only relate to the cell geometry which can be expressed  
 167 as:

$$A = \frac{t^2}{2l(h+l \sin \theta) |\sin \theta|} \quad \text{for re-entrant } \theta < 0 \quad (25a)$$

$$A = \frac{t^2}{2l |\sin \theta| h} \quad \text{for semi-re-entrant} \quad (25b)$$

$$A = \frac{t^2}{2l(h+l \sin \theta) |\sin \theta|} \quad \text{for convex } \theta > 0 \quad (25c)$$

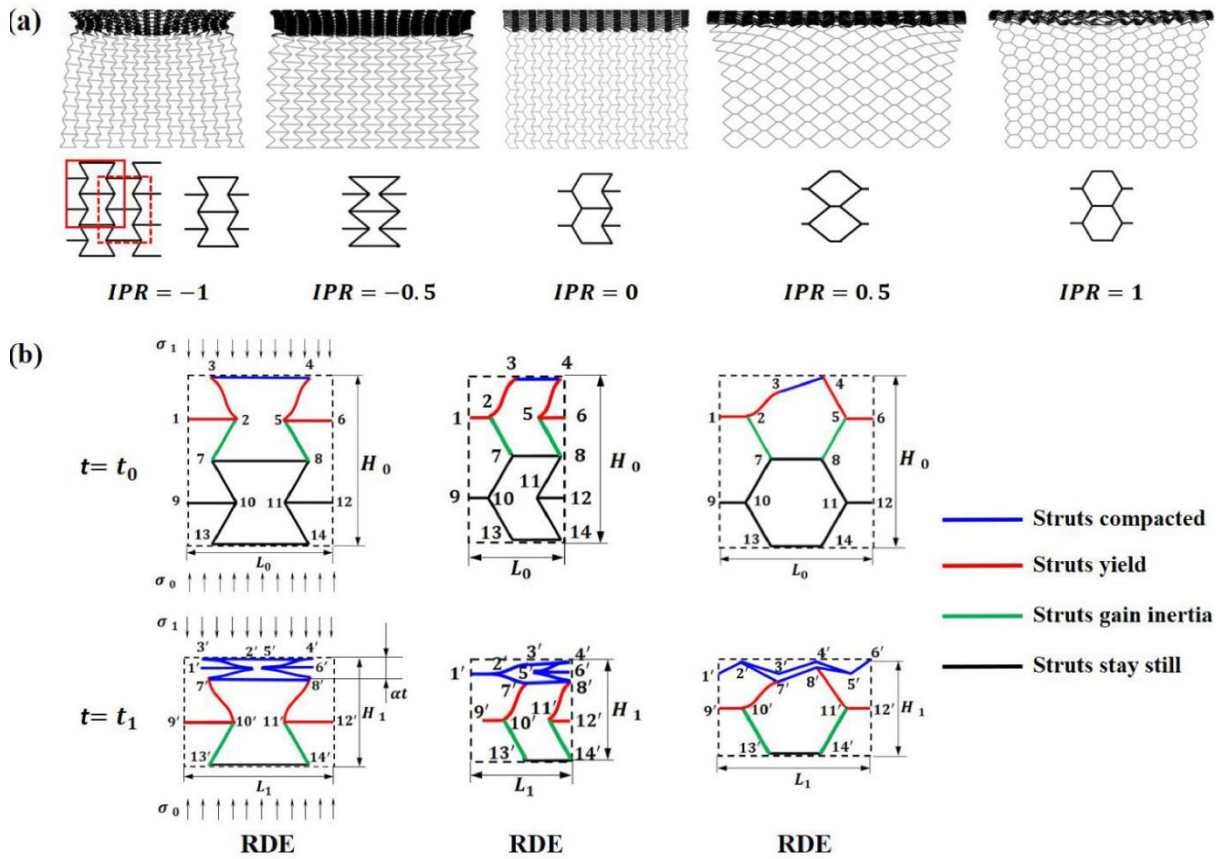
$$B = \frac{(2l+h)t}{2(h+l \sin \theta)(l \cos \theta - at)} \quad \text{for re-entrant } \theta < 0 \quad (26a)$$

$$B = \frac{(2l+h)t}{h(2l \cos \theta - at)} \quad \text{for semi-re-entrant} \quad (26b)$$

$$B = \frac{(2l+h)t}{2(h+l \sin \theta)(l \cos \theta - at)} \quad \text{for re-entrant } \theta > 0 \quad (26c)$$

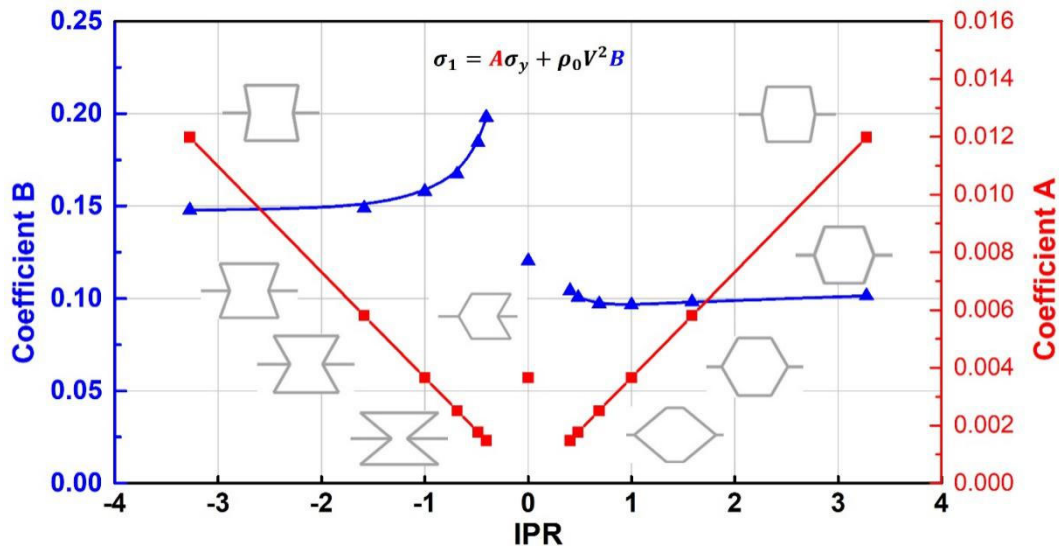
168 **Fig. 5** gives the coefficients A and B with respect to different IPR values, respectively, where  
 169 a specific cell wall thickness  $t$  is used. The red line shows the relationship between static  
 170 coefficient A and different IPR values. Since the honeycombs with the IPR of the same  
 171 magnitude but opposite sign (e.g.  $\pm 1$ ) have the same geometry ( $\theta$  and  $l$ ), the coefficient A is  
 172 symmetrical to the zero IPR. From Eq. (26), coefficient A is inversely proportional to the  $\theta$   
 173 and  $l$ . Since larger absolute value of IPR induces smaller  $\theta$  and  $l$ , the coefficient A therefore  
 174 increases with the rising absolute value of IPR. The blue curve represents the relationship  
 175 between coefficient B and IPR. The magnitude of coefficient B is found much higher than A,  
 176 which indicates that inertia effect is a dominant factor for the dynamic plateau stress.  
 177 Coefficients A and B of honeycombs with zero IPR are also given in **Fig. 5**. It is found that the  
 178 zero IPR has the same coefficient A as IPR =  $\pm 1$  because of the same geometry ( $\theta$  and  $l$ ). The  
 179 coefficient B for the case of zero IPR ranges between those of negative and positive IPR.

180



181

182 **Fig. 4.** (a) Collapse modes of different honeycombs with ‘I’ shape collapse band [21, 33]  
 183 (highlighted region) and the representative deformation elements (RDEs) which are framed by  
 184 the solid and dotted red lines; (b) Representative deformation elements (RDEs) at the initial  
 185 state ( $t = t_0$ ) and the final state of collapse period ( $t = t_1$ ).



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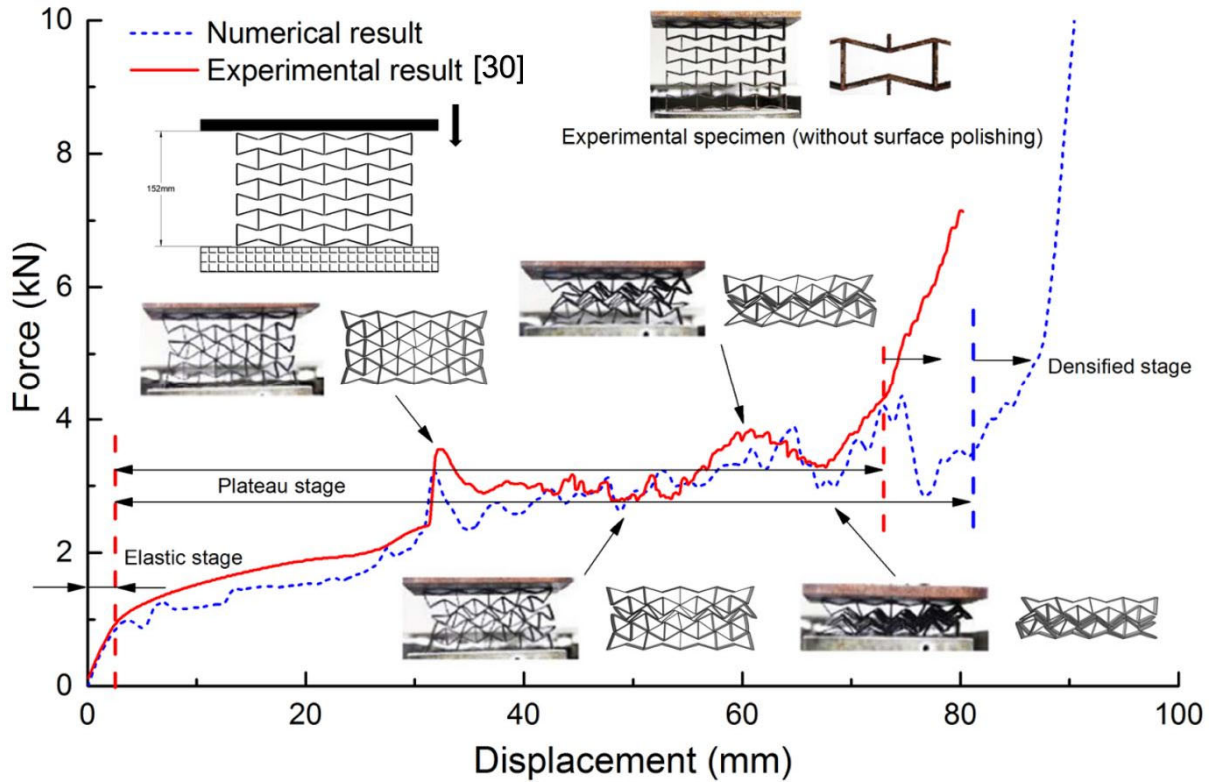
187 **Fig. 5.** Relationship of coefficient A and B with respect to various IPR values (to avoid the  
 188 influence of cell wall thickness, presumably a specific thickness 0.2 mm is used).

### 189 3 Numerical model calibration

190 Numerical simulations are conducted by using finite element code ABAQUS/Explicit.  
191 The numerical model is similar to that in [1, 21] and the specific model setup is elaborated  
192 below. The honeycomb structure consists of  $15 \times 16$  cells, the cell wall thickness and the out-  
193 of-plane dimension are kept as 0.2 mm and 1 mm, respectively, as shown in **Fig. 1**. The  
194 honeycomb structure is placed on a clamped rigid plate and crushed by the top rigid plate. In  
195 this study, the characteristics of the crushing behavior are identified with the crushing speed  
196 varied from 5 to 150 m/s (i.e. strain rate range:  $66.6 - 2000 \text{ s}^{-1}$ ), which is covered in the  
197 previous studies [1, 21].

198 The honeycomb structures are modelled by shell element with reduced integration (S4R).  
199 The hourglass control algorithm is used to avoid hourglass energy during the analysis. The  
200 general contact algorithm in ABAQUS with the properties of tangential behavior (friction  
201 coefficient 0.2) and hard contact is implemented to simulate the contact behaviors [33]. Mesh  
202 convergence studies are conducted and the mesh size of 0.5 mm is determined. Periodic  
203 boundary condition is applied along the out-of-plane direction of the model and only in-plane  
204 motion is allowed. In the FE models, the aluminum foil material is modeled as elastic, perfectly  
205 plastic material without strain rate effect. The aluminum material has Young's modulus of 69  
206 GPa, Poisson's ratio of 0.33 and yield stress of 76 MPa [21].

207 The experimental study [34] on the quasi-static uniaxial crushing behavior of re-entrant  
208 hexagon honeycomb is used to validate the numerical model. **Fig. 6** shows the comparison  
209 between the experimental and numerical results in terms of deformation modes and force-  
210 displacement curve. It is found that the model can well predict the deformation mode.  
211 Meanwhile, the numerical model yields a good agreement with the experimental results in the  
212 elastic and plateau stages, only with a slight delay of the densified stage. In general, the  
213 numerical model can predict crushing behaviors with good accuracy.

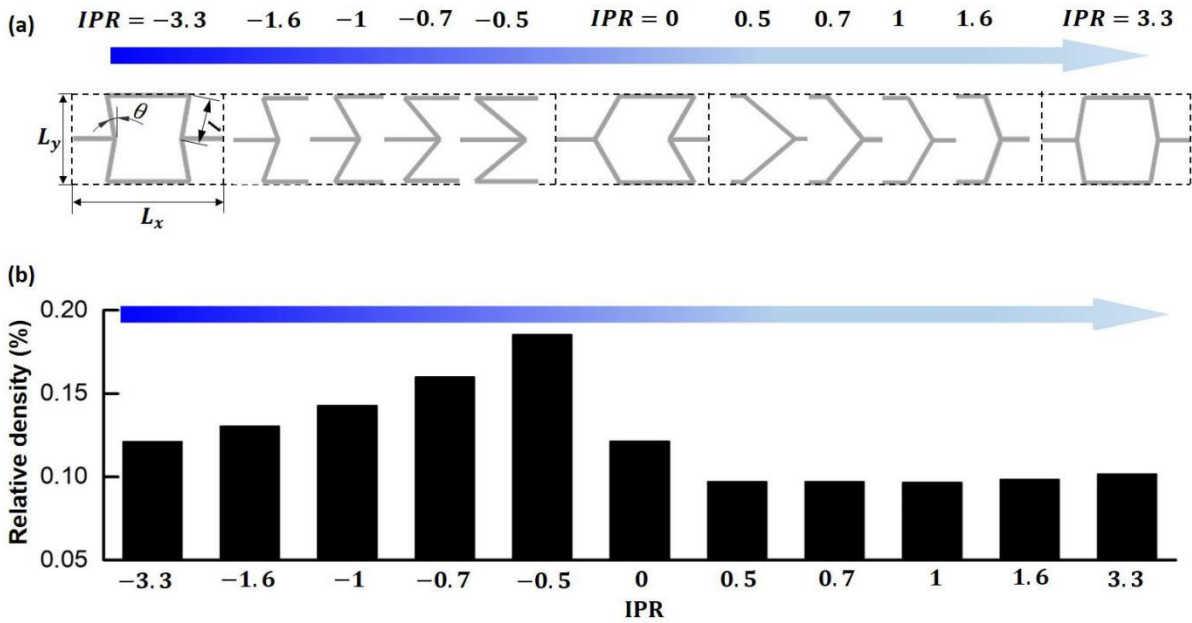


214  
 215 **Fig. 6.** Comparison between the results from experiment [34] and numerical study in terms of  
 216 deformation modes and force-displacement curves.

217 **4 Numerical results and discussions**

218 As mentioned above, the topology of hexagonal cell affects the crushing behavior of  
 219 honeycomb matrix. The unit cell dimensions  $L_x$  and  $L_y$  are kept the same for different cell  
 220 topologies. The unit cell topologies with IPR from -3.3 to 3.3 are presented in **Fig. 7 (a)**. It  
 221 should be noted that given the cell wall thickness of 0.2 mm, the relative density of different  
 222 honeycombs varies with IPR, as show in **Fig. 7 (b)**. The influence of relative density on the  
 223 crushing behavior is discussed in the following section.

224



225

226 **Fig. 7.** (a) Schematic diagram of unit cells with different IPR values; (b) Relative density of  
 227 unit cells with different IPR values.

#### 228 4.1 Classification of deformation modes

229 **Fig. 8** shows the map of typical deformation modes of the honeycombs with different IPR.  
 230 The deformation modes are categorized into three types in green, grey and blue, named as mode  
 231 1, 2 and 3, respectively. **Fig. 8** (a) shows the major difference between three modes. When the  
 232 crushing speed is 5 m/s, the cell collapse bands initiate at both the proximal and distal ends,  
 233 the deformation pattern with cross-shape is classified as mode I. With the crushing speed  
 234 increased to 15m/s, the cell collapse bands initiate at the proximal end only and such pattern is  
 235 classified as mode II. When the crushing speed reaches 70m/s, the cell collapse in a progressive  
 236 manner from the proximal end (like an 'I' shape), the pattern is categorized into mode III. The  
 237 cross-shape collapse band in mode I and II is due to the lateral constraint effect of the  
 238 honeycomb cell from center to the sides [35]. With zero IPR, the honeycomb experiences  
 239 neither contracting nor expanding transversely and the lateral constraint effect is too weak to  
 240 affect the deformation pattern, hence the cross-shape band is replaced by the progressive  
 241 collapse band. It should be noted that honeycomb with zero IPR experiences no mode II. **Fig.**  
 242 **8** (b) shows the influence of IPR on the deformation modes of honeycombs and three columns  
 243 represent mode I, II and III, respectively. In mode I, the collapsed bands gradually focus to the  
 244 proximal end with the IPR increase in magnitude, as indicated in orange arrow in **Fig. 8** (b). It  
 245 implies that the honeycombs with the higher absolute value of IPR have higher wave  
 246 impedance and the stress wave transmitted to the distal end is not intense enough to collapse  
 247 more cells. The mode II shows the opposite trend and the honeycombs with lower absolute

248 value of IPR have higher wave impedance. In mode III, the deformation modes are dominated  
249 by the stress wave propagation which are almost the same for different IPR values.

250 To identify the deformation mode regime, two sets of critical crushing speed are  
251 determined, termed as wave trapping and steady shock speed [35], respectively. Wave trapping  
252 speed  $V_W$  is the crushing speed at which the mode I transfers to the mode II, and the major  
253 difference is that the cell collapse bands initiate at the proximal end, instead of both ends.  $V_W$   
254 is given as follows [35, 36]:

$$V_W = \int_0^{\varepsilon_{cr}} \sqrt{\frac{d\sigma_0}{d\varepsilon} \frac{1}{\rho^*}} d\varepsilon \quad (27)$$

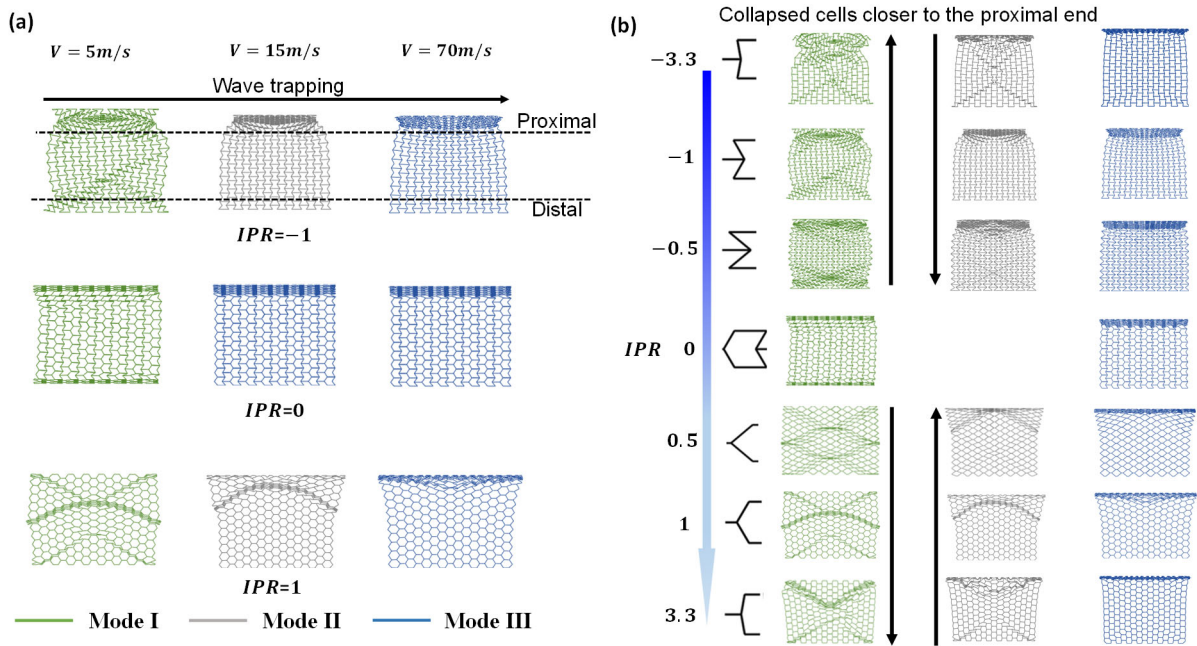
255 where  $\varepsilon_{cr}$  is the strain of the first peak in the quasi-static stress-strain curve and  $\rho^*$  is the  
256 relative density of honeycomb. The steady shock speed  $V_S$  is given when the mode III turns up  
257 with the cells collapsed in a ‘shock’ like manner (‘I’ shape collapsed band) [36]:

$$V_S = \sqrt{2\sigma_0\varepsilon_d/\rho^*} \quad (28)$$

258 where  $\varepsilon_d$  is the densified strain and its analytical model is given in the next section, . With Eq.  
259 (27) and (28), the general trend of fitting results in terms of critical crushing speed with respect  
260 to different IPR values are presented in **Fig. 9**. A classification map of deformation modes with  
261 respect to IPR values and crushing speed is given. It is found that the honeycombs with negative  
262 IPR generally have lower critical speed as compared to that with positive IPR of the same  
263 magnitude. Besides, honeycombs with higher absolute value of IPR associate with higher  
264 critical speed. Eq. (27) and (28) reveal that lower relative density ( $\rho^*$ ) or higher static plateau  
265 stress ( $\sigma_0$ ) can lead to the increase of critical speed. For honeycombs with the higher absolute  
266 value of IPR, lower relative density and higher static plateau stress can be achieved  
267 simultaneously. For example, the IPR -3.3 results in a lower relative density as compared to  
268 the IPR -0.5, as shown in **Fig. 7 (b)**, it also has a higher static plateau stress as can be inferred  
269 from coefficient A in **Fig. 5**. The critical speed for the structure with IPR -3.3 is therefore higher  
270 than that with IPR -0.5. As reported in [36], the critical speed for deformation modes changing  
271 is determined by the shock wave trapping capability of honeycomb, which mainly depends on  
272 the micro-inertia effect of cells. The micro-inertia effect is determined by the relative density.  
273 The higher relative density yields more significant micro-inertia effect and a lower critical  
274 speed. This is the reason that the honeycomb with negative IPR has lower critical speed as  
275 compared to the honeycomb with positive IPR as shown in **Fig. 9**.

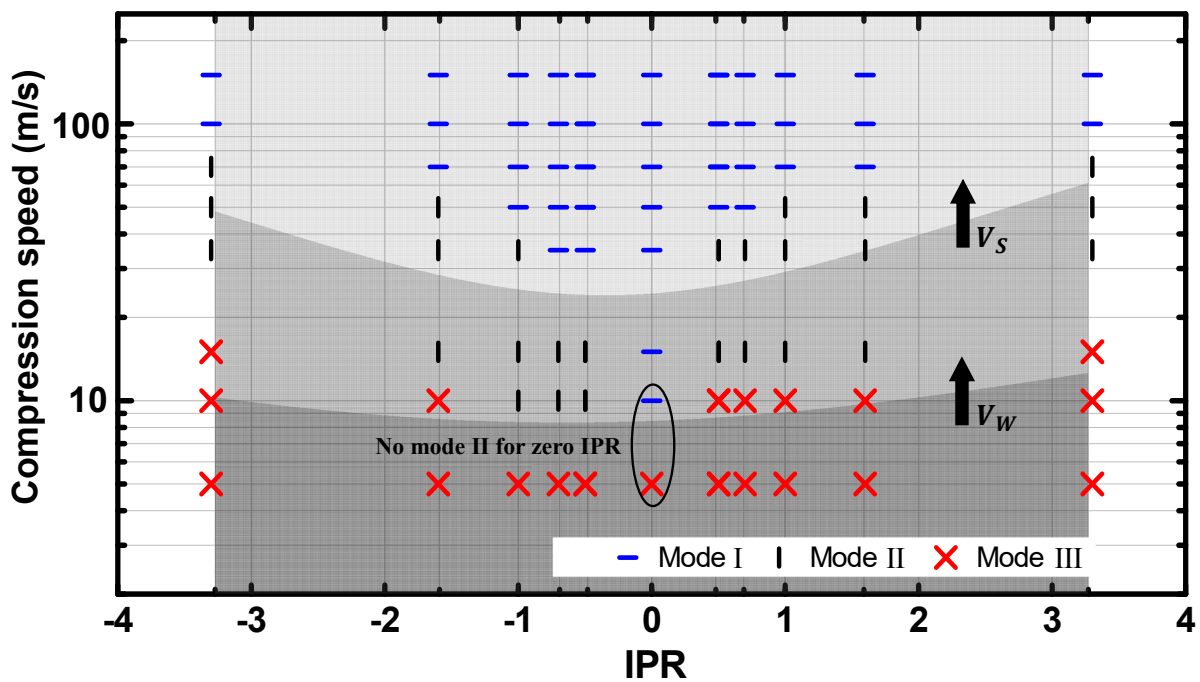
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278 **Fig. 8.** (a) Deformation mode I, II and III; (b) Influence of IPR on the deformation modes (green  
 279 area: mode I; grey: mode II; blue: mode III).



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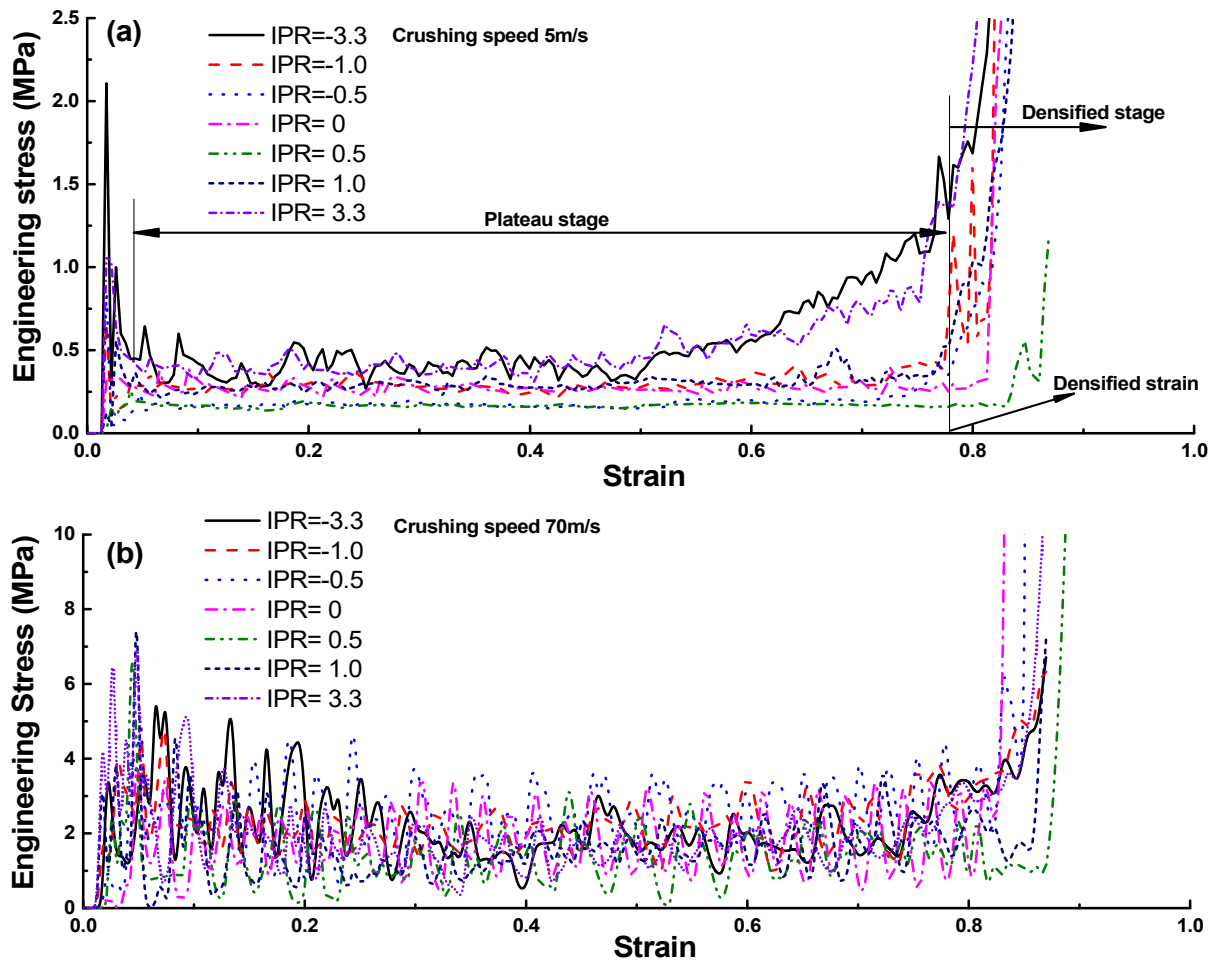
281 **Fig. 9.** Deformation-mode map with respect to IPR and crushing speed; critical speed of  $V_s$ ,  
 282  $V_w$  (a lower critical speed represents a stronger micro-inertia effect).

## 283 4.2 Crushing behaviors

### 284 4.2.1 Plateau stress

285 **Fig. 10** gives the typical engineering stress-strain curves with respect to different IPR  
 286 values, in which engineering stress is defined as the ratio of contact force of proximal end to

287 the original sectional scale of the honeycomb. From the previous studies [9, 21, 32], the  
 288 crushing behavior in the plateau stage is the most concerned. The dynamic plateau stress is  
 289 calculated as the average stress in the plateau stage. As shown in Fig. 10 (a) and (b), the plateau  
 290 stress increases dramatically with the rising crushing speed. Higher crushing speed also induces  
 291 more dramatic fluctuations of stress-strain curves. Densified strain is determined by the rapid  
 292 rise point in the stress-strain curves and the densified strain increases with the rising crushing  
 293 speed.



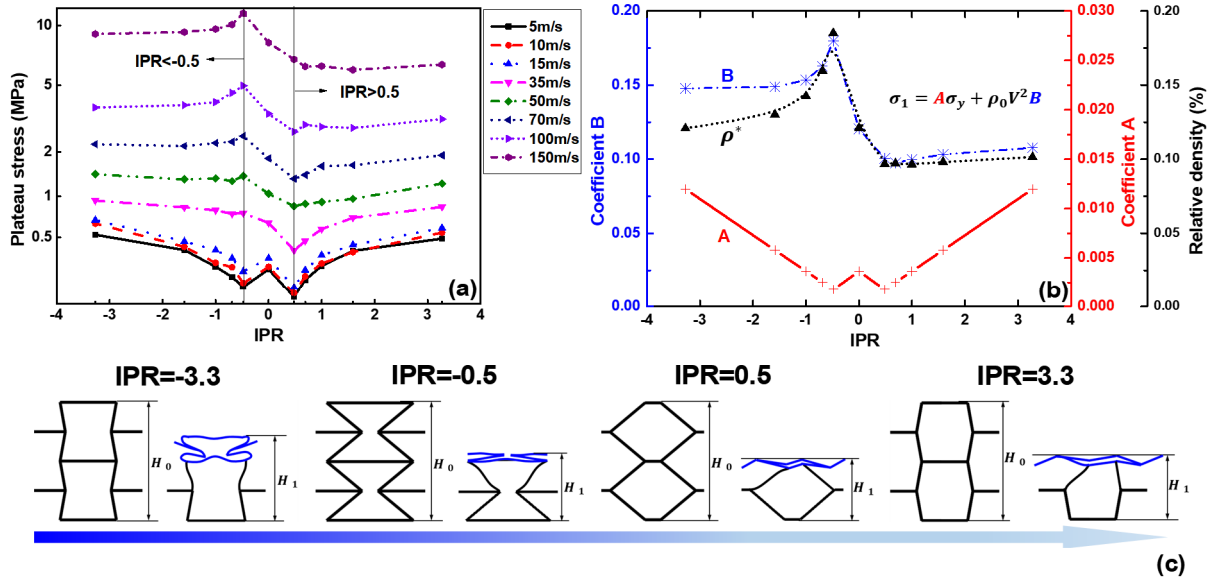
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 295 **Fig. 10.** Engineering stress-strain curve with respect to different IPR values: (a) 5m/s; (b) 70m/s.

296 **Fig. 11 (a)** gives the relationship of dynamic plateau stress  $\sigma_d$  and the IPR under various  
 297 crushing speed. Generally, the dynamic plateau stress is significantly increased with the rising  
 298 crushing speed, which is due to the strain rate enhancement induced by the micro-inertia effect.  
 299 The influence of IPR on the dynamic plateau stress can be presented as below. When  $IPR <$   
 300  $-0.5$ , the dynamic plateau stress increases with the rising absolute value of IPR when the  
 301 crushing speed changes from 5 m/s to 50 m/s, it decreases with the rising absolute value of IPR  
 302 when the crushing speed changes from 70 m/s to 150 m/s. When  $-0.5 \leq IPR \leq 0.5$ , the



303 dynamic plateau stress decreases with the rising IPR value, but when the crushing speed is  
304 below 35 m/s, the dynamic plateau stress jumps at the zero IPR. When  $IPR > 0.5$ , the dynamic  
305 plateau stress increases with the rising absolute value of IPR when the crushing speed changes  
306 from 5m/s to 35m/s. However, when the crushing speed is over 35m/s, the influence of IPR on  
307 the dynamic plateau stress is less significant. In addition, within the entire speed range (5 m/s  
308 -150 m/s), the dynamic plateau stress of structure with negative IPR is in general higher than  
309 that of positive IPR of the same magnitude due to the micro-inertia effect caused by higher  
310 relative density.

311 To better compare with the numerical results, coefficients A and B from the analytical  
312 model are presented in **Fig. 11 (b)**. By comparing **Fig. 11 (a)** and (b), the relationship between  
313 coefficients and the dynamic plateau stress is very clear. With the crushing speed changing  
314 from 5 m/s to 15 m/s, the dynamic plateau stress in general follows the trend of static coefficient  
315 A. However, due to the sectional change induced by IPR effect is not considered in the  
316 analytical model, the relatively higher  $\sigma_d$  of negative IPR is not predicted by the static  
317 coefficient A. For example, the coefficient A is equal for honeycombs with  $IPR = \pm 0.5$ , but  
318 the plateau stress of IPR -0.5 is obviously larger than that of IPR 0.5 when crushing speed is 5  
319 m/s. The reason for the jump of  $\sigma_d$  at zero IPR is that the zero IPR actually represents a series  
320 of topologies, but only one topology is studied herein which has the same  $\theta$  and  $l$  as that  
321 of  $IPR = \pm 1$ . Hence, the coefficient A of zero IPR is similar to that of  $\pm 1$  and so does the  
322 dynamic plateau stress. Under relatively high crushing speed (e.g. 150 m/s), the trend of plateau  
323 stress is dominated by the dynamic coefficient B. It is well known that the stress enhancement  
324 under higher crushing speed is attributed to the micro-inertia effect of structures [21, 35, 36],  
325 and the micro-inertia effect is significantly affected by the relative density. The curve of relative  
326 density in **Fig. 11 (b)** indicates its influence on the coefficient B. However, the dynamic  
327 coefficient B is not only affected by the relative density but also the IPR effect, as the deviation  
328 between coefficient B and relative density is enlarged with the rising absolute value of IPR. As  
329 given in Eq. (15), the plateau stress is increased by shorter time interval ( $t_1 - t_0$ ) which can be  
330 calculated as  $(H_0 - H_1)/V$ . As shown in **Fig. 11 (c)**,  $H_1$  increases with the rising absolute value  
331 of IPR which results in a shorter time interval, the plateau stress (i.e. coefficient B) therefore  
332 improves more significantly. The deviation of the curves between coefficient B and relative  
333 density is enlarged.



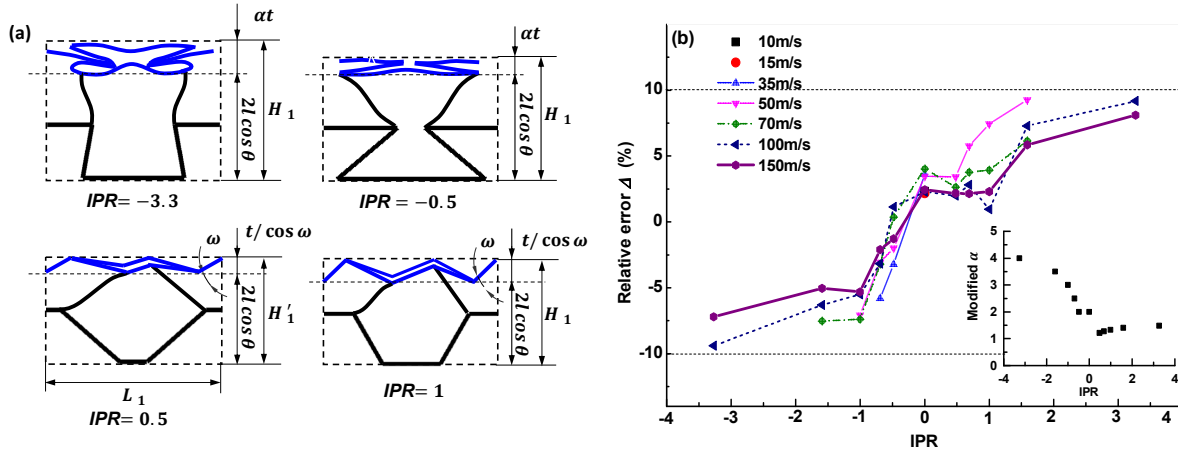
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**Fig. 11** (a) Variation of dynamic plateau stress with respect to IPR values and crushing speed; (b) Relative density and coefficients A (static) and B (dynamic) in Eq. (25) of honeycombs with different IPR values; (c) Representative deformation elements (RDEs) of honeycombs with IPR of  $\pm 3.3$  and  $\pm 0.5$ .

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To verify the accuracy of analytical model, the error  $\delta$  of the dynamic plateau stress obtained from numerical simulation and analytical calculation, defined as  $\Delta = 100\% \times (\sigma_1 - \sigma_a) / \sigma_a$ , is used to verify the accuracy of analytical model. Since the sectional change induced by IPR effect is not considered in the RDEs, the analytical model underestimates the dynamic plateau stress of structure with negative IPR and overestimates that with positive IPR. The case with zero IPR yields good accuracy. By comparing the numerical results, the RDEs can be modified to further improve the accuracy of analytical model. From **Fig. 4** (b), the height of RDE at  $T = T_1$  is defined as  $H_1 = 2l \cos \theta + \alpha t$  (Eq. (20)), where  $\alpha$  is set as 4 for both the re-entrant and semi-re-entrant honeycombs, and 2.67 for the convex honeycomb in the original analytical model. However, as shown in **Fig. 12** (a), it is reasonable to set  $\alpha = 4$  for the case with IPR -0.5, but the height of collapsed cell increases for IPR -3.3 and  $\alpha$  should also increase accordingly. For the positive IPR,  $\alpha$  is derived from [23]:  $\alpha = 1 / \cos \omega$ , where angle  $\omega$  is a variant with different IPR values and its value can be derived by equating  $L_1$  to the projection of the boundary ripples within the RDE in the lateral direction [23]. The corresponding value of the modified  $\alpha$  is presented in **Fig. 12** (b) and the error  $\delta$  of dynamic plateau stress between the numerical results and analytical results using modified analytical model. It should be noted that only the results of mode III are presented here. For the other two modes, the accuracy is relatively low because of the localized deformation assumption (as shown in **Fig. 8** (a)) in the

358 analytical model. It is found that the accuracy is improved with the rising crushing speed and  
 359 it is reduced with the rising absolute value of IPR. In general, the modified analytical model  
 360 can yield an acceptable accuracy (less than 10% relative error) to predict the dynamic plateau  
 361 stress of honeycombs with the deformation mode III.  
 362



363  
 364 **Fig. 12.** (a) Schematic diagram of RDEs for modified analytical model (refer to **Fig. 2** (a) for  
 365 the geometry); (b) Relative error  $\delta$  between the dynamic plateau stress of modified analytical  
 366 model and numerical model (only the results for mode III are shown).

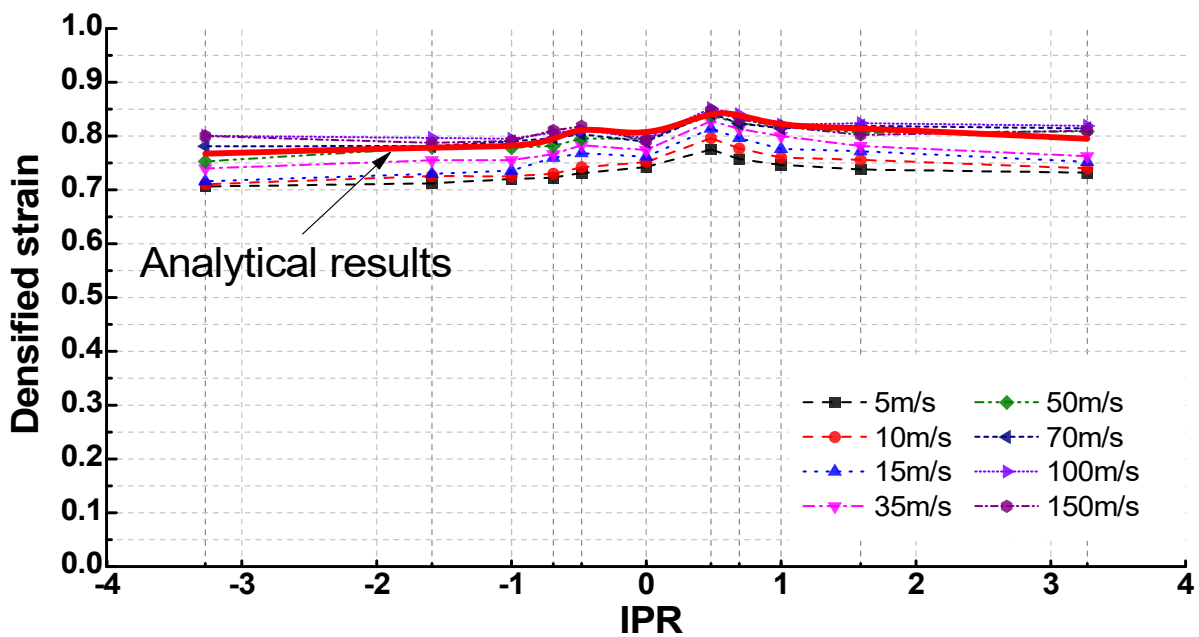
#### 367 4.2.2 Densification

368 **Fig. 13** gives the densified strain which is defined by the rapid rise point in the stress-  
 369 strain curves as shown in **Fig. 10**. The stress enhancement induced by the rising crushing speed  
 370 results in the higher densified strain. Meanwhile, when the crushing speed further increase, the  
 371 improvement of densified strain is less significant, since the deformed area tends to full  
 372 densification. It should be noted that the crushing speed has less influence on the densified  
 373 strain of zero IPR, attributed to its stable deformation mode evolution. **Fig. 13** also shows that  
 374 the higher IPR absolute value results in lower densified strain. This can be explained by the  
 375 increased height of the compressed RDEs of honeycombs with the rising absolute value of IPR,  
 376 as shown in **Fig. 12** (a), which obviously results in a lower densified strain. In addition, because  
 377 of the shrink behavior of the cells, the crushed honeycombs with negative IPR in general have  
 378 larger residual height and lower densified strain, which was also reported in ref. [1].

379 According to Eq. (9), the dynamic plateau stress  $\sigma_d$  can be given as  $\sigma_0 + \frac{\rho^*}{\varepsilon_d} V^2$  [32]. In  
 380 the section 2.2,  $\sigma_d$  is determined by Eq. (24). By matching the term  $\frac{\rho^*}{\varepsilon_d} V^2$  in Eq. (9) with the  
 381 term related to  $V^2$  of Eq. (24), and substituting the analytical expression of the relative density  
 382  $\rho^*$ , the analytical expression of the densified strain  $\varepsilon_d$  is given as below:

$$\varepsilon_d = \frac{(4l + 3h)(2l \cos \theta - at)}{4l \cos \theta (2l + h)} \quad (30)$$

383 The predicated  $\varepsilon_d$  by using Eq. (30) is given as the red solid line in Fig. 13. As compared with  
 384 the numerical results, the analytical expression of  $\varepsilon_d$  is only related to the IPR values but not  
 385 associated with the crushing speed. In general, the densified strain of honeycomb structure is  
 386 mainly determined by its porosity (or relative density) [9]. However, different crushing speeds  
 387 lead to various deformation modes, the analytical model gives a good prediction on the  
 388 densified strain for mode III, as shown in Fig. 9. The densified strain is slightly overestimated  
 389 for mode I and II by using the analytical model due to the different deformation mode.



390  
 391 **Fig. 13.** Densified strain with respect to various IPR values and crushing speed. The red solid  
 392 line represents the densified strain derived from analytical expression with respect to different  
 393 IPR values.

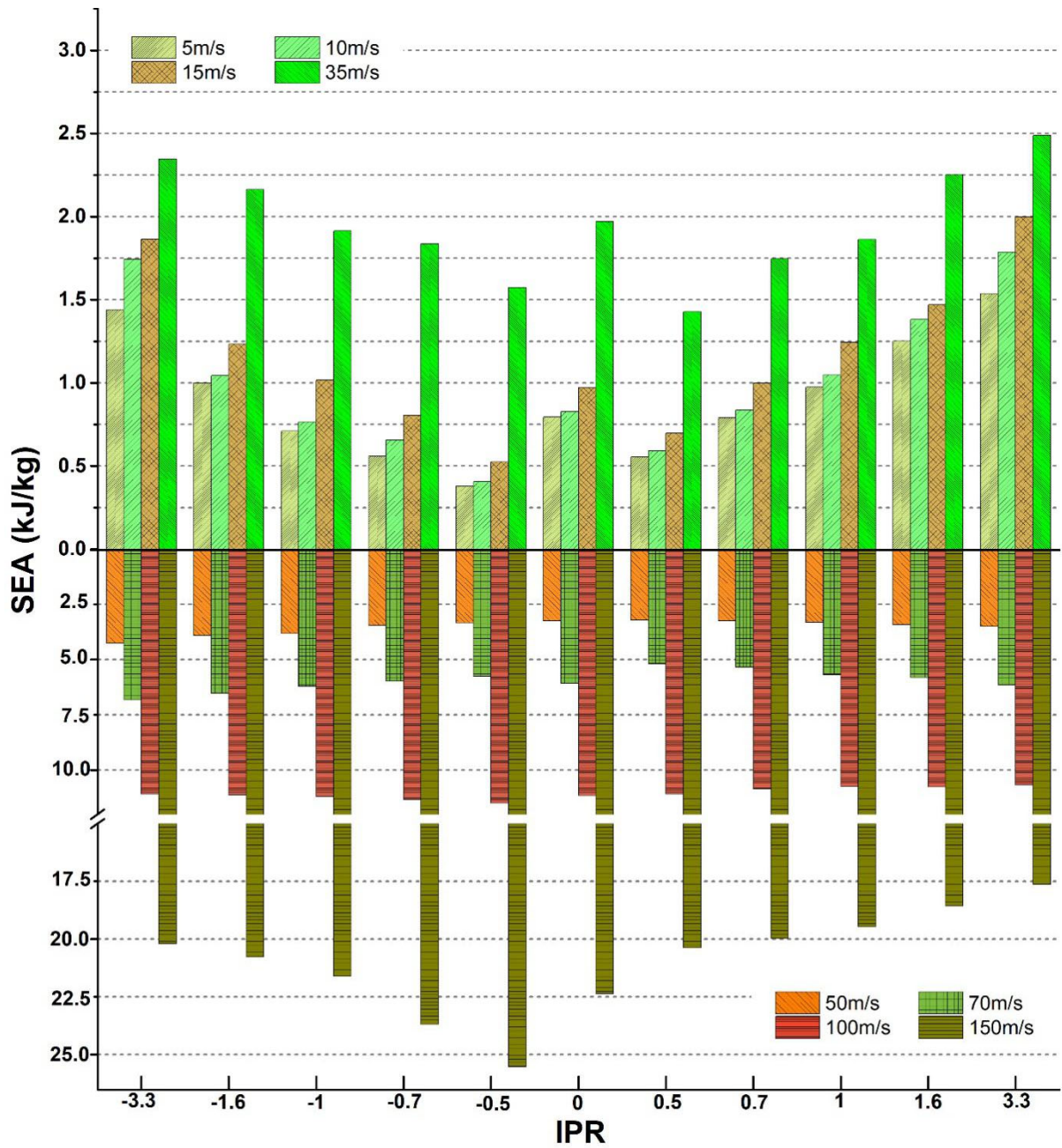
#### 394 4.2.3 Energy absorption

395 Energy absorption is defined as the enclosed area below the stress-strain curves as shown  
 396 in Fig. 10. Hence, it is determined by both plateau stress and densified strain. Due to different  
 397 relative density of honeycombs with various IPR, the specific energy absorption (SEA) is used  
 398 to analyze the influence of IPR on the energy absorption capacity. Fig. 14 gives the SEA from  
 399 numerical results with respect to IPR and crushing speed.

400 The rising crushing speed improves the SEA constantly due to the stress enhancement that  
 401 is induced by inertia effect (as shown in Fig. 11 (a)). When the crushing speed changes from  
 402 5 m/s to 70 m/s, the SEA of honeycombs with different IPR values (except for the zero IPR)

403 increases with the rising absolute value of IPR. However, the SEA decreases with the rising  
404 absolute value of IPR when the crushing speed is over 70 m/s. For example, the honeycomb  
405 with IPR -0.5 has the lowest SEA when crushing speed is 5 m/s, while it has the largest SEA  
406 when the crushing speed is 150 m/s. In addition, when the crushing speed changes from 5 m/s  
407 to 35 m/s, it is found that the SEA of structure with positive IPR is higher than that with negative  
408 IPR of the same magnitude (e.g.  $IPR = \pm 1$ ). Although the plateau stress of structure with  
409 negative IPR is higher than that of positive IPR, the honeycomb with positive IPR has lower  
410 relative density and higher densified strain, as shown in **Fig. 7 (b)** and **Fig. 13**. Meanwhile, the  
411 variation of the plateau stress between honeycombs with different IPR is relatively small in this  
412 speed range, e.g. the variation between the plateau stress of  $IPR = \pm 1$  is less than 0.05 MPa,  
413 as shown in **Fig. 11 (a)**. When the crushing speed is over 35 m/s, the negative IPR yields higher  
414 SEA than the positive IPR of the same magnitude due to much higher plateau stress of negative  
415 IPR, as shown in **Fig. 11 (a)**.

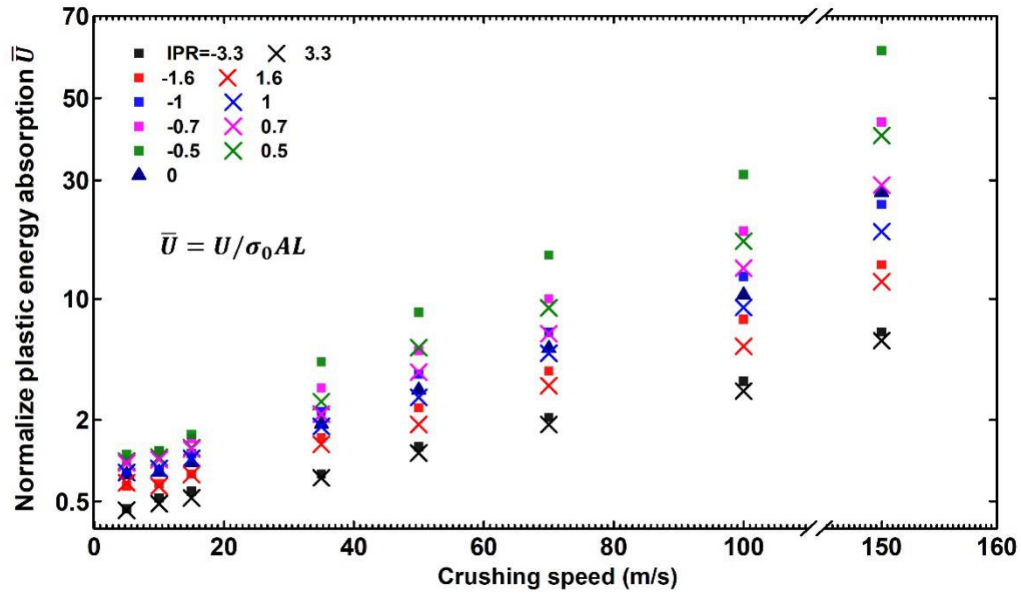
416 According to the previous work [22], a normalized plastic energy absorption  $\bar{U}$  is used to  
417 analyze crushing speed effect on the energy absorption capacity. It normalizes the plastic  
418 energy absorption  $U$  by the ideal static plastic energy absorption. The ideal static plastic energy  
419 absorption is calculated as the energy dissipated by a completely crushed honeycomb under a  
420 quasi-static crushing speed which can be calculated as  $\sigma_0 SL$ , where  $\sigma_0$  refers to the static  
421 collapse stress,  $S$  is the sectional area and  $L$  is the total length of honeycomb along the crush  
422 direction. The normalized plastic energy absorption  $\bar{U}$  with respect to the crushing speed and  
423 IPR is plotted in **Fig. 15**. A higher value of  $\bar{U}$  means that crushing speed effect has more  
424 significant improvement on the energy absorption of honeycomb. It is found that the  
425 honeycombs with negative IPR has more sensitive crushing speed dependency than that with  
426 the positive IPR of the same magnitude (e.g.  $IPR = \pm 1$ ). It explains why the honeycomb with  
427 negative IPR gradually yields higher SEA than that with positive IPR when the crushing speed  
428 increases (as shown in **Fig. 14**). In addition,  $\bar{U}$  decreases with the rising absolute value of IPR.  
429 The improvement on the energy absorption that induced by crushing speed is therefore more  
430 significant for the honeycomb with lower absolute value of IPR and the honeycomb with lower  
431 absolute value of IPR yields higher SEA when the crushing speed increases (as shown in **Fig.**  
432 **14**).



433

434 **Fig. 14.** Specific energy absorption (SEA) of honeycombs with respect to different crushing  
 435 speed and IPR values.





436

437 **Fig. 15.** Normalized energy absorption ( $\bar{U}$ ) of honeycombs with respect to different crushing  
 438 speed and IPR values.

439 **5 Conclusions**

440 In this study, the in-plane crushing behaviours of hexagonal honeycomb with different  
 441 initial Poisson's ratios (IPR) are investigated through analytical and numerical methods. In the  
 442 analytical study, the relationships between dynamic plateau stress and different IPR are derived.  
 443 In the numerical study, comparative studies on various hexagonal honeycombs are conducted.  
 444 The main findings can be drawn as below.

- 445 a) Three typical deformation modes (type I, II and III) under various crushing speeds are  
 446 classified for the honeycombs with different IPR values. It should be noted the mode III is  
 447 the most stable progressive deformation mode and the influence of material defect on the  
 448 deformation mode is minimal among the three deformation modes. Two sets of critical  
 449 speed are identified for the deformation mode changes from I to II and II to III, respectively  
 450 [35]. It is found that the critical speed increases with the higher absolute value of IPR. In  
 451 addition, the honeycomb with negative IPR yields lower critical speed as compared to that  
 452 with positive IPR. The lower critical speed indicates that the honeycomb is prone to  
 453 experience deformation mode III and a better shock wave trapping capability can be  
 454 obtained [36].
- 455 b) When the crushing speed changes from 5 m/s to 50 m/s, the plateau stress  $\sigma_d$  in general  
 456 increases with the rising absolute value of IPR. However, when crushing speed is over 50  
 457 m/s, the plateau stress decreases with the rising absolute value of negative IPR, while the  
 458 positive IPR has less significant influence on it. In addition, the plateau stress of honeycomb

459 with negative IPR is higher than that with positive IPR of the same magnitude (e.g.  $\pm 1$ ),  
460 the disparity is enlarged with the rising crushing speed. As found in the analytical study,  
461 the influence of IPR on the plateau stress is mainly achieved by affecting the micro-inertia  
462 effect and the collapsed cell shape.

463 c) SEA (Specific Energy Absorption) increases with the rising absolute value of IPR when  
464 crushing speed changes from 5 m/s to 70 m/s. However, the trend of SEA with respect to  
465 IPR is opposite when the crushing speed is over 70 m/s. The honeycombs with positive IPR  
466 can provide higher SEA than that with negative IPR of the same magnitude when the  
467 crushing speed is relatively low (5 m/s to 15 m/s). With the increasing crushing speed (from  
468 35 m/s to 150 m/s), honeycombs with negative IPR exhibit better energy absorption  
469 capacity. The normalized plastic energy absorption shows that the honeycombs with the  
470 rising absolute value of IPR exhibit higher crushing speed sensitivity and the negative IPR  
471 in general exhibits higher speed sensitivity as compared to the positive IPR.

472 d) The honeycomb with zero IPR has the same cell wall geometry but different topology as  
473 that of IPR =  $\pm 1$ . It has higher plateau stress than that with IPR = 1 and lower than that  
474 with IPR =  $-1$  at various crushing speeds. In addition, the honeycomb with zero IPR  
475 yields better energy absorption capability as compared to the honeycombs with IPR  $\pm 1$  at  
476 the higher crushing speed (e.g. 100 m/s, 150 m/s).

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