

1 Downscaling algorithms for annual TRMM data based on climatic and
2 orographic variables over the Qinling Mountains, China

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23 **Abstract**

24 Obtaining the gridded precipitation data with a high resolution in mountainous area is
25 of importance in hydrology, meteorology, and ecology. However, rain gauge
26 observations and satellite - based precipitation products have its own shortcomings.
27 Precipitation in mountainous area has correlation with variables like elevation, slope,
28 and temperature. In this study, we applied a downscaled algorithm called
29 Geographically Weighted Regression (GWR) to obtain a fine resolution (1km)
30 gridded precipitation data from the Tropical Rainfall Measuring Mission (TRMM)
31 data at 0.25° resolution based on an assumption that precipitation in mountainous area
32 has correlation with some orographic factors (elevation, slope, and aspect) and
33 climatic factors (temperature, wind velocity, and humidity). The results indicated that
34 (1) GWR improved the accuracy of TRMM data in the Qinling Mountains ($r = 0.86$,
35 $BIAS = - 2.77 \%$, and $RMSE = 93.24 \text{ mm}$ for annual downscaled precipitation during
36 2013 - 2015 periods, and $r = 0.71$, $BIAS = - 3.60 \%$, and $RMSE = 99.31 \text{ mm}$ for
37 annual TRMM data during 2013 - 2015 periods). (2) GWR showed a good
38 performance in the southern part of the Qinling Mountains, while showed a worse
39 performance in the northeast part of the Qinling Mountains. (3) Not only orographic
40 factors but climatic factors were all essential in downscaling precipitation in
41 mountainous areas. The more input factors, the more accurate downscaled result
42 derived from GWR.

43

44 **Keywords:** precipitation; downscaling, GWR; TRMM; the Qinling Mountains

45 **Introduction**

46 As one of the crucial climatic factors, precipitation not only participates in water
47 cycle for material and energy exchange, but also is the main source of surface fresh
48 water and the basic material basis for crop growth. Precipitation may also induce
49 drought and flood disasters and secondary geological disasters caused by it. Gridded
50 precipitation data with a high spatial and temporal resolution is one of the initial
51 inputs for hydrological models, climate prediction, and drought monitoring
52 (Spracklen et al., 2012; Mou Leong Tan Vivien P et al., 2018). Point measurement
53 could not reflect the actual temporal and spatial changes of precipitation in
54 mountainous area because of instrumental limitations, sparse and uneven gauge
55 distributions (Tang et al., 2018).

56 At present, spatial interpolation and satellite detection are effective ways to
57 obtain gridded precipitation data. However, different interpolation methods could get
58 different results, and usually the error is relatively large. With the advance in remote
59 sensing technology, there are diverse range of satellite products, such as the Tropical
60 Rainfall Measurement Mission (TRMM) Multi - satellite Precipitation Analysis
61 (Huffman et al., 2007), the Climate Prediction Center (CPC) morphing technique
62 (Joyce et al., 2004), Precipitation Estimation from Remotely Sensed Information
63 using Artificial Neural Network (Sorooshian et al., 2000). TRMM have been widely
64 used with a better performance which is favored for the development of the
65 methodology as it is an operational product (Dinku et al., 2007; Li and Shao, 2010;
66 Gefei et al., 2017; Hunink et al., 2014; Chen et al., 2018; Yueyuan et al., 2018; Ma et

67 al., 2017; Jian et al., 2013). The accuracy of TRMM data is in good agreement with
68 the measured stations at low altitudes, especially at high altitudes is uncertain
69 (Stampoulis and Anagnostou, 2012; Tian and Peters - Lidard, 2010). Although these
70 current satellite precipitation products span a wide range, the resolution is relatively
71 coarse. This makes to acquire the accurate precipitation grid data with a high temporal
72 and spatial resolution a key challenge currently, especially in data - lacking
73 mountainous area, which has a complicated terrain condition. In addition, satellite -
74 based precipitation datasets contain inherent uncertainty derived from retrieval
75 algorithms, topographic errors, and clouds (Adhikary et al., 2015; Chen, 2013).

76 Precipitation changes in mountainous areas are often related to topography, slope,
77 aspect and other micro - topographical characteristics, which can deform the wind
78 fluxes and perturbation and make accuracy of satellite precipitation products not
79 guaranteed (Wang and Georgakakos, 2003). Terrain conditions are also considered to
80 be a significant factor in correcting precipitation accuracy in many studies (Shaofeng
81 et al., 2011; Duan and Bastiaanssen, 2013). Theoretically, the higher elevation, the
82 more humid of air masses, result in precipitation. Also, aspect could alter the direction
83 of airflow, thus determining the excess or deficit of precipitation. As for slope, a
84 gradient of vertical airflow may control the intensity and area of precipitation (Badas
85 et al., 2005). Therefore, it is necessary and urgent to downscale satellite precipitation
86 products in mountainous areas considering orographic factors. Jian et al. (2013)
87 downscale precipitation based on the correlations between observed precipitation and
88 orographic factors, such as slope, aspect and terrain roughness, as well as humidity

89 and temperature. Shaofeng et al. (2011) improved downscaling result by adding
90 elevation data. Meanwhile, a lot of efforts have been made to obtain high resolution
91 grid precipitation data (Lu et al., 2019; Xu et al., 2015). Immerzeel et al. (2009)
92 corrected the satellite precipitation product by adding normalized difference
93 vegetation index (NDVI). Different statistical methods could be applied to downscale
94 satellite precipitation products to a high resolution and get better correction results.
95 Duan and Bastiaanssen (2013) adopted geographical differential analysis (GDA) and
96 geographical ratio analysis (GRA) to generate a monthly TRMM data at 1 km
97 resolution. Geographically weighted regression (GWR) was proposed by Xu et al.
98 (2015) and Lu et al. (2019) to downscale the satellite precipitation data over the
99 Tianshan Mountains, and results showed GWR method outperformed other statistical
100 methods. Quadratic parabolic profile (QPP) model for downscaling TRMM data was
101 introduced by Yueyuan (2018), which had higher accuracies than other commonly
102 used methods. Ma et al. (2017) implemented a spatial data mining algorithm called
103 Cubist to downscale the TRMM data from 0.25° to 1 km resolution over the Qinghai -
104 Tibet Plateau. Although prior researches were based on vegetation and terrain factors
105 when downscaling, some scholars pointed out that other factors such as land use and
106 temperature could also affect precipitation when downscaling the satellite data (Chen
107 et al. 2015; Immerzeel et al., 2009; Shaofeng et al., 2011; Foody, 2003; Yang G et al.,
108 2012).

109 Although there are many downscaling methods to obtain a specific and higher
110 resolution precipitation data based on different satellite sources of data, challenges

111 also exist in downscaling in mountainous areas due to lack of observations and with
112 complicated terrain and variation in precipitation. As a transitional zone in China, it is
113 the geographical boundary of south China and north China, sub - humid and sub - dry
114 regions, warm and sub - tropical regions, it's also famous for large altitude difference,
115 with a peak altitude is as high as 3771.2 m. In this study, we applied geographically
116 weighted regression (GWR) which was designed to deal with spatial heterogeneity
117 and widely used in downscaling satellite precipitation product (Brunsdon et al., 1996;
118 Xu et al., 2015; Chen et al., 2018.) to downscale the TRMM 3B43 V7 data from 0.25°
119 to 1 km resolution considering the impacts of topographical and climatic factors on
120 precipitation. NDVI was omitted in our study as some studies showed that the effect
121 of vegetation on precipitation has time lag about 2 - 3 months (Immerzeel et al., 2005;
122 Heidinger et al., 2012). The specific aims of this study are to downscale satellite
123 precipitation into a higher resolution (1km) using GWR method, considering not only
124 topographic factors like elevation, slope and aspect but climatic factors like
125 temperature, humidity and wind velocity.

126

127 **1. Study area and data**

128 **1.1 Study area**

129 The Qinling Mountains (32°54' - 34°35'N, 105°30' - 111°3'E) occupies the
130 southern part of Shaanxi Province in central China. Carrying a total area of 61,900
131 km² (Fig. 1). The elevation of the Qinling Mountains ranges from 195 m to 3771.2 m.
132 It stretches as far as 400 - 500 km from east to west and 120 - 180 km from south to

133 north. As a transitional zone in China, it is the geographical boundary of south China
134 and north China, sub - humid and sub - dry regions, warm and sub - tropical regions.
135 The south region receives the highest annual precipitation of 1156 mm, while the
136 northern region receives 545 mm average precipitation annually. The average annual
137 precipitation is approximately 825 mm. More than 70% of the annual precipitation
138 observed from May to September in the Qinling Mountains, and more precipitation is
139 detected in the south and less in the north (Shaozhuang et al., 2018)

140 **1.2 Data**

141 1.2.1 TRMM dataset

142 TRMM is a joint project of the National Aeronautics and Space Administration
143 (NASA) and Japan Aerospace Exploration Agency (JAXA) launched on 27 November
144 1997, with the aim of monitoring and studying rainfall in tropical and subtropical
145 regions between 50° N and 50°S globally (Kummerow et al., 1998). TRMM carries
146 several precipitation measuring instruments, including the Precipitation Radar (PR),
147 the TRMM Microwave Imager (TMI) and the Visible & Infrared Scanner (VIRS).
148 Several algorithms have been developed to retrieve precipitation using information
149 from these instruments and has provided valuable information on rainfall and easy to
150 obtain (Haddad, 1997; Iguchi et al., 2016; Huffman et al., 2007). The Tropical
151 Rainfall Measuring Mission (TRMM) 3B43 Version 7 with a resolution of 0.25° is a
152 standard monthly precipitation dataset from 2013 to 2015 and was obtained from
153 NASA.

154 1.2.2 Digital Elevation Model data (DEM)

155 The DEM used in this study was collected from Shaanxi Bureau of Surveying,
156 Mapping and Geoinformation at 25 m spatial resolution. For further study, it was
157 resampled to 1 km by Bi - linear method. Other input layers of the model like slope
158 (Slo) and aspect (Asp) were all derived from DEM, and these orographic variables
159 were transformed into the same resolution of 1 km.

160 1.2.3 In situ meteorological data

161 Monthly precipitation data (Pre), humidity data (Hum), temperature data (Tem)
162 and wind velocity (Win) data from December to February of 32 meteorological
163 stations from 2013 to 2015 used in this study. In order to prepare for the next step, all
164 these climatic data were interpolated by Ordinary Kriging method and resampled into
165 the same spatial resolution of 1 km by Bi - linear method.

166 2. Methodology

167 2.1 Geographical Weighted Regression (GWR)

168 GWR is a regression method that can be used to solve location - related issues on
169 Tobler's first law of geography that is "everything is related to everything else, but
170 near things are more related than distant things". In this study, we use GWR 4.0
171 software to run GWR model. The model is established by generating parameters for
172 the independent variable and explanatory variable of each given cell. The following
173 equation can express the GWR:

$$174 \quad Y_j = \beta_0(u_j, v_j) + \sum_{k=1}^p \beta_k(u_j, v_j) X_{kj} + \varepsilon_j \quad (1)$$

175 where Y_j represent the dependent variable observations precipitation, X_{kj} represent the
176 k th independent variable. $\beta_0(u_j, y_j)$ and $\beta_k(u_j, y_j)$ denote the intercept and slope

177 estimated at the j th point. Parameter ε_j denotes the residual of this model. The
 178 coefficients in Eq. (1) are estimated from the neighboring observations of the point j ,
 179 with a weighted function based on an assumption that the closer observations are to
 180 point j , the more influenced weight by the point j . The coefficients can be calculated
 181 by the following Eq. (2)

$$182 \quad \hat{\beta}(u_j, v_j) = (X^T W(u_j, v_j) X)^{-1} (X^T W(u_j, v_j) P) \quad (2)$$

183 where $\hat{\beta}(u_j, v_j)$ represents the local coefficient estimated at point j , X and P
 184 represents the independent and dependent variables, respectively. $W(u_j, v_j)$ is the
 185 weight matrix. In this study, this weight value can be express by the following Eq. (3)

$$186 \quad \begin{aligned} w_{ij} &= \left[1 - (d_{ij} / b)^2 \right]^2 \text{ when } d_{ij} \leq b \\ w_{ij} &= 0 \text{ when } d_{ij} > b \end{aligned} \quad (3)$$

187 where d_{ij} is the distance between point j and the neighboring observation i . b is
 188 the bandwidth threshold.

189 2.2 Main steps of downscaling algorithm

190 (1) Interpolated all the in situ observations layers by Ordinary Kriging method.
 191 Resampled TRMM data, precipitation observations and all climatic (temperature,
 192 humidity, wind velocity) and orographic (elevation, slope, aspect) factors into the
 193 same resolution of 1 km by Bi - linear method, and then converted them into a point
 194 data from the raster format to enable extraction of values from every layer at the same
 195 location. These 8 layers were all set the projection coordinate system. The flowchart
 196 of downscaling algorithm of GWR in this study was shown in Fig. 2.

197 (2) Treated TRMM data, climatic (temperature, humidity, wind velocity) and

198 orographic (elevation, slope, aspect) factors as independent variables, precipitation
199 observations as dependent variable. To study which factors could better simulated the
200 TRMM data, we experimented 6 models which consider different factors in GWR as
201 shown in Table 1.

202 (3) In GWR, two parameters are critical: the kernel function and the selection
203 criteria. There are four kernel functions (Fixed Gaussian, Fixed bi - square, Adaptive
204 bi - square and Adaptive Gaussian) and four selection criteria (Akaike information
205 criterion (AIC), small sample bias corrected (AICc), Bayesian information criterion
206 (BIC), and cross validation (CV)). Adaptive could produce more concrete result than
207 Fixed method, that is why Adaptive bi - square and Adaptive Gaussian were chosen as
208 the Kernel type in this study, respectively. Selection Criteria of CV designed only
209 match for Gaussian. So, we tested four kinds of Kernel type and Selection Criteria in
210 this study. Eventually, Adaptive bi - square and AICc was tested to get the best
211 estimations and we use these two indices in GWR.

212 2.3 Validation

213 The following three validation indices were chosen to compare the accuracy of
214 downscaling model in this study. They are Pearson correlation coefficient (r), root
215 mean square error (RMSE), and relative bias (Bias). These indicators are calculated
216 by Eqs. as follows, respectively:

$$217 \quad r = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2 \sum_{i=1}^n (y_i - \bar{y})^2}} \quad (4)$$

$$218 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n}} \quad (5)$$

$$219 \quad BIAS = \frac{\sum_{i=1}^n (x_i - y_i)}{\sum_{i=1}^n y_i} \times 100\% \quad (6)$$

220 where x and y represent the original precipitation and estimated precipitation,
 221 respectively. They were calculated based on the original precipitation values and
 222 estimated precipitation values to evaluate the GWR method. When r is approaching 1
 223 representing the relationship is better. An RMSE and BIAS approximately 0
 224 representing the estimated value approach the original value.

225 **3. Results and Discussion**

226 **3.1 Spatial distribution of original and downscaled precipitation**

227 The observed annual mean precipitation and annual TRMM 3B43 data during
 228 2013 - 2015 periods in the Qinling Mountains are compared to check the variations.
 229 As shown in Fig. 3, original TRMM 3B43 apparently overestimated the observation
 230 precipitation (OBS) in 2014 and 2015, but underestimated in 2013. Hence, we
 231 compared the downscaled annual precipitation in the Qinling Mountains during 2013
 232 - 2015 periods with observation and TRMM data.

233 The spatial patterns of precipitation obtained from original TRMM data,
 234 observed precipitation, and downscaled precipitation by GWR in the Qingling
 235 Mountains from 2013 - 2015 periods are showed in Fig. 4. The spatial patterns are
 236 basically the same for all three sources. Precipitation ranged 350 to 1200 mm and
 237 high precipitation occurred at the southern part of the Qinling Mountains, while the

238 northern part of the Qinling Mountains received low precipitation. Otherwise, we
239 could see that downscaled precipitation were more smoothly than other two
240 precipitation data. Comparing with other two precipitation results from Fig. 4
241 downscaled precipitation avoided “Bull Eye” phenomenon because of considered
242 orographic and climatic factors. Thus, it had less error with original precipitation.

243 Fig. 5 showed the spatial distribution of evaluation indices between original
244 TRMM data and downscaled precipitation over the Qinling Mountains. Original
245 TRMM data showed a good performance in the western part of the Qinling Mountains.
246 In contrast, downscaled results showed a better performance in the southern part the
247 Qinling Mountains, but showed a worse performance in the northeast part.

248 **3.2 Validation**

249 Table 2 shows the maximum, minimum, and mean precipitation of TRMM, OBS,
250 and GWR. It could be found that maximum, minimum, and mean precipitation of
251 GWR were closer to the observed data in every year compared with TRMM data;
252 TRMM maximum precipitation in 2014 was 1020.74 mm, OBS and GWR were
253 947.85 mm and 931.81 mm, respectively. Overall, GWR introduced orographic and
254 climatic factors influencing precipitation could improve the overestimated
255 precipitation detected by TRMM.

256 For evaluating the effect of downscaling algorithm quantitatively, three
257 evaluation indices of TRMM and GWR from 2013 to 2015 periods in the Qinling
258 Mountains are shown in Table 3. It could found that GWR has improved the TRMM
259 data; Pearson correlation coefficient (r) has increased from 0.71 to 0.86; relative bias

260 (Bias) reduced from - 3.60 to - 2.77; RMSE also decreased from 99.31 to 93.24.

261 Scatter plots in Fig. 6 depicted the TRMM and GWR model against the OBS in
262 2013, 2014, and 2015. GWR were the closest to 1:1 line, and TRMM data were the
263 most dispersed distribution during these three years, which also reflected precipitation
264 accuracy can be simulated more accurately by GWR downscaled model. GWR
265 improved r from 0.89 to 0.94 in 2013, especially in 2014 and 2015, GWR were more
266 correlated with observed precipitation than TRMM, with r from 0.56 to 0.77, and
267 from 0.67 to 0.87, respectively.

268 Many geostatistical techniques were used to downscale precipitation in
269 mountainous areas. For example, Regression Kriging has been found useful for
270 downscaling low resolution precipitation datasets (Zhang et al., 2018) for its
271 advantage to extend to a border range of regression techniques and allow separate
272 interpolation of the two interpolated components (Hengl et al., 2007). The satellite
273 precipitation datasets were predicted using global regression, which had not
274 thoroughly considered the relationship between precipitation and environmental
275 variables were spatially varying and scale-dependent (Xu et al., 2015). GWR has the
276 advantage of investigating the non-stationary and scale-dependent characteristics of
277 the relationship between the variables (Xu et al., 2015; Foody, 2003) and is suitable
278 for detecting complex relationships between precipitation and other environmental
279 variables (Chen et al., 2014). In the future, some new techniques like artificial
280 intelligence machine learning, and data mining could be applied in this field.

281 3.3 Performances of different GWR models

282 For detecting which influencing factor is the most important when downscaling
283 annual precipitation in the Qinling mountainous area, we designed different
284 downscaling models considering different influencing factors as shown in Table 1.
285 Model 1 to model 3 considered orographic (elevation, slope, aspect) factors, and
286 model 4 to model 6 considered climatic (temperature, humidity, wind velocity) factors,
287 separately. Fig. 7 depicted the bar plot of downscaled precipitation and TRMM during
288 2013 - 2015 periods and Table 4 showed the comparison between different models of
289 GWR from 2013 to 2015 periods in the Qinling Mountains. From model 1 to model 6,
290 the r is 0.85, 0.85, 0.85, 0.85, 0.86, 0.86, respectively, indicating that these 6 models
291 have strong linear correlation with the observed precipitation. The BIAS from model
292 1 to model 6 were - 3.23, - 3.27, - 3.27, - 3.15, - 2.93, - 2.77, respectively, and the
293 RMSE were 94.05, 93.97, 93.84, 93.78, 93.41, 93.24, respectively, which all
294 indicating that model 6 which considered all the orographic and climatic factors
295 showed the best performance, with the highest r (0.86), lowest RMSE (- 2.77) and
296 BIAS (93.24). This result explained the downscaled algorithm which considered all
297 the orographic and climatic factors could get the best downscaling performance,
298 indicating that these factors all are well - related to precipitation in mountainous area.

299 Fig. 8 showed the Taylor diagrams of different downscaled models with
300 observed and TRMM data. Taylor diagram can show the correlation coefficient,
301 standard deviation (SD) and RMSE in the same figure. The closer the point of
302 downscaled precipitation to the point of observed precipitation, the better the accuracy
303 of the downscaled precipitation. Fig. 8 all showed point H (Model 6) is the closet to

304 the point A (Observed precipitation). Especially in 2014, the SD of observed
305 precipitation was 89.96mm, while the SD of model 6 was 77.32 mm. The model 6
306 was 0.77, and the RMSE was the lowest.

307 NDVI was designed as a vital element in downscaling precipitation for its
308 responses in past research (Wang et al., 2001; Barbosa and Kumar, 2016; Immerzeel
309 et al., 2009; Wenlong et al., 2016; Duan et al., 2013), but some scholars pointed out
310 that there is at least three month of lag time between vegetation and precipitation.
311 Furthermore, a higher NDVI does not represent heavy precipitation in humid zone
312 because of saturated NDVI (Shi and Song, 2015; Shi et al., 2015). In some cases,
313 different land use could change the NDVI, such as water, snow, and barren. Thus, we
314 should detect these NDVI anomalies and eliminate during data processing in the
315 future. Generally, vegetation was nourished by precipitation. Thus, introducing NDVI
316 in downscaling precipitation need to be further studied.

317 The orographic effect is regarded as a vital aspect in shaping precipitation in
318 mountainous areas. Lot of researchers have studied orographic effect in downscaling
319 precipitation (Jia et al., 2011; Guan et al., 2009; Badas et al., 2005; Reid, 1973; Smith,
320 1979). Furthermore, the temp - spatial variation of precipitation is influenced by other
321 land factors. For example, Schultz and Halpert (1995) found that incorporated land
322 surface temperature with the NDVI in projecting precipitation, the accuracy is more
323 precise when compared with using NDVI alone at a global scale. Many scholars
324 implemented better downscaled precipitation results after incorporated temperature
325 factor (Jing et al., 2016; Ma et al., 2017). Thus, we not only incorporated elevation,

326 aspect, and slope, but also temperature, wind velocity, and humidity into the
327 downscaled scheme in our study.

328 **3.4 Precipitation variations in the Qinling Mountains of 14 years based on GWR**

329 From the above research, it can be seen that the precipitation grid data obtained
330 on the annual scale of the Qinling Mountains based on the geographical weighted
331 regression method has a certain degree of reliability. Therefore, the long - term
332 precipitation data from 2002 to 2015 are verified and applied. The results are shown
333 in Fig. 9. From the figure, it can be seen that the average annual precipitation in the
334 Qinling Mountains over the past 14 years has ranged from 565.6 to 872.6 mm, with an
335 average precipitation of 759.7 mm. The average precipitation in spring, summer,
336 autumn, and winter in the Qinling Mountains from 2002 to 2015 was 113.6 to 236.4
337 mm, 233.5 to 433.2 mm, 123.7 to 275.7 mm, and 11.9 to 36.2 mm. The average
338 precipitation in four seasons is in the order of summer (366.1 mm), autumn (221.8
339 mm), spring (146.4 mm), and winter (25.3 mm). Fig. 10 shows the distribution map of
340 monthly average precipitation in the Qinling Mountains over the past 14 years. From
341 the figure, it can be seen that July has the most precipitation in the Qinling Mountains,
342 with a precipitation of 88.7 ~ 180.6 mm and an average precipitation of 141.9 mm,
343 especially in the southern slope area.

344 In order to further test the scientificity and accuracy of the precipitation grid data of
345 the Qinling Mountains over the past 14 years, the results are hereby verified. The
346 results are shown in Tables 5 and 6. From the table, it can be seen that the
347 precipitation grid data set of the Qinling Mountains from 2002 to 2015 is relatively

348 close to the measured meteorological station data with high accuracy, indicating that
349 its grid data set has high reliability and can be used as input parameters for
350 hydrological and ecological models.

351 **4. Conclusions**

352 In this study, combined with rain gauge observations and satellite - based
353 precipitation product, using orographic factors (elevation, slope, and aspect) and
354 climatic factors (temperature, wind velocity, and humidity), a gridded precipitation
355 with a 1 km resolution in mountainous area was downscaled by means of GWR at an
356 annual scale. The main conclusions are as follows:

357 (1) The spatial distribution of original TRMM data, rain gauge observation, and
358 downscaled precipitation were totally the same in the Qinling Mountains.
359 Precipitation ranged from 350 mm to 1200 mm and high values all occurred at the
360 southern part of the Qinling Mountains, while low values located at the northern part
361 of the Qinling Mountains. TRMM data showed a good performance in the western
362 part of the Qinling Mountains. GWR showed a better performance in the southern part
363 of this area, while showed a worse performance in the northeast part of this area.

364 (2) Downscaled precipitation improved the accuracy of original TRMM data
365 obviously at annually scale from 2013 to 2015 periods in the Qinling Mountains. It
366 increased r from 0.71 to 0.86, and decreased BIAS from - 3.60% to - 2.77%, and
367 decreased RMSE from 99.24 mm to 93.24 mm.

368 (3) 6 GWR models were developed, considering 6 different orographic and
369 climatic factors. The more factors input, the more accurate the downscaled result

370 derived. Precipitation in mountainous area is related with not only orographic factors

371 (elevation, slope, and aspect) but climatic factors (temperature, wind velocity, and

372 humidity).

373

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379 precipitation dataset from 2013 to 2015 and was obtained from NASA
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388

389

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391 conception and design. Material preparation, data collection and analysis were
392 performed by Qing Meng and Hongying Bai. The first draft of the manuscript was
393 written by Qing Meng and all authors commented on previous versions of the
394 manuscript. All authors read and approved the final manuscript.

395

396 **Data Availability:** The datasets generated and analyzed during the current study

397 are not publicly available due to privacy and restrictions.

398

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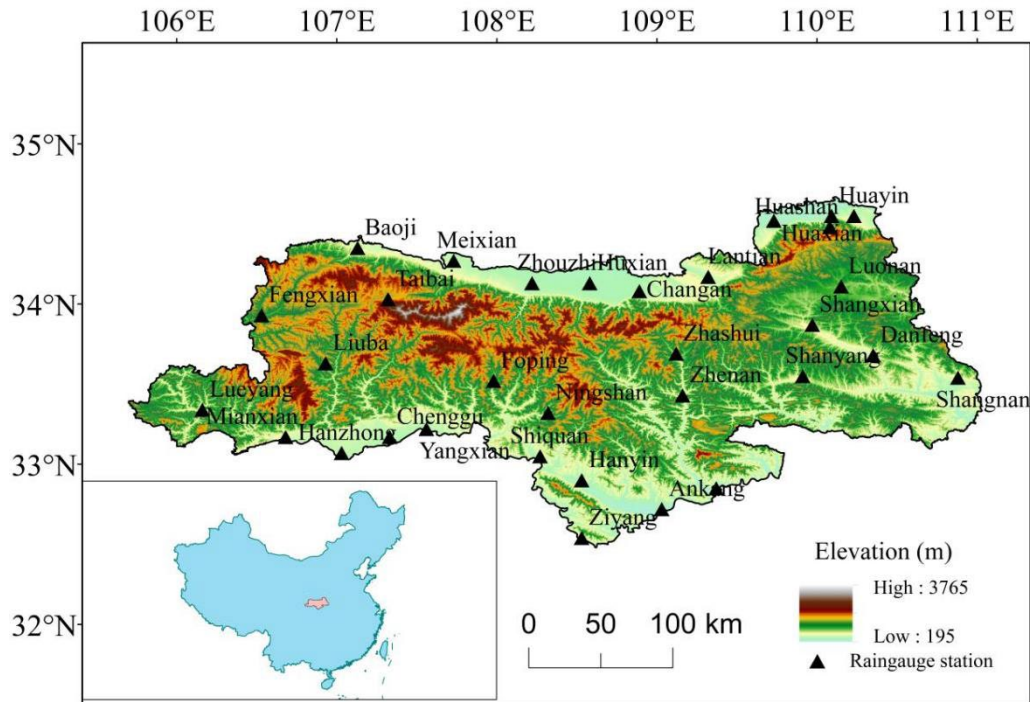
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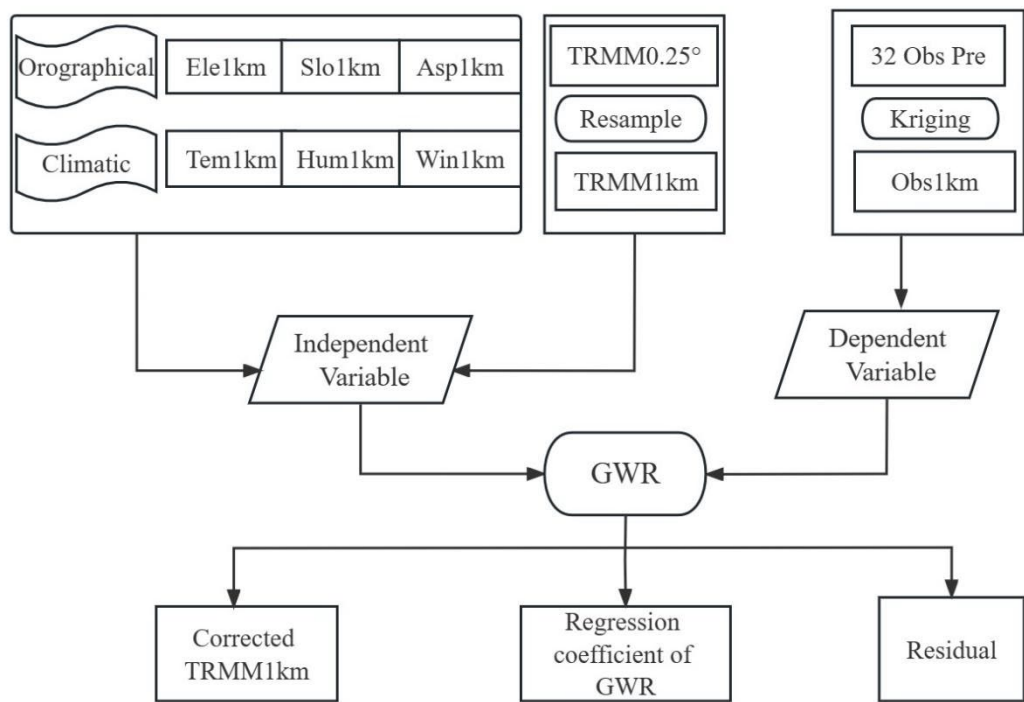


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Fig.1 Study Area

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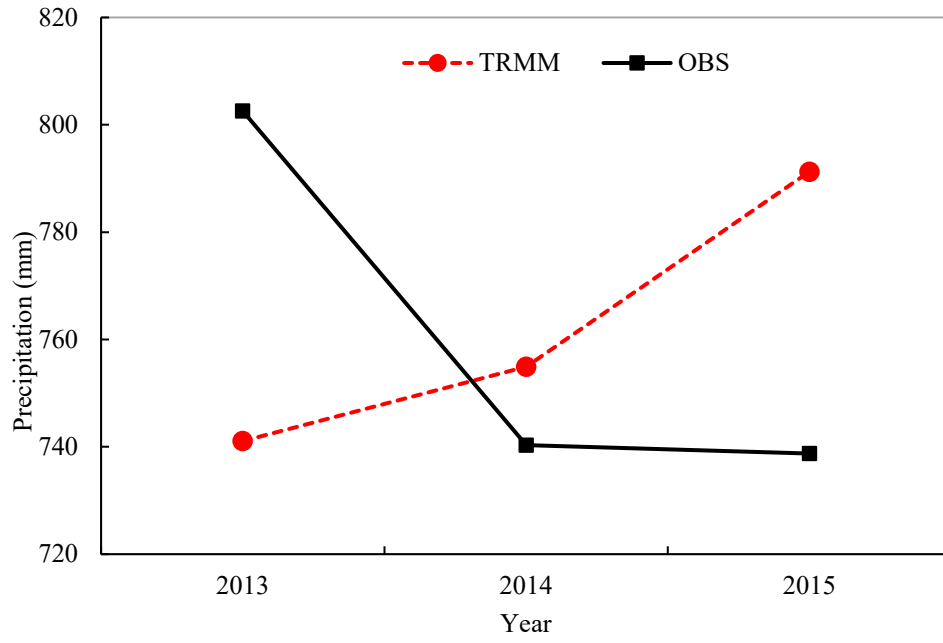
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Fig.2 Flowchart of downscaling algorithm of GWR in this study

532

Table 1 Different models consider different parameters in GWR

Model	Parameters					
	Elevation	Aspect	Slope	Temperature	Wind speed	Humidity
1	✓					
2	✓	✓				
3	✓	✓	✓			
4	✓	✓	✓	✓		
5	✓	✓	✓	✓	✓	
6	✓	✓	✓	✓	✓	✓



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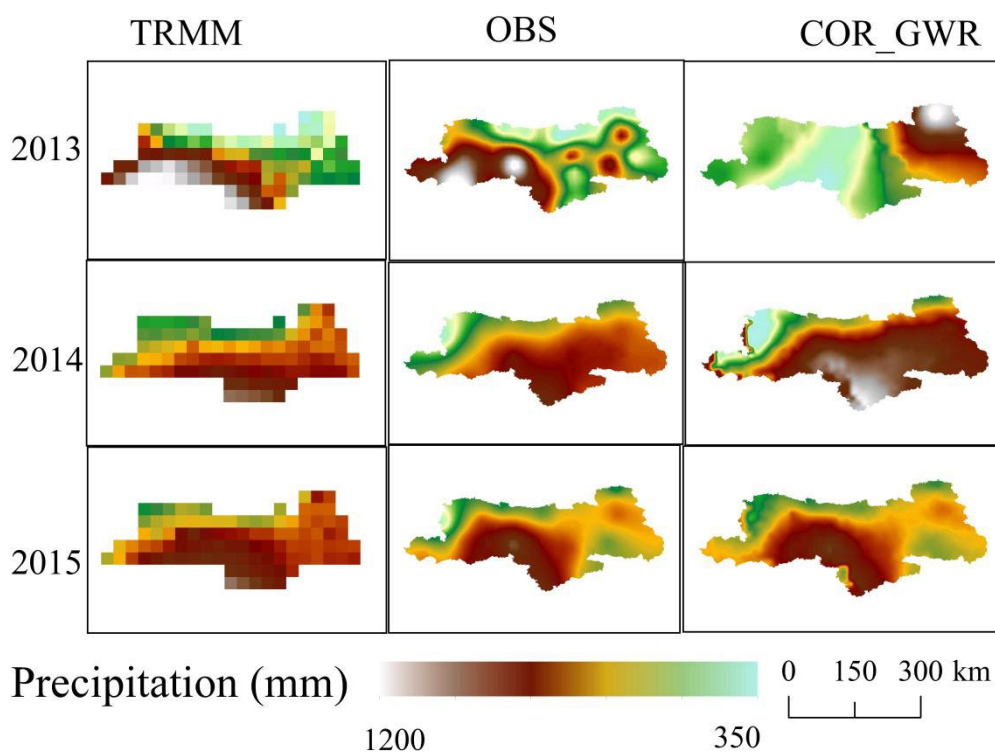
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Fig.3 Comparison between the observed annual precipitation and annual TRMM

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3B43 data during 2013 - 2015 periods in the Qinling Mountains

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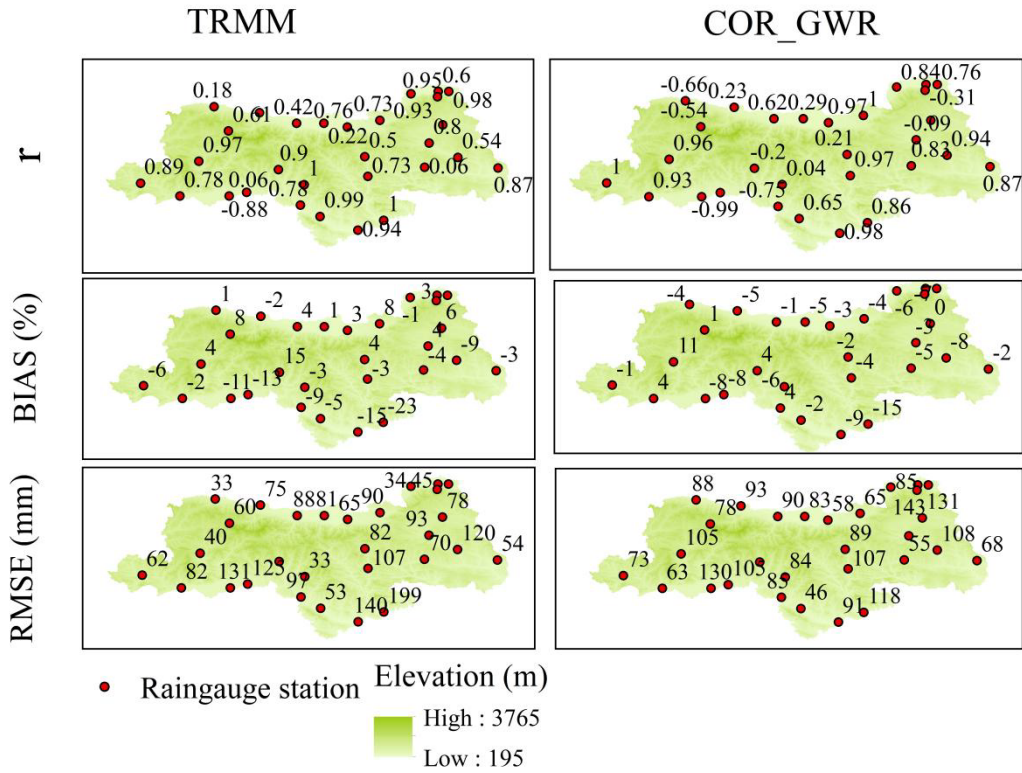
541 Fig.4 Spatial distribution of annual precipitation from 2013 - 2015 periods in the

542 Qinling Mountains. The subscripts TRMM, OBS, and COR_GWR denote original

543 TRMM data, interpolated observed precipitation data, and downscaled precipitation

544 data by GWR.

545



546

547 Fig.5 Spatial distribution of evaluation indices between original TRMM data and

548 downscaled precipitation from 2013 - 2015 periods in the Qinling Mountains. The

549 subscripts TRMM, and COR_GWR denote original TRMM data and downscaled

550 precipitation data by GWR.

551

552
553

Table 2 Comparison between the observed precipitation and annual TRMM from 2013 to 2015 periods in the Qinling Mountains

Product	Year	Max (mm)	Min (mm)	Mean (mm)
TRMM	2013	1001.52	490.29	741.07
	2014	1020.74	560.81	754.95
	2015	1027.90	578.98	791.28
OBS	2013	1136.38	554.55	802.56
	2014	947.85	366.08	740.30
	2015	992.88	400.78	738.75
GWR	2013	1077.95	591.40	801.80
	2014	931.81	372.37	739.22
	2015	977.28	524.83	742.97

554

555

556 Table 3 Evaluation indices for annual TRMM and GWR from 2013 to 2015 periods

557 in the Qinling Mountains.

Product	r	BIAS (%)	RMSE (mm)
TRMM	0.71	-3.60	99.31
GWR	0.86	-2.77	93.24

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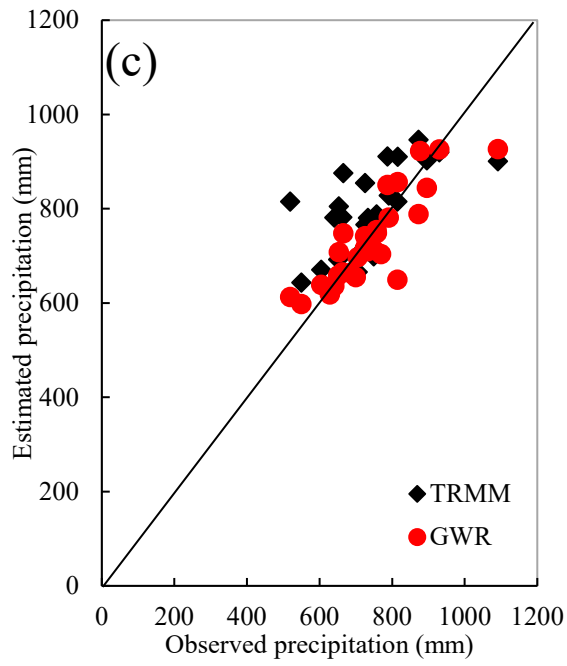
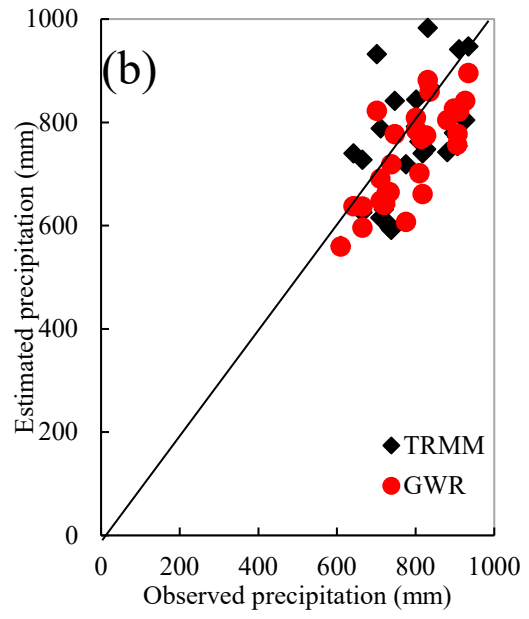
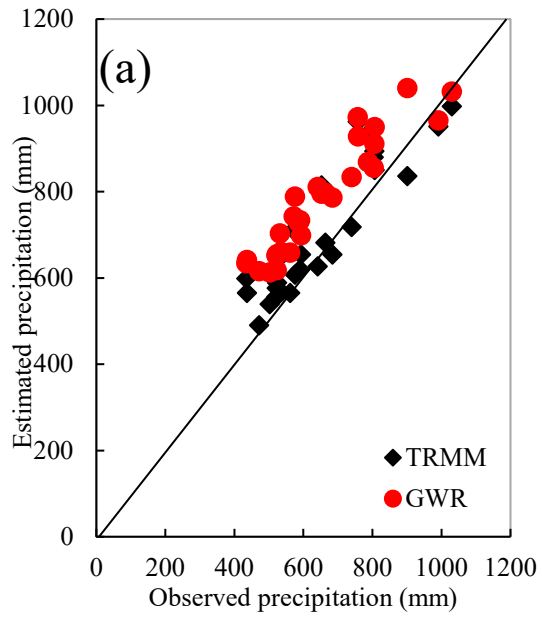


Fig.6 Scatter plots of annual TRMM and GWR model against the OBS.

(a) in 2013, (b) in 2014, and (c) in 2015.

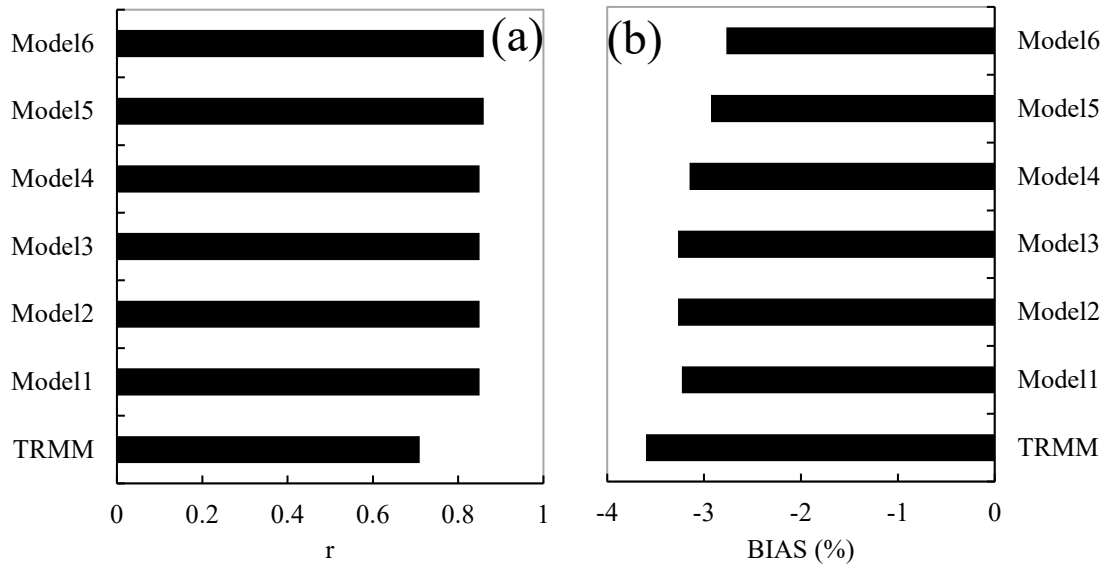
565 Table 4 Comparison between different models of GWR from 2013 to 2015 periods

566 in the Qinling Mountains

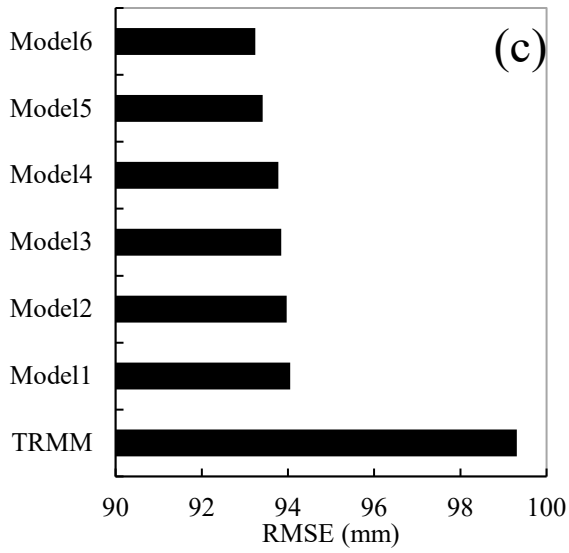
	r	BIAS (%)	RMSE (mm)
TRMM	0.71	-3.60	99.31
Model1	0.85	-3.23	94.05
Model2	0.85	-3.27	93.97
Model3	0.85	-3.27	93.84
Model4	0.85	-3.15	93.78
Model5	0.86	-2.93	93.41
Model6	0.86	-2.77	93.24

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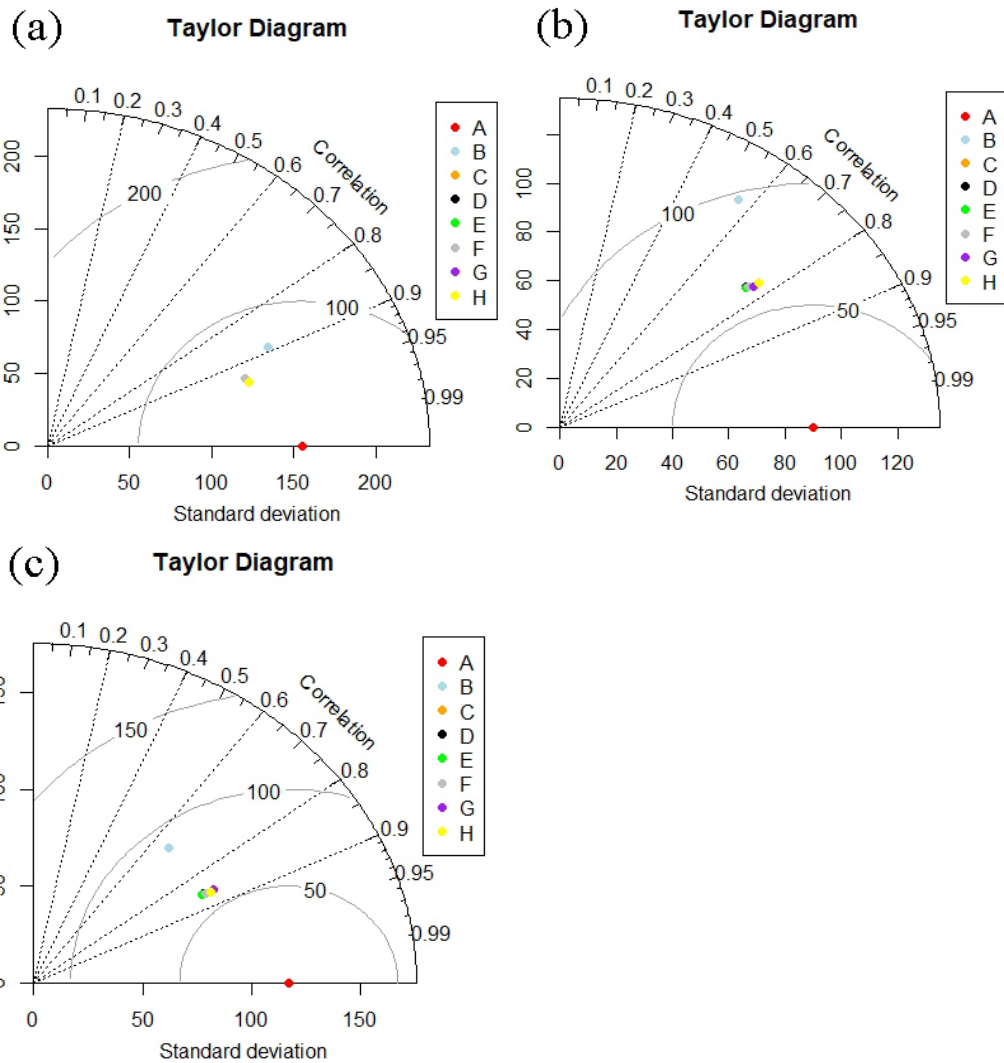


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571 Fig.7 Bar plot of downscaled precipitation and TRMM data during 2013 - 2015

572 periods. (a) r, (b) BIAS, and (c) RMSE.

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Fig.8 Taylor diagrams of different downscaled models with observed and TRMM.

577

(a) - (c) denote in 2013, 2014, and 2015, respectively. Point A represents observed

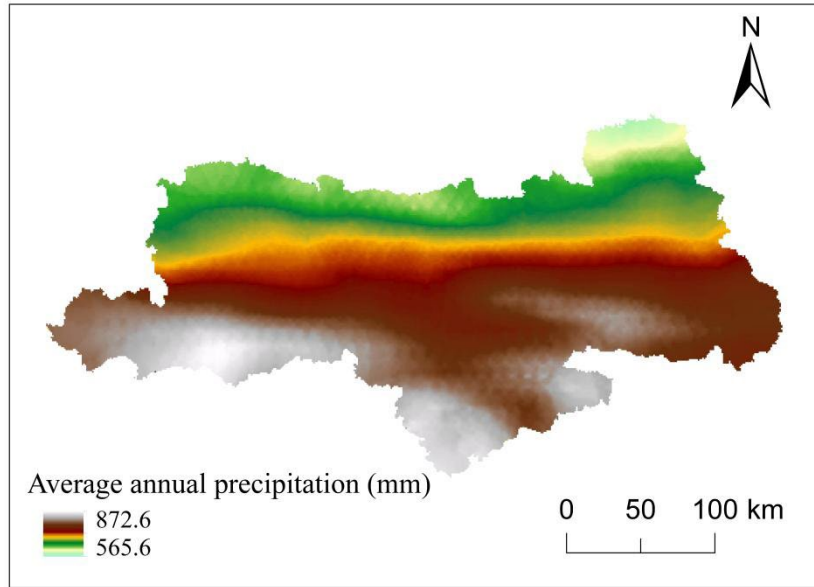
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precipitation, and B represents TRMM data, C represents model 1, D - H represents

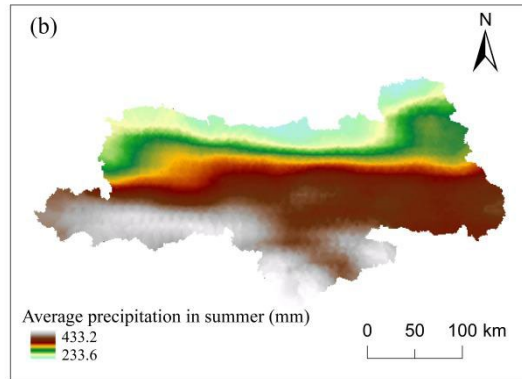
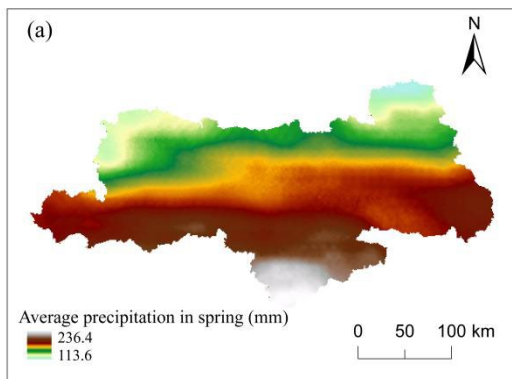
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model 2 - model 6, respectively.

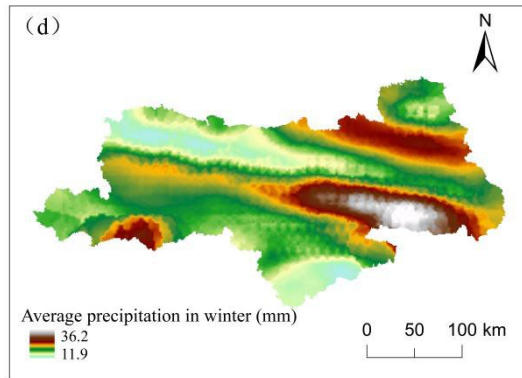
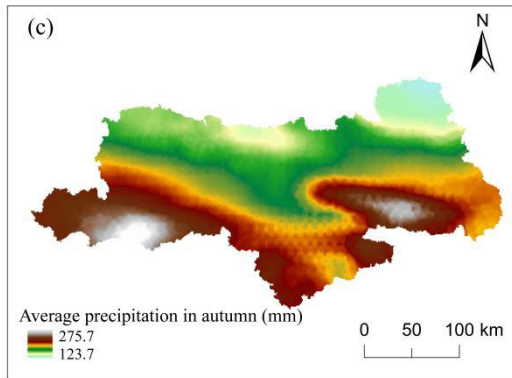
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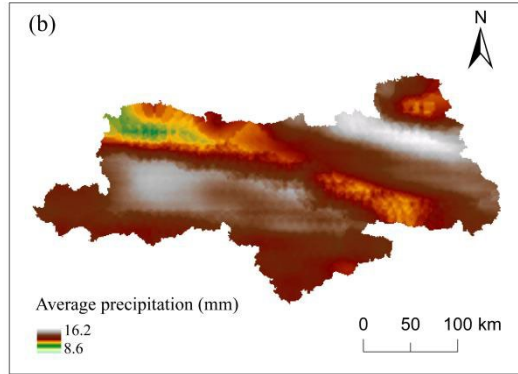
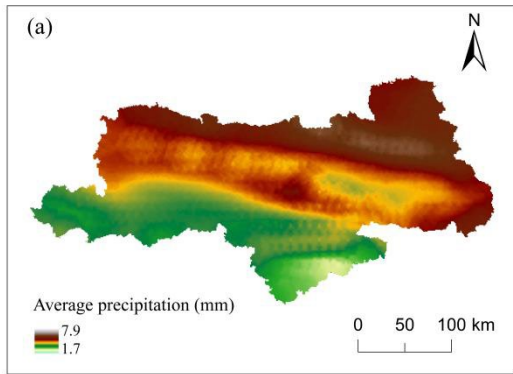
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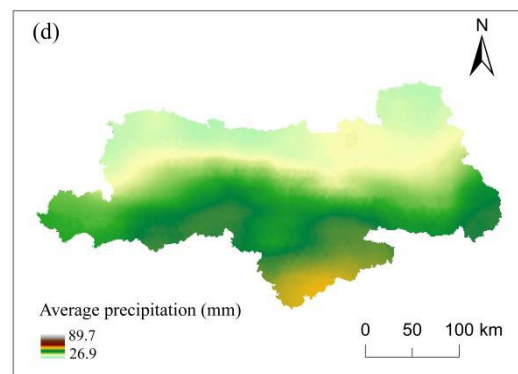
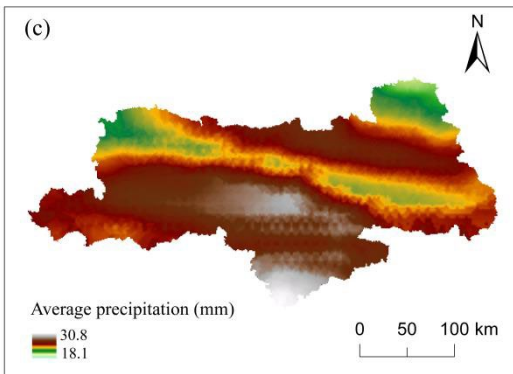
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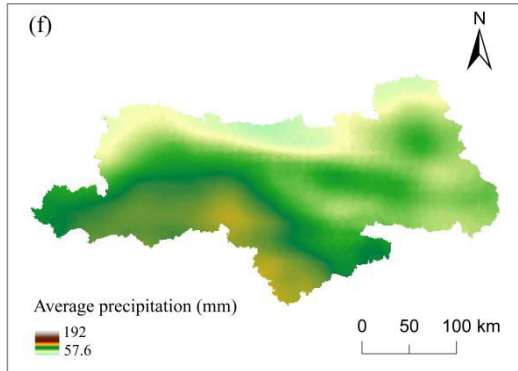
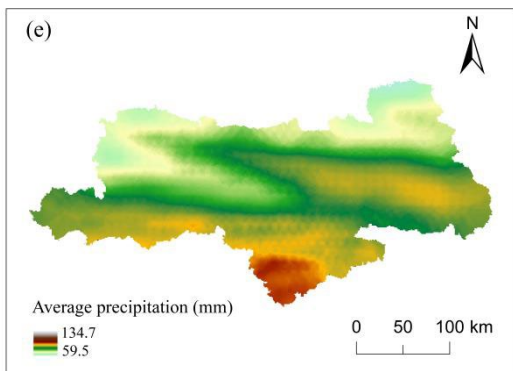
Fig. 9 Spatial Distribution of Annual and Seasonal Average Precipitation in the Qinling Mountains from 2002 to 2015 (a) Spring, (b) Summer, (c) Autumn, and (d) Winter



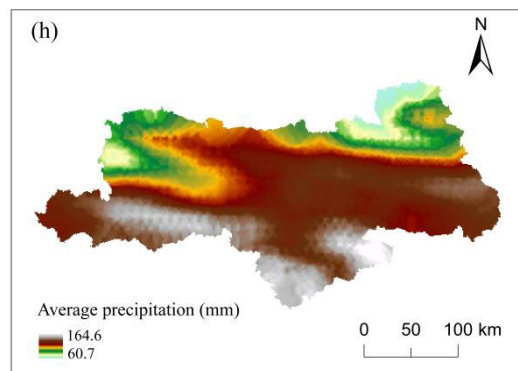
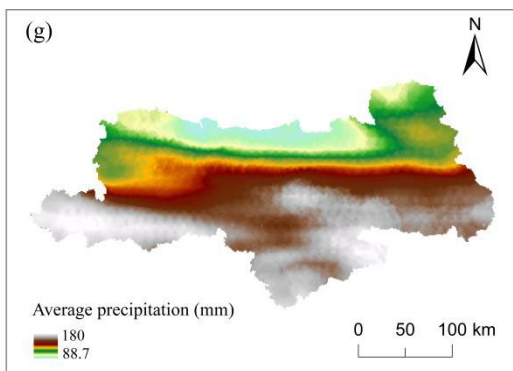
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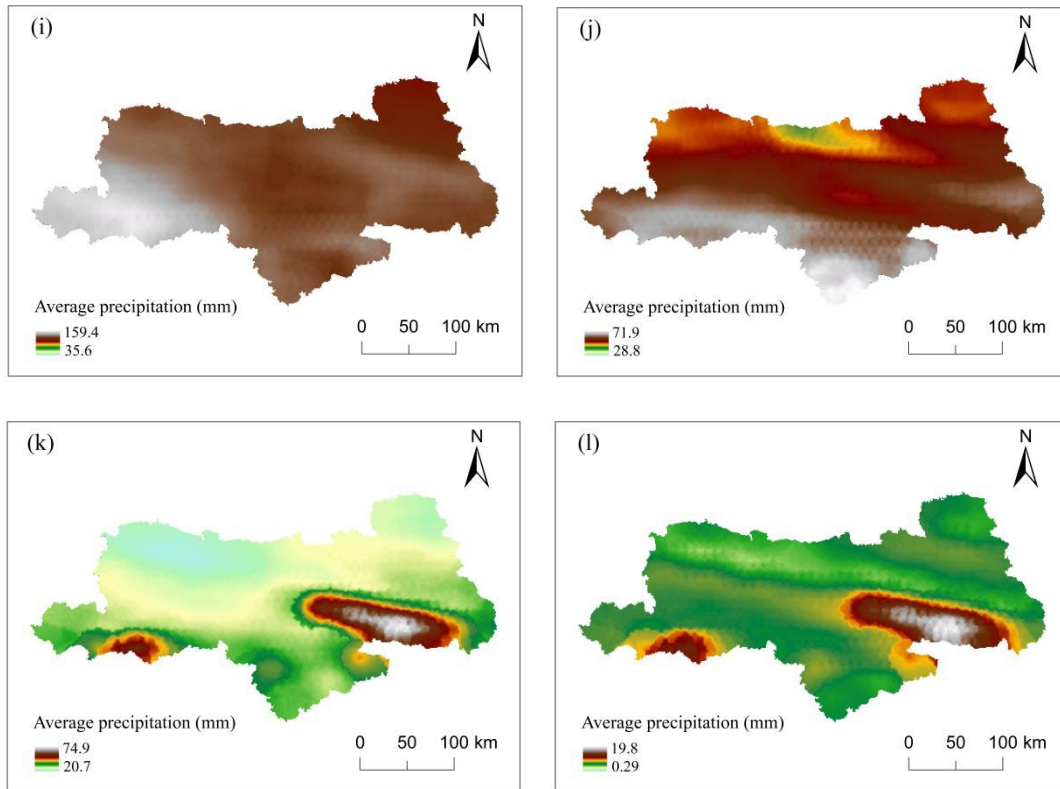
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594 Fig. 10 Spatial distribution of monthly average precipitation in the Qinling Mountains
 595 from 2002 to 2015 (a) - (l) is from January to December, respectively

596

597

598 Table 5 Error testing of grid data sets of annual and seasonal average precipitation in
 599 the Qinling Mountains from 2002 to 2015

validation indices	Annual	Spring	Summer	Autumn	Winter
RMSE (mm)	78.24	19.05	39.87	31.60	6.66
r	0.79	0.85	0.83	0.59	0.17
BIAS (%)	-2.85	-7.94	1.65	-6.42	-0.97

600

601

602 Table 6 Error testing of monthly average precipitation grid dataset in the Qinling

603 Mountains from 2002 to 2015

Validation	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
indices												
RMSE	1.46	2.78	5.57	6.53	12.38	13.56	18.48	18.30	22.45	8.80	16.42	5.57
(mm)												
r	0.69	0.45	0.46	0.90	0.80	0.83	0.84	0.61	0.37	0.73	0.18	-0.03
BIAS (%)	-0.45	-0.26	1.07	-12.10	-8.38	8.67	-2.03	1.26	-9.76	-2.03	0.50	-2.85

604